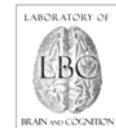


# *BOLD Connectivity Dynamics and its relationship to On-going Cognition*

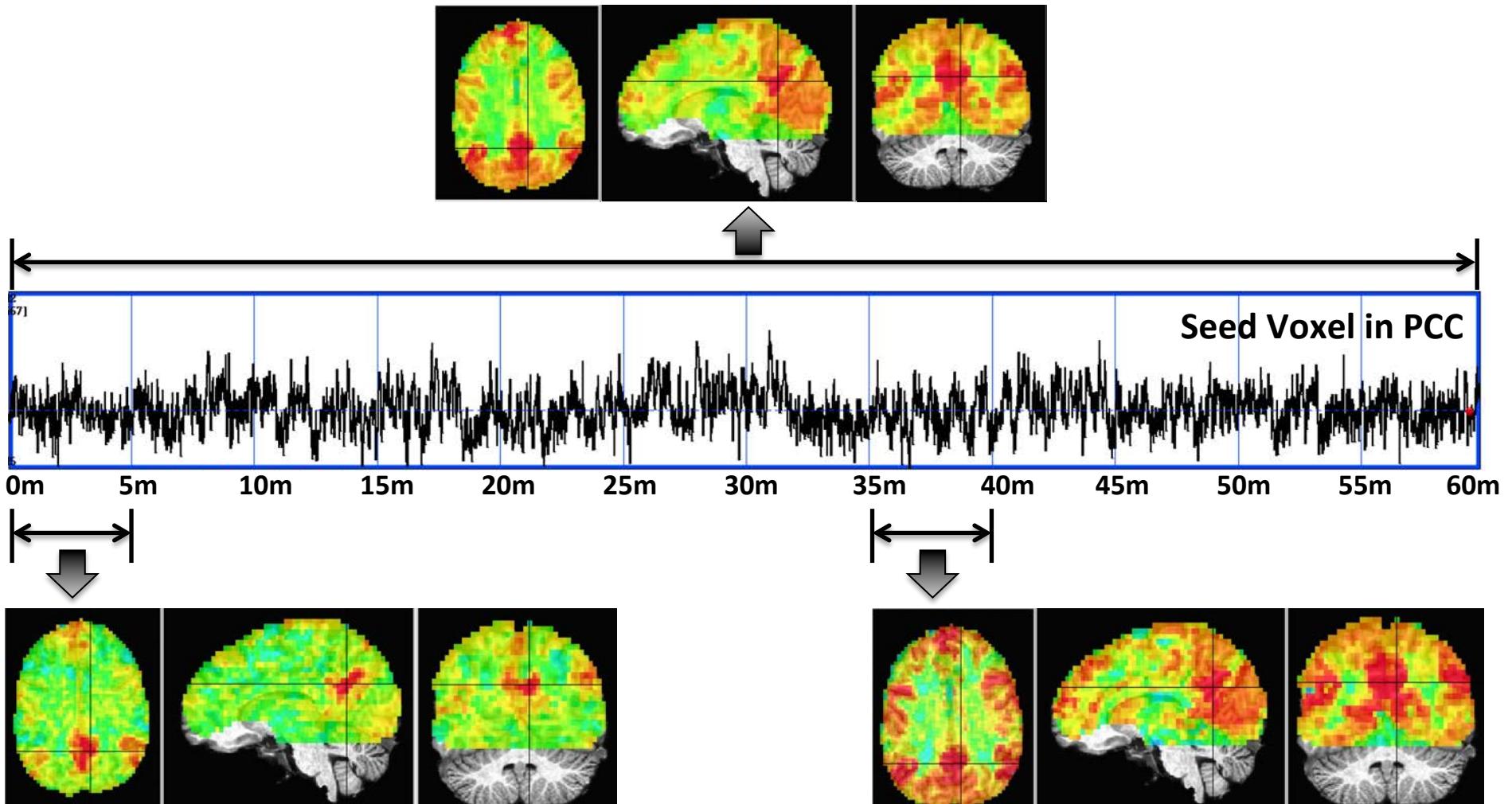
Javier Gonzalez-Castillo

Section on Functional Imaging Methods, Laboratory of Brain and Cognition, NIMH

Beijing, China, August 2014



# BOLD Connectivity Dynamics: Definition



60 Minutes of Continuous Rest Data | TR = 1s

# Agenda

- **BOLD Connectivity Dynamics**
  - Some Original Observations
  - Basic Characterization of this Phenomena
- **Relationship to On-Going Cognition**
  - Automatic sleep staging based on fMRI connectivity
  - Detection of cognitive states using whole brain connectivity patterns
- **Importance of Methodology Decisions**
  - Parcellation Scheme
  - Feature Selection
  - Data mining Algorithm

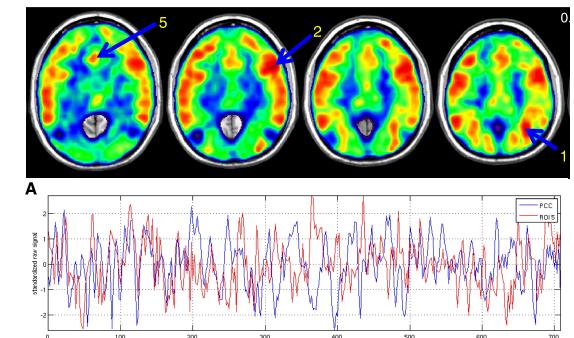


National Institute  
of Mental Health

# Intro - Original Observations

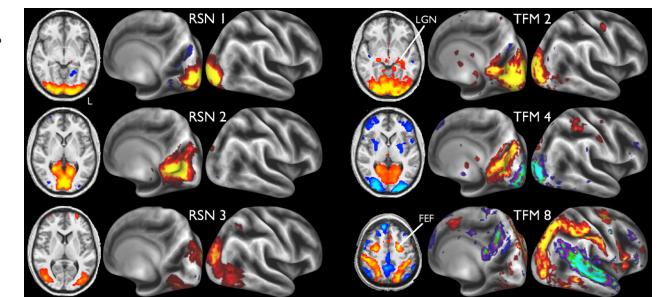
- Chang & Glover, NeuroImage 2009

- Connectivity between PCC and other regions vary significantly across time.
- This variability is region dependent.
- Connectivity fluctuates between pos & neg values.



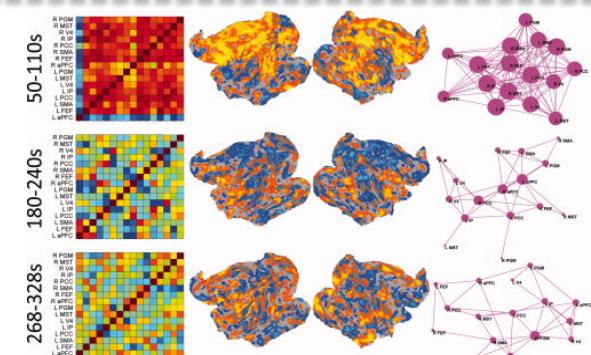
- Smith et al., PNAS 2009

- Identify networks by virtue of temporal independence (TFM).
- Identified TFM include several that subdivide the default-mode network into several functionally distinct, spatially overlapping, networks.
- TFM are quite different from resting-state networks previously reported, and may have greater biological interpretability.



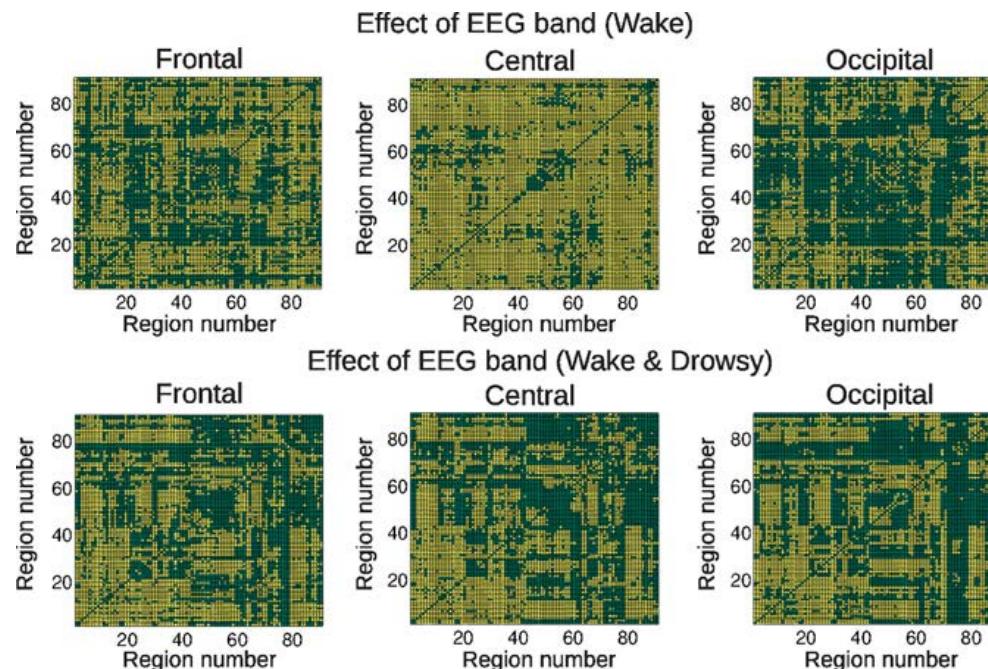
- Hutchison et al., Human Brain Mapping 2012

- Short term connectivity profiles differ significantly from stationary patterns.
- Dynamic changes happen also in anesthetized monkeys.



