

CS 60002: Distributed Systems

T6: Fault Tolerance

Department of Computer Science
and Engineering



INDIAN INSTITUTE OF TECHNOLOGY
KHARAGPUR



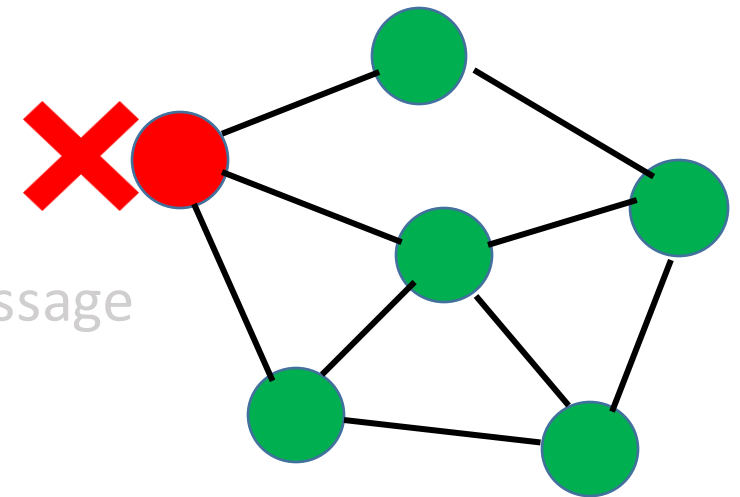
Sandip Chakraborty
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Networks and Failures

- Synchronous vs Asynchronous Networks
 - **Synchronous:** I am sure that I'll get the message within a predefined time threshold
 - **Asynchronous:** I am not sure whether and when the message will arrive
- Failures in a network --
 - **Crash Fault:** A node stops responding
 - **Link Fault** (or Network Fault): A link fails to deliver the message
 - **Byzantine Fault:** A node starts behaving maliciously

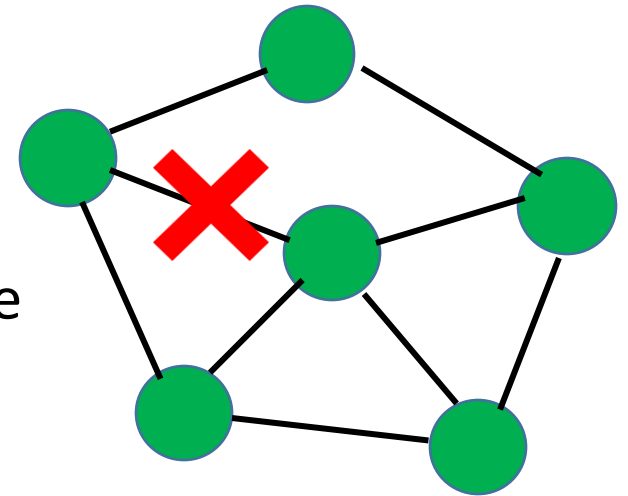
Failures in a Distributed System

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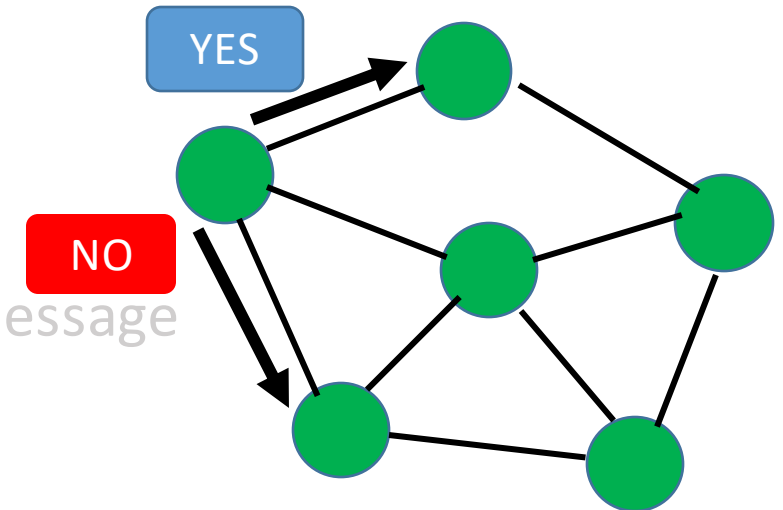
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Dependability in the Presence of Failures

- A measure of how dependable a system is in the face of failures

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 - Reliability
 - Availability
 - Safety

These slides are taken from the Distributed Systems course by Prof. Arobinda Gupta, IIT Kharagpur

Dependability in the Presence of Failures

- A measure of how dependable a system is in the face of failures
 - Reliability
 - Availability
 - Safety
- **Reliability**
 - How often does the system fail?
 - What is the conditional probability that the system will work for the duration $[0,t]$ given that it is working at time zero?
 - **Measured by:** **MTTF** (Mean Time To Failures), **MTTR** (Mean Time To Repair), **MTBF** (Mean Time Between Failures = $MTTF + MTTR$)

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Dependability in the Presence of Failures

- **Availability**

- How available the system is
- What is the probability that the system is up at time t ?
- Usually measured by uptime (ex. 99%, maximum downtime of 5 hours in 1 year, etc.)

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- **Availability**

- How available the system is
- What is the probability that the system is up at time t ?
- Usually measured by uptime (ex. 99%, maximum downtime of 5 hours in 1 year, etc.)

- **Safety**

- How safe the system is, even if it fails
- Does it always maintain some safety property?

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Reliability vs Availability

- A highly reliable system is also highly available
- A highly available system may or may not be highly reliable
 - Ex: If a system fails for 1 second every hour, it can still be considered highly available (99.97%) but not highly reliable
- The reliability of a system depends on the reliability of the components used to build the system
- Reliability/Availability can be of interest at different component levels
 - A memory chip
 - A disk controller with memory
 - A PC with disks
 - A cluster with a large number of PCs

Fault Tolerance

- The ability of a system to deliver desired services in spite of faults in its components
- Fault tolerance can be at the level of
 - A full service (specified behavior in fault-free state); ex. A primary-backup server system to tolerate one server failure
 - A degraded service (deviate from the specified behavior in fault-free state, but in a pre-defined manner); ex. A web service with multiple load balanced servers

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- Many modern distributed system needs to be highly available
 - Gmail
 - Facebook
 - Airline reservation system

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Types of Fault Tolerance

- **Masking:** Always behave as per specification even in the presence of faults in the system
- **Non-masking:** System may violate specification in presence of faults, but behave in a well-defined manner
- A fault tolerant system should specify
 - Class of faults tolerated (**Fault Model**)
 - What tolerance is given for each class (**Fault Tolerance**)

Primitive Operations for Fault Tolerance

- Building reliable storage from unreliable disks
 - RAID
 - Centralized network storage
- Reliable communication over unreliable links
 - Unicast, multicast, broadcast
- Agreement/Consensus
- Enforce atomic actions
- Checkpoint and Recovery

Agreement Problem

- A set of n processes, m of them may be faulty
- Non-faulty processes need to agree on some value(s) even in the presence of faulty processes
- One of the most studied problems in Distributed System
 - Agreement (Typically used for handling Byzantine faults, so use the term **Byzantine agreement** or **Byzantine Generals Problem**)
 - Consensus
 - Interactive Consistency
- All three problems are equivalent; solution of any one of them can be used to solve the other two

Agreement Protocol

- One process x broadcast a value v
- All non-faulty processes must agree on a common value (**agreement condition**)
- The agreed upon value must be v if x is non-faulty (**validity condition**)
- This idea is used to solve the **Byzantine Generals Problem** → **Byzantine Agreement Protocols**

