Algorithm Analysis: Induction

CSCI241

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1 Algorithm

1.1 Problem \rightarrow Algorithm \rightarrow Program

- Algorithm: A finite set of instructions that if followed, a particular task can be accomplished.
- Computer Algorithm: A step by step procedure used by a computer to solve a problem
 - has input and output
 - each step is unambiguous
 - terminates in a finite number of steps in a resonably short period of time

1.2 Criteria for algorithm analysis

- Correctness
- Time/Computational Complexity
- Space Complexity
- Simplicity & Readability
- Optimality

1.3 Time Complexity: Big O notation

See section 6.2

2 Example 1: Sequential Search

Note that we use recursion here.

2.1 Algorithm description of sequential search

- **Problem**: Is item x in array s of n items?
- Inputs: s, k, n, s where
 - s: array of n items to process
 - k: index of the kth element in s
 - n: number of items in s
 - x: target to search for

- outputs: the least k with s[k]=x or -1
- Algorithm in Pseudocode

```
\begin{array}{c} Search(s,k,n,x) \\ if(k>n) \\ return -1 \\ if(s[k]=x) \\ return \ k \\ else \\ Search(s,k+1,n,x) \end{array}
```

2.2 Algorithm Analysis of sequential search

- Correctness: In general, tedious, difficult, and complicated. For recursion algorithms, we need to ask 3 questions:
 - Q1: Is there base(s)? (Does the recursion end?)
 - Q2: Does the recursion call solve a smaller part of the or original problem?
 - Q3: Does the while procedure work assuming the recursion works?
- Time complexity:
 - difficult to measure
 - focus on the key operation(s) in term of the input size n
 - in search algorithm, the key operation is comparison.
 - best case:

$$B(n) = 1$$

– worse case:

$$W(n) = \begin{cases} 1, & \text{if } n = 1 \text{ (base)} \\ 1 + W(n-1), & \text{otherwise (recursive call)} \end{cases}$$

method: expand recursive call

$$W(n) = 1 + W(n-1)$$

$$= 1 + (1 + W(n-2)) = 2 + W(n-2)$$

$$= \dots$$

$$= \underbrace{1 + 1 + \dots + 1}_{k \ 1's} + W(n-1) = k + W(n-k)$$

Now: use the base case

Let
$$n - k = 1$$

then $k = n - 1$

$$W(n) = n - 1 + W(1)$$
$$= n - 1 + 1$$
$$= n$$

– on average:

$$A(n) \approx \frac{n}{2}$$

• Space Complexity

- memory space for k, n, x, and s
- stack space for n-1 cells
- not efficient due to recursive calls

Optimality

- if not optimal =; show a better method
- Sequential search is optimal for non-ordered array
- Sequential search is NOT optimal for ordered array

3 Example 2: Binary Search

3.1 Algorithm description of binary search

- **Problem**: Find the index k of an element x in the ordered array s of n elements s[1,n]. If x is not in the array, return -1.
- Inputs: s, L, U, x where
 - s: array of n items to process
 - L: lower bound
 - U: upper bound
 - x: target to search for
- outputs: index k with s[k]=x or -1
- $\bullet \ \, \mathbf{Algorithm} \ \, \mathbf{in} \ \, \mathbf{Pseudocode} (\text{Note that the operator we use to compute } \mathbf{m} \ \, \text{is the floor operator.})$

```
\begin{split} \mathrm{BS}(\mathbf{s}, \mathbf{L}, \mathbf{U}, \mathbf{x}) \\ & \mathrm{if}(\mathbf{L} \! > \! \mathbf{U}) \\ & \mathrm{return -1} \\ & \mathrm{else} \\ \\ & m = \left\lfloor \frac{L+U}{2} \right\rfloor \\ & \mathrm{if}(\mathbf{x} \! = \! \mathbf{s}[\mathbf{m}]) \\ & \mathrm{return \ m} \\ & \mathrm{else \ if \ } (\mathbf{x} \! \mid \! \mathbf{s}[\mathbf{m}]) \\ & \mathrm{BS}(\mathbf{s}, \mathbf{L}, \mathbf{m} \! - \! \mathbf{1}, \mathbf{x}) \\ & \mathrm{else \ if \ } (\mathbf{x} \! \mid \! \mathbf{s}[\mathbf{m}]) \\ & \mathrm{BS}(\mathbf{s}, \mathbf{m} \! + \! \mathbf{1}, \mathbf{U}, \mathbf{x}) \\ & \mathrm{EndBS} \end{split}
```

3.2 Algorithm Analysis of Binary Search

In this part, we only focus on the time complexity.

- Time complexity
 - best case

$$B(n) = 1$$

worst case

$$W(n) = \begin{cases} 1, & \text{if } n = 1 \text{ (base)} \\ 1 + W(\lfloor \frac{n}{2} \rfloor), & \text{otherwise (recursive call)} \end{cases}$$

method: expand recursive call

$$W(n) = 1 + W(\left\lfloor \frac{n}{2} \right\rfloor)$$

$$= 1 + (1 + W(\left\lfloor \frac{\left\lfloor \frac{n}{2} \right\rfloor}{2} \right\rfloor)) = 2 + W(\left\lfloor \frac{n}{2^2} \right\rfloor)$$

$$= \dots$$

$$= k + W(\left\lfloor \frac{n}{2^k} \right\rfloor)$$

Now: use the base case

Let
$$\left\lfloor \frac{n}{2^k} \right\rfloor = 1$$

then $1 \le \frac{n}{2^k} \le 2$
 $2^k \le n \le 2^{k+1}$
 $k \le \log_2 n \le k+1$
 $\log_2 n - 1 \le k \le \log_2 n$
 $k = \lfloor \log_2 n \rfloor$
 $W(n) = \lfloor \log_2 n \rfloor + 1$

 $= \lceil log_2(n+1) \rceil$