

# book chapter

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# Enabling Blockchain Architecture for Health Information Exchange (HIE)

## Abstract:

Electronic health records (EHRs) have become an essential part to the healthcare system due to the digitalization of health records. The Health Information Exchange (HIE) is a centralized system that enables health care providers to access and share these patient EHRs through the internet. These systems are intended to lower healthcare costs, reduce medical errors, and improve inter-organizational collaboration of patient data across health care institutions. However, current HIE systems suffer a number of fundamental problems, including privacy concerns, security threats, limited transparency of the system, and a lack of patient control. Security is a critical component in this architecture since these facilities gather, preserve, and <sup>17</sup>change patients' sensitive health data. By putting security and immutability at the core of the system, blockchain technology has the potential to transform health care. Owing to the shared ledger structure, every transaction in a blockchain has an immutable audit trail. Healthcare facilities can produce authorized entries without the need for a central authority. Through its smart-contract standard, a blockchain-based HIE architecture may improve healthcare records' security, privacy, and interoperability while still ensuring data integrity. The blockchain-based HIE architecture seeks to guarantee data provenance and provide patients with complete ownership over their medical information. As a result, they're very feasible options for assisting healthcare organizations and patients in achieving their aims and objectives.

## Keywords:

Health Information Exchange (HIE), Blockchain, Electronic Health Records (EHR), Interoperability, Distributed Ledger, Healthcare

## 1 Introduction

Every day, terabytes of new data are created in the healthcare sector from laboratory reports, medical records, clinical trials, device monitoring, and other sources. They are all stored as Electronic Health Records or EHRs. However, these are frequently buried in several distinct, isolated databases. The data is frequently outdated as a result of these discrepancies, resulting in diagnoses and treatments that are incorrect [1]. This problem has been solved through a Health Information Exchange (HIE). An HIE is a secure central repository for patient data gathered from different facilities and EHR systems in the same geographic area. Through a secure, standardized system, the objective is to offer a holistic view of the patient's electronic health record.

An HIE allows medical institutions, clinicians, and patients to exchange health-related data electronically. Unlike traditional paper health records, electronic HIE allows health care providers and professionals, such as doctors and physicians, to securely access and exchange essential clinical data online [2]. An HIE stores previous medical history, clinical notes, lab reports and results, current medications, and other

patient data. As a result, using an HIE streamlines a patients' data and connects a practitioner to all aspects of a patient's medical history. These centralized HIEs, despite restricted access and identity, cannot ensure data integrity or security. While information sharing between EHR systems and HIEs is HIPAA-compliant, the increasing frequency of cybersecurity breach<sup>16</sup> has raised concerns about the security of health data. Data breaches have exposed over 15 million health records, according to the U.S. Department of Health and Human Services Breach Report [3]. Furthermore, data breaches in healthcare are particularly costly compared to other industries. The flaws of the current system are critical and thus cannot be overlooked, necessitating the development of a new solution for sharing Electronic Medical Records (EMRs) that is more secure, reliable, and capable of handling all the data privacy, data redundancy, and other security-related issues related to HIE System [4].

Blockchain offers a number of properties that make it appealing to any industry, but particularly healthcare. Blockchain, according to a CBInsights' 2018 research study [5], can help alleviate some of the healthcare industry's most pressing problems. One of the valuable applications of blockchain includes strengthening supply chain integrity. Every transaction between medicine producers, distributors, pharmacists, and patients may be verified using blockchain, and the process can be secured. Counterfeit drugs also have been addressed by [6, 7, 8] where authors propose an irreversible, robust and trackable pharmaceutical supply chain based on blockchain to combat counterfeiting.

Another potential use of blockchain in healthcare is to design HIE systems to integrate a patient's medical data from several Electronic Health Records (EHRs) into a single, up-to-date, and tamper-resistant record. According to one research, full interoperability is predicted to save the US healthcare system \$77.8 billion each year [9]. However, there are few studies on the practical implementation of blockchain in HIE<sup>3</sup>. Furthermore, there has been minimal research strategy of its exposure to blockchain technology. From a practical standpoint, this chapter can be beneficial for HIE policymakers, healthcare specialists, and technical audiences to learn about the architectural designs, difficulties, and implementations of blockchain-based HIE models.

This chapter delves deeper into the use<sup>7</sup> of blockchain-based Health Information Exchanges (HIE) systems to enable national interoperability. The rest of the chapter is organized as follows: Section 2 discusses current HIE systems, their architecture models, and pitfalls. Section 3 provides an overview of blockchain as a technology, as well as its many types and properties. Section 4 describes the architectural basis for scalability and data flow in blockchain-based HIE systems. Section 5 discusses the difficulties and flaws in putting these concepts into effect. Our conclusions are presented in Section 6.

