

SECURE BAILMENT SOLUTIONS

Application Form - Compliance



**SECURE
BAILMENT
SOLUTIONS**

This Application Form relates to the process for application for Units in Trusts where Secure Bailment Solutions acts as Trustee/ Loan Manager. This Application Form does not constitute a solicitation or offer to invest the general public. Information collected in this form is used for the purposes of KYC and Anti-Money Laundering obligations.

Please return this completed Application Form and the Identification Form(s) to:

In person : Secure Bailment Solutions, Level 7, 109 Pitt Street, Sydney NSW 2000 or via your Financial Advisor

Mail : Suite 6/377 Kent Street, Sydney NSW 2000

1. CONTACT DETAILS

Please provide your contact details. If you have a financial adviser, we may contact your adviser first before contacting you about your application.

Title: **mr** Given name(s): **aaa**

Surname: **aaa**

Phone: **(15)-4095-6466**

Email: **taskresolver1991@gmail.com**

Postal Address: **Abdallah Road**

Suburb: **Seymour** State: **Victoria** Postcode: **3660**

We will upload relevant information, updates, disclosure documents, forms and reports to our website or send to your email address nominated above.

Should you wish to opt out of our electronic disclosure, please contact us at 02 8598 8578 or email us at info@securebailment.com.au

We may also send you marketing information in relation to this fund or other funds and opportunities that we may have to offer you in the future from time to time. Should you wish to opt out of receiving such communications, please contact us immediately.

2. INVESTMENT DETAILS

Minimum application is AU\$100,000 and thereafter multiples of AU\$50,000. Payment details are outlined in section 5 of this Application Form.

Investment amount \$ **32668000**

Fund Selected mortgage

Percent 1 %

Distribution : quart



3. INVESTOR DETAILS

What type of investor are you?

Complete section:

Unregulated trust with corporate trustee

C, D & E

C. COMPANY OR CORPORATE TRUSTEE

If the company is listed, please provide the details of the relevant market and details of its

Full company name / corporate trustee name / sole trader business name:
aaa

Australian Driver's Licence No.: aaa

ACN : aaa

Registered office street address (not a PO Box)
Aethalia Street

Suburb: State: Queensland Postcode: 4223

Principal place of business address (if different)
Australia Avenue

Suburb: Sydney Olympic Park State: New South Wales Postcode: 2127

Business activities: aae

listing:

Is the company/corporate trustee a proprietary or public company?

Public

If you are proprietary company, provide the full name of each director Director 1

name:

Director 2 name:

Director 3 name:

E. BENEFICIAL OWNERSHIP

D. TRUSTS

Beneficial Owner 1 Name: undefined

Beneficial Owner 1 Date of birth: undefined

Trustee No. 1 Name:

undefined

Trustee No. 1 Address:

undefined

Suburb: undefined

State: undefined

Postcode: undefined

Are there additional trustees?

undefined

Trust name: undefined

ABN, TFN or TFN exemption: undefined

Type of trust: undefined

Country in which trust was established: undefined

Nature of activities or purpose of the trust: undefined

Only complete the following part of section D if the trust is not a SMSF, registered managed investment scheme, wholesale unregistered managed investment scheme which does not accept retail investors, government superannuation fund or trusts registered and subject to statutory oversight.

Do the terms of the trust identify the beneficiaries by reference to membership of a class?

undefined

Beneficiary 1: undefined

Beneficiary 2: undefined

Beneficiary 3: undefined

Are there additional beneficiaries?

undefined

Full name of settlor of trust?

undefined

Beneficial Owner 1 Residential address:

undefined

Suburb: undefined

State: undefined

Postcode: undefined

Secure Bailment Solutions Pty Ltd

ACN 611 519 763

ABN 77 611 519 763

email@securebailment.com.au

Are you a Politically Exposed Person?

undefined

Beneficial Owner 2 Name: undefined

Beneficial Owner 2 Date of birth: undefined

Beneficial Owner 2 Residential address:

undefined

Suburb: undefined State: undefined Postcode: undefined

Are you a Politically Exposed Person?

undefined

Beneficial Owner 3 Name: undefined

Beneficial Owner 3 Date of birth: undefined

Beneficial Owner 3 Residential address:

undefined

Suburb: undefined State: undefined Postcode: undefined

Are you a Politically Exposed Person?

undefined

If you do not complete Beneficial Owner information, you are confirming that there are no Beneficial Owners. If you do not have Beneficial Owners, Secure **Bailment Solutions** will contact you to collect additional information about your company or trust.

