

ASIC Corporations (Foreign Financial Services Providers - Limited Connection) Instrument 2017/182



- F2020C00209

In force

-

Superseded Version

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ASIC
Australian Securities &
Investments Commission

ASIC Corporations (Foreign Financial Services Providers—Limited Connection) Instrument 2017/182

About this compilation

Compilation No. 3

This is a compilation of *ASIC Corporations (Foreign Financial Services Providers—Limited Connection) Instrument 2017/182* as in force on March 2020. It includes any commenced amendment affecting the legislative instrument to that date.

This compilation was prepared by the Australian Securities and Investments Commission.

The notes at the end of this compilation (the **endnotes**) include information about amending instruments and the amendment history of each amended provision.

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Part 1—Preliminary

Name of legislative instrument

This is the
ASIC Corporations (Foreign Financial Services Providers—Limited Connection) Instrument 2017/182
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Cessation

This instrument ceases to apply on 31 March 2022.

Authority

This instrument is made under subsection 926A(2) of the *Corporations Act 2001*.

Definitions

In this instrument:

Act means the *Corporations Act 2001*.

2—Exemption

Licensing

- (1) A person that is carrying on a financial services business in this jurisdiction only because of section 911D of the Act does not have to comply with subsection 911A(1) of the Act in relation to the provision of a financial service to a wholesale client.
- (2) Subsection (1) does not apply to a person who holds an Australian financial services licence covering the provision of the financial service.

Notes

Note 1—Instrument history

Instrument number	Date of FRL registration	Date of commencement	Application, saving or transitional provisions
2017/182	27/3/2017 (<i>see</i> F2017L00320)	28/3/2017	
2018/807	24/9/2018 (<i>see</i> F2018L01336)	25/9/2018	-
2019/902	5/9/2019 (<i>see</i> F2019L01144)	10/9/2019	-
2020/200	10/3/2020 (<i>see</i> F2020L00239)	17/3/2020	-

Note 2—Amendment history

ad. = added or inserted am. = amended LA = *Legislation Act 2003* rep. = repealed
= repealed and substituted

Provision affected	How affected
Section 2	rep. s48D LA
Section 3	am. 2018/807; 2019/902 and 2020/200