We can design a greedy algorithm by running sort of a "backward" version of Kruskal's Algorithm. Specifically, we start with the full graph (V, E) and begin deleting edges in order of decreasing cost. As we get to each edge e (starting from the most expensive), we delete it as long as doing so would not actually disconnect the graph we currently have. For want of a better name, this approach is generally called the Reverse-Delete Algorithm.

1) Assuming the graph is connected, try to prove that Reverse-Delete is a correct algorithm for finding the MST.

The idea is to show that MSTs will not be changed with each deletion. If G is the original graph, let G' be the graph after one deletion by Reverse-Delete. Now, since the graph is still connected, the edge *e* that was removed must be part of a cycle (if not, deleting it would disconnect the graph... prove this). Since *e* was the heaviest edge available, it is also the heaviest edge of that cycle. We'll use the following lemma.

Lemma (proven in earlier worksheet): Let C be a cycle in a graph G with  $e \in C$  the edge with largest weight in the cycle. Then e cannot belong to any MST of G.

Proof of lemma: Assume e actually is part of some MST (if not we're done). Removing e from the MST disconnects the graph into two components. However, there exists an edge e' in the cycle that isn't used and will connect the graph. Reconnect the graph using that edge e'. Now it is a spanning tree with smaller weight since w(e') < w(e). Since this contradicts that we started with an MST in the first place, e cannot be part of any MST.

So, by the lemma, the edges removed by Reverse-Delete are not part of any MST. Thus the MST of G' will be the same as an MST of G.

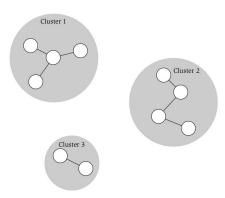
2) List some disadvantages of Reverse-Delete compared to Kruskal's algorithm.

For every edge that we hope to delete, we need to check whether the graph is disconnected. This is a costly check (can be done with a search).

3) Clusterings of Maximum Spacing Minimum spanning trees play a role in one of the most basic formalizations, which we describe here. Suppose we are given a set U of n objects, labeled  $p_1, p_2, \ldots, p_n$ . For each pair,  $p_i$  and  $p_j$ , we have a numerical distance  $d(p_i, p_j)$ . We require only that  $d(p_i, p_i) = 0$ ; that  $d(p_i, p_j) > 0$  for distinct  $p_i$  and  $p_j$ ; and that distances are symmetric:  $d(p_i, p_i) = d(p_i, p_i)$ .

Suppose we are seeking to divide the objects in U into k groups, for a given parameter k. We say that a k-clustering of U is a partition of U into k nonempty sets  $C_1, C_2, \ldots, C_k$ . We define the spacing of a k-clustering to be the minimum distance between any pair of points lying in different clusters. Given that we want points in different clusters to be far apart from one another, a natural goal is to seek the k-clustering with the maximum possible spacing.

The question now becomes the following. There are exponentially many different k-clusterings of a set U; how can we efficiently find the one that has maximum spacing?



## Design a clustering algorithm using spanning tree ideas.

## Any minimum spanning tree algorithm that stops at |E| = |V| - k will give the correct clustering.

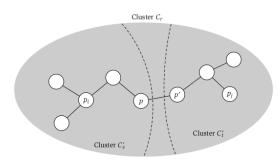
**(4.26)** The components  $C_1, C_2, \ldots, C_k$  formed by deleting the k-1 most expensive edges of the minimum spanning tree T constitute a k-clustering of maximum spacing.

**Proof.** Let  $\mathbb C$  denote the clustering  $C_1, C_2, \ldots, C_k$ . The spacing of  $\mathbb C$  is precisely the length  $d^*$  of the  $(k-1)^{\rm st}$  most expensive edge in the minimum spanning tree; this is the length of the edge that Kruskal's Algorithm would have added next, at the moment we stopped it.

Now consider some other k-clustering  $\mathbb{C}'$ , which partitions U into nonempty sets  $C_1', C_2', \ldots, C_k'$ . We must show that the spacing of  $\mathbb{C}'$  is at most  $d^*$ 

Since the two clusterings  ${\mathfrak C}$  and  ${\mathfrak C}'$  are not the same, it must be that one of our clusters  $C_r$  is not a subset of any of the k sets  $C_s'$  in  ${\mathfrak C}'$ . Hence there are points  $p_i, p_j \in C_r$  that belong to different clusters in  ${\mathfrak C}'$ —say,  $p_i \in C_s'$  and  $p_j \in C_t' \neq C_s'$ .

Now consider the picture in Figure 4.15. Since  $p_i$  and  $p_j$  belong to the same component  $C_r$ , it must be that Kruskal's Algorithm added all the edges of a  $p_i \cdot p_j$  path P before we stopped it. In particular, this means that each edge on



**Figure 4.15** An illustration of the proof of (4.26), showing that the spacing of any other clustering can be no larger than that of the clustering found by the single-linkage algorithm.

P has length at most  $d^*$ . Now, we know that  $p_i \in C'_s$  but  $p_j \not\in C'_s$ ; so let p' be the first node on P that does not belong to  $C'_s$ , and let p be the node on P that comes just before p'. We have just argued that  $d(p,p') \le d^*$ , since the edge (p,p') was added by Kruskal's Algorithm. But p and p' belong to different sets in the clustering  $\mathcal{C}'$ , and hence the spacing of  $\mathcal{C}'$  is at most  $d(p,p') \le d^*$ . This completes the proof.