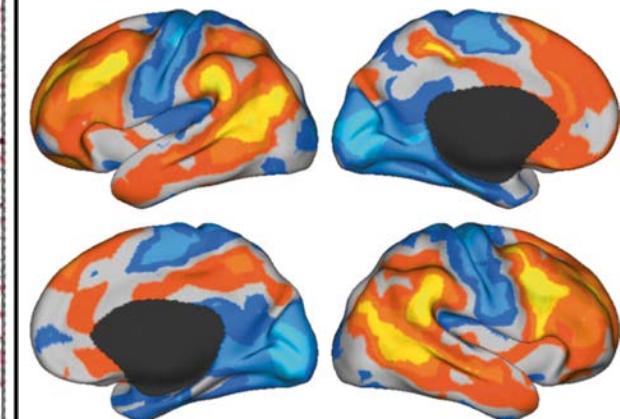
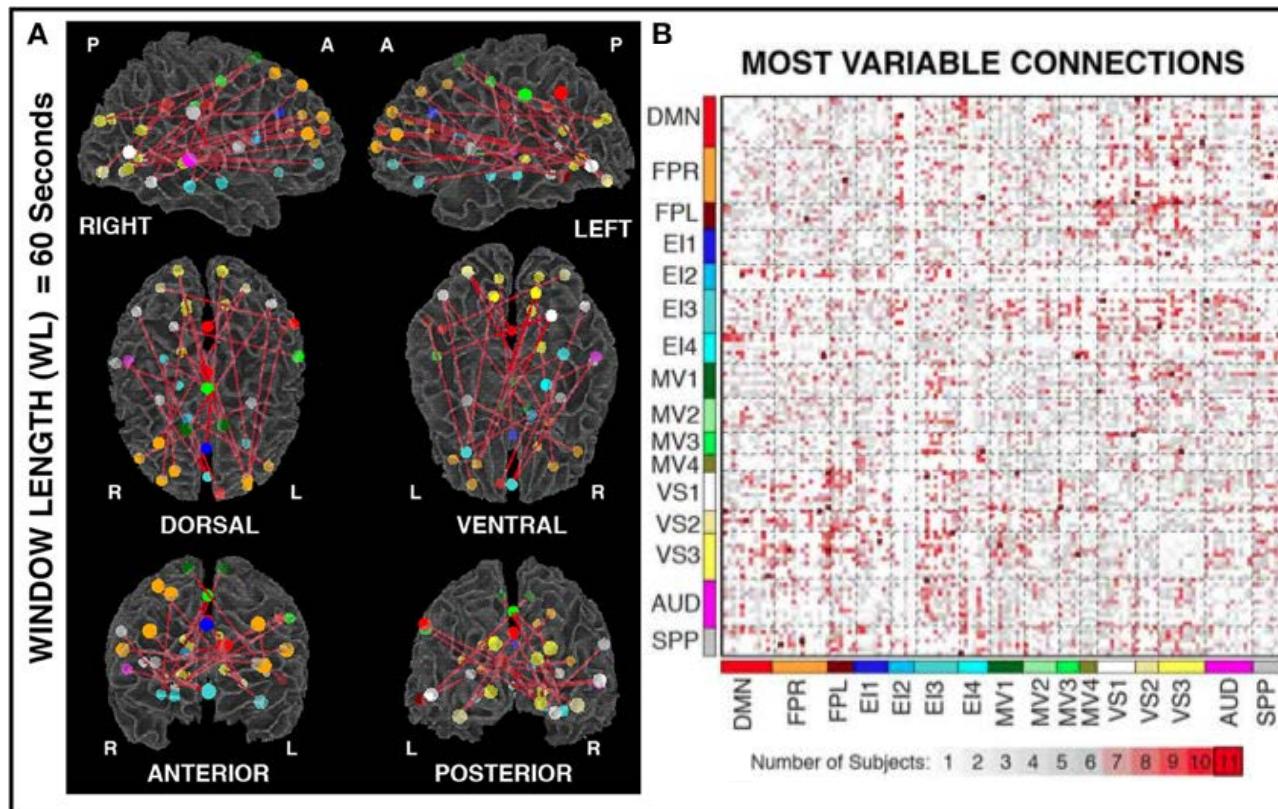
# Intro – Correlations with EEG

- Tagliazuchi et al., Front. Human Neuros. 2012
  - Concurrent EEG and fMRI awake and vigilance changes
  - Increased  $\alpha$  (8-12Hz) &  $\beta$  (15-30Hz) power → decrease functional connectivity
  - Gamma power (30 – 60Hz) correlated positively with functional connectivity.
  - Suggest that “fluctuations in BOLD connectivity have a neurophysiological origin”.



- Chang et al., NeuroImage 2013
  - Also reports correlations between EEG & fMRI connectivity changes at these sort temporal scales.
  - Focus on DMN, Dorsal Attention and Salience Networks

## Spatial Distribution of Stability of Connectivity – Most Variable Connections

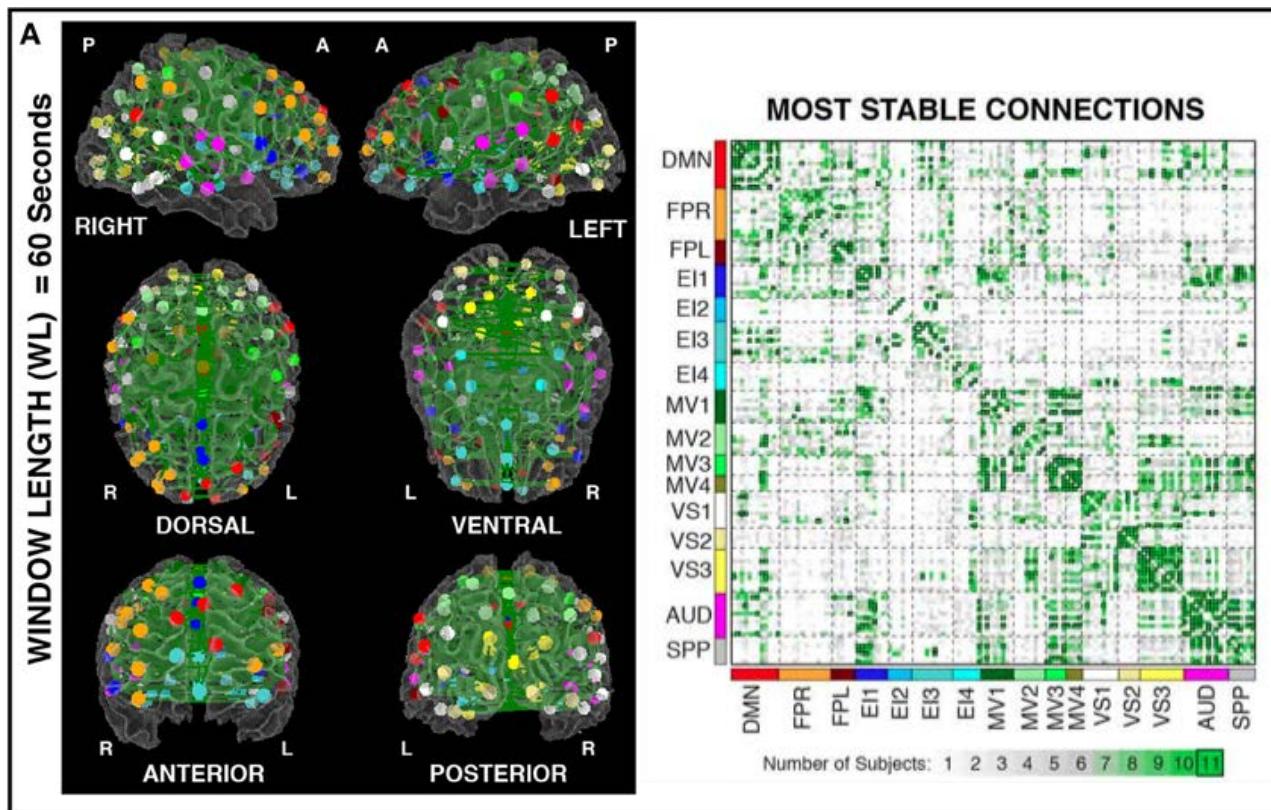


(Mueller et al. 2013)

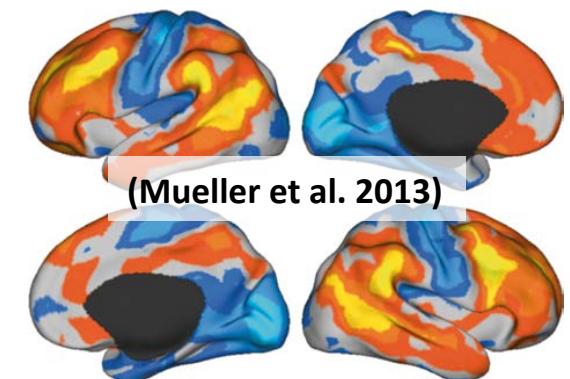
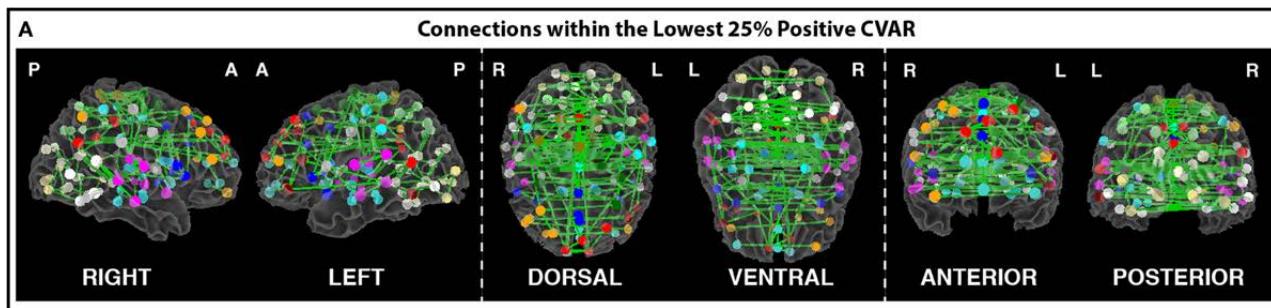
Gonzalez-Castillo et al. 2014 (Frontiers in Neuroscience)

- Primarily inter-network, inter-hemispheric connections involving the fronto-parietal network and occipital regions. Also some DMN regions.
- Some overlap with:
  - Hetero-modal regions with largest levels of inter-subject variability in stationary patterns of connectivity. (Mueller et al., Neuron 2013)

# Spatial Distribution of Stability of Connectivity – Most Stable Connections

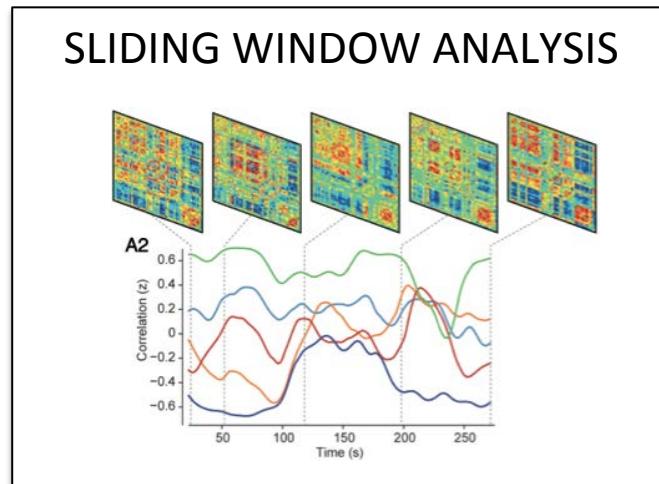
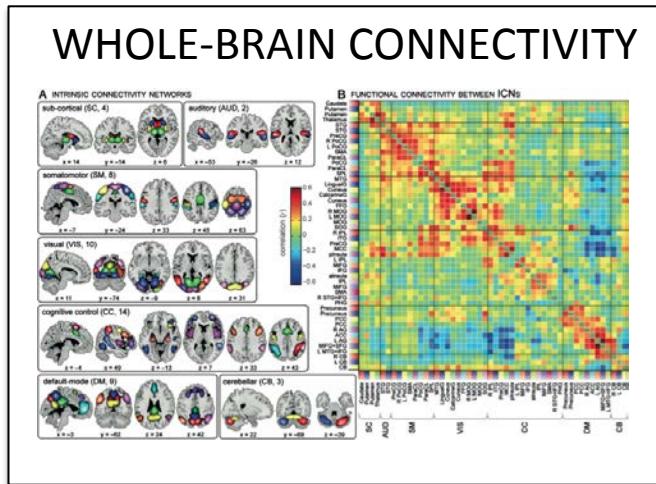


- Largest pool of connections.
- Mostly symmetric, inter-hemispheric connections between homologous right/left regions.
- Only account for 32% of intra-network connections  
→ Networks are flexible
- Unimodal sensory-motor networks (VIS, AUD and MV) seems to be among the most stable.