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Byzantine Generals Problem



Commander



Lieutenant - 1

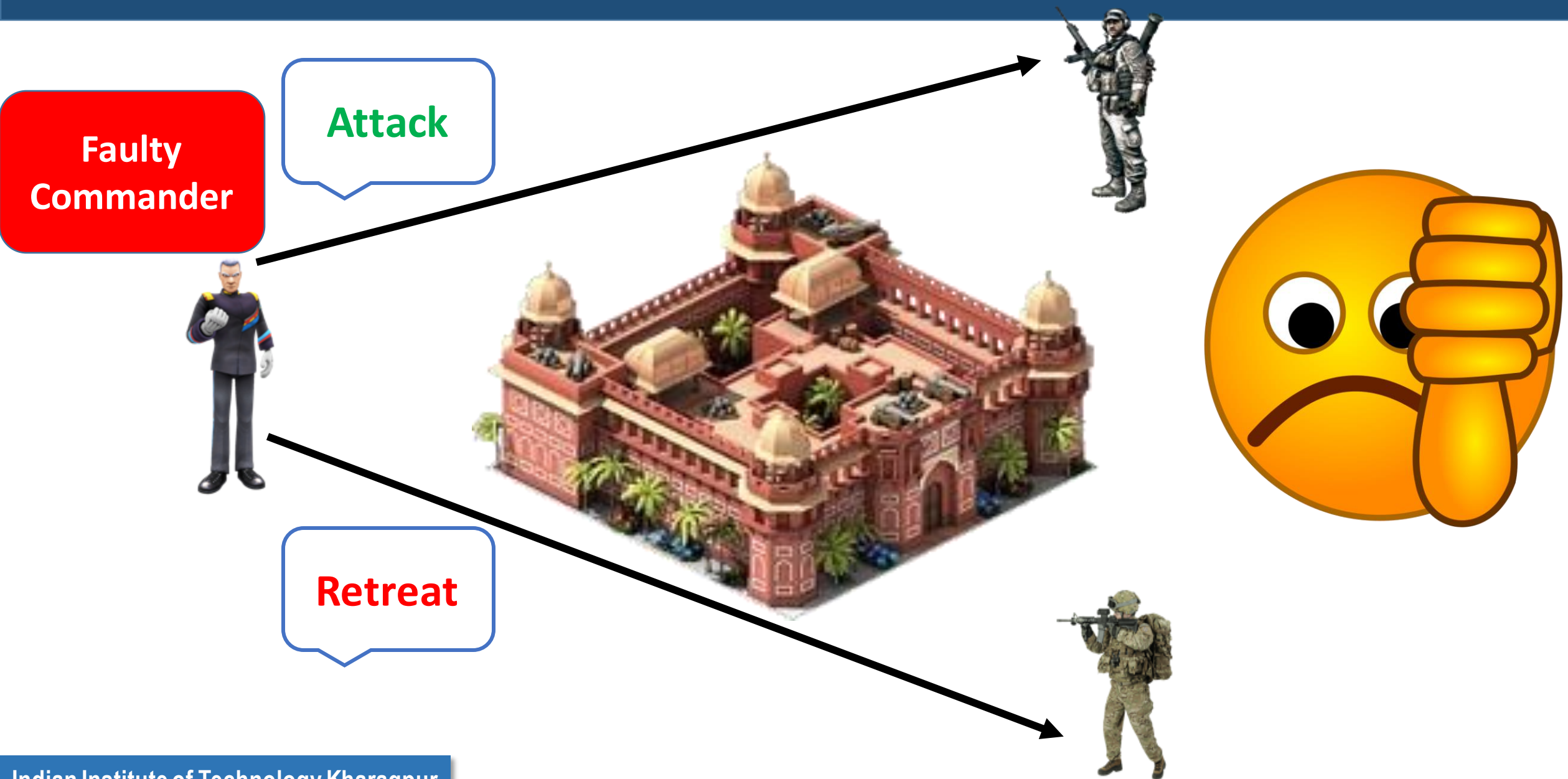


Lieutenant - 2

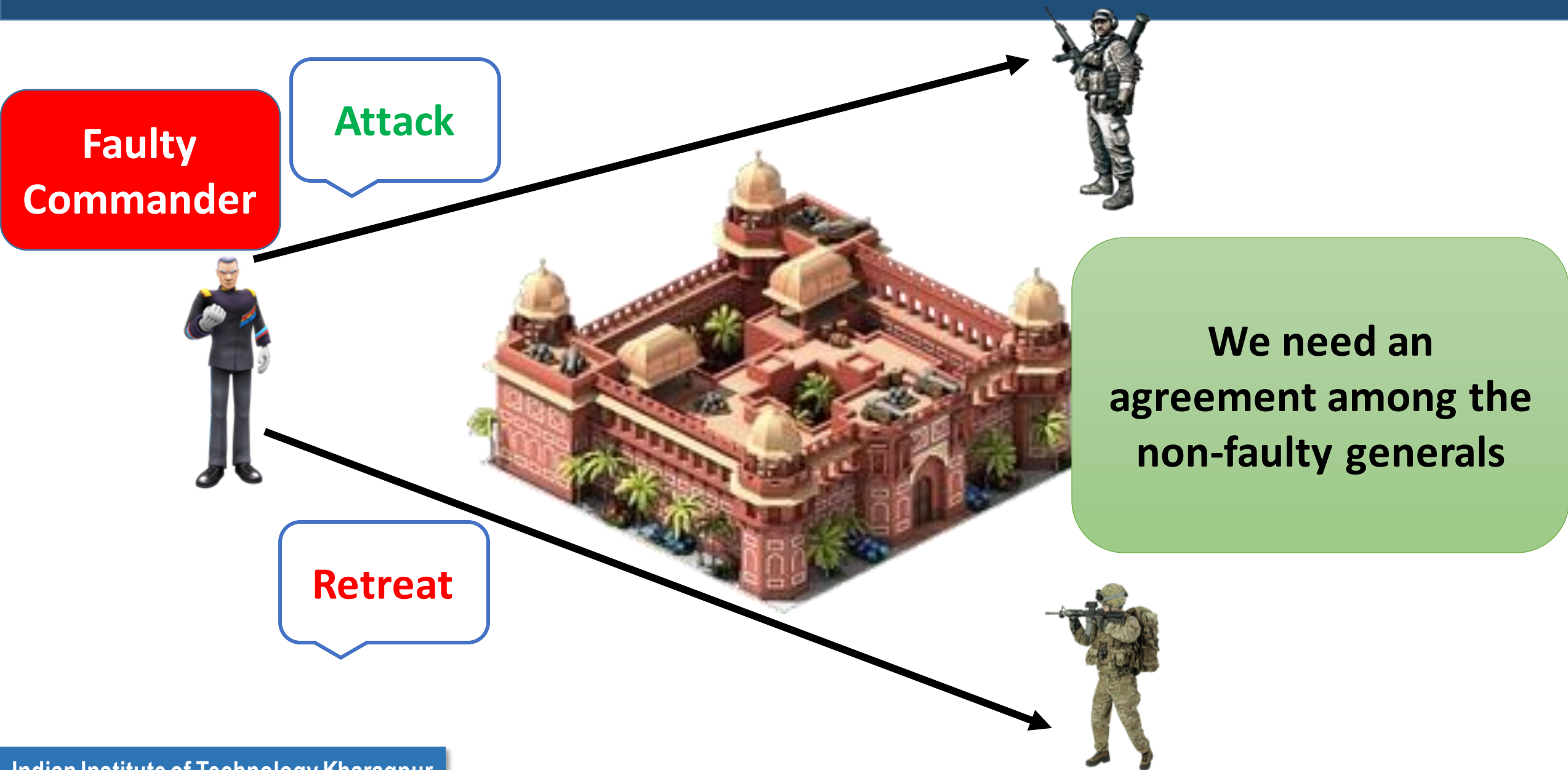
Byzantine Generals Problem



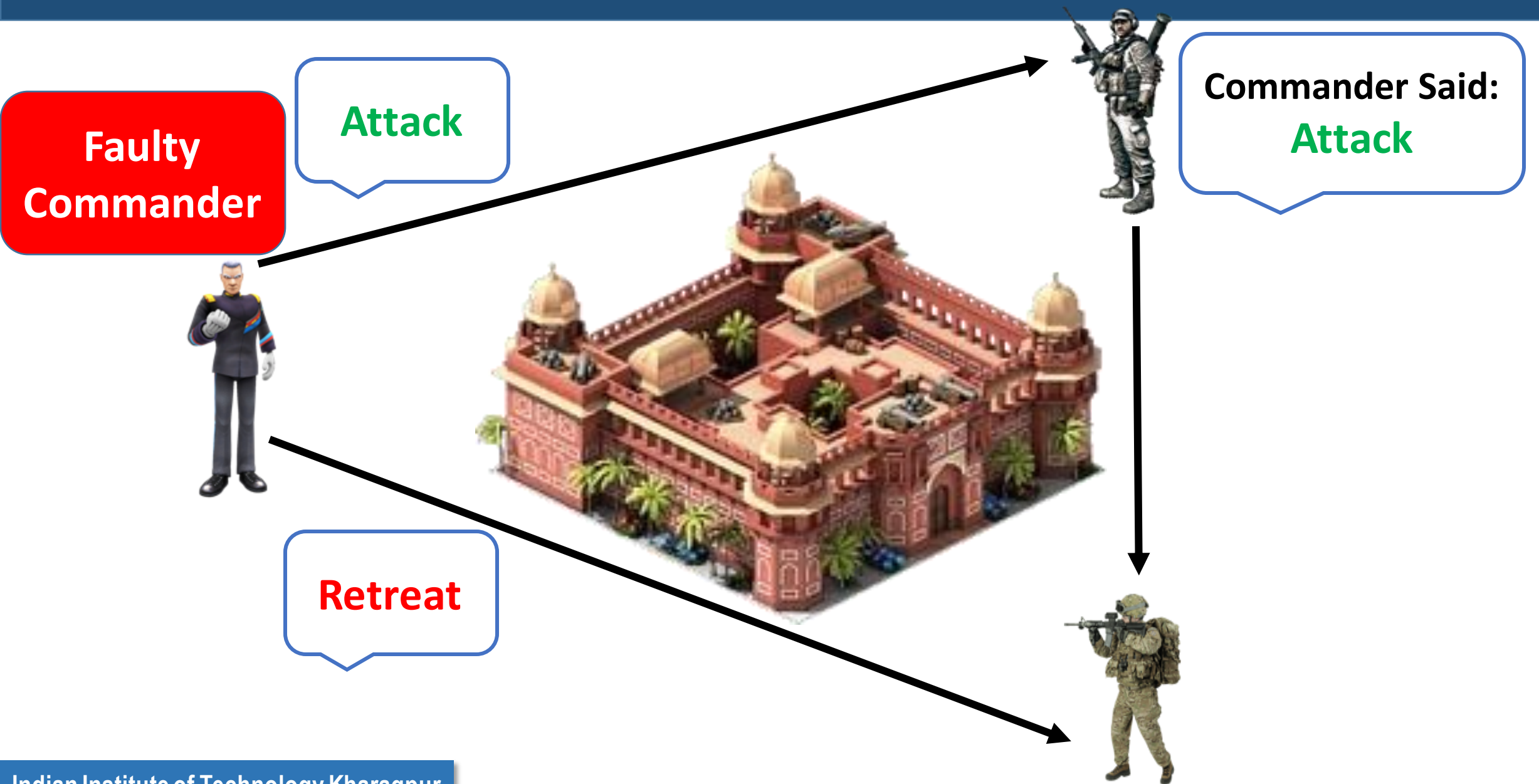
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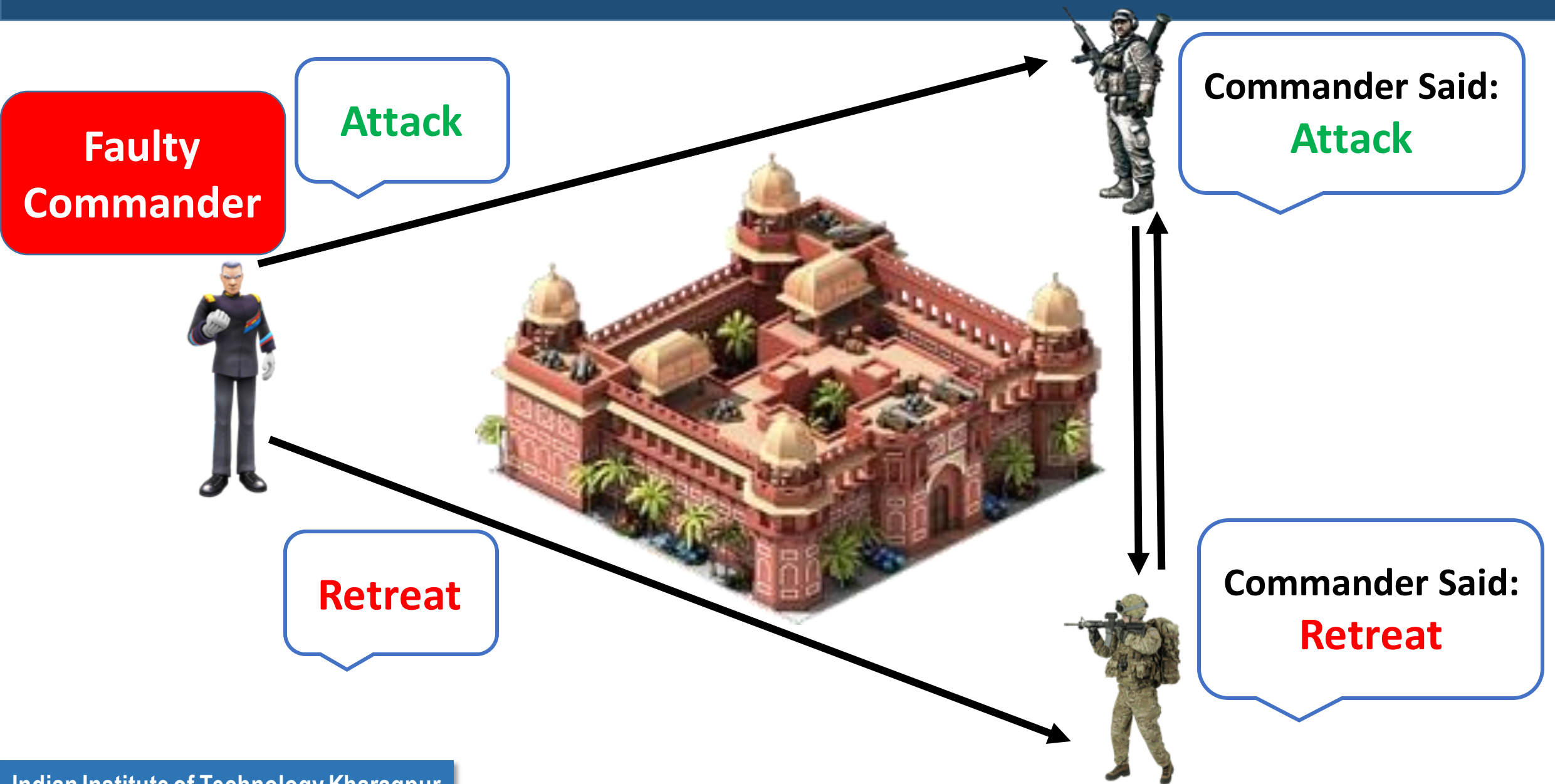
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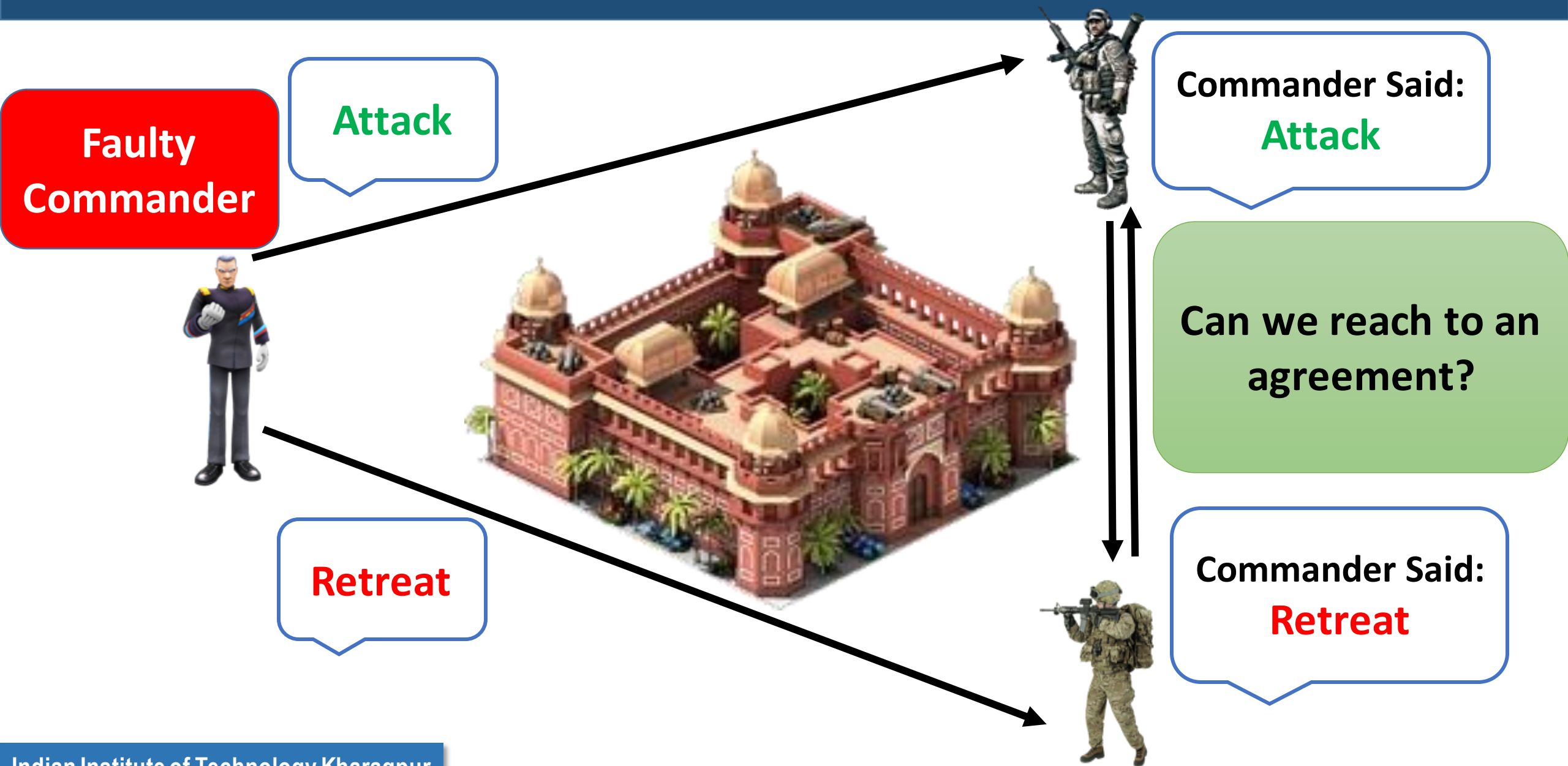
Byzantine Generals Problem



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Byzantine Generals Problem

Good
Commander

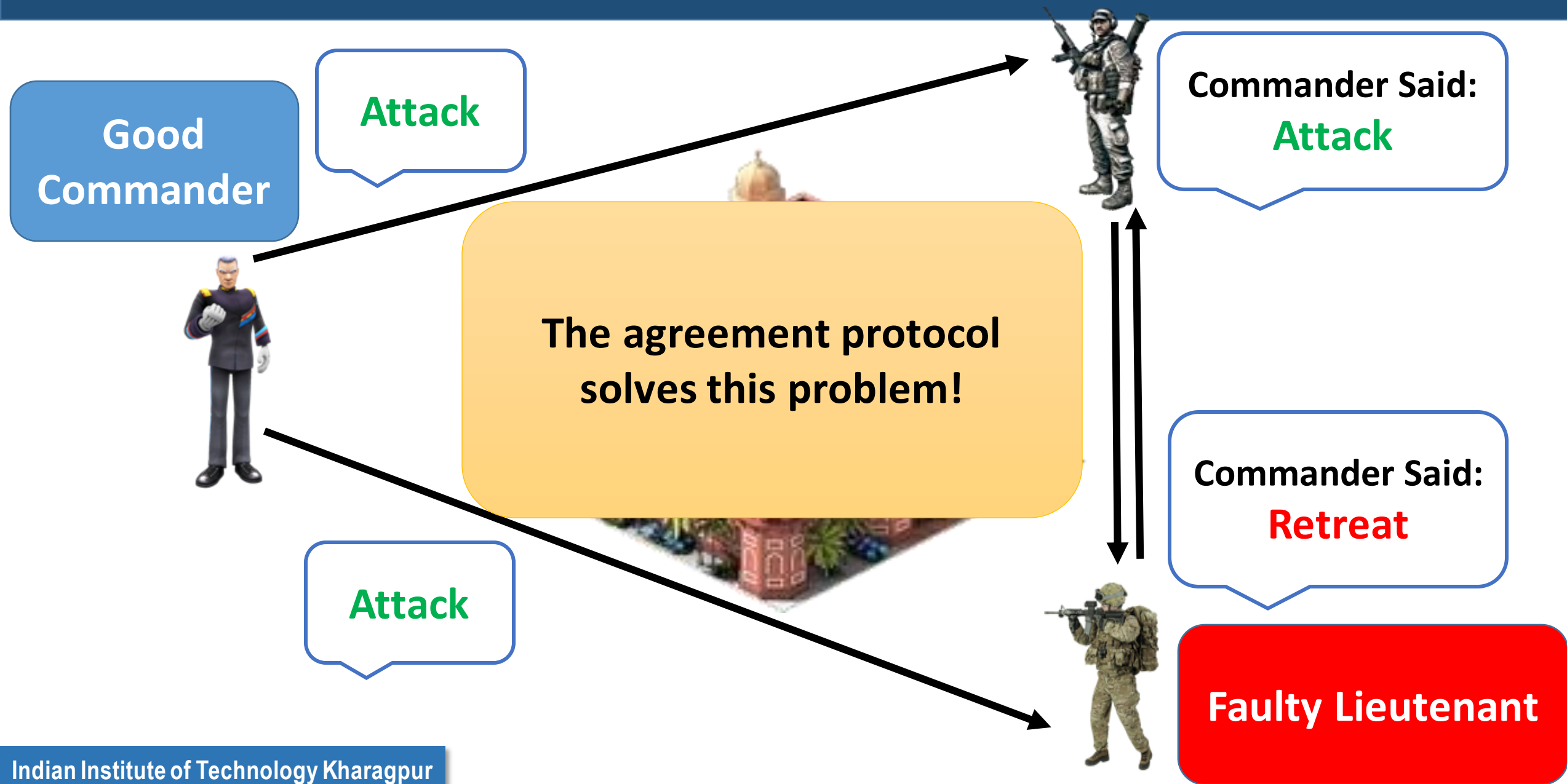
Attack



Attack



Byzantine Generals Problem



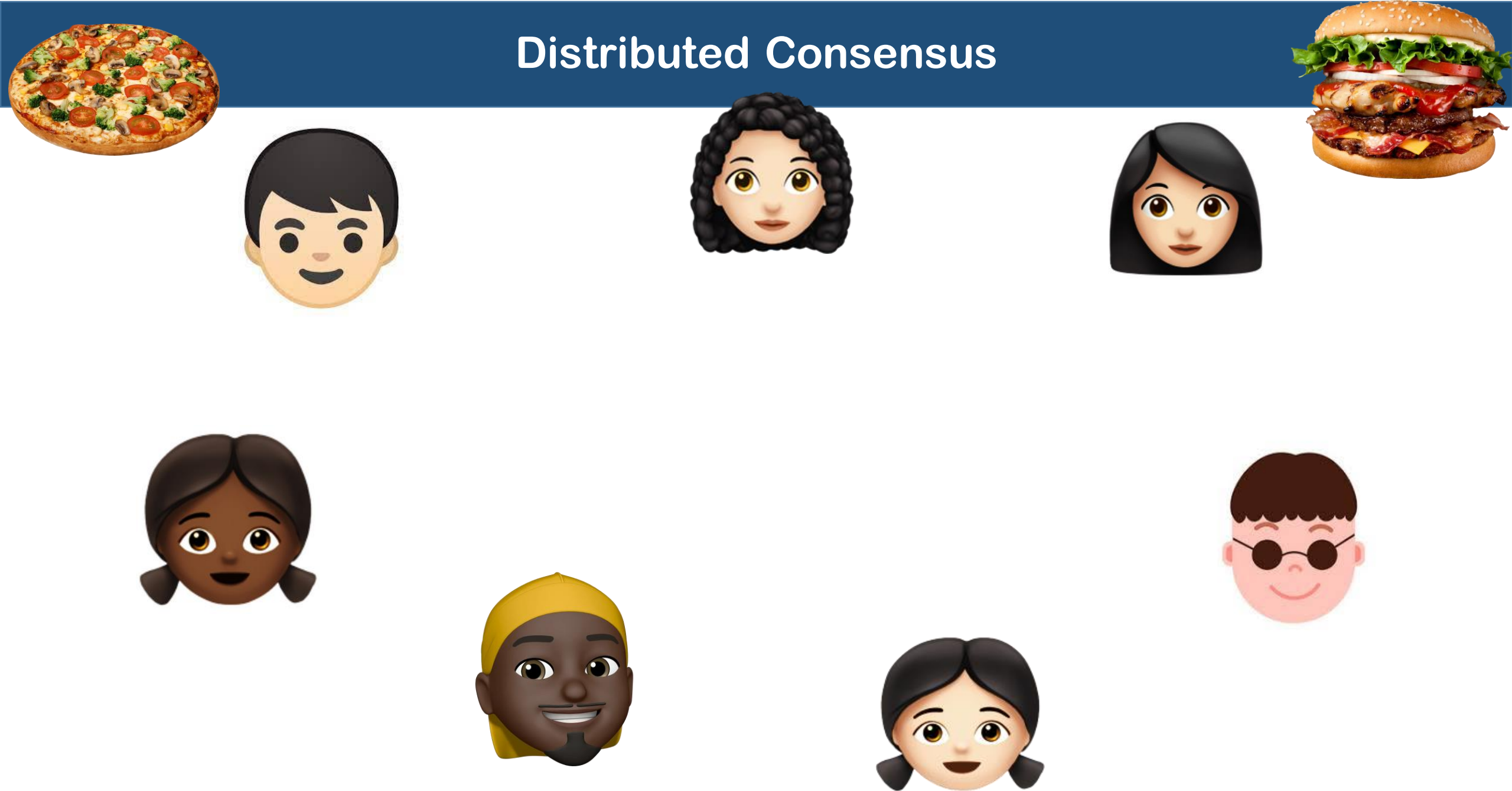
Consensus

- Each process broadcast its initial value
 - Satisfy agreement condition
 - If initial value of all non-faulty processes is v , then the agreed upon value must be v

