

P2L2 Object Oriented Software Engineering & UML

Notes 09/08/2014

This lesson is about object orientation and other related concepts.

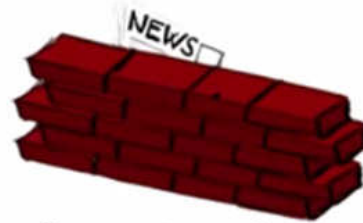
The lesson is split in two main parts:

- *A quick introduction to object orientation and object oriented analysis and design.*
- *The essentials of UML.*

WHAT IS OBJECT ORIENTATION ?



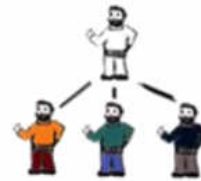
Data over function



Information hiding



Encapsulation



Inheritance

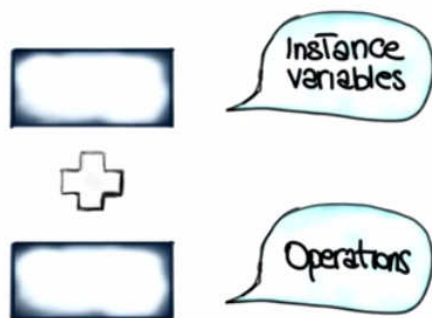
Object Orientation Introduction

- What exactly is object orientation?
- Before object orientation became prevalent, people were not used to thinking in terms of objects.
- What does it mean to think in terms of objects and to follow an object-oriented approach?
 - First of all, it means to give precedence of data over function.
 - Did items rather than functionality become the center of development activities?
- This also allows for enforcing the very important concept of information hiding, which is the encapsulation and segregation of data behind well-defined and ideally stable interfaces in order to be able to hide the design and also implementation decisions.
 - And note that the terms encapsulation and information hiding are often used interchangeably, although some people prefer to think of information hiding as

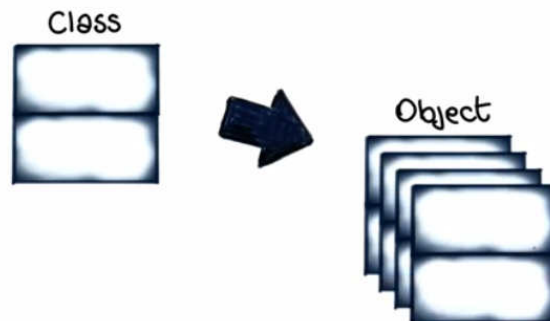
being the principle and encapsulation being the technique to achieve information hiding.

- The key concept is really to gather, to seclude this data behind sort of a wall and give access to the data only through interfaces that you, the developer define.
- And why is that important?
 - One of the main reasons is that it makes code more maintainable because the rest of the code, the rest of the system, doesn't have to be concerned on how the implementation details or the design are defined and therefore, any change that happens behind this wall doesn't concern/affect the rest of the system as long as you keep your interfaces consistent.
 - Another advantage of focusing on objects and encapsulating the information into cohesive entities is that it allows the reuse of object definitions by incremental refinement which is what we normally call inheritance.
- And inheritance is definitely a fundamental concept in object orientation.
 - For example, we can define a car as a refinement of the vehicle; that there's some additional characteristics with respect to a generic vehicle and then we can use the car wherever a vehicle can be used, which is what we call polymorphism.

OBJECTS AND CLASSES



OBJECTS AND CLASSES



Objects and Classes

- An object is a computing unit organized around a collection of state or instance variables that define the state of the object.
- In addition, each object has associated with it a set operations or methods that operate on such state.
 - What that means is that operations and methods read and write instance variables.
- In traditional object orientation, we say that operations are invoked by sending a message to the appropriate object, which is what we call normally a method implication.
- So an object is state variables or attributes and operations or methods

- A class is basically a template, a blueprint, if you wish, from which new objects (what we call instances of the class) can be created.
- The fact of having a blueprint for objects that allows us to create as many objects as we want can further reuse, and also contribute to make the code more readable, understandable, and therefore ultimately more maintainable.

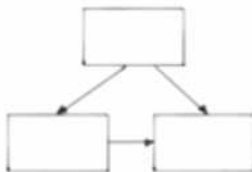
WHY USE OO?



Reduce maintenance costs



Improve development process



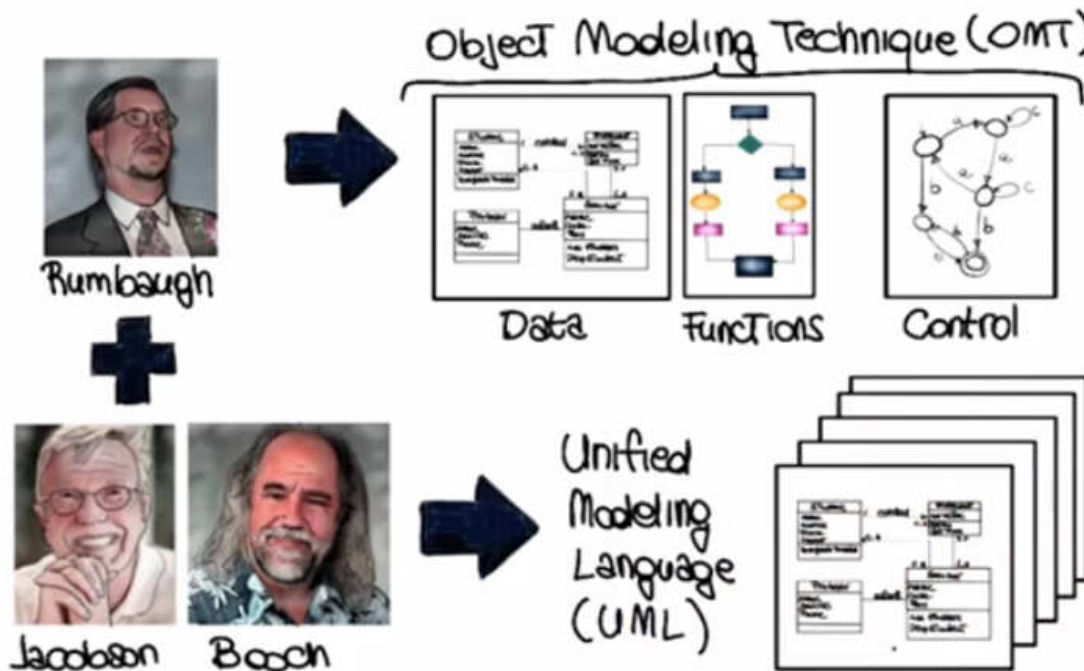
Enforce good design

Benefits of OO

- Why do we want to use object orientation?
 - The first reason is that object orientation can help reduce long-term maintenance costs by limiting the effects of changes.
 - As we saw, the effect of using encapsulation and information hiding makes it easier to modify parts of the system without affecting the rest of the system.
 - Object orientation can also improve the developing process by favoring code and design reuse.
 - In general, object orientation helps enforce good design principles such as the ones that we saw in encapsulation, information hiding, high cohesion, low coupling.
- The modular coding style typical of object-oriented approaches can, and normally does, increase reuse.
 - Because of the characteristics of typical object-oriented systems, these systems are normally easier to change.

- Because they're more modular, they're more cohesive, they're more decoupled and all of this contributes to increase the maintainability of the resulting systems.
- Normally, the fact of designing real-world entities, which is one of the characteristics of the object oriented approaches, does increase understandability.
 - It's easier to understand the system because we can relate to the system and we can recognize in the systems, real world entities that we are used to see and that we understand.