## 2 Traditional Health Information Exchanges<sup>3</sup>

The procedure of electronic transmission of Patient Health Information (PHI) and medical data across health care providers and institutions is used in traditional HIE systems. Interoperability in HIE initiatives necessitates electronic communication across organizations to ensure that patient medical records from one health care institution are easily integrated into another.

Essentially, in an ideal interoperable healthcare system, all medical information on a patient collected throughout his or her life could be safely incorporated into the patient's own unique ID. This would contain diagnostics, past surgeries, laboratory tests, allergies, along with logs from smart wearable devices and third-party testing results.

The advantages of HIE are numerous and varied. Some of them are:

1. It eliminates redundancy and unnecessary paperwork by streamlining the entire healthcare process.
2. It decreases medication errors and inaccurate diagnoses hence improving patient care quality.
3. It reduces a country's or an institution's overall healthcare expenditures.
4. It enables healthcare professionals and academics to successfully deliver public health feedback and reports.

This section discusses the most prevalent architectural models used in HIE systems and healthcare, as well as their limits and drawbacks, which demand the use of other solutions. This summary will support the need for a forthcoming description of proposed blockchain architectures and their effectiveness.

## **2.1 Architectural models for an HIE System**

In healthcare, three HIE architectural models are typically used [10]. Regardless of the data model used, the HIE system's degree of privacy and security must be robust and trustworthy.

### **2.1.1 Centralized HIE system:**

A centralized exchange design collects health data in a central repository such as a data warehouse. In this model, the entity that manages the exchange has complete control over data sharing. Controlling the exchange of data and records, as well as the authorization and authentication procedure for accessing the data and records, are all controlled centrally. Some of the challenges of centralized HIE systems are the implementation of an efficient patient matching algorithm and the risk of data emission leading to erroneous or incomplete data.

### **2.1.2 Federated HIE system:**

Federated HIE systems, also known as distributed or decentralized HIE systems, are comprised of several sources, such as separate healthcare organizations. Data collection and authorization are performed on a need-to-know basis, ensuring that the responses are always current and up-to-date. A single governing body administers a Record Locator Service (RLS) to facilitate data transmission using conventional integration methods. This model avoids the risks of establishing a centralized database, which, if hacked, might reveal a massive quantity of data. This model isn't ideal either. Patient matching algorithms, as well as maintaining a huge number of connections and ensuring that they are constantly operational, are among the problems it confronts. When compared to centralized systems, it is considered to be far more secure.

### **2.1.3 Hybrid HIE system:**

This model combines the advantages of both centralized and decentralized models. Storing critical parts in logically isolated 'vaults' administered in a central place. The participants not only retain ownership of their data, but they also specify how that data can be utilized by the exchange. Data ownership and control can be retained by participating entities. In this architecture, the HIE database processes information requests, which are subsequently distributed across the network.

## 2.2 Pitfalls in traditional HIE system

In this age of the internet, the most immediate risks are privacy and security concerns. Individuals rely on internet networks to store and share their private health data without robust security, thus they are susceptible to cyber-criminals, malevolent corporations. The domain's primary issue is to ensure information availability while ensuring secrecy and integrity. Because any breach with one HIE would have a long-term impact on all HIE activities, all HIEs are acutely aware of the necessity of data security [11].

Following the various pain points of existing HIE systems:

1. **Varying data standards:** While transferring information via the internet, the various electronic health records adhere to their own set of standards and guidelines [12]. Due to various standards, most providers are unable to comply with regulations mandating them to share patients' health data with other doctors.
2. **High cost per transaction:** The purchase price, implementation, involvement in local or state healthcare units, and vendor transaction fees, all contribute to the HIE's overall costly affair.
3. **Unsynchronized records and multiple patient identifiers:** Due to similarities in patients having the same names, year of birth, and living in the same locations, it might be difficult to match patients' identifiers with their accurate health information.
4. **Patient consent:** Patient rights and authorization can be important problems when it comes to health information. If the information is disseminated without the patient's agreement, it may lead to legal action. Furthermore, patients frequently grant authorization for some platforms but not others, which again contributes to inconsistencies in data.

These are some of the ongoing issues that must be addressed by a system that can record digital transactions and build irreversible and deployable information while ensuring security against fraud. Given these shortcomings, a new solution must be developed to share medical records keeping the confidentiality and integrity of the data in mind. In January 2018 alone, more than 4 lakh individuals were affected by data breaches of traditional HIE systems [3], which could have been prevented by using more secure, reliable, and uncentralized solutions.

