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# Multimodal Image Paragraph Generation: Utilising Linguistic Information in Generating Diverse Image Descriptions

## Anonymous ACL submission

#### Abstract

abstract

#### 1 Introduction

The quality of automatically generated image captions (Bernardi et al., 2016) has been constantly improving as evaluated by a variety of metrics. These improvements include use of neural networks (Kiros et al., 2014; Vinyals et al., 2014), attention mechanisms (Xu et al., 2015) and more fine-grained image features (Anderson et al., 2017). More recently, a novel open-ended task of image paragraph generation has been proposed by Krause et al. (2017). This task requires generation of multisentence image descriptions, which are highly informative, thus, include descriptions of a large variety of image objects, attributes, etc., which makes them different from standard single sentence captions. In particular, a good paragraph generation model has to produce descriptive, detailed and coherent text passages, depicting salient parts in an

In this paper we focus on learning to automatically generate more diverse image paragraphs. In language and vision literature, "diversity" of image descriptions has been mostly defined in terms of lexical diversity, word choice and n-gram based metrics (Devlin et al., 2015; Vijayakumar et al., 2016; Lindh et al., 2018; van Miltenburg et al., 2018). These criteria are focused on generating diverse set of independent, one-sentence captions, with each describing image as a whole. Each of these captions are very likely to mention identical objects due to the nature of the task ("describe image with a single sentence"), and diversity is measured in terms of how different object descriptions are from one caption to another (e.g. a man can be described as a 'person' or 'human' in two different captions). However, a good image paragraph

model must also introduce diversity on the sentence level, since describing *different scene objects* throughout the paragraph is what makes it more informative than single sentence captions. Here, we define *paragraph diversity* through two important components: a generative model must (a) produce the set of sentences with reasonable mentions of a variety of image objects (sentence-level diversity), (ii) demonstrate the ability to use many different words to describe objects without unnecessary repetitions (word-level diversity).

To improve on the first point, for each generated sentence we learn to attend to salient objects in the scene., Our primary research question is as follows: does supplying image paragraph models with both visual and background (linguistic) information improve diversity of generated paragraphs? We expect these types of input to be complementary in generating paragraphs which are varied. We experiment with several types of inputs to the paragraph generator: visual, language or both. We also investigate the effects of using either attention or max-pooling on image regions as a way of representing image as a whole. We demonstrate that multimodal input paired with attention on these modalities benefits model's ability to generate more diverse paragraphs. We evaluate diversity of our paragraphs with both automatic metrics and human judgements.

Additionally, we note that paragraphs must be *accurate* in describing an image. For completeness, we also report results of automatic evaluation, showing that automatic metrics, which are targeted towards measuring *accuracy* of paragraphs rather than *diversity*, do not necessarily pick the most diverse paragraph as the most accurate.

#### 2 Related Work

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**Discourse Structure** Producing structured and ordered sets of sentences (e.g. coherent paragraphs) has been a topic of research in NLG community for a long time with both formal theories of coherence (Grosz et al., 1995; Barzilay and Lapata, 2008) and traditional rule-based model implementations (Reiter and Dale, 2000). To achieve sufficient level of text coherence, a couple of important NLGrelated tasks should be in focus of the research: content determination (selection), the task of deciding which parts of the source information should be included in the output description, and text structuring, the task of ordering selected information (Gatt and Krahmer, 2017). We believe that the nature of these tasks is nicely reflected in the hierarchical nature of our models: first, the model attends to the image objects and defines both their salience and order of mention, and only then it starts to linguistically realise them. More recently, Ilinykh et al. (2019) collected the dataset of image description sequences (mini-discourses). These mini-discourses are especially useful for learning models which are able to plan and realise such ordered and structured data.

Neural image paragraph captioning The task of generating image paragraphs has been introduced in Krause et al. (2017) along with the dataset of image-paragraph pairs. The authors construct their model in a hierarchical manner: sentence RNN is conditioned on visual features to output sentence topics. Then, each of these topics is used by another RNN to generate actual sentences. Our models are based on this hierarchical model. However, we substantially change its structure by removing end of paragraph prediction.