OO ANALYSIS: SOME HISTORY



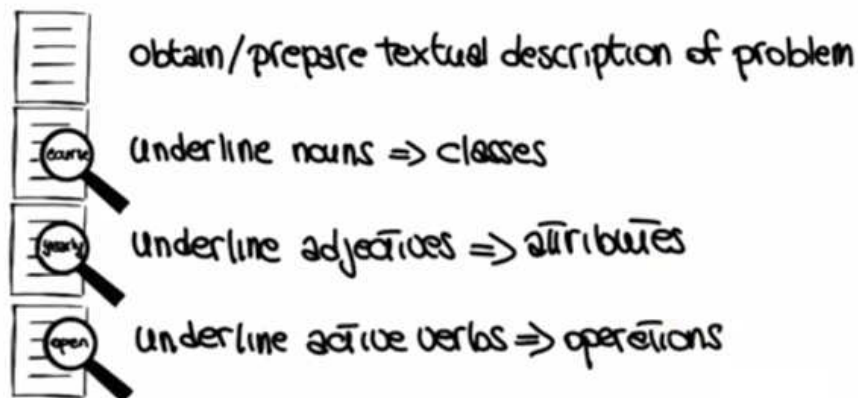
OO Analysis History

- The use of object orientation and object oriented concepts led to what we call OOAD, object oriented analysis and design.
- OOAD is a software engineering approach whose main characteristics is to model a software system as a group of interacting objects.
- Object oriented analysis is a requirements analysis technique that concentrates on modeling real world objects.
- Let's provide some historical perspective on object oriented analysis to better understand how we went from a function-centric world to a data-centric world.
 - Several people contributed to this shift in perspective
 - James Rumbaugh in the 90s developed an integrated approach to object oriented modelling with three main aspects.

- A data aspect, so the modelling was based on using an extended version of entity relationship diagrams to describe classes and inheritance.
 - So that's what was called the object model.
- And the second aspect has to do with functions.
 - So data flow diagrams were used to represent the functional aspects of the system, where each function was then becoming a method in a class.
 - So this is what is called the functional model.
- The third model in Rumbaugh's methodology had to do with control.
 - So it was representing the dynamic aspects of a system and it uses state machines to represent how a system would evolve going from one state to the other based on what happened to the system.
- These three models together represented what was called the Object Modeling Technique, or OMT.
- OMT combined with contributions from several people
 - In particular Jacobson and Booch evolved into what we call the Unified Modeling Language (UML) which is probably the modeling language that most of you are familiar with.
 - UML extends OMT by providing more diagrams and a broader view of a system from multiple perspectives.

OO ANALYSIS

Functional oriented \Rightarrow data oriented
 Real world objects \Rightarrow Requirements



OO Analysis Overview

- Traditional analysis and design techniques were functionally oriented.

- What that means is that they concentrated on the functions to be performed, whereas the data upon which the functions operated were secondary to the functions themselves.
- Conversely, object oriented analysis is primarily concerned that with data objects.
- So we went from a functional oriented view to a data oriented view.
 - What that means is that during the analysis phase, we define a system first in terms of the data types and their relationships, and the functions or methods are defined only later and associated with specific objects which are sets of data.
- How can we perform object orientated analysis in practice?
 - The basic idea is to be focused on the objects of the real world, so to go from real world objects to a set of requirements.
 - We can describe this as a four-step process.
 - The first step is to obtain or prepare a textual description of the problem to be solved.
 - So obviously, we need to start from some description of the system that we need to build.
 - The next thing we do is that we take the description and we underline nouns in this description.
 - And the nouns that we underline will become classes in my analysis.
 - We then look at adjectives in the document.
 - We underline those, and we use that information to identify the attributes of the classes that we've previously identified.
 - At this point we focus on active verbs in the description, and the analysis of the active verbs will give us the operations that we'll need to define for our classes.
 - This is a high level view so take this with a grain of salt.

RUNNING EXAMPLE: COURSE MANAGEMENT SYSTEM

- 1 The Registration Manager sets up the curriculum for a semester using a scheduling algorithm
- 2 One course may have multiple course offerings
- 3 Each course offering has a number, location, and time
- 4 Students select 4 primary courses and 2 alternative courses by submitting a registration form
- 5 Students may use the system to add/drop courses for a period of time after registration
- 6 Professors use the system to receive their course offering rosters
- 7 Users of the registration system are assigned passwords which are used at login validation

Running Example Explanation

- Now let's look at how to perform object-oriented analysis.
- We will perform the object-oriented analysis steps that we just saw using an example: a course management system.
- The first step is to start from a textual description of the system that we need to analyze and that we need to build.
 - The registration manager sets up the curriculum for a semester using a scheduling algorithm and the registration manager here is the registrar. So we will refer to the registration manager both as registration manager and as registrar in the rest of the lesson. One course may have multiple course offerings, which is pretty standard. Each course offering has a number, location, and a time associated with it. Students select four primary courses and two alternative courses by submitting a registration form. Students might use the course management system to add or drop courses for a period of time after registration. Professors use the system to receive their course offering rosters. Finally, users of the registration system are assigned passwords which are used for login validation.
- So this is a kind of a high-level description of a standard course management system. So, if you ever used a course management system, you'll recognize some of the functionality described here.

CLASS DIAGRAM

Static, structural view of the system

Describes

Classes and their structure

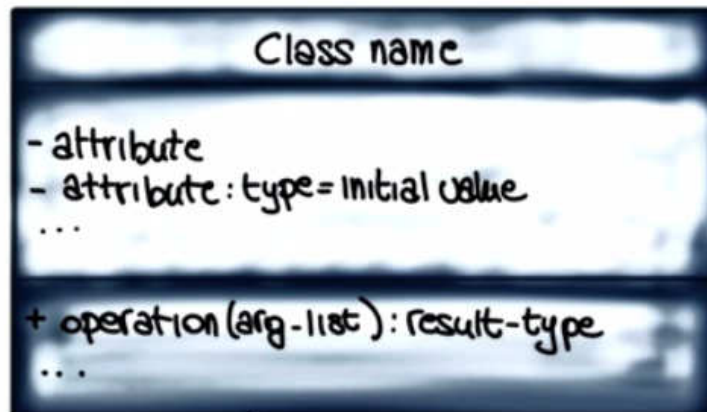
Relationships among classes

UML Structural Diagrams Class Diagram

- Let's now start talking about UML, the Unified Modeling Language and we'll start by looking at UML structural diagrams.
 - These are the diagrams that represent static characteristics of the system that we need to model.
 - This is in contrast with dynamic models which instead represent behaviors of the system that we need to model.

- We're going to discuss several kinds of diagrams, starting from the class diagram, which is a fundamental one in UML.
 - The class diagram represents a static, structural view of the system, and it describes the classes and their structure, and the relationships among classes in the system.

CLASS DIAGRAM: CLASS



Class Diagram Class

- A class is represented as a rectangle with three parts.
- The first part is the class name.
 - Classes should be named using the vocabulary of the domain, so we should pick names that make sense.
 - The normal naming standard requires that the classes are singular nouns starting with a capital letter.
- The second part of the class are the attributes of the class where the set of attributes for the class define the state of the class.
 - We can list an attribute simply by name, or we can provide the additional information.
 - For example, we might find the title of the attribute, and we might also find the initial value for the attribute.
- The third part of the class consists of the operations of the class.
 - And normally, the operations of the class are represented by name, with a list of arguments that the operation will take as input, and with a result type.
- Something else you can notice in this representation is the fact that there is a minus before some attributes and a plus before others.
 - This indicates what is called the visibility of these class members.
 - The minus indicates that the attributes are private to the class, so only instances of this class, roughly speaking, can access these attributes.

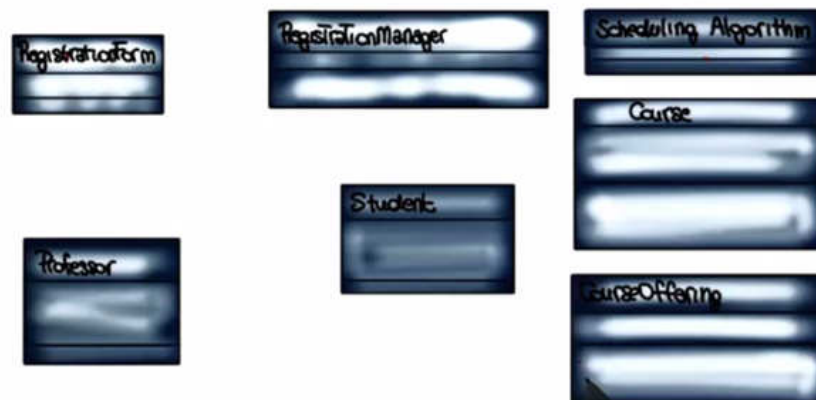
- This is what allows to enforce the information hiding principle, because clients of the class cannot see what's inside this box, what are these attributes.
- The plus conversely indicates that this is a public operation, so something that is visible outside the class.
- So we encapsulate the state of the class and we make it accessible to the extent that we want and that is needed through a set of public operations.
- Use ellipses to indicate that there are more attributes or more operations but we just don't want to list them now.

