

# Neural Manifolds

# LETTER

doi:10.1038/nature13665

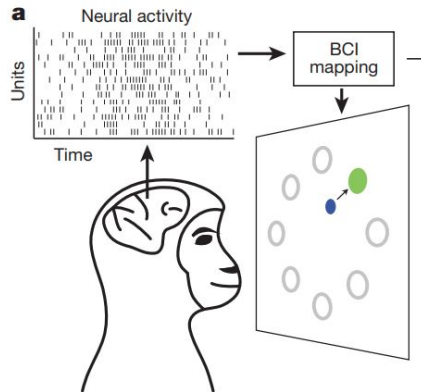
---

## Neural constraints on learning

Patrick T. Sadtler<sup>1,2,3</sup>, Kristin M. Quick<sup>1,2,3</sup>, Matthew D. Golub<sup>2,4</sup>, Steven M. Chase<sup>2,5</sup>, Stephen I. Ryu<sup>6,7</sup>, Elizabeth C. Tyler-Kabara<sup>1,8,9</sup>, Byron M. Yu<sup>2,4,5\*</sup> & Aaron P. Batista<sup>1,2,3\*</sup>

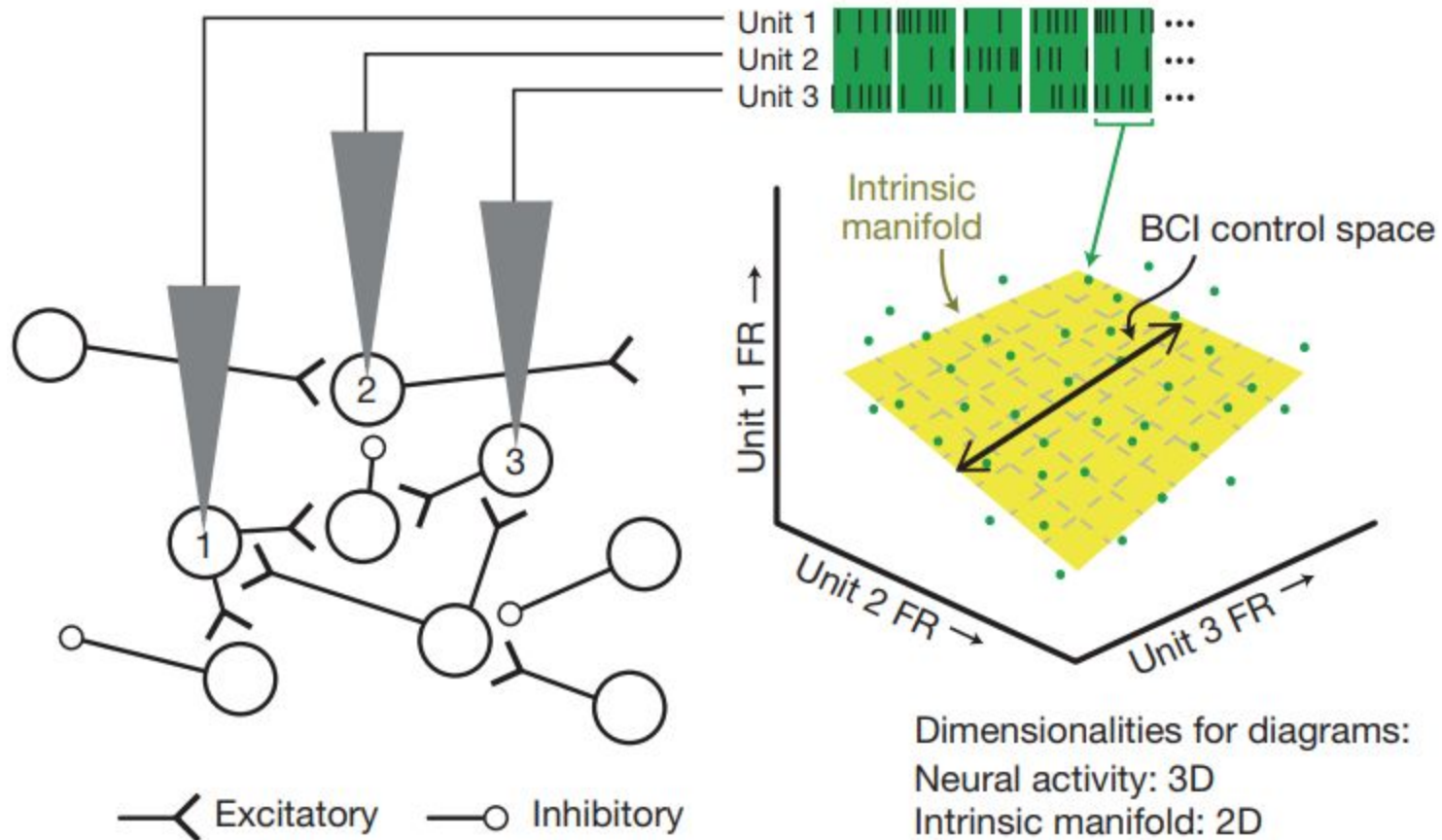
# Hypothesis and Setup

- Hypothesis - ease or difficulty with which an animal can learn a new behaviour is determined by the properties of the corresponding neuron network
- Tested on BCI paradigm - since all relevant neurons can be monitored
- BCI Task: Move a cursor to circles on screen using only neural activity



