CHARACTERISTIC CLASSES II

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1. Stiefel-Whitney classes

Recall from last time the axiomatic definition of the Stiefel-Whitney classes of a vector bundle $\xi: E \to B^{1}$

Theorem 1. Let $\xi: E \to B$ be a real vector bundle. Then there exists a unique sequence of cohomology classes

$$w_i(\xi) \in H^i(B, \mathbb{F}_2)$$

for $i = 0, 1, 2, \ldots$ called the Stiefel-Whitney classes of ξ satisfying the following properties:

- (I) the class $w_0(\xi)$ is equal to the unit element $1 \in H^0(B, \mathbb{F}_2)$ and $w_i(\xi) = 0$ for
- (II) if $f^*\xi$ is the pullback of E along $f: A \to B$ then $w_i(f^*\xi) = f^*w_i(\xi)$;
- (III) if $\eta: E' \to B$ is another real vector bundle then

$$w_k(\xi \oplus \eta) = \sum_{i=0}^k w_i(\xi) \smile w_{k-i}(\eta);$$

(IV) if γ_1^1 is the tautological line bundle over $\mathbb{R}P^1$ then $w_1(\gamma_1^1) \in H^1(\mathbb{R}P^1, \mathbb{F}_2) =$ \mathbb{F}_2 is the unique nonzero element.

The total (inhomogeneous) Stiefel-Whitney class of ξ is the sum

$$w(\xi) = \sum_{i=0}^{\operatorname{rk} \xi} w_i(\xi) = 1 + w_1(\xi) + \dots + w_{\operatorname{rk} \xi}(\xi) \in H^{\bullet}(B, \mathbb{F}_2).$$

Thus given, the Stiefel-Whitney classes allowed us to make some strong statements about parallelizability and cobordisms. The goal of the first half of this talk is to sketch a proof of the above theorem, i.e. show that Stiefel-Whitney classes do indeed exist. We will first prove the existence of certain analogous classes:

Theorem 2. Let $\iota_n: \mathrm{O}(n-1) \to \mathrm{O}(n)$ and $p_{ij}: \mathrm{O}(i) \times \mathrm{O}(j) \to \mathrm{O}(i+j)$ be the obvious inclusions. Denote by the same symbols the induced maps on classifying spaces. Then there are unique classes $w_i \in H^i(\mathcal{B} O(n), \mathbb{F}_2)$ satisfying:

- (I) $w_0 = 1$ and $w_i = 0$ if i > n;
- (II) $\iota_n^* w_i = w_i$ (and hence $\iota_n^* w_n = 0$); (III) $p_{ij}^* w_k = \sum_{a+b=k} w_a \otimes w_b$;
- (IV) $w_1 \in H^1(\mathcal{B}O(1), \mathbb{F}_2) = H^1(\mathbb{R}P^{\infty}, \mathbb{F}_2)$ is the unique nonzero element.

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¹Throughout, all base spaces are assumed to be connected and paracompact.

Here, as usual,

$$\mathscr{B}: GRP \to TOP$$

denotes the classifying space functor. Almost all the work lies in computing the cohomology of \mathcal{B} O(n). In an earlier talk, Guchuan mentioned that – via a number of computations using spectral sequences – one can show the following:

Lemma 3. Let Σ_n be the symmetric group on n letters. Then there is a map $\Psi_n : (\mathbb{R}P^{\infty})^n \to \mathscr{B}O(n)$ inducing an isomorphism

$$\Psi_n^* : \mathrm{H}^{\bullet}(\mathscr{B} \mathrm{O}(n), \mathbb{F}_2) \cong \mathrm{H}^{\bullet}((\mathbb{R}P^{\infty})^n, \mathbb{F}_2)^{\Sigma_n} \cong \mathbb{F}_2[\sigma_1, \dots, \sigma_n],$$

where Σ_n acts on $(\mathbb{R}P^{\infty})^n$ by permutation and hence σ_i are the symmetric polynomials in n variables with deg $\sigma_i = i$.

Proof. This is rather technical and requires more wizardry with spectral sequences than I am familiar with. Reference unfinished May. \Box

With this in hand, the proof of Theorem 2 is now a straightforward diagram chase with symmetric polynomials.