CLASS DIAGRAM FOR OUR EXAMPLE

- 1 The Registration Manager sets up the curriculum for a semester using a scheduling algorithm
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- 7 Users of the registration system are assigned passwords which are used at login validation

- Let's start our analysis of our course management system.
- To identify the relevant classes in the system, we need to bring back the description of our system.
- We want to go through the description and underline the relevant nouns in the description.
- We identified seven possible classes for the system.

CLASS DIAGRAM FOR OUR EXAMPLE



- So my initial class diagram looks exactly like this with the seven classes where for each class, I picked the name that is representative of the domain.
- In this case, it's pretty straightforward.
 - The registration form is called registration form, the student is called student and so on and so forth.
 - You can already see how this analogous method is starting from a description of the real world and it's just identifying objects or classes in the real world and transforming them into entities in my analysis document.

CLASS DIAGRAM FOR OUR EXAMPLE

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Class Diagram Attributes

- Now let's see how we can identify the attributes for these classes.
- Attributes represent the structure of a class, the individual data items that compose the state of the class.
- Attributes may be found in one of three ways.
 - By examining class definitions
 - By studying the requirements
 - By applying domain knowledge.
- No matter what kind of system you're developing, domain knowledge tends to be fairly important to identify things which might not be provided in the descriptions of the system that tend to be incomplete and that you can derive by the fact that you are familiar with the domain.
 - So always keep in mind the domain knowledge is important for analysis, for design gathering and so on.
- So now let's go back to our description of the system and in this case, we're going to focus on course offering.

- We can say that the course offering, according to the description, has a number, a location, and a time.

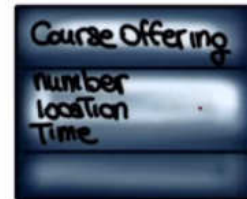
CLASS DIAGRAM: ATTRIBUTES

Represent the structure of a class

May be found by

- examining class definitions
- studying requirements
- applying domain knowledge

Each course offering has
a number, location and
time



- We can clearly see how this can be mapped into the definition of the class.
- So our class course offering after this step the analysis will have 3 attributes: number, location, and time.
- And as you can see here, we're not specifying the type or any other additional information.
 - In this first step we're just interested in having a first draft of the class diagram, that we can then refine in the next iterations of my analysis.

CLASS DIAGRAM: OPERATIONS

Represent the behavior of a class

May be found by examining interactions among entities

Class Diagram Operations

- At this point we have our classes, our attributes, what we're missing is the operations for the class.
- Operations represent the behavior of a class, and they may be found by examining interactions among entities in the description of your system.

CLASS DIAGRAM FOR OUR EXAMPLE

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- So once more, let's bring back our description, and let's in this case focus on this specific item that says that the students may use the system to add courses.
- So this is clearly indicating an action that the students should be able to perform.
 - But notice that this doesn't mean that this is an operation that should be provided by the student's class.
 - It rather means that there should be, somewhere in the system, the possibility of performing this operation.

CLASS DIAGRAM FOR OUR EXAMPLE

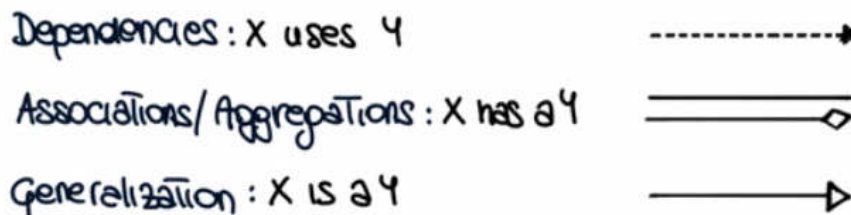


- This might mean, for example, if we focus on the RegistrationManager, there should be an operation in the RegistrationManager that allows me to add a student to a course.
- This, in turn, means that both Course and CourseOffering should provide a way to add a student.

- We therefore add this corresponding operation to the RegistrationManager, to the Course, and to the CourseOffering.
- So after doing that we will continue and populate in a similar way, the other classes in the system.
- So let me recap. Now we saw
 - How to identify classes, how to identify members of the classes, and particular attributes, and operations.
 - There is one thing that we're missing, a very important aspect of the class diagram which is the relationships between these classes.

CLASS DIAGRAM: RELATIONSHIPS

Describe interactions between objects



Class Diagram Relationships

- Next we look at relationships in the class diagram: how they're represented and what they mean.
- Relationships describe interactions between classes or between objects in your system.
- We will describe three main types of relationships.
 - The first one is called a Dependency relationship.
 - We can express that as a "X uses Y" and we represent it with a dashed directed line.
 - When we have such a line between two classes that means that the first class uses the second one.
 - The second type of relationship is an association that can also be an aggregation.
 - What this means is that we can express that as a "X has a Y", so "X contains a Y".
 - If it is in association, we indicate it with a solid undirected line and if it's an aggregation, we indicate it in the same way, but with a diamond at one of the ends.
 - The third type of relationship is what is called Generalization.
 - This can be expressed as "X is a Y" so this is the relationship that expresses inheritance, specialization between two classes.

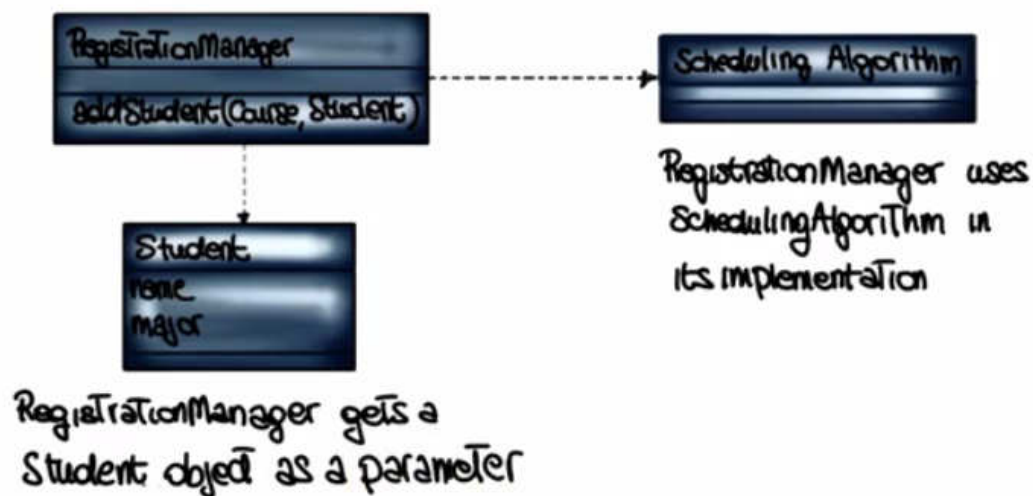
- It's represented with a solid directed line with a large open arrow head at the end going from the more specialized class to the less specialized class (so going from the subclass to the super class).



Which of the following relationships is an actual relationship for the system we are modeling?

