## Parikh's theorem

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June 10, 2025

#### Abstract

This library introduces Parikh images of formal languages and proves Parikh's theorem. The proof closely follows Pilling's proof [1]: It describes a context free language as a minimal solution to a system of equations induced by a context free grammar for this language. Then it is shown that there exists a minimal solution to this system which is regular, such that the regular solution and the context free language have the same Parikh image.

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## 1 Regular language expressions

```
theory Reg-Lang-Exp
imports
Regular-Sets.Regular-Set
Regular-Sets.Regular-Exp
begin
```

datatype 'a rlexp = Var nat

#### 1.1 Definition

We introduce regular language expressions which will be the building blocks of the systems of equations defined later. Regular language expressions can contain both constant languages and variable languages where variables are natural numbers for simplicity. Given a valuation, i.e. an instantiation of each variable with a language, the regular language expression can be evaluated, yielding a language.

```
| Const 'a lang
                  Union 'a rlexp 'a rlexp
                  Concat 'a rlexp 'a rlexp
                 | Star 'a rlexp
type-synonym 'a valuation = nat \Rightarrow 'a lang
primrec eval :: 'a \ rlexp \Rightarrow 'a \ valuation \Rightarrow 'a \ lang \ \mathbf{where}
  eval(Var n) v = v n
  eval (Const \ l) -= l \ |
  eval (Union f g) v = eval f v \cup eval g v
  eval (Concat f g) v = eval f v @@ eval g v |
  eval (Star f) v = star (eval f v)
primrec vars :: 'a \ rlexp \Rightarrow nat \ set \ where
  vars (Var n) = \{n\} \mid
  vars (Const -) = \{\} \mid
  vars (Union f g) = vars f \cup vars g
  vars (Concat f g) = vars f \cup vars g \mid
  vars (Star f) = vars f
```

Given some regular language expression, substituting each occurrence of a variable i by the regular language expression s i yields the following regular language expression:

```
primrec subst :: (nat \Rightarrow 'a \ rlexp) \Rightarrow 'a \ rlexp \Rightarrow 'a \ rlexp where subst s (Var \ n) = s \ n \mid subst - (Const \ l) = Const \ l \mid subst s (Union \ f \ g) = Union \ (subst \ s \ f) \ (subst \ s \ g) \mid subst s (Concat \ f \ g) = Concat \ (subst \ s \ f) \ (subst \ s \ g) \mid subst s (Star \ f) = Star \ (subst \ s \ f)
```

#### 1.2 Basic lemmas

```
lemma substitution-lemma:
 assumes \forall i. \ v' \ i = eval \ (upd \ i) \ v
 shows eval (subst upd f) v = eval f v'
 using assms by (induction rule: rlexp.induct) auto
{f lemma}\ substitution\mbox{-}lemma\mbox{-}update:
  eval\ (subst\ (Var(x:=f'))\ f)\ v=eval\ f\ (v(x:=eval\ f'\ v))
 using substitution-lemma[of v(x := eval f' v)] by force
lemma subst-id: eval (subst Var f) v = eval f v
 using substitution-lemma[of v] by simp
lemma vars-subst: vars (subst upd f) = (\bigcup x \in vars f. vars (upd x))
 by (induction f) auto
lemma vars-subst-upper: vars (subst upd f) \subseteq (\bigcup x. vars (upd x))
 using vars-subst by force
lemma vars-subst-upd-upper: vars (subst (Var(x := fx)) f) \subseteq vars f - \{x\} \cup vars
fx
proof
 \mathbf{fix} \ y
 let ?upd = Var(x := fx)
 assume y \in vars (subst ?upd f)
  then obtain y' where y' \in vars \ f \land y \in vars \ (?upd \ y') using vars-subst by
blast
 then show y \in vars f - \{x\} \cup vars fx by (cases x = y') auto
qed
\mathbf{lemma}\ vars	ext{-}subst	ext{-}upd	ext{-}aux:
 assumes x \in vars f
 shows vars f - \{x\} \cup vars fx \subseteq vars (subst (Var(x := fx)) f)
proof
 \mathbf{fix} \ y
 let ?upd = Var(x := fx)
 assume as: y \in vars f - \{x\} \cup vars fx
 then show y \in vars (subst ?upd f)
 proof (cases \ y \in vars \ f - \{x\})
   {f case}\ {\it True}
   then show ?thesis using vars-subst by fastforce
```

```
\mathbf{next}
   {f case} False
   with as have y \in vars fx by blast
   with assms show ?thesis using vars-subst by fastforce
 ged
qed
lemma vars-subst-upd:
 assumes x \in vars f
 shows vars (subst (Var(x := fx)) f) = vars f - \{x\} \cup vars fx
 using assms vars-subst-upd-upper vars-subst-upd-aux by blast
lemma eval-vars:
 assumes \forall i \in vars f. \ s \ i = s' \ i
 shows eval f s = eval f s'
 using assms by (induction f) auto
lemma eval-vars-subst:
 assumes \forall i \in vars f. \ v \ i = eval \ (upd \ i) \ v
 shows eval (subst upd f) v = eval f v
proof -
 let ?v' = \lambda i. if i \in vars f then v i else eval (upd i) v
 let ?v'' = \lambda i. eval (upd i) v
 have v'-v'': ?v' i = ?v'' i for i using assms by simp
 then have v - v'' : \forall i. ?v'' i = eval (upd i) v by simp
 from assms have eval f v = eval f?v' using eval-vars[of f] by simp
 also have \dots = eval (subst upd f) v
   using assms substitution-lemma[OF v-v'', of f] by (simp add: eval-vars)
 finally show ?thesis by simp
qed
1.3
       Monotonicity
lemma rlexp-mono-aux:
 assumes \forall i \in vars f. \ v \ i \subseteq v' \ i
 shows eval f v \subseteq eval f v'
using assms proof (induction rule: rlexp.induct)
 case (Star x)
 then show ?case
  by (smt (verit, best) eval.simps(5) in-star-iff-concat order-trans subsetI vars.simps(5))
qed fastforce+
lemma rlexp-mono:
 fixes f :: 'a \ rlexp
 shows mono(eval f)
 using rlexp-mono-aux by (metis le-funD monoI)
```

### 1.4 Continuity

lemma langpow-mono:

```
fixes A :: 'a \ lang
  assumes A \subseteq B
  shows A \curvearrowright n \subseteq B \curvearrowright n
using assms conc-mono[of A B] by (induction n) auto
lemma rlexp-cont-aux1:
  assumes \forall i. \ v \ i \leq v \ (Suc \ i)
      and w \in (\bigcup i. \ eval \ f \ (v \ i))
   shows w \in eval f(\lambda x. \bigcup i. \ v \ i \ x)
proof -
  from assms(2) obtain n where n-intro: w \in eval \ f(v \ n) by auto
  have v \ n \ x \subseteq (\bigcup i. \ v \ i \ x) for x \ \text{by} \ auto
  with n-intro show ?thesis
   using rlexp-mono-aux[where v=v n and v'=\lambda x. \bigcup i. v i x] by auto
qed
lemma langpow-Union-eval:
 assumes \forall i. \ v \ i \leq v \ (Suc \ i)
     and w \in (\bigcup i. \ eval \ f \ (v \ i)) \cap n
   shows w \in (\bigcup i. \ eval \ f \ (v \ i) \cap n)
using assms proof (induction n arbitrary: w)
  case \theta
  then show ?case by simp
\mathbf{next}
  case (Suc \ n)
  then obtain u u' where w-decomp: w = u@u' and
    u \in (\bigcup i. \ eval \ f \ (v \ i)) \land u' \in (\bigcup i. \ eval \ f \ (v \ i)) \curvearrowright n \ \mathbf{by} \ fastforce
  with Suc have u \in (\bigcup i. \ eval \ f \ (v \ i)) \land u' \in (\bigcup i. \ eval \ f \ (v \ i) \ ^{\frown} n) by auto
 then obtain i j where i-intro: u \in eval f(v i) and j-intro: u' \in eval f(v j)
n by blast
 let ?m = max \ i \ j
  from i-intro Suc.prems(1) rlexp-mono-aux have 1: u \in eval f(v?m)
   by (metis le-fun-def lift-Suc-mono-le max.cobounded1 subset-eq)
  from Suc.prems(1) rlexp-mono-aux have eval f(v j) \subseteq eval f(v ?m)
   by (metis le-fun-def lift-Suc-mono-le max.cobounded2)
  with j-intro langpow-mono have 2: u' \in eval f(v?m) \cap n by auto
 from 1 2 show ?case using w-decomp by auto
qed
lemma rlexp-cont-aux2:
  assumes \forall i. \ v \ i \leq v \ (Suc \ i)
      and w \in eval f(\lambda x. \bigcup i. \ v \ i \ x)
   shows w \in (\bigcup i. \ eval \ f \ (v \ i))
using assms proof (induction arbitrary: w rule: rlexp.induct)
  case (Concat f g)
  then obtain u u' where w-decomp: w = u@u'
   and u \in eval f(\lambda x. \bigcup i. \ v \ i. x) \land u' \in eval g(\lambda x. \bigcup i. \ v \ i. x) by auto
  with Concat have u \in (\bigcup i. \ eval \ f \ (v \ i)) \land u' \in (\bigcup i. \ eval \ g \ (v \ i)) by auto
 then obtain i j where i-intro: u \in eval f(v i) and j-intro: u' \in eval g(v j) by
```

```
blast
 let ?m = max \ i \ j
 from i-intro Concat.prems(1) rlexp-mono-aux have u \in eval f(v?m)
   by (metis le-fun-def lift-Suc-mono-le max.cobounded1 subset-eq)
  moreover from j-intro Concat.prems(1) rlexp-mono-aux have u' \in eval q (v
?m)
   by (metis le-fun-def lift-Suc-mono-le max.cobounded2 subset-eq)
  ultimately show ?case using w-decomp by auto
next
  case (Star f)
  then obtain n where n-intro: w \in (eval\ f\ (\lambda x. \ |\ Ji.\ v\ i\ x)) \cap n
   using eval.simps(5) star-pow by blast
  with Star have w \in (\bigcup i. \ eval \ f\ (v\ i)) \cap n using langrow-mono by blast
  with Star.prems have w \in (\bigcup i. eval \ f(v \ i) \cap n) using langpow-Union-eval
by auto
 then show ?case by (auto simp add: star-def)
qed fastforce+
lemma rlexp-cont:
 assumes \forall i. \ v \ i \leq v \ (Suc \ i)
 shows eval f(\lambda x. \bigcup i. \ v \ i \ x) = (\bigcup i. \ eval \ f(v \ i))
proof
 from assms show eval f(\lambda x. \bigcup i. \ v \ i \ x) \subseteq (\bigcup i. \ eval \ f(v \ i)) using rlexp-cont-aux2
 from assms show (\bigcup i.\ eval\ f\ (v\ i)) \subseteq eval\ f\ (\lambda x.\bigcup i.\ v\ i\ x) using rlexp-cont-aux1
by blast
qed
```

# 1.5 Regular language expressions which evaluate to regular languages

Evaluating regular language expressions can yield non-regular languages even if the valuation maps each variable to a regular language. This is because Const may introduce non-regular languages. We therefore define the following predicate which guarantees that a regular language expression f yields a regular language if the valuation maps all variables occurring in f to some regular language. This is achieved by only allowing regular languages as constants. However, note that this predicate is just an underapproximation, i.e. there exist regular language expressions which do not satisfy this predicate but evaluate to regular languages anyway.

```
fun reg-eval :: 'a rlexp \Rightarrow bool where

reg-eval (Var -) \longleftrightarrow True |

reg-eval (Const l) \longleftrightarrow regular-lang l |

reg-eval (Union f g) \longleftrightarrow reg-eval f \land reg-eval g |

reg-eval (Concat f g) \longleftrightarrow reg-eval f \land reg-eval g |

reg-eval (Star f) \longleftrightarrow reg-eval f
```

```
lemma emptyset-regular: reg-eval (Const {})
 using lang.simps(1) reg-eval.simps(2) by blast
lemma epsilon-regular: reg-eval (Const {[]})
 using lang.simps(2) reg-eval.simps(2) by blast
   If the valuation v maps all variables occurring in the regular language
function f to a regular language, then evaluating f again yields a regular
language:
lemma reg-eval-regular:
 assumes reg-eval f
    and \bigwedge n. n \in vars f \Longrightarrow regular-lang (v n)
   shows regular-lang (eval f v)
using assms proof (induction rule: reg-eval.induct)
 case (3 f q)
 then obtain r1 r2 where Regular-Exp.lang r1 = eval f v \wedge Regular-Exp.lang r2
= eval \ g \ v \ \mathbf{by} \ auto
 then have Regular-Exp.lang (Plus r1 r2) = eval (Union f g) v by simp
 then show ?case by blast
\mathbf{next}
 case (4 f g)
 then obtain r1 r2 where Regular-Exp.lang r1 = eval f v \wedge Regular-Exp.lang r2
= eval \ q \ v \ \mathbf{by} \ auto
 then have Regular-Exp.lang (Times r1 r2) = eval (Concat f g) v by simp
 then show ?case by blast
next
 case (5 f)
 then obtain r where Regular-Exp.lang r = eval f v by auto
 then have Regular-Exp.lang (Regular-Exp.Star r) = eval (Star f) v by simp
 then show ?case by blast
qed simp-all
    A reg-eval regular language expression stays reg-eval if all variables are
substituted by reg-eval regular language expressions:
lemma subst-reg-eval:
 assumes reg-eval f
    and \forall x \in vars f. reg-eval (upd x)
   shows reg\text{-}eval (subst upd f)
 using assms by (induction f rule: reg-eval.induct) simp-all
lemma subst-req-eval-update:
 assumes req-eval f
    and reg-eval q
```