## 3 Blockchain Technology- Theory and Philosophy

### 3.1 Blockchain Basics

The word "Blockchain" has captivated many since the inception of Bitcoin in 2008 by Satoshi Nakamoto [13] since the possibilities of such technology are truly significant. For the first time in human history, individuals trust each other and transact within massive peer-to-peer networks without centralized administration. Trust is established not by centralized institutions but by rules, cryptography, and computer code.

Blockchain is a combination of protocols and encryption methods for keeping data securely over a distributed network. Blockchain is simply a distributed secure database made up of a series of blocks, each of which contains a record of data that has been encrypted [14]. Every computer on the blockchain network has a copy of the block database, which is referred to as a ledger. This block relies on a distributed consensus method to make an entry into the blockchain because there is no centralized component to validate the modifications to the database. The blockchain is made up of multiple such blocks linked together in a chain in a linear chronological sequence, with each block consisting mostly of three elements: data, the block's hash, and the preceding block's hash. Because each block has a hash value that is reliant on the hash of the preceding block, they're all linked together, which means that if one is modified, all subsequent blocks connected to it will be changed as well. A hash, like a fingerprint, identifies all of the contents of a block and is always unique. The hash of a block is determined after it is generated and it will change if something inside the block is changed. In other words, hashes are quite effective for detecting changes in a block.

When a single block is tampered with, all subsequent blocks become invalid, however, this isn't enough to make blockchain entirely secure. Due to today's enormous processing capacity, instantly calculating hashes of all subsequent blocks in a blockchain is relatively simple. Proof-of-work [15] has been implemented to slow down the production of new blocks to alleviate this. Calculating proof-of-work takes longer; for example, calculating proof-of-work for a bitcoin takes around 10 minutes. Because recalculating proof-of-work for all blocks and each node in the network takes time, this technique makes it exceedingly difficult. This is why it is nearly difficult to tamper with data after it has been stored in a blockchain [16]. The blockchain's security stems from its innovative use of hashing and the proof-of-work method.

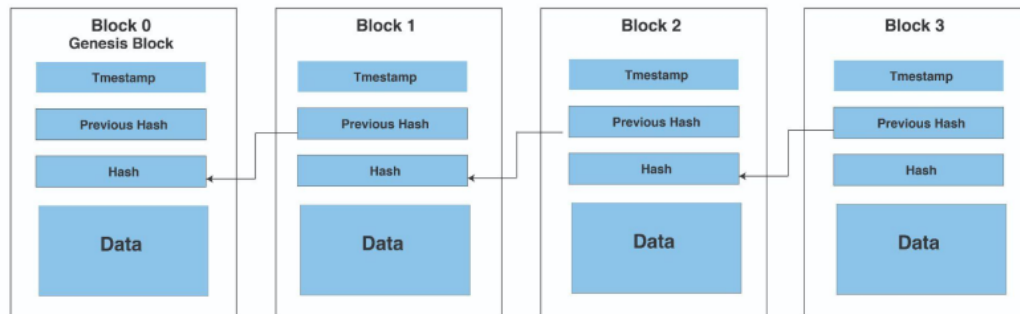


Figure: Basic Structure of a Blockchain

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### 3.2 Taxonomy of Blockchain Systems:

There are three types of blockchain systems currently in use: public blockchain, consortium blockchain, and private blockchain [17]. A comparison of these forms of blockchain from various aspects[18] is given in the Table.

Attribute	Public Blockchain	Consortium Blockchain	Private Blockchain
Structure	decentralized	semi-decentralized	centralized
Consensus process:	14 Permissionless	permissioned	Permissioned
Consensus determination	All miners	A selected set of nodes	One organization
Bias among nodes	none	present	present
Immutability	Almost impossible	Difficult to tamper	Easier to tamper than consortium blockchain
Centralized	No	partial	yes
Read permission	All nodes	A selected set of nodes	A selected set of nodes
Transparency	High	low	low
Examples	Ethereum, bitcoin	Quorum, Hyperledger, and Corda	Internal business operations



*Table: Comparison between Public, Private, and Consortium Blockchains, the three systems of Blockchains.*

### **3.3 The Three Principles of Blockchain:**

#### **3.3.1 Immutability**

The inability to change or alter something is referred to as immutability. This is one of the most essential elements of blockchain technology for guaranteeing that it remains what it is - a permanent, immutable network [19]. Rather than relying on centralized authority, it ensures blockchain functionality through a network of nodes [20]. Each node must first validate the transaction's legitimacy before adding it. It is recorded into the ledger if the majority agrees that it is correct. This improves openness while also making the system resistant to corruption. As a result, no transaction blocks may be added to the ledger without the consent of the majority. After the transaction blocks have been added to the ledger, no one can change them, which means that no one on the network will be able to modify, remove, or update them.