- ☒ RegistrationManager uses SchedulingAlgorithm (dependency)
- ☒ RegistrationManager uses Student (dependency)
- ☐ Student uses RegistrationManager (dependency)
- ☒ Student registers for CourseOffering (association)
- ☐ Student consists of CourseOffering (aggregation)
- ☒ Course consists of CourseOffering (aggregation)
- ☐ CourseOffering is a Course (generalization)
- ☒ Student is a RegistrationUser (generalization)
- ☒ Professor is a RegistrationUser (generalization)

DEPENDENCY EXAMPLE

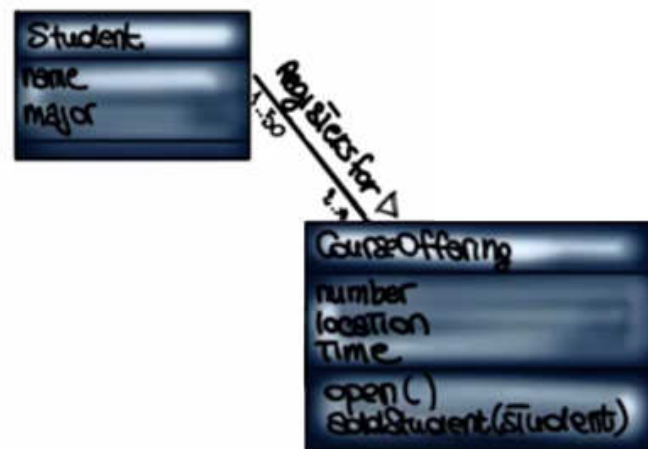


Class Diagram Dependency Relationship

- A dependency expresses the relationship between a supplier and a client that relies on it.

- There is a dependency because changes in the supplier can affect the client.
- Above is a dependency example involving the registration manager and the scheduling algorithm.
 - The dependency is indicated with a dashed line pointing from the client to the supplier.
 - It's pretty clear that the RegistrationManager is dependent on the Scheduling Algorithm because the RegistrationManager uses this Scheduling Algorithm.
 - If the Scheduling Algorithm changes, the RegistrationManager might be affected by that change.
- Another less obvious example is the dependency between the Registration Manager and the Student.
 - Because the Registration Manager gets a Student object as a parameter, there is a dependency between the two.
 - If the Student class were to change the Registration Manager might be affected because it's relying on the Student for its behavior.

ASSOCIATION EXAMPLE



Class Diagram Association & Aggregation Relationships

- The next example of relationship we're going to look at is the association relationship.
- This is a relationship in which objects of one class are connected to objects of another.
- It's called an "has a" relationship, so it means that objects of one class have objects of another class.
- Let's see what this means by considering two classes in our example system, the student class and the course offering class.
 - In this case, there is an association between the student and the course offering, because the student is registering for the course offering.
 - So, in a sense, the course offering has students.

- To indicate this fact we add a solid line between the student class and the course offering.
 - The fact that we have a solid line doesn't really tell us much about the nature of the relationship
 - To clarify such nature we can use what we call adornments that we can add to associations to clarify their meaning.
 - In particular we can add a label to an association and the label describes the nature of the relationship.
 - In this case, for example, it clarifies that the student registers for course offering.
- We can also add a triangle to further clarify the direction of the relationship.
 - So in this case, the triangle will indicate that it's the student that registers for the course offering, and not the other way around.
- Another important adornment or limitation that we can put on an association, is multiplicity.
 - Multiplicity defines the number of instances of one class that are related to one instance of the other class.
 - We can define multiplicity at either end of the relationship.
 - In this case, for instance, we can say that if we look at the student, the student can register for two or more course offerings, whereas if we look at the course offering, we can say that each course offering can have or can enroll between 1 and 50 students.
- So as you can see by adding a label, a direction, and multiplicity, we make it much clearer what the relationship is and what it means and what are its characteristics.

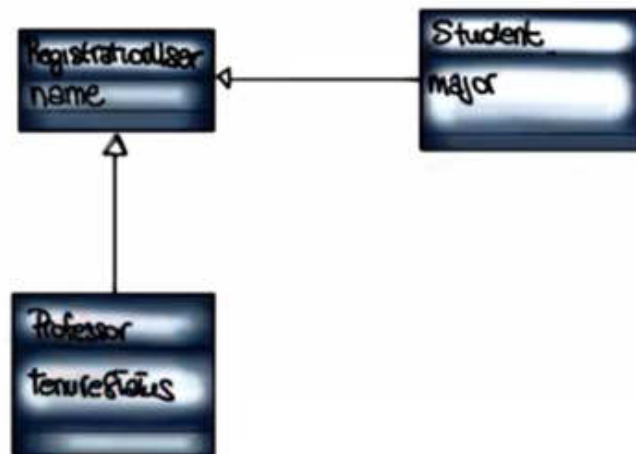
AGGREGATION EXAMPLE



A Course consists of multiple CourseOfferings

- As we saw when we introduced relationships, there is a different kind of association, kind of a specialized one, which we call aggregation.
- An aggregation is a relationship between 2 classes in which 1 represents a larger class, like a whole which consists of smaller classes which are the parts of this whole.
- Let's consider a course and the course offering, and in this case, we can see that the course consists of multiple course offerings.
 - So in a sense, a course is a whole and the course offerings are the parts of this whole.
 - So this is a perfect case in which we will use an aggregation to express this relationship.
 - So we will add a solid line with a diamond on the side of the whole class to indicate the course of multiple course offerings
 - We could also in this case add multiplicity information on the aggregation to indicate how many classes of the two types are involved in the relationships.

GENERALIZATION EXAMPLE



Class Diagram Generalization Relationship

- The third type of relationship that we saw, is Generalization.
- Generalization is a relationship between a general class, which we normally call super-class, and the more specific class, a class that refines the super-class and that we normally call sub-class.
- It's also known as a "kind of" or is a relationship because we can say that the subclass is a super class and it's expressed with a solid line with a big arrow at the end.
- Let's look at two of these kinds of relationships.
 - The first one involves the registration user and a student.
 - The second one involves a registration user and the professor.

- Basically what we're showing here is a typical case in which the registration user is a more general concept.
- So both the student and the professor are registration users.
 - So there is a relationship, the professor is a registration user and the student is a registration user.

CLASS DIAGRAMS: CREATION TIPS

Understand the problem

Choose good class names

Concentrate on the WHAT

Start with a simple diagram

Refine until you feel it is complete

Class Diagram Creation Tips

- The first tip is to understand the problem.
 - Take the time to look at the description of the system that you have to build
 - Make sure that you understand the domain.
 - Make sure you understand what you are supposed to build because that is going to save you time later.
 - It's going to help you identify from the beginning, a more relevant set of entities in the description of the system.
- It is very important to choose good class names.
 - Class names communicate the intent of the class, and clarify what the class refers to, so having good class names allows you (makes it easier) to create the mapping between the real-world object and the entities in your model.
 - It also makes it easier to understand the system after the system is built.
- Concentrate on the what.
 - In the class diagram, we're just representing the structure of the system and what is in the system.
 - We are not focusing at all on how things are done, so be careful.
 - Don't think about the how, just think about the what.
 - Proceed in an iterative way.
 - Start with a simple diagram and refine it.
 - There is no need to identify, right away, all of the details of the system you need to build.

- It is much easier to look at the description, identify an initial rough class diagram and then refine it, because in this way, you'll also gather more understanding of the system as you build it, and you'll most likely end up with a better product at the end.
- If you proceed in this way, then make sure to refine until you feel the class diagram is complete, until you feel that you represent the system that you're supposed to build.
- Your final goal should be to have a class diagram that is complete.
 - So it represents all of the relevant entities in the system and their characteristics, and it's correct so it represents them in the right way.