Proof of Theorem 2. We begin by proving existence of the classes w_i . Define the Stiefel-Whitney classes as

$$w_i \equiv (\Psi_n^*)^{-1} \sigma_i$$

where Ψ_n^* is the isomorphism from Lemma 3 above, and where

$$\sigma_1 = x_1 + \dots + x_n$$

$$\sigma_2 = x_1 x_2 + x_1 x_3 + \dots + x_{n-1} x_n$$

$$\vdots$$

$$\sigma_n = x_1 \cdots x_n,$$

are the symmetric polynomials on the generators of $H^{\bullet}((\mathbb{R}P^{\infty})^n, \mathbb{F}_2) \cong \bigotimes_i^n \mathbb{F}_2[x_i]$. Set $\sigma_0 = 1$ and $\sigma_i = 0$ for i > n. Under these definitions, axiom I is immediate.

Next, denoting $h_n: \mathcal{B} O(1)^{n-1} \to \mathcal{B} O(1)^n$ the map induced by the inclusion, we have a commutative diagram of inclusions

$$\mathcal{B} \operatorname{O}(1)^{n-1} \xrightarrow{\Psi_{n-1}} \mathcal{B} \operatorname{O}(n-1)$$

$$\downarrow^{h_n} \qquad \qquad \downarrow^{\iota_n}$$

$$\mathcal{B} \operatorname{O}(1)^n \xrightarrow{\Psi_n} \mathcal{B} \operatorname{O}(n)$$

and taking cohomology,

$$\mathbf{H}^{\bullet}(\mathscr{B}\,\mathbf{O}(n)) \xrightarrow{\quad \Psi_{n}^{*} \quad} \mathbf{H}^{\bullet}(\mathscr{B}\,\mathbf{O}(1)^{n})$$

$$\downarrow^{\iota_{n}^{*}} \quad \qquad \downarrow^{h_{n}^{*}}$$

$$\mathbf{H}^{\bullet}(\mathscr{B}\,\mathbf{O}(n-1)) \xrightarrow{\quad \Psi_{n-1}^{*} \quad} \mathbf{H}^{\bullet}(\mathscr{B}\,\mathbf{O}(1)^{n-1})$$

Clearly $h_n^* x_i = x_i$ for i < n and $h_n^* x_n = 0$. Therefore $h_n^* \sigma_i = \sigma_i$, which implies – by the diagram above – that $\iota_n^* w_i = w_i$. So much for axiom II.

Consider now the commutative diagram of inclusions

$$\mathcal{B} \operatorname{O}(1)^{i} \times \mathcal{B} \operatorname{O}(1)^{j} \xrightarrow{\Psi_{i} \times \Psi_{j}} \mathcal{B} \operatorname{O}(i) \times \mathcal{B} \operatorname{O}(j)$$

$$\downarrow \qquad \qquad \downarrow^{p_{ij}}$$

$$\mathcal{B} \operatorname{O}(1)^{i+j} \xrightarrow{\Psi_{i+j}} \mathcal{B} \operatorname{O}(i+j)$$

which after taking cohomology and applying Künneth becomes

$$\begin{split} \mathrm{H}^{\bullet}(\mathscr{B}\,\mathrm{O}(i+j)) & \xrightarrow{\Psi^{*}_{i+j}} & \mathrm{H}^{\bullet}(\mathscr{B}\,\mathrm{O}(1)^{i+j}) \\ & \downarrow^{p^{*}_{ij}} & \downarrow \\ \mathrm{H}^{\bullet}(\mathscr{B}\,\mathrm{O}(i)) \otimes \mathrm{H}^{\bullet}(\mathscr{B}\,\mathrm{O}(j)) & \xrightarrow{\Psi^{*}_{i} \otimes \Psi^{*}_{j}} & \mathrm{H}^{\bullet}(\mathscr{B}\,\mathrm{O}(1)^{i}) \otimes \mathrm{H}^{\bullet}(\mathscr{B}\,\mathrm{O}(1)^{j}) \end{split}$$

In this diagram, all the arrows are injective except for p_{ij}^* and hence p_{ij}^* is injective. Moreover,

$$(\Psi_i^* \otimes \Psi_i^*) p_{ij}^* w_k = \Psi_{i+j}^* w_k = \sigma_k(x_1, \dots, x_{i+j}).$$

Some algebra with symmetric polynomials reveals that

$$\sigma_k(x_1, \dots, x_{i+j}) = \sum_{a+b=k} \sigma_a(x_1, \dots, x_i) \sigma_b(x_{i+1}, \dots, x_{i+j}),$$

whence

$$(\Psi_i \otimes \Psi_j^*) p_{ij}^* w_k = \sum_{a+b-k} \Psi_i^* w_a \otimes \Psi_j^* w_b = (\Psi_i^* \otimes \Psi_j^*) \sum_{a+b-k} w_a \otimes w_b,$$

proving axiom III by injectivity of $\Psi_i \otimes \Psi_j$.