Liang et al. (2017) also use hierarchical network, but in addition with adversarial discriminator, that forces model to generate realistic paragraphs with smooth transitions between sentences. Chatterjee and Schwing (2018) also address cross-sentence topic consistency by modelling global coherence vector, conditioned on all sentence topics. Different from these approaches, Melas-Kyriazi et al. (2019) employ self-critical training technique (Rennie et al., 2016) to directly optimise a target evaluation metric for image paragraph generation. Lastly, Wang et al. (2019) use convolutional auto-encoder for topic modelling based on region-level image features. They demonstrate that extracted topics

are more representative and contain information relevant for sentence generation. In this paper we similarly model better topic representations. However, we use additional semantic representations of image objects as part of the input to our topic generator. A non-neural approach to generate texts describing images has been proposed by Lin et al. (2015). However, this approach is infeasible due to its dependence on multiple components: visual scene parsing, generative grammar for learning from training descriptions, and an algorithm, which analyses scene graphs and extracts semantic trees to learn about dependencies across sentences.

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## Language representation for image captioning

Only a limited number of models for image captioning has been supplied with both visual and background information for caption generation. You et al. (2016) detect visual concepts found in the scene (objects, attributes, etc.) and extract topdown visual features. Both of these modalities are then fed to the RNN-based caption generator. Attention is applied on detected concepts to inform generator about how relevant a particular concept is at each timestamp. Different to their model, we do not use any attribute detectors to identify objects in the scene, instead relying on the output of the model pre-trained for the task of dense captioning. Lu et al. (2016) emphasise that image is not always useful in generating some function words ('of', 'the', etc.). They introduce adaptive attention, which determines when to look at the image and when it is more important to use the language model to generate the next word. In their work, the attention vector is the mixture of visual features and visual sentinel, a vector obtained through the additional gate function on decoder memory state. Our model is focused on a similar task: we are interested in deciding which type information is more important at a particular timestamp, but we also look at how merging two modalities into a single representation performs and how it affects attention of the model. Closest to our work is the work by Liang et al. (2017), who apply attention on region description representation and use it to assist recurrent word generation in producing sentences in a paragraph. Similar to our approach, they also supply their model with embeddings of local phrases used to describe image objects. However, they use textual phrases directly, while we are using hidden representations from the model trained to generate such phrases (Johnson et al., 2016).

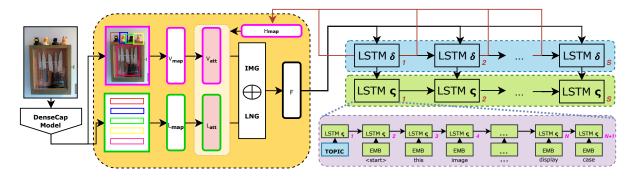


Figure 1: Multimodal paragraph generator architecture. Orange block on the left side is the learned space where two modalities are attended (vision framed with purple, language framed with green). The attended features are concatenated and passed to the linear layer for fusion. The output of the fusion layer F is used by sentence-level LSTM (coloured in blue, indicated with  $\varsigma$ ) to produce sentence topics. Also, last hidden state of sentence LSTM is used by attention module at each timestamp. Word-level LSTM (coloured in green, indicated with  $\omega$ ) is given the sentence topic and word embeddings. Due to limited space we omit linear layer and softmax layer which are used to predict the next word.

In addition, here we explore a different route of *where* to use language information: we use phrase representations to define sentence topics to choose from (topic selection) rather than directly guide the generation of words (micro-planning).

#### **3 Approach**

**Overview** For our experiments we implement and adapt the hierarchical image paragraph model by (Krause et al., 2017). To prepare input features, we utilise pre-trained model for dense captioning (Johnson et al., 2016) in two ways. First, we use it to extract convolutional features of identified image regions. We also use its hidden states from the RNN layer as language features. In the original model these states are used to generate region descriptions, therefore, these vectors represent semantic information about objects. We construct a multimodal space, in which we learn mappings from both text and vision features and attend to produced vectors. Lastly, two attended modalities are fused to form a multimodal vector, which is used as an input to the paragraph generator. Our paragraph generator consists of two components: discourse-level and sentence-level LSTMs (Hochreiter and Schmidhuber, 1997). First, the discourselevel LSTM learns each sentence topic from the multimodal representation, capturing information flow between sentences. Second, each of the topics is used by sentence-level LSTM to generate an actual sentence. Finally, all generated sentences per image are concatenated to form a final paragraph. An overview of our model and more detailed description are shown in Fig. 1. Note that different from Krause et al. (2017), we do not learn to predict end of the paragraph. Instead, we generate the same number of sentences for each image, as we find in its ground-truth paragraph. We leave the task of predicting the number of sentences to generate in a paragraph for future work.

