# Handling Deadlock for Distributed Systems - I

Different Approaches



1

## **Outline**



- Introduction to Distributed Deadlock Detection
- Control Models for DDD algorithm
- Centralized DDD algorithm
- Diffusion Computation-based DDD algorithm
- Mitchell-Merritt Edge Chasing DDD algorithm

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2

## **Definition**



- Deadlock is a state of contention in the system involving two or more blocked processes that can never be resolved unless there is some external intervention.
- Deadlocks are always stable.

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#### **Conditions for Deadlock**



- Mutual Exclusion: Resource is held by one and only one process at a time
- Hold and Wait: A process is allowed to hold on allocated resources while it's waiting to acquire other resources
- No Preemption
- Circular Wait

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5

## **Handling Deadlocks**



- There are three broad approaches towards mitigating deadlocks either for centralized system or for a distributed system. These are:
  - Deadlock Prevention
  - Deadlock Avoidance
  - Deadlock Detection and Recovery

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#### **Deadlock Prevention**



- Prioritize processes and assign resources accordingly
- Make prior rules to deny one of the 4 necessary conditions
- May lead to starvation and affect concurrency

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#### **Deadlock Avoidance**



- Only fulfill those resource requests that won't cause deadlock
- Simulate resource allocation and check if resultant state is safe or not.
- Requires Prior resource requirement information for all processes.
- High cost for scalability

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## **Deadlock Detection and Recovery**



- Periodically examine process status and check if one or more processes are in deadlock.
- Select the process to be killed such that it affects least
- Roll back on one or more processes and break the circular wait

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## **DD Detection Requirements**



- Progress Condition
  - No undetected deadlocks
    - All deadlocks found
    - Deadlocks found in finite time
- Safety Condition
  - No false deadlock detection
    - Phantom deadlocks caused by network latencies
    - Principal problem in building correct DS deadlock detection algorithms

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#### **Models for Requests**



- The AND model requires all resources to be granted to un-block a computation
  - A cycle is sufficient to declare a deadlock with this model
- The OR model allows a computation making multiple resource requests to unblock as soon as any one is granted
  - A cycle is a necessary condition
  - A knot is a sufficient condition

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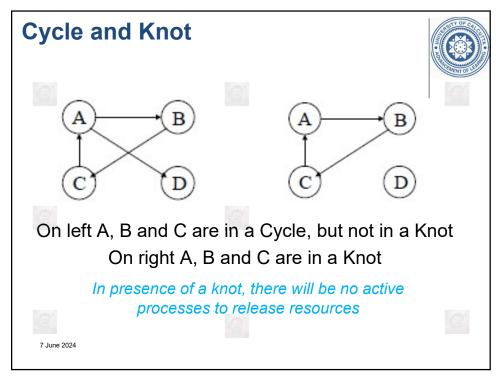
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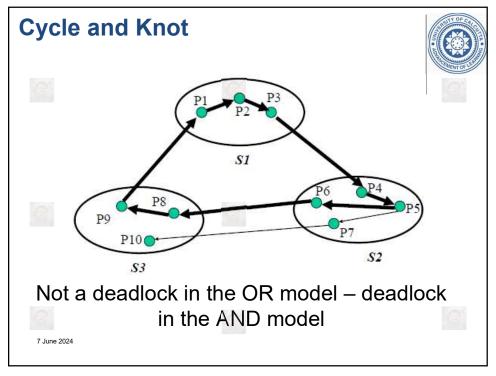
#### What is a Knot?

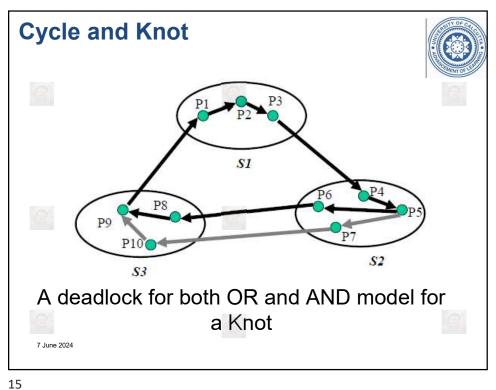


- A knot of a graph is a subset K of nodes such that the reachable set of each node in K is exactly K.
- A knot is a cycle with no non-cycle outgoing path from any node.
- In presence of a knot, there will be no active processes to release resources

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#### **Control Models for DDD**



- Centralized Control
  - A single control site constructs wait-for graphs (WFGs) and checks for directed cycles.
  - WFG can be maintained continuously (or) built on-demand by requesting WFGs from individual sites.

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17

#### **Control Models for DDD**



- Distributed Control
  - WFG is spread over different sites. Any site can initiate the deadlock detection process.
- Hierarchical Control
  - Sites are arranged in a hierarchy.
  - A site checks for cycles only in descendents.