You are a Beneficial Owner if you are an individual who directly or indirectly **owns 25% or more of the company or trust or ultimately controls the company** or trust, including through determining the financial or operating policies of **the company or trust**.



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4. HOW WE MAKE PAYMENTS TO YOU

Please complete your bank account details below. Please note the account must be held in the name of the Applicant. All payments are calculated and paid in Australian dollars.

Direct all payments to the bank account nominated for below.

Name of financial institution: aadf

Account name: afs

BSB: afs Account number: 1234

Distribution Instructions af234

SWIFT/IBAN: 24256 Bank Branch: dafr3241



5. HOW TO PAY APPLICATION MONEY TO US

These details are required so your payment can be matched to your Application Form. Please indicate which payment method you have used.

I am paying by (please choose one method only)

Bank Cheque

Please transfer funds electronically to the following interim holding account and send your completed application form to the Investor Relations team confirming which Unit Trust you are intending to participate in.

Account name:	afs
BSB:	afs
Account number:	1234
Bank:	aadf
Branch:	dafr3241
BIC/Swift Code:	24256
Reference:	af234

It is important to include your full name as reference. If we are unable to match your application to a payment your application may be delayed. Please retain your transfer receipt as proof of payment.

DETAILS

(to be completed by your financial adviser if applicable)

If you use a financial adviser and/or your financial adviser is providing us with your identification documents, please have them complete, sign and stamp this section to confirm they hold a current AFS Licence and are authorised to deal in or advise on managed investment products.

Adviser name:	adfwe
Adviser company:	asfsd
Assistant name:	asfsaf
Adviser email:	fweaf@fea.fw
Adviser phone:	(34)-2516-3423
AFSL name:	afs
AFSL number:	a32415
Authorised representative number:	safdd23415124
Adviser signature and stamp:	fdsafs



7. ELECTRONIC VERIFICATION

Sec

ure Bailment Solutions may use the personal information collected about investors for any purpose stated in our Privacy Policy as well as for the purposes of verifying their identity in accordance with Secure Bailment Solutions's obligations under Anti-Money Laundering Laws and Regulations.

When conducting customer identification using electronic means the following may occur:

- ▶ We may disclose personal information about individuals to a credit reporting agency or data identification verification service provider for the purposes of providing an assessment as to whether the personal information matches (in whole or part) personal information contained in public or private databases in the possession or control of the credit reporting agency.
- ▶ The credit reporting agency may provide us with a written assessment and we may retain the information on file for a period 5 years
- ▶ You may request a copy of the information we hold by writing to us
- ▶ The credit reporting agency may use the personal information about the individual, and the names, residential addresses and dates of birth contained in credit information files of other individuals, for the purpose of preparing the assessment. Other uses of your personal information by the credit reporting agency are restricted under Privacy laws.

You may consent to Secure Bailment Solutions providing your personal information to a credit reporting agency for electronic verification purposes by signing the declaration below or by proceeding with your investment:

X

- ▶ I/we consent to SBS providing my/our personal information to a credit or electronic data verification reporting agency for the purposes of conducting customer identification.

1

Individual Investor / Director name / Trustee and signature:

2

Individual Investor / Director name / Trustee and signature:

3

Beneficial Owner 1 Name and signature:

Beneficial Owner 2 Name and signature:



Beneficial Owner 3 Name and signature:

6

Beneficial Owner 4 Name and signature:

Upload ID Card ScreenShort

▶ Please select Front screenshot of your ID card.

▶ Please select Back screenshot of your ID card.

Photo verification Sample

▶ Please take a raw photo.

Secure Bailment Solutions Pty Ltd

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8. FOREIGN ACCOUNT TAX COMPLIANCE ACT (FATCA)

Individuals or joint investors MUST complete this section.

Are you a United-States (US) citizen or resident for tax purposes?

No - Skip to Section 9



9. WHOLESALE CLIENT STATUS

You must satisfy one of the wholesale client tests.



You are investing at least \$500,000 in a Fund. If joint holders, the minimum is \$500,000 for each applicant. No additional documents are required to be submitted. You are not able to rely on this exemption to the extent your investment in the Fund includes superannuation sourced money.