#### **3.3.2 Decentralization**

Blockchain is decentralized, which implies there is no governing authority or one individual in charge of the infrastructure [21]. The network is not centralized, instead maintained by a collection of nodes. We can access the system straight from the web and keep our assets there because it does not require any regulating authority. The decentralized system restores the individuals' power and rights over their assets. Users now have power over their data thanks to blockchain and decentralization. There is no additional danger because there is no third party involved.

#### **3.3.3 Security**

Authentication and authorization can be used to ensure security in the blockchain. To manage and restrict access, rules and permissions can be defined. Every transaction that takes place between entities in a network may be digitally signed and validated using a private/public key to secure the transaction's identity. To preserve confidentiality, the transaction's payload, or actual data, is encrypted using crypto hash techniques. A private blockchain can only be viewed and updated<sup>18</sup> through access control restrictions, whereas in a public blockchain, such as bitcoin and ethereum [22], every node in the network has visibility and access to the transaction and block generation. Cryptography adds another degree of security to users' protection. Changing or attempting to tamper with the data will result in all hash IDs being changed. And correcting all the hashes is nearly impossible owing to the distributed nature of blockchain

### **3.4 Blockchain-Based HIE as an Alternative**



Traditional HIE systems' shortcomings lead us to innovations that guarantee total immutability and consensus. The security, dependability, data privacy, and redundancy of the blockchain-based solution will benefit the Healthcare Information Exchange system [23]. These features of blockchain can be extremely beneficial in improving the efficiency of HIEs. The following are some of the advantages and enhancements that blockchain provides:

#### **3.4.1 Security enhancing**

The ledger would be impossible to compromise due to the immutable nature of the transactions, which is based on cryptography. This revolutionary technology is said to be impenetrable [24].

#### **3.4.2 Interoperability enabling**

Due to the blockchain, healthcare units, patients, insurers, and researchers can tackle data sharing and availability issues due to a network of nodes in a blockchain. It makes the promotion of data access and operation simpler across organizations [25].

#### **3.4.3 Trust**

Blockchain eliminates the need for a central authority due to its decentralized nature and distributed ledger structure [10]. As a consequence, patients' trust and security are enhanced because no single authority has access to all of the data.

#### **3.4.4 Traceability and transparency**

Healthcare organizations can constantly maintain track of financial information and have accurate information on each transaction. Because of the immutability of blockchain, accountability is possible.

#### **3.4.5 Opt-out intention**

When providers begin to join, they must give each patient notice of health information practices, including their choice to opt-out of having their information shared through the HIE, as required by law. Patients can then either confirm the notification or opt-out of having their information shared through the HIE. If a patient opts out, no provider, even in an emergency, can access any of the patient's information from the HIE.

#### **3.4.6 Data ownership**

In a blockchain-based HIE system, healthcare providers would require encrypted keys to acquire information from patients, and patients would be able to choose who gets access to their medical records and when. Patients may preauthorize data sharing with authorized providers in the case of an emergency, as well as select research entities to lend their data to, without actually delivering the data.

#### **3.4.7 Business Value**

Blockchain eliminates the need for intermediaries in the data exchange process. It protects data and makes managing insurance claims, personal health information, and other medical papers much easier [26]. It will also reduce the cost of present intermediaries and improve information flow efficiency.

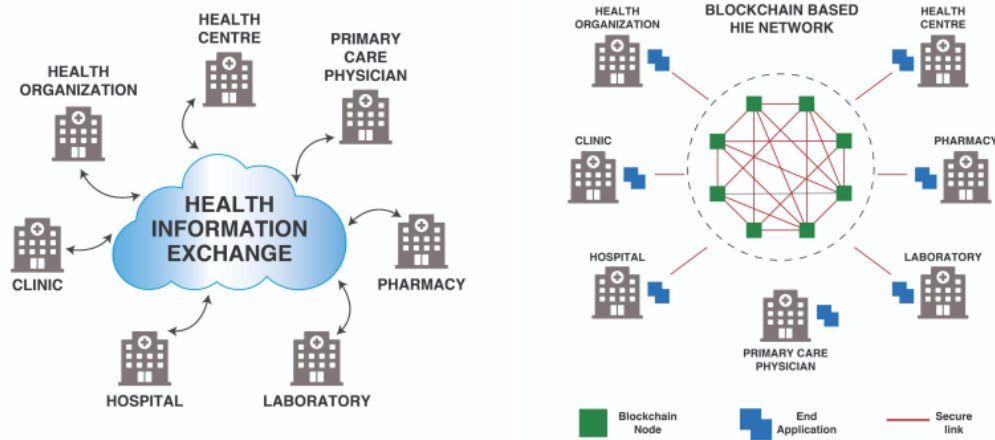


Figure: Comparison between (a.) Traditional HIE systems (b.) Blockchain-based HIE systems  
HIE architecture using Blockchain