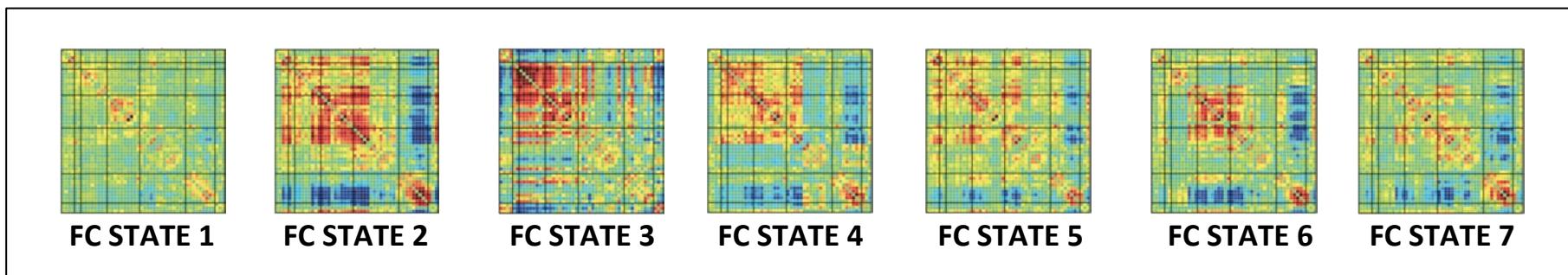


# Rest BOLD Dynamics – Functional Connectivity States

- **APPROACH:** Explored resting state data from over 400 subjects with a combination of:

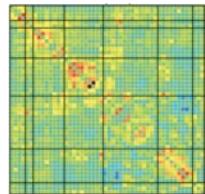


- **FINDING:** a series of re-occurrent short-term (in the order of seconds) whole-brain connectivity patterns that are common across subjects.

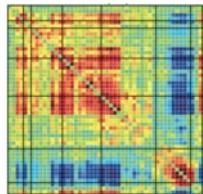


# Interim Summary

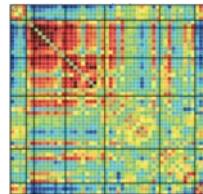
## FUNCTIONAL CONNECTIVITY STATES



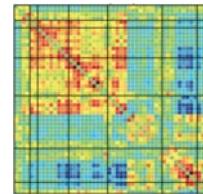
FC STATE 1



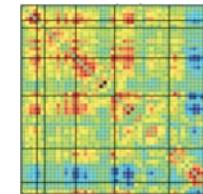
FC STATE 2



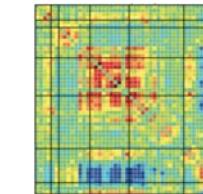
FC STATE 3



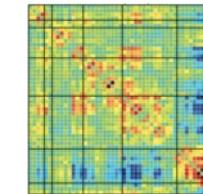
FC STATE 4



FC STATE 5



FC STATE 6



FC STATE 7

Allen et al. "Tracking Whole-brain Connectivity Dynamics in the Resting State" Cerebral Cortex (2012)



## SUBJECTS AT REST SWITCH BETWEEN COGNITIVE STATES EVERY FEW SECONDS

Mental activity description: occurrence and proportion, the average time spent during the experiment, and the occurrence and proportion of participants showing a dominance. IMAG: visual mental imagery; LANG: inner language; SOMA: somatosensory awareness; MUSI: inner musical experience; NUMB: mental processing of numbers.

Mental activity	Number of participants reporting the activity (% of 180)	Average time spent in the reported activity <sup>a</sup> % <sup>2</sup> ± SD	Number of participants reporting at least 50% <sup>b</sup> of the mental activity (%)
IMAG	171 (95)	40 ± 22	63 (35)
LANG	167 (93)	30 ± 19	31 (17)
SOMA	170 (94)	19 ± 16	12 (7)
MUSI	92 (51)	23 ± 17	11 (6)
NUMB	62 (34)	12 ± 10	1 (<1)

Delamillieure et al. "The Resting State Questionnaire: an introspective questionnaire for evaluation...". Brain Res Bull. (2011)

# Agenda

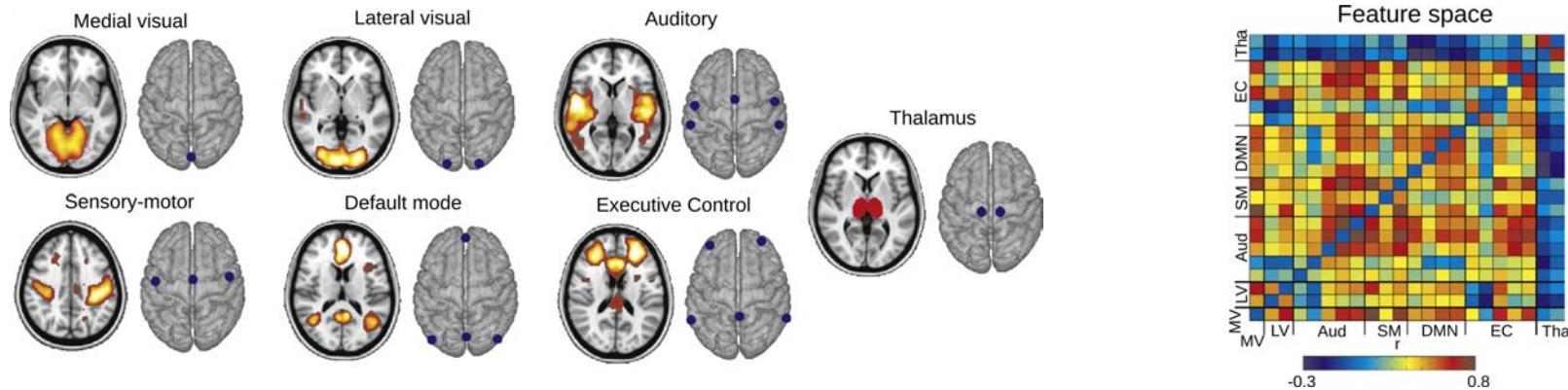
- **BOLD Connectivity Dynamics**
  - Some Original Observations
  - Basic Characterization of this Phenomena
- **Relationship to On-Going Cognition**
  - Automatic sleep staging based on fMRI connectivity
  - Detection of cognitive states using whole brain connectivity patterns
- **Importance of Methodology Decisions**
  - Parcellation Scheme
  - Feature Selection
  - Data mining Algorithm



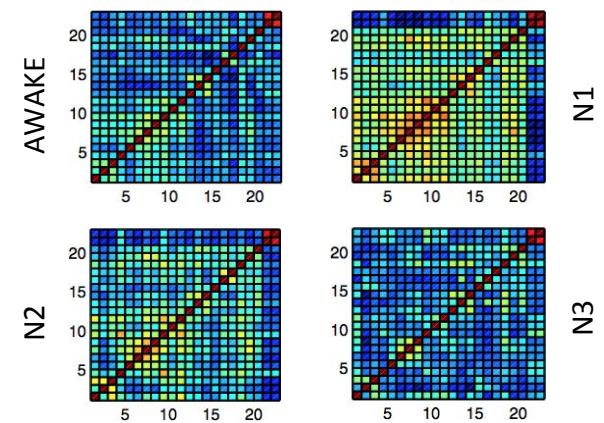
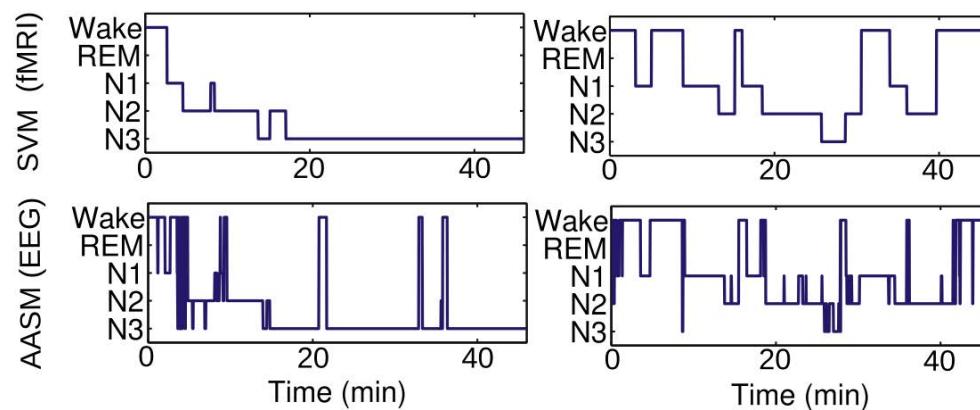
National Institute  
of Mental Health

# FC & Cognition – Dynamics (I) – Sleep Stages

- **Feature Space:** connectivity between 22 ROI selected based on prior studies of sleep.