For any finite union of reg-eval regular language expressions exists a reg-eval regular language expression:

using assms subst-reg-eval fun-upd-def by (metis reg-eval.simps(1))

lemma finite-Union-regular-aux:

**shows** reg-eval (subst (Var(x := g)) f)

```
\forall f \in set \ fs. \ reg\text{-}eval \ f \Longrightarrow \exists \ g. \ reg\text{-}eval \ g \land \bigcup (vars \ `set \ fs) = vars \ g
                                       \land (\forall v. (\bigcup f \in set fs. eval f v) = eval g v)
proof (induction fs)
  case Nil
  then show ?case using emptyset-regular by fastforce
next
  case (Cons\ f1\ fs)
  then obtain g where *: reg-eval g \wedge \bigcup (vars 'set fs) = vars g
                           \land (\forall v. (\bigcup f \in set fs. eval f v) = eval g v) by auto
 let ?g' = Union f1 g
 from Cons.prems * have reg-eval ?g' \land \bigcup (vars `set (f1 \# fs)) = vars ?g'
      \land (\forall v. (\bigcup f \in set (f1 \# fs). eval f v) = eval ?g' v) by simp
  then show ?case by blast
qed
lemma finite-Union-regular:
 assumes finite F
      and \forall f \in F. reg-eval f
    shows \exists g. reg\text{-}eval \ g \land \bigcup (vars `F) = vars \ g \land (\forall v. (\bigcup f \in F. eval \ f \ v) = eval
  using assms finite-Union-regular-aux finite-list by metis
```

### 1.6 Constant regular language functions

We call a regular language expression constant if it contains no variables. A constant regular language expression always evaluates to the same language, independent on the valuation. Thus, if the constant regular language expression is *reg-eval*, then it evaluates to some regular language, independent on the valuation.

```
abbreviation const-rlexp :: 'a rlexp \Rightarrow bool where const-rlexp f \equiv vars \ f = \{\}

lemma const-rlexp-lang: const-rlexp f \Longrightarrow \exists \ l. \ \forall \ v. \ eval \ f \ v = l
by (induction f) auto

lemma const-rlexp-regular-lang:
assumes const-rlexp f
and reg-eval f
shows \exists \ l. \ regular-lang \ l \land (\forall \ v. \ eval \ f \ v = l)
using assms const-rlexp-lang reg-eval-regular by fastforce
```

## 2 Parikh images

```
theory Parikh-Img
imports
Reg-Lang-Exp
```

end

```
HOL-Library.Multiset begin
```

## 2.1 Definition and basic lemmas

The Parikh vector of a finite word describes how often each symbol of the alphabet occurs in the word. We represent parikh vectors by multisets. The Parikh image of a language L, denoted by  $\Psi$  L, is then the set of Parikh vectors of all words in the language.

```
abbreviation parikh-vec where
 parikh-vec \equiv mset
definition parikh-img :: 'a lang \Rightarrow 'a multiset set (\Psi) where
  \Psi L \equiv parikh-vec 'L
lemma parikh-imq-Un [simp]: \Psi (L1 \cup L2) = \Psi L1 \cup \Psi L2
 by (auto simp add: parikh-imq-def)
lemma parikh-img-UNION: \Psi (\bigcup (L 'I)) = \bigcup ((\lambda i. \Psi (L i)) 'I)
 \mathbf{by}\ (\mathit{auto}\ \mathit{simp}\ \mathit{add}\colon \mathit{parikh-img-def})
lemma parikh-img-Star-pow: m \in \Psi (eval (Star f) v) \Longrightarrow \exists n. m \in \Psi (eval f v \curvearrowright
n)
proof -
 assume m \in \Psi (eval (rlexp.Star f) v)
 then have m \in \Psi (star (eval f(v)) by simp
 then show ?thesis unfolding star-def by (simp add: parikh-img-UNION)
lemma parikh-img-conc: \Psi (L1 @@ L2) = { m1 + m2 | m1 m2. m1 \in \Psi L1 \wedge
m\mathcal{2}\,\in\,\Psi\,\,L\mathcal{2}\,\,\}
 unfolding parikh-img-def by force
lemma parikh-img-commut: \Psi (L1 @@ L2) = \Psi (L2 @@ L1)
proof -
 have \{ m1 + m2 \mid m1 \ m2. \ m1 \in \Psi \ L1 \land m2 \in \Psi \ L2 \} =
       \{ m2 + m1 \mid m1 \ m2. \ m1 \in \Psi \ L1 \land m2 \in \Psi \ L2 \}
   using add.commute by blast
 then show ?thesis
    using parikh-img-conc[of L1] parikh-img-conc[of L2] by auto
qed
2.2
        Monotonicity properties
```

```
lemma parikh-img-mono: A \subseteq B \Longrightarrow \Psi \ A \subseteq \Psi \ B unfolding parikh-img-def by fast
```

lemma parikh-img-mono-eq:  $A = B \Longrightarrow \Psi \ A = \Psi \ B$  using parikh-img-mono by blast

```
lemma parikh-conc-right-subset: \Psi A \subseteq \Psi B \Longrightarrow \Psi (A @@ C) \subseteq \Psi (B @@ C)
 by (auto simp add: parikh-img-conc)
lemma parikh-conc-left-subset: \Psi A \subseteq \Psi B \Longrightarrow \Psi (C @@ A) \subseteq \Psi (C @@ B)
 by (auto simp add: parikh-img-conc)
lemma parikh-conc-subset:
 assumes \Psi A \subseteq \Psi C
     and \Psi B \subseteq \Psi D
   shows \Psi (A @@ B) \subseteq \Psi (C @@ D)
 using assms parikh-conc-right-subset parikh-conc-left-subset by blast
lemma parikh-conc-right: \Psi A = \Psi B \Longrightarrow \Psi (A @@ C) = \Psi (B @@ C)
 by (auto simp add: parikh-imq-conc)
lemma parikh-conc-left: \Psi A = \Psi B \Longrightarrow \Psi (C @@ A) = \Psi (C @@ B)
 by (auto simp add: parikh-img-conc)
lemma parikh-pow-mono: \Psi A \subseteq \Psi B \Longrightarrow \Psi (A ^ n) \subseteq \Psi (B ^ n)
 by (induction n) (auto simp add: parikh-img-conc)
lemma parikh-star-mono:
 assumes \Psi A \subseteq \Psi B
 shows \Psi (star A) \subseteq \Psi (star B)
proof
 \mathbf{fix} \ v
 assume v \in \Psi (star A)
  then obtain w where w-intro: parikh-vec w = v \wedge w \in star A unfolding
parikh-img-def by blast
 then obtain n where w \in A \cap n unfolding star-def by blast
 then have v \in \Psi (A \widehat{\ } n) using w-intro unfolding parikh-img-def by blast
 with assms have v \in \Psi (B ^{\sim} n) using parikh-pow-mono by blast
  then show v \in \Psi (star B) unfolding star-def using parikh-img-UNION by
fast force
qed
lemma parikh-star-mono-eq:
 assumes \Psi A = \Psi B
 shows \Psi (star A) = \Psi (star B)
 using parikh-star-mono by (metis Orderings.order-eq-iff assms)
lemma parikh-img-subst-mono:
 assumes \forall i. \ \Psi \ (eval \ (A \ i) \ v) \subseteq \Psi \ (eval \ (B \ i) \ v)
 shows \Psi (eval (subst A f) v) \subseteq \Psi (eval (subst B f) v)
using assms proof (induction f)
 case (Concat f1 f2)
```

```
then have \Psi (eval (subst A f1) v @@ eval (subst A f2) v)
            \subseteq \Psi \ (eval \ (subst \ B \ f1) \ v @@ \ eval \ (subst \ B \ f2) \ v)
   using parikh-conc-subset by blast
  then show ?case by simp
next
  case (Star f)
 then have \Psi (star (eval (subst A f) v)) \subseteq \Psi (star (eval (subst B f) v))
   using parikh-star-mono by blast
  then show ?case by simp
qed auto
lemma parikh-img-subst-mono-upd:
 assumes \Psi (eval A v) \subseteq \Psi (eval B v)
 shows \Psi (eval (subst (Var(x := A)) f) v) \subseteq \Psi (eval (subst (Var(x := B)) f) v)
 using parikh-img-subst-mono[of Var(x := A) \ v \ Var(x := B)] assms by auto
lemma parikh-imq-subst-mono-eq:
 assumes \forall i. \ \Psi \ (eval \ (A \ i) \ v) = \Psi \ (eval \ (B \ i) \ v)
 shows \Psi (eval (subst (\lambda i.\ A\ i)\ f) v) = \Psi (eval (subst (\lambda i.\ B\ i)\ f) v)
 using parikh-img-subst-mono assms by blast
lemma rlexp-mono-parikh:
 assumes \forall i \in vars f. \ \Psi \ (v \ i) \subseteq \Psi \ (v' \ i)
 shows \Psi (eval f v) \subseteq \Psi (eval f v')
using assms proof (induction rule: rlexp.induct)
case (Concat f1 f2)
  then have \Psi (eval f1 v @@ eval f2 v) \subseteq \Psi (eval f1 v' @@ eval f2 v')
   using parikh-conc-subset by (metis UnCI vars.simps(4))
 then show ?case by simp
qed (auto simp add: SUP-mono' parikh-img-UNION parikh-star-mono)
lemma rlexp-mono-parikh-eq:
 assumes \forall i \in vars f. \ \Psi \ (v \ i) = \Psi \ (v' \ i)
 shows \Psi (eval f v) = \Psi (eval f v')
 using assms rlexp-mono-parikh by blast
        \Psi (A \cup B)^* = \Psi A^*B^*
2.3
This property is claimed by Pilling in [1] and will be needed later.
lemma parikh-img-union-pow-aux1:
 assumes v \in \Psi ((A \cup B) \cap n)
   shows v \in \Psi (| | i < n. A \frown i @@ B \frown (n-i))
using assms proof (induction n arbitrary: v)
 case \theta
  then show ?case by simp
\mathbf{next}
  case (Suc \ n)
 then obtain w where w-intro: w \in (A \cup B) \cap (Suc \ n) \land parikh-vec \ w = v
```

```
unfolding parikh-imq-def by auto
  then obtain w1 w2 where w1-w2-intro: w = w1@w2 \land w1 \in A \cup B \land w2 \in
(A \cup B) \curvearrowright n by fastforce
 let ?v1 = parikh-vec w1 and ?v2 = parikh-vec w2
  from w1-w2-intro have v2 \in \Psi ((A \cup B) \cap n) unfolding parikh-imq-def by
  with Suc.IH have ?v2 \in \Psi ([] i \leq n. A ^{\sim}i @@ B ^{\sim}(n-i)) by auto
 then obtain w2' where w2'-intro: parikh-vec w2' = parikh-vec w2 \wedge
       w2' \in (\bigcup i \leq n. \ A \cap i @@ B \cap (n-i)) unfolding parikh-img-def by
fastforce
  then obtain i where i-intro: i \leq n \wedge w2' \in A \cap i @@ B \cap (n-i) by blast
  from w1-w2-intro w2'-intro have parikh-vec w = parikh-vec (w1@w2)'
   by simp
 moreover have parikh-vec (w1@w2') \in \Psi ([] i \leq Suc \ n. \ A \stackrel{\frown}{} i @@ B \stackrel{\frown}{} (Suc
 proof (cases w1 \in A)
   case True
   with i-intro have Suc - i - valid: Suc i < Suc n and w1@w2' \in A ^{(suc i)} @@
B \curvearrowright (Suc \ n - Suc \ i)
     by (auto simp add: conc-assoc)
   then have parikh-vec (w1@w2') \in \Psi (A \curvearrowright (Suc\ i) @@\ B \curvearrowright (Suc\ n-Suc\ i))
     unfolding parikh-img-def by blast
   with Suc-i-valid parikh-img-UNION show ?thesis by fast
  next
   {f case} False
   with w1-w2-intro have w1 \in B by blast
   with i-intro have parikh-vec (w1@w2') \in \Psi (B @@ A \overset{\frown}{} i @@ B \overset{\frown}{} (n-i))
     unfolding parikh-imq-def by blast
   then have parikh-vec (w1@w2') \in \Psi (A \cap i @@ B \cap (Suc \ n-i))
     using parikh-img-commut conc-assoc
     by (metis Suc-diff-le conc-pow-comm i-intro lang-pow.simps(2))
   with i-intro parikh-imq-UNION show ?thesis by fastforce
 qed
 ultimately show ?case using w-intro by auto
qed
lemma parikh-img-star-aux1:
 assumes v \in \Psi (star (A \cup B))
 shows v \in \Psi (star A @@ star B)
proof -
  from assms have v \in (\bigcup n. \ \Psi \ ((A \cup B) \ ^{\frown} n))
   unfolding star-def using parikh-img-UNION by metis
  then obtain n where v \in \Psi ((A \cup B) \cap n) by blast
  then have v \in \Psi (\bigcup i \leq n. A \bigcap i @@ B \bigcap (n-i))
   using parikh-img-union-pow-aux1 by auto
  then have v \in (\bigcup i \le n. \ \Psi \ (A \cap i @@ B \cap (n-i))) using parikh-img-UNION
 then obtain i where i \le n \land v \in \Psi (A \ ^{\frown}\ i @@ B \ ^{\frown}\ (n-i)) by blast
 then obtain w where w-intro: parikh-vec w = v \wedge w \in A \cap i @@ B \cap (n-i)
```

```
unfolding parikh-imq-def by blast
 then obtain w1 w2 where w-decomp: w=w1@w2 \land w1 \in A \ ^i \land w2 \in B \ ^i
(n-i) by blast
 then have w1 \in star\ A and w2 \in star\ B by auto
  with w-decomp have w \in star\ A @@ star\ B by auto
 with w-intro show ?thesis unfolding parikh-img-def by blast
qed
lemma parikh-img-star-aux2:
 assumes v \in \Psi (star A @@ star B)
 shows v \in \Psi (star (A \cup B))
proof -
 from assms obtain w where w-intro: parikh-vec w = v \wedge w \in star \ A @@ star
   unfolding parikh-imq-def by blast
 then obtain w1 w2 where w-decomp: w=w1@w2 \land w1 \in star A \land w2 \in star
B by blast
  then obtain i j where w1 \in A \cap i and w2-intro: w2 \in B \cap j unfolding
star-def by blast
 then have w1-in-union: w1 \in (A \cup B) ^{\sim} i using langeow-mono by blast
 from w2-intro have w2 \in (A \cup B) \cap j using langpow-mono by blast
 with w1-in-union w-decomp have w \in (A \cup B) \cap (i+j) using lang-pow-add by
  with w-intro show ?thesis unfolding parikh-img-def by auto
qed
lemma parikh-img-star: \Psi (star (A \cup B)) = \Psi (star A @@ star B)
proof
 show \Psi (star (A \cup B)) \subseteq \Psi (star A @@ star B) using parikh-img-star-aux1 by
 show \Psi (star A @@ star B) \subseteq \Psi (star (A \cup B)) using parikh-img-star-aux2 by
auto
qed
       \Psi (E^*F)^* = \Psi (\{\varepsilon\} \cup E^*F^*F)
2.4
This property (where \varepsilon denotes the empty word) is claimed by Pilling as
well [1]; we will use it later.
lemma parikh-imq-conc-pow: \Psi ((A @@ B) ^{\sim} n) \subseteq \Psi (A ^{\sim} n @@ B ^{\sim} n)
proof (induction n)
 case (Suc \ n)
  then have \Psi ((A @@ B) ^{\sim} n @@ A @@ B) \subseteq \Psi (A ^{\sim} n @@ B ^{\sim} n @@ A
@@B)
   \mathbf{using}\ \mathit{parikh-conc-right-subset}\ \mathit{conc-assoc}\ \mathbf{by}\ \mathit{metis}
 also have ... = \Psi (A \stackrel{\frown}{} n @@ A @@ B \stackrel{\frown}{} n @@ B)
   by (metis parikh-img-commut conc-assoc parikh-conc-left)
 finally show ?case by (simp add: conc-assoc conc-pow-comm)
\mathbf{qed}\ simp
```

```
lemma parikh-img-conc-star: \Psi (star (A @@ B)) \subseteq \Psi (star A @@ star B)
proof
 \mathbf{fix} \ v
 assume v \in \Psi (star (A @@ B))
  then have \exists n. v \in \Psi ((A @@ B) \cap n) unfolding star-def by (simp add:
parikh-img-UNION)
 then obtain n where v \in \Psi ((A @@ B) ^{n} n) by blast
 with parikh-img-conc-pow have v \in \Psi (A ^{\sim} n @@ B ^{\sim} n) by fast
 then have v \in \Psi (A ^{\sim} n @@ star B)
   unfolding star-def using parikh-conc-left-subset
   by (metis (no-types, lifting) Sup-upper parikh-img-mono rangeI subset-eq)
 then show v \in \Psi (star A @@ star B)
   unfolding star-def using parikh-conc-right-subset
   by (metis (no-types, lifting) Sup-upper parikh-img-mono rangeI subset-eq)
qed
lemma parikh-img-conc-pow2: \Psi ((A @@ B) ^{\sim} Suc n) \subseteq \Psi (star A @@ star B
@@ B)
proof
 \mathbf{fix} \ v
 assume v \in \Psi ((A @@ B) \curvearrowright Suc n)
 with parikh-img-conc-pow have v \in \Psi (A ^{\sim} Suc n @@ B ^{\sim} n @@ B)
   by (metis\ conc\text{-}pow\text{-}comm\ lang\text{-}pow.simps(2)\ subsetD)
 then have v \in \Psi (star A @@ B ^{\sim} n @@ B)
   unfolding star-def using parikh-conc-right-subset
   by (metis (no-types, lifting) Sup-upper parikh-imq-mono rangeI subset-eq)
 then show v \in \Psi (star A @@ star B @@ B)
   unfolding star-def using parikh-conc-right-subset parikh-conc-left-subset
   by (metis (no-types, lifting) Sup-upper parikh-img-mono rangeI subset-eq)
qed
lemma parikh-img-star2-aux1:
 \Psi (star (star E @@ F)) \subseteq \Psi (\{[]\} \cup star E @@ star F @@ F)
proof
 assume v \in \Psi (star (star E @@ F))
 then have \exists n. v \in \Psi ((star E @@ F) ^ n)
   unfolding star-def by (simp add: parikh-img-UNION)
 then obtain n where v-in-pow-n: v \in \Psi ((star E @@ F) ^{\sim} n) by blast
 show v \in \Psi ({[]} \cup star E @@ star F @@ F)
 proof (cases n)
   case \theta
   with v-in-pow-n have v = parikh-vec [] unfolding parikh-img-def by simp
   then show ?thesis unfolding parikh-img-def by blast
 next
   case (Suc\ m)
   with parikh-img-conc-pow2 v-in-pow-n have v \in \Psi (star (star E) @@ star F
@@ F) by blast
```

```
then show ?thesis by (metis UnCI parikh-img-Un star-idemp)
 qed
qed
lemma parikh-imq-star2-aux2: \Psi (star E @@ star F @@ F) \subseteq \Psi (star (star E
@@ F))
proof -
 have F \subseteq star \ E @@ F unfolding star-def using Nil-in-star
   by (metis concI-if-Nil1 star-def subsetI)
 then have \Psi (star E @@ F @@ star F) \subseteq \Psi (star E @@ F @@ star (star E
@@ F))
   using parikh-conc-left-subset parikh-img-mono parikh-star-mono by meson
 also have ... \subseteq \Psi (star (star E @@ F))
   by (metis conc-assoc inf-sup-ord(3) parikh-img-mono star-unfold-left)
 finally show ?thesis using conc-star-comm by metis
qed
lemma parikh-img-star2: \Psi (star (star E @@ F)) = \Psi ({[]} \cup star E @@ star F
@@F)
proof
 from parikh-img-star2-aux1
   show \Psi (star\ (star\ E\ @@\ F)) \subseteq \Psi\ (\{[]\} \cup star\ E\ @@\ star\ F\ @@\ F).
 from parikh-img-star2-aux2
   show \Psi ({[]} \cup star E @@ star F @@ F) \subseteq \Psi (star (star E @@ F))
   by (metis le-sup-iff parikh-img-Un star-unfold-left sup.cobounded2)
qed
```