You are a “professional investor”, as that term is defined in the Corporations Act. You may be requested to provide evidence of your “professional investor” status.

You have net assets of at least \$2.5 million, which may include assets of companies and Funds that you control. Attach a certificate issued by a qualified accountant (which is less than two years old) certifying this asset position.

You had, for each of the last two financial years, a gross income of at least \$250,000, which may include income of companies and Funds that you control. Attach a certificate issued by a qualified accountant (which is less than two years old) certifying this income position.

You are a sophisticated investor (as that term is defined in section 761GA of the Corporations Act). Attach a certificate issued by an AFS licensee.

Important notes:

If you do not meet any of the above tests, then please contact us to discuss other available options.

10. DECLARATION

- ▶ I/We acknowledge that any investments in a Fund are subject to the risks and disclosures outlined in the section of the IM under the heading 'Investment Risks'.
- ▶ An Information Memorandum is not a prospectus, product disclosure statement or other disclosure document under the Corporations Act
- ▶ I/we are "Wholesale Clients" as defined in the Corporations Act
- ▶ The Information Memorandum does not, and is not required to, contain all of the information that a product disclosure statement, prospectus or other disclosure document issued under the Corporations Act is required to contain. It may not include all of the information that an investor and the investor's advisers would expect or require in order to make an informed investment decision and is intended to provide general information only in respect of the offer of units in the Fund.
- ▶ Investments in the Fund are not deposits with or other liabilities of Secure Bailment Solutions (ACN 634 517 301), its associates or any other person and are subject to investment risk, including loss of capital invested, negative returns and delays in repayment.
- ▶ The units offered under the Information Memorandum should be regarded as illiquid and units are not suitable for investors who require liquidity.
- ▶ The ability of the Fund to achieve the target return is subject to the risks discussed in Section 7 of the Information Memorandum, particularly the risks that would apply in respect of loans made to fund residential subdivision developments in Sydney and Melbourne.
- ▶ I/We acknowledge that the information collected by Secure Bailment Solutions (including in this application form) may be used for identification purposes, including via a third party verification service, to enable us to comply with all its customer identification obligations under the Act, associated rules and regulations and industry protocols as referred to above.
- ▶ I/We will provide Secure Bailment Solutions or its nominee any information that it reasonably requires in order to enable Secure Bailment Solutions to meet all of its compliance, reporting and other obligations under the United States of America Foreign Account Tax Compliance Act (FATCA) and all associated rules and regulations from time to time (including, without limitation, the Inter- Governmental Agreement (IGA) entered into between the governments of the US and Australia). I/We understand that Secure Bailment Solutions may disclose such information to the Australian Taxation Office (ATO) who may in turn disclose the information to the US Internal Revenue Service (IRS).
- ▶ I/We understand that where I/we have provided Secure Bailment Solutions or its nominee with information about my status or designation under or for the purposes of FATCA (including, but without limitation, US residency or citizenship status and FATCA status as a particular entity type) and all associated rules and regulations, Secure Bailment Solutions will treat that information as true and correct without any additional validation or confirmation being undertaken by SBS except where it is under a legal obligation to do so.
- ▶ I/We agree to be bound by the provisions of the relevant Fund's Constitution, presently and as may be amended from time to time. I/We acknowledge that I/we have read the Information Memorandum and the Fund Documentation, and that I/we agree to the terms of the Information Memorandum and I/we agree to the payment of the fees described in the Information Memorandum.
- ▶ I/We declare that all acts, matters and things done by the Attorney in exercising powers under this power of attorney will be as good and valid as if they had been done by me/us and I/we agree to ratify and confirm whatever the Attorney does in exercising powers under this power of attorney. I/We declare that this power of attorney is given for valuable consideration and is irrevocable for seven years from the date of this power of attorney. I/We agree that the proper law of this power of attorney is the law of New South Wales, Australia



If the application is signed by more than one person, who will operate the account:

Any to sign

Signature 1

Name: Michael Duane

Date: 2023-11-22

Title: yyy

Signature 2

Name:

Date:

Title:

Sole director and company secretary

Please return this completed Application Form and Identification form(s) to: Secure Bailment Solutions

Registered Mail : Suite 6/377 Kent Street, Sydney NSW 2000

Email: email@securebailment.com.au or return via your Nominated Advisor