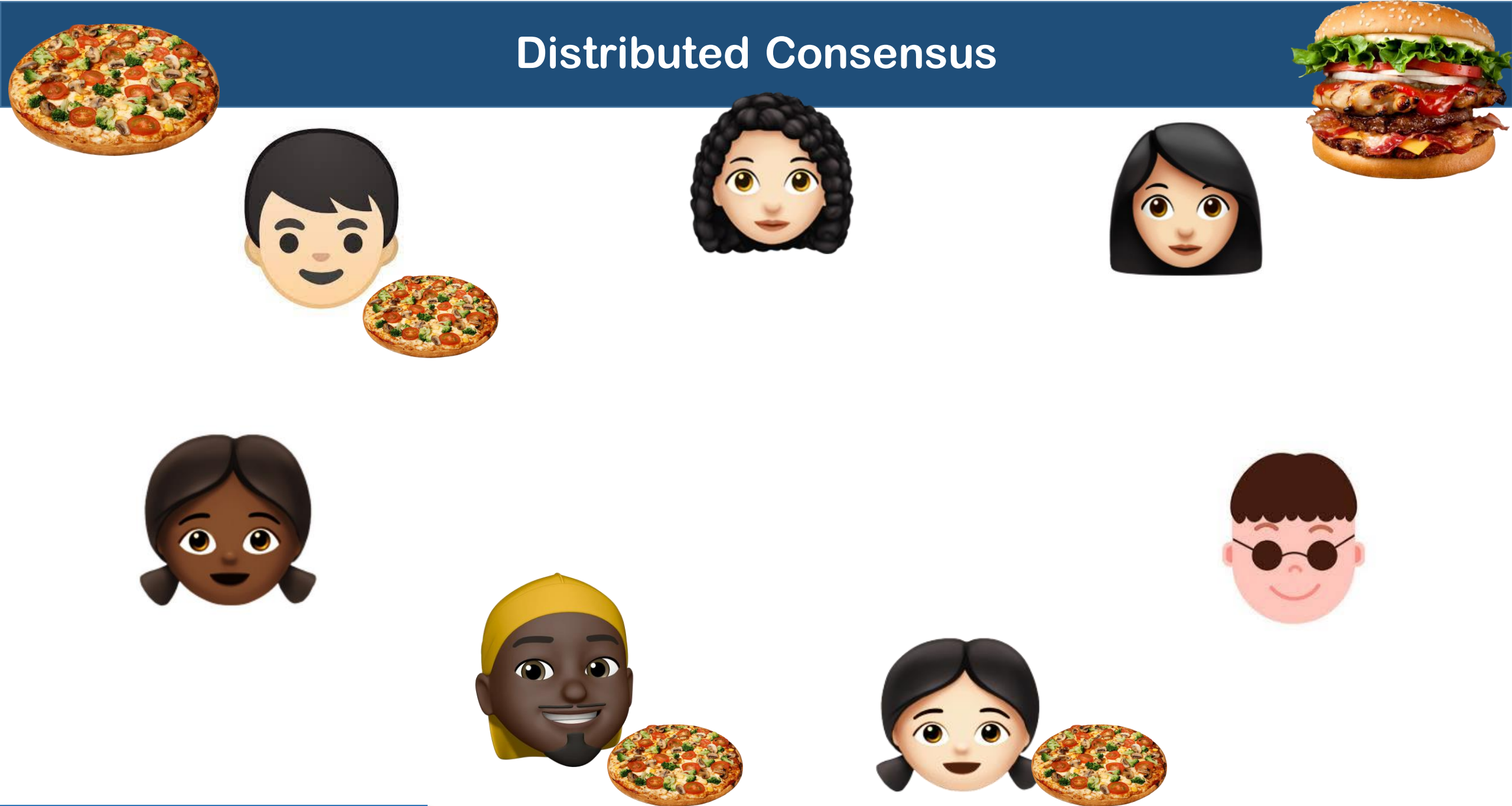
Distributed Consensus



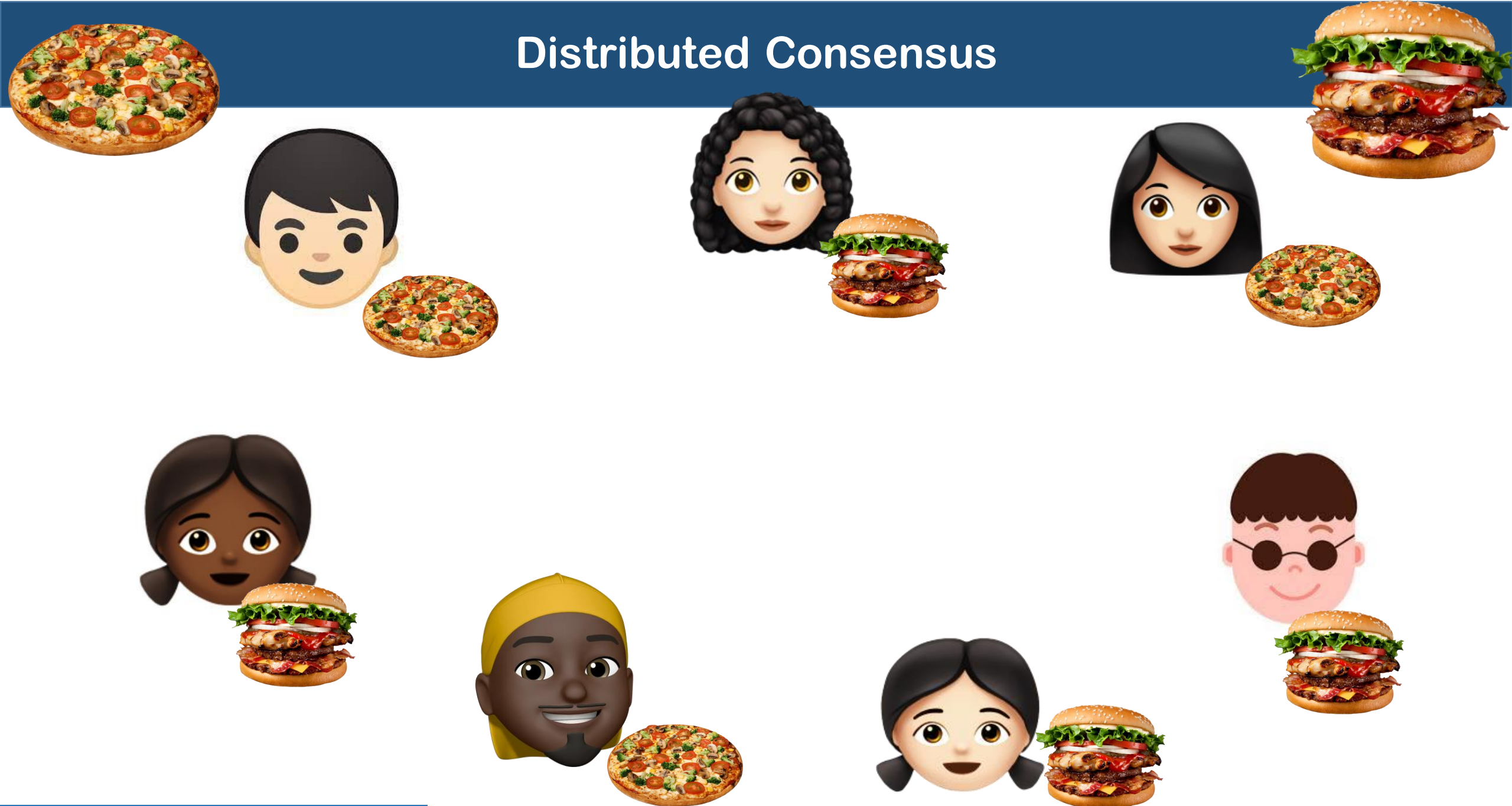
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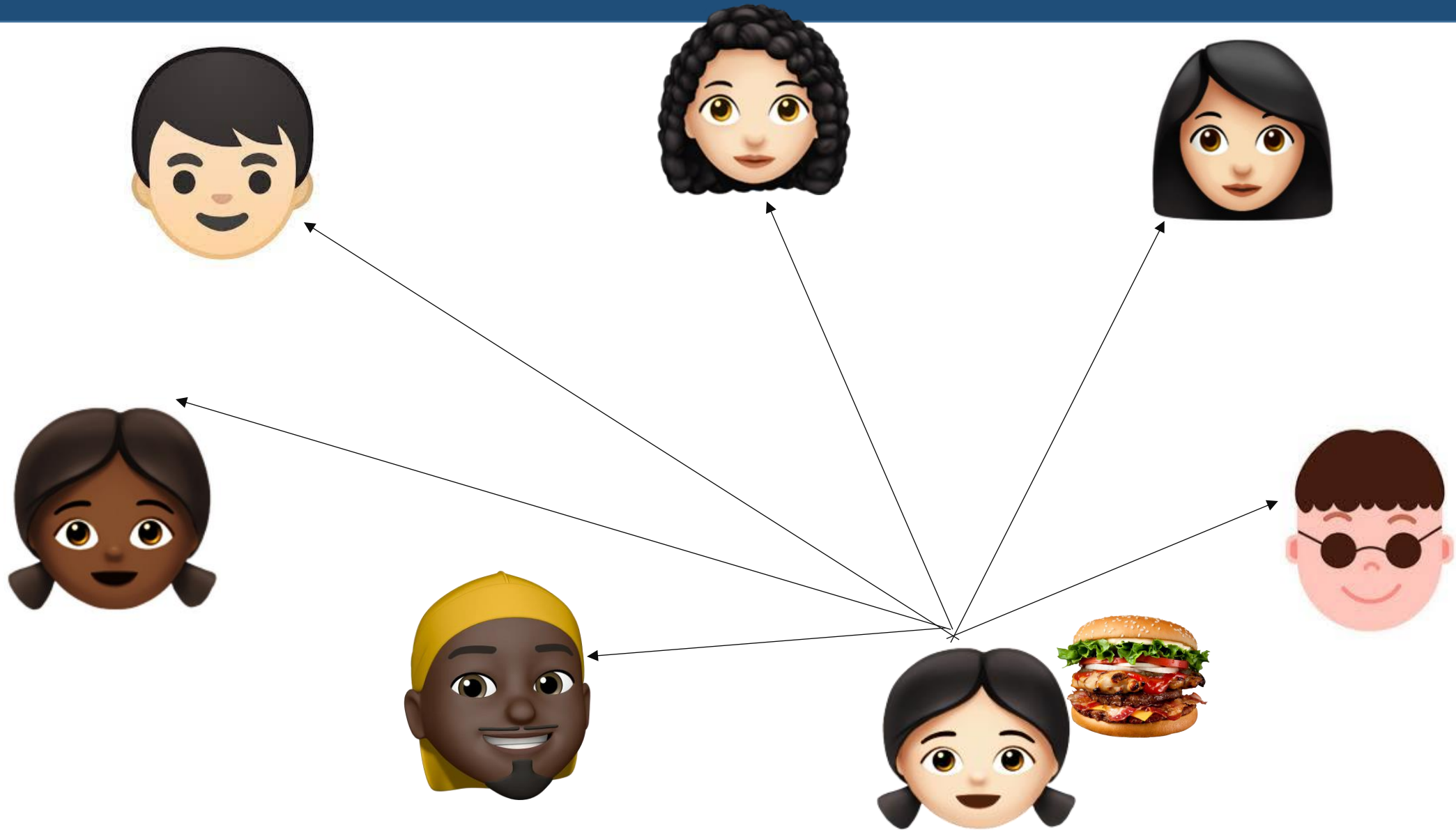
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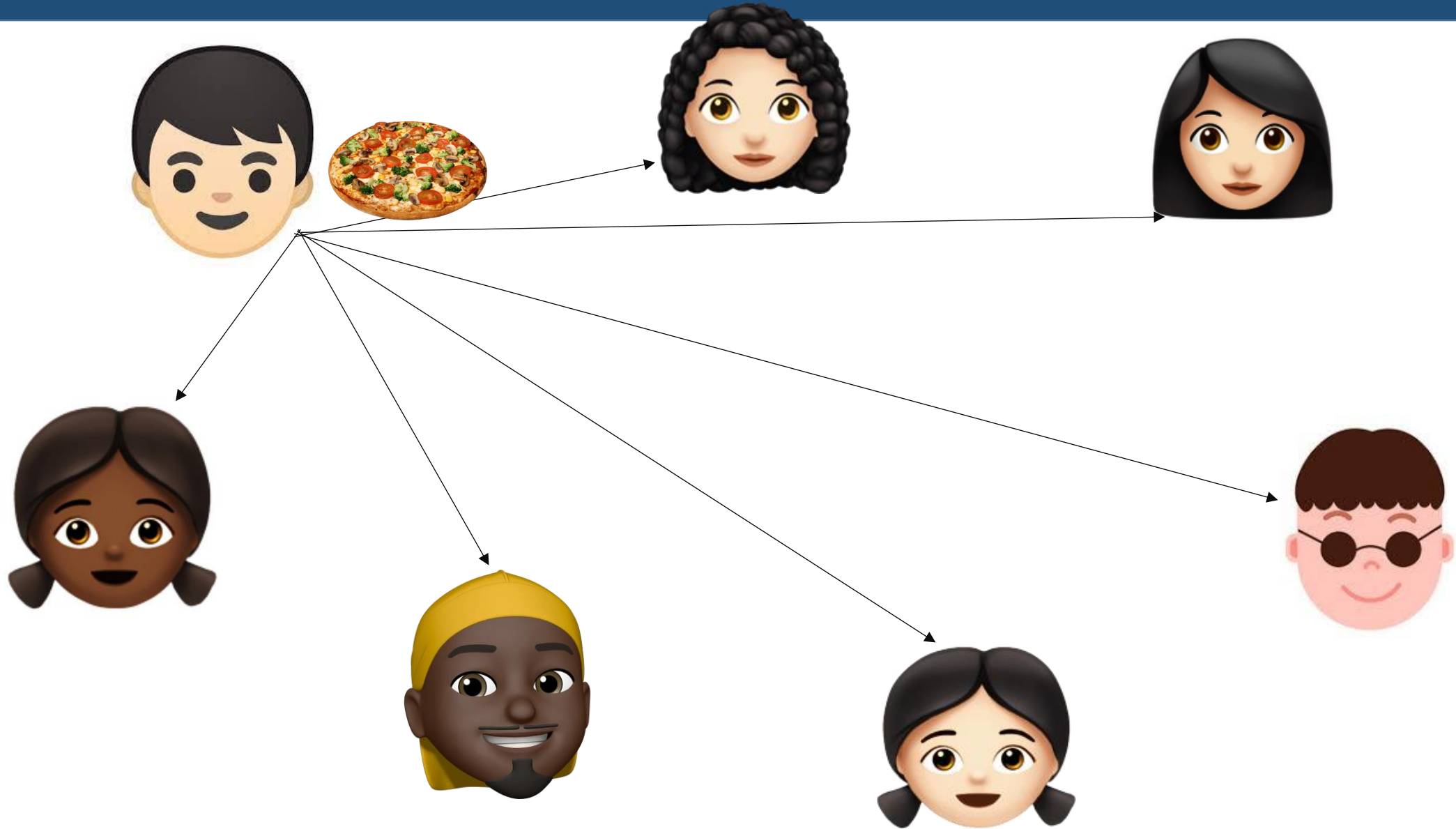
How can we make this
decision in a distributed
way?



Distributed Consensus – Message Passing



Distributed Consensus – Message Passing



Distributed Consensus – Message Passing



3
4



3
4



3
4

Count votes and decide!



3
4



3
4

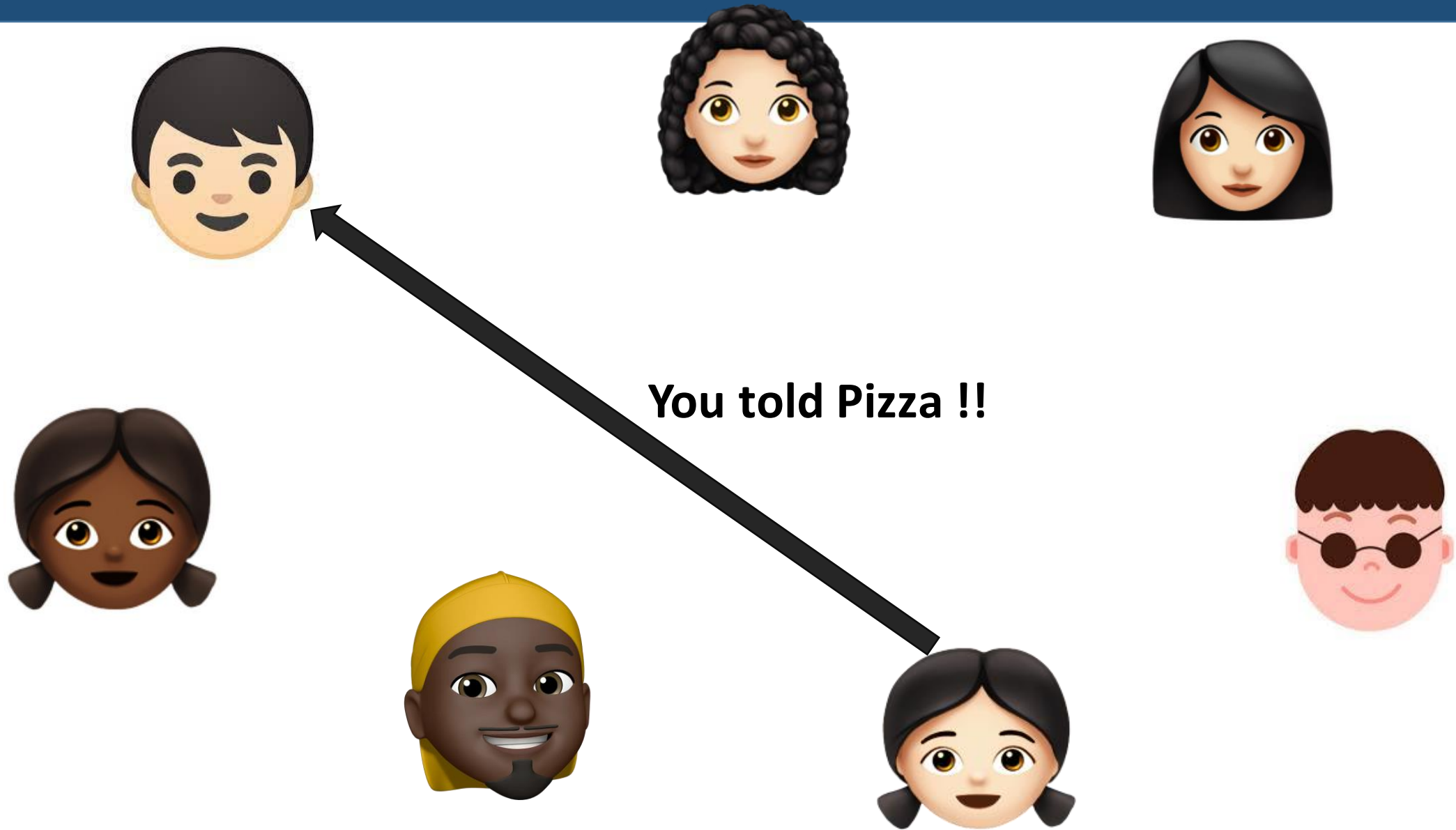


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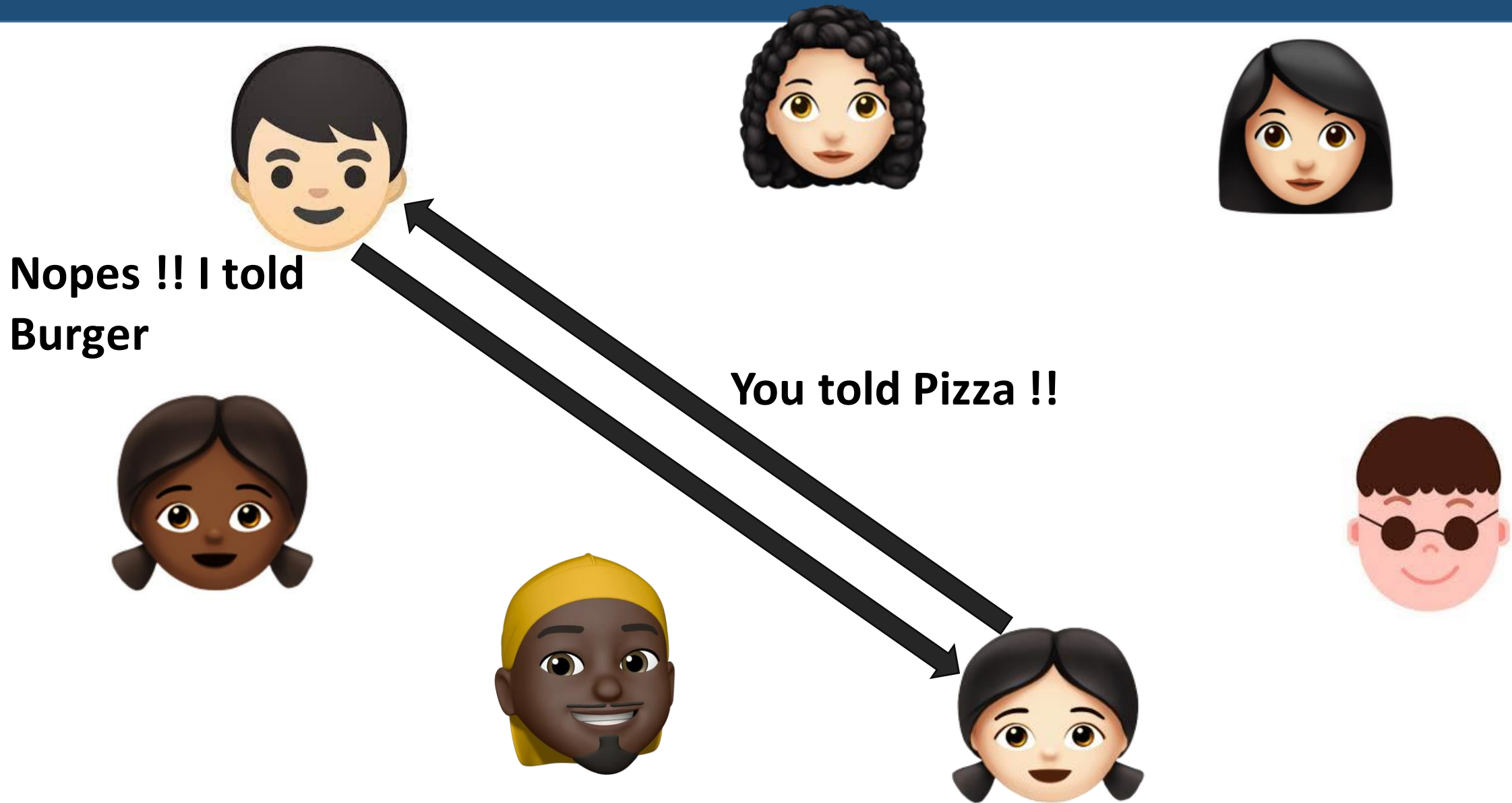


3
4

Distributed Consensus – Message Passing



Distributed Consensus – Message Passing



Interactive Consistency

- Each process i broadcasts its own value v_i
 - All non-faulty processes agree on a common vector $\{v_1, v_2, \dots, v_n\}$
 - If i^{th} process is non-faulty, then the i^{th} value in the vector agreed upon by non-faulty processes must be v_i

Distributed Consensus

- 1985: FLP Impossibility Theorem – Fischer, Lynch, Paterson
 - Consensus is impossible in a fully asynchronous system even with a single crash fault

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**Correct processes will
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Correct processes will
yield the correct output

Two thick black arrows originate from the bottom text blocks and point towards the words 'Safety' and 'Liveness' in the list above. One arrow points from 'Correct processes will yield the correct output' to 'Safety', and the other points from 'The output will be produced within a finite amount of time (eventual termination)' to 'Liveness'.

The output will be
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- 1998: Paxos got published in ACM Transactions on Computer Systems

Distributed Consensus

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Distributed Consensus

- 2001: FLP Impossibility paper wins Dijkstra Prize
 - People starts talking about Distributed Systems
- 2009: Zookeeper released
 - Service for managing distributed applications
- 2010's onward: Different types of consensus algorithms released
 - Multi-Paxos
 - Raft
 - Byzantine Fault Tolerance
 - PBFT
 - ...