## 2.5 A homogeneous-like property for regular functions

```
lemma rlexp-homogeneous-aux:
 assumes v x = star Y @@ Z
   shows \Psi (eval f v) \subseteq \Psi (star Y @@ eval f (v(x := Z)))
using assms proof (induction f)
 case (Var\ y)
 show ?case
 proof (cases \ x = y)
   {\bf case}\ {\it True}
   with Var show ?thesis by simp
 next
   case False
     have eval (Var y) v \subseteq star \ Y @@ eval \ (Var \ y) \ v by (metis Nil-in-star
concI-if-Nil1 subsetI)
   with False parikh-img-mono show ?thesis by auto
 qed
\mathbf{next}
 case (Const\ l)
 have eval\ (Const\ l)\ v\subseteq star\ Y\ @@\ eval\ (Const\ l)\ v\ {\bf using}\ conc I-if-Nil1\ {\bf by}\ blast
 then show ?case by (simp add: parikh-img-mono)
```

```
case (Union f q)
 then have \Psi (eval (Union f g) v) \subseteq \Psi (star Y @@ eval f (v(x := Z)) \cup
                                                   star \ Y @@ eval \ g \ (v(x := Z)))
   by fastforce
 then show ?case by (metis\ conc\text{-}Un\text{-}distrib(1)\ eval.simps(3))
next
 case (Concat f g)
 then have \Psi (eval (Concat f g) v) \subseteq \Psi ((star Y @@ eval f (v(x := Z)))
                                                 @@ star Y @@ eval g (v(x := Z)))
   by (metis eval.simps(4) parikh-conc-subset)
 also have ... = \Psi (star Y @@ star Y @@ eval f (v(x := Z)) @@ eval g (v(x := Z))
Z)))
   by (metis conc-assoc parikh-conc-right parikh-img-commut)
 also have ... = \Psi (star Y @@ eval f (v(x := Z)) @@ eval g (v(x := Z)))
   by (metis conc-assoc conc-star-star)
 finally show ?case by (metis\ eval.simps(4))
 case (Star f)
 then have \Psi (star (eval f v)) \subseteq \Psi (star (star Y @@ eval f (v(x := Z))))
   using parikh-star-mono by metis
 also from parikh-img-conc-star have ... \subseteq \Psi (star Y @@ star (eval f (v(x :=
Z))))
   by fastforce
 finally show ?case by (metis\ eval.simps(5))
qed
    Now we can prove the desired homogeneous-like property which will
become useful later:
lemma rlexp-homogeneous: \Psi (eval (subst (Var(x := Concat (Star y) z)) f) v)
                      \subseteq \Psi \ (eval \ (Concat \ (Star \ y) \ (subst \ (Var(x := z)) \ f)) \ v)
                      (is \Psi ?L \subseteq \Psi ?R)
proof -
 let ?v' = v(x := star (eval y v) @@ eval z v)
 have \Psi ? L = \Psi (eval \ f ? v') using substitution-lemma-update[where f = f] by
simp
 also have ... \subseteq \Psi (star (eval y v) @@ eval f (?v'(x := eval z v)))
   using rlexp-homogeneous-aux[of ?v'] unfolding fun-upd-def by auto
 also have ... = \Psi ?R using substitution-lemma[of v(x := eval \ z \ v)] by simp
 finally show ?thesis.
qed
2.6
       Extension of Arden's lemma to Parikh images
lemma parikh-img-arden-aux:
 assumes \Psi (A @@ X \cup B) \subseteq \Psi X
 shows \Psi (A ^{\sim} n @@ B) \subseteq \Psi X
```

using assms proof (induction n)

then show ?case by auto

case  $\theta$ 

```
next
 case (Suc \ n)
 then have \Psi (A \curvearrowright (Suc n) @@ B) \subseteq \Psi (A @@ A \curvearrowright n @@B)
   by (simp add: conc-assoc)
 moreover from Suc parikh-conc-left have ... \subseteq \Psi (A @@ X)
   by (metis conc-Un-distrib(1) parikh-img-Un sup.orderE sup.orderI)
 moreover from Suc.prems have ... \subseteq \Psi X by auto
  ultimately show ?case by fast
qed
lemma parikh-img-arden:
 assumes \Psi (A @@ X \cup B) \subseteq \Psi X
 shows \Psi (star A @@ B) \subseteq \Psi X
proof
 \mathbf{fix} \ x
 assume x \in \Psi (star A @@ B)
 then have \exists n. x \in \Psi \ (A \cap n @@ B)
   unfolding star-def by (simp add: conc-UNION-distrib(2) parikh-img-UNION)
  then obtain n where x \in \Psi (A ^{n} n @@ B) by blast
  then show x \in \Psi X using parikh-img-arden-aux[OF assms] by fast
\mathbf{qed}
```

# 2.7 Equivalence class of languages with identical Parikh image

For a given language L, we define the equivalence class of all languages with identical Parikh image:

```
definition parikh-imq-eq-class :: 'a lang \Rightarrow 'a lang set where
 parikh-img-eq-class\ L \equiv \{L'.\ \Psi\ L' = \Psi\ L\}
lemma parikh-img-Union-class: \Psi A = \Psi (\bigcup (parikh-img-eq-class A))
proof
 let ?A' = \bigcup (parikh-img-eq-class\ A)
 show \Psi A \subseteq \Psi ?A'
  unfolding parikh-img-eq-class-def by (simp add: Union-upper parikh-img-mono)
  \mathbf{show}\ \Psi\ ?A' \subseteq \Psi\ A
 proof
   \mathbf{fix} \ v
   assume v \in \Psi ?A'
   then obtain a where a-intro: parikh-vec a = v \land a \in ?A'
     unfolding parikh-imq-def by blast
   then obtain L where L-intro: a \in L \land L \in parikh\text{-}img\text{-}eq\text{-}class A
     unfolding parikh-img-eq-class-def by blast
   then have \Psi L = \Psi A unfolding parikh-img-eq-class-def by fastforce
   with a-intro L-intro show v \in \Psi A unfolding parith-img-def by blast
 qed
qed
```

 $\mathbf{lemma}\ subseteq\text{-}comm\text{-}subseteq:$ 

```
assumes \Psi A \subseteq \Psi B
 shows A \subseteq \bigcup (parikh-img-eq-class B) (is A \subseteq ?B')
proof
  \mathbf{fix} \ a
 assume a-in-A: a \in A
 from assms have \Psi A \subseteq \Psi ?B'
   using parikh-img-Union-class by blast
  with a-in-A have vec-a-in-B': parikh-vec a \in \Psi ?B' unfolding parikh-img-def
by fast
  then have \exists b. parikh-vec \ b = parikh-vec \ a \land b \in ?B'
   unfolding parikh-img-def by fastforce
 then obtain b where b-intro: parikh-vec b = parikh-vec \ a \land b \in ?B' by blast
  with vec-a-in-B' have \Psi (?B' \cup {a}) = \Psi ?B'unfolding parikh-img-def by
blast
  with parikh-imq-Union-class have \Psi (?B' \cup {a}) = \Psi B by blast
 then show a \in ?B' unfolding parith-imq-eq-class-def by blast
qed
```

## 3 Context free grammars and systems of equations

```
theory Eq-Sys
imports
Parikh-Img
Context-Free-Grammar.Context-Free-Language
begin
```

end

In this section, we will first introduce two types of systems of equations. Then we will show that to each CFG correspond two systems of equations one for both of the types - and that the language defined by the CFG is a minimal solution of both systems.