COMPONENT DIAGRAM

Static view of components and their relationships

Node = Component
set of classes with a well-defined interface

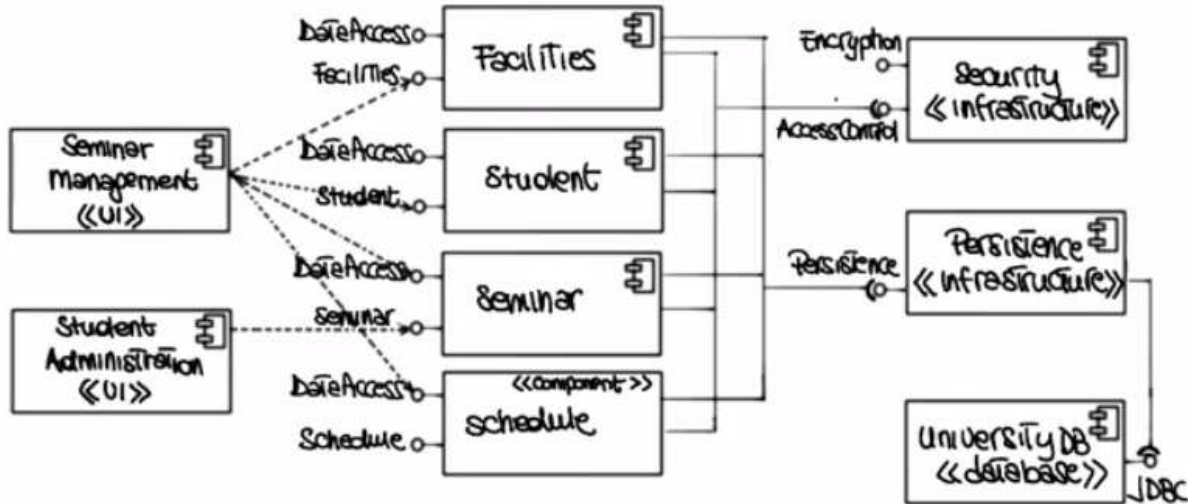
Edge = Relationship
"uses services of"

can be used to represent an architecture

UML Structural Diagrams Component Diagram

- There's two more structural diagrams that I want to mention before we move to the behavioral ones.
- The first one's the component diagram.
 - A component diagram is a static view of components in a system and of their relationships.
 - More precisely, a node in a component diagram represents a component where a component consists of one or more classes with a well-defined Interface.
 - Edges conversely indicate relationships between the components.
 - You can read this relationship as component A uses services of component B.
 - Component diagrams can be used to represent an architecture.

COMPONENT DIAGRAM EXAMPLE



- So, what I'm representing here is a component diagram for our example system, the course management system.
- It's slightly more complex than the other diagrams that we saw but there's really no need to go through all the steps and all the details.
- The important thing is to point out some key aspects of this diagram.
- The first one is that these rectangular nodes are the nodes in the system, so they are my components.
 - For example, student is a component, schedule is a component, and so on.
- And as far as edges are concerned, I'm representing two kinds of edges.
 - The first kind of dashed edges were part of the original UML definition and indicates use.
 - So an edge, for example, between this component and this component indicated that the seminar management uses the facilities component.
 - More recently, in UML two, a richer representation was introduced, which is the one that I'm also showing here.
 - So if we look at this part of the diagram, you can see this sort of lollipop socket representation.
 - A lollipop indicates a provided interface, so an interface that is provided by the component.
 - For example, this security component provides encryption capabilities.
 - The socket, conversely, indicates a required interface.
 - So, in this case it's saying that the facilities component is needing access control capabilities, which, by the way, is provided by the security component.
 - So in a sense this sockets and lollipop indicate interfaces between a provider of some of functionality, and the client of that functionality and you can look at those as basically APIs.
 - So sets of methods that provide a given functionality.

- To give you another example, if we look at the persistence components, the persistence component provides surprisingly persistent services.
- And those persistent services are required by several other components in the system.
- And in turn, the persistent components rely on the University database component to provide such services.
- So, there's the University DB components provide these sort of low-level database services that are used by the persistence component to in turn provided services.
- Last thing I want to note is that components or relationships can be annotated
 - For example if we look at the seminar management and the student administration components we can see that they are annotated here to indicate that they are user interfaces.
- The key piece of information is that component diagrams represent components in the system (where a component consists of one or more classes), indicate the interfaces that these components provide or require, and describe the interactions between these components.

DEPLOYMENT DIAGRAM

Static deployment view of a system

Physical allocation of components to computational units

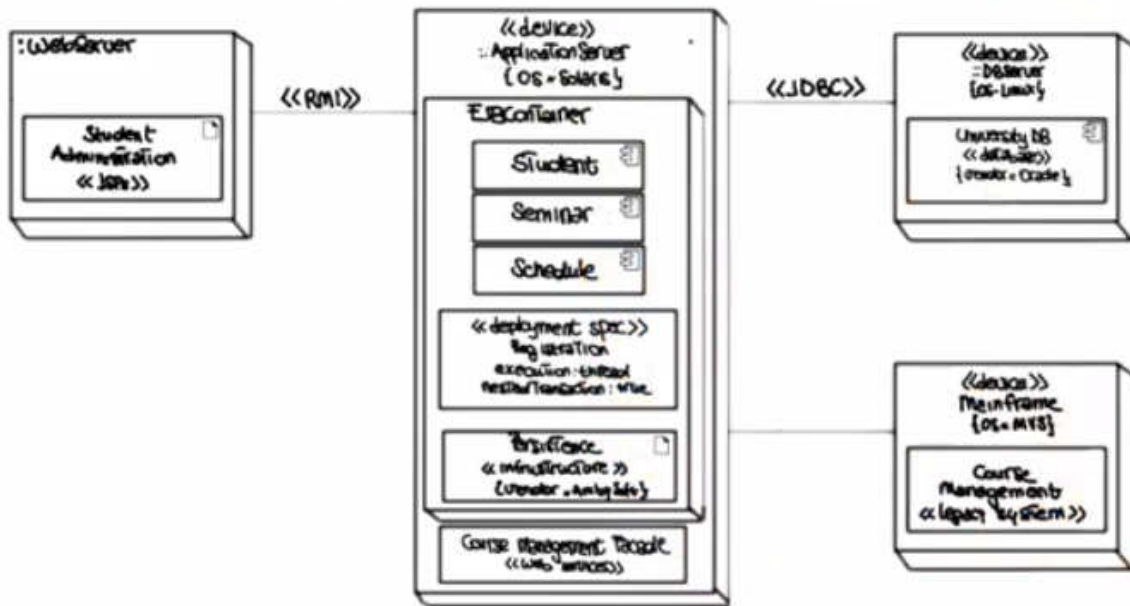
Node = computational unit

Edge = communication

UML Structural Diagrams Deployment

- The deployment diagram provides a static deployment view of a system, and unlike the previous diagram, it is about the physical allocation of components to computational units.
- Think, for example, of a client-server system in which you'll have to define which components will go on the server and which component will go on the client.
- For deployment diagrams, the nodes correspond to computation unit such as a specific device.
- The edges indicate communication between these units.

DEPLOYMENT DIAGRAM EXAMPLE



- In this case, we'll again illustrate the deployment diagrams using an example from our course management system and we'll use a slightly more complex diagram than usual.
 - Don't look at all the individual details but focus on a few main aspects.
- So, if you look at this diagram, there are three things that you should clearly see.
 - First, you should see how the system involves four nodes: a web server, an application server, a DB server, and a mainframe.
 - Second, you should see which components are deployed on which nodes.
 - For example, the student component is deployed on the application server.
 - And finally, you should see how the nodes communicate with one another.
 - For example, you can see that the application server and the university database communicate using a JDBC protocol.

USE CASE

Describes The outside view of the system

Sequence of interactions of outside entities (actors)
with the system

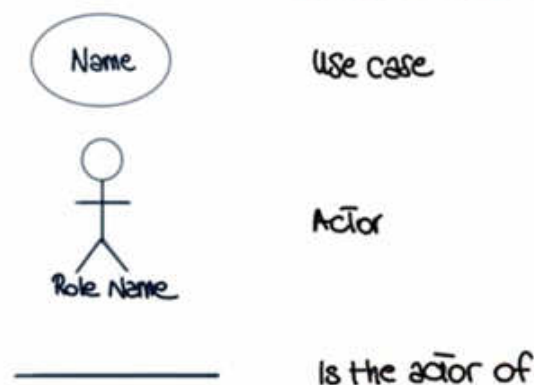
System actions that yields an observable result of value
to the actors

AKA Scenarios, scripts or user stories

UML Behavioral Diagrams Use Case

- We now discuss UMLs behavioral diagrams which are those diagrams that have to do with the behavior, the dynamic aspects of the system, rather than the static ones.
- The first behavioral diagram I want to discuss is a very fundamental one, the Use Case Diagram.
- A use case represents two main things.
 - The sequence of interactions of outside entities which is what we normally call actors with the system that we're modeling
 - The system actions that yield an observable result of values to the actors.
- So it's the view of the system in which we look at the interaction between this system, and the outside world.
- If you want to parallel, think about designing a house.
 - Consider how you would use the house.
- And you might have seen use cases called with different names.
 - So for example, they're also called scenarios, scripts or user stories, but in the context of UML, we call them use cases.