#### 3.1 Input Features

**Visual Features** We use DenseCap region detector (Johnson et al., 2016)<sup>2</sup> to identify salient image regions and extract their convolutional features. We provide only a brief overview of this procedure: first, a resized image is passed through the VGG-16 network (Simonyan and Zisserman, 2014) to output a feature map of the image. A region proposal network is conditioned on the feature map to identify the set of salient image regions, which are then mapped back onto the feature map to produce corresponding map regions. Each of these map regions is then fed to the two-layer perceptron, which outputs a set of the final region features  $\{v_1,...,v_M\}$ , where  $v_m \in \mathbb{R}^{1 \times D}$  with M = 50and D=4096. This matrix  $V \in \mathbb{R}^{M \times D}$  provides us with fine-grained image representation on the object level. We use this representation as features of visual modality.

**Language Features** In the dense captioning task, a single layer LSTM is conditioned on region features to produce descriptions of these regions in

<sup>&</sup>lt;sup>1</sup>The original code of the model has not been publicly released by the authors.

<sup>&</sup>lt;sup>2</sup>Available at: https://github.com/jcjohnson/densecap

natural language. We propose to utilise its outputs as language features, using them as additional semantic information about detected objects. Specifically, we condition pre-trained LSTM on region features to output a set  $Y = \{y_m, ..., y_M\}$  with  $y_m \in \mathbb{R}^{1 \times T \times H}$ , where T = 15 and H = 512. We normalise each vector over the second dimension T, which determines the maximum number of words in each description. We achieve this by summing all elements across this dimension and dividing the result by the actual length of the corresponding region description, which we generate from Y. The final matrix  $L \in \mathbb{R}^{M \times H}$ , contains language representations of M detected regions.

Multimodal Features To fuse different modalities (textual and visual), we use methods from multimodal machine translation, which is similar to the task of image captioning in its nature. In particular, we build on work by Caglayan et al. (2016, 2019), who demonstrate that using modalitydependent linear layers in multimodal attention mechanism helps achieve better results as evaluated by automatic metrics for the task of multimodal machine translation. First, we learn two different mappings, using  $V_{map}$  for vision and  $L_{map}$ for language. These linear projections learn to embed modality-specific information into the attention space. Then, two attention mechanisms are trained on each modality to learn important features from each modality. Lastly, weighted attended features are concatenated and passed to another linear layer, which learns to integrate and fuse information into the multimodal vector.

Specifically, as formulas in Eq. 1 and Eq. 2 demonstrate, we first attend to mapped modality features at each timestamp t, where  $t \in \{1, ..., S\}$  and S is the maximum number of sentences to generate. We set S=6. Last hidden state from discourse LSTM is used at each timestamp to additionally inform network about previous discourse context. Concatenation, logistic sigmoid function and element-wise multiplication are indicated with  $\oplus$ ,  $\sigma$  and  $\odot$  respectively. We also use  $\delta$  to refer to the discourse LSTM and  $\varsigma$  for denoting sentence LSTM.

$$\alpha_t^L = softmax(W_a^L tanh(W_h h_{t-1}^{\delta} + W_m^L L_t))$$
 (1)

$$\alpha_t^V = softmax(W_a^V \tanh(W_h h_{t-1}^{\delta} + W_m^V V_t))$$
 (2)

Finally, as Eq. 3 indicates, we obtain a single multimodal vector  $f \in \mathbb{R}^{1 \times H}$ , which encapsulates and merges salient information from attended visual and textual modalities.