Another Interesting Impossibility Result

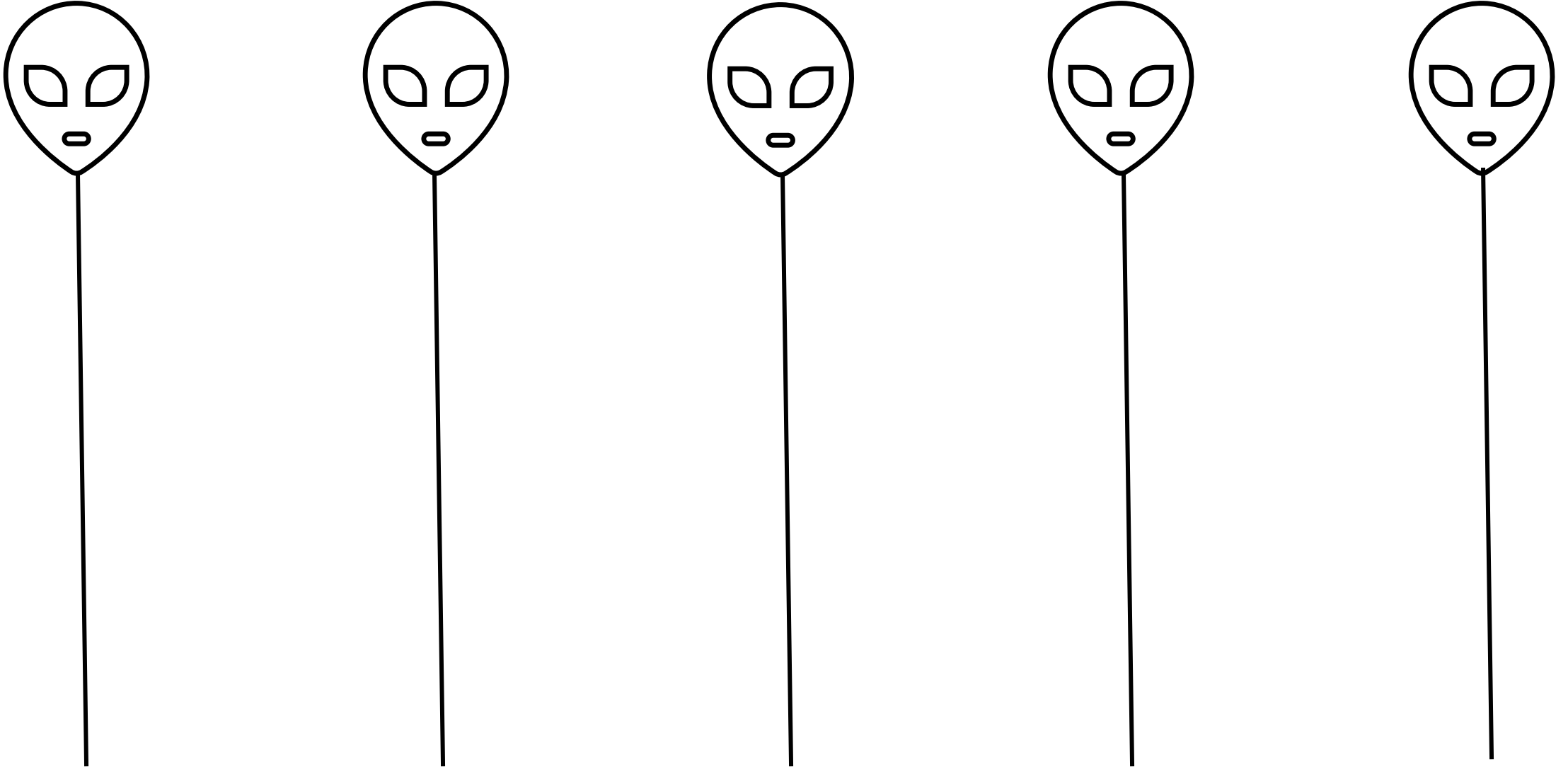
- [Santoro and Widmayer, 1989] **Even in a synchronous model, consensus is not possible even with a single link failure.**

Santoro, Nicola, and Peter Widmayer. "Time is not a healer." *Annual Symposium on Theoretical Aspects of Computer Science*. Springer, Berlin, Heidelberg, 1989.

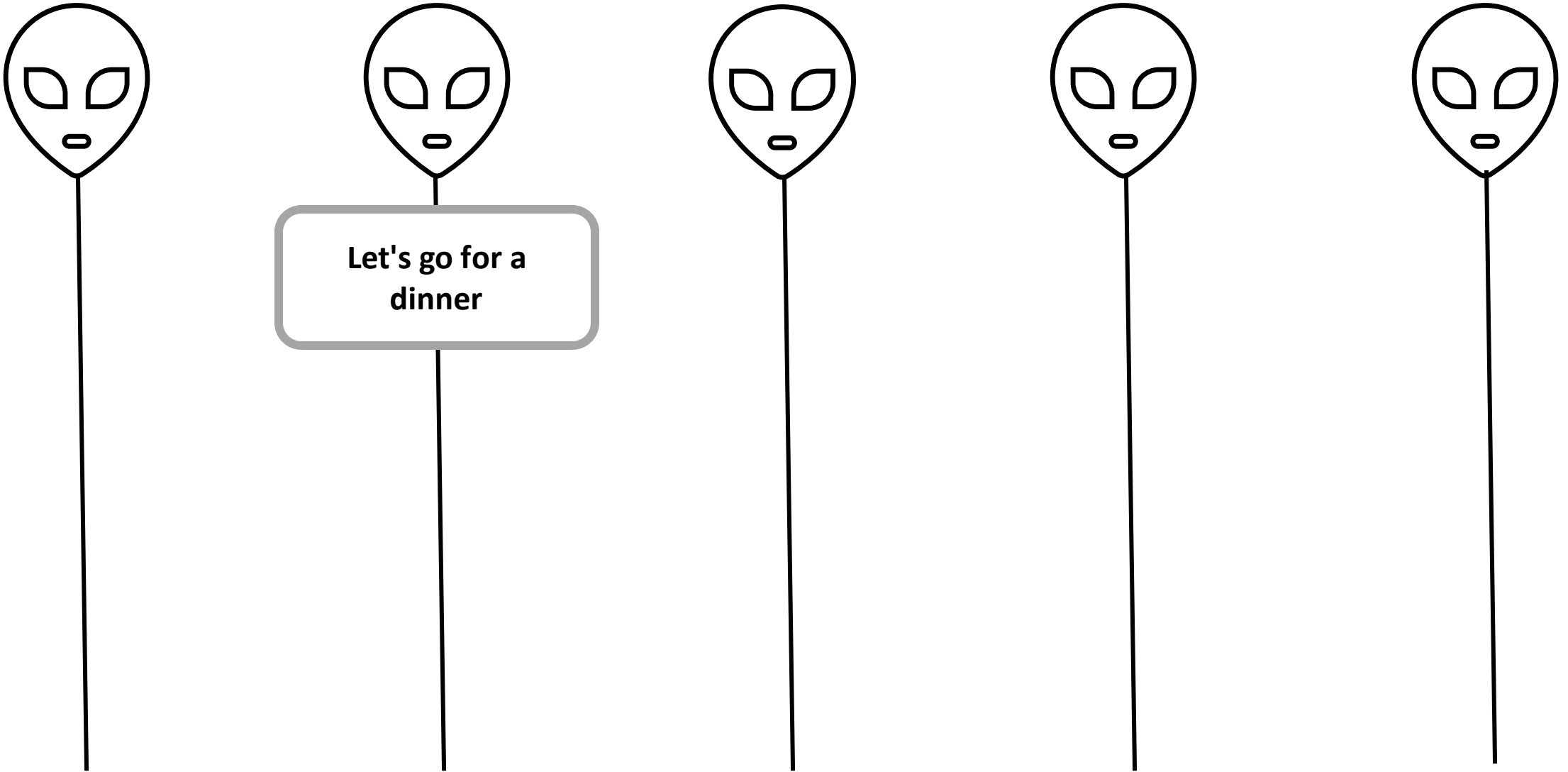
Asynchronous Consensus with Crash Faults

- Remember the **FLP Impossibility**
 - Give priority to safety over liveness
- Guarantees the followings --
 - **Validity**: If all correct process proposes the same value v , then any correct process decides v
 - **Agreement**: No two correct processes decide differently
 - **Termination**: Every correct process eventually decides

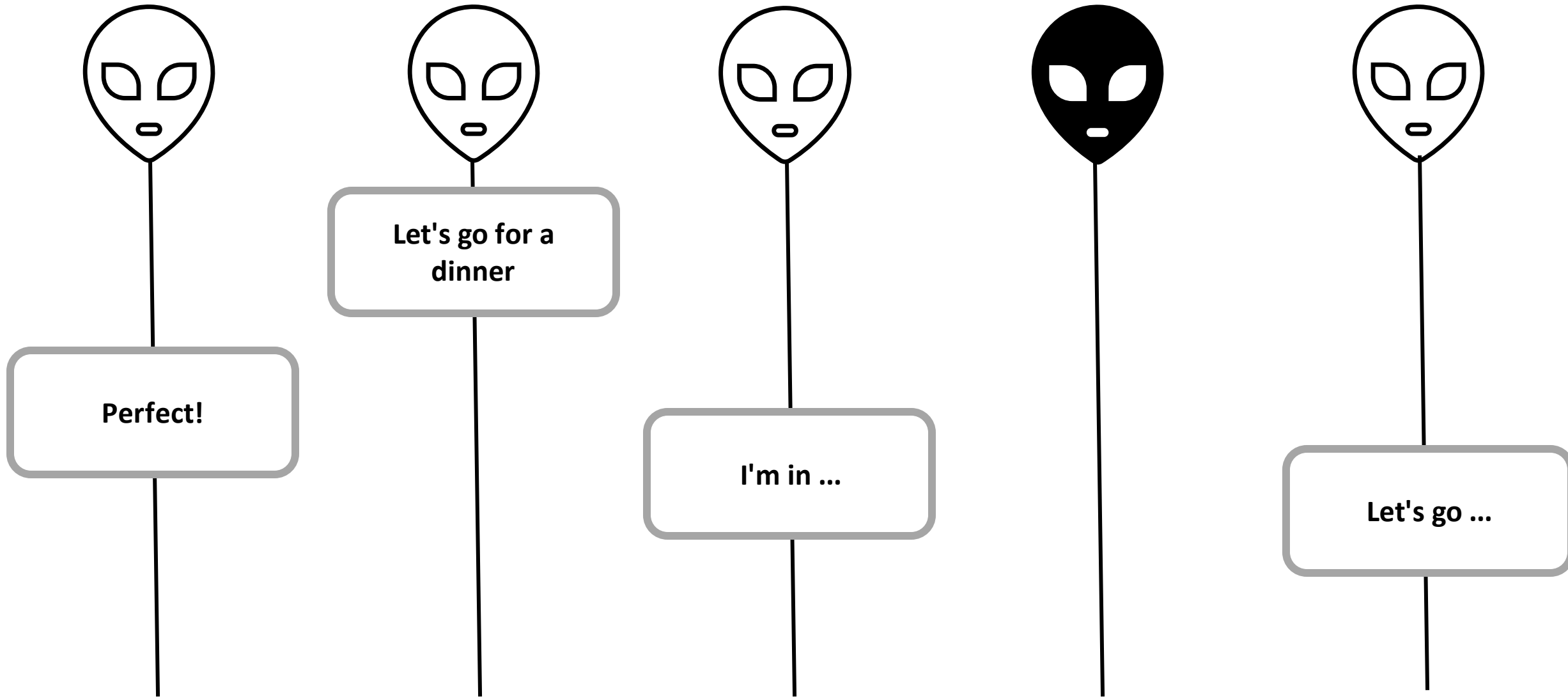
CFT Consensus in a Synchronous System



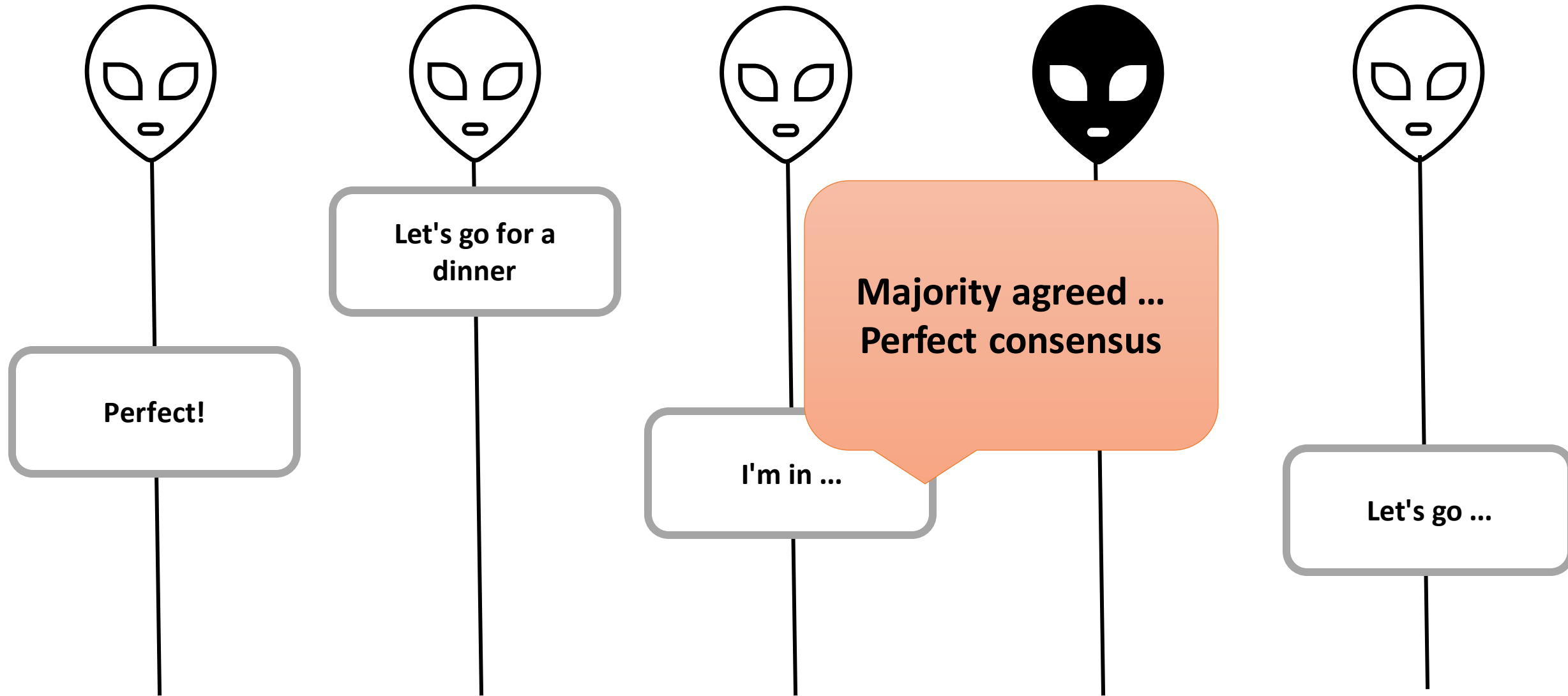
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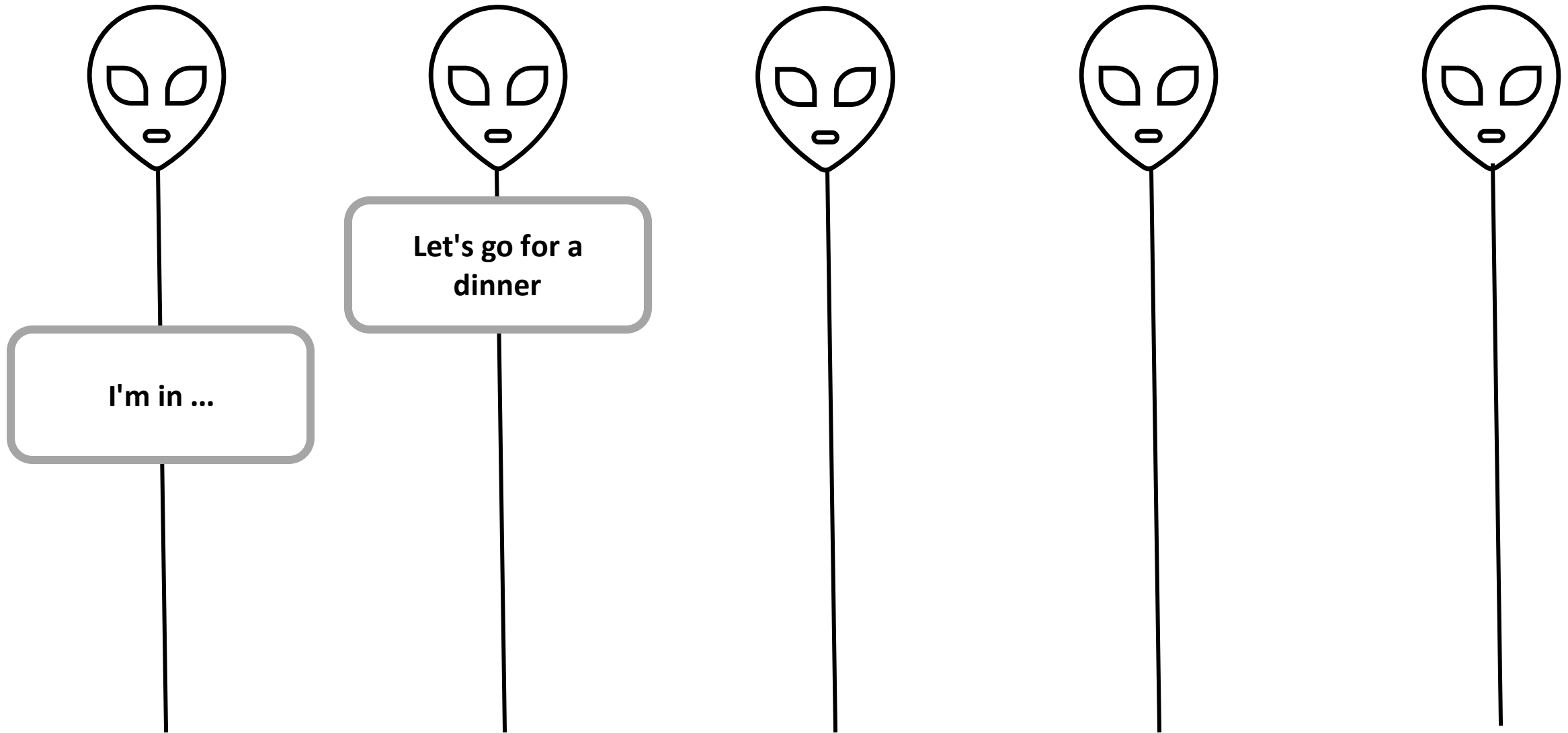
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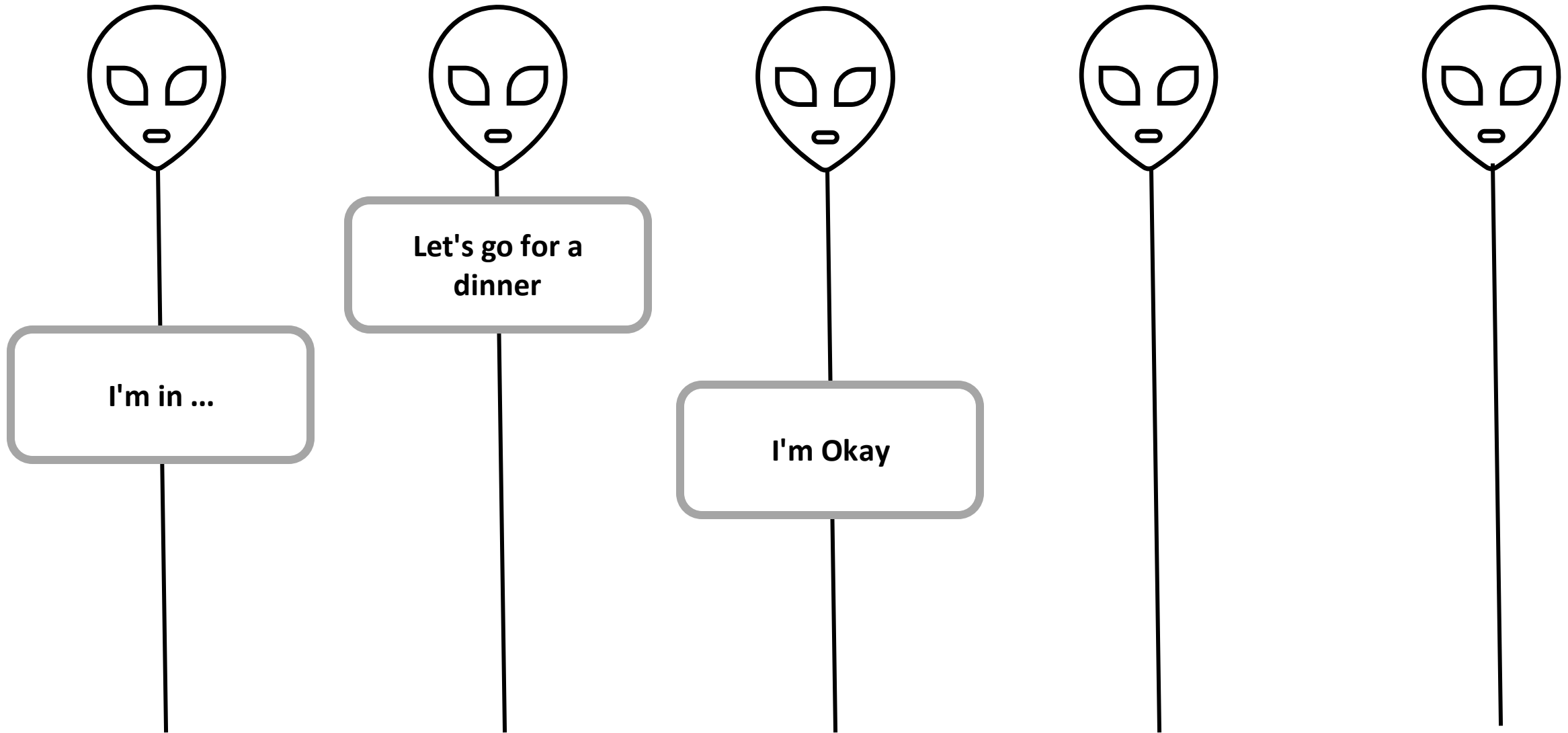
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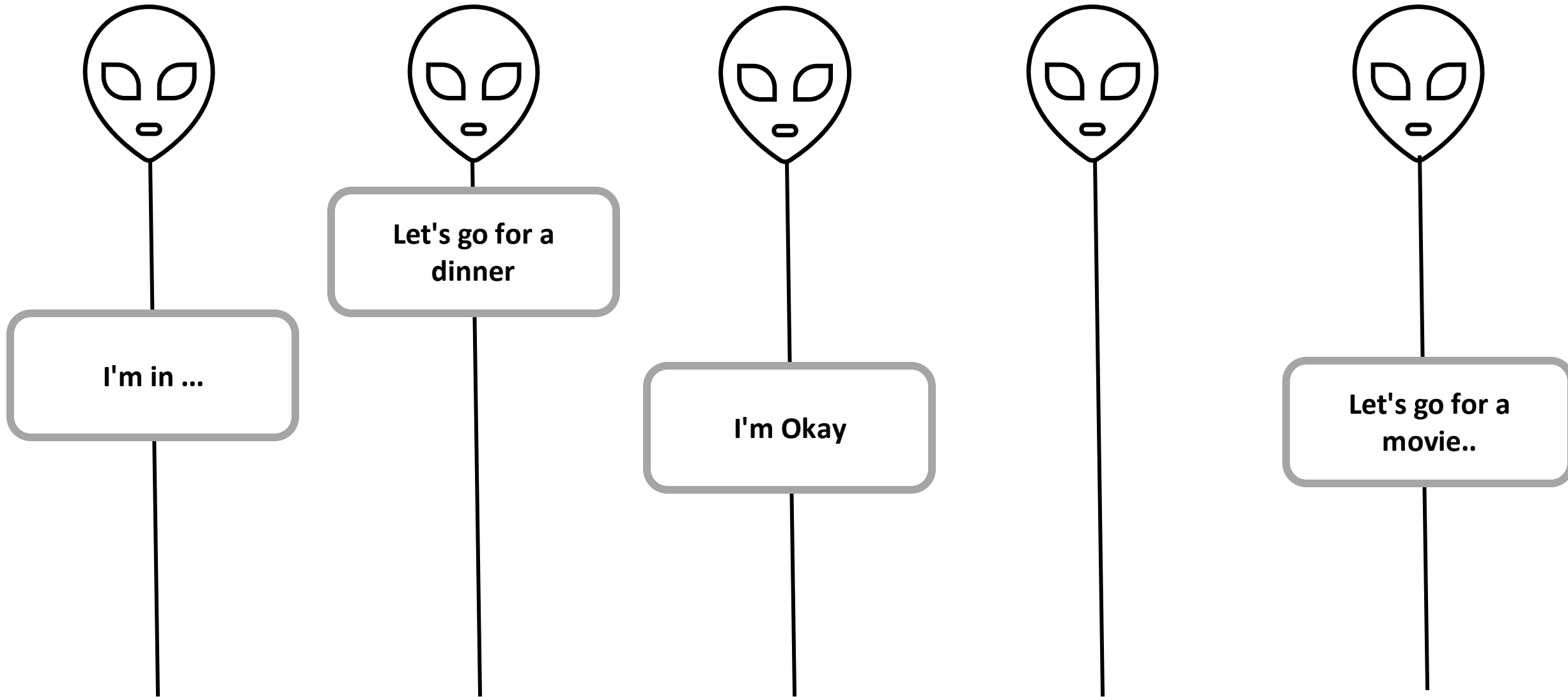
CFT Consensus in an Asynchronous System