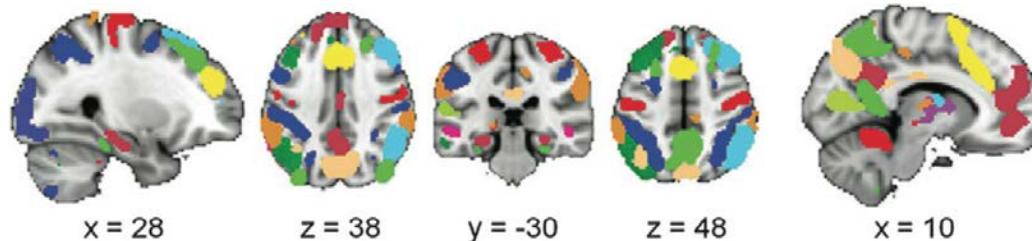
- **Temporal Scale:** 4 min – 1 min sliding windows across a 52m scan
- **Results:**
  - Accuracy > 80% (Agreement between fMRI-based and EEG-based staging)
  - For epochs as short as 60s



## FC & Cognition – Dynamics (II) – Differentiate Known Cognitive states

**Objective:** Attempt decoding of cognitive states on the basis of whole-brain connectivity computed over short periods of time using a classifier (supervised classification).

- **Feature Space:** subset of ROIs with significantly different connectivity across the states selected from a 90 ROIs covering the majority of Gray Matter

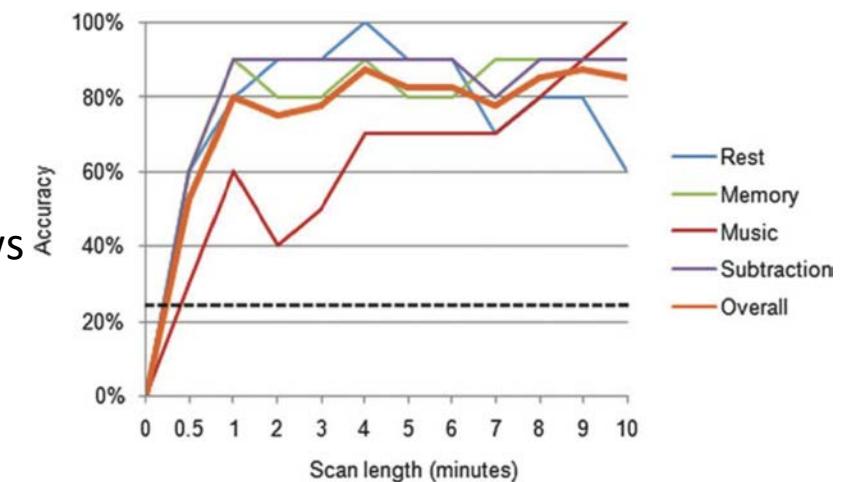


- **Data:**

- 10 min scans during which subjects were always on the same “state”
- States: rest, episodic memory, numerical subtraction, silent singing.

- **Results:**

- ~ 84% Accuracy for whole scans
- ~80% Accuracy for 60s windows
- Large drop in accuracy for shorter windows



# FC Dynamics & Cognition – Interim Summary

## WHAT WE KNOW ALREADY

- During rest one can observe short term (several seconds long) re-occurring patterns of whole brain connectivity that are common across subjects. [Allen et al. 2014]
- Case-specific connectivity patterns associated with short time windows (45 – 60s) can be used to reliably differentiate sleep stages [Taggliazzuchi et al., 2012] and cognitive states [Shirer et al., 2012] using supervised classification methods.

DYNAMIC CHANGES IN REGION-SPECIFIC FUNCTIONAL CONNECTIVITY PATTERNS  
SEEM TO BE STRONGLY RELATED TO ON-GOING COGNITION

## LIMITATIONS OF THESE STUDIES

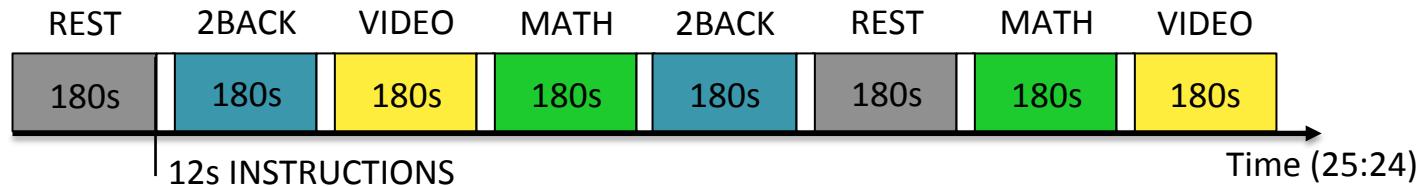
- [Taggliazzuchi et al.] & [Shirer et al.]
  - Informed selection of ROIs based on target states
  - Supervised Classification Approach that needs training dataset & labels.
- [Allen et al.] → Interpretational Challenge

## OPEN QUESTIONS

*Can we robustly detect on-going “COGNITIVE STATES” on the basis of whole-brain “FC STATES” using completely unsupervised methods at the single-subject level?*

*How robust is this FC STATE ↔ COGNITIVE STATE relationship against analytical/ methodological decisions (e.g., atlas, feature selection scheme, temporal scale, etc.)?*

# METHODS - Experimental Design

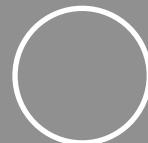


REST

+

Passively stare at the crosshair in the center of the screen.

2-BACK



Press button when the shape on screen is the same as two before.

MATH

$$3 + 5 + 1 = \\ 9 \quad 12$$

Select the correct answer from the two available options.

VIDEO



Identify the type of fish when a crosshair appears on a target fish (clown or other type).

## 1. DATA COLLECTION

- 22 Subjects
- 7T Siemens | 32 Ch Coil
- MP-RAGE 1mm<sup>3</sup>
- Proton Density 1mm<sup>3</sup>
- GRE-EPI
  - TR/TE = 1.5s/25ms
  - Resolution = 2mm<sup>3</sup>
  - #Acquisitions = 1017
  - Full Cerebrum Coverage | No Cerebellum

