NOTES ON AXELROD-DELLA PIETRA-WITTEN

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CONTENTS

Outline:

(1) Describe Witten's claim that RT invariants come from Wilson loop observables
(2) Briefly mention how GQ applies in this situation, outline GQ (wishlist), review symplectic geometry
(3) Prequantization and why it is too big (take the example of $\mathbb{R}^{2n}$)
(4) Polarizations in general, focus on Kahler polarization

1. INTRODUCTION

Let Σ be a compact oriented 2-manifold and write $M = \Sigma \times \mathbb{R}$. We will think of Σ as the spacelike directions of spacetime and $\mathbb{R}$ the timelike direction. Chern-Simons theory with gauge group G (that we will take to be compact, connected, and simply-connected) on M is the data of a principal G-bundle $\pi : P \to \Sigma$ together with a *Lagrangian density* $\mathscr{L} : \mathscr{A} \to C^\infty(\Sigma)$ on the space of connections $\mathscr{A}$ on P given by

$$\mathscr{L}_{\mathrm{CS}}(A) = \langle A \wedge F \rangle + \frac{2}{3} \langle A \wedge [A \wedge A] \rangle.$$

Let us detail the notation used here. Recall first that a connection $A \in \mathscr{A}$ is a G-invariant $\mathfrak{g}$-valued one-form, i.e. $A \in C^\infty(\underline{\mathfrak{g}} \otimes T^*P)$ such that $R_g^* A = \mathrm{Ad}_{g^{-1}} A$, satisfying the additional condition that if $\xi \in \mathfrak{g}$ then $A(\xi_P) = \xi$ if ξ_P is the vector field associated to ξ. Notice that $\mathscr{A}$, though not a vector space, is an affine space modelled on $C^\infty(P \times_G \mathfrak{g})$. understand this! The curvature F of a connection A is is the $\mathfrak{g}$-valued two-form given by $F(v, w) = dA(v_h, w_h)$, where $\bullet_h$ denotes projection onto the horizontal distribution $\ker \pi_*$.[1] Finally, by $\langle -, - \rangle$ we denote an ad-invariant inner product on $\mathfrak{g}$.

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[1] Here $[- \wedge -]$ and d are given by a combination of the wedge product and commutator.

The Chern-Simons action is now given

$$S_{\text{SC}}(A) = \int_M \mathcal{L}_{\text{SC}}(A)$$

and the quantities of interest are expectation values of observables $\mathcal{O} : \mathcal{A} \to \mathbb{R}$

$$\langle \mathcal{O} \rangle = \int_{\mathcal{A}/\mathcal{G}} \mathcal{O}(A) e^{iS_{\text{SC}}(A)/\hbar}.$$

Here $\mathcal{G}$ is the group of automorphisms of $P \to \Sigma$, which acts by pullback on $\mathcal{A}$ – the physical states are unaffected by these gauge transformations, so we integrate over the quotient $\mathcal{A}/\mathcal{G}$ to eliminate the redundancy. In [Wit89] Witten consider the following observable: let C be a closed oriented curve in M and V be an irreducible representation of G. Then we define the *Wilson line*

$$W_{C,V}(A) = \text{tr}_V \exp \int_C A.$$

Witten computed the expectation values

$$\langle \prod_i W_{C_i,V_i} \rangle$$

for (C_i, V_i) pairs of curves and G-irreps, and recovered in the case of $M = S^3$ the Jones polynomial and its generalizations. Moreover, taking M to be an arbitrary 3-manifold and taking no curves, we obtain invariants of 3-manifolds that are effectively computable.

The goal of these notes is to outline a rigorous procedure for obtaining quantum Chern-Simons theory without resorting to the path integral formalism. To do this, we will use *geometric quantization*, a method of quantizing a symplectic manifold to obtain a Hilbert space. We will follow the constructions of [ADPW91].