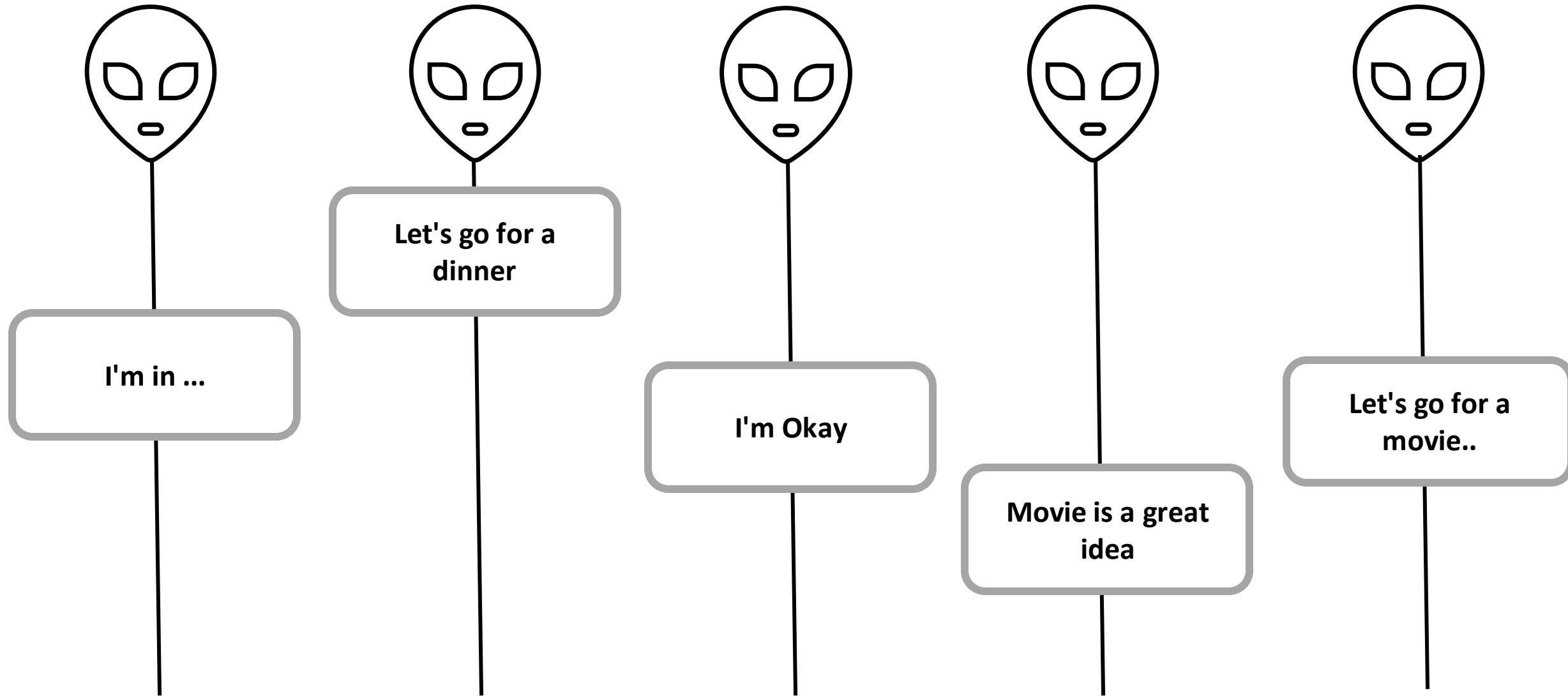
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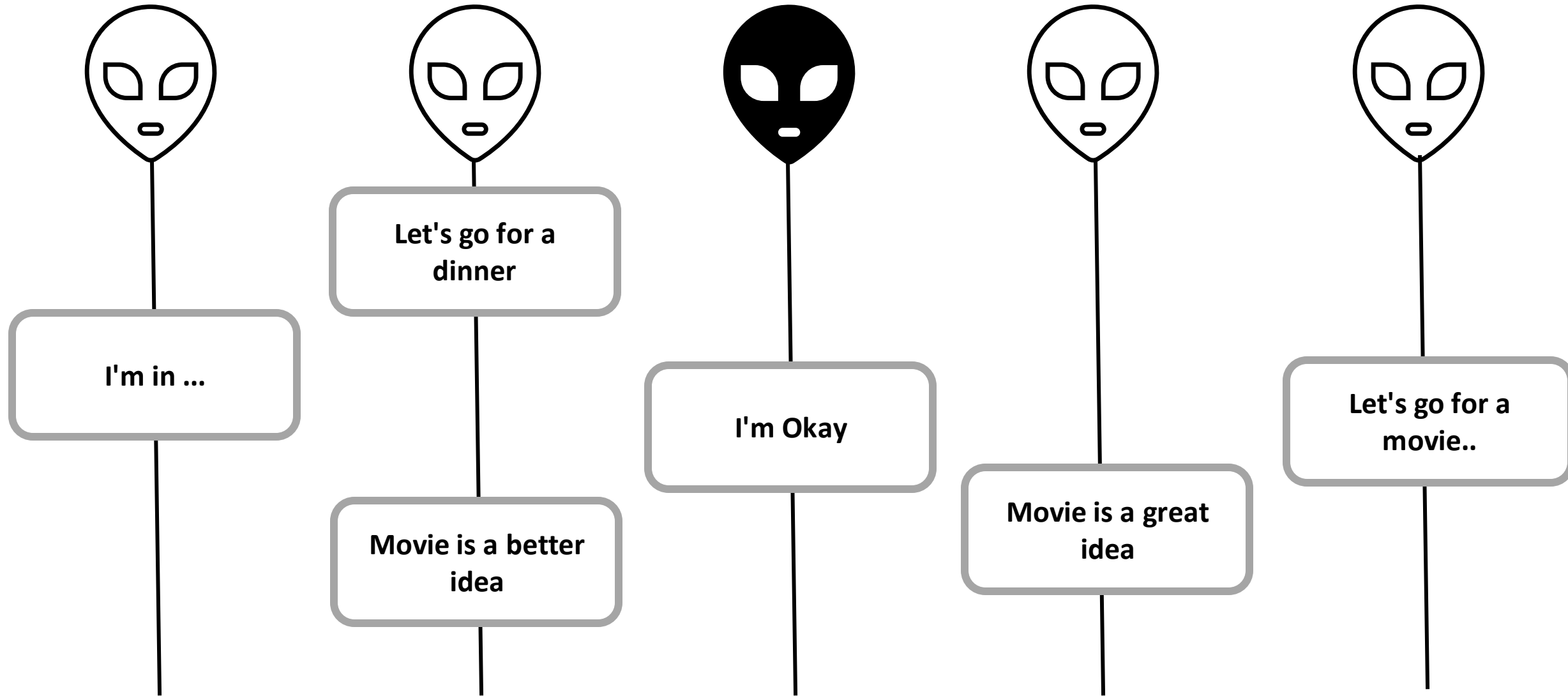
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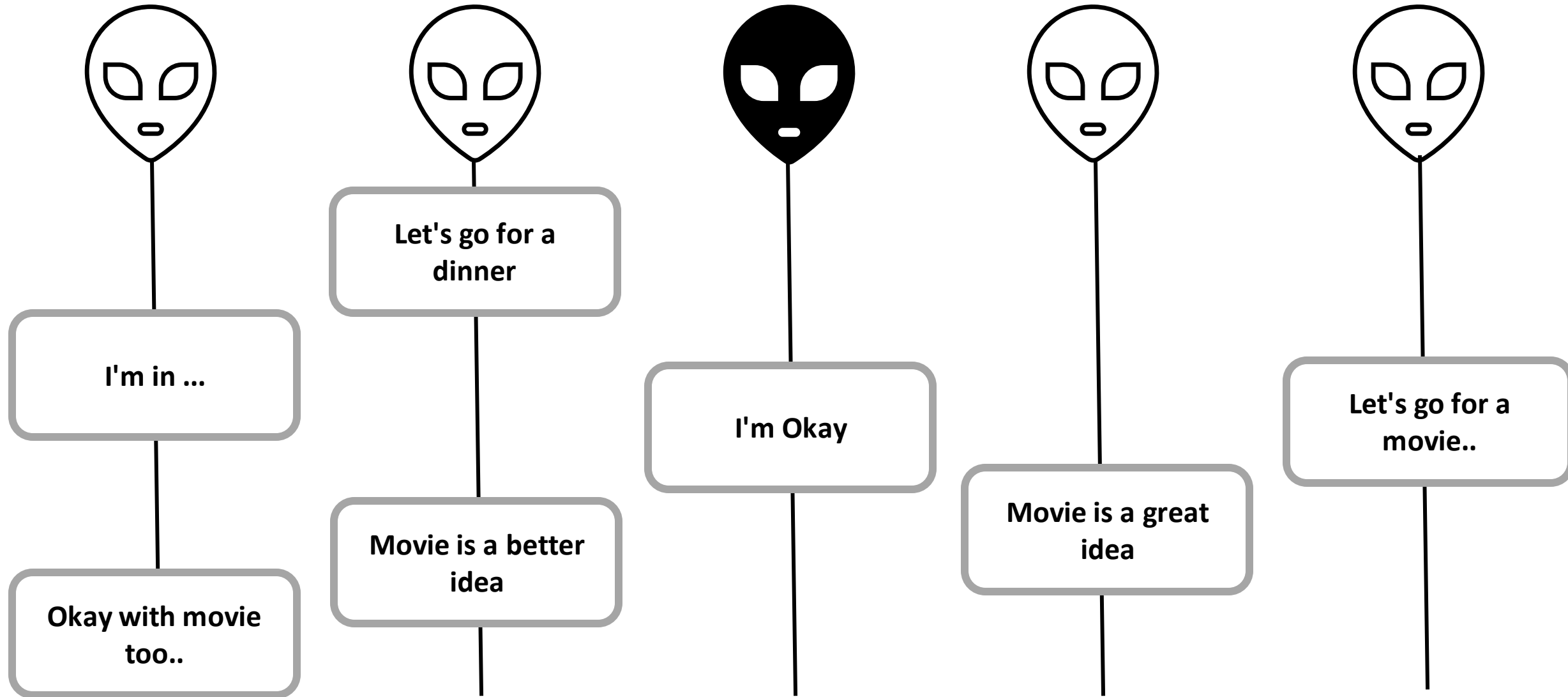
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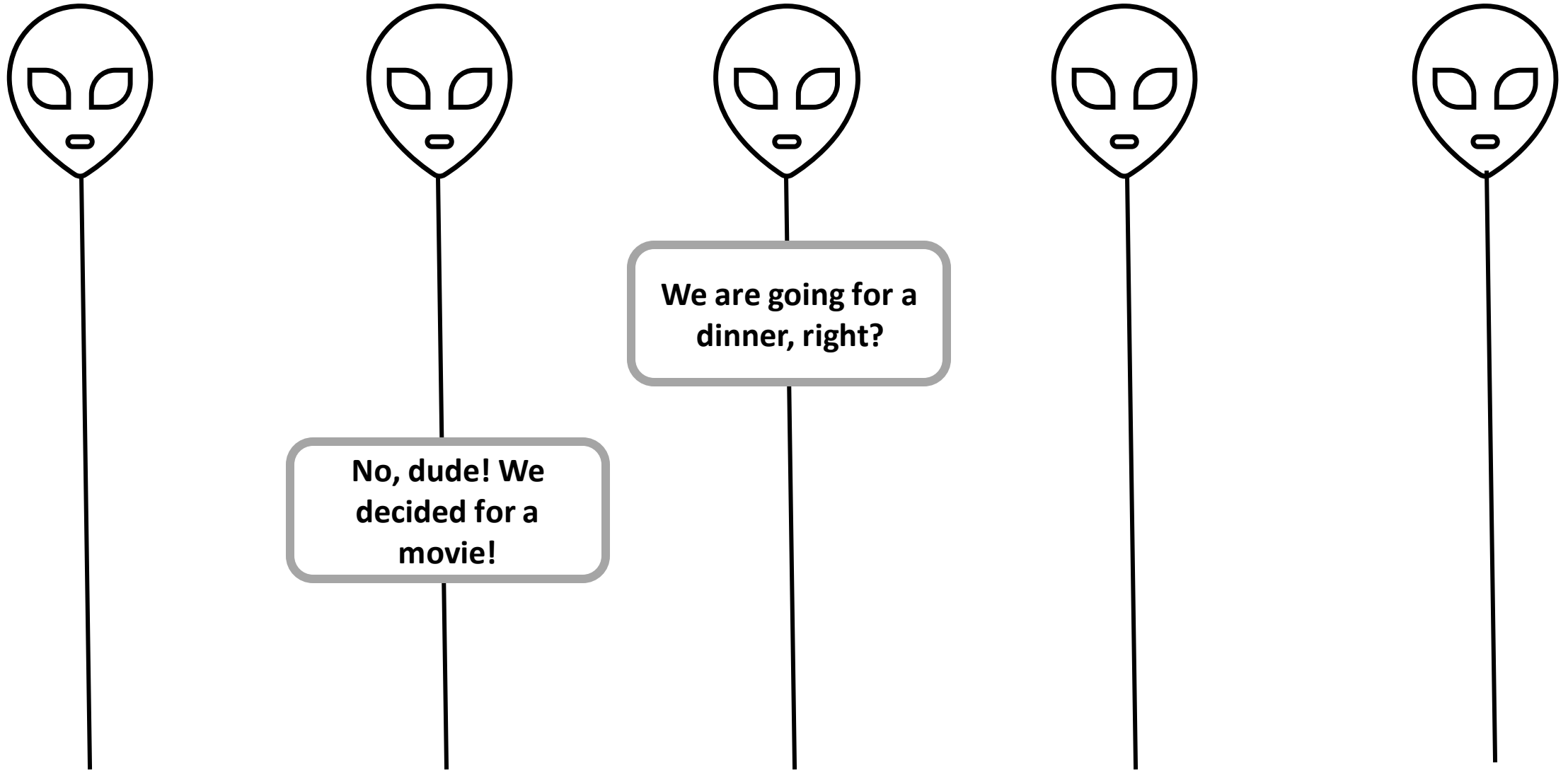