# METHODS - Data Analysis

PREPROCESSING

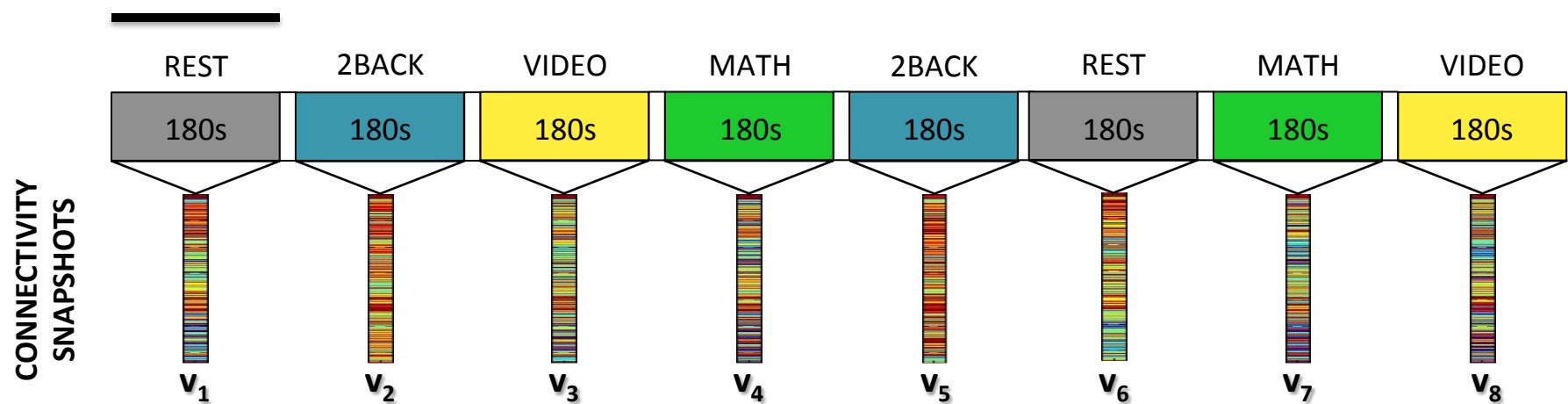
TS EXTRACTION

DIMENSIONALITY REDUCTION

SLIDING WINDOW CONNECTIVITY

CLUSTERING

**WINDOW LENGTH = 180 Seconds**



# METHODS - Data Analysis

PREPROCESSING

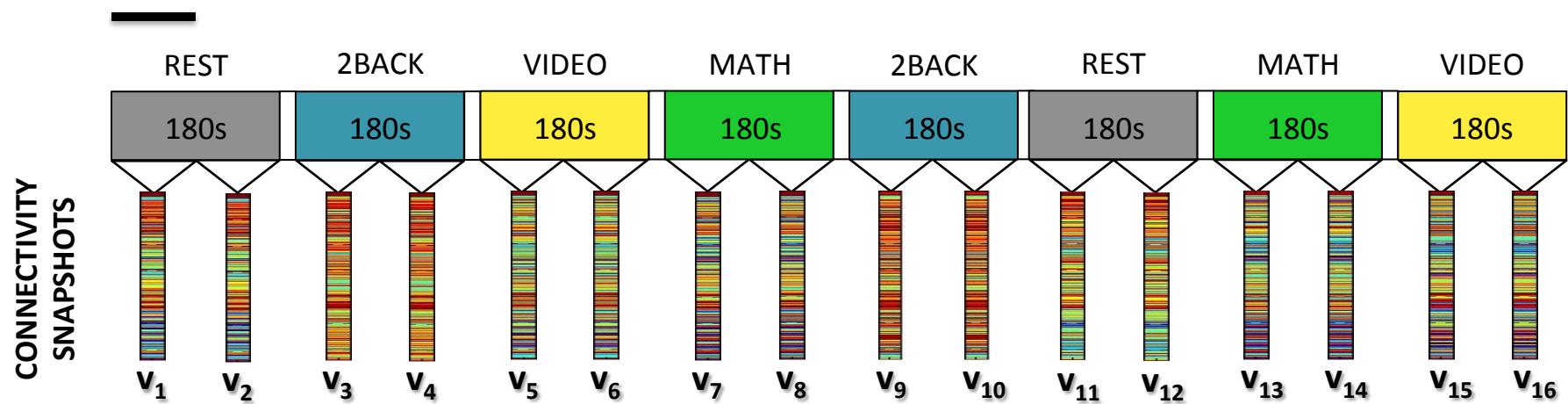
TS EXTRACTION

DIMENSIONALITY REDUCTION

SLIDING WINDOW CONNECTIVITY

CLUSTERING

**WINDOW LENGTH = 90 Seconds**



# METHODS - Data Analysis

PREPROCESSING

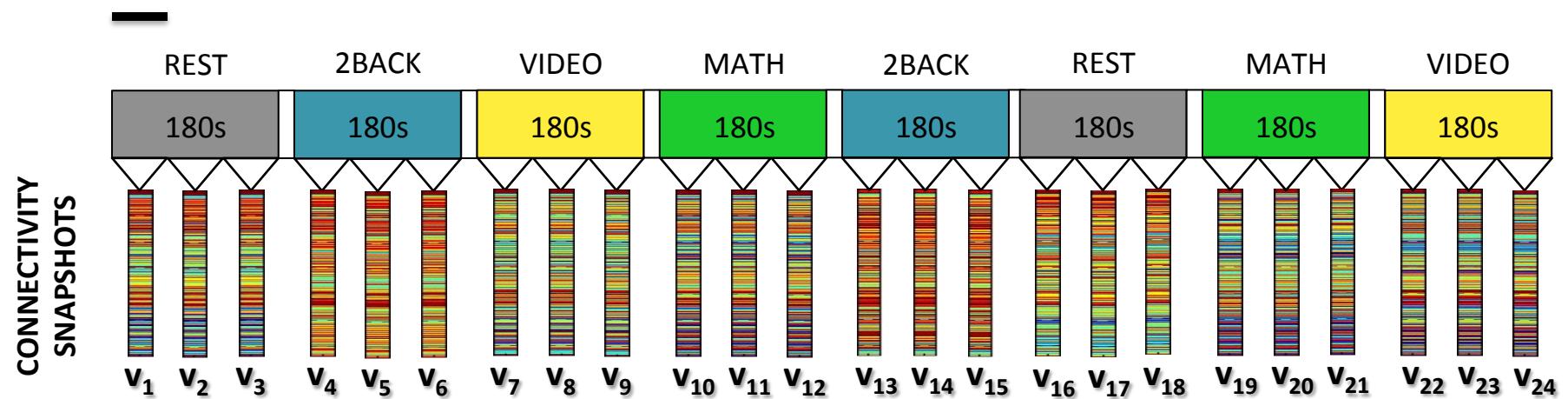
TS EXTRACTION

DIMENSIONALITY REDUCTION

SLIDING WINDOW CONNECTIVITY

CLUSTERING

**WINDOW LENGTH = 60 Seconds**



WINDOW LENGTH	180s	90s	60s	45s	30s	15s
# Windows	8	16	24	32	48	96
# TRs in Window	120	60	40	30	20	10