## 3.1 Introduction of systems of equations

For the first type of systems, each equation is of the form

$$X_i \supseteq r_i$$

For the second type of systems, each equation is of the form

$$\Psi X_i \supseteq \Psi r_i$$

i.e. the Parikh image is applied on both sides of each equation. In both cases, we represent the whole system by a list of regular language expression where each of the variables  $X_0, X_1, \ldots$  is identified by its integer, i.e. Var

*i* denotes the variable  $X_i$ . The *i*-th item of the list then represents the right-hand side  $r_i$  of the *i*-th equation:

```
type-synonym 'a eq-sys = 'a rlexp list
```

Now we can define what it means for a valuation v to solve a system of equations of the first type, i.e. a system without Parikh images. Afterwards we characterize minimal solutions of such a system.

**definition** solves-ineq-sys :: 'a eq-sys  $\Rightarrow$  'a valuation  $\Rightarrow$  bool where

```
solves-ineq-sys sys v \equiv \forall i < length sys. eval (sys!i) v \subseteq vi
definition min-sol-ineq-sys :: 'a eq-sys \Rightarrow 'a valuation \Rightarrow bool where
  min-sol-ineq-sys sys sol \equiv
     solves-ineq-sys sys sol \land (\forall sol'. solves-ineq-sys sys sol' <math>\longrightarrow (\forall x. sol x \subseteq sol'
x))
     The previous definitions can easily be extended to the second type of
systems of equations where the Parikh image is applied on both sides of
each equation:
definition solves-ineq-comm :: nat \Rightarrow 'a \ rlexp \Rightarrow 'a \ valuation \Rightarrow bool \ \mathbf{where}
  solves-ineq-comm x \ eq \ v \equiv \Psi \ (eval \ eq \ v) \subseteq \Psi \ (v \ x)
definition solves-ineq-sys-comm :: 'a eq-sys \Rightarrow 'a valuation \Rightarrow bool where
  solves-ineq-sys-comm sys v \equiv \forall i < length sys. solves-ineq-comm i (sys!i) v
definition min-sol-ineq-sys-comm :: 'a eq-sys \Rightarrow 'a valuation \Rightarrow bool where
  min-sol-ineq-sys-comm sys sol \equiv
    solves-ineq-sys-comm sys sol \land
    (\forall \mathit{sol'}. \; \mathit{solves-ineq-sys-comm} \; \mathit{sys} \; \mathit{sol'} \longrightarrow (\forall \mathit{x}. \; \Psi \; (\mathit{sol} \; \mathit{x}) \subseteq \Psi \; (\mathit{sol'} \; \mathit{x})))
     Substitution into each equation of a system:
definition subst-sys :: (nat \Rightarrow 'a \ rlexp) \Rightarrow 'a \ eq-sys \Rightarrow 'a \ eq-sys  where
  subst-sys \equiv map \circ subst
lemma subst-sys-subst:
  assumes i < length sys
```

## 3.2 Partial solutions of systems of equations

shows  $(subst-sys \ s \ sys) \ ! \ i = subst \ s \ (sys \ ! \ i)$ unfolding subst-sys-def by  $(simp \ add: \ assms)$ 

We introduce partial solutions, i.e. solutions which might depend on one or multiple variables. They are therefore not represented as languages, but as regular language expressions. *sol* is a partial solution of the *x*-th equation if and only if it solves the equation independently on the values of the other variables:

```
definition partial-sol-ineq :: nat \Rightarrow 'a \ rlexp \Rightarrow 'a \ rlexp \Rightarrow bool where partial-sol-ineq x \ eq \ sol \equiv \forall \ v. \ v \ x = eval \ sol \ v \longrightarrow solves-ineq-comm \ x \ eq \ v
```

We generalize the previous definition to partial solutions of whole systems of equations: sols maps each variable i to a regular language expression representing the partial solution of the i-th equation. A partial solution of the whole system is then defined as follows:

```
definition solution-ineq-sys :: 'a eq-sys \Rightarrow (nat \Rightarrow 'a rlexp) \Rightarrow bool where solution-ineq-sys sys sols \equiv \forall v. (\forall x. \ v. \ v. \ eval \ (sols \ x) \ v) \longrightarrow solves-ineq-sys-comm sys v
```

Given the x-th equation eq, sol is a minimal partial solution of this equation if and only if

- 1. sol is a partial solution of eq
- 2. sol is a proper partial solution (i.e. it does not depend on x) and only depends on variables occurring in the equation eq
- 3. no partial solution of the equation eq is smaller than sol

```
definition partial-min-sol-one-ineq :: nat \Rightarrow 'a \ rlexp \Rightarrow 'a \ rlexp \Rightarrow bool where partial-min-sol-one-ineq x \ eq \ sol \equiv partial\text{-sol-ineq} \ x \ eq \ sol \land vars \ sol \subseteq vars \ eq - \{x\} \land (\forall sol' \ v'. \ solves-ineq\text{-comm} \ x \ eq \ v' \land v' \ x = \ eval \ sol' \ v' \longrightarrow \Psi \ (eval \ sol \ v') \subseteq \Psi \ (v' \ x))
```

Given a whole system of equations sys, we can generalize the previous definition such that sols is a minimal solution (possibly dependent on the variables  $X_n, X_{n+1}, \ldots$ ) of the first n equations. Besides the three conditions described above, we introduce a forth condition:  $sols\ i = Var\ i$  for  $i \geq n$ , i.e. sols assigns only spurious solutions to the equations which are not yet solved:

**definition** partial-min-sol-ineq-sys ::  $nat \Rightarrow 'a \ eq\text{-sys} \Rightarrow (nat \Rightarrow 'a \ rlexp) \Rightarrow bool$  where

```
\begin{array}{l} \textit{partial-min-sol-ineq-sys } \ \textit{n sys sols} \equiv \\ \textit{solution-ineq-sys } \ (\textit{take } \textit{n sys}) \ \textit{sols} \ \land \\ (\forall i \geq \textit{n. sols } i = \textit{Var } i) \ \land \\ (\forall i < \textit{n. } \forall x \in \textit{vars } (\textit{sols } i). \ x \geq \textit{n} \ \land \ x < \textit{length sys}) \ \land \\ (\forall \textit{sols'} \ v'. \ (\forall \textit{x. } v' \ x = \textit{eval } (\textit{sols'} \ x) \ v') \\ \land \ \textit{solves-ineq-sys-comm} \ (\textit{take } \textit{n sys}) \ v' \\ \longrightarrow (\forall i. \ \Psi \ (\textit{eval } (\textit{sols } i) \ v') \subseteq \Psi \ (v' \ i))) \end{array}
```

If the Parikh image of two equations f and g is identical on all valuations, then their minimal partial solutions are identical, too:

```
lemma same-min-sol-if-same-parikh-img:

assumes same-parikh-img: \forall v. \Psi (eval\ f\ v) = \Psi (eval\ g\ v)

and same-vars: vars\ f\ -\{x\} = vars\ g\ -\{x\}
```

 $\begin{array}{ll} \textbf{and} \ \textit{minimal-sol:} & \textit{partial-min-sol-one-ineq} \ x \ f \ sol \\ \textbf{shows} & \textit{partial-min-sol-one-ineq} \ x \ g \ sol \end{array}$ 

```
proof — from minimal-sol have vars sol \subseteq vars g - \{x\} unfolding partial-min-sol-one-ineq-def using same-vars by blast moreover from same-parikh-img minimal-sol have partial-sol-ineq x g sol unfolding partial-min-sol-one-ineq-def partial-sol-ineq-def solves-ineq-comm-def by simp moreover from same-parikh-img minimal-sol have \forall sol' v'. solves-ineq-comm x g v' \land v' x = eval sol' v' \longrightarrow \Psi (eval sol v') \subseteq \Psi (v' x) unfolding partial-min-sol-one-ineq-def solves-ineq-comm-def by blast ultimately show ?thesis unfolding partial-min-sol-one-ineq-def by fast qed
```

## 3.3 CFLs as minimal solution of systems of equations

We show that each CFG induces a system of equations of the first type, i.e. without Parikh images, such that the CFG's language is the minimal solution of the system. First, we describe how to derive the system of equations from a CFG. This requires us to fix some bijection between the variables in the system and the non-terminals occurring in the CFG:

```
definition bij-Nt-Var:: 'n set \Rightarrow (nat \Rightarrow 'n) \Rightarrow ('n \Rightarrow nat) \Rightarrow bool where bij-Nt-Var A \gamma \gamma' \equiv bij-betw \gamma {..< card A} A \wedge bij-betw \gamma' A {..< card A} \wedge (\forall x \in \{.. < card A\}) \wedge (\forall x \in
```

```
locale CFG-eq-sys = fixes P :: ('n,'a) Prods fixes S :: 'n fixes \gamma :: nat \Rightarrow 'n fixes \gamma' :: 'n \Rightarrow nat assumes finite-P: finite P assumes bij-\gamma-\gamma': bij-Nt-Var (Nts P) \gamma \gamma' begin
```

The following definitions construct a regular language expression for a single production. This happens step by step, i.e. starting with a single

symbol (terminal or non-terminal) and then extending this to a single production. The definitions closely follow the definitions *inst-sym*, *concats* and *inst-syms* in *Context-Free-Grammar.Context-Free-Language*.

```
definition rlexp-sym :: ('n, 'a) sym <math>\Rightarrow 'a rlexp where
 rlexp-sym s = (case \ s \ of \ Tm \ a \Rightarrow Const \{[a]\} \mid Nt \ A \Rightarrow Var \ (\gamma' \ A))
definition rlexp\text{-}concats :: 'a \ rlexp \ list \Rightarrow 'a \ rlexp \ \mathbf{where}
 rlexp-concats fs = foldr \ Concat \ fs \ (Const \{[]\})
definition rlexp-syms :: ('n, 'a) syms <math>\Rightarrow 'a rlexp where
 rlexp-syms w = rlexp-concats (map rlexp-sym w)
    Now it is shown that the regular language expression constructed for a
single production is reg-eval. Again, this happens step by step:
lemma rlexp-sym-reg: reg-eval (rlexp-sym s)
unfolding rlexp-sym-def proof (induction s)
 case (Tm \ x)
 have regular-lang \{[x]\} by (meson\ lang.simps(3))
 then show ?case by auto
qed auto
lemma rlexp-concats-reg:
 assumes \forall f \in set fs. reg-eval f
   shows req-eval (rlexp-concats fs)
 using assms epsilon-regular unfolding rlexp-concats-def by (induction fs) auto
lemma rlexp-syms-reg: reg-eval (rlexp-syms w)
proof -
 from rlexp-sym-reg have \forall s \in set w. reg-eval (rlexp-sym s) by blast
 with rlexp-concats-reg show ?thesis unfolding rlexp-syms-def
   by (metis (no-types, lifting) image-iff list.set-map)
qed
    The subsequent lemmas prove that all variables appearing in the regu-
lar language expression of a single production correspond to non-terminals
appearing in the production:
lemma rlexp-sym-vars-Nt:
 assumes s(\gamma' A) = L A
   shows vars (rlexp\text{-}sym\ (Nt\ A)) = \{\gamma'\ A\}
 using assms unfolding rlexp-sym-def by simp
lemma rlexp-sym-vars-Tm: vars (rlexp-sym (Tm x)) = \{\}
 unfolding rlexp-sym-def by simp
lemma rlexp-concats-vars: vars (rlexp-concats fs) = \bigcup (vars 'set fs)
```