2. Classical versus quantum

Recall that the data of a classical mechanical system can be encoded as symplectic geometry. A symplectic form on a manifold M is a nondegenerate closed two-form $\omega \in \Omega^2(M)$. By nondegenerate we simply mean that ω_p is a nondegenerate skew-symmetric bilinear form for each $p \in M$, or more globally, that ω induces an isomorphism $TM \to T^*M$. A symplectic manifold is then a pair (M, ω), and it is not hard to see that $\dim M$ must be even. Let us fix some notation: by X_f we mean the unique vector field corresponding to the one-form df:

$$\iota_{X_f} \omega = df.$$

Then we have a Poisson bracket $\{-, -\}$ on $C^\infty(M)$ given by

$$\{f, g\} = \omega(X_f, X_g),$$

under which $C^\infty(M)$ forms a Lie algebra. Notice that the bracket is also a biderivation. We say that $C^\infty(M)$ forms a Poisson algebra (over $\mathbb{R}$).

Consider, for concreteness, a free particle in $\mathbb{R}^n$. The associated symplectic manifold $(\mathbb{R}^{2n}, \sum dq^i \wedge dp^i)$ represents the phase space of the system – all posible states (q, p) of the particle. The observables in this formulation are simply smooth functions on M. The energy, for instance, is given $H(q, p) = |p|^2/2m$. time-evolution and the Poisson bracket?

In quantum mechanics, on the other hand, the phase space is given as a (complex) Hilbert space $\mathcal{H}$ (or more precisely the projectivized space $\mathbb{P}\,\mathcal{H}$) and observables correspond to selfadjoint operators. In particular, one computes the expectation value of a given observable as

$$\langle \mathcal{O} \rangle = \int_{\mathcal{H}} \mathcal{O}(\psi) e^{iS(\psi)/\hbar},$$

where $S : \mathcal{H} \to \mathbb{R}$ is the action of the system. time-evolution?

Notice that there is a canonical procedure for obtaining a classical system from a quantum one: take $\hbar \to 0$. As $\hbar$ becomes small the exponential in the integral above oscillates wildly and the integral is dominated by contributions from the classical locus $\delta S = 0$.

The problem of quantization, then, is the converse question: does a classical system determine a quantum system? This is an interesting question to ask because often in physics one starts with a classical theory such as electromagnetism (a classical field theory) and wishes to obtain a quantum theory such as quantum electrodynamics (a quantum field theory). Unfortunately, as the saying goes, "quantization is an art, not a functor." But let us be more precise and describe exactly what we mean by quantization (at least for our purposes).

Definition 1 (Dirac). Let (M, ω) be a symplectic manifold. A *quantization* of M is a complex Hilbert space $(\mathcal{H}, \mathcal{O})$ with selfadjoint operators $\mathcal{O}$, together with an $\mathbb{R}$-linear map $\hat{\bullet} : C^\infty(M) \to \mathcal{O}$ such that $\hat{1}$ is the identity operator on $\mathcal{H}$ and

$$[\hat{f}, \hat{g}] = -i\hbar \widehat{\{f, g\}}.$$

Unfortunately, it is unclear how to do this in general without making $\mathcal{H}$ unphysically large.[2]

Before we begin discussing the procedure of geometric quantization, which will approximate the notion of quantization above, let us see how it applies to the case of Chern-Simons theory. Recall that the phase space of Chern-Simons theory is the space $\mathscr{A}^\flat/\mathscr{G}$ of flat connections on Σ up to gauge transformation. There is a natural symplectic structure on $\mathscr{A}^\flat$ inherited from the symplectic structure of $\mathscr{A}$ via Marsden-Weinstein reduction. Since $\mathscr{A}$ is an affine space modelled on the vector space $\Gamma(\Sigma, P \times_G \mathfrak{g})$, the tangent space to $\mathscr{A}$ at any connection is said vector space. Why? There is thus a natural symplectic form on $\mathscr{A}$ given

$$\omega_{\mathscr{A}}(\alpha, \beta) = \int_\Sigma \langle \alpha \wedge \beta \rangle.$$

Why is this symplectic?

In order to describe how this symplectic form descends to $\mathscr{A}^\flat$, we recall some details of symplectic reduction. Let G be a Hamiltonian group action on (M, ω). That is, the G action satisfies:

(1) G acts through symplectomorphisms;
(2) if $\xi \in \mathfrak{g}$, the one form associated to the vector field ξ_M is exact:

$$\iota_{\xi_M} \omega = d\kappa(\xi),$$

for $\kappa(\xi) \in C^\infty(M)$;
(3) the associated comoment map $\kappa : \mathfrak{g} \to C^\infty(M)$ is a Lie algebra homomorphism.