## 4 Health Information Exchange Architectures Based on Blockchain

One of the primary requirements of <sup>13</sup>enterprise architecture for HIEs to adopt blockchain technology is that they should store and exchange clinical data smoothly among patients, health organizations, and healthcare providers. For example, HIE systems can leverage enterprise Blockchain as a Service (BaaS) to manage comprehensive health data. The three architectural levels described by the Zachman Framework [27] represent different degrees of abstraction at which the enterprise can be modeled. <sup>10</sup>This section will first outline some of the essential components of blockchain in the context of a Health Information Exchange. Later, it explores how medical data is created and accessed in a blockchain-based architecture from the three architectural levels - conceptual, logical, and physical level.

### 4.1 Components in a Blockchain-based HIE Architecture

The following are the main building blocks that can be thought of as the architectural cornerstones of a business blockchain:

#### 4.1.1 Distributed Ledger

A blockchain is essentially <sup>21</sup>a distributed ledger that records immutable digital data in discrete packets known as blocks. It's a database that stores all of the transactions in a specific business network in chronological order. As a result, in an HIE, a blockchain would act as a ledger that could be shared with

patients and healthcare providers. They have access to the data because they have the required permissions and roles. Because of the immutability and distributed nature of blockchain, it is difficult to change data contained in the HIE architecture. Furthermore, once the health records are stored in the ledger, tampering, stealing, or altering them is impossible [28]. It also saves money on healthcare management by eliminating the costs of reconciling medical data from several sources. Consensus helps the network members in preserving control over their personal health information.

#### 4.1.2 Nodes

Nodes are the most important crucial component and the backbone of this HIE Blockchain model. When a new medical record entry is added, all nodes in the HIE network create a peer model and collaborate to reach a consensus. As a result, they validate and execute transactions in order to maintain the network's integrity. Miners, validators, monitoring nodes, and other responsibilities are assigned to each node in a blockchain-based HIE system. As a consequence, by performing their duty, they help to make the blockchain more resilient, consistent, and secure.

#### 4.1.3 Smart contract

Smart contracts were introduced in Blockchain 2.0, a newer version of the blockchain [29]. It's a contract in which software code written in a programming language like Java or NodeJS specifies and executes transactions. It's the sum of the real driving business logic and conditional rules that determines how this transaction will be carried out. The patient's authorization for accessing and sharing his or her medical data will be stored in a blockchain-based HIE architecture. More healthcare companies are putting a premium on trustworthy automation and cutting-edge security. Smart contracts are used to securely store patient data on a blockchain, which can only be accessed with the patient's private key. Patients may be confident that their medical providers will always have access to the information they need and that their data will be kept safe. Smart contracts are secured via encryption and digital signature.

#### 4.1.4 Consensus

The term "consensus" indicates "majority agreement." Each node in a blockchain has its copy of the ledger, which is synced when a new transaction occurs. When all nodes in the network validate and approve a new transaction, the blockchain is considered to be in consensus [30]. Consensus ensures the integrity of the HIE network. The agreement cannot be broken by any type of corruption or hacking of protected medical data. As a result, they give the Health Information Exchanges a level of confidence. Some of the most often used consensus protocols are Proof-of-Work [15], Proof-of-Stake [31], and Byzantine General's Problem [32].

### 4.2 Conceptual and Logical Architecture

The conceptual architecture is the most abstract of the three architectural levels. This model highlights the high-level relationships between entities like patients, health organizations, and medical data. Conceptual architecture creates a concise image of the HIE architecture using blockchain and its strategic objectives, without going into the technical details. The conceptual design of an HIE system based on blockchain is shown in the Figure built on the work by [33]. In terms of function and logical information, logical

architecture defines how a solution operates. The abstraction given in the conceptual view is scrutinized further in this level, which includes information on the blockchain architecture, medical health data, and storage.

#### 4.2.1 Data Creating & Updating

The patient shares his/her private key to health organizations. This key is used to access confidential health data of the patient further from the blockchain network. Also, the healthcare providers like laboratories and hospitals send the clinical data to the blockchain network using the patient's public key.

#### 4.2.2 Transaction Processing & Storage

After the creation of the record in the blockchain, each new update and modification to the patient's medical history is logged on the blockchain (without any personal information) using his/her public ID.

#### 4.2.3 Data Query

For patients who have opted-in for sharing their private data, health organizations can request that information using the patients' private key and get access to their confidential medical data. Also, patients whose public data is permitted to be accessed can be shared for research.