**unfolding** rlexp-concats-def by (induction fs) simp-all

```
lemma insts'-vars: vars (rlexp-syms\ w) \subseteq \gamma' 'nts-syms w
proof
 \mathbf{fix} \ x
 assume x \in vars (rlexp-syms w)
 with rlexp-concats-vars have x \in \bigcup (vars 'set (map rlexp-sym w))
   unfolding rlexp-syms-def by blast
 then obtain f where *: f \in set (map \ rlexp-sym \ w) \land x \in vars f \ by \ blast
 then obtain s where **: s \in set \ w \land rlexp\text{-}sym \ s = f \ by \ auto
 with * rlexp-sym-vars-Tm obtain A where ***: s = Nt A by (metis empty-iff
sym.exhaust)
 with ** have ****: A \in nts-syms w unfolding nts-syms-def by blast
 with rlexp-sym-vars-Nt have vars (rlexp-sym (Nt A)) = \{\gamma' A\} by blast
 with * ** *** *** show x \in \gamma' 'nts-syms w by blast
qed
    Evaluating the regular language expression of a single production under
a valuation corresponds to instantiating the non-terminals in the production
according to the valuation:
lemma rlexp-sym-inst-Nt:
 assumes v(\gamma' A) = L A
   shows eval (rlexp-sym (Nt A)) v = inst-sym L (Nt A)
 using assms unfolding rlexp-sym-def inst-sym-def by force
lemma rlexp-sym-inst-Tm: eval (rlexp-sym (Tm a)) <math>v = inst-sym L (Tm a)
 unfolding rlexp-sym-def inst-sym-def by force
lemma rlexp-concats-concats:
 \mathbf{assumes}\ \mathit{length}\ \mathit{fs} = \mathit{length}\ \mathit{Ls}
     and \forall i < length fs. eval (fs!i) v = Ls!i
   shows eval (rlexp-concats fs) v = concats Ls
using assms proof (induction fs arbitrary: Ls)
 case Nil
 then show ?case unfolding rlexp-concats-def concats-def by simp
next
 case (Cons\ f1\ fs)
 then obtain L1 Lr where *: Ls = L1 \# Lr by (metis length-Suc-conv)
 with Cons have eval (rlexp-concats fs) v = concats Lr by fastforce
 moreover from Cons.prems * have eval f1 v = L1 by force
 ultimately show ?case unfolding rlexp-concats-def concats-def by (simp add:
*)
qed
lemma rlexp-syms-insts:
 assumes \forall A \in nts-syms w. v(\gamma' A) = L A
   shows eval (rlexp-syms w) v = inst-syms L w
proof -
 have \forall i < length \ w. \ eval \ (rlexp-sym \ (w!i)) \ v = inst-sym \ L \ (w!i)
 proof (rule allI, rule impI)
   \mathbf{fix} \ i
```

```
assume i < length w
   then show eval (rlexp-sym (w ! i)) v = inst-sym L (w ! i)
     using assms proof (induction w!i)
     case (Nt \ A)
     then have v(\gamma' A) = L A unfolding nts-syms-def by force
     with rlexp-sym-inst-Nt Nt show ?case by metis
   next
     case (Tm \ x)
     with rlexp-sym-inst-Tm show ?case by metis
   qed
 qed
 then show ?thesis unfolding rlexp-syms-def inst-syms-def using rlexp-concats-concats
   by (metis (mono-tags, lifting) length-map nth-map)
qed
    Each non-terminal of the CFG induces some reg-eval equation. We do
not directly construct the equation but only prove its existence:
lemma subst-lang-rlexp:
 \exists eq. reg\text{-}eval \ eq \land vars \ eq \subseteq \gamma' \text{ `Nts } P
       \land (\forall v \ L. \ (\forall A \in Nts \ P. \ v \ (\gamma' \ A) = L \ A) \longrightarrow eval \ eq \ v = subst-lang \ P \ L \ A)
proof -
 let ?Insts = rlexp-syms ' (Rhss P A)
 from finite-Rhss[OF finite-P] have finite ?Insts by simp
 moreover from rlexp-syms-reg have \forall f \in ?Insts. reg-eval f by blast
 ultimately obtain eq where *: reg-eval eq \land \bigcup (vars `?Insts) = vars eq
                               \land (\forall v. (\bigcup f \in ?Insts. eval f v) = eval eq v)
   using finite-Union-regular by metis
  moreover have vars eq \subseteq \gamma' 'Nts P
 proof
   \mathbf{fix} \ x
   assume x \in vars \ eq
   with * obtain f where **: f \in ?Insts \land x \in vars f by blast
   then obtain w where ***: w \in Rhss\ P\ A \land f = rlexp\text{-}syms\ w by blast
   with ** insts'-vars have x \in \gamma' 'nts-syms w by auto
   with *** show x \in \gamma' 'Nts P unfolding Nts-def Rhss-def by blast
 qed
 moreover have \forall v L. (\forall A \in Nts P. v (\gamma' A) = L A) \longrightarrow eval eq v = subst-lang
P L A
  proof (rule \ allI \mid rule \ impI) +
   fix v :: nat \Rightarrow 'a \ lang \ and \ L :: 'n \Rightarrow 'a \ lang
   assume state-L: \forall A \in Nts \ P. \ v \ (\gamma' \ A) = L \ A
   have \forall w \in Rhss \ P \ A. eval (rlexp-syms \ w) \ v = inst-syms \ L \ w
   proof
     \mathbf{fix} \ w
     assume w \in Rhss P A
     with state-L Nts-nts-syms have \forall A \in nts-syms w. v(\gamma' A) = L A by fast
     from rlexp-syms-insts[OF\ this] show eval\ (rlexp-syms\ w)\ v=inst-syms\ L\ w
by blast
   qed
```

```
then have subst-lang PLA = (\bigcup f \in ?Insts.\ eval\ f\ v) unfolding subst-lang-def by auto with * show eval eq v = subst-lang\ PLA by auto qed ultimately show ?thesis by auto qed
```

The whole CFG induces a system of equations. We first define which conditions this system should fulfill and show its existence in the second step:

```
abbreviation CFG-sys sys \equiv
  length sys = card (Nts P) \land
    (\forall i < card \ (Nts \ P). \ reg-eval \ (sys \ ! \ i) \land (\forall x \in vars \ (sys \ ! \ i). \ x < card \ (Nts \ P))
                             \land (\forall s \ L. \ (\forall A \in Nts \ P. \ s \ (\gamma' \ A) = L \ A)
                                  \longrightarrow eval (sys! i) s = subst-lang P L (\gamma i))
lemma CFG-as-eq-sys: \exists sys. CFG-sys sys
proof -
   from bij-\gamma-\gamma' have *: \bigwedge eq. vars eq \subseteq \gamma' 'Nts P \Longrightarrow \forall x \in vars eq. x < card
(Nts\ P)
     unfolding bij-Nt-Var-def bij-betw-def by auto
  from subst-lang-rlexp have \forall A. \exists eq. reg-eval eq \land vars eq \subseteq \gamma' 'Nts P \land P
                                       (\forall s \ L. \ (\forall A \in Nts \ P. \ s \ (\gamma' \ A) = L \ A) \longrightarrow eval \ eq \ s =
subst-lang P L A)
    \mathbf{by} blast
  with bij-\gamma-\gamma' * have \forall i < card \ (Nts \ P). \exists eq. reg-eval \ eq \ \land \ (\forall x \in vars \ eq. \ x < yarbon \ )
card (Nts P)
                       \land (\forall s \ L. \ (\forall A \in Nts \ P. \ s \ (\gamma' \ A) = L \ A) \longrightarrow eval \ eq \ s = subst-lang
P L (\gamma i)
    unfolding bij-Nt-Var-def by metis
  with Skolem-list-nth[where P=\lambda i eq. reg-eval eq \wedge (\forall x \in vars eq. x < card (Nts
P))
                        \land \ (\forall \, s \; L. \; (\forall \, A \in \mathit{Nts} \; P. \; s \; (\gamma' \; A) = L \; A) \longrightarrow \mathit{eval} \; \mathit{eq} \; s = \mathit{subst-lang}
P L (\gamma i)
    show ?thesis by blast
qed
```

As we have proved that each CFG induces a system of equations, it remains to show that the CFG's language is a minimal solution of this system. The first lemma proves that the CFG's language is a solution and the next two lemmas prove that it is minimal:

```
abbreviation sol \equiv \lambda i. if i < card \ (Nts \ P) then Lang-lfp P \ (\gamma \ i) else \{\} lemma CFG-sys-CFL-is-sol:
assumes CFG-sys sys
shows solves-ineq-sys sys sol
unfolding solves-ineq-sys-def proof (rule \ allI, \ rule \ impI)
fix i
assume i < length \ sys
```

```
with assms have i < card (Nts P) by argo
  from bij-\gamma-\gamma' have *: \forall A \in Nts \ P. \ sol \ (\gamma' \ A) = Lang-lfp \ P \ A
   unfolding bij-Nt-Var-def bij-betw-def by force
  with \langle i < card \ (Nts \ P) \rangle assms have eval (sys! i) sol = subst-lang P (Lang-Ifp
P) (\gamma i)
   by presburger
  with lfp-fixpoint [OF mono-if-omega-cont[OF omega-cont-Lang-lfp]] have 1: eval
(sys ! i) sol = Lang-lfp P (\gamma i)
   unfolding Lang-lfp-def by metis
  from \langle i < card (Nts P) \rangle \ bij-\gamma-\gamma' \ have \ \gamma \ i \in Nts P
   unfolding bij-Nt-Var-def using bij-betwE by blast
 with * have Lang-Ifp P(\gamma i) = sol(\gamma'(\gamma i)) by auto
 also have ... = sol i using bij-\gamma-\gamma' \langle i < card (Nts P) \rangle unfolding bij-Nt-Var-def
by auto
 finally show eval (sys! i) sol \subseteq sol i using 1 by blast
qed
\mathbf{lemma}\ \mathit{CFG-sys-CFL-is-min-aux}:
 assumes CFG-sys sys
     and solves-ineq-sys sys sol'
   shows Lang-lfp P \leq (\lambda A. sol' (\gamma' A)) (is - \leq ?L')
proof -
  have subst-lang P ?L' A \subseteq ?L' A for A
  proof (cases A \in Nts P)
   {f case} True
   with assms(1) bij-\gamma-\gamma' have \gamma' A < length sys
     unfolding bij-Nt-Var-def bij-betw-def by fastforce
   with assms(1) bij-\gamma-\gamma' True have subst-lang P?L' A = eval (sys! \gamma' A) sol'
     unfolding bij-Nt-Var-def by metis
   also from True\ assms(2) \ \langle \gamma' \ A < length\ sys \rangle\ bij-\gamma-\gamma' have ... \subseteq ?L' \ A
     unfolding solves-ineq-sys-def bij-Nt-Var-def by blast
   finally show ?thesis.
 next
   {\bf case}\ \mathit{False}
   then have Rhss\ P\ A = \{\} unfolding Nts-def Rhss-def by blast
   with False show ?thesis unfolding subst-lang-def by simp
 qed
 then have subst-lang P ?L' \le ?L' by (simp add: le-funI)
 from lfp-lowerbound[of subst-lang P, OF this] Lang-lfp-def show ?thesis by metis
qed
lemma CFG-sys-CFL-is-min:
 assumes CFG-sys sys
     and solves-ineq-sys sys sol'
   shows sol \ x \subseteq sol' \ x
proof (cases \ x < card \ (Nts \ P))
 case True
  then have sol x = Lang-lfp \ P \ (\gamma \ x) by argo
  also from CFG-sys-CFL-is-min-aux[OF \ assms] have ... \subseteq sol'(\gamma'(\gamma x)) by
```

```
(simp add: le-fun-def)
finally show ?thesis using True bij-γ-γ' unfolding bij-Nt-Var-def by auto
next
case False
then show ?thesis by auto
qed
```

Lastly we combine all of the previous lemmas into the desired result of this section, namely that each CFG induces a system of equations such that the CFG's language is a minimal solution of the system:

## 3.4 Relation between the two types of systems of equations

end

One can simply convert a system sys of equations of the second type (i.e. with Parikh images) into a system of equations of the first type by dropping the Parikh images on both side of each equation. The following lemmas describe how the two systems are related to each other.

First of all, to any solution sol of sys exists a valuation whose Parikh image is identical to that of sol and which is a solution of the other system (i.e. the system obtained by dropping all Parikh images in sys). The proof benefits from the result of section 2.7:

```
lemma sol\text{-}comm\text{-}sol\text{:}
assumes sol\text{-}is\text{-}sol\text{-}comm\text{:} solves\text{-}ineq\text{-}sys\text{-}comm sys sol
shows \exists sol'. \ (\forall x.\ \Psi\ (sol\ x) = \Psi\ (sol'\ x)) \land solves\text{-}ineq\text{-}sys sys sol'
proof
let ?sol' = \lambda x.\ \bigcup\ (parikh\text{-}img\text{-}eq\text{-}class\ (sol\ x))
have sol'\text{-}sol\text{:}\ \forall\ x.\ \Psi\ (?sol'\ x) = \Psi\ (sol\ x)
using parikh\text{-}img\text{-}Union\text{-}class by metis
moreover have solves\text{-}ineq\text{-}sys\ sys\ ?sol'
unfolding solves\text{-}ineq\text{-}sys\text{-}def proof (rule\ allI,\ rule\ impI)
fix i
assume i < length\ sys
```

```
with sol-is-sol-comm have \Psi (eval (sys!i) sol) \subseteq \Psi (soli) unfolding solves-ineq-sys-comm-def solves-ineq-comm-def by blast moreover from sol'-sol have \Psi (eval (sys!i) ?sol') = \Psi (eval (sys!i) sol) using rlexp-mono-parikh-eq by meson ultimately have \Psi (eval (sys!i) ?sol') \subseteq \Psi (soli) by simp then show eval (sys!i) ?sol' \subseteq ?sol' i using subseteq-comm-subseteq by metis qed ultimately show (\forall x. \Psi (sol x) = \Psi (?sol' x)) \land solves-ineq-sys sys ?sol' by simp qed
```

The converse works similarly: Given a minimal solution *sol* of the system *sys* of the first type, then *sol* is also a minimal solution to the system obtained by converting *sys* into a system of the second type (which can be achieved by applying the Parikh image on both sides of each equation):

```
lemma min-sol-min-sol-comm:
 assumes min-sol-ineq-sys sys sol
   shows min-sol-ineq-sys-comm sys sol
unfolding min-sol-ineq-sys-comm-def proof
  from assms show solves-ineq-sys-comm sys sol
   unfolding min-sol-ineq-sys-def min-sol-ineq-sys-comm-def solves-ineq-sys-def
   solves-ineq-sys-comm-def solves-ineq-comm-def by (simp add: parikh-img-mono)
  show \forall sol'. solves-ineq-sys-comm sys sol' <math>\longrightarrow (\forall x. \ \Psi \ (sol \ x) \subseteq \Psi \ (sol' \ x))
  proof (rule\ allI, rule\ impI)
   \mathbf{fix} \ sol'
   assume solves-ineq-sys-comm sys sol'
   with sol-comm-sol obtain sol" where sol"-intro:
     (\forall x. \ \Psi \ (sol' \ x) = \Psi \ (sol'' \ x)) \land solves-ineq-sys \ sys \ sol'' \ by \ meson
   with assms have \forall x. sol \ x \subseteq sol'' \ x unfolding min-sol-ineq-sys-def by auto
   with sol''-intro show \forall x. \ \Psi \ (sol \ x) \subseteq \Psi \ (sol' \ x)
     using parikh-imq-mono by metis
 qed
qed
    All minimal solutions of a system of the second type have the same
Parikh image:
\mathbf{lemma}\ \mathit{min-sol-comm-unique} :
 assumes sol1-is-min-sol: min-sol-ineq-sys-comm sys sol1
     and sol2-is-min-sol: min-sol-ineq-sys-comm sys sol2
   shows
                           \Psi (sol1 \ x) = \Psi (sol2 \ x)
proof -
  from sol1-is-min-sol sol2-is-min-sol have \Psi (sol1 x) \subseteq \Psi (sol2 x)
   unfolding min-sol-ineq-sys-comm-def by simp
 moreover from sol1-is-min-sol sol2-is-min-sol have \Psi (sol2 x) \subseteq \Psi (sol1 x)
   unfolding min-sol-ineq-sys-comm-def by simp
 ultimately show ?thesis by blast
qed
```

end

## 4 Pilling's proof of Parikh's theorem

```
\begin{array}{c} \textbf{theory} \ Pilling\\ \textbf{imports}\\ Eq\text{-}Sys\\ \textbf{begin} \end{array}
```

We prove Parikh's theorem, closely following Pilling's proof [1]. The rough idea is as follows: As seen above, each CFG can be interpreted as a system of equations of the first type and we can easily convert it into a system of the second type by applying the Parikh image on both sides of each equation. Pilling now shows that there is a regular solution to this system and that this solution is furthermore minimal. Using the relations explored in the last section we prove that the CFG's language is a minimal solution of the same system and hence that the Parikh image of the CFG's language and of the regular solution must be identical; this proves Parikh's theorem.