Axiom IV is clear: $w_1 = (\Psi_1^*)^{-1}\sigma_1(x_1) = x_1$, the nonzero element in $H^1(\mathbb{R}P^\infty, \mathbb{F}_2)$. Finally, we prove uniqueness by induction on n. The base case n=1 is trivial. Assume uniqueness of the w_i in $H^{\bullet}(\mathscr{B}\operatorname{O}(m), \mathbb{F}_2)$ for m < n. Then for i < n the $w_i \in H^{\bullet}(\mathscr{B}\operatorname{O}(n), \mathbb{F}_2)$ are uniquely determined by axiom II and the fact that ι_n is an isomorphism in degrees smaller than n. For i = n we note that $p_{1,n-1}^*w_n \in H^{\bullet}(\mathscr{B}\operatorname{O}(1), \mathbb{F}_2) \otimes H^{\bullet}(\mathscr{B}\operatorname{O}(n-1), \mathbb{F}_2)$ and hence w_n is determined by the induction hypothesis since $p_{1,n-1}^*$ is injective. This completes the proof.

To relate these classes sitting in the cohomology of \mathcal{B} O(n) to the previous axiomatic definition of classes sitting in the cohomology of the base B, we need a classification theorem for vector bundles on B. Before we start, we note that

$$\operatorname{Vect}^n_{\mathbb{R}}:\operatorname{Top^{op}} \to \operatorname{Set}$$

will denote the contravariant functor taking B to the set (isomorphism classes) of real vector bundles over B and taking $f: B \to B'$ to the pullback $f^*: \operatorname{Vect}_{\mathbb{R}}^n B' \to \operatorname{Vect}_{\mathbb{R}}^n B$. Recall that two vector bundles over B are isomorphic if there is a map lifting id_B that is a fiberwise linear isomorphism.

Theorem 4. The space $\mathscr{B}O(n) \cong \operatorname{Gr}_n \mathbb{R}^{\infty}$ classifies rank n real vector bundles, i.e. the natural transformation

$$\Phi: [-, \mathscr{B} O(n)] \longrightarrow \operatorname{Vect}_{\mathbb{R}}^n -,$$

given by pullback $[f] \mapsto f^* \gamma_{\infty}^n$ of the tautological bundle, is a natural isomorphism.

We first check that Φ is well-defined:

Lemma 5. The pullbacks of a vector bundle along homotopic maps are isomorphic, i.e. the functor $\text{Vect}_{\mathbb{R}}$ factors through the homotopy category.

Proof sketch. Let $\xi: E \to B$ be a rank n vector bundle and let $f, g: A \to B$ be two maps homotopic via $h: A \times I \to B$. Note first that $h^*E|_{A \times \{0\}} = f^*E$ and $h^*E|_{A \times \{1\}} = g^*E$. Thus it suffices to prove that for a vector bundle $\eta: F \to A \times I$, there is an isomorphism $F|_{A \times \{0\}} \cong F|_{A \times \{1\}}$. The idea, roughly, is to find countably many local trivializations over $U_i \subset B$ for E and to then locally push $F|_{A \times \{0\}}$ to the right along $U_i \times I$. For details, see Hatcher, VBKT.

Proof of Theorem 4. Naturality of Φ follows immediately from the fact that if α : $A \to B$ and $f \in [B, \mathcal{B} O(n)]$ then $(f \circ \alpha)^* \gamma_n^{\infty} = \alpha^* f^* \gamma_n^{\infty}$. We now prove that $\Phi_B : [B, \mathcal{O}(n)] \to \operatorname{Vect}_{\mathbb{R}} B$ is a bijection.

