

Searching for Holes in the Matrix Universe

Lucas Kerbs

Spring 2022

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1. Eventual goal: lift the tools of algebraic topology to spaces of matrices
2. If we have a fixed size, use classical results but this doesn't work for multiple sizes.
3. For multiple (which hopefully I can convince you is interesting) we need to develop some hefty tools
4. To do so, we need to go back to our mathematical roots

Objects and Maps

A Naive Attempt

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└ Part I: Objects and Maps

Objects and Maps
A Naive Attempt

1. That's right—objects and maps.
2. Our Naive attempt involves that looking at lifting functions on \mathbb{R} or \mathbb{C} to accept matrices as their input.

Functional Calculus

Let $f \in \mathbb{R}[x]$ and $A \in M_k(\mathbb{C})$ be self adjoint.

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└ Part I: Objects and Maps

└ Functional Calculus

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- The naive attempt is modeled after the behavior of polynomials. We could plug in an arbitrary matrix into a polynomial without too much trouble, but requiring SA gives us some special behavior.
- Since we are SA, we can diagonalize
- Watch what happens when we plug this into our polynomial

Functional Calculus

Let $f \in \mathbb{R}[x]$ and $A \in M_k(\mathbb{C})$ be self adjoint.
 A is diagonalizable as $A = U\Lambda U^*$

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$$f(A) = a_n A^n + \cdots + a_1 A + a_0 I_k$$

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$$f \left(\begin{bmatrix} \lambda_1 & & \\ & \ddots & \\ & & \lambda_n \end{bmatrix} \right)$$

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Let \mathbb{H}_n be the set of $n \times n$ self adjoint matrices, and define

$$\mathbb{H} = \bigcup_{n \in \mathbb{N}} \mathbb{H}_n, \quad \mathcal{M} = \bigcup_{n \in \mathbb{N}} M_n(\mathbb{C})$$

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- Lets grab a function on the real line and the self adjoint matrices with their spectrum in that domain
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Directional Derivative

Definition: *Directional Derivative*

Fix some $X \in \mathbb{H}_n$. The derivative of f at X in the direction $H \in M_n(\mathbb{C})$ is

$$Df(X)[H] = \lim_{t \rightarrow 0} \frac{f(X + tH) - f(X)}{t}$$

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- We can define a directional derivative—as long as we are careful to have the direction in the same “level-wise” slice.
- Notice that, with some special attention to what operation we are carrying out, this is the exact same definition as classic multivariable calculus.
- There is another formulation that is (generally) more useful for computation

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Example: $g(x) = x^3$

$$g(X + tH) =$$

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- Now we consider an example. Since $Df(X)[H]$ is linear, we can just work with a single monomial
- First we expand $(x + th)^3$ —but we can't use the binomial theorem since x and h don't commute
- Once we expand, we take standard derivatives w.r.t t —treating X and H as formal symbols.

Example: $g(x) = x^3$

$$g(X + tH) = X^3 + tX^2H + tXHX + t^2XH^2 \\ + tHX^2 + t^2HXX + t^2H^2X + t^3H^3.$$

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└ Example: $g(x) = x^3$ Example: $g(x) = x^3$

And so the first 3 directional derivatives are:

$$Df(X)[H] = X^2H + XHX + HX^2$$

$$D^{(2)}f(X)[H] = 2XH^2 + 2HXXH + 2H^2X$$

$$D^{(3)}f(X)[H] = 6H^3$$

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What about $f(x, y) = xy \in \mathbb{C}[x, y]$? For $X, Y \in M_n(\mathbb{C})$, what is $f(X, Y)$?

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Example: $f(x, y) = x^2 - xyx - 1 \in \mathbb{R}\langle x, y \rangle$

$$X = \begin{bmatrix} 4 & 2 \\ 2 & 2 \end{bmatrix} \qquad \text{and} \qquad Y = \begin{bmatrix} 2 & 0 \\ 0 & 0 \end{bmatrix}$$

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Objects and Maps

A Second Attempt

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Searching for Holes in the Matrix Universe

└ Part I: Objects and Maps

└ Matrix Universe

Objects and Maps
A Second Attempt

1. Second attempt takes polynomials as an example object instead of as a thing to lift

Some Definitions

Definition: *Matrix Universe*

The g -dimensional **Matrix Universe** is

$$\mathcal{M}^g = \bigcup_{n \in \mathbb{N}} (M_n(\mathbb{C}))^g$$

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