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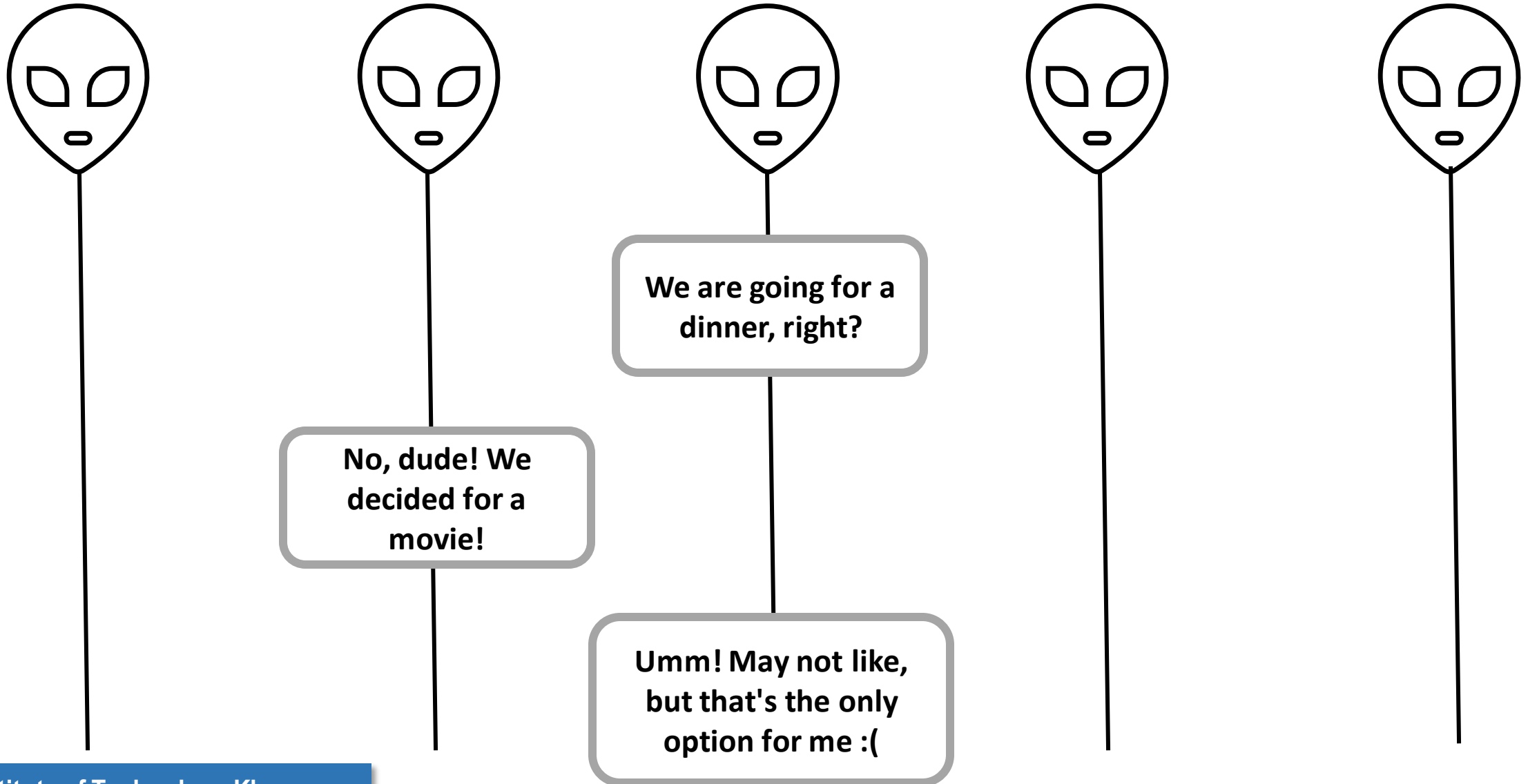
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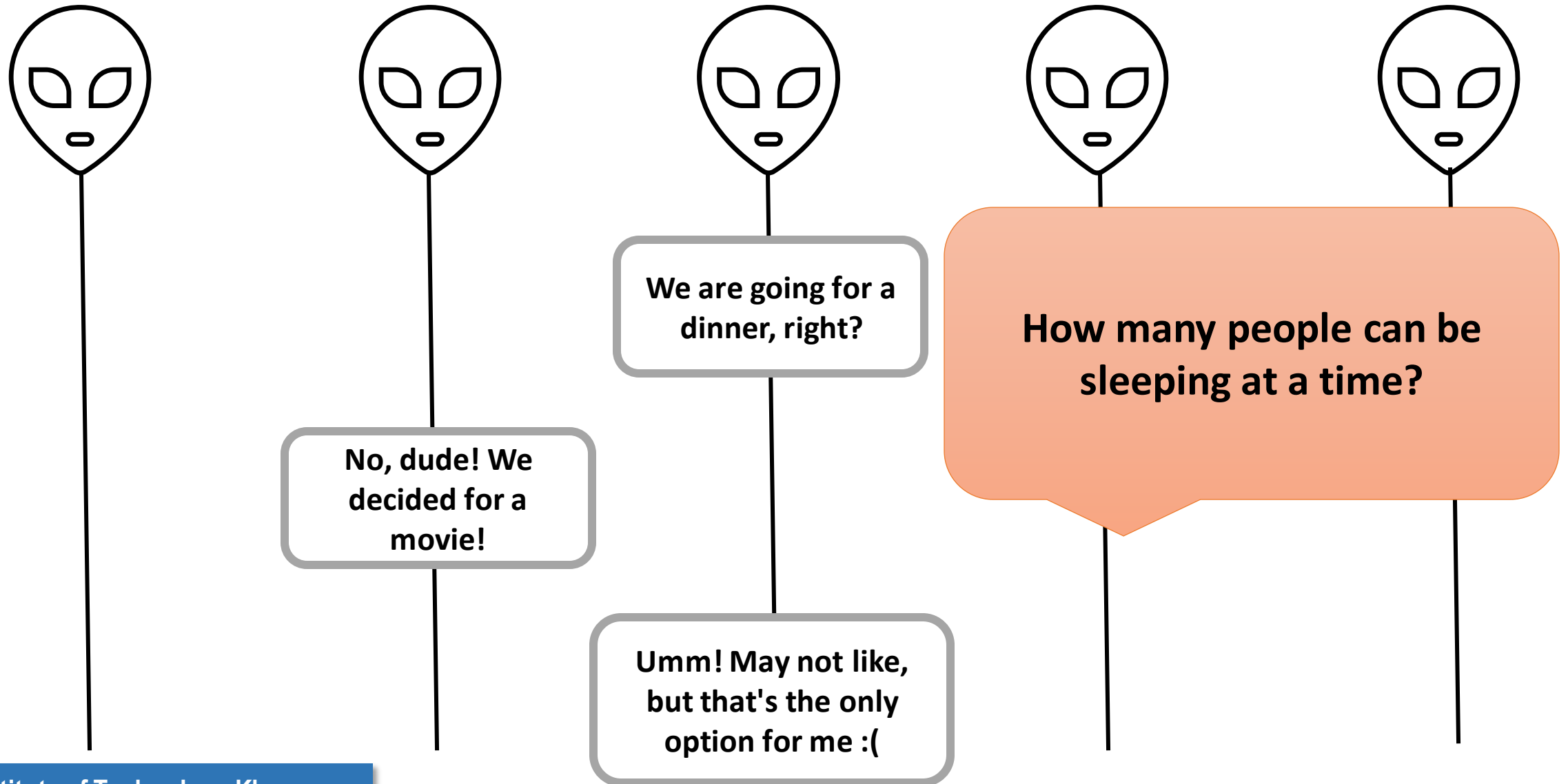
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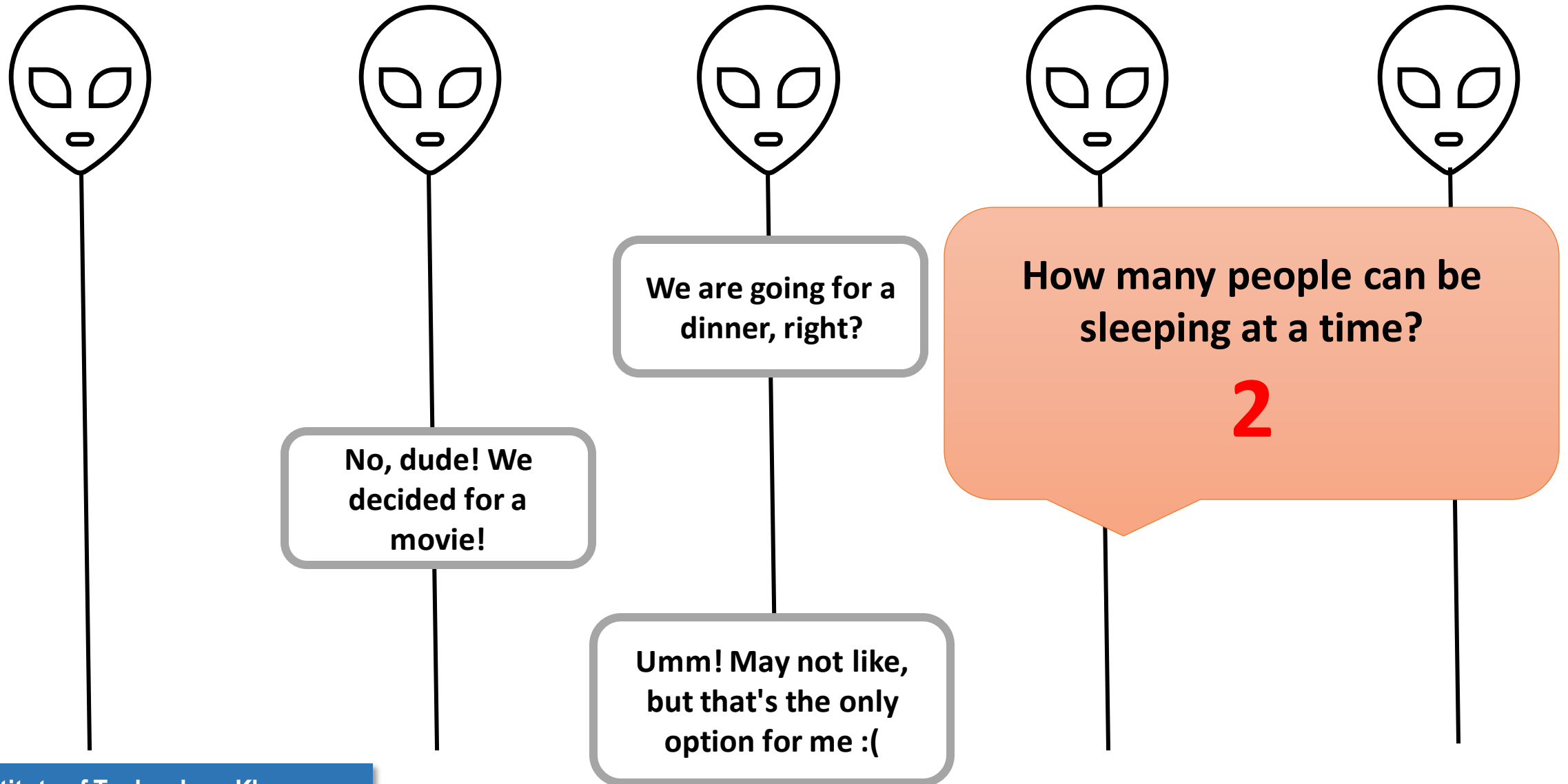
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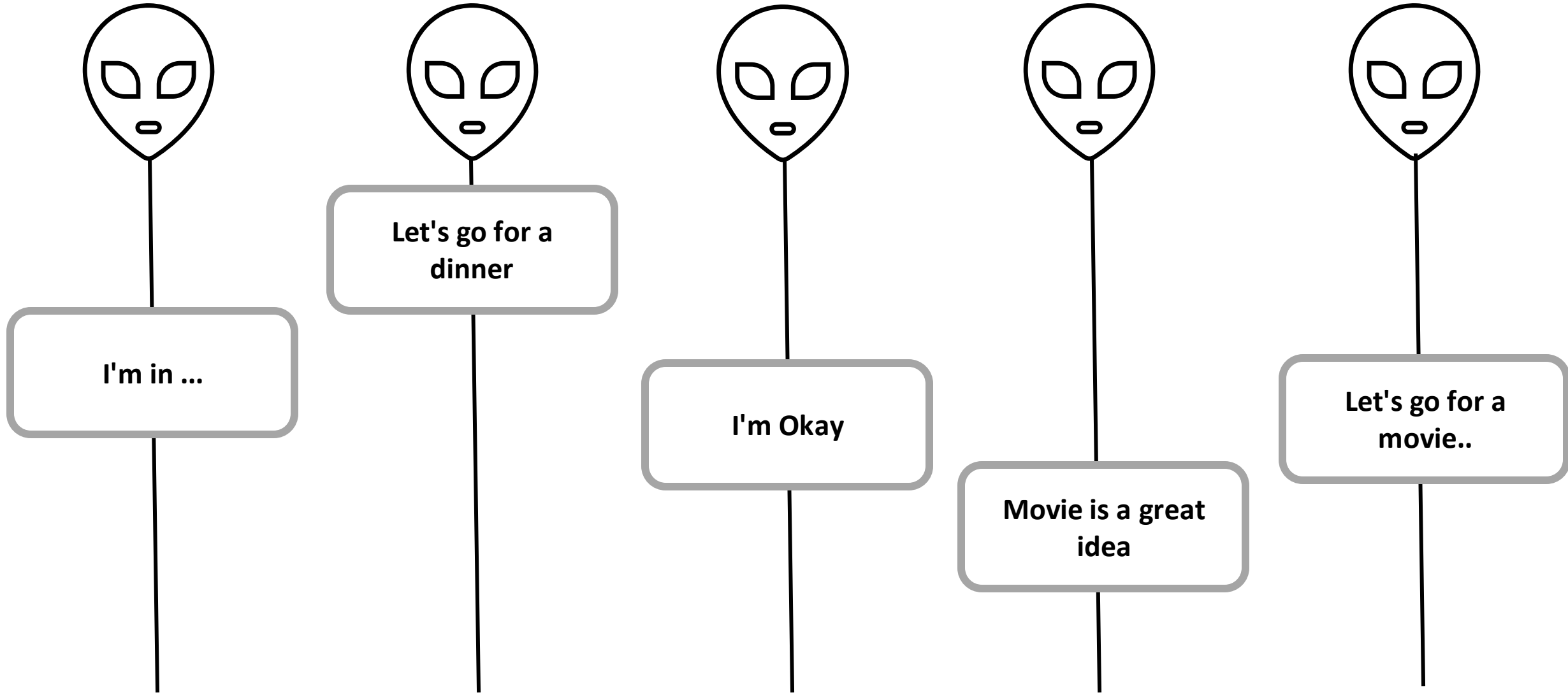
Asynchronous CFT