# Terminology

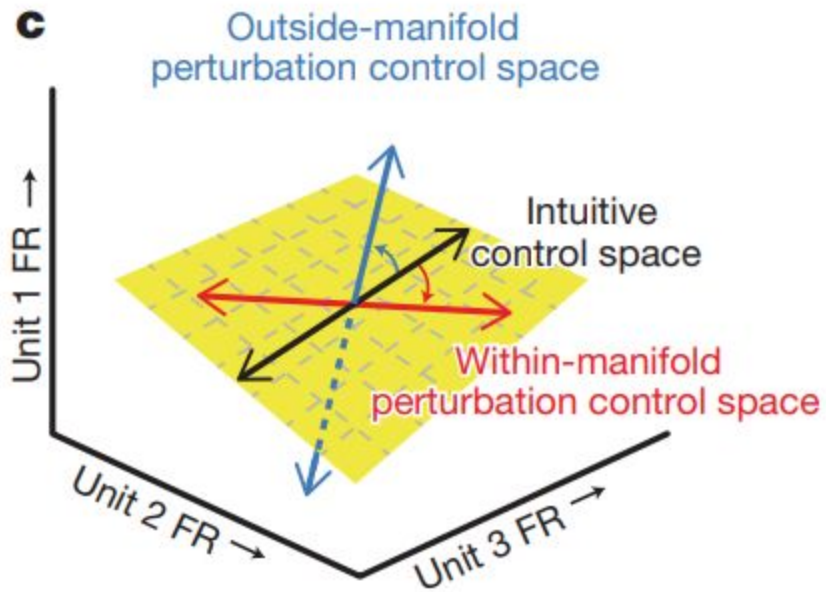
- **Neural Space** - each axis corresponds to activity of one neuron
- Neurons fire in relation to other neurons (could be excitatory or inhibitory relations), in other words: they **co-modulate**.
- Co-modulations imply that neural activity patterns for a task does not span entire neural space - only a subspace called the **intrinsic manifold** (which needs to be identified)
- The **control space** or the BCI mapping is the subspace within the intrinsic manifold that is responsible for the neural patterns that relate to the velocity of the cursor (task output in 2 dimensions)



# Experimental Manipulation

Objective was to alter the control space and reposition it either within or outside the intrinsic manifold

1. **Within-manifold Perturbation:** re-orienting the control space but it is kept within manifold
  - a. Preserved co-modulation patterns
  - b. Altered how co-modulation patterns affected cursor kinematics
2. **Outside-manifold Perturbation:** re-orienting the control space and allowing it to leave manifold
  - a. Altered co-modulation patterns
  - b. Preserved how co-modulation patterns affected cursor kinematics

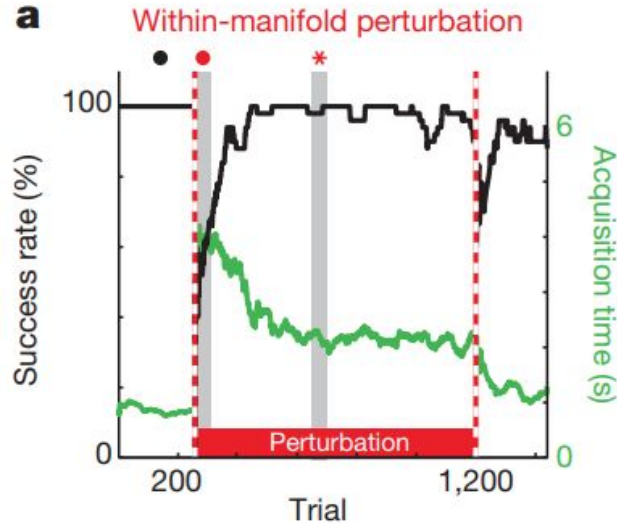


# Hypothesis with the new terminology

- In both type of perturbations, monkeys suffered impaired performance on task and the experimenters observed whether they regained proficient cursor control
- Done by learning new associations between natural co-modulation patterns
- After an outside-manifold perturbation, monkeys had to **generate new co-modulation patterns** within the recorded neurons
- Updated hypothesis: **Within-manifold perturbations are easier to bounce back from (by relearning) than outside-manifold perturbations**



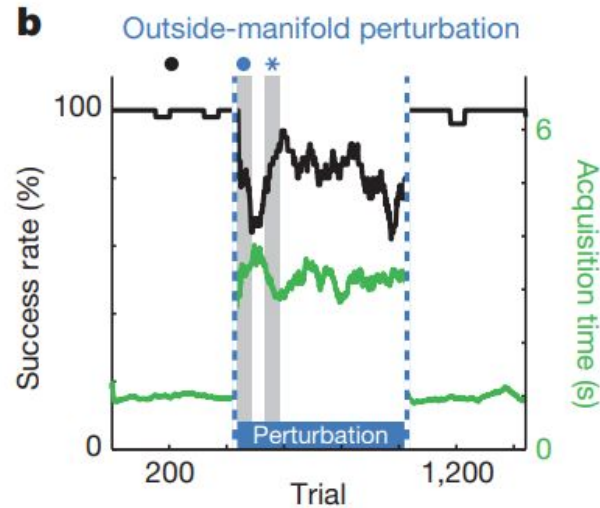
# Within-manifold Results



- Performance improved over time after exposure to perturbation - learning did occur

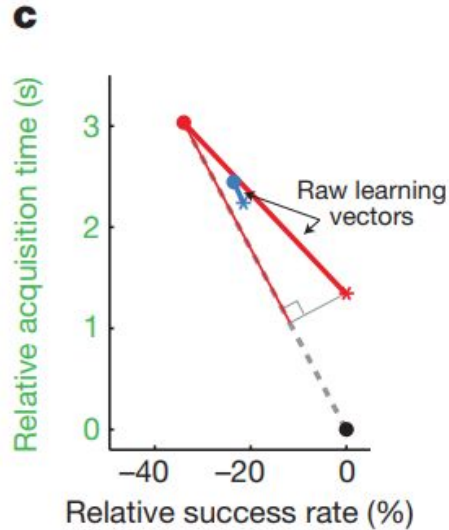
(dashed lines indicate BCI mapping changes - introduction and removal of perturbation)

# Outside-manifold Results



- Performance did not really improve over time after exposure to perturbation - **learning did not occur**
- Also evidenced by **lack of impairment** after perturbation was removed (intuitive mapping restored). Different from WM.