#### 4.2.4 Patient's Data Sharing

The patient might provide his authorization for data examination with the private key for this purpose. Hence, the private key can be shared to provide healthcare organizations and institutions access to all of your personal information. Those who do not have the key will be unable to access the secret information.

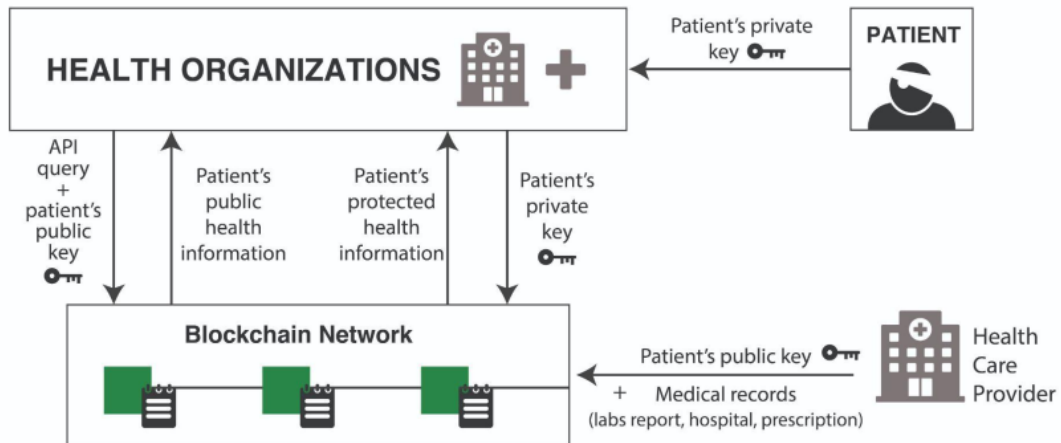


Figure: Conceptual architectural model - Medical data creation, access, and flow in a blockchain-based HIE architecture

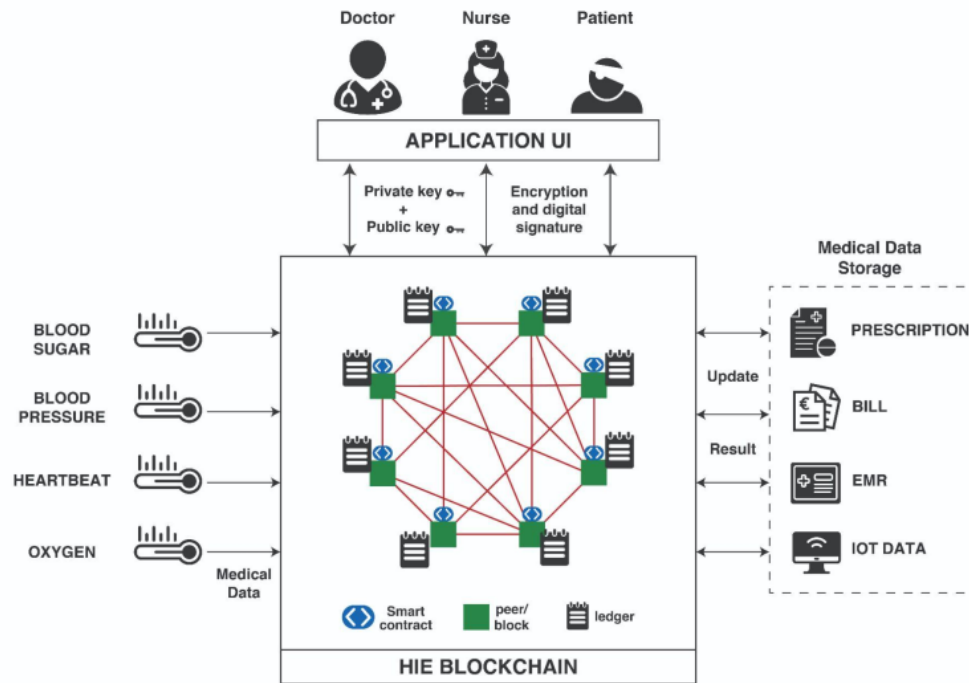
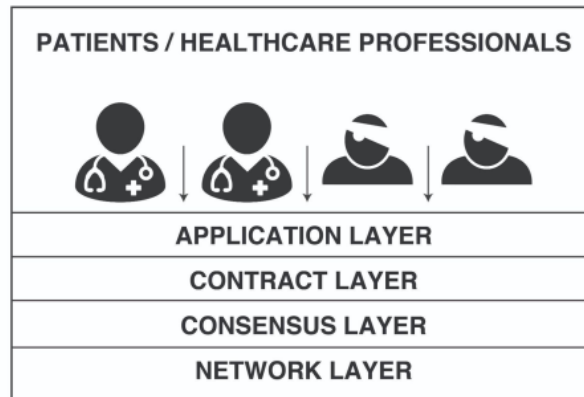


Figure: Logical Architectural model: A closer look at the interactions between blockchain network and medical data flow for HIE systems.