### 4.1 Special representation of regular language expressions

To each regular language expression and variable x corresponds a second regular language expression with the same Parikh image and of the form depicted in equation (3) in [1]. We call regular language expressions of this form "bipartite regular language expressions" since they decompose into two subexpressions where one of them contains the variable x and the other one does not:

```
definition bipart-rlexp :: nat \Rightarrow 'a \ rlexp \Rightarrow bool \ \mathbf{where} bipart-rlexp x \ f \equiv \exists \ p \ q. \ reg-eval \ p \land reg-eval \ q \land f = Union \ p \ (Concat \ q \ (Var \ x)) \land x \notin vars \ p
```

then have bipart-rlexp x ?f'

All bipartite regular language expressions evaluate to regular languages. Additionally, for each reg-eval regular language expression and variable x, there exists a bipartite regular language expression with identical Parikh image and almost identical set of variables. While the first proof is simple, the second one is more complex and needs the results of the sections 2.3 and 2.4:

```
lemma bipart-rlexp x f \Longrightarrow reg\text{-}eval \ f unfolding bipart-rlexp-def by fastforce  \begin{aligned} \text{lemma reg-}eval\text{-}bipart\text{-}rlexp\text{-}Variable\text{:}} &\exists f'. \ bipart\text{-}rlexp \ x \ f' \land \ vars \ f' = \ vars \ (Var \ y) \ \cup \ \{x\} \\ & \land \ (\forall \ v. \ \Psi \ (eval \ (Var \ y) \ v) = \Psi \ (eval \ f' \ v)) \end{aligned}  proof (cases \ x = y) let ?f' = Union \ (Const \ \{\}) \ (Concat \ (Const \ \{[]\}) \ (Var \ x)) case True
```

```
unfolding bipart-rlexp-def using emptyset-regular epsilon-regular by fastforce
 moreover have eval ?f' v = eval (Var y) v \text{ for } v :: 'a valuation using True by
  moreover have vars ?f' = vars (Var y) \cup \{x\} using True by simp
  ultimately show ?thesis by metis
 let ?f' = Union (Var y) (Concat (Const \{\}) (Var x))
 case False
  then have bipart-rlexp x ?f'
   unfolding bipart-rlexp-def using emptyset-regular epsilon-regular by fastforce
 moreover have eval ? f'v = eval(Vary)v for v :: 'a valuation using False by
 moreover have vars ?f' = vars (Var y) \cup \{x\} by simp
 ultimately show ?thesis by metis
qed
lemma req-eval-bipart-rlexp-Const:
 assumes regular-lang l
   shows \exists f'. bipart-rlexp x f' \land vars f' = vars (Const l) \cup \{x\}
               \wedge (\forall v. \ \Psi \ (eval \ (Const \ l) \ v) = \Psi \ (eval \ f' \ v))
proof -
 let ?f' = Union (Const l) (Concat (Const {}) (Var x))
 have bipart-rlexp x ? f'
   unfolding bipart-rlexp-def using assms emptyset-regular by simp
 moreover have eval ?f'v = eval (Const l) v for v :: 'a valuation by simp
 moreover have vars ?f' = vars (Const \ l) \cup \{x\} by simp
  ultimately show ?thesis by metis
qed
lemma reg-eval-bipart-rlexp-Union:
 assumes \exists f'. bipart-rlexp x f' \land vars f' = vars f1 \cup \{x\} \land
               (\forall v. \ \Psi \ (eval \ f1 \ v) = \Psi \ (eval \ f' \ v))
         \exists f'. \ bipart\text{-rlexp} \ x \ f' \land \ vars \ f' = vars \ f2 \ \cup \ \{x\} \ \land
               (\forall v. \ \Psi \ (eval \ f2 \ v) = \Psi \ (eval \ f' \ v))
   shows \exists f'. bipart-rlexp x f' \land vars f' = vars (Union f1 f2) <math>\cup \{x\} \land
               (\forall v. \ \Psi \ (eval \ (Union \ f1 \ f2) \ v) = \Psi \ (eval \ f' \ v))
proof -
 from assms obtain f1'f2' where f1'-intro: bipart-rlexp xf1' \wedge vars f1' = vars
f1 \cup \{x\} \wedge
     (\forall v. \ \Psi \ (eval \ f1 \ v) = \Psi \ (eval \ f1' \ v))
   and f2'-intro: bipart-rlexp x f2' \wedge vars f2' = vars f2 \cup \{x\} \wedge vars
     (\forall v. \ \Psi \ (eval \ f2\ v) = \Psi \ (eval \ f2\ 'v))
   by auto
  then obtain p1 q1 p2 q2 where p1-q1-intro: reg-eval p1 \land reg-eval q1 \land reg
   f1' = Union \ p1 \ (Concat \ q1 \ (Var \ x)) \land (\forall \ y \in vars \ p1. \ y \neq x)
   and p2-q2-intro: reg-eval p2 \land reg-eval q2 \land f2' = Union p2 (Concat q2 (Var
   (\forall y \in vars \ p2. \ y \neq x) unfolding bipart-rlexp-def by auto
 let ?f' = Union (Union p1 p2) (Concat (Union q1 q2) (Var x))
```

```
have bipart-rlexp x ?f' unfolding bipart-rlexp-def using p1-q1-intro p2-q2-intro
by auto
   moreover have \Psi (eval ?f' v) = \Psi (eval (Union f1 f2) v) for v
      using p1-q1-intro p2-q2-intro f1'-intro f2'-intro
      by (simp add: conc-Un-distrib(2) sup-assoc sup-left-commute)
   moreover from f1'-intro f2'-intro p1-q1-intro p2-q2-intro
      have vars ?f' = vars (Union f1 f2) \cup \{x\} by auto
   ultimately show ?thesis by metis
qed
lemma reg-eval-bipart-rlexp-Concat:
   assumes \exists f'. bipart-rlexp x f' \land vars f' = vars f1 \cup \{x\} \land
                           (\forall v. \ \Psi \ (eval \ f1 \ v) = \Psi \ (eval \ f' \ v))
                 \exists f'. \ bipart\text{-rlexp} \ x \ f' \land vars \ f' = vars \ f2 \cup \{x\} \land f' = vars \ f' = vars \
                           (\forall v. \ \Psi \ (eval \ f2 \ v) = \Psi \ (eval \ f' \ v))
      shows \exists f'. bipart-rlexp x f' \land vars f' = vars (Concat f1 f2) <math>\cup \{x\} \land
                           (\forall v. \ \Psi \ (eval \ (Concat \ f1 \ f2) \ v) = \Psi \ (eval \ f' \ v))
proof -
   from assms obtain f1'f2' where f1'-intro: bipart-rlexp x f1' \wedge vars f1' = vars
f1 \cup \{x\} \wedge
          (\forall v. \ \Psi \ (eval \ f1 \ v) = \Psi \ (eval \ f1' \ v))
      and f2'-intro: bipart-rlexp x f2' \wedge vars f2' = vars f2 \cup \{x\} \wedge vars
          (\forall v. \ \Psi \ (eval \ f2\ v) = \Psi \ (eval \ f2\ 'v))
      by auto
   then obtain p1 q1 p2 q2 where p1-q1-intro: reg-eval p1 \land reg-eval q1 \land
      f1' = Union \ p1 \ (Concat \ q1 \ (Var \ x)) \land (\forall \ y \in vars \ p1. \ y \neq x)
      and p2-q2-intro: reg-eval p2 \wedge reg-eval q2 \wedge f2' = Union p2 (Concat q2 (Var
x)) \wedge
      (\forall y \in vars \ p2. \ y \neq x) unfolding bipart-rlexp-def by auto
  let ?q' = Union (Concat \ q1 \ (Concat \ (Var \ x) \ q2)) (Union (Concat \ p1 \ q2) (Concat \ p1 \ q2))
q1 p2))
   let ?f' = Union (Concat p1 p2) (Concat ?q' (Var x))
   have \forall v. (\Psi (eval (Concat f1 f2) v) = \Psi (eval ?f' v))
   proof (rule allI)
      \mathbf{fix} \ v
      have f2-subst: \Psi (eval f2 v) = \Psi (eval p2 v \cup eval q2 v @@ v x)
          using p2-q2-intro f2'-intro by auto
      have \Psi (eval (Concat f1 f2) v) = \Psi ((eval p1 v \cup eval q1 v @@ v x) @@ eval
f2 v
          using p1-q1-intro f1'-intro
          by (metis\ eval.simps(1)\ eval.simps(3)\ eval.simps(4)\ parikh-conc-right)
      also have ... = \Psi (eval p1 v @@ eval f2 v \cup eval q1 v @@ v x @@ eval f2 v)
         by (simp\ add:\ conc\text{-}Un\text{-}distrib(2)\ conc\text{-}assoc)
      also have ... = \Psi (eval p1 v @@ (eval p2 v \cup eval q2 v @@ v x)
             \cup \ eval \ q1 \ v @@ v \ x @@ (eval \ p2 \ v \cup \ eval \ q2 \ v @@ v \ x))
        using f2-subst by (smt (verit, ccfv-threshold) parikh-conc-right parikh-img-Un
parikh-imq-commut)
      also have ... = \Psi (eval p1 v @@ eval p2 v \cup (eval p1 v @@ eval q2 v @@ v x
```

```
eval\ q1\ v\ @@\ eval\ p2\ v\ @@\ v\ x\ \cup\ eval\ q1\ v\ @@\ v\ x\ @@\ eval\ q2\ v\ @@\ v\ x))
         using parikh-img-commut by (smt (z3) conc-Un-distrib(1) parikh-conc-right
parikh-img-Un sup-assoc)
      also have ... = \Psi (eval p1 v @@ eval p2 v \cup (eval p1 v @@ eval q2 v \cup
             eval\ q1\ v\ @@\ eval\ p2\ v\ \cup\ eval\ q1\ v\ @@\ v\ x\ @@\ eval\ q2\ v)\ @@\ v\ x)
         by (simp add: conc-Un-distrib(2) conc-assoc)
      also have \dots = \Psi (eval ?f' v)
         by (simp add: Un-commute)
      finally show \Psi (eval (Concat f1 f2) v) = \Psi (eval ?f' v).
   qed
   moreover have bipart-rlexp x ?f' unfolding bipart-rlexp-def using p1-q1-intro
p2-q2-intro by auto
   moreover from f1'-intro f2'-intro p1-q1-intro p2-q2-intro
      have vars ?f' = vars (Concat f1 f2) \cup \{x\} by auto
   ultimately show ?thesis by metis
qed
lemma req-eval-bipart-rlexp-Star:
   assumes \exists f'. bipart-rlexp x f' \land vars f' = vars f \cup \{x\}
                          \wedge (\forall v. \ \Psi \ (eval \ f \ v) = \Psi \ (eval \ f' \ v))
   shows \exists f'. bipart-rlexp x f' \land vars f' = vars (Star f) \cup \{x\}
                          \wedge (\forall v. \ \Psi \ (eval \ (Star \ f) \ v) = \Psi \ (eval \ f' \ v))
proof -
   from assms obtain f' where f'-intro: bipart-rlexp x f' \wedge vars f' = vars f \cup \{x\}
          (\forall v. \ \Psi \ (eval \ f \ v) = \Psi \ (eval \ f' \ v)) \ \mathbf{by} \ auto
   then obtain p q where p-q-intro: reg-eval p \land reg-eval q \land
        f' = Union \ p \ (Concat \ q \ (Var \ x)) \land (\forall y \in vars \ p. \ y \neq x) \ unfolding \ bi-
part-rlexp-def by auto
   let ?q\text{-}new = Concat (Star p) (Concat (Star (Concat q (Var x))) (Concat (Star p) (Concat (Star p) (Concat 
(Concat\ q\ (Var\ x)))\ q))
   let ?f\text{-}new = Union (Star p) (Concat ?q\text{-}new (Var x))
   have \forall v. (\Psi (eval (Star f) v) = \Psi (eval ?f-new v))
   proof (rule allI)
      \mathbf{fix} \ v
      have \Psi (eval (Star f) v) = \Psi (star (eval p v \cup eval q v @@ v x))
         using f'-intro parikh-star-mono-eq p-q-intro
         by (metis\ eval.simps(1)\ eval.simps(3)\ eval.simps(4)\ eval.simps(5))
      also have ... = \Psi (star (eval p v) @@ star (eval q v @@ v x))
         using parikh-img-star by blast
      also have ... = \Psi (star (eval p v) @@
             star~(\{[]\} \cup star~(eval~q~v~@@~v~x)~@@~eval~q~v~@@~v~x))
         by (metis Un-commute conc-star-comm star-idemp star-unfold-left)
      also have ... = \Psi (star (eval p v) @@ star (star (eval q v @@ v x) @@ eval q
v @@ v x))
         by auto
      also have ... = \Psi (star (eval p v) @@ ({[]} \cup star (eval q v @@ v x)
             @@ star (eval q v @@ v x) @@ eval q v @@ v x))
         using parikh-img-star2 parikh-conc-left by blast
```

```
also have ... = \Psi (star (eval p v) @@ {[]} \cup star (eval p v) @@ star (eval q
v @@ v x
               @@ star (eval q v @@ v x) @@ eval q v @@ v x) by (metis conc-Un-distrib(1))
         also have ... = \Psi (eval ?f-new v) by (simp add: conc-assoc)
         finally show \Psi (eval (Star f) v) = \Psi (eval ?f-new v).
    qed
    moreover have bipart-rlexp x ?f-new unfolding bipart-rlexp-def using p-q-intro
by fastforce
     moreover from f'-intro p-q-intro have vars ?f-new = vars (Star f) \cup \{x\} by
auto
     ultimately show ?thesis by metis
qed
lemma reg-eval-bipart-rlexp: reg-eval f \Longrightarrow
          \exists f'. \ bipart\text{-rlexp} \ x \ f' \land vars \ f' = vars \ f \cup \{x\} \land
                      (\forall s. \ \Psi \ (eval \ f \ s) = \Psi \ (eval \ f' \ s))
proof (induction f rule: reg-eval.induct)
     case (1 uu)
     from reg-eval-bipart-rlexp-Variable show ?case by blast
next
     case (2 l)
     then have regular-lang l by simp
     \mathbf{from}\ \mathit{reg-eval-bipart-rlexp-Const}[\mathit{OF}\ \mathit{this}]\ \mathbf{show}\ \mathit{?case}\ \mathbf{by}\ \mathit{blast}
next
     case (3 f g)
     then have \exists f'. bipart-rlexp x f' \land vars f' = vars f \cup \{x\} \land (\forall v. \ \Psi \ (eval \ f \ v) = vars f' \land va
\Psi (eval f'(v))
                             \exists f'. \ bipart\text{-rlexp} \ x \ f' \land vars \ f' = vars \ g \cup \{x\} \land (\forall v. \ \Psi \ (eval \ g \ v) = \Psi
(eval f' v)
         by auto
     from reg-eval-bipart-rlexp-Union[OF this] show ?case by blast
     case (4 f g)
    then have \exists f'. bipart-rlexp x f' \land vars f' = vars f \cup \{x\} \land (\forall v. \ \Psi \ (eval f v) =
\Psi (eval f' v)
                             \exists f'. \ bipart-rlexp \ x \ f' \land vars \ f' = vars \ q \cup \{x\} \land (\forall v. \ \Psi \ (eval \ q \ v) = \Psi
(\mathit{eval}\, f'\, v))
         by auto
     from reg-eval-bipart-rlexp-Concat[OF this] show ?case by blast
next
     case (5 f)
     then have \exists f'. bipart-rlexp x f' \land vars f' = vars f \cup \{x\} \land (\forall v. \ \Psi \ (eval f v) =
\Psi (eval f' v)
         by auto
     from reg-eval-bipart-rlexp-Star[OF this] show ?case by blast
qed
```