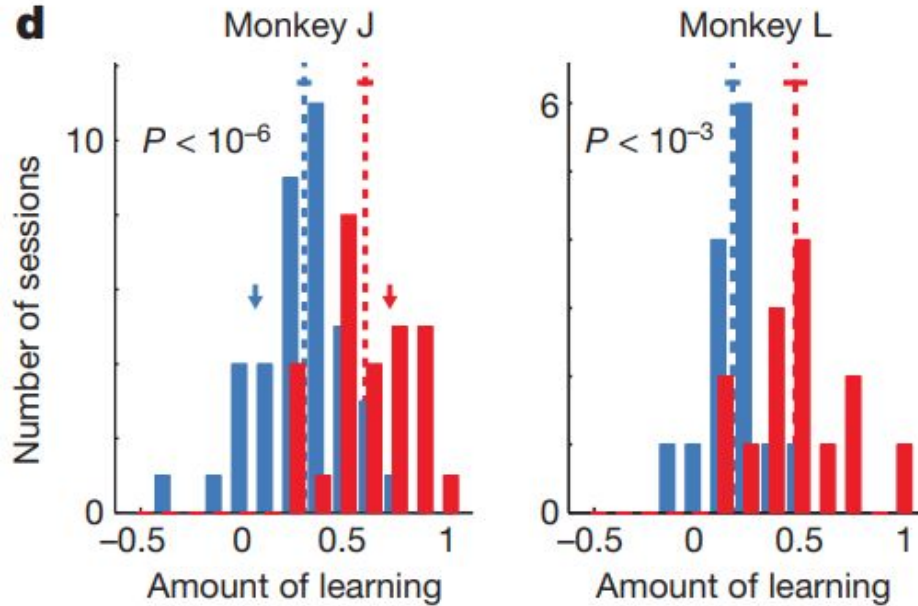
# Raw Learning - length of the vectors



Red Line - shows difference between initial performance and best performance after WM Perturbation

Blue Line - shows difference between initial performance and best performance after OM Perturbation

# Amount of Learning



- BCI Performance remained impaired for OM (blue) whereas it was regained for WM (red).

# Implications

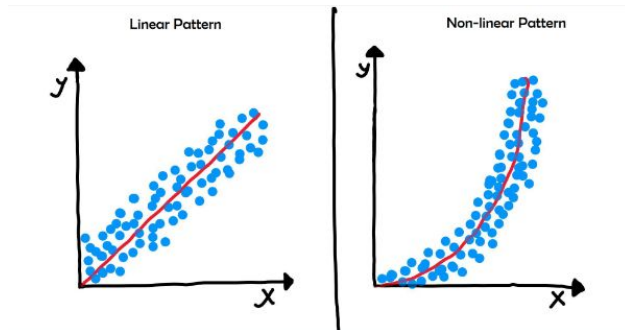
- Supports hypothesis that structure of network determines which neural activity patterns (and output behaviours) a subject can readily learn to generate
- IM is a **reliable predictor of learnability of a BCI mapping** - mappings out of manifold was harder to learn than inside
- WM uses fast-timescale learning mechanisms (adaptation)
- OM uses neural mechanisms for skill training
- Low dimensional projections of data are not just for visualisation but reveal causal constraints on behaviour
- Population patterns are more 'controlling' than individual neurons

# Nonlinear manifolds underlie neural population activity during behaviour

Cátia Fortunato<sup>1</sup>, Jorge Bennasar-Vázquez<sup>1</sup>, Junchol Park<sup>2</sup>, Joanna C. Chang<sup>1</sup>,  
Lee E. Miller<sup>3,4,5</sup>, Joshua T. Dudman<sup>2</sup>, Matthew G. Perich<sup>6,7</sup>, Juan A. Gallego<sup>1,†</sup>

# Terminology and Background

- Neural Modes - the covariation (comodulation) patterns of a population of neurons within a given brain region
  - These give rise to the 'manifold'
  - They are treated as 'dimensions' in this study
- Neuron activity is nonlinear - a firing threshold is required to generate AP
- Neurons are also connected in a very complex manner
- If the neuron itself is nonlinear and if its interaction with other neurons are complex and likely nonlinear - **shouldn't the resultant manifold also be similarly nonlinear?**