$$f_t = \tanh(W_f[\alpha_t^L \oplus \alpha_t^V]) \tag{3}$$

#### 3.2 Discourse LSTM

Our discourse-level LSTM is responsible for modelling topics of each of the individual sentences in the paragraph. At each timestamp, it is conditioned on the multimodal feature vector  $f_t$ , and its output is a set of hidden states  $\{h_1, ..., h_S\}$ , where each state is used as an input to the sentence-level LSTM. In its nature, sentence LSTM has to simultaneously complete at least two tasks: produce a topic with relevant information for each sentence, while preserving some type of *ordering* between topics. Such topic ordering is essential for keeping a smooth transition between sentences (discourse items) in the paragraph (mini-discourse). We expect attention on two modalities to assist discourse LSTM in its multiple objectives, since attention alleviates the task of weighing specific parts of the input as more important for a particular sentence, thus allowing discourse LSTM to learn more precise sentence representations and sentence order.

Similar to Xu et al. (2015), we also learn a gating scalar  $\beta$  and apply it to  $f_t$ . Thus, the input to discourse LSTM is computed as follows:

$$f_t^{\delta} = \sigma(W_b h_{t-1}^{\delta}) \odot f_t, \tag{4}$$

where  $W_b$  is a learnable model parameter. We do not implement doubly stochastic regularisation, since this would force the model to pay equal attention to modality features, eliminating the purpose of learning to attend to the most salient parts of its input.

#### 3.3 Sentence LSTM

Our sentence-level LSTM is a single-layer LSTM tasked to generate all sentences in the paragraph. We run sentence LSTM S times, and use concatenation of the corresponding hidden state of discourse LSTM with the learned embeddings of the words in the target sentence  $y_s$  as its input:

$$x_s^{\varsigma} = [h_s^{\delta} \oplus Ey_s] \tag{5}$$

Our word embedding matrix  $E \in \mathbb{R}^{K \times H}$  is learned from scratch, K is the vocabulary size. This is different from Krause et al. (2017), who

use word embeddings and LSTM weights from the pre-trained DenseCap model. We have also experimented with transferring DenseCap weights and embeddings into our model, but observed no significant improvement.

At each timestamp t, our sentence LSTM is unrolled N+1 times (we set N=50, which is the number of words to generate), and at each step its hidden state is used to predict a probability distribution over the words in the vocabulary. The final set of sentences is concatenated together to form a paragraph. During decoding, we use beam search (Freitag and Al-Onaizan, 2017) to generate each sentence word by word. We have tested several beam width (2, 4, 6, 8, 10) and found beam of size B=2 to produce the most

We empirically found this beam width to produce better automatic evaluation scores. The final set of sentences is concatenated together to form a paragraph.

#### 3.4 Learning Objective

We train our model end-to-end with imageparagraph pairs (x, y) from the training data. Our training loss is a simple cross-entropy loss on the sentence level:

$$loss^{\varsigma}(x,y) = -\sum_{i=1}^{S} \sum_{j=1}^{M_i} log(p_{j,s})$$
 (6)

where  $p_{j,s}$  is the softmax probability of the  $j^{th}$  word in the  $i^{th}$  sentence given all previously generated words for the current sentence  $y_{1:j-1,i}$ . For our first sentence, hidden states for both LSTMs are initialised with zeros. For every next sentence, both LSTMs use last hidden states generated for the previous sentence from the corresponding layers. During training, we use teacher forcing and feed ground-truth words as target words at each timestamp. We use Adam (Kingma and Ba, 2014) as our optimiser and choose the best model based on the validation loss (early stopping).