### 4.3 Physical Architecture

This is the lowest level of abstraction, so it is very detail-oriented. It concerns itself with specific software, platforms, and data representations. Its purpose is to enable the real-life implementation of blockchain technology solutions for the HIE system.



*Figure (a): Physical architectural model: The Physical Layers stack of an HIE system based on blockchain*

#### **4.3.1 Application Layer**

The client or end-user application sits on the highest tier of the HIE blockchain architecture. It is made up of decentralized healthcare apps and browsers that communicate with the HIE. It usually initiates a transaction to start the workflow. The user interface (UI) is typically the preferred medium for widespread availability and accessibility of this program among patients, physicians, and nurses. It focuses largely on the advancement of blockchain technology for use in a number of healthcare systems.

#### **4.3.2 Contract / Service Layer**

While the application layer is in charge of all user features and workflow modeling, the contract layer is responsible for the contract itself. Smart contracts consist of healthcare domain-specific logic for the HIE blockchain system. A smart contract is a piece of software that adds layers of information to digital transactions on a blockchain. To guarantee that the HIE system is dependable, safe, and verifiable, as well as devoid of any potential flaws, this layer must be carefully specified and implemented. This layer contains all crypto and digital assets, as well as sensitive data.

#### **4.3.3 Consensus Layer**

This layer determines the consensus and associated participation mechanisms of the HIE system. It is made up of essential components that are required to keep the blockchain functioning. Participants are regulated, and cryptographic standards are implemented.

#### **4.3.4 Network Layer**



In the blockchain architecture, it is the bottom layer. It's also the most important since it defines how data is delivered and received throughout a peer-to-peer HIE system. Peers communicate information about the state and security of the network in a blockchain through this layer. The Blockchain Distribution Network (BDN) is a network of computers devoted to providing data on a blockchain as rapidly as possible [34]. BDNs reside in this layer.

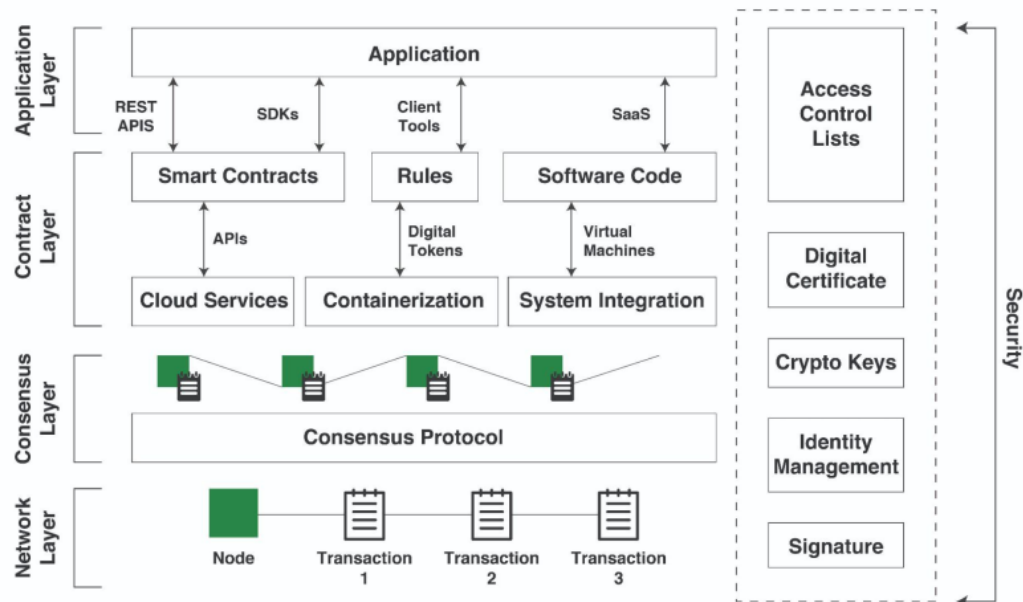


Figure (b): Physical Architectural Model: Layerwise detailed components in the blockchain-based HIE system.

#### 4.4 Data Query Workflow:

The workflow is started by the patient or the health institution starting a transaction. This software communicates with the nodes via API or SDK [35]. Nodes execute smart contracts recorded in the blockchain consortium, which are then executed by them. The smart contract is a piece of software that depicts transactions and has pre-programmed rules and permissions for the patient and the health institutions. The nodes in blockchain networks invoke these business rules or conditions. Java, Golang, Scala, and other programming languages can be used as the execution runtime or virtual machine environment for smart contracts.