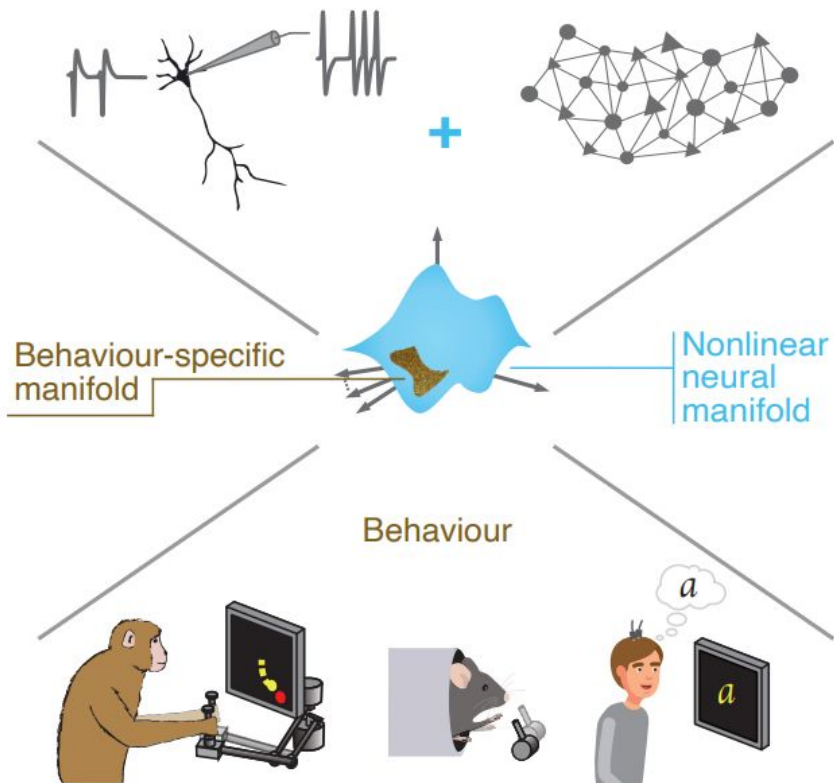


## Hypothesis

**A**

Nonlinear response  
of single neurons

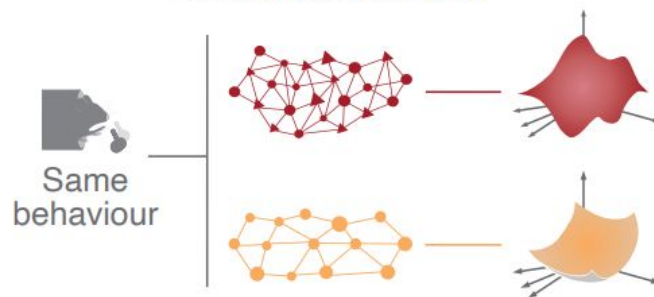
Intricate connectivity  
patterns



## Predictions

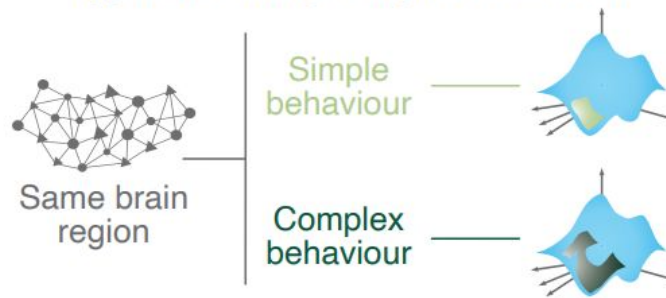
**B**

**1. Manifold properties depend on circuit architecture**



**C**

**2. Manifold nonlinearity becomes more apparent during complex behaviour**

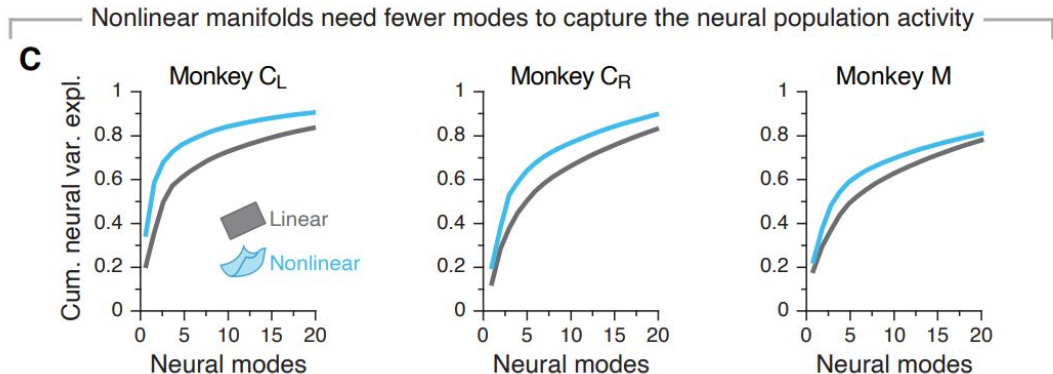
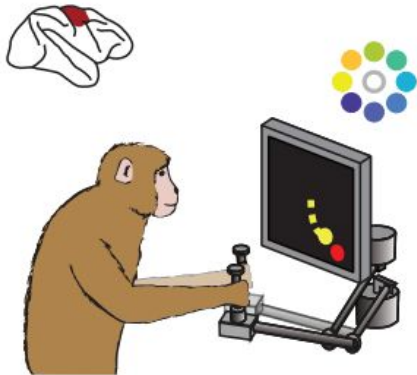




# Result 1 - Motor cortical manifolds are nonlinear

- Monkey motor reaching experiment set up and neural recordings
- PCA used to identify flat manifolds underlying data
- Isomap used to identify nonlinear manifolds underlying data
- Isomap is a better 'model fit' - more variance explained with fewer modes

**A**

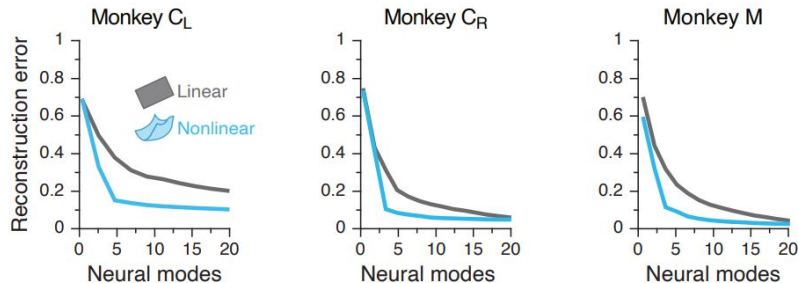


# Additional analyses

- Reconstruction error - how well can these latent dynamics used to reconstruct the full dimensional activity
- Nonlinear manifolds had lower reconstruction error at lower dimensions
- Linear decoders trained on the dynamics within nonlinear manifolds performed better than those trained on linear manifolds