The key observation is as follows. Let $\xi: E \to B$ be a rank n vector bundle. Then an isomorphism $E \cong f^*\gamma_n^{\infty}$ (for some map $f: B \to \operatorname{Gr}_n \mathbb{R}^{\infty}$) is equivalent to a map $g: E \to \mathbb{R}^{\infty}$ that is a linear injection on each fiber. To see this, suppose first that we have such an isomorphism. Then we have a commutative diagram

$$E \cong f^* \gamma_n^{\infty} \xrightarrow{\tilde{f}} \gamma_n^{\infty} \xrightarrow{\pi} \mathbb{R}^{\infty}$$

$$\downarrow^{\xi} \qquad \qquad \downarrow^{g}$$

$$B \xrightarrow{f} \operatorname{Gr}_n \mathbb{R}^{\infty}$$

where π is the obvious projection. Now $\pi \circ \tilde{f}: E \to \mathbb{R}^{\infty}$ is a fiberwise linear injection as both f and π are. Conversely, given $g: E \to \mathbb{R}^{\infty}$ a fiberwise linear injection, we can define a map $f: B \to \operatorname{Gr}_n \mathbb{R}^{\infty}$ given by $x \mapsto [g(\xi^{-1}(x))]$. Then $E \cong f^*\gamma_n^{\infty}$ because we have fiberwise linear isomorphisms

$$f^*\gamma_n^{\infty}|_b \cong \gamma_n^{\infty}|_{f(b)} \cong E|_b.$$

Now, for surjectivity of Φ_B , given $\xi: E \to B$ it suffices by the previous paragraph to construct a map $E \to \mathbb{R}^{\infty}$ a linear injection on each fiber. To do this, we fix countably many local trivializations over $U_i \subset B$ of E together with partitions of unity ϕ_i subordinate to the U_i . Then for each i we obtain a map $g_i: E \to \mathbb{R}^n$ that is zero outside $\xi^{-1}U_i$ and the composition $E \to U_i \times \mathbb{R}^n \to \mathbb{R}^n$ otherwise. Summing $g = \sum g_i$, we obtain a map $g: E \to (\mathbb{R}^n)^{\infty} \cong \mathbb{R}^{\infty}$ that is obviously a linear injection on fibers.

For injectivity, suppose we have isomorphisms $E \cong f_0^* \gamma_n^{\infty}$ and $E \cong f_1^* \gamma_n^{\infty}$ for two maps $f_0, f_1 : B \to \operatorname{Gr}_n \mathbb{R}^{\infty}$. By arguments above, we obtain maps $g_0, g_1 : E \to \mathbb{R}^{\infty}$ that are fiberwise linear injections. We claim that g_0 and g_1 are homotopic through maps g_t that are fiberwise linear injections; this implies that f_0 and f_1 are homotopic via $f_t(x) = g_t(\xi^{-1}x)$. To do this, we first homotope g_0 so that it takes values only in odd coordinates via

$$(x_1, x_2, \ldots) \mapsto (1 - t)(x_1, x_2, \ldots) + t(x_1, 0, x_2, 0, \ldots)$$

and homotope g_1 so that it takes values only in even coordinates similarly. Now $g_t = (1-t)g_0 + tg_1$ provides the necessary homotopy and it is clearly linear and injective on fibers.

We can finally prove the existence of Stiefel-Whitney classes for vector bundles.

Proof of Theorem 9. Let $\xi: E \to B$ be a real vector bundle of rank n. By Theorem 4 there exists a unique map $\Phi_B: B \to \mathscr{B}O(n)$ such that $E \cong f^*\gamma_n^{\infty}$. Define

$$w_i(\xi) \equiv \Phi_B^* w_i$$
.

Axiom I now follows immediately from Theorem 2. Now suppose we have a pullback diagram

$$f^*E \longrightarrow E$$

$$\downarrow \qquad \qquad \downarrow \xi$$

$$A \xrightarrow{f} B$$

Then

$$w_i(f^*\xi) = \Phi_A^* w_i = f^* \Phi_B^* w_i = f^* w_i(\xi),$$

which proves axiom II.

Invoking Künneth and axiom III of Theorem 2, the diagram

$$B \xrightarrow{\xi \times \eta} \mathcal{B} O(i) \times \mathcal{B} O(j) \xrightarrow{p_{ij}} \mathcal{B} O(i+j)$$

$$\downarrow^{\Delta} \qquad \qquad f_{\xi \times f_{\eta}}$$

$$B \times B,$$

proves axiom III.