- If there are F faulty nodes (crash fault), we need at least $2F+1$ nodes to reach consensus
- **Paxos:** A family of distributed algorithms to reach consensus in an asynchronous CFT

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- If there are F faulty nodes (crash fault), we need at least $2F+1$ nodes to reach consensus
- **Paxos:** A family of distributed algorithms to reach consensus in an asynchronous CFT
 - We'll discuss vanilla Paxos
 - Proposed by Lamport in 1989
 - Received a lot of criticism about its proof of correctness
 - Accepted in ACM Transactions on Computer Systems in 1998, titled "*The Part-time Parliament*"
 - Lamport received the Turing award in 2013

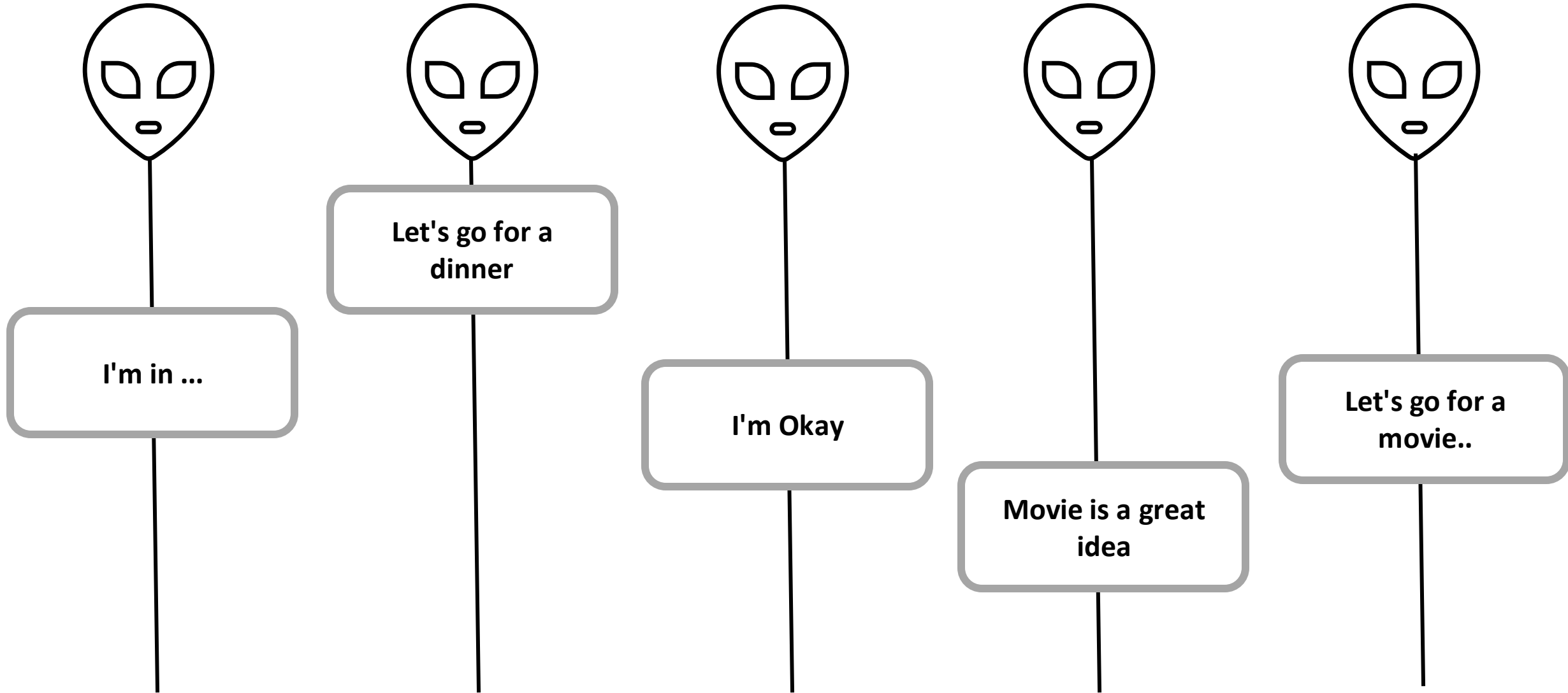
Paxos



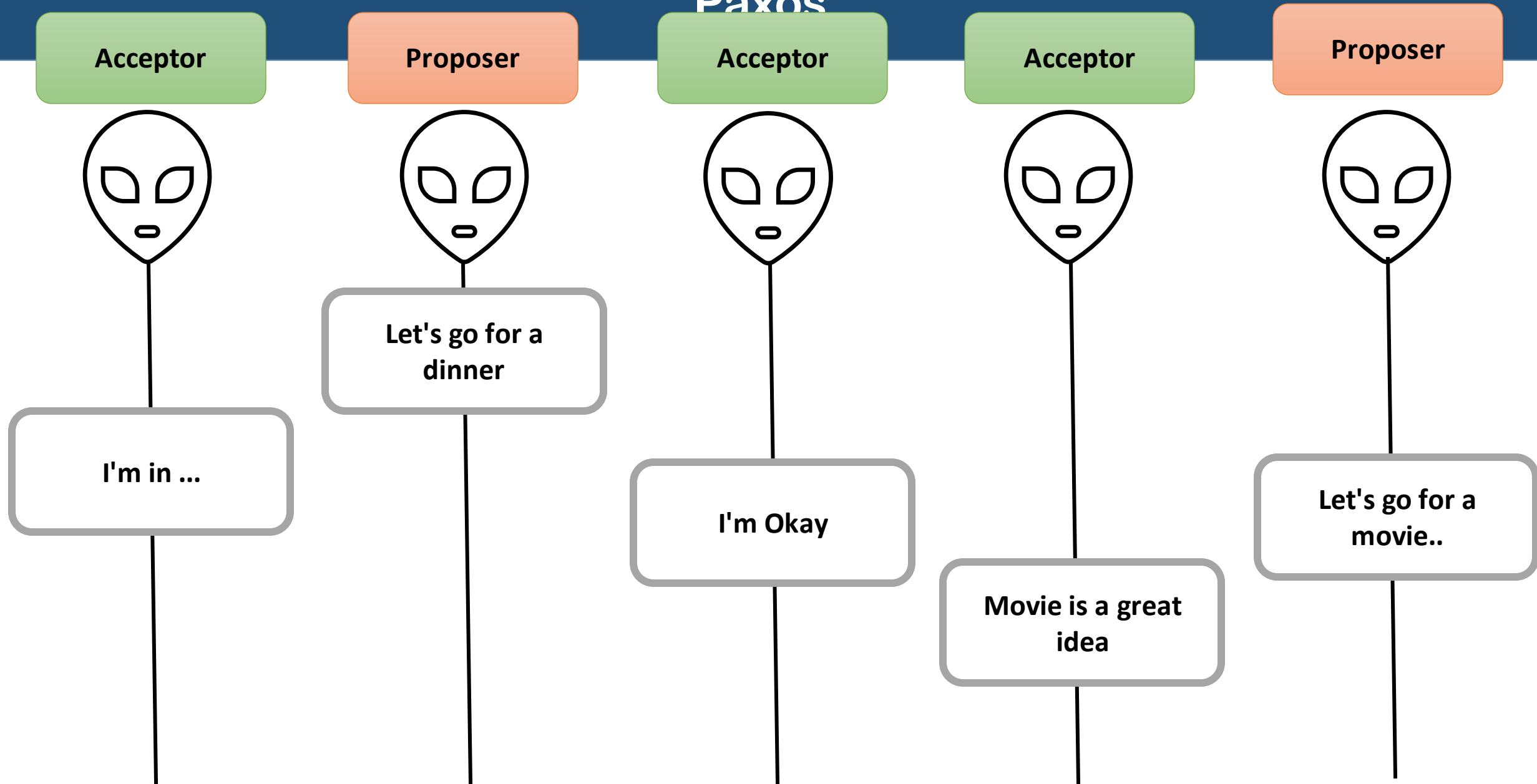
Paxos

Proposer

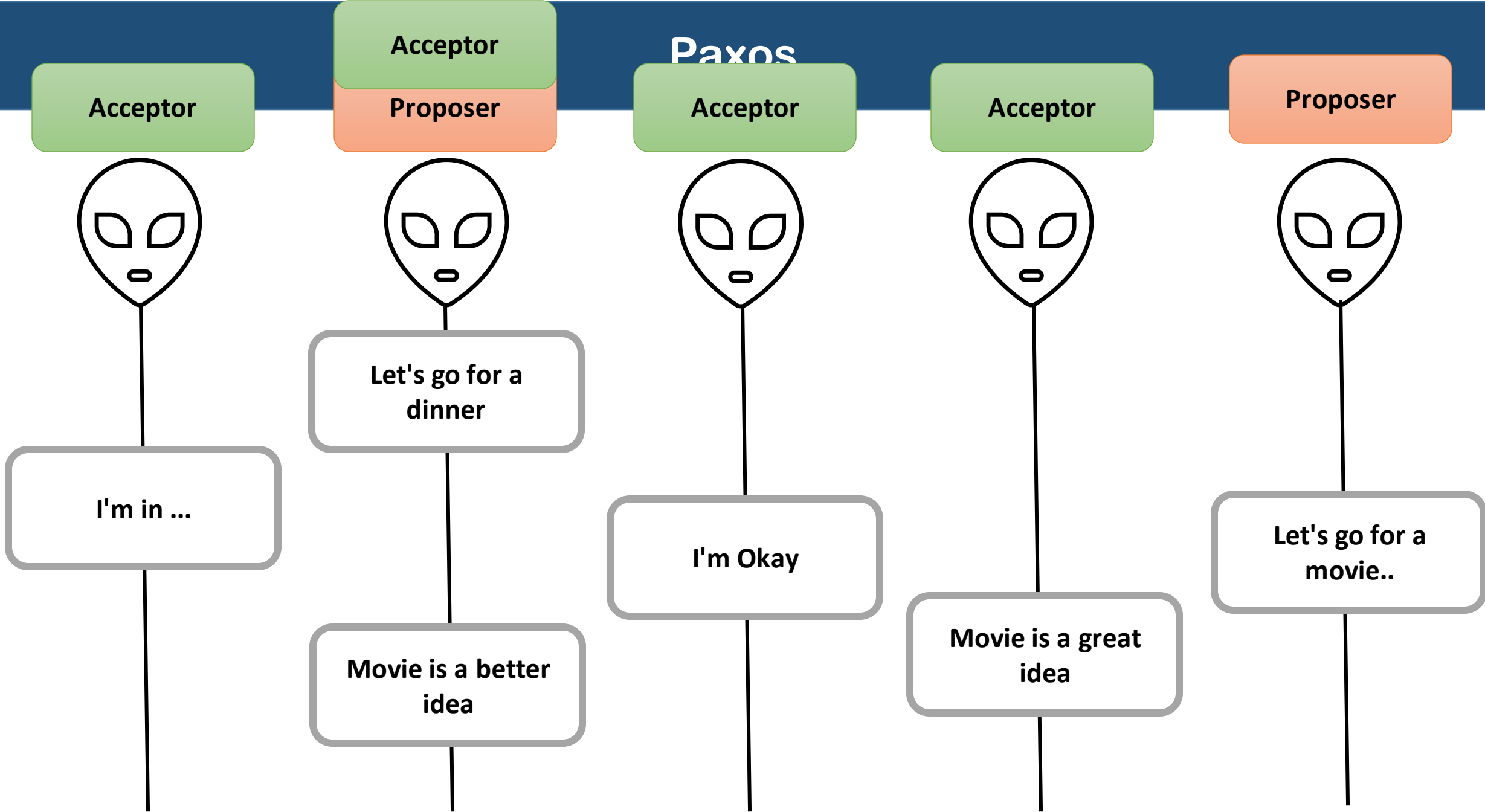
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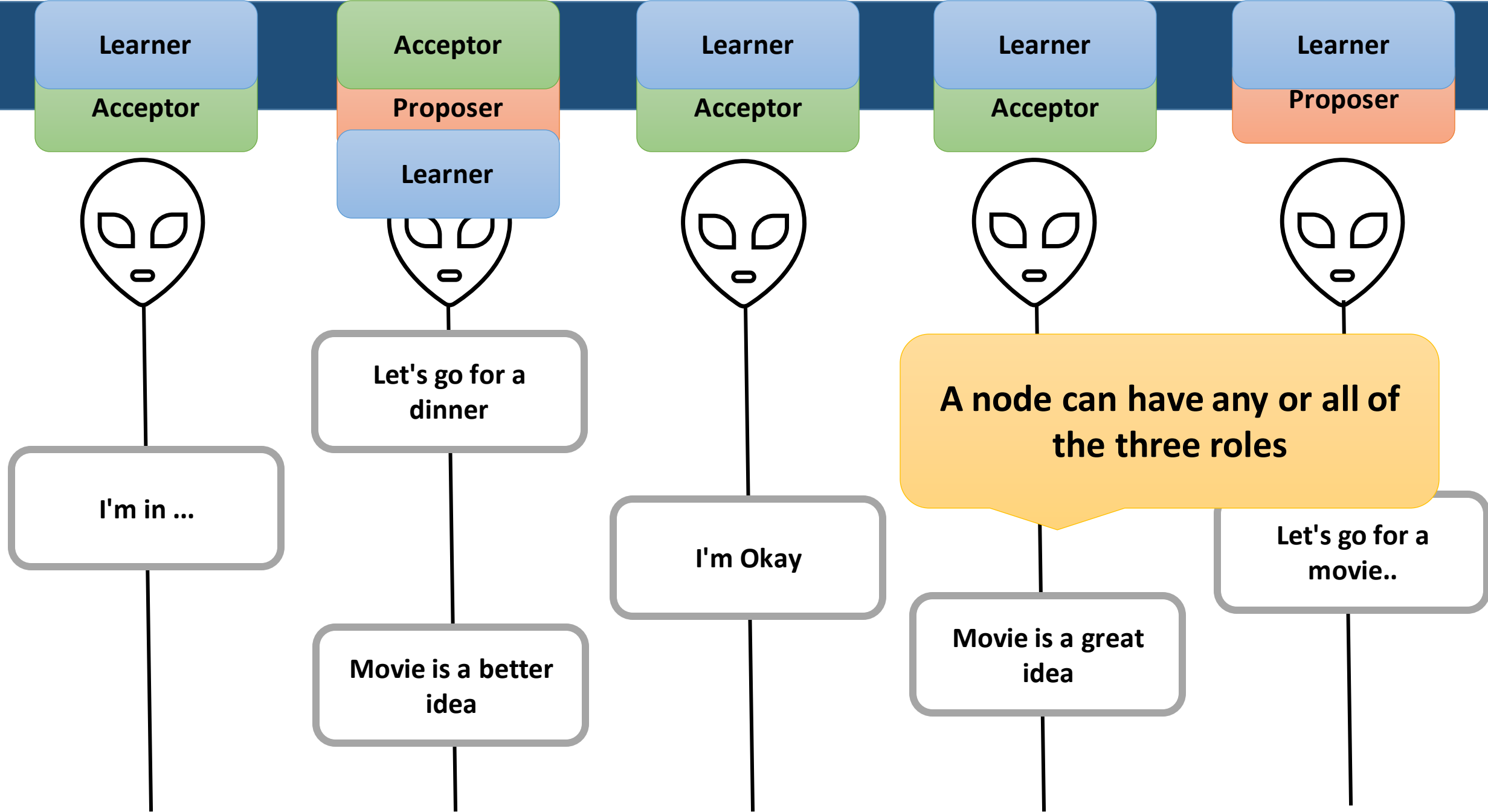


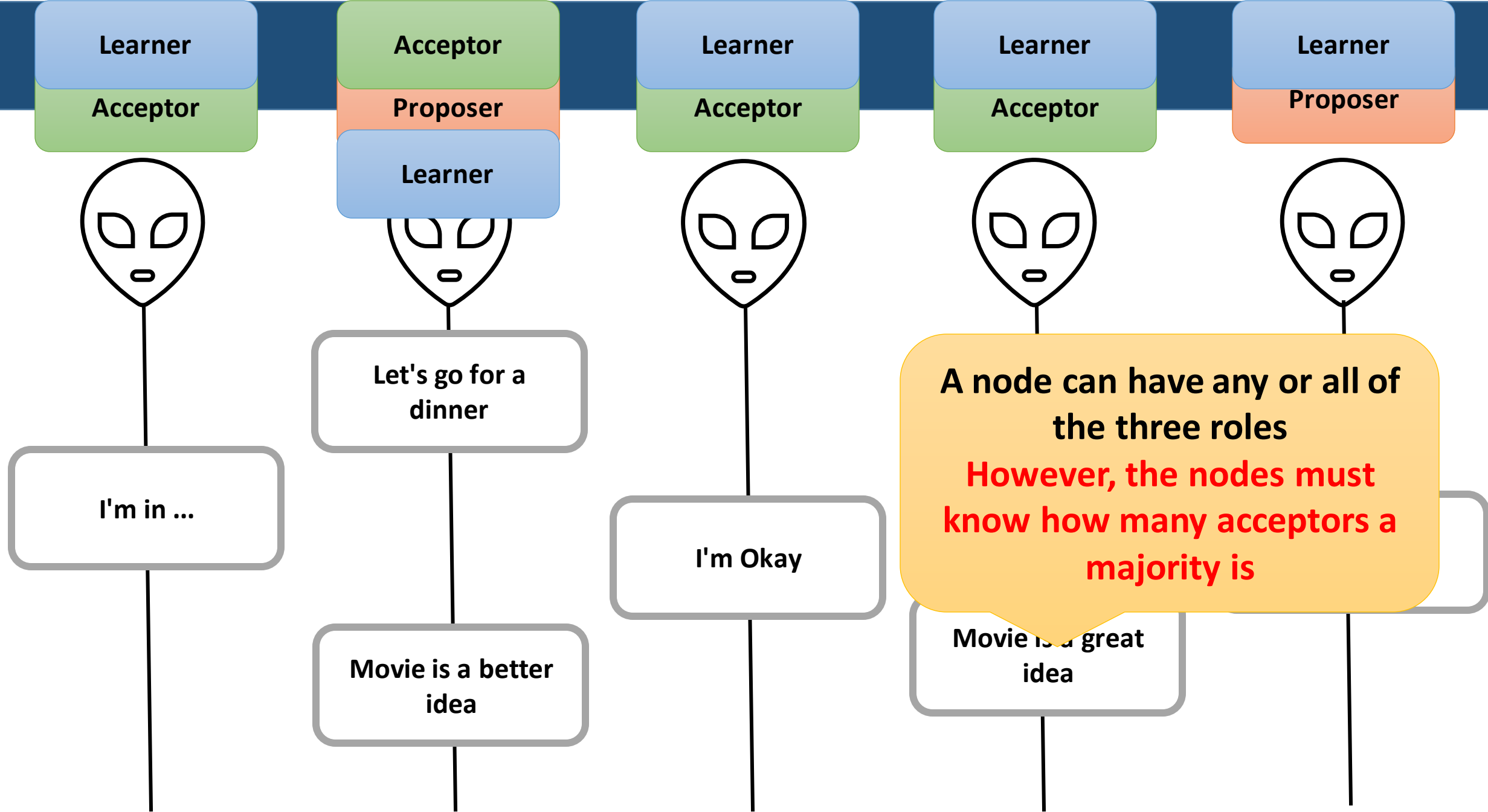
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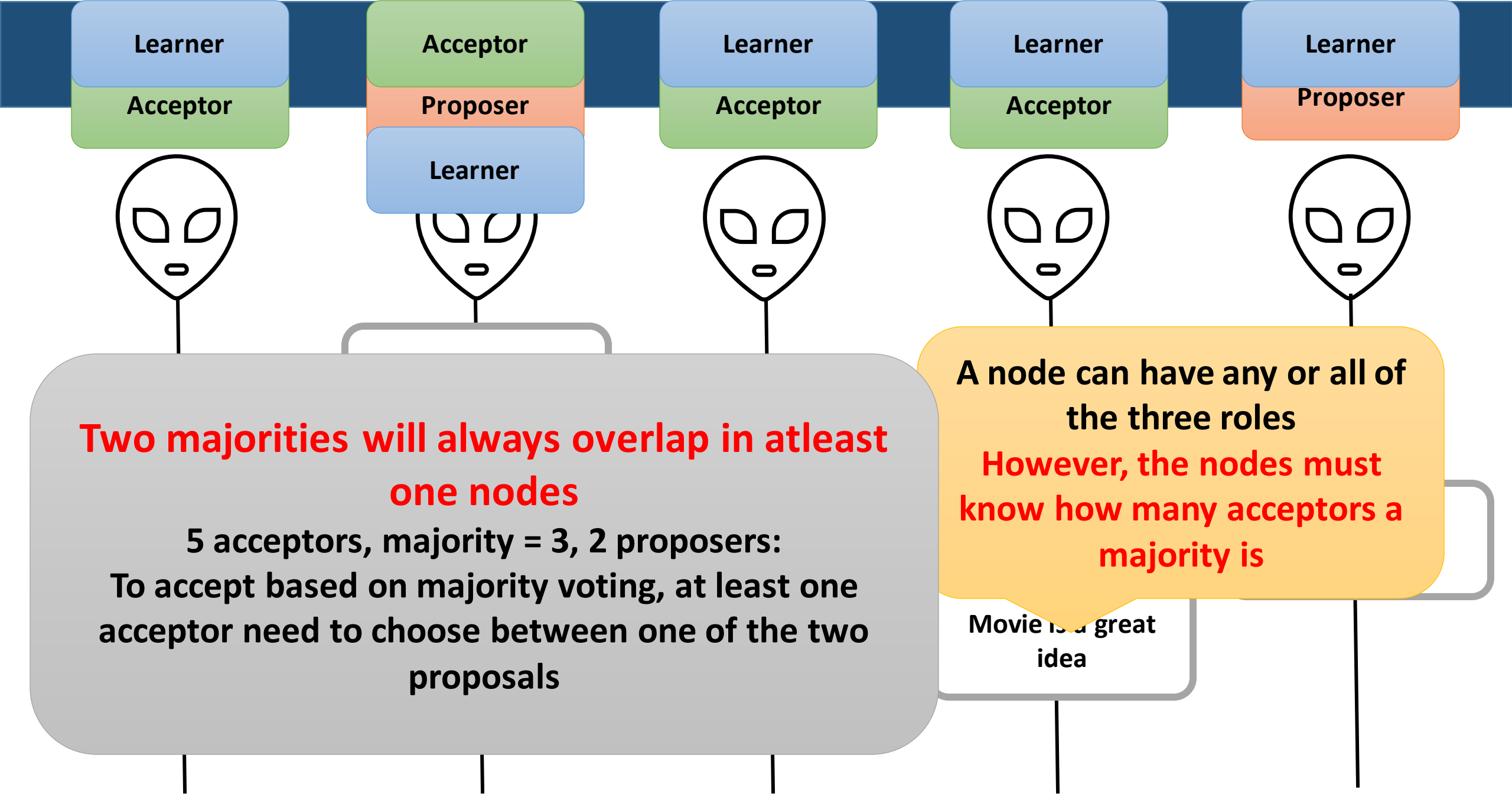


Paxos





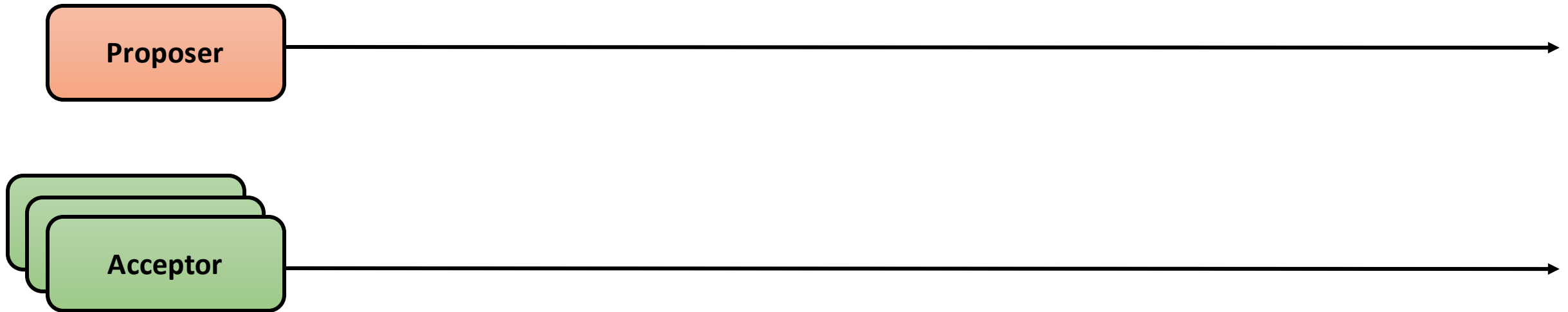




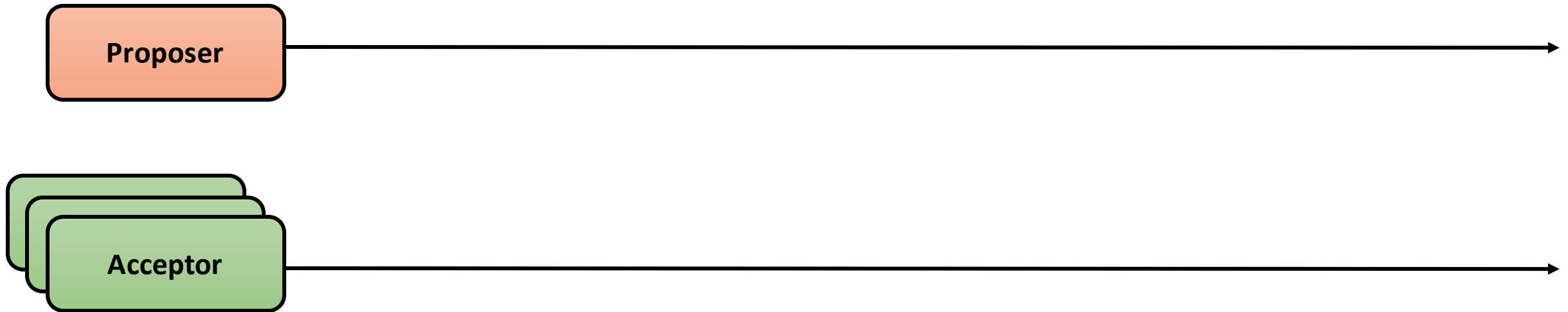
Paxos Basics

- Paxos is based on state-machine replication
 - Proposers and Acceptors maintain a state of the running epochs
 - Uses a variable ID_p where p is an epoch number – maintains the state
 - **We'll see the concept of state-machine replication later in details**
- A Paxos run aims at reaching a **single consensus**
 - Once a consensus is reached, Paxos cannot progress to another consensus
 - To reach multiple consensus, you need to run Paxos in rounds (Multi-Paxos)