The integration layer allows data from within the blockchain network to be exchanged with data from outside the network. External event hubs are a typical approach in HIE systems for exchanging health



information between various health centers and hospitals. Data is also shared between networks for use in analytics and AI applications to provide relevant insights. In the form of Blockchain as a Service, blockchain can be delivered as a managed cloud service as well as embedded software for IoT devices (BaaS) [36].

Finally, the data is transferred to the distributed ledger. It is the core persistent layer in the blockchain architecture because it offers a decentralized and distributed database for transaction entities. The healthcare entries are hashed and stored in blocks that contain the patient's ID in the order that they appear.

In an HIE system, the ledger depicts a chain of hashed blocks containing medical data, each of which refers to the block before it. This ledger is shared across the whole blockchain network, which means that each node has its own copy of the ledger and validates transactions independently. When every node agrees and verifies the transaction's authenticity, a consensus is achieved. Various HIE systems use different consensus techniques to achieve an agreement. This way the patients' data is permanently stored in the ledger, protected with enhanced security and immutability.

## 5 Implementation Challenges and Considerations

Blockchain technology has various applications in health care, but it is not yet fully mature enough to be used in immediate future [37]. Before a health care blockchain can be implemented by businesses across the country, a number of technological, organizational, and behavioral economics hurdles must be overcome. While health care may not be the foremost industry to successfully implement blockchain technology at scale, it is an industry with one of the biggest opportunities to transform Health Information Exchange and interoperability. This section delves into the problems of bringing blockchain solutions for HIE into practice. Some of them are:

### 5.1 Time-consuming transaction verification

The major drawback of blockchain technology is that it requires the agreement of 51 percent of nodes to validate the blockchain's immutability. Mining these blocks can consume a lot of computing power as well as time, resulting in time-consuming block verification.

### 5.2 Compliance with Federal and Local Laws

While blockchains do not require a central authority to store data, they do not eliminate the requirement for any kind of authority [38]. Because of the personal and sensitive nature of healthcare data, blockchain applications will rely on regulation, monitoring, and standards and protocols. Any blockchain's consensus method is not dependent on technology, but rather on agreement among members. As a result, maintaining data distribution across the network while complying with existing HIPAA privacy laws is a key technological issue for blockchain's distributed ledger solutions [23].

### 5.3 Lack of social support

The cultural adoption of blockchain, which is a relatively new technology could prove difficult, since, healthcare executives are hesitant about embracing the most recent healthcare trends.

#### 5.4 Inadequate Technical infrastructure

The biggest roadblock would be healthcare organizations' willingness to invest in the necessary technology infrastructure. Because blockchain<sup>5</sup> are distributed systems, their operation has a significant storage cost [39]. As a result, vast amounts of data cannot be stored efficiently on the blockchain. While blockchains may be used for access control and data integrity, medical data and records must be stored somewhere else and may be subject to attacks.

### 6 Conclusion

Health information exchange integrates the electronic health record (EHR) systems from various health organizations, allowing them to securely communicate patient data and better coordinate treatment. The present centralized HIE models, on the other hand, have a number of security and scalability problems.

Blockchain technology is one of the proposed methods for overcoming these obstacles. It makes use of a decentralized and distributed ledger to make use of the computing power of all participating nodes in the blockchain network, reducing latency and eliminating a single point of failure.

Integrating the HIE system with blockchain has numerous benefits. For example, it guarantees transparency and auditability, eliminates the need for mediation, and establishes confidence.

The chapter begins with a succinct introduction to Health Information Exchange and the reasoning behind the emergence of blockchain technologies for the healthcare landscape. The next section highlights the traditional HIE systems, emphasizing their limitations and need for a more secure solution. The next section covers essential components and the main principles behind blockchain technology. The next section describes, in meticulous detail, the blockchain-based Health Information Exchange architectures, underscoring the entities and interactions among them. The next section identifies the gaps present in the practical adoption of blockchain-based HIE systems at scale.

Future research can build on this work by identifying solutions to the constraints of blockchain-based HIE systems. It can also provide insight on large-scale software implementation and pave the way for more widespread use of such systems in the future. The cost-impact and investment implications can also be addressed by further research. In addition, beneficial elements such as payment for medical services may be incorporated with the HIE architecture to make the system more convenient for professionals while also keeping it safe and reliable. From medicines and improved payment choices to the decentralization of patient health records<sup>12</sup>, blockchain can guarantee healthcare information exchange governance. While blockchain isn't a panacea for all issues, it does provide a platform for study, investment, and proof-of-concept testing.

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