## Problem Set 7

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## Problem 1

Solution:

Consider here the system,

$$\dot{x}_1 = x_1 + x_2$$

$$\dot{x}_2 = 3x_1^2 x_2 + x_1 + u$$

$$y = -x_1^3 + x_2$$

(a) We can verify that the system is input-output linearizable by checking if a system degree exists. Taking the Lie derivative gives us,

$$L_f h(x) = \frac{\partial h}{\partial x} f(x) = \frac{\partial h}{\partial x_1} \dot{x}_1 + \frac{\partial h}{\partial x_2} \dot{x}_2$$
$$= -3x_1^2 (x_1 + x_2) + 3x_1^2 x_2 + x_1 + u$$

where we see that the controller u pops up. Hence, the relative degree is  $\rho = 1$ . This implies that the system is input-output linearizable.

(b) We can transform the system into normal form. We seek a from,

$$\dot{\eta} = \frac{\partial \phi(x)}{\partial x} f(x)|_{x = T^{-1}([\xi, \eta])}$$

$$\dot{\xi} = A_c \xi + B_c \gamma(x) (u - \alpha(x))$$

$$y = C_c \xi$$

where  $\gamma(x) = L_g L_f^{\rho-1} h(x)$  and  $\alpha(x) = -\frac{L_f^{\rho} h(x)}{L_g L_f^{\rho-1} h(x)}$ . We can choose the controller to be  $u = \alpha(x) + \frac{1}{\gamma(x)} v$ . Let's first find the transformation T(x). Clearly,  $\eta = h(x) = -x_1^3 + x_2$  here. For  $\phi(x)$ , we must satisfy that

$$\frac{\partial \phi(x)}{\partial x_2} = 0$$

This allows for  $x_1$  to be a reasonable choice (which also satisfies that  $\phi(0) = 0$ ). This gives the transformation  $T(x) = [\eta, \xi] = [x_1, -x_1^3 + x_2]$ . This give the transformation,  $x_1 = \eta$  and  $x_2 = \xi + \eta^3$ . First obtaining  $\dot{\eta}$ ,

$$\dot{\eta} = \frac{\partial \phi(x)}{\partial x_1} f(x)|_{x=T^{-1}[\eta,\xi]} = \left[ \frac{\partial \phi}{\partial x_1} f_1(x) + \frac{\partial \phi}{\partial x_2} f_2(x) \right]_{x=T^{-1}[\eta,\xi]} = \frac{\partial \phi}{\partial x_1} f_1(x)|_{x=T^{-1}[\eta,\xi]} = f_1(x)|_{x=T^{-1}[\eta,\xi]} = \eta + \xi + \eta^3$$

Hence, this gives us the following expression in normal form

$$\dot{\eta} = \eta + \xi + \eta^3$$

$$\dot{\xi} = \xi + v$$

$$y = \xi$$

This transformation is valid in  $\mathbb{R}^2$ .

(c) The zero dynamics here occur at  $Z^* = \{x \in D | -x_1^3 + x_2 = 0\}$ . This gives that,  $x_2 = x_1^3$ . Hence,  $\dot{\eta} = \eta + \eta^3$ . This system is not minimum phase.

## Problem 2

Solution:

Consider the system,

$$\dot{x}_1 = x_2$$

$$\dot{x}_2 = -x_1 - 2x_2 + u$$

with control law  $u = -sign(x_1 + x_2)$ . We can show that this corresponds to a sliding mode controller. Suppose that we want to bring the system to the

manifold  $s=a_1x_1+x_2=0$ . We have  $x_2=-a_1x_2$  where  $a_1>0$ . Hence, we can take the manifold to be  $s=x_1+x_2$ . For a sliding gain controller, we must satisfy that  $u=-\beta(x)sign(s)$  where  $\beta(x)\geq\phi(x)+\beta_0$ . Where  $\phi(x)$  satisfies  $|\frac{a_1x_2+h(x)}{g(x)}|\leq\phi(x)$ . Here,  $|x_2-x_1-2x_2|=|x_1+x_2|\leq\phi(x)\leq\phi(x)+\beta_0$ . Given this form, we can identify that  $\beta(x)=1$  in the controller satisfies our condition and our choice for manifold is  $s=x_1+x_2$ . This follows the form u(s)=-g(x)sign(s) which need for a sliding mode controller, hence this is a sliding mode controller.

We can verify that this controller works by writing  $\dot{V}$ . Take  $V = \frac{1}{2}s^Ts$ . Hence,

$$\dot{V} = s\dot{s} = s(a_1\dot{x}_1 + \dot{x}_2) = s(a_1x_2 + -x_1 - 2x_2 + u)$$

$$= s(a_1x_2 + -x_1 - 2x_2 - sign(x_1 + x_2)) = s(-x_1 - x_2 - sign(x_1 + x_2))$$

which we can see satisfies that  $\dot{V} < 0$  for  $s \neq 0$ .

## Problem 3

Solution:

Consider now the system,

$$\dot{x}_1 = x_2 + \sin(x_1)$$

$$\dot{x}_2 = \theta_1 x_1^2 + (1 + \theta_2)u$$

with  $1 + \theta_2 > 0$ .

(a) We can now design a sliding mode controller for the system. We can take our manifold to be  $s = a_1x_1 + x_2$  with  $a_1 > 0$ . We can assume that Lyapunov function,  $V = \frac{1}{2}s^Ts$  and require that  $\dot{V} < 0$  for  $s \neq 0$ . This gives us,

$$\dot{V}(s) = s\dot{s} = s(a_1\dot{x}_1 + \dot{x}_2) = s(a_1(x_2 + \sin(x_1)) + (\theta_1x_1^2 + (1 + \theta_2)u))$$

Our controller should take the form  $u = f(x) - \beta(x)sign(s)$  where f(x) is designed to cancel out the nonlinear terms in the system and  $\beta(x)$  is the prefactor to our sliding mode controller. Hence, we get a controller of the form  $(\beta(x) = 1)$ ,

$$u = \frac{1}{1 + \theta_2} (-a_1(x_2 + \sin(x_1) - \theta_1 x_1^2)) - sign(s)$$

. Hence substituting this into our expression for  $\dot{V}$  gives,

$$\dot{V} = s(a_1\dot{x}_1 + \dot{x}_2) = s(a_1(x_2 + \sin(x_1)) + (\theta_1x_1^2 + (1 + \theta_2)(\frac{1}{1 + \theta_2}(-a_1(x_2 + \sin(x_1) - \theta_1x_1^2)) - sign(s))))$$

$$=-s[sign(s))] = -|s| < 0 \text{ for } s \neq 0$$

which satisfies our stability criteria. Hence, our controller is

$$u = \frac{1}{1 + \theta_2} (-a_1(x_2 + \sin(x_1) - \theta_1 x_1^2)) - sign(s)$$

(b) Now take  $\theta_1, \theta_2 \in [0, 2]$  within the region  $\{x \in \mathbb{R}^2 | x_1 \in [-1, 1], x_2 \in [-1, 1]\}$ . Provided these constraints, we can obtain a sliding mode controller. Here we can take  $\theta_1, \theta_2$ . Here, we'd be able to use the same controller as before but instead add another constant term for the case that  $x_1 = x_2 = 0$ . This gives (added -1 at the end),

$$u = \frac{1}{1 + \theta_2} (-a_1(x_2 + \sin(x_1) - \theta_1 x_1^2)) - sign(s) - 1$$