Paxos Algorithm



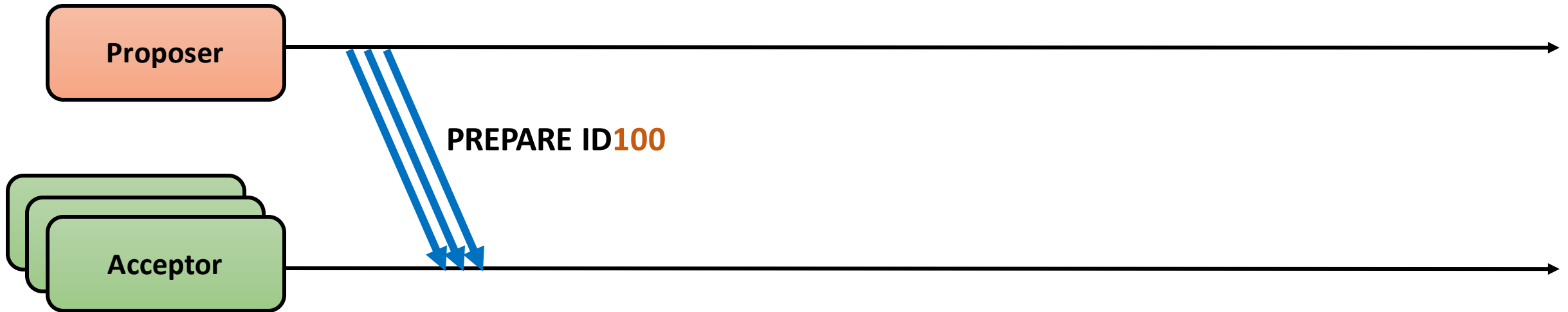
Paxos Algorithm



Proposer wants to propose its choice (values):

- Sends PREPARE IDp to a majority (or all) of the **acceptors**

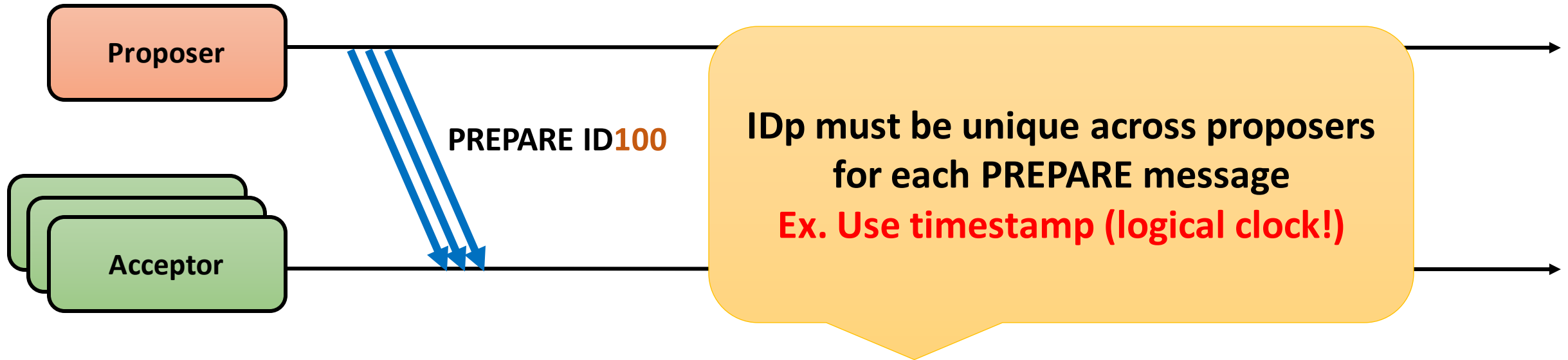
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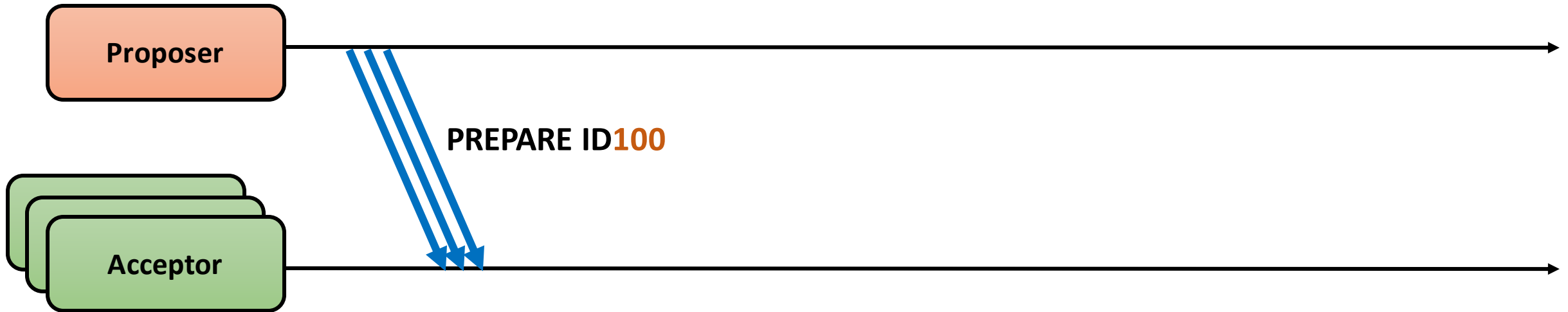
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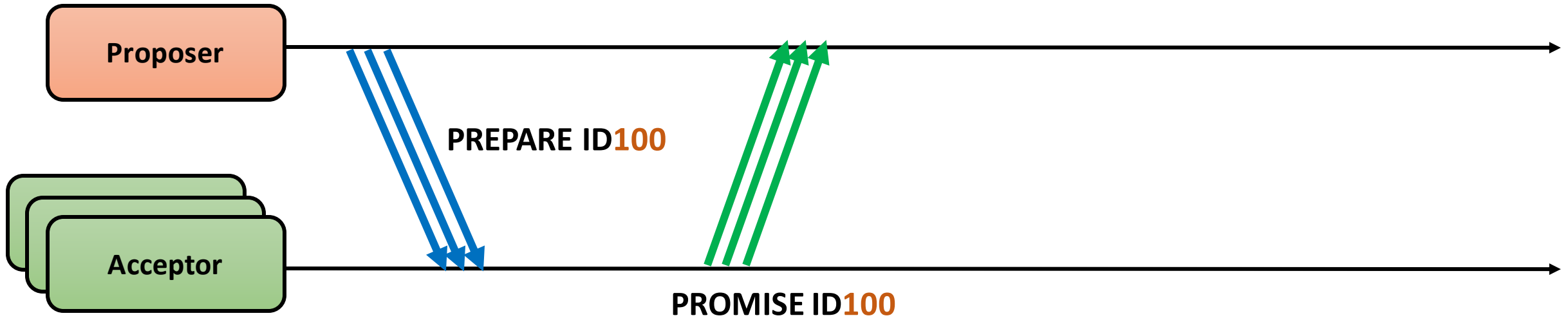
Paxos Algorithm



Acceptor received a PREPARE message with ID_p:

- Did it promised to ignore requests with this ID_p?
 - **YES:** Ignore
 - **NO:** Will promise to ignore any request lower than ID_p
 - (?) Reply with PROMISE ID_p

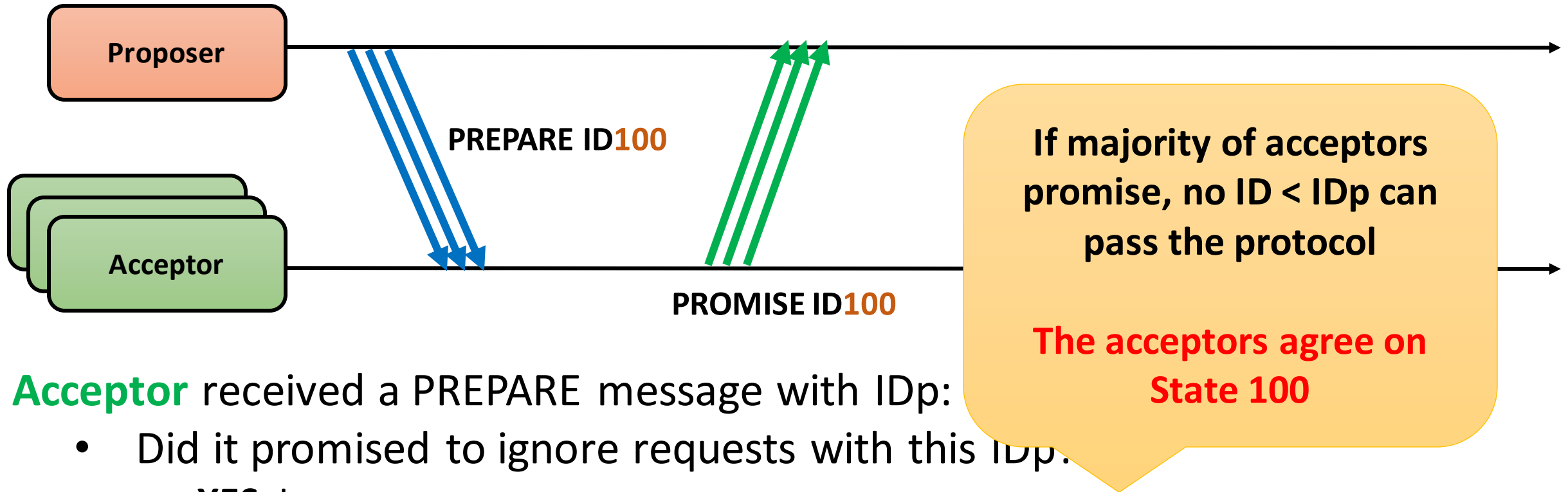
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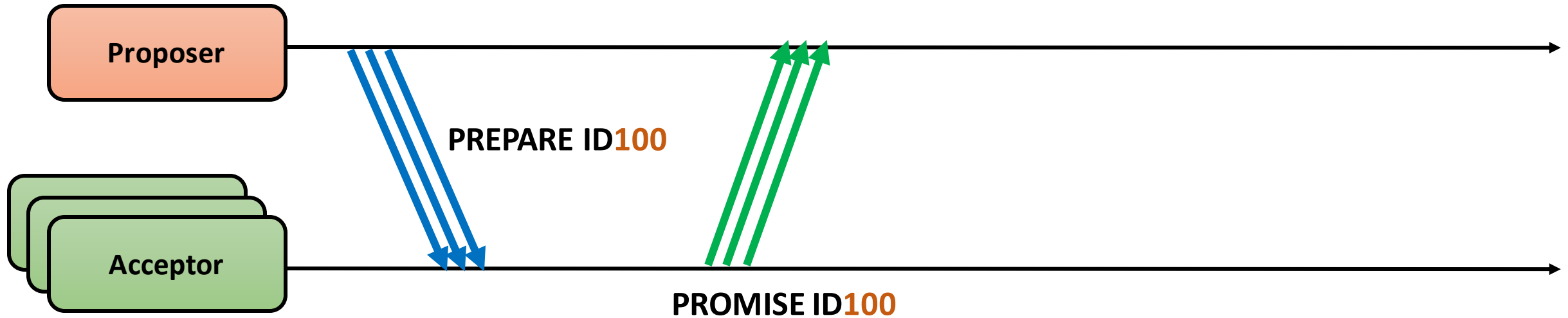
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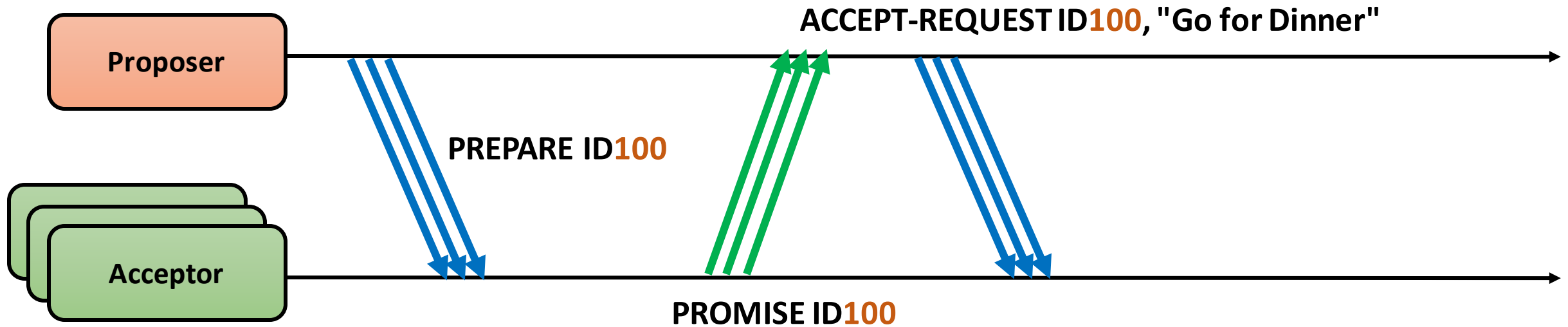
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Proposer gets majority of PROMISE messages for a specific ID_p:

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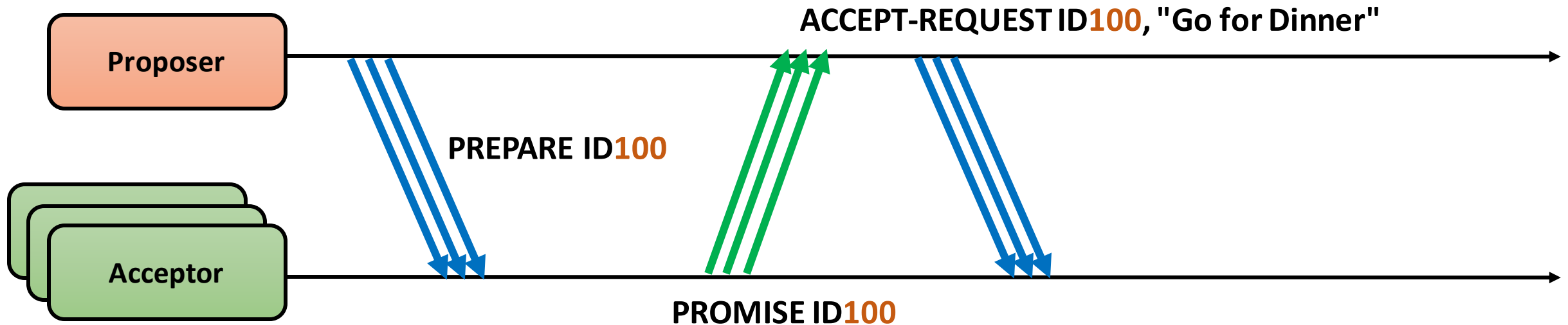
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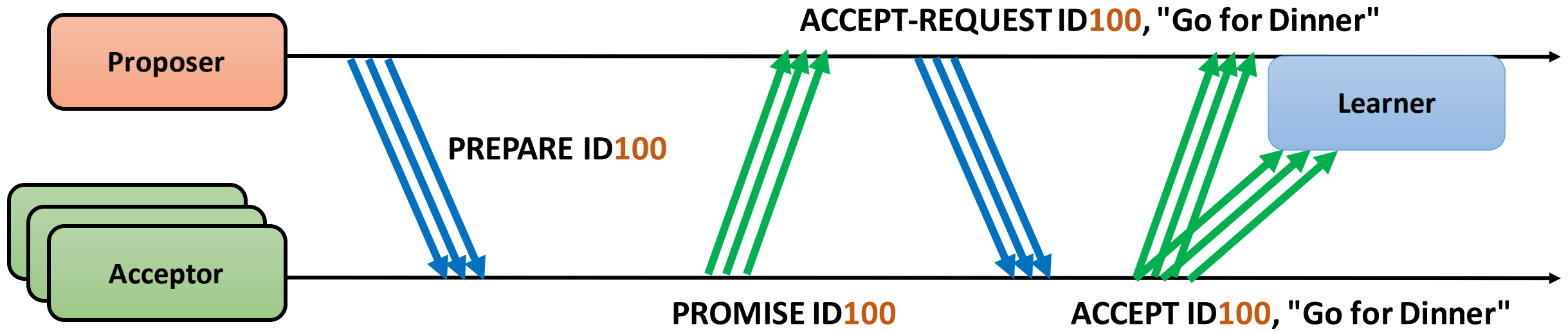
Paxos Algorithm



Acceptor receives an ACCEPT-REQUEST ID_p, VALUE :

- Did it promised to ignore request with this ID_p?
 - **YES:** Ignore
 - **NO:** Reply with **ACCEPT ID_p, VALUE**; Also send it to all learners

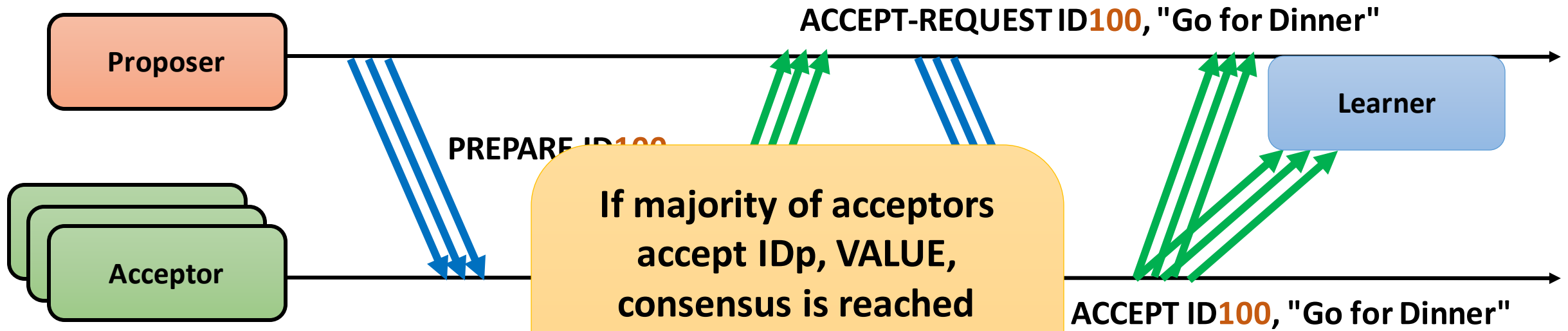
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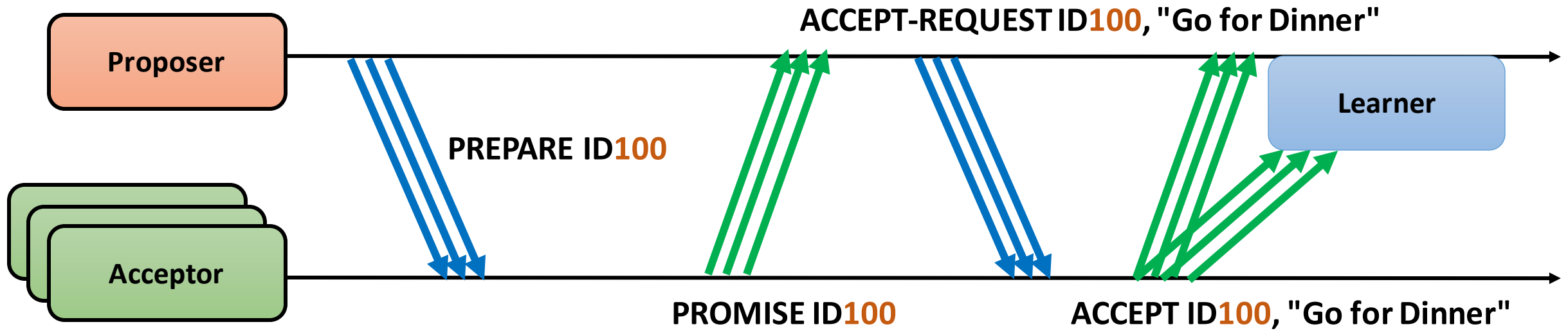
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