The tautological line bundle on $\mathbb{R}P^1$ is given by the pullback of γ_1^{∞} along the inclusion $j: \mathbb{R}P^1 \hookrightarrow \mathbb{R}P^{\infty}$ so $w_1(\gamma_1^1) = j^*w_1$ is the unique nonzero element in $\mathrm{H}^1(\mathbb{R}P^1,\mathbb{Z}/2)$ by axiom IV of Theorem 2 and because j^* is an isomorphism in degrees ≤ 1 .

The proof of uniqueness is essentially identical to the proof in Theorem 2.

Recall that a vector bundle is called *orientable* if there is an assignment of orientation to each fiber as well as orientation-preserving local trivializations.

Proposition 6. Let B be a connected CW complex and let $\xi : E \to B$ be a real vector bundle. Then E is orientable if and only if $w_1(\xi) = 0$.

Proof. Let $f: B \to \mathcal{B} O(n)$ be the map such that $\xi = f^* \gamma_n^{\infty}$. Then, by the universal coefficient theorem and the fact that $H_1(B)$ is the abelianization of $\pi_1(B)$, we have a commutative diagram

where the horizontal arrows are isomorphisms. Note, now that $\pi_1(\mathcal{B} O(n)) \cong \mathbb{Z}_2$, and so $w_1 \in H^1(\mathcal{B} O(n), \mathbb{Z}_2)$ corresponds to $\mathrm{id}_{\mathbb{Z}_2} \in \mathrm{Hom}(\pi(\mathcal{B} O(n)), \mathbb{Z}_2)$. Hence $w_1(\xi) = f^*w_1$ corresponds to a map $\pi_1(B) \to \mathbb{Z}_2$ that is trivial if and only if $f_* = 0$. This is precisely the condition for f to lift to the universal cover $\mathcal{B} SO(n) = \mathcal{B} O(n)$. We conclude that, since $\mathcal{B} SO(n)$ is the classifying space for orientable rank n bundles, $w_1(\xi) = 0$ if and only if E is orientable.

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2. Chern classes

Chern classes are the complex analog of Stiefel-Whitney classes, but with integral coefficients.

Theorem 7. Let $\iota_n : \mathrm{U}(n-1) \to \mathrm{U}(n)$ and $p_{ij} : \mathrm{U}(i) \times \mathrm{U}(j) \to \mathrm{U}(i+j)$ be the obvious inclusions. Denote by the same symbols the induced maps on classifying spaces. Then there are unique Chern classes $c_i \in \mathrm{H}^{2i}(\mathscr{B}\mathrm{U}(n),\mathbb{Z})$ satisfying:

- (I) $c_0 = 1$ and $c_i = 0$ if i > n;
- (II) $\iota_n^* c_i = c_i$ (and hence $\iota_n^* c_n = 0$);
- (III) $p_{ij}^* c_k = \sum_{a+b=k} c_a \otimes c_b;$
- (IV) $c_1 \in H^2(\mathcal{B}U(1), \mathbb{Z}) = H^2(\mathbb{C}P^{\infty}, \mathbb{Z})$ is the canonical generator.

Proof. The proof is exactly the same as in the case of Stiefel-Whitney classes. We note, in particular, that $H^{\bullet}(\mathcal{B}U(n), \mathbb{Z}) = \mathbb{Z}[c_1, \dots, c_n]$.

Chern classes can be related to complex vector bundles via the following analog of Theorem 4. Notice that here $\operatorname{Gr}_n V$ denotes the space of complex n-planes in V.

Theorem 8. The space $\mathscr{B}U(n) \cong \operatorname{Gr}_n \mathbb{C}^{\infty}$ classifies complex vector bundles, i.e. the natural transformation

$$\Phi: [-, \mathscr{B} \operatorname{U}(n)] \longrightarrow \operatorname{Vect}^n_{\mathbb{C}} -,$$

given by pullback $[f] \mapsto f^* \gamma_{\infty}^n$ of the tautological bundle, is a natural isomorphism.

We can now define the Chern class of a complex vector bundle.