# METHODS - Data Analysis

## PREPROCESSING

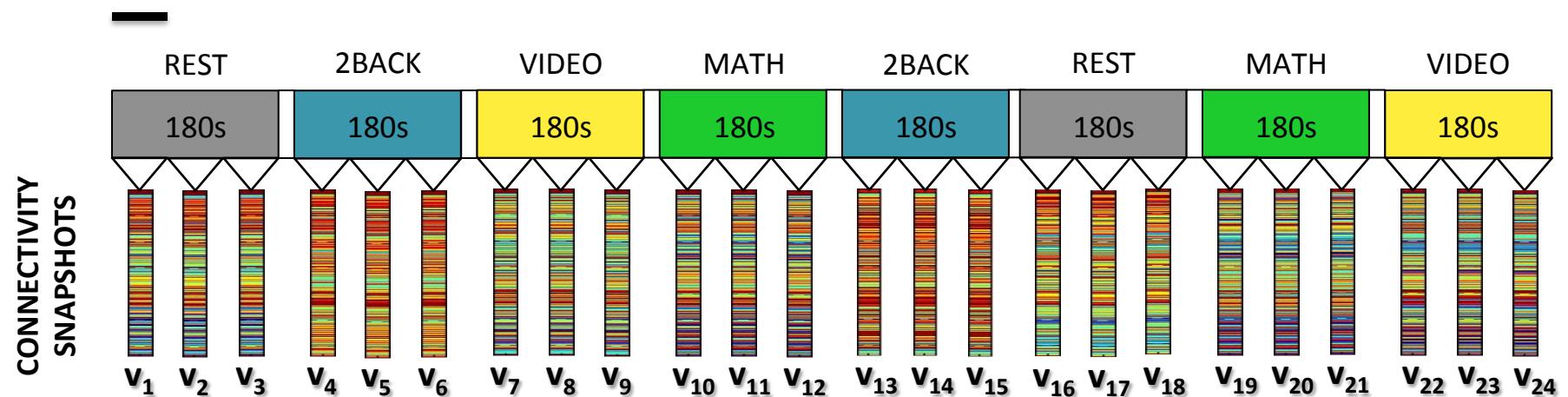
TS EXTRACTION

## DIMENSIONALITY REDUCTION

## SLIDING WINDOW CONNECTIVITY

## CLUSTERING

## WINDOW LENGTH = 60 Seconds



# METHODS - Data Analysis

PREPROCESSING

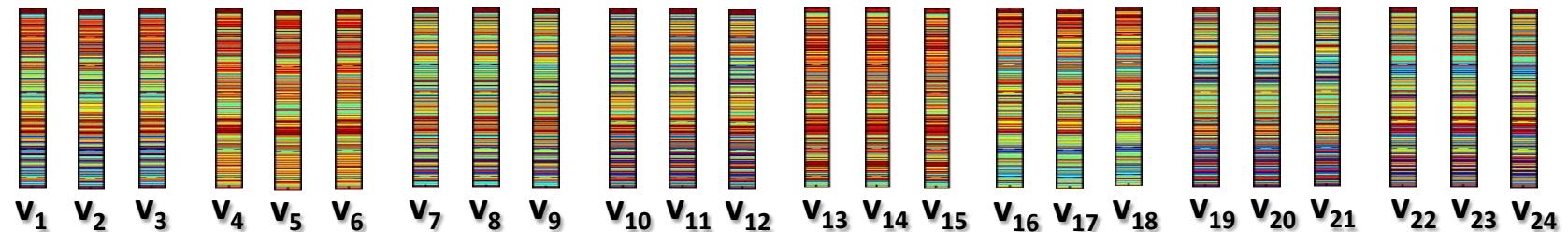
TS EXTRACTION

DIMENSIONALITY REDUCTION

SLIDING WINDOW CONNECTIVITY

CLUSTERING

CONNECTIVITY  
SNAPSHOTS



# METHODS - Data Analysis

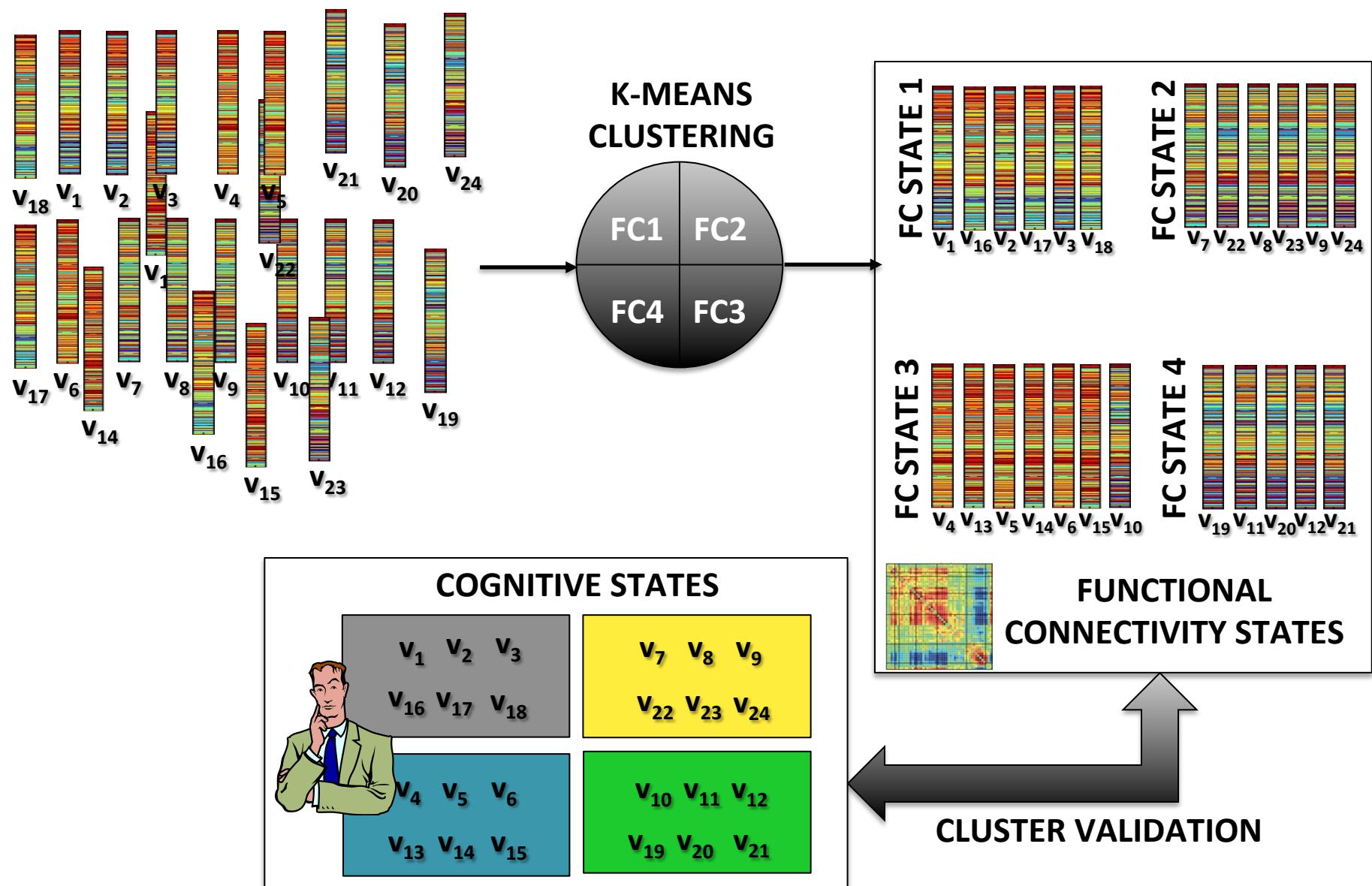
PREPROCESSING

TS EXTRACTION

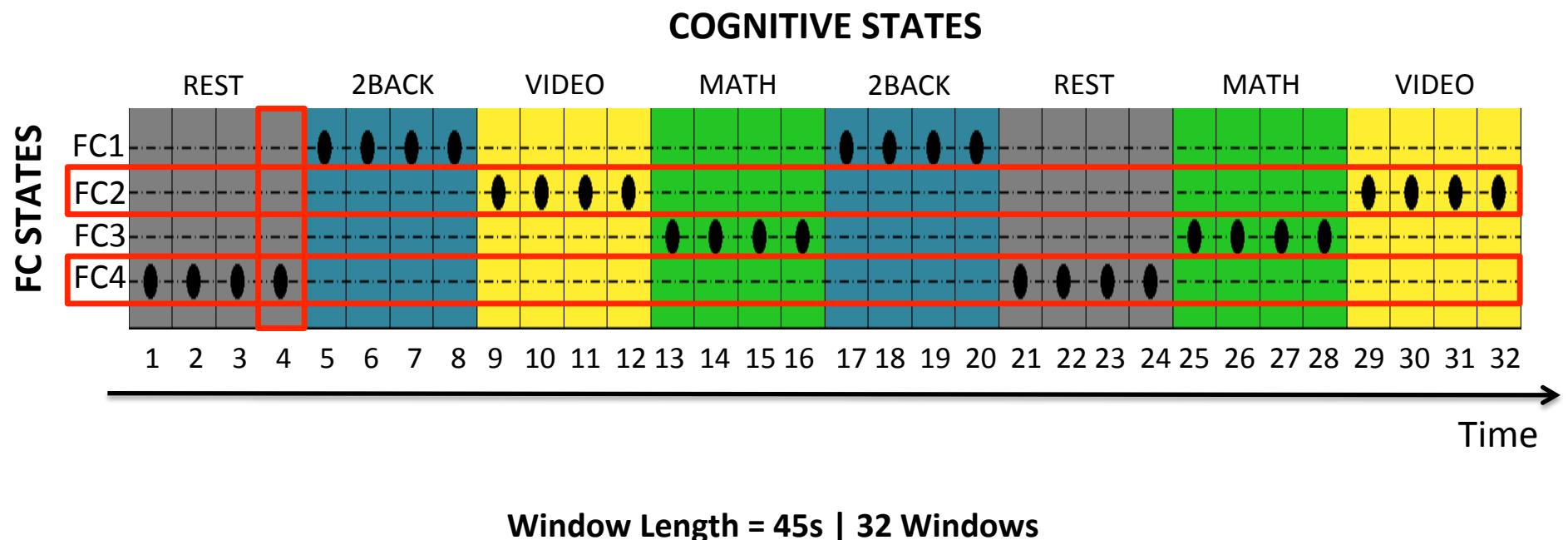
DIMENSIONALITY REDUCTION

SLIDING WINDOW CONNECTIVITY

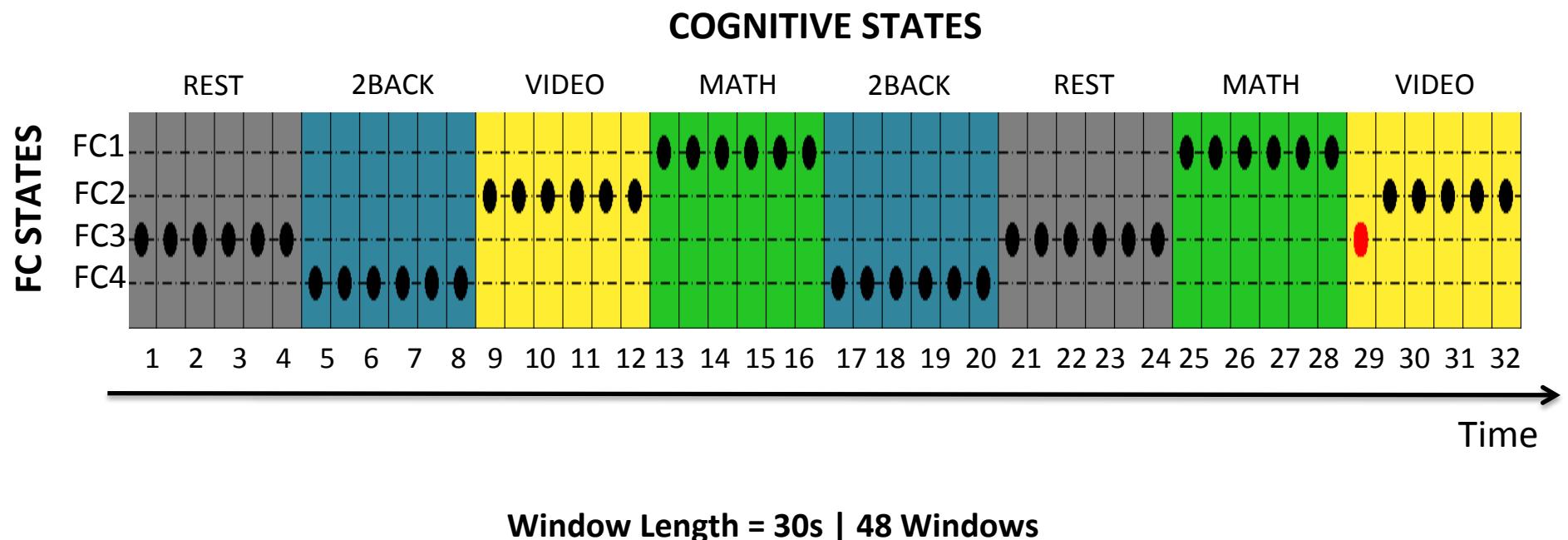
CLUSTERING



# METHODS – Visual Validation



# METHODS – Visual Validation



# METHODS – Quantitative Validation

## EXTERNAL MEASURE OF CLUSTERING VALIDATION

Evaluate the level of agreement between a given partition (k-means output | FC STATES) and an external “true” classification (experimental paradigm | COGNITIVE STATES)

### METRIC: ADJUSTED RAND INDEX (ARI)

Number of Pairs in Agreement \*

—————  
Total Number of Pairs

\* Corrected for random agreement between  
two random partitions

Hubert L. et Arabie P. “Comparing Partitions”. Journal of Classification:  
2, 193-218 (1985)

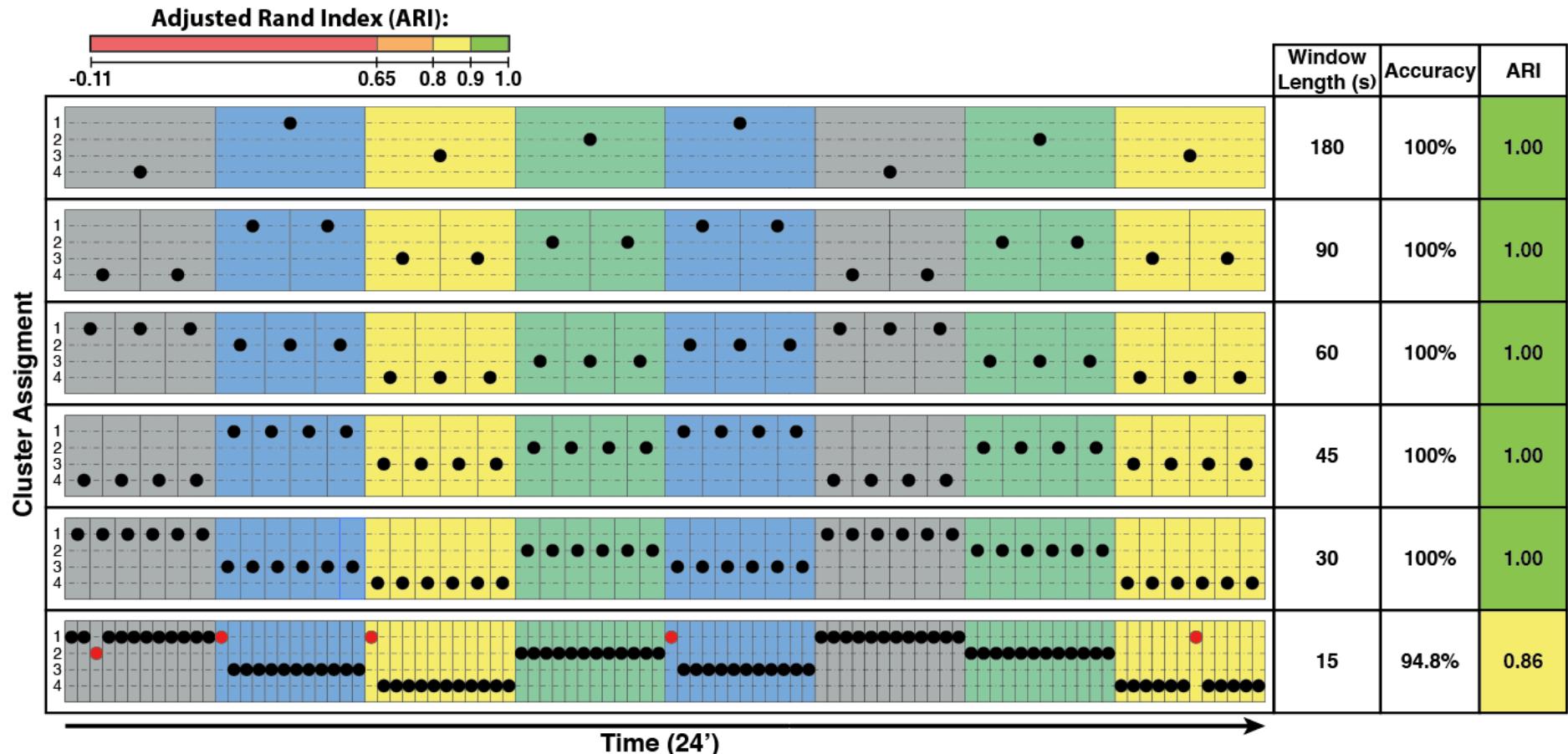
### INTERPRETATION CRITERIA

- [ -0.11, 0.65 ] → Poor Recovery
- [ 0.65, 0.80 ] → Moderate Recovery
- [ 0.80, 0.90 ] → Good Recovery
- [ 0.90, 1.00 ] → Excellent Recovery

