

# NOTES ON “GEOMETRIC QUANTIZATION OF CHERNS-SIMONS GAUGE THEORY”

NILAY KUMAR

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Outline:

- (1) Describe Witten’s claim that RT invariants come from Wilson loop observables
- (2) Briefly mention how GQ applies in this situation, outline GQ (wishlist), review symplectic geometry
- (3) Prequantization and why it is too big (take the example of  $\mathbb{R}^{2n}$ )
- (4) Polarizations in general, focus on Kahler polarization

## 1. INTRODUCTION

Let  $\Sigma$  be a compact oriented 2-manifold and write  $M = \Sigma \times \mathbb{R}$ . We will think of  $\Sigma$  as the spacelike directions of spacetime and  $\mathbb{R}$  the timelike direction. Chern-Simons theory with gauge group  $G$  (that we will take to be compact, connected, and simply-connected) on  $M$  is the data of a principal  $G$ -bundle  $\pi : P \rightarrow \Sigma$  together with a *Lagrangian density*  $\mathcal{L} : \mathcal{A} \rightarrow C^\infty(\Sigma)$  on the space of connections  $\mathcal{A}$  on  $P$  given by

$$\mathcal{L}_{\text{CS}}(A) = \langle A \wedge F \rangle + \frac{2}{3} \langle A \wedge [A \wedge A] \rangle.$$

Let us detail the notation used here. Recall first that a connection  $A \in \mathcal{A}$  is a  $G$ -invariant  $\mathfrak{g}$ -valued one-form, i.e.  $A \in C^\infty(\mathfrak{g} \otimes T^*P)$  such that  $R_g^*A = \text{Ad}_{g^{-1}}A$ , satisfying the additional condition that if  $\xi \in \mathfrak{g}$  then  $A(\xi_P) = \xi$  if  $\xi_P$  is the vector field associated to  $\xi$ . Notice that  $\mathcal{A}$ , though not a vector space, is an affine space modelled on  $C^\infty(P \times_G \mathfrak{g})$ . **understand this!** The curvature  $F$  of a connection  $A$  is the  $\mathfrak{g}$ -valued two-form given by  $F(v, w) = dA(v_h, w_h)$ , where  $\bullet_h$  denotes projection onto the horizontal distribution  $\ker \pi_*$ .<sup>1</sup> Finally, by  $\langle -, - \rangle$  we denote an ad-invariant inner product on  $\mathfrak{g}$ .

The Chern-Simons action is now given

$$S_{\text{SC}}(A) = \int_M \mathcal{L}_{\text{SC}}(A)$$

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<sup>1</sup>Here  $[- \wedge -]$  and  $d$  are given by a combination of the wedge product and commutator.

and the quantities of interest are expectation values of observables  $\mathcal{O} : \mathcal{A} \rightarrow \mathbb{R}$

$$\langle \mathcal{O} \rangle = \int_{\mathcal{A}/\mathcal{G}} \mathcal{O}(A) e^{iS_{\text{SC}}(A)/\hbar}.$$

Here  $\mathcal{G}$  is the group of automorphisms of  $P \rightarrow \Sigma$ , which acts by pullback on  $\mathcal{A}$  – the physical states are unaffected by these gauge transformations, so we integrate over the quotient  $\mathcal{A}/\mathcal{G}$  to eliminate the redundancy. In ?? Witten consider the following observable: let  $C$  be a closed oriented curve in  $M$  and  $V$  be an irreducible representation of  $G$ . Then we define the *Wilson line*

$$W_{C,V}(A) = \text{tr}_V \exp \int_C A.$$

Witten computed the expectation values

$$\langle \prod_i W_{C_i, V_i} \rangle$$

for  $(C_i, V_i)$  pairs of curves and  $G$ -irreps, and recovered in the case of  $M = S^3$  the Jones polynomial and its generalizations. Moreover, taking  $M$  to be an arbitrary 3-manifold and taking no curves, we obtain invariants of 3-manifolds that are effectively computable.

The goal of these notes is to outline a rigorous procedure for obtaining quantum Chern-Simons theory without resorting to the path integral formalism. To do this, we will use *geometric quantization*, a method of quantizing a symplectic manifold to obtain a Hilbert space. We will follow the constructions of ??.