Theorem 9. Let $\xi: E \to B$ be a complex vector bundle. Then there exists a unique sequence of cohomology classes

$$c_i(\xi) \in H^{2i}(B, \mathbb{Z})$$

for $i = 0, 1, 2, \ldots$ called the Chern classes of ξ satisfying the following properties:

- (I) the class $c_0(\xi)$ is equal to the generator $1 \in H^0(B,\mathbb{Z})$ and $c_i(\xi) = 0$ for $i > \operatorname{rk} \xi$;
- (II) if $f^*\xi$ is the pullback of E along $f: A \to B$ then $c_i(f^*\xi) = f^*c_i(\xi)$;
- (III) if $\eta: E' \to B$ is another complex vector bundle then

$$c_k(\xi \oplus \eta) = \sum_{i=0}^k c_i(\xi) \smile c_{k-i}(\eta);$$

(IV) if γ_1^1 is the tautological complex line bundle over $\mathbb{C}P^1$ then $c_1(\gamma_1^1) \in H^2(\mathbb{C}P^1, \mathbb{Z}) = \mathbb{Z}$ is the canonical generator.

The total (inhomogeneous) Chern class of ξ is the sum

$$c(\xi) = \sum_{i=0}^{\mathrm{rk}\,\xi} c_i(\xi) = 1 + c_1(\xi) + \dots + c_{\mathrm{rk}\,\xi}(\xi) \in \mathrm{H}^{\bullet}(B,\mathbb{Z}).$$

Last time, Yajit computed the total Stiefel-Whitney class of the tangent bundle $T\mathbb{R}P^n$ to be $w(\tau) = (1+a)^{n+1}$, where a is the generator of $H^{\bullet}(\mathbb{R}P^n, \mathbb{Z}_2)$. This computation extends almost identically to the complex case, but we first make a remark about conjugate bundles.

Remark 10. If ξ is a complex vector bundle then there is a conjugate bundle $\bar{\xi}$, which is the the underlying real vector bundle of ξ equipped with the opposite complex structure. Swapping the complex structure is a nontrivial operation! In particular, if τ is the tangent bundle of $\mathbb{C}P^1$ then an isomorphism $\tau \to \bar{\tau}$ of complex vector bundles would consist of a reflection across a line at each tangent space. This implies the existence of a continuous nonvanishing vector field on S^2 , so we conclude that $\tau \ncong \bar{\tau}$.

We note, in particular, that

$$c_k(\bar{\xi}) = (-1)^k c_k(\xi)$$

and that in the presence of a Hermitian metric, $\bar{\xi}$ is canonically isomorphic to the dual bundle $\operatorname{Hom}(\xi,\underline{\mathbb{C}})$ (under the assignment $v\mapsto \langle -,v\rangle$).

Example 11 (The total Chern class of $T\mathbb{C}P^n$). Recall that the tangent bundle $\tau: T\mathbb{C}P^n \to \mathbb{C}P^n$ is identified with the bundle $\mathrm{Hom}(\gamma_n^1, (\gamma_n^1)^\perp)$, and so

$$\begin{split} \tau \oplus \underline{\mathbb{C}} &\cong \tau \oplus \operatorname{Hom}(\gamma_n^1, \gamma_n^1) \\ &\cong \operatorname{Hom}(\gamma_n^1, (\gamma_n^1)^\perp \oplus \gamma_n^1) \\ &\cong \operatorname{Hom}(\gamma_n^1, \underline{\mathbb{C}}^{n+1}) \\ &\cong \oplus^{n+1} \overline{\gamma_n^1} \end{split}$$

We conclude that

$$c(\tau) = c(\tau \oplus \underline{\mathbb{C}}) = c(\overline{\gamma_n^1})^{n+1} = (1 - c_1(\gamma_n^1))^{n+1} = (1+a)^{n+1},$$

where we have taken $a = -c_1(\gamma_n^1)$.

Taking the underlying bundle of any complex rank n vector bundle yields a real rank 2n vector bundle. Hence it is natural to ask how Stiefel-Whitney classes are related to Chern classes.

Proposition 12. Let $\mu_n : \mathcal{B} U(n) \to \mathcal{B} O(2n)$ be the map induced by the natural inclusion. Then $\mu_n^* w_{2i+1} = 0$ and $\mu_n^* w_{2i} = c_i$.

Proof. Left as an exercise to the reader.