### 4 Experiments

Here we describe six configurations of our model, which we train, validate and test on the released paragraph dataset splits (14,575, 2,487, 2,489 for training, validation and testing respectively). **IMG** model is conditioned only on visual features, while **LNG** model uses semantic information to generate paragraphs. These models do not learn to attend

to its input features. Instead, we max-pool input features across M regions, represented by mapping from either language features  $x = W_m^L L_t$  or visual features  $x = W_m^V V_t$ :

$$x_s^{\varsigma} = \max_{i=1}^{M} (x) \tag{7}$$

Similarly, in **IMG+LNG** model we apply maxpooling on both modalities and concatenate them into a single vector:

$$x_s^{\varsigma} = [max_{i=1}^M(W_m^L L_t) \oplus max_{i=1}^M(W_m^V V_t)]$$
 (8)

All models with **+ATT** use attention on either unimodal or multimodal features. Also, we experiment with controlling for minimal length in generated sentence during beam search. Large beam size is known to favour shorter sentences to the longer ones (Yang et al., 2018). To avoid this problem, similar to Klein et al. (2017), we ensure that each generated sentences consists of at least C words. We empirically find that setting C=10 provides us with better automatic metric scores. Note that this number is close to the average sentence length in ground-truth paragraphs (11.91).

#### 5 Evaluation

#### 5.1 Metrics

Accuracy Typically, a variety of n-gram based automatic metrics is used to measure the correctness/accuracy of image captions. Here, we evaluate our models across six different metrics: CIDEr (Vedantam et al., 2014), METEOR (Denkowski and Lavie, 2014), and BLEU-{1, 2, 3, 4} (Papineni et al., 2002). [Nikolai: add a note about WMD and calculate WMD]

**Diversity** Majority of the diversity metrics for image descriptions are based on calculating *n*-gram statistics as well as comparing vocabulary size, POS usage etc. Here, we report vocabulary size of our models and also the number of unique nouns which are generated as an approximation of how many unique objects are mentioned in the paragraphs. We use spaCy (Honnibal and Johnson, 2015) to identify nouns in generated paragraphs. We also calculate Self-BLEU (Zhu et al., 2018) or sometimes referred to as mBleu (Shetty et al., 2017). This metric has been specifically proposed to assess the similarity between two sentences, and it can be used to measure how much one sentence resembles another. This is in particular important

Model	CIDEr		METEOR		BLEU-1		BLEU-2		BLEU-3		BLEU-4	
	$C^+$	$C^{-}$	$C^+$	$C^{-}$	$C^+$	$C^-$	$C^+$	$C^{-}$	$C^+$	$C^{-}$	$C^+$	$C^{-}$
Krause et al. (2017)	-	13.52	-	15.95	-	41.90	-	24.11	-	14.23	-	8.69
IMG	20.36	13.83	13.75	11.28	37.87	25.08	21.10	13.92	12.23	8.14	7.09	4.63
IMG+ATT	21.07	14.52	13.74	11.63	37.53	26.72	20.82	15.01	12.13	8.87	7.12	5.18
LNG	19.86	12.13	13.65	10.79	37.39	23.55	20.74	12.80	11.89	7.41	6.92	4.24
LNG+ATT	20.30	11.37	13.76	11.00	37.68	24.48	20.76	13.32	11.85	7.65	6.82	4.31
IMG+LNG	20.75	13.08	13.85	11.15	37.97	24.54	21.09	13.70	12.22	8.05	7.18	4.69
IMG+LNG+ATT	20.99	13.83	13.81	11.21	38.18	25.01	21.31	13.86	12.25	8.08	7.12	4.67

Table 1: Scores for automatic evaluations metrics computed for the test set.  $C^+$  indicates control for the minimum number of words in generated sentences,  $C^-$  similarly indicates the opposite. Scores from the original hierarchical model are reported for completeness (beam search with  $C^-$ ).

Model	Voc Size		# of NT		SB-1		SB-2		SB-3		SB-4	
	$C^+$	$C^-$	$C^+$	$C^-$	$C^+$	$C^-$	$C^+$	$C^-$	$C^+$	$C^-$	$C^+$	$C^-$
IMG	378	430	284	313	80.33	70.62	71.75	58.99	64.84	50.85	58.71	44.11
IMG+ATT	296	435	295	315	79.72	71.07	71.05	59.69	64.05	51.53	57.92	44.63
LNG	399	431	294	310	77.58	68.69	68.26	57.24	60.74	49.41	54.45	42.79
LNG+ATT	269	430	295	308	77.85	69.78	68.44	58.35	61.23	50.42	55.31	43.85
IMG+LNG	283	420	295	299	79.22	71.10	70.56	59.85	63.65	51.79	57.72	45.05
IMG+LNG+ATT	413	452	300	326	77.81	68.25	68.19	55.97	61.06	47.61	55.23	40.98
GT	-	5835	-	3865	-	48.66	-	27.95	-	15.82	-	8.70