USE CASE: BASIC NOTATION



- The basic notation for a use case is fairly simple.
 - We have a use case which is represented by an oval, with a name, which is the name of the use case.
 - We have an actor, which is represented by this icon and is normally identified by a role name.
 - And finally we have an edge which is a solid edge that connects actors and use cases and indicates that an actor is the actor of a given use case
 - There are some additional notational elements but now for simplicity we'll just use these ones.

USE CASE: ACTOR

Entity : human or device

Plays a role

- An entity can play more than one role
- more than one entity can play the same role

May appear in more than one use case

Use Case Diagram Actors

- Now, let's look at use cases in a little more detail and start by defining exactly what an actor is.
- An actor represents an entity, which can be a human or a device, that plays a role within my system, so that interacts with my system.
- It's some entity from the outside world, with respect to my system, that interacts with my system.
- It is important to clarify that an entity can play more than one role.
 - For example, you might have somebody working in a bank that can be both an employee of the bank, or a customer of the bank, depending on how it interacts with the banking system.
- And, obviously, more than one entity can play the same role.
 - Using the same example, we can have both an employee of the bank and just a regular customer, playing the role of the customer.
 - So again, it all depends on what the entity does, how the entity interacts with the system, what kind of functionality of the system the entity uses.
- And finally, actors may appear in more than one use case.

- So it's fairly normal for the same actor to interact with the system in different ways and therefore, to appear in more than one use case.
- Just think about the use cases in scenarios of usage.
 - If the same actor can interact with the system in different ways, that actor will appear in multiple use cases.

ACTORS FOR OUR EXAMPLE

- 1 The Registration Manager sets up the curriculum for a semester using a scheduling algorithm
- 2 One course may have multiple course offerings
- 3 Each course offering has a number, location, and time
- 4 Students select 4 primary courses and 2 alternative courses by submitting a registration form
- 5 Students may use the system to add/drop courses for a period of time after registration
- 6 Professors use the system to receive their course offering rosters
- 7 Users of the registration system are assigned passwords which are used at login validation

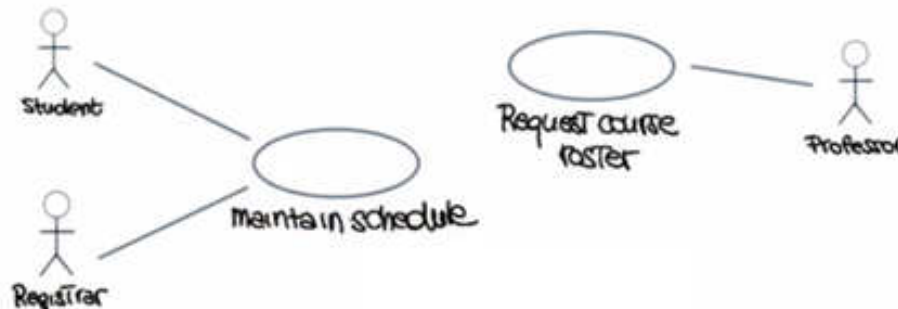
- Now let's go back to the description of our course management system, and see how we can identify actors in the system.
- If we look at the description, we can see that, for example
 - The Registration Manager is clearly an actor for the system.
 - Students are actors for the system.
 - Professors are actors for the system.
 - And notice that we're not doing the same thing that we were doing when identifying classes.
 - Here we're identifying entities that are from the outside world, and have an active role in interacting with my system.
- Again, Registration Manager, that we will just call registrar for simplicity, students, and professors.

ACTORS FOR OUR EXAMPLE



- So once we have identified the actors for our example, we can simply draw them, using the notation that we just introduced.
- Notice how for every actor we clarify the role that the actor plays.
- So here, these are the three actors that we identified for our system.

USE CASE DIAGRAM FOR OUR EXAMPLE



Building a Use Case Diagram

- Now if you want to build a use case diagram for our example, we have to add the use cases for these different actors.
- For instance, if we consider the student and the registrar, they might be both interacting with the maintain schedule system, the registrar by updating the schedule and the students by using the schedule that has been updated by the registrar.
 - As you can see, different roles for the same use case.
- Another possible use case is the request course roster.
 - And on this case, the professor will request the roster by interacting with the system.
- We will continue in this way by further refining and by further adding use cases as we identify possible interactions of the actors that we identified with our system.
- So in summary, what the use case diagram is doing is to show the actors and their interaction with the system through a set of use cases.
- At this point, it should be pretty clear that this gives us an idea of the interactions but we don't really know how these interactions occur.
 - So there is one piece that is missing, which is how do we document the use cases, how do we describe what happens and what these interactions actually are.
 - And that's exactly what we're going to discuss now, how to document use cases.

DOCUMENTING USE CASES

The behavior of a use case can be specified by describing its flow of events (formal or informal)

- How The use case starts and ends
- Normal flow of events
- Alternative flow of events
- Exceptional flow of events

- So the behavior of a use case can be specified by describing its flow of events.
- And it is important to note that the flow of events should be described from an actor's point of view, so from the point of view of the external entity that is interacting with the system.
- So the description should detail what the system must provide to the actor when the use case is executed.
- In particular, it should describe how the use case starts and ends.
- It should describe the normal flow of events, what is the normal interaction.
- It should also describe possibly alternative flows of events.
 - For example, in the case in which there are multiple ways of accomplishing one action or performing a task.
- And finally, it should also describe exceptional flow of events.
 - For example, assume that you are describing a use case for withdrawing money from an ATM.
 - You may want to describe the normal flow of events in which I insert my card, I provide my pin and so on.
 - An alternative one in which, in addition to withdrawing cash, maybe I'll also first ask for some information about how much money is in my account.
 - And finally, I may want to also describe an exceptional flow of events in which I get my pin wrong and, therefore, I'm not able to perform the operation.
- One more thing I want to mention, when we talk about documenting use cases, is the fact that the description of this information can be provided in two main ways, in an informal way or in a formal way.
 - In the case of an informal description, we could just have a textual description of the flow of events in natural language.
 - In the case of a formal or structure description, we may use, for example, pre and post conditions, pseudo code to indicate the steps.

- We could also use the sequence diagrams, which is something that we will see in a minute.

MANTAIN CURRICULUM USE CASE: INFORMAL PARAGRAPH

Let's define the flow of events for



Use Case Example

- So, as we did for the previous cases, now let's look at an example.
- Let's consider a specific use case, maintain curriculum, in which we have the registrar that interacts with the system to do operations for maintaining the curriculum. And, let's define the flow of events for this use case.
- To do this, we're going to go back, as usual, to the description of our system.
- You should keep in mind that, as it always happens, when extracting requirements from an initial specification, in particular an informal one like this one, a high-level one, you will have to be able to read between the lines and fill in the blanks.
 - That is, you have to provide the information for the missing parts using your domain knowledge.

MAINTAIN CURRICULUM USE CASE: INFORMAL PARAGRAPH

Registrar logs onto the System and enters password

If password is valid, System asks to specify a semester

Registrar enters the desired semester

System prompts the Registrar to select the desired activity: ADD, DELETE, REVIEW, or QUIT

If Registrar selects ADD, System allows Registrar to add a course to Course List for selected semester