## 2. CLASSICAL VERSUS QUANTUM

Recall that the data of a classical mechanical system can be encoded as symplectic geometry. A symplectic form on a manifold  $M$  is a nondegenerate closed two-form  $\omega \in \Omega^2(M)$ . By nondegenerate we simply mean that  $\omega_p$  is a nondegenerate skew-symmetric bilinear form for each  $p \in M$ , or more globally, that  $\omega$  induces an isomorphism  $TM \rightarrow T^*M$ . A symplectic manifold is then a pair  $(M, \omega)$ , and it is not hard to see that  $\dim M$  must be even. Let us fix some notation: by  $X_f$  we mean the unique vector field corresponding to the one-form  $df$ :

$$\iota_{X_f} \omega = df.$$

Then we have a Poisson bracket  $\{-, -\}$  on  $C^\infty(M)$  given by

$$\{f, g\} = \omega(X_f, X_g),$$

under which  $C^\infty(M)$  forms a Lie algebra. Notice that the bracket is also a biderivation. We say that  $C^\infty(M)$  forms a Poisson algebra (over  $\mathbb{R}$ ).

Consider, for concreteness, a free particle in  $\mathbb{R}^n$ . The associated symplectic manifold  $(\mathbb{R}^{2n}, \sum dq^i \wedge dp^i)$  represents the phase space of the system – all possible states  $(q, p)$  of the particle. The observables in this formulation are simply smooth functions on  $M$ . The energy, for instance, is given  $H(q, p) = |p|^2/2m$ . **time-evolution and the Poisson bracket?**

In quantum mechanics, on the other hand, the phase space is given as a (complex) Hilbert space  $\mathcal{H}$  (or more precisely the projectivized space  $\mathbb{P}\mathcal{H}$ ) and observables

correspond to selfadjoint operators. In particular, one computes the expectation value of a given observable as

$$\langle \mathcal{O} \rangle = \int_{\mathcal{H}} \mathcal{O}(\psi) e^{iS(\psi)/\hbar},$$

where  $S : \mathcal{H} \rightarrow \mathbb{R}$  is the action of the system. **time-evolution?**

Notice that there is a canonical procedure for obtaining a classical system from a quantum one: take  $\hbar \rightarrow 0$ . As  $\hbar$  becomes small the exponential in the integral above oscillates wildly and the integral is dominated by contributions from the classical locus  $\delta S = 0$ .

The problem of quantization, then, is the converse question: does a classical system determine a quantum system? This is an interesting question to ask because often in physics one starts with a classical theory such as electromagnetism (a classical field theory) and wishes to obtain a quantum theory such as quantum electrodynamics (a quantum field theory). Unfortunately, as the saying goes, “quantization is an art, not a functor.” But let us be more precise and describe exactly what we mean by quantization (at least for our purposes).

**Definition 1** (Dirac). Let  $(M, \omega)$  be a symplectic manifold. A *quantization* of  $M$  is a complex Hilbert space  $(\mathcal{H}, \mathcal{O})$  with selfadjoint operators  $\mathcal{O}$ , together with an  $\mathbb{R}$ -linear map  $\hat{\bullet} : C^\infty(M) \rightarrow \mathcal{O}$  such that  $\hat{1}$  is the identity operator on  $\mathcal{H}$  and

$$[\hat{f}, \hat{g}] = -i\hbar \widehat{\{f, g\}}.$$

Unfortunately, it is unclear how to do this in general without making  $\mathcal{H}$  unphysically large.<sup>2</sup>

Before we begin discussing the procedure of geometric quantization, which will approximate the notion of quantization above, let us see how it applies to the case of Chern-Simons theory. Recall that the phase space of Chern-Simons theory is the space  $\mathcal{A}^b/\mathcal{G}$  of flat connections on  $\Sigma$  up to gauge transformation. There is a natural symplectic structure on  $\mathcal{A}^b$  inherited from the symplectic structure of  $\mathcal{A}$  via Marsden-Weinstein reduction. Since  $\mathcal{A}$  is an affine space modelled on the vector space  $\Gamma(\Sigma, P \times_G \mathfrak{g})$ , the tangent space to  $\mathcal{A}$  at any connection is said vector space. **Why?** There is thus a natural symplectic form on  $\mathcal{A}$  given

$$\omega_{\mathcal{A}}(\alpha, \beta) = \int_{\Sigma} \langle \alpha \wedge \beta \rangle.$$