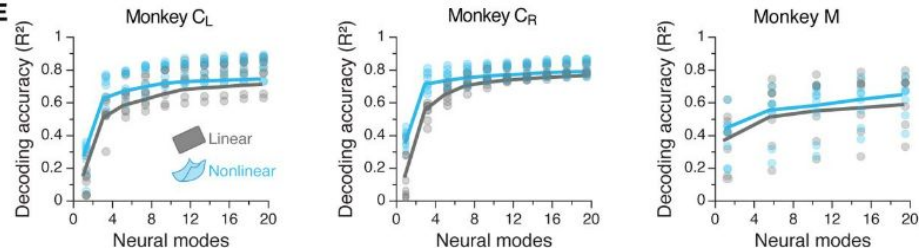
Nonlinear manifolds better capture the structure of neural population activity

**D**



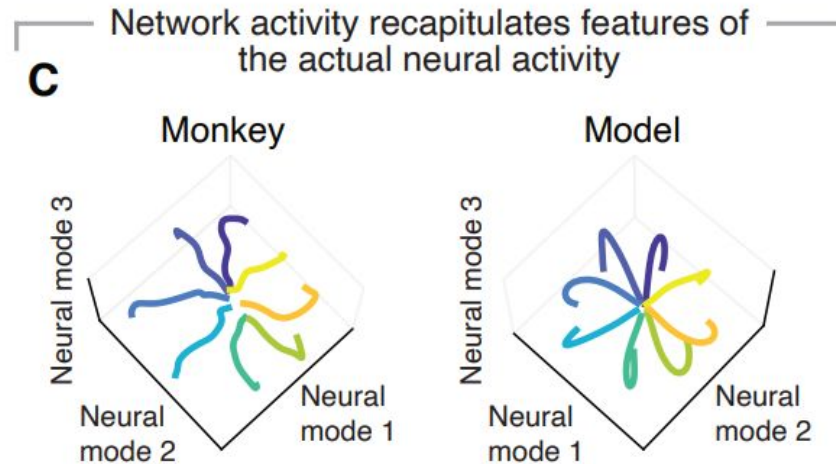
Nonlinear manifolds enable better decoding of movement kinematics

**E**



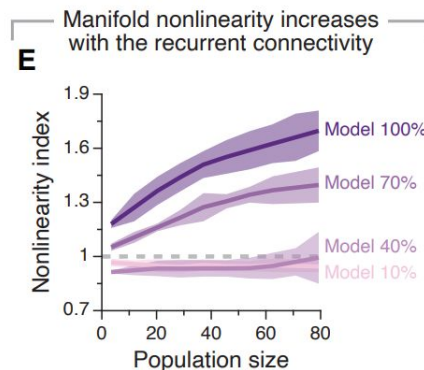
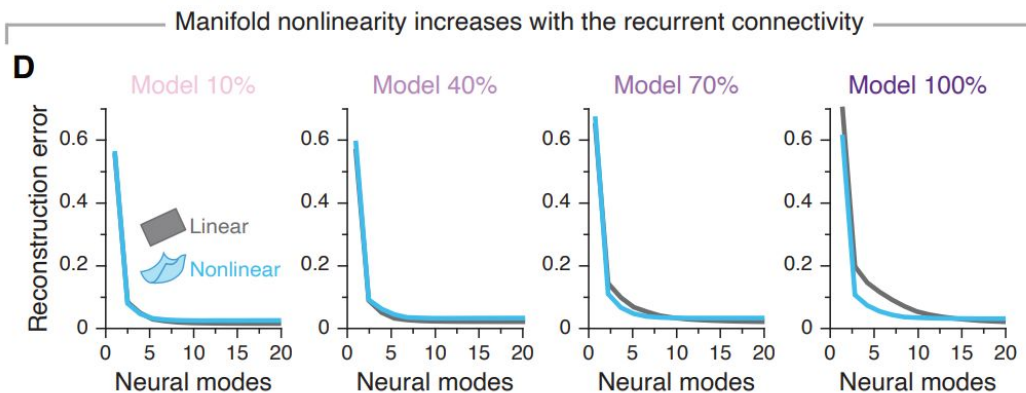
## Results 2 - Emergence of nonlinearity from circuitry

- Same task but with RNNs - they output hand velocity during each trial and used preparatory neural activity as input
- RNNs were able to perform well and simulate the neural output of monkeys to a sufficient degree



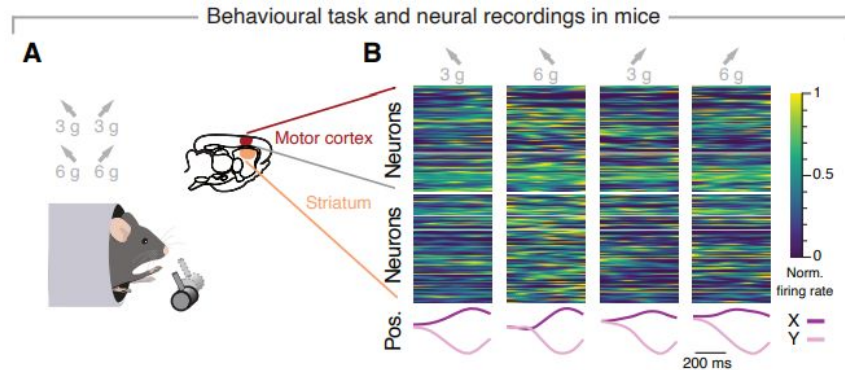
## Results 2

- Investigation between degree of recurrent connectivity and manifold nonlinearity
- More recurrence in networks - the 'more nonlinear' the manifolds
- Nonlinearity index - ratio between the estimated dimensionality of linear and nonlinear manifolds for same number of neurons
- Manifold nonlinearity increases with level of recurrence in networks