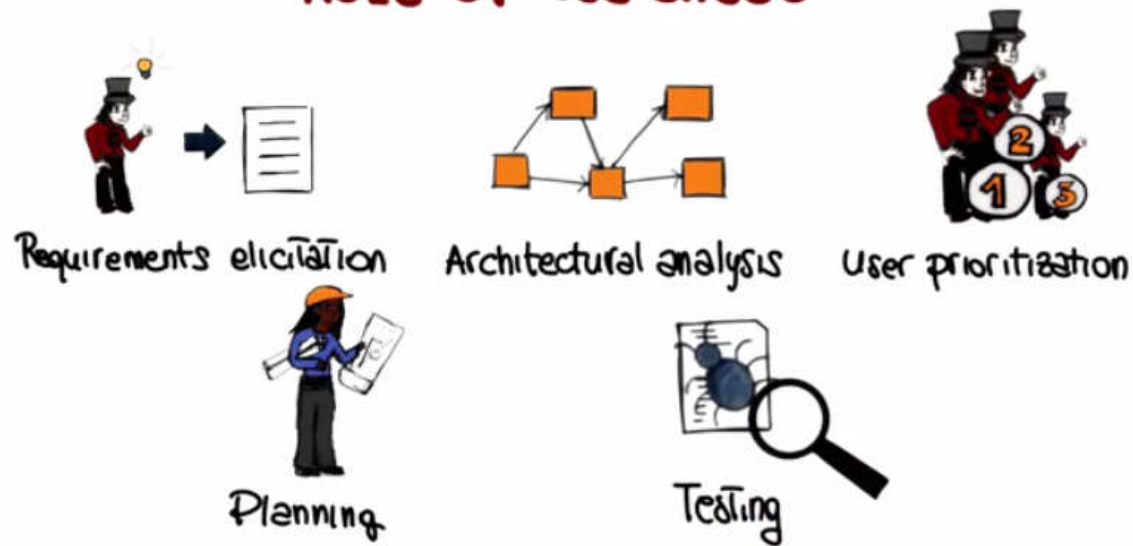
If Registrar selects DELETE, System allows Registrar to delete a course from Course List for selected semester

If Registrar selects REVIEW, System displays course information in Course List for selected semester

If Registrar selects QUIT, System exits (use case ends)

- Here is just one possibility.
- Because the description was mentioning the fact that every user has got a log-in and a password. I decided that the first step should be that the registrar logs onto the system and enters his or her password.
- As it normally happens with password protected systems, if the password is valid, the registrar will get into the system.
- And the system at this point should ask to specify a semester for which the maintain curriculum activity has to be performed.
- The registrar will therefore enter the desired semester.
- The interface I envisioned is one in which the system will prompt the registrar to select the desired activity.
 - Add, delete, review, or quit.
 - And if the registrar selects add, the system will allow the registrar to add a course to the course list for the selected semester.
 - Similarly, if the registrar selects delete, the system will let the registrar delete a course from the course list for the selected semester.
 - And again similarly, if the registrar selects review, the system will simply display the course information in the course list for the selected semester.
 - And finally, if the registrar selects quit, the system will simply exit and our use case will end.
- So, again, there's the main knowledge that goes into this. But this is a good example of how you can refine the initial description to identify these scenarios that then you will use to specify and implement your system.
- And as we discussed a few minutes ago, we provided the information that is requested for the use case.
- The Use Case starts by logging into the system and it ends by selecting quit.
- We described the normal flow of events.
 - And, of course, these flow of events could be improved, because right now even though we described how the use case starts and ends, we just described one possible flow of events.
 - But there's many alternative ways we could provide and we do not describe any exception of flow of events.
 - So this could be the starting point for multiple use cases, or for use cases just richer and contains more information, more steps to a richer flow.

ROLE OF USE CASES



Role of Use Cases

- Use cases are fundamental in UML, and in general.
- Let's discuss why they're so important and what are the different roles that use cases can play.
- The first obvious one is for requirements elicitation. It is much easier to describe what the system should do if we think about the system in terms of scenarios of usage. Rather than trying to describe the whole functionality of the system at once. So, use cases can help performing a more effective requirement solicitation.
- As we will see when we discuss the unified software process, they can be used for architectural analysis.
 - So, use cases are the starting point for the analysis of the architecture of the system that can help identify the main blocks of the system.
 - And therefore, can help define in the initial architecture. And as I said, we'll talk more extensively about that.
- They can be used for user prioritization.
 - For example, imagine you have multiple actors in the system, and you might want to prioritize some of them.
 - For instance, using again the banking system example, we might want to first provide functionality for the administrators of the bank and only in a second time provide functionality for the customers, because of course, if the administrator cannot perform any operation, the customers cannot use the system.
 - So again, they can be used to prioritize the users, or the actors, and therefore define which part of the system should be built in which order.
- Related to this point, they can be used for planning.

- If I know which pieces of functionality I need to build and in which order, I can better plan the development of my system.
- This becomes very important in many different software life cycles such as the unified software process and the agile development processes.
- And finally, use cases can be used for testing.
 - If I have an early description of what the system should do, what are the main pieces of functionality of the system, and I know how the interaction between the actors and the system is, I can easily define test cases, even before writing the code, even before defining my system.

USE CASE DIAGRAM: CREATION TIPS

Use name that communicates purpose

Define one atomic behavior per use case

Define flow of events clearly

Provide only essential details

Factor common behaviors

Factor variants

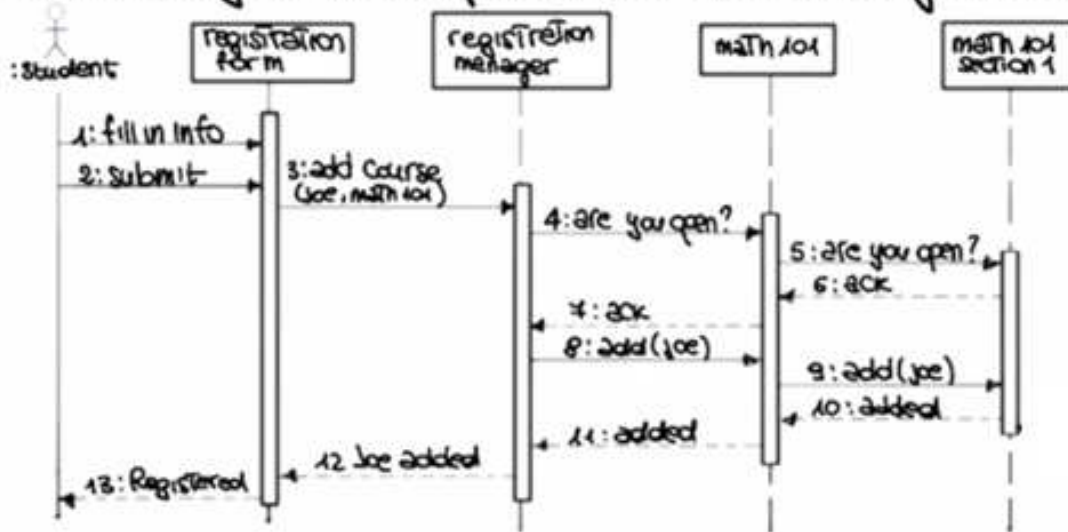
Use Case Diagram Creation Tips

- Let's look at some creation tips for use case diagrams.
- The first tip is that when you define a use case, use a name that communicates purpose.
 - It should be clear what the use case refers to by just looking at the name of the use case.
- Second tip is to define one atomic behavior per use case.
 - So try not to put more than one specific scenario into a use case because these will make the use cases easier to understand and better suited for their roles that we just discussed to define these cases, to do planning, to define an architecture and so on and so forth.
- Define the flow of events clearly.
 - So again, do it from the perspective of an outsider.
 - An outsider should be able to read the description of the flow of events and understand exactly how the system works or how that specific piece of functionality works.
- As we suggested for the class diagram, provide only essential details.
 - So there is no need to provide all the nitty gritty details about the use case, just provide enough details so that the use case is complete and understandable.

- And finally, even though we didn't cover that, there is a way to factor common behaviors and factor variants when defining use cases.
 - Typical example would be a system that requires login, like the one that we just discussed, will probably require an initial login step for each use case.
 - It is possible that instead of describing the same steps, or same sub-steps, for each use case, you can factor that out. And create a use case that you should then include in your own use cases.

SEQUENCE DIAGRAM

Interaction diagram that emphasizes the time ordering of messages



UML Behavioral Diagrams Sequence

- Now that we have seen use cases, the next behavioral diagram I want to discuss is the sequence diagram.
- A sequence diagram is an interaction diagram that emphasizes how objects communicate and the time ordering of the messages between objects.
- To illustrate sequence diagrams in a practical way, and hopefully in a clear way, I will introduce them by creating an actual sequence diagram using an example taken from our course management system.
- The first thing we want to do is place the objects that participate in the interaction at the top of the diagram along the x-axis, and you also want to place them in a specific way.
 - You want to place objects that initiate the interaction at the left, and place increasingly more subordinate objects to the right.
 - So basically, this should reflect the way the events will flow for the majority of the interactions in the system.
- Next thing you want to do is to add what is called the object lifeline.