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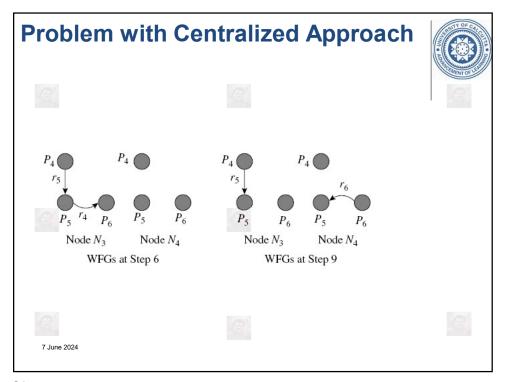
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## **Ho-Ramamurthy DDD Algorithm**



- Each site maintains 2 status tables: resource status table and process status table.
  - Resource status table: Resources locked by or requested by processes.
  - Process status table: Processes that are locked or are waiting for resources.
- Controller periodically collects these tables from each site.

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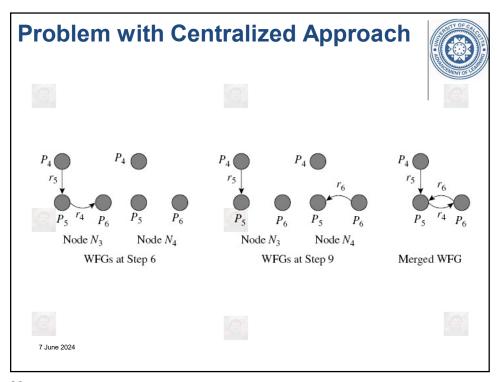


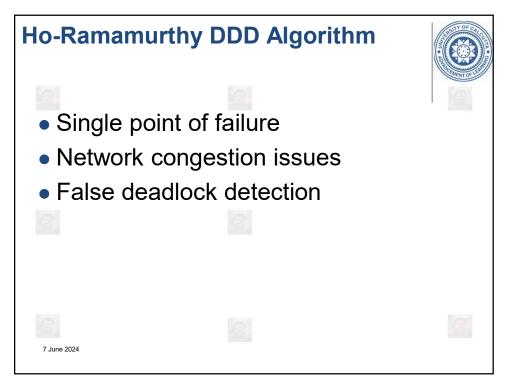
# **Ho-Ramamurthy DDD Algorithm**



- Controller constructs a WFG from transactions common to both the tables.
- If there is no cycle, then no deadlock is detected.
- A cycle means a deadlock.

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## Ho-Ramamurthy 2-phase algorithm



- Each site maintains a status table of all processes initiated at that site.
- It includes all resources locked and all resources being waited on.
- Controller site requests (periodically) the status table from each site.
- Controller then constructs WFG from these tables and looks for cycle(s).

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25

#### Ho-Ramamurthy 2-phase algorithm



- If no cycle exists then there is no deadlock.
- If cycle exists, then request for status tables again.
- Construct WFG based only on common transactions in the 2 tables.
- If the same cycle is detected again, system is in deadlock.

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# Ho-Ramamurthy 2-phase algorithm



Is this approach free from phantom deadlock?

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## Chandy, Misra, Haas Algorithm



- Initiation: Any process, say A, blocked for a long time may initiate the diffusion process as:
  - Send query to all outgoing edges on the WFG
  - Wait for that many replies

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29

## Chandy, Misra, Haas Algorithm



- On receipt of an engaging query, a blocked process B does the following:
  - Send query to all outgoing edges on the WFG
  - Wait for that many replies
  - If all the replies arrive and B is blocked continuously since it received the engaging query, then B sends a reply to its parent

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## Chandy, Misra, Haas Algorithm



- On receipt of a non-engaging query, a blocked process B does the following:
- If process B is blocked continuously since it received the engaging query, then B sends a dummy non-engaging reply to its parent

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31

## Chandy, Misra, Haas Algorithm



 Deadlock Detection: If the initiator receives all the replies and it is blocked continuously since it initiated the diffusion process, then a deadlock is detected

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## **Diffusion-Computation approach**





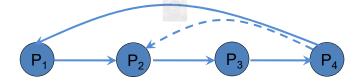
- P<sub>2</sub>, P<sub>3</sub> are blocked.
- Hence, P<sub>1</sub> is blocked and sends a query but does not receive a reply because P<sub>4</sub> is not blocked

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33

# **Diffusion-Computation approach**





- Now, P<sub>4</sub> requests for a resource held by P<sub>1</sub> or by P<sub>2</sub>.
- In this case, the reply would reach the initiator and a deadlock will be detected.

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