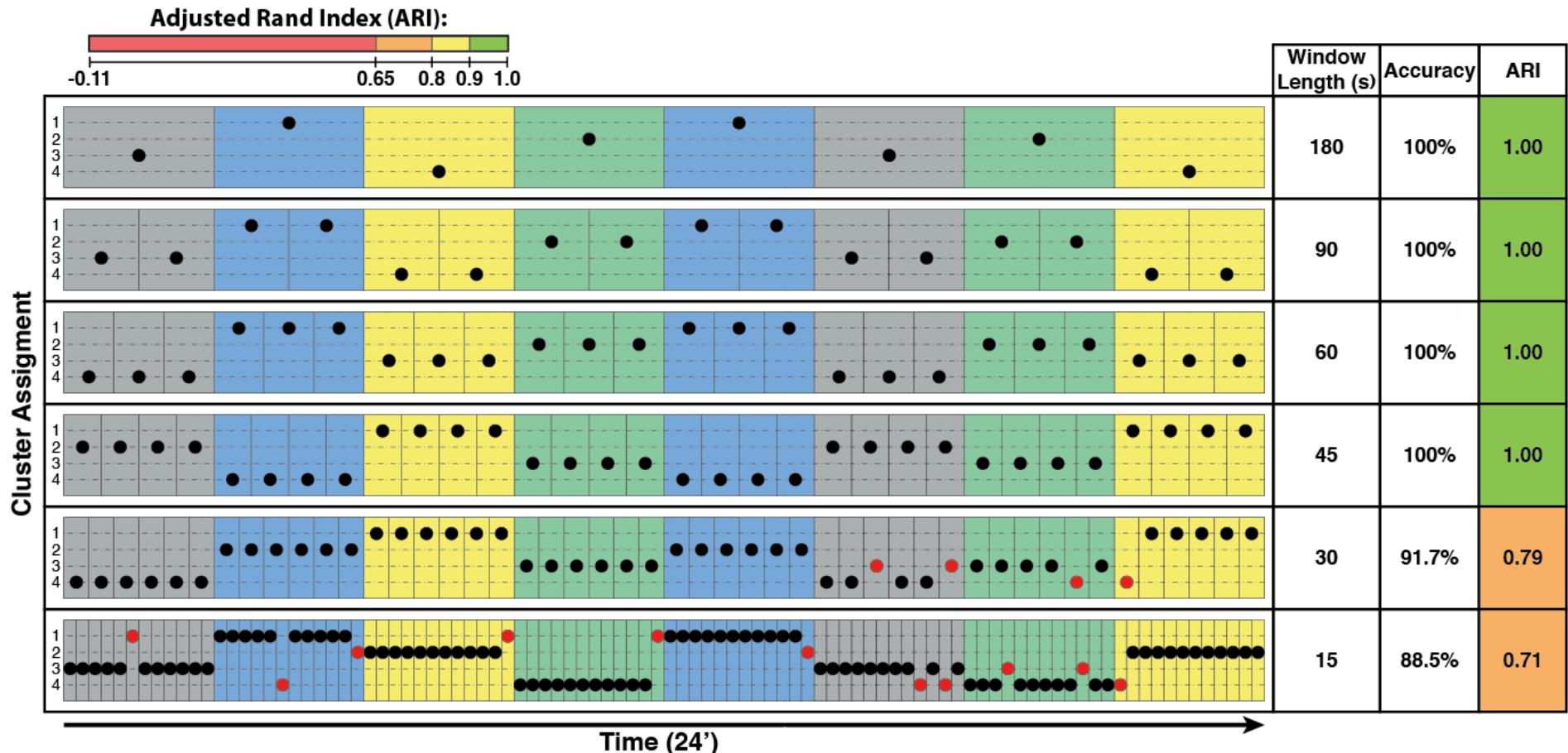


Steinley D. “Properties of the Hubert-Arabie Adjusted Rand Index”. Psy Methods:9 (3), 386-396 (2004)