- It's a vertical line that shows the existence of objects over a period of time.
- And it's normally represented with a dashed line, except for the outermost object for which it is a solid line.
- Now that you have your object lifeline you can start placing messages that these objects send and receive.
 - You want to put them along the y-axis in order of increasing time, from top to bottom.
 - And you can also put a number on the message to further clarify the sequence.
 - So in this case what we're showing is that the student will send the fill in info message to the registration form. And this is the first message in the sequence diagram, the first interaction.
 - Then the student might submit the form and this is also a message that goes to the registration form.
 - At this point, when the submission takes place, the registration form will send the message, so it will invoke some functionality in the registration manager.
 - Specifically you will invoke the add course functionality and pass Joe, the name of the student and Math 101 which is the specific course for which Joe is registering.
 - Then the registration manager will ask the Math 101 course whether it accepts registrations, and the interaction will continue.
 - So that Math 101 will actually check for a specific offering, if everything goes fine, you will receive an ack (acknowledgement)
 - You'll send back the ack to the registration manager and so on until at the end, Joe will be registered for Math 101.
- As you can see, it is very easy to see how the interaction occurs between these different objects at run time, dynamically; what the behavior of the system is for this specific scenario.
- So the last notational element that I want to add to this diagram is the focus of control. Which is this tall thin rectangle, that shows the period of time that an object is performing an action, either directly or indirectly.
 - So if we look at the registration form, this is telling us that the registration form is active for this amount of time.
 - And the same thing we can do for the registration manager, the Math 101 course offering, and the Math 101 specific section.

STATE TRANSITION DIAGRAM

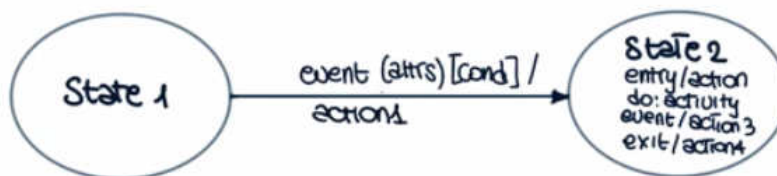
For each relevant class

- Possible states of the class
- Events that cause a transition from one state to another
- Actions That result from a state change

UML Behavioral Diagrams State Transition Diagram

- The state transition diagram is defined for each relevant class in the system and basically shows the possible life history of a given class or object.
- So what does it mean to describe the life history?
 - It means that it describes the possible states of the class as defined by the values of the class attributes.
 - And it also describes the events that cause a transition from one state to another.
 - Finally, it describes the actions that result from a state change.
- So if you put all of this together you can see how this can represent the whole history of the class, from its creation to its destruction.
- So let me discuss the transition diagram in more detail, and also provide information about the notation used to represent them.

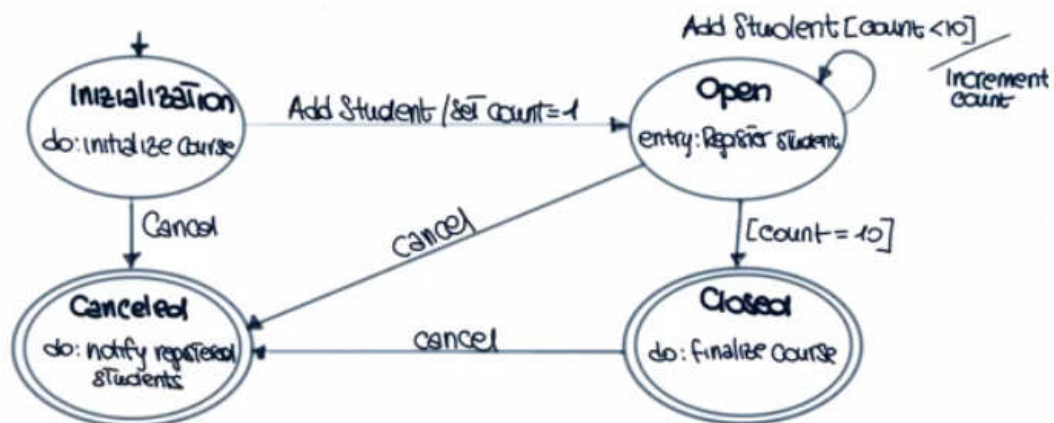
STATE TRANSITION DIAGRAM: NOTATION



- We have states, that are represented by ovals with a name.
- And we have transitions marked by the event that triggers the transition.
 - What transitions indicate is the passage from one state to another state as the consequence of some external stimuli.

- Notice that not all events will cause a state transition.
 - So for example, some events might be consumed within a single state.
 - But in most cases, an event will trigger some state transition.
- Events may also produce actions and they may also have attributes which are analogous to parameters in a method call and Boolean conditions that guard the state transition that is prevented from happening in the case the conditions are not satisfied.
- States may also be associated with activities and actions.
 - Specifically, activities are operations performed by an object when it is in a given state and that takes time to complete.
 - Actions, conversely, just like the actions corresponding to an event are instantaneous operations that are performed by an object and can be triggered on entry.
 - So, when the object reaches a given state, when the object exits that state, and also when a specific event occurs.
 - And in this case, this notation is basically a shortcut for any event that will cause a state transition that will bring the object back into the same state.
- Since we have several actions and activities, it is probably worthwhile clarifying the ordering of such actions and activities.
 - First of all, we have the actions on the incoming transition, so this is performed first.
 - Then if there is an entry action that is the next action that would be performed, then we have activity and event actions as appropriate.
 - And finally exit actions.

STATE TRANSITION DIAGRAM FOR (PART OF) OUR EXAMPLE



State Transition Diagram Example

- As usual we're going to illustrate this kind of diagrams by using an example.
- In particular we are going to describe the state transition diagram for part of our course management system and we're going to focus on the course offering class.
- When the class is created, it enters the initialization state, in which the activity performed is to initialize the course.
- At this point, a simple case is the case in which the class is cancelled, so there is a cancel event.
 - And if that happens, the class is simply cancelled. So it gets into this final state, which is the state cancelled.
 - And the activity in this case is to notify the registered students.
 - Obviously if this is the flow there will be no registered students.
 - However something else can happen when we are in this initial state.
- So what can happen is that a student can register, so in this case the add student event is triggered.
 - And the corresponding action is to set the count, in this case it would be the count of students for the course offering, to one.
 - And there will be a change of state and the course offering will get into this open state.
 - And the action that will be performed on entry will be to register the student.
- At this point more students may register. So other student events marker.
 - And notice that we have a guard here that tells us this event will trigger this transition only if the count is less than 10.
 - So we're assuming that we're not going to have more than 10 students just for lack of a better number in our course offering.
 - So if that happens, if the count is less than ten so the count is incremented so the increment count action takes place.
 - And the system goes back into the open state, and the new student is registered.
 - Now here we have an interesting transition, because there's no event triggering the transition, but simply the fact that the count is equal to 10. So you can imagine this as being a transition that is always enabled so can always be triggered, but will be guarded by the fact that the count has to be exactly ten.
 - So basically this transition will take place only when enough students are added, such that we get to count them being incremented and being equal to ten and then the transition will occur.
- And we will get into the closed state, in which the class is no longer open because there are enough students registered.
 - And at this point, what will happen is that the course will be finalized.
 - So there will be this activity which performs some operation that is needed to finalize the course.
- Another possibility is that when we are in the open state, the course is cancelled.
 - And if the course is cancelled, in this case, we go again to the cancel state.

- But here, the activity of notifying registered students makes more sense because we will have at least one registered student in this state, and therefore we'll need to notify such student that the course offering has been cancelled.
- Finally, it is also possible also to cancel a course after it has been closed.
 - And in this case again, the same thing will happen.
 - The class will reach the cancelled state and all the students, in this case ten students that are registered for the course, will be notified that the course has been cancelled.
- So, if we look at this state transition diagram, you can see that it's pretty easy to see what the evolution of objects of this class can be.
 - How they can go from their initial state to various final states depending on what are the external events that reach the system.