## Result 3 - Nonlinearity changing across brain regions

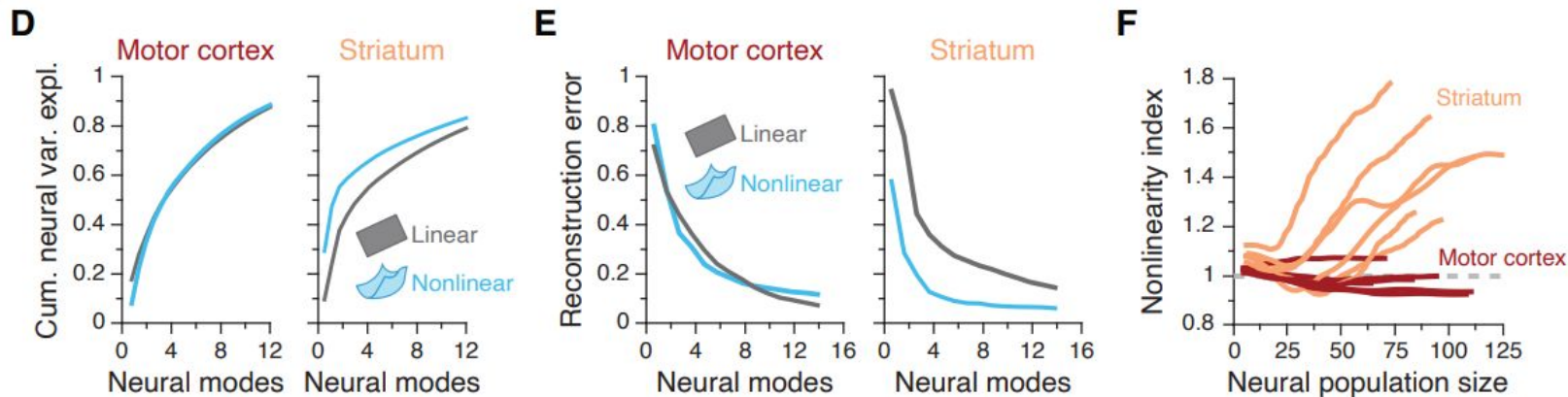
- Follows from second result that different connectivity patterns lead to different degrees of nonlinearity
- Similar reaching task where mouse Motor Cortex and Dorsolateral Striatum neural activity was recorded
- Motor cortex has more cellular nonlinearities than the striatum - better modelled by a nonlinear manifold?



## Result 3

- No:

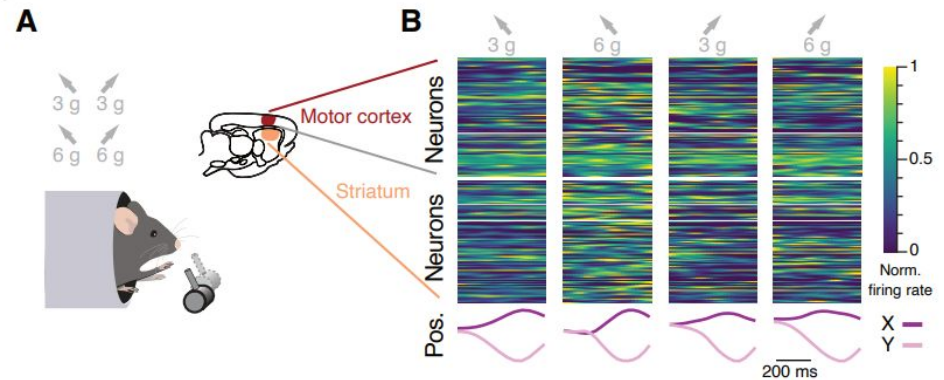
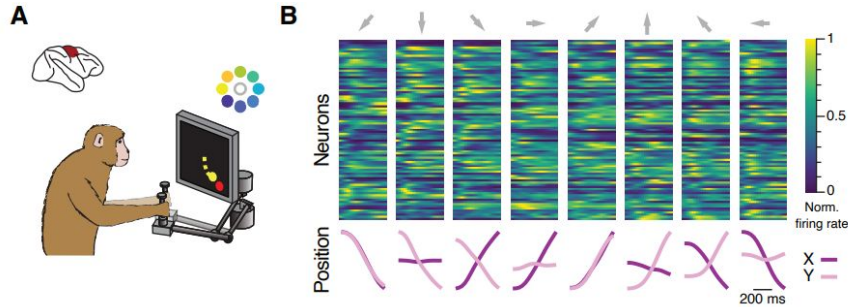
Striatal manifolds are nonlinear but motor cortical manifolds are not in the reaching and pulling task



- Striking differences in nonlinearity - motor cortex flatter than striatum
- Circuit properties may be the primary factor underlying neural manifold nonlinearity rather than individual neuron nonlinearities