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[2]In fact, there are various no-go theorems in the literature, c.f. Gronewald-van Hove.

Then there exists a G-equivariant moment map $\mu : M \to \mathfrak{g}^*$ determined by

$$\kappa(\xi)(p) = \mu(p)(\xi).$$

The fundamental result of symplectic reduction is that if a Hamiltonian action of G is free and proper then $\mu^{-1}(0)/G_0$ is a symplectic manifold with symplectic form ω_0 uniquely characterized by $\pi_0^*\omega_0 = \iota_0^*\omega$.

Let us return to Chern-Simons theory. We claim for now that the action of $\mathscr{G}$ is Hamiltonian, free, proper, and moreover that the curvature $F : \mathscr{A} \to \mathfrak{g}^*$ provides a moment map for this action. Prove this! Then the theory of reduction yields a symplectic structure on the moduli of flat connections $F^{-1}(0)/\mathscr{G} = \mathscr{A}^\flat/\mathscr{G}$. Moreover, one can check that this symplectic form is in fact integral. Thus the story of geometric quantization is indeed applicable.

What are some other ways of obtaining this symplectic structure? C.f. Goldman, Karshon, Weinstein, Guillou, Huebschmann.

3. Geometric quantization

In this section we follow [Woo92] and [Hal13].

The first step in the geometric quantization of a symplectic manifold (M, ω) is *prequantization*, which assigns to M a line bundle with connection whose curvature is ω. The prequantum Hilbert space is then taken to be the square-integrable sections of this line bundle.

There is, of course, an obvious line bundle: the trivial one. Can we get away with this? Consider the space $L^2(M)$ of square-integrable smooth complex functions on M. This space has a natural inner product given by

$$\langle \psi, \psi' \rangle = \int_M \bar{\psi}\psi' \varepsilon,$$

where $\varepsilon = (\omega/2\pi\hbar)^n$ is a volume form. There is an obvious quantization of $f \in C^\infty(M)$ to

$$\psi \mapsto -i\hbar X_f \psi.$$

Unfortunately this will send constants to the zero operator. There is an immediate correction:

$$\psi \mapsto (-i\hbar X_f + f)\psi.$$

This quantization is no longer a Lie algebra homomorphism, so we add yet another term

$$\psi \mapsto (-i\hbar X_f + f - i\iota_{X_f}\lambda/\hbar)\psi,$$

where λ is a one-form such that $d\lambda = \omega$. This prescription works, but now depends on λ, which need not exist in general. The way out is to replace the trivial bundle with a Hermitian bundle together with a connection whose curvature is ω. The key fact is as follows.

Theorem 2 (Weil, Kostant?). *There exists a Hermitian line bundle $L \to M$ and a Hermitian connection $\nabla : \mathrm{H}^0(M, L) \to \mathrm{H}^0(M, L \otimes T^*M)$ on L with curvature $\hbar^{-1}\omega$ if and only if $(2\pi\hbar)^{-1}\omega \in \mathrm{H}^2(M, \mathbb{Z})$. In this case, the choice of (L, ∇) is parameterized by $\mathrm{H}^1(M, U(1))$.*

Using this, we can define prequantization.

Definition 3. Let (M, ω) be a integral symplectic manifold. Then a *prequantum bundle* is a choice of line bundle $L \to M$ and connection ∇ on L with curvature $\hbar^{-1}\omega$. The *prequantum Hilbert space* is the space $\mathcal{H}^{\mathrm{pre}}$ of square-integrable sections of L together with the obvious inner product. The operator associated to $f \in C^\infty(M)$ is given by

$$\hat{f}\psi = (-i\hbar\nabla_{X_f} + f)\psi.$$

Why is this self adjoint? Why is it a Hilbert space?

how does the connection vary with the line bundle?