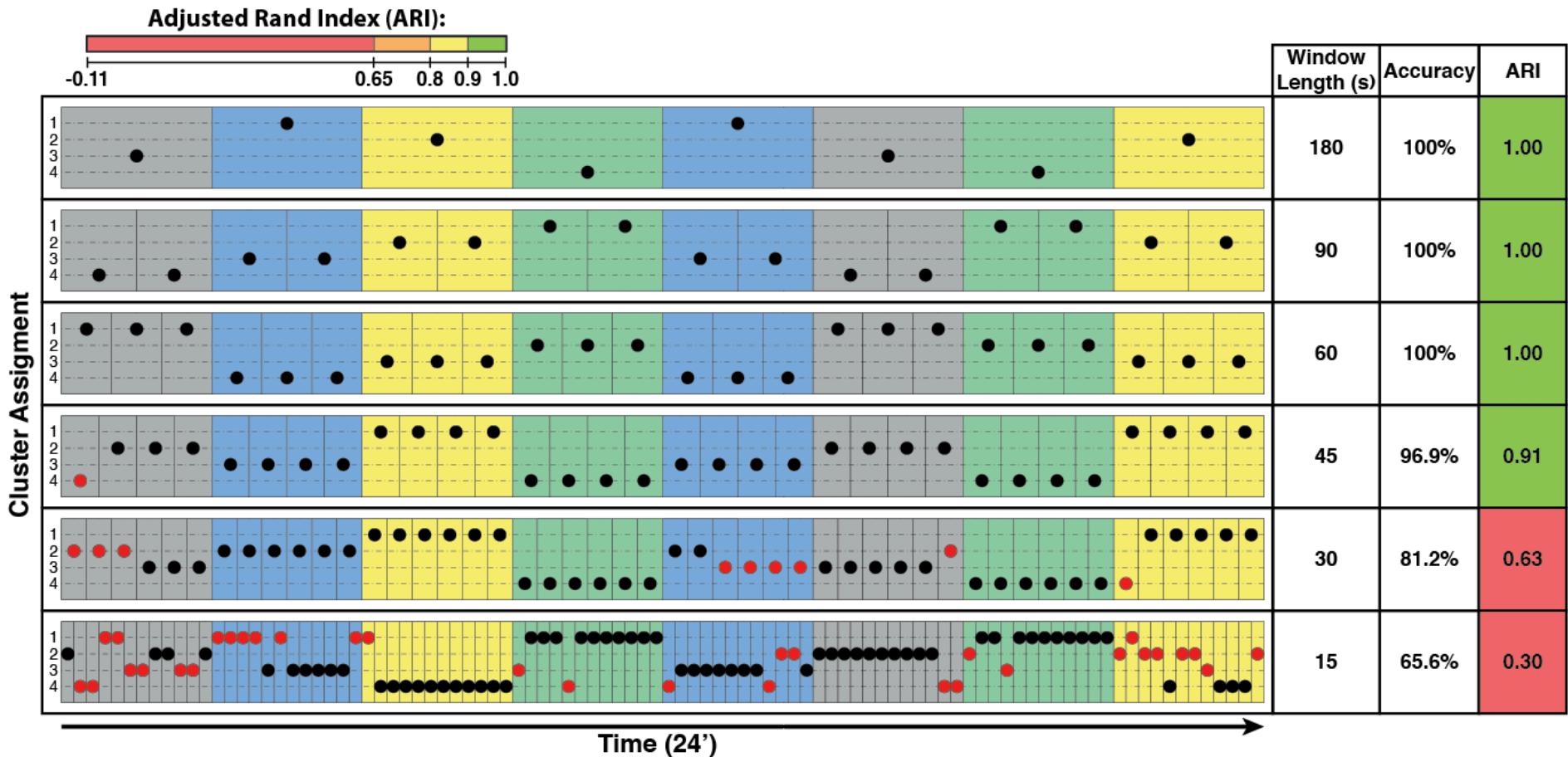
# RESULTS – Excellent Subject



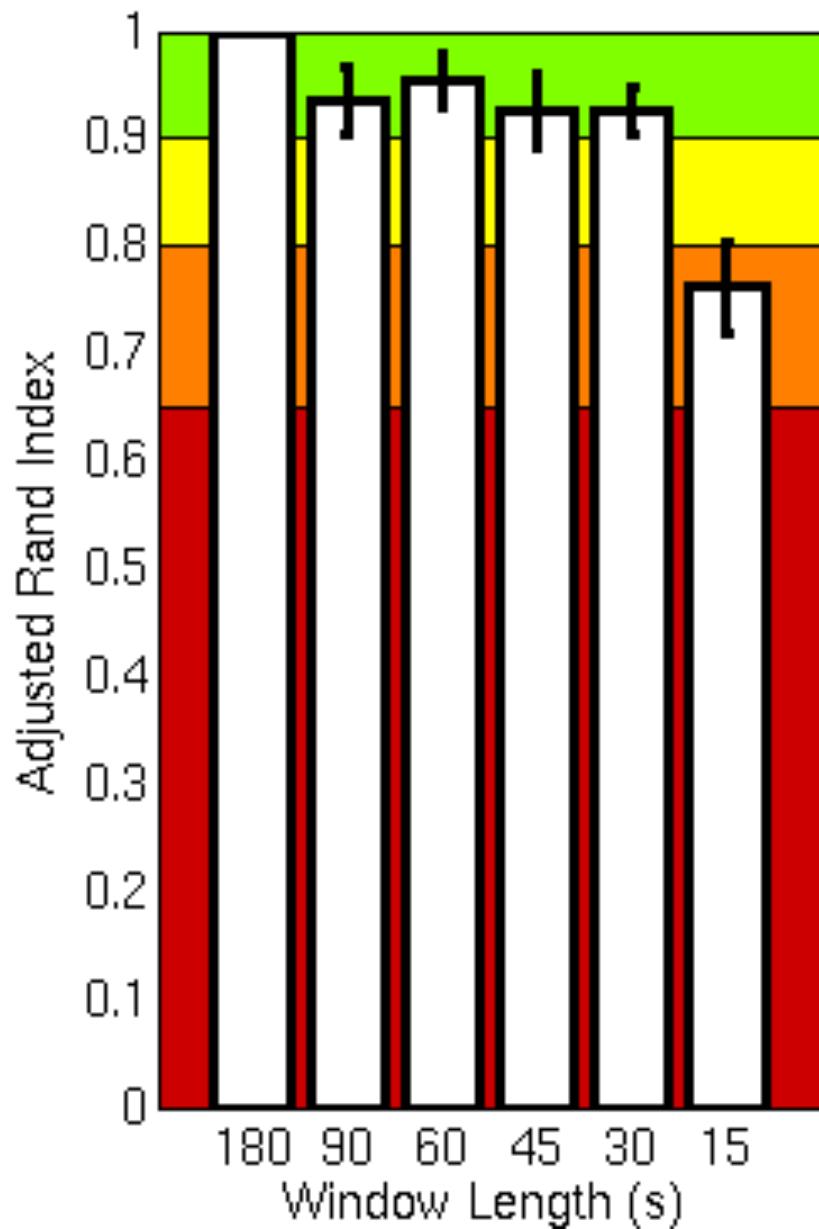
# RESULTS – Moderate Subject



# RESULTS – Poor Subject



# Results – Classification Accuracy



**Group Classification  
Accuracy**

# Agenda

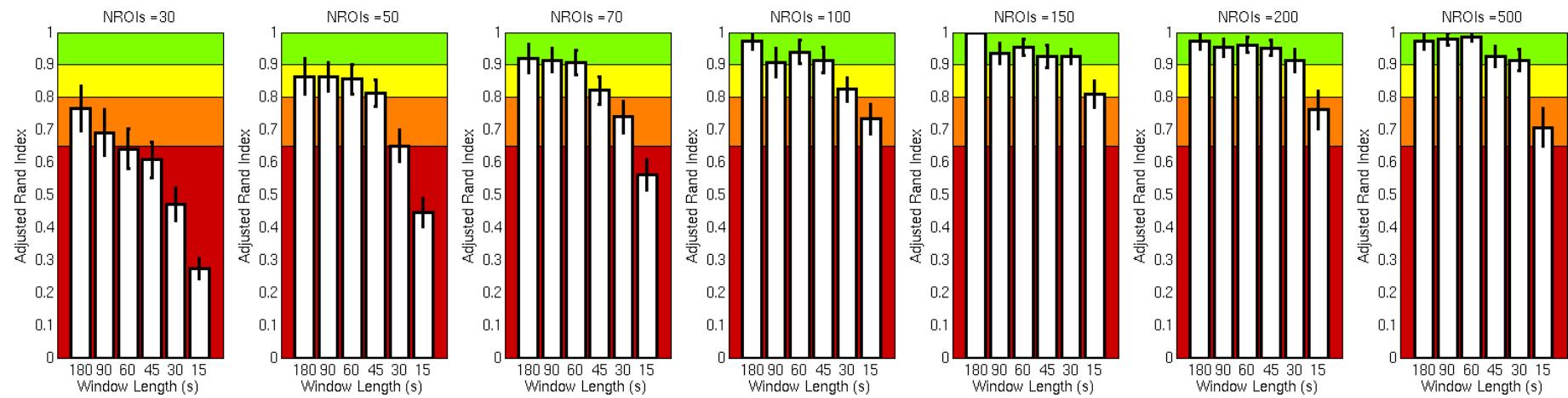
- **BOLD Connectivity Dynamics**
  - Some Original Observations
  - Basic Characterization of this Phenomena
- **Relationship to On-Going Cognition**
  - Automatic sleep staging based on fMRI connectivity
  - Detection of cognitive states using whole brain connectivity patterns
- **Importance of Methodology Decisions**
  - Parcellation Scheme
  - Feature Selection
  - Data mining Algorithm



National Institute  
of Mental Health

# Methodology Matters? – Brain Parcellation

PRE-PROCESSING PARCELLATION GENERATE ROI-TS DIMENSION REDUCTION CONNECTIVITY CLUSTERING



30 ROIs

50 ROIs

70 ROIs

100 ROIs

150 ROIs

200 ROIs

500 ROIs

*More smaller ROIs (more detailed connectivity patterns) seem to be preferable up to around 150 – 200. Beyond that there is no real gain, perhaps due to the increased dimensionality*

# Methodology Matters – How much variance to keep?

PRE-PROCESSING

PARCELLATION

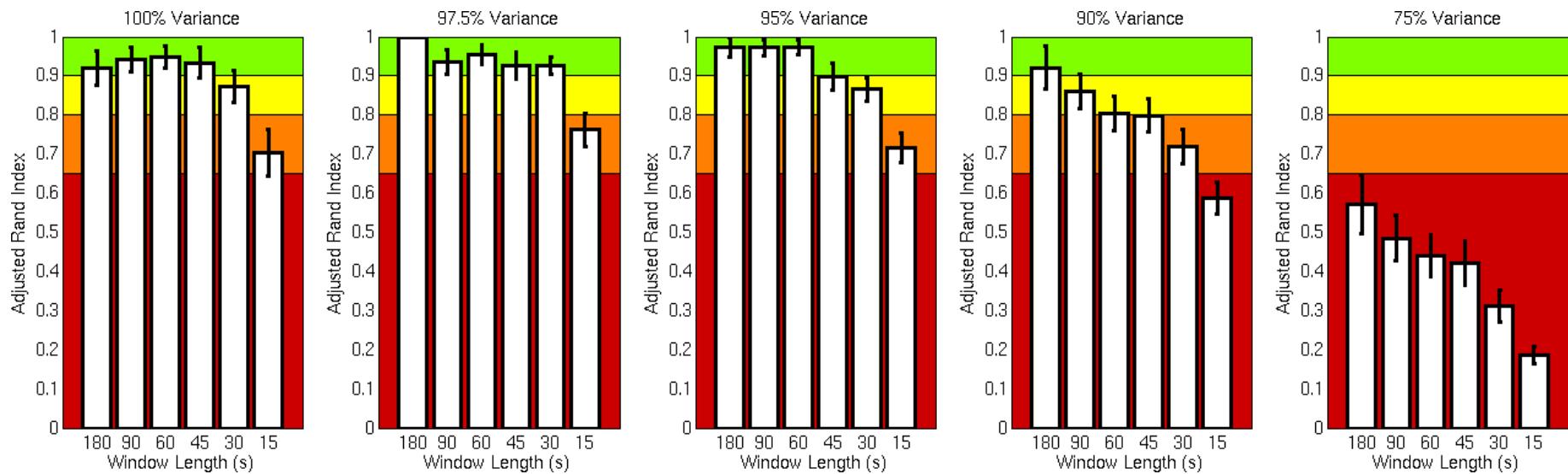
GENERATE ROI-TS

DIMENSION REDUCTION

CONNECTIVITY

CLUSTERING

150 ROIs Atlas



100%  
(130)

97.5%  
(61)

95%  
(42)

90%  
(25)

75%  
(9)

*Dimensionality Reduction (Feature Selection) greatly improves partition results.  
Excessive Dimensionality Reduction can be damaging as well.*

# Methodology Matters – Clustering Technique?

**K-MEANS**

Variance	Window Length (s)	ARI (K-Means)	ARI (Hierarchical)
100%	180	~0.95	~0.75
100%	90	~0.95	~0.80
100%	60	~0.95	~0.80
100%	45	~0.95	~0.75
100%	30	~0.90	~0.70
100%	15	~0.70	~0.65
97.5%	180	~0.95	~0.75
97.5%	90	~0.95	~0.80
97.5%	60	~0.95	~0.80
97.5%	45	~0.95	~0.75
97.5%	30	~0.90	~0.70
97.5%	15	~0.75	~0.65
95%	180	~0.95	~0.75
95%	90	~0.95	~0.80
95%	60	~0.95	~0.80
95%	45	~0.90	~0.70
95%	30	~0.85	~0.65
95%	15	~0.70	~0.60
90%	180	~0.95	~0.75
90%	90	~0.90	~0.70
90%	60	~0.85	~0.65
90%	45	~0.80	~0.60
90%	30	~0.75	~0.55
90%	15	~0.60	~0.50
75%	180	~0.95	~0.60
75%	90	~0.85	~0.45
75%	60	~0.80	~0.40
75%	45	~0.75	~0.35
75%	30	~0.70	~0.30
75%	15	~0.55	~0.20

**HIERARCHICAL CLUSTERING**

Variance	Window Length (s)	ARI (K-Means)	ARI (Hierarchical)
100%	180	~0.95	~0.75
100%	90	~0.85	~0.80
100%	60	~0.80	~0.75
100%	45	~0.75	~0.70
100%	30	~0.70	~0.65
100%	15	~0.55	~0.50
97.5%	180	~0.95	~0.75
97.5%	90	~0.85	~0.80
97.5%	60	~0.80	~0.75
97.5%	45	~0.75	~0.70
97.5%	30	~0.70	~0.65
97.5%	15	~0.55	~0.50
95%	180	~0.95	~0.75
95%	90	~0.85	~0.80
95%	60	~0.80	~0.75
95%	45	~0.75	~0.70
95%	30	~0.70	~0.65
95%	15	~0.55	~0.50
90%	180	~0.95	~0.75
90%	90	~0.75	~0.65
90%	60	~0.70	~0.60
90%	45	~0.65	~0.55
90%	30	~0.60	~0.50
90%	15	~0.45	~0.35
75%	180	~0.95	~0.60
75%	90	~0.45	~0.35
75%	60	~0.35	~0.30
75%	45	~0.30	~0.25
75%	30	~0.25	~0.20
75%	15	~0.15	~0.10

## ***K-Means outperforms Hierarchical Clustering***

# Conclusions (I)

- Despite long-term stability of resting-state networks, these networks are quite dynamic at shorter temporal scales:
  - Dynamic patterns can significantly differ from “stationary patterns”
  - Dynamic behavior is region dependent
  - Present both in awake and anesthetized subjects.
- Whole-brain short-term (tens of seconds) fMRI-based connectivity patterns can be used as a marker to successfully recover different cognitive states.
  - Dynamic changes in connectivity are strongly related to on-going cognition.
  - Good classification can be achieved with epochs as short as 15 – 60 seconds.
- Methodological decisions can affect the strength of the relationship between dynamic connectivity changes and on-going cognition.

# Conclusions

- Measures of Connectivity Dynamics may be clinically relevant

- Differences in dynamic FC between healthy control and minimally disabled relapse-remitting multiple sclerosis patients.
- Identified a network of connections centered on the default-mode network with altered contribution in patients.

[Leonardi et al., NeuroImage 2013]

- We need to better understand the phenomena/contributing factors

- What are the periods of hyper-connectivity (simply deep breathing?)
- Should this dynamic behavior affect the way we define/design resting state studies? What about longitudinal studies?

- Necessary Methodological Developments:

- Automatically obtain number of states from the data
- Automatically detect transitions
- Find optimal ways to compress the data, yet keep all informative bits (e.g., graph theory metrics)

# Acknowledgements

## Section on Functional Imaging Methods

**Peter A. Bandettini**  
**Daniel A. Handwerker**  
Hang Joon Jo  
Jennifer Evans  
Prantik Kundu  
Meghan Robinson  
**Colin Hoy**  
**Laura Buchanan**  
Adam Thomas



## Scientific and Statistical Computing Core

Robert W. Cox  
**Ziad S. Saad**  
Daniel Glen  
Richard Reynolds  
Gang Chen



## Advanced MRI

Catie Chang



## Functional MRI Facility

Sean Marret  
Vinai Roopchansingh  
Souheil Inati