## 4.2 Minimal solution for a single equation

The aim is to prove that every system of equations of the second type has some minimal solution which is *reg-eval*. In this section, we prove this property only for the case of a single equation. First we assume that the equation is bipartite but later in this section we will abandon this assumption.

```
locale single-bipartite-eq = fixes x :: nat fixes p :: 'a rlexp fixes q :: 'a rlexp assumes p-reg: reg-eval p assumes q-reg: reg-eval q assumes x-not-in-p: x \notin vars p begin
```

The equation and the minimal solution look as follows. Here, x describes the variable whose solution is to be determined. In the subsequent lemmas, we prove that the solution is reg-eval and fulfills each of the three conditions of the predicate partial-min-sol-one-ineq. In particular, we will use the lemmas of the sections 2.5 and 2.6 here:

```
abbreviation eq \equiv Union \ p \ (Concat \ q \ (Var \ x))
abbreviation sol \equiv Concat (Star (subst (Var(x := p)) q)) p
lemma sol-is-reg: reg-eval sol
proof -
 from p-reg q-reg have r-reg: reg-eval (subst (Var(x := p)) q)
   using subst-req-eval-update by auto
 with p-reg show reg-eval sol by auto
qed
lemma sol-vars: vars sol \subseteq vars eq - \{x\}
proof -
 let ?upd = Var(x := p)
 let ?subst-q = subst ?upd q
 from x-not-in-p have vars-p: vars p \subseteq vars\ eq - \{x\} by fastforce
 have vars ?subst-q \subseteq vars eq - \{x\}
 proof
   \mathbf{fix} \ y
   assume y-in-subst-q: y \in vars ?subst-q
   with vars-subst obtain y' where y'-in-q: y' \in vars q and y-in-y': y \in vars
(?upd\ y')
     unfolding fun-upd-def by force
   show y \in vars\ eq - \{x\}
   proof (cases y' = x)
     case True
     with y-in-y' x-not-in-p show ?thesis by auto
   next
     case False
```

```
with y'-in-q y-in-y' show ?thesis by simp
   qed
 qed
 with x-not-in-p show ?thesis by auto
ged
lemma sol-is-sol-ineq: partial-sol-ineq x eq sol
unfolding partial-sol-ineq-def proof (rule allI, rule impI)
 \mathbf{fix} \ v
 assume x-is-sol: v x = eval \ sol \ v
 let ?r = subst (Var (x := p)) q
 let ?upd = Var(x := sol)
 let ?q-subst = subst ?upd q
 let ?eq\text{-}subst = subst ?upd eq
 have homogeneous-app: \Psi (eval ?q-subst v) \subseteq \Psi (eval (Concat (Star ?r) ?r) v)
   using rlexp-homogeneous by blast
 from x-not-in-p have eval (subst ?upd p) v = eval p v using eval-vars-subst[of
p] by simp
 then have \Psi (eval ?eq-subst v) = \Psi (eval p v \cup eval ?q-subst v @@ eval sol v)
 also have ... \subseteq \Psi (eval p \ v \cup eval (Concat (Star ?r) ?r) v @@ eval sol v)
  using homogeneous-app by (metis dual-order refl parikh-conc-right-subset parikh-img-Un
sup.mono)
 also have \dots = \Psi (eval \ p \ v) \cup
     \Psi (star (eval ?r v) @@ eval ?r v @@ star (eval ?r v) @@ eval p v)
   by (simp add: conc-assoc)
 also have \dots = \Psi (eval \ p \ v) \cup
     \Psi (eval ?r v @@ star (eval ?r v) @@ eval p v)
  using parikh-img-commut conc-star-star by (smt (verit, best) conc-assoc conc-star-comm)
 also have ... = \Psi (star (eval ?r v) @@ eval p v)
   using star-unfold-left
   by (smt (verit) conc-Un-distrib(2) conc-assoc conc-epsilon(1) parikh-img-Un
sup\text{-}commute)
 finally have *: \Psi (eval ?eq-subst v) \subseteq \Psi (v x) using x-is-sol by simp
 from x-is-sol have v = v(x := eval \ sol \ v) using fun-upd-triv by metis
 then have eval eq v = eval (subst (Var(x := sol)) eq) v
   using substitution-lemma-update[where f=eq] by presburger
 with * show solves-ineq-comm x eq v unfolding solves-ineq-comm-def by argo
qed
lemma sol-is-minimal:
 assumes is-sol: solves-ineq-comm x eq v
     and sol'-s: v x = eval sol' v
   shows
                     \Psi \ (eval \ sol \ v) \subseteq \Psi \ (v \ x)
proof -
 from is-sol sol'-s have is-sol': \Psi (eval q v @@ v x \cup eval p v) \subseteq \Psi (v x)
   unfolding solves-ineq-comm-def by simp
 then have 1: \Psi (eval (Concat (Star q) p) v) \subseteq \Psi (v x)
   using parikh-img-arden by auto
```

```
from is-sol' have \Psi (eval p v) \subseteq \Psi (eval (Var x) v) by auto then have \Psi (eval (subst (Var(x:=p)) q) v) \subseteq \Psi (eval q v) using parikh-img-subst-mono-upd by (metis fun-upd-triv subst-id) then have \Psi (eval (Star (subst (Var(x:=p)) q)) v) \subseteq \Psi (eval (Star q) v) using parikh-star-mono by auto then have \Psi (eval sol v) \subseteq \Psi (eval (Concat (Star q) p) v) using parikh-conc-right-subset by (metis eval.simps(4)) with 1 show ?thesis by fast qed

In summary, sol is a minimal partial solution and it is reg-eval: lemma sol-is-minimal-reg-sol: reg-eval sol \wedge partial-min-sol-one-ineq x eq sol unfolding partial-min-sol-one-ineq-def using sol-is-reg sol-vars sol-is-sol-ineq sol-is-minimal by blast
```

#### end

As announced at the beginning of this section, we now extend the previous result to arbitrary equations, i.e. we show that each equation has some minimal partial solution which is *reg-eval*:

```
lemma exists-minimal-req-sol:
 assumes eq-req: req-eval eq
 shows \exists sol. \ reg-eval \ sol \land \ partial-min-sol-one-ineq \ x \ eq \ sol
proof -
  from reg-eval-bipart-rlexp[OF eq-reg] obtain eq'
   where eq'-intro: bipart-rlexp x eq' \wedge vars eq' = vars eq \cup \{x\} \wedge
                  (\forall v. \ \Psi \ (eval \ eq \ v) = \Psi \ (eval \ eq' \ v)) by blast
  then obtain p q
   where p-q-intro: reg-eval p \wedge reg-eval \ q \wedge eq' = Union \ p \ (Concat \ q \ (Var \ x)) \wedge
x \notin vars p
   unfolding bipart-rlexp-def by blast
  let ?sol = Concat (Star (subst (Var(x := p)) q)) p
 from p-q-intro have sol-prop: req-eval ?sol \wedge partial-min-sol-one-ineq x eq' ?sol
   using single-bipartite-eq.sol-is-minimal-reg-sol unfolding single-bipartite-eq-def
by blast
  with eq'-intro have partial-min-sol-one-ineq x eq ?sol
   using same-min-sol-if-same-parikh-img by blast
  with sol-prop show ?thesis by blast
qed
```

#### 4.3 Minimal solution of the whole system of equations

In this section we will extend the last section's result to whole systems of equations. For this purpose, we will show by induction on r that the first r equations have some minimal partial solution which is reg-eval.

We start with the centerpiece of the induction step: If a reg-eval and minimal partial solution sols exists for the first r equations and furthermore

a reg-eval and minimal partial solution sol-r exists for the r-th equation, then there exists a reg-eval and minimal partial solution for the first  $Suc\ r$  equations as well.

```
locale min-sol-induction-step =
 fixes r :: nat
   and sys :: 'a \ eq-sys
   and sols :: nat \Rightarrow 'a \ rlexp
   and sol-r :: 'a rlexp
                          \forall eq \in set sys. reg-eval eq
  assumes eqs-req:
                         \forall eq \in set sys. \ \forall x \in vars \ eq. \ x < length sys
     and sys-valid:
     and r-valid:
                         r < length sys
     and sols-is-sol: partial-min-sol-ineq-sys r sys sols
     and sols-req:
                         \forall i. reg-eval (sols i)
     and sol-r-is-sol: partial-min-sol-one-ineq r (subst-sys sols sys! r) sol-r
     and sol-r-reg:
                        reg-eval sol-r
begin
```

Throughout the proof, a modified system of equations will be occasionally used to simplify the proof; this modified system is obtained by substituting the partial solutions of the first r equations into the original system. Additionally we retrieve a partial solution for the first  $Suc\ r$  equations - named sols' - by substituting the partial solution of the r-th equation into the partial solutions of each of the first r equations:

```
abbreviation sys' \equiv subst-sys\ sols\ sys
abbreviation sols' \equiv \lambda i.\ subst\ (Var(r:=sol-r))\ (sols\ i)
lemma sols'-r:\ sols'\ r=sol-r
using sols-is-sol unfolding partial-min-sol-ineq-sys-def by simp
```