Table 2: Measures of diversity for different paragraph models. NT and GT stand for noun types and ground-truth paragraphs from the test set respectively. SB stands for self-BLEU and corresponding n-gram (1, 2, 3, 4).

for the paragraphs, in which sentences should not be very similar with each other. Higher self-Bleu indicates less diversity, e.g., more n-gram matches between sentences. We calculate Self-BLEU as follows: we split each generated paragraph into sentences and use one sentence as hypothesis and the others are regarded as references. Then, BLEU score is calculated for every sentence in every paragraph, and the average BLEU score over the paragraphs is used as the Self-BLEU score of the whole set.

#### 5.2 Results

Accuracy As Table 1 demonstrates, all our models which do not control for C show similar performance and slightly underperform the model by Krause et al. (2017) in all metrics except CIDEr. We believe that there are several reasons for such behaviour. First, our model slightly differs from the original hierarchical model: we do not use any pretrained weights and do not learn to predict end of the paragraph. Also, we utilise attention in LSTM-based text generator, which, as has been shown by Liang et al. (2017) and Wang et al. (2019), significantly boosts performance in terms of CIDEr score.

The visible trend is that models which are forced to generate at least C words in a sentence perform much better, improving all accuracy-related scores.

However, it is unclear whether this will have a positive affect on diversity measures as well: automatic evaluation metrics might be biased towards favouring longer sentences even if they are repetitive. [Nikolai: ref!]

We also conclude that adding modalities (IMG+LNG) somewhat improves scores by a small margin compared to using one of the two (either IMG or LNG). Also, attention seems to boost performance of either unimodal or multimodal systems. We note that image description systems might produce an accurate description, which does not necessarily correspond to the ground truth paragraph from the dataset, since there are multiple correct ways of describing an image. This would, in turn, affect the scores of automatic metrics, which compare generated descriptions with the ground truth ones, and, therefore, may not be good measures of accuracy.

Interestingly, LNG-based model performs slightly worse across almost all metrics. We believe that the quality of linguistic representations affects automatic scores, indicating that more complex linguistic representations are needed. Currently, language input is constructed from representations used to describe only specific regions in isolation. In the paragraph, however, these regions must be linked to each other to form a coherent whole. We leave experiments with such linguistics representa-

tions for the future work.

**Diversity** Note that our goal is to show that purely visually dependent models are less diverse than models which incorporate additional sources of information such as semantic information about objects or use attention. As Table 2 demonstrates, the model which uses both modalities and attention generates most diverse paragraphs compared to outputs of the other models. It generates more unique nouns, has bigger vocabulary size and better self-BLEU scores. However, it still performs much worse than the human-generated paragraphs. Based on the self-BLEU scores for models which are forced to generate at least C words, we conclude that these models tend to generate the same n-grams more often. Therefore, these models suffer from repetitiveness on word level, and do not conform with our criteria for paragraph diversity.

#### 5.3 Diversity in decoding

diversity in terms of choosing best candidate from the pool of candidates. Experiment with choosing different candidates (not always the most probable) and report how diversity changes

#### 5.4 Human Evaluation

Human evaluation on

#### 6 Conclusion

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#### A Appendices

Appendices are material that can be read, and include lemmas, formulas, proofs, and tables that are not critical to the reading and understanding of the paper. Appendices should be **uploaded as supplementary material** when submitting the paper for review. Upon acceptance, the appendices come after the references, as shown here.

LATEX-specific details: Use \appendix before any appendix section to switch the section numbering over to letters.

#### **B** Supplemental Material

Submissions may include non-readable supplementary material used in the work and described in the paper. Any accompanying software and/or data should include licenses and documentation of research review as appropriate. Supplementary material may report preprocessing decisions, model parameters, and other details necessary for the replication of the experiments reported in the paper. Seemingly small preprocessing decisions can sometimes make a large difference in performance, so it is crucial to record such decisions to precisely characterize state-of-the-art methods.

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