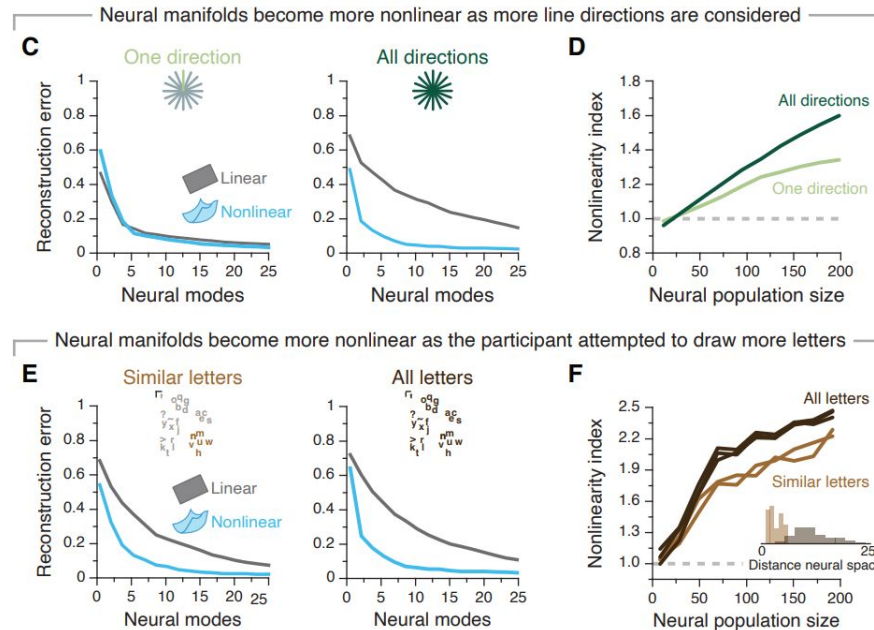
# Result 4 - Task Complexity

- Interesting contrast - monkey motor cortex manifolds were nonlinear whereas mouse motor cortex manifolds were flat
- Monkey reaching task was more complex - required a wider variety of muscle activity patterns
- Mice had it easier - only had to reach one of two positions away from body



# Result 4

- Analysis of neural activity of motor cortex of a paralysed patients attempting to draw lines and letters





# Takeaways

- Better suited to estimated underlying structures and patterns in neural data
- Clear evidence of nonlinear manifolds in motor regions
- Key to develop BCIs to restore function by decoding control signals?

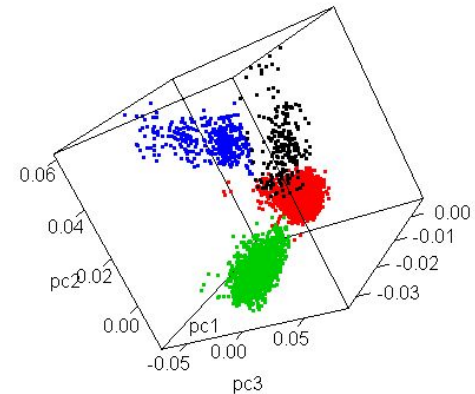
# Manifold Identification

# Manifold Identification

- Collect neural data for a certain task from a certain region
- Perform dimensionality reduction on the larger neural space
- If we are able to obtain a lower dimensional structure where most (or all) the 'variance' of the neural data lies, we can identify it as the manifold
- PCA, Isomap, LLE for dimensionality reduction

# Principal Component Analysis

- PCA is a linear dimensionality reduction technique
- Identifies set of orthogonal eigenvectors as the Principal Components that captures the 'greatest variance in the data'
- PCs are ranked based on this variance
- Manifold Learning is a generalisation of PCA - we are looking for a lower dimensional manifold (constructed by PCs)