In order to describe how this symplectic form descends to  $\mathcal{A}^b$ , we recall some details of symplectic reduction. Let  $G$  be a Hamiltonian group action on  $(M, \omega)$ . That is, the  $G$  action satisfies:

- (1)  $G$  acts through symplectomorphisms;
- (2) if  $\xi \in \mathfrak{g}$ , the one form associated to the vector field  $\xi_M$  is exact:

$$\iota_{\xi_M} \omega = d\kappa(\xi),$$

for  $\kappa(\xi) \in C^\infty(M)$ ;

- (3) the associated comoment map  $\kappa : \mathfrak{g} \rightarrow C^\infty(M)$  is a Lie algebra homomorphism.

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<sup>2</sup>In fact, there are various no-go theorems in the literature, c.f. Gronewald-van Hove.

Then there exists a  $G$ -equivariant moment map  $\mu : M \rightarrow \mathfrak{g}^*$  determined by

$$\kappa(\xi)(p) = \mu(p)(\xi).$$

The fundamental result of symplectic reduction is that if a Hamiltonian action of  $G$  is free and proper then  $\mu^{-1}(0)/G_0$  is a symplectic manifold with symplectic form  $\omega_0$  uniquely characterized by  $\pi_0^*\omega_0 = \iota_0^*\omega$ .

Let us return to Chern-Simons theory. We claim for now that the action of  $\mathcal{G}$  is Hamiltonian, free, proper, and moreover that the curvature  $F : \mathcal{A} \rightarrow \mathfrak{g}^*$  provides a moment map for this action. **Prove this!** Then the theory of reduction yields a symplectic structure on the moduli of flat connections  $F^{-1}(0)/\mathcal{G} = \mathcal{A}^b/\mathcal{G}$ . Moreover, one can check that this symplectic form is in fact integral. Thus the story of geometric quantization is indeed applicable.

**What are some other ways of obtaining this symplectic structure? C.f. Goldman, Karshon, Weinstein, Guillou, Huebschmann.**

### 3. GEOMETRIC QUANTIZATION

The first step in the geometric quantization of a symplectic manifold  $(M, \omega)$  is *prequantization*, which assigns to  $M$  a line bundle with connection whose curvature is  $\omega$ . The prequantum Hilbert space is then taken to be the square-integrable sections of this line bundle.

There is, of course, an obvious line bundle: the trivial one. Can we get away with this? Consider the space  $L^2(M)$  of square-integrable smooth complex functions on  $M$ . This space has a natural inner product given by

$$\langle \psi, \psi' \rangle = \int_M \bar{\psi} \psi' \varepsilon,$$

where  $\varepsilon = (\omega/2\pi\hbar)^n$  is a volume form. There is an obvious quantization of  $f \in C^\infty(M)$  to

$$\psi \mapsto -i\hbar X_f \psi.$$

Unfortunately this will send constants to the zero operator. There is an immediate correction:

$$\psi \mapsto (-i\hbar X_f + f) \psi.$$

This quantization is no longer a Lie algebra homomorphism, so we add yet another term

$$\psi \mapsto (-i\hbar X_f + f - i\nu_{X_f} \lambda / \hbar) \psi,$$

where  $\lambda$  is a one-form such that  $d\lambda = \omega$ . This prescription works, but now depends on  $\lambda$ , which need not exist in general. The way out is to replace the trivial bundle with a Hermitian bundle together with a connection whose curvature is  $\omega$ .

**Theorem 2.** *There exists a Hermitian line bundle  $L \rightarrow M$  and a connection  $\nabla$  on  $L$  with curvature  $\hbar^{-1}\omega$  if and only if  $(2\pi\hbar)^{-1}\omega \in H^2(M, \mathbb{Z})$ . In this case, the choice of  $(L, \nabla)$  is parameterized by  $H^1(M, U(1))$ .*