The next lemmas show that sols' is still reg-eval and that it complies with each of the four conditions defined by the predicate partial-min-sol-ineq-sys:

```
lemma sols'-reg: \forall i. reg-eval (sols' i)
  using sols-reg sol-r-reg using subst-reg-eval-update by blast
lemma sols'-is-sol: solution-ineq-sys (take (Suc r) sys) sols'
unfolding solution-ineq-sys-def proof (rule allI, rule impI)
 \mathbf{fix} \ v
  assume s-sols': \forall x. \ v \ x = eval \ (sols' \ x) \ v
  from sols'-r s-sols' have s-r-sol-r: v r = eval sol-r v by simp
  with s-sols' have s-sols: v = eval (sols x) v for x
  using substitution-lemma-update[where f=sols x] by (auto simp add: fun-upd-idem)
  with sols-is-sol have solves-r-sys: solves-ineq-sys-comm (take r sys) v
   unfolding partial-min-sol-ineq-sys-def solution-ineq-sys-def by meson
  have eval (sys! r) (\lambda y. eval (sols y) v) = eval (sys'! r) v
   using substitution-lemma[of \lambda y. eval (sols y) v]
   by (simp add: r-valid Suc-le-lessD subst-sys-subst)
  with s-sols have eval (sys! r) v = eval (sys'! r) v
   by (metis (mono-tags, lifting) eval-vars)
```

```
with sol-r-is-sol s-r-sol-r have \Psi (eval (sys! r) v) \subseteq \Psi (v r)
   unfolding partial-min-sol-one-ineq-def partial-sol-ineq-def solves-ineq-comm-def
by simp
  with solves-r-sys show solves-ineq-sys-comm (take (Suc r) sys) v
    unfolding solves-ineq-sys-comm-def solves-ineq-comm-def by (auto simp add:
less-Suc-eq)
qed
lemma sols'-min: \forall sols 2 v2. (\forall x. v2 x = eval (sols 2 x) v2)
                 \land solves-ineq-sys-comm (take (Suc r) sys) v2
                  \longrightarrow (\forall i. \ \Psi \ (eval \ (sols' \ i) \ v2) \subseteq \Psi \ (v2 \ i))
proof (rule \ all I \mid rule \ impI)+
 fix sols2 v2 i
 assume as: (\forall x. \ v2 \ x = eval \ (sols2 \ x) \ v2) \land solves-ineq-sys-comm \ (take \ (Suc \ r)
sys) v2
 then have solves-ineq-sys-comm (take r sys) v2 unfolding solves-ineq-sys-comm-def
by fastforce
  with as sols-is-sol have sols-s2: \Psi (eval (sols i) v2) \subseteq \Psi (v2 i) for i
   unfolding partial-min-sol-ineq-sys-def by auto
  have eval (sys' ! r) v2 = eval (sys ! r) (\lambda i. eval (sols i) v2)
   unfolding subst-sys-def using substitution-lemma[where f=sys ! r]
   by (simp add: r-valid Suc-le-lessD)
  with sols-s2 have \Psi (eval (sys'! r) v2) \subseteq \Psi (eval (sys! r) v2)
    using rlexp-mono-parikh[of sys ! r] by auto
  with as have solves-ineq-comm r (sys'! r) v2
     unfolding solves-ineq-sys-comm-def solves-ineq-comm-def using r-valid by
  with as sol-r-is-sol have sol-r-min: \Psi (eval sol-r v2) \subseteq \Psi (v2 r)
   unfolding partial-min-sol-one-ineq-def by blast
 let ?v' = v2(r := eval sol - r v2)
 from sol-r-min have \Psi (?v' i) \subseteq \Psi (v2 i) for i by simp
  with sols-s2 show \Psi (eval (sols' i) v2) \subseteq \Psi (v2 i)
    using substitution-lemma-update [where f=sols i] rlexp-mono-parikh[of sols i
?v' v2] by force
qed
lemma sols'-vars-gt-r: \forall i \geq Suc \ r. sols' \ i = Var \ i
 using sols-is-sol unfolding partial-min-sol-ineq-sys-def by auto
lemma sols'-vars-leq-r: \forall i < Suc \ r. \forall x \in vars \ (sols' \ i). x \geq Suc \ r \land x < length
sys
proof -
 from sols-is-sol have \forall i < r. \ \forall x \in vars \ (sols \ i). \ x \geq r \land x < length \ sys
   unfolding partial-min-sol-ineq-sys-def by simp
  with sols-is-sol have vars-sols: \forall i < length sys. \ \forall x \in vars (sols i). \ x \geq r \land x
< length sys
  unfolding partial-min-sol-ineq-sys-def by (metis empty-iff insert-iff leI vars.simps(1))
 with sys-valid have \forall x \in vars \ (subst \ sols \ (sys \ ! \ i)). \ x \geq r \land x < length \ sys \ \textbf{if} \ i
< length sys for i
```

```
using vars-subst[of\ sols\ sys\ !\ i] that by (metis\ UN-E\ nth-mem) then have \forall\ x\in vars\ (sys'\ !\ i).\ x\geq r\land x< length\ sys\ {\bf if}\ i< length\ sys\ {\bf for}\ i unfolding subst-sys-def using r-valid that by auto moreover from sol-r-is-sol have vars\ (sol\text{-}r)\subseteq vars\ (sys'\ !\ r)-\{r\} unfolding partial-min-sol-one-ineq-def by simp ultimately have vars-sol-r: \forall\ x\in vars\ sol-r. x>r\land x< length\ sys unfolding partial-min-sol-one-ineq-def using r-valid by (metis\ DiffE\ insertCI\ nat-less-le subsetD) moreover have vars\ (sols'\ i)\subseteq vars\ (sols\ i)-\{r\}\cup vars\ sol-r\ {\bf if}\ i< length\ sys\ {\bf for}\ i using vars-subst-upd-upper by meson ultimately have \forall\ x\in vars\ (sols'\ i).\ x>r\land x< length\ sys\ {\bf if}\ i< length\ sys\ {\bf for}\ i using vars-sols that by fastforce then show ?thesis by (meson\ r-valid Suc-le-eq dual-order.strict-trans1) qed
```

In summary, sols' is a minimal partial solution of the first  $Suc\ r$  equations. This allows us to prove the centerpiece of the induction step in the next lemma, namely that there exists a reg-eval and minimal partial solution for the first  $Suc\ r$  equations:

```
lemma sols'-is-min-sol: partial-min-sol-ineq-sys (Suc\ r) sys\ sols' unfolding partial-min-sol-ineq-sys-def using sols'-is-sol\ sols'-min\ sols'-vars-gt-r\ sols'-vars-leq-r by blast lemma exists-min-sol-Suc-r: \exists\ sols'. partial-min-sol-ineq-sys\ (Suc\ r)\ sys\ sols' <math>\land\ (\forall\ i.\ reg-eval\ (sols'\ i)) using sols'-reg\ sols'-is-min-sol\ by\ blast
```

end

Now follows the actual induction proof: For every r, there exists a reg-eval and minimal partial solution of the first r equations. This then implies that there also exists a regular and minimal (non-partial) solution of the whole system:

```
lemma exists-minimal-reg-sol-sys-aux:

assumes eqs-reg: \forall eq \in set \ sys. \ reg-eval \ eq
and sys-valid: \forall eq \in set \ sys. \ \forall x \in vars \ eq. \ x < length \ sys
and r\text{-}valid: r \leq length \ sys
shows \exists sols. \ partial-min-sol-ineq-sys \ r \ sys \ sols \land \ (\forall i. \ reg-eval \ (sols \ i))
using assms proof (induction \ r)
case \theta
have solution\text{-}ineq\text{-}sys \ (take \ \theta \ sys) \ Var
unfolding solution\text{-}ineq\text{-}sys\text{-}def \ solves\text{-}ineq\text{-}sys\text{-}comm\text{-}def \ by \ simp}
then show ?case unfolding partial\text{-}min\text{-}sol\text{-}ineq\text{-}sys\text{-}def \ by \ auto
next
case (Suc \ r)
```

```
then obtain sols where sols-intro: partial-min-sol-ineq-sys r sys sols \land (\forall i.
reg-eval (sols i))
   by auto
  let ?sys' = subst-sys sols sys
  from eqs-req Suc.prems have req-eval (sys!r) by simp
  with sols-intro Suc.prems have sys-r-reg: reg-eval (?sys'! r)
    using subst-reg-eval[of sys! r] subst-sys-subst[of r sys] by simp
  then obtain sol-r where sol-r-intro:
    reg-eval\ sol-r \land partial-min-sol-one-ineq\ r\ (?sys'!\ r)\ sol-r
   \mathbf{using}\ \mathit{exists-minimal-reg-sol}\ \mathbf{by}\ \mathit{blast}
  with Suc sols-intro have min-sol-induction-step r sys sols sol-r
   unfolding min-sol-induction-step-def by force
 from min-sol-induction-step.exists-min-sol-Suc-r[OF this] show ?case by blast
qed
lemma exists-minimal-req-sol-sys:
 assumes eqs-reg: \forall eq \in set sys. reg-eval eq
     and sys-valid: \forall eq \in set sys. \ \forall x \in vars eq. \ x < length sys
                      \exists sols. \ min\text{-}sol\text{-}ineq\text{-}sys\text{-}comm \ sys \ sols \land (\forall i. \ regular\text{-}lang \ (sols \ ))
i))
proof -
  from eqs-reg sys-valid have
    \exists sols. partial-min-sol-ineq-sys (length sys) sys sols \land (\forall i. reg-eval (sols i))
    using exists-minimal-reg-sol-sys-aux by blast
  then obtain sols where
    sols-intro: partial-min-sol-ineq-sys (length sys) sys sols \land (\forall i. reg-eval (sols i))
   by blast
  then have const-rlexp (sols i) if i < length sys for i
   using that unfolding partial-min-sol-ineq-sys-def by (meson equals0I leD)
  with sols-intro have \exists l. regular-lang l \land (\forall v. eval (sols i) v = l) if i < length
sys for i
   using that const-rlexp-regular-lang by metis
  then obtain ls where ls-intro: \forall i < length sys. regular-lang (ls i) \land (\forall v. eval)
(sols i) v = ls i)
   by metis
  let ?ls' = \lambda i. if i < length sys then <math>ls i else \{\}
  from ls-intro have ls'-intro:
   (\forall i < length sys. regular-lang (?ls'i) \land (\forall v. eval (sols i) v = ?ls'i))
    \land (\forall i \geq length \ sys. \ ?ls' \ i = \{\}) \ \mathbf{by} \ force
  then have ls'-regular: regular-lang (?ls' i) for i by (meson\ lang.simps(1))
  from ls'-intro sols-intro have solves-ineq-sys-comm sys ?ls'
   unfolding partial-min-sol-ineq-sys-def solution-ineq-sys-def
   by (smt (verit) eval.simps(1) linorder-not-less nless-le take-all-iff)
  moreover have \forall sol'. solves-ineq-sys-comm sys sol' \longrightarrow (\forall x. \Psi (?ls' x) \subseteq \Psi
(sol' x))
  proof (rule allI, rule impI)
   \mathbf{fix} \ sol' \ x
   assume as: solves-ineq-sys-comm sys sol'
   let ?sol-rlexps = \lambda i. Const (sol' i)
```

```
from as have solves-ineq-sys-comm (take (length sys) sys) sol' by simp moreover have sol' x = eval (?sol-rlexps x) sol' for x by simp ultimately show \forall x. \ \Psi (?ls' x) \subseteq \Psi (sol' x) using sols-intro unfolding partial-min-sol-ineq-sys-def by (smt (verit) empty-subsetI eval.simps(1) ls'-intro parikh-img-mono) qed ultimately have min-sol-ineq-sys-comm sys ?ls' unfolding min-sol-ineq-sys-comm-def by blast with ls'-regular show ?thesis by blast qed
```

#### 4.4 Parikh's theorem

Finally we are able to prove Parikh's theorem, i.e. that to each context free grammar exists a regular language with identical Parikh image:

```
theorem Parikh: CFL (TYPE('n)) L \Longrightarrow \exists L'. regular-lang L' \land \Psi L = \Psi L'
 assume CFL (TYPE('n)) L
 then obtain P and S::'n where *: L = Lang P S \wedge finite P unfolding CFL-def
by blast
 show ?thesis
 proof (cases S \in Nts P)
   case True
   from * finite-Nts exists-bij-Nt-Var obtain \gamma \gamma' where **: bij-Nt-Var (Nts P)
\gamma \gamma' by metis
   let ?sol = \lambda i. if i < card (Nts P) then Lang-lfp P(\gamma i) else \{\}
   from ** True have \gamma' S < card (Nts P) \gamma (\gamma' S) = S
     unfolding bij-Nt-Var-def bij-betw-def by auto
   with Lang-Ifp-eq-Lang have ***: Lang P S = ?sol (\gamma' S) by metis
   from * ** CFG-eq-sys. CFL-is-min-sol obtain sys
     where sys-intro: (\forall eq \in set sys. reg-eval eq) \land (\forall eq \in set sys. \forall x \in vars eq.
x < length sys)
                    ∧ min-sol-ineq-sys sys ?sol
     unfolding CFG-eq-sys-def by blast
    with min-sol-min-sol-comm have sol-is-min-sol: min-sol-ineq-sys-comm sys
?sol by fast
   from sys-intro exists-minimal-req-sol-sys obtain sol' where
       sol'-intro: min-sol-ineq-sys-comm sys sol' \land regular-lang (sol' (\gamma' S)) by
fastforce
   with sol-is-min-sol min-sol-comm-unique have \Psi (?sol (\gamma' S)) = \Psi (sol' (\gamma' S))
S))
     by blast
   with * *** sol'-intro show ?thesis by auto
  next
   case False
   with Nts-Lhss-Rhs-Nts have S \notin Lhss P by fast
  from Lang-empty-if-notin-Lhss[OF this] * show ?thesis by (metis lang.simps(1))
 qed
qed
```

 $\mathbf{end}$ 

## References

[1] D. L. Pilling. Commutative regular equations and parikh's theorem.  $Journal\ of\ the\ London\ Mathematical\ Society,\ s2-6(4):663-666,\ 1973.$  https://doi.org/10.1112/jlms/s2-6.4.663.