Example 4. Consider $M = \mathbb{R}^{2n}$ with coordinates (q, p) and its usual symplectic form $\omega = dq^i \wedge dp^i$. The integrality of ω is clear because M is exact, $\omega = d(p_i dq^i) = d\lambda$. In this case the trivial line bundle with connection $\nabla_v = v + \iota_v\lambda$ provides a prequantum line bundle. Notice that $X_{q^i} = \partial/\partial p^i$ and $X_{p_i} = -\partial/\partial q^i$. Hence we find

$$\hat{q}^i\psi = \left(q^i - i\hbar\frac{\partial}{\partial p_i}\right)\psi$$

$$\hat{p}_i\psi = i\hbar\frac{\partial}{\partial q^i}\psi.$$

A straightforward computation reveals that $[\hat{q}^i, \hat{p}_i] = -i\hbar = -i\hbar\widehat{\{q^i, p_i\}}$, as desired. On the other hand, there is something strange going on: our "wavefunctions" depend on both p and q, which is why $\hat{q}^i$ has an unfamiliar $\partial/\partial p_i$ term. Usually in quantum mechanics, we work with wavefunctions depending only on the q^i or only on the p_i, with the two perspectives related via the Fourier transform.

Maybe explain how time-evolution carries over via Hamiltonian flow?

The previous example shows that, even in the case of a particle in $\mathbb{R}^{2n}$, the prequantum Hilbert space constructed by prequantization is morally twice as large as it should be. The next step of geometric quantization, polarization, restricts the space of functions on M that we quantize. On T^*M, for example, one has the vertical and horizontal polarizations, which yield the usual position and momentum Hilbert spaces. Polarization is a rather delicate and involved procedure, so we only sketch the Kähler case.

Recall that a Kähler manifold (M, J, ω) is a symplectic manifold together with an integrable almost complex structure $J : TM \to TM$ (with $J^2 = -\mathrm{id}$) compatible with the symplectic structure: $\omega(Jv, Jw) = \omega(v, w)$. The complexified tangent bundle now splits $TM \otimes \mathbb{C} = T^{1,0}M \oplus T^{0,1}M$ along the eigenvalues $\pm i$ of $J \otimes \mathbb{C}$. The canonical *Kähler polarization* on (M, J, ω) is simply the subbundle $T^{1,0}M \subset TM \otimes \mathbb{C}$, i.e. the holomorphic tangent bundle.

Now, prequantization of (M, J, ω) yields a hermitian line bundle $L \to M$ equipped with a hermitian connection ∇ with curvature given by the Kähler form ω. The connection extends linearly to $\nabla^{\mathbb{C}} : \mathrm{H}^0(M, L) \to \mathrm{H}^0(M, L \otimes T^*M \otimes \mathbb{C})$, and we can define the *Kähler polarized sections* of L to be $s \in \mathrm{H}^0(M, L)$ such that $\nabla_X s = 0$ for all $X \in \mathrm{H}^0(M, T^{0,1}M)$, that is, sections that are covariantly holomorphic. The *quantum Hilbert space* $\mathcal{H}$ is then the space of square-integrable Kähler polarized sections. why is this the same as the space of square-integrable holomorphic sections?

There are a number of undesirable features of this story. While it can be shown that there always exist nonzero local polarized sections, there need not always exist

global square-integrable polarized sections. Moreover, the question of what the operators on $\mathcal{H}$ are now becomes quite subtle. For instance, it only makes sense to consider the subset of the prequantization operators preserving $\mathcal{H} \subset \mathcal{H}^{\mathrm{pre}}$.

Example 5. Consider $M = \mathbb{R}^2$ with complex coordinates $z = q + ip, \bar{z} = q - ip$. Recall that the prequantum line bundle in this case is the trivial line bundle with connection $\nabla_v = v + \iota_v \lambda$, where $\lambda = pdq$. Some computation reveals that the polarized states are of the form

$$\psi(q, p) = F(z)e^{-p^2/2\hbar} = F(z)e^{-\mathrm{Im}(z)^2/\hbar},$$

where F is an arbitrary holomorphic function. Those ψ which are square-integrable form what is known to functional analysts as the Segal-Bargmann Hilbert space.

4. QUANTIZING THE MODULI SPACE

Fix a complex structure on Σ, raise it to one on $\mathscr{A}$. Prequantize the space of connections $\mathscr{A}$.

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