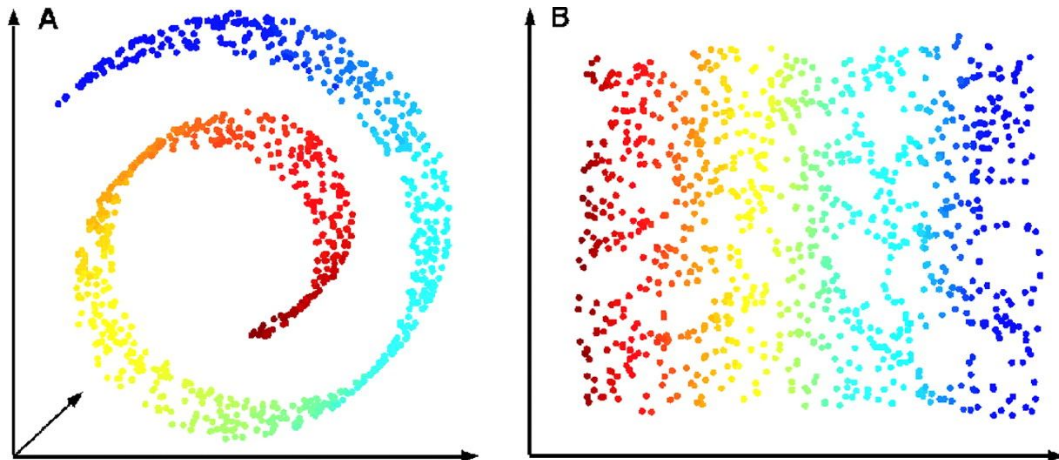


# Isomap

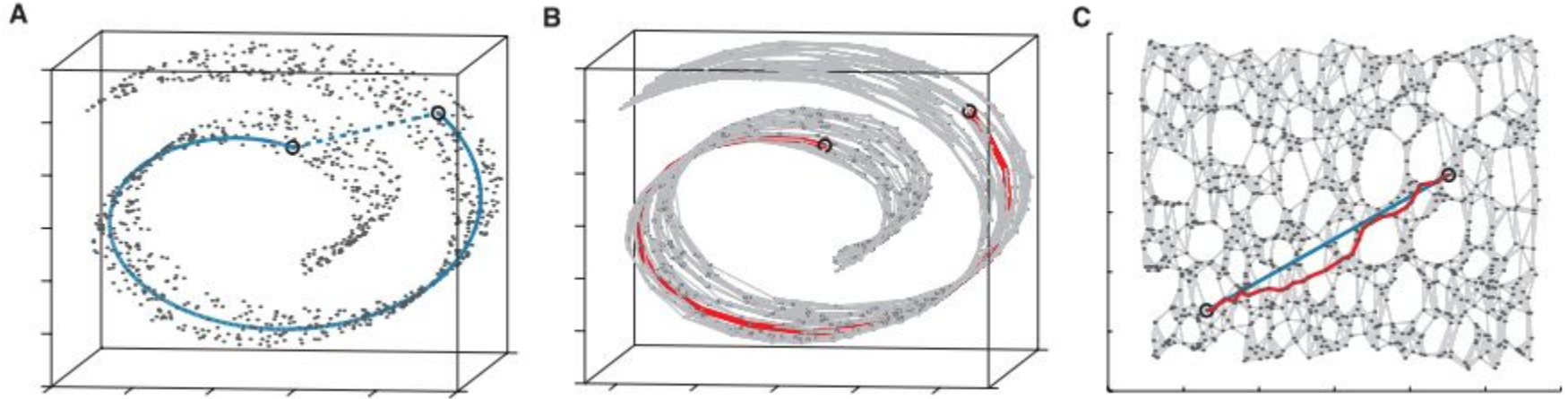
## A Global Geometric Framework for Nonlinear Dimensionality Reduction

Joshua B. Tenenbaum,<sup>1\*</sup> Vin de Silva,<sup>2</sup> John C. Langford<sup>3</sup>

- Underlying manifolds may not always be linear - how do we approximate these nonlinear manifolds?



# Isomap



- Generic Euclidean Distance between points doesn't work here
- Geodesic Distance captures the true underlying geometry of the manifold (even in a low dimensional space)
- Resulting low dimensional space where these distances are preserved

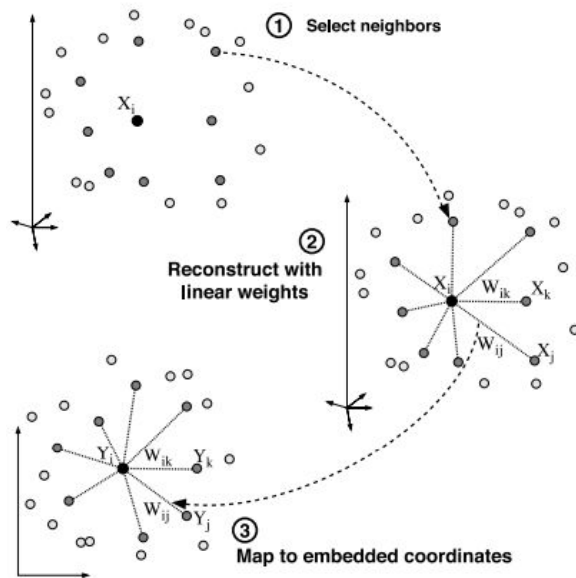
# Isomap

**Table 1.** The Isomap algorithm takes as input the distances  $d_X(i,j)$  between all pairs  $i,j$  from  $N$  data points in the high-dimensional input space  $X$ , measured either in the standard Euclidean metric (as in Fig. 1A) or in some domain-specific metric (as in Fig. 1B). The algorithm outputs coordinate vectors  $\mathbf{y}_i$  in a  $d$ -dimensional Euclidean space  $Y$  that (according to Eq. 1) best represent the intrinsic geometry of the data. The only free parameter ( $\epsilon$  or  $K$ ) appears in Step 1.

Step		
1	Construct neighborhood graph	Define the graph $G$ over all data points by connecting points $i$ and $j$ if [as measured by $d_X(i,j)$ ] they are closer than $\epsilon$ ( $\epsilon$ -Isomap), or if $i$ is one of the $K$ nearest neighbors of $j$ ( $K$ -Isomap). Set edge lengths equal to $d_X(i,j)$ .
2	Compute shortest paths	Initialize $d_G(i,j) = d_X(i,j)$ if $i,j$ are linked by an edge; $d_G(i,j) = \infty$ otherwise. Then for each value of $k = 1, 2, \dots, N$ in turn, replace all entries $d_G(i,j)$ by $\min\{d_G(i,j), d_G(i,k) + d_G(k,j)\}$ . The matrix of final values $D_G = \{d_G(i,j)\}$ will contain the shortest path distances between all pairs of points in $G$ (16, 19).
3	Construct $d$ -dimensional embedding	Let $\lambda_p$ be the $p$ -th eigenvalue (in decreasing order) of the matrix $\tau(D_G)$ (17), and $v_p^i$ be the $i$ -th component of the $p$ -th eigenvector. Then set the $p$ -th component of the $d$ -dimensional coordinate vector $\mathbf{y}_i$ equal to $\sqrt{\lambda_p} v_p^i$ .

# Locally Linear Embedding

- Same motivation as Isomap
- Basic Idea - take advantage of local geometry and stitch it together to preserve global geometry on lower dimensional space





# Final thoughts

- Neural activation patterns are underlied by a manifold - the subspace of the neural space that represent common activity
- 'Learning' takes place more readily if new demands are within manifold
- These manifolds may be better identified by non-linear dimensionality reduction techniques dependent on factors like task complexity, network properties, etc.
- Implications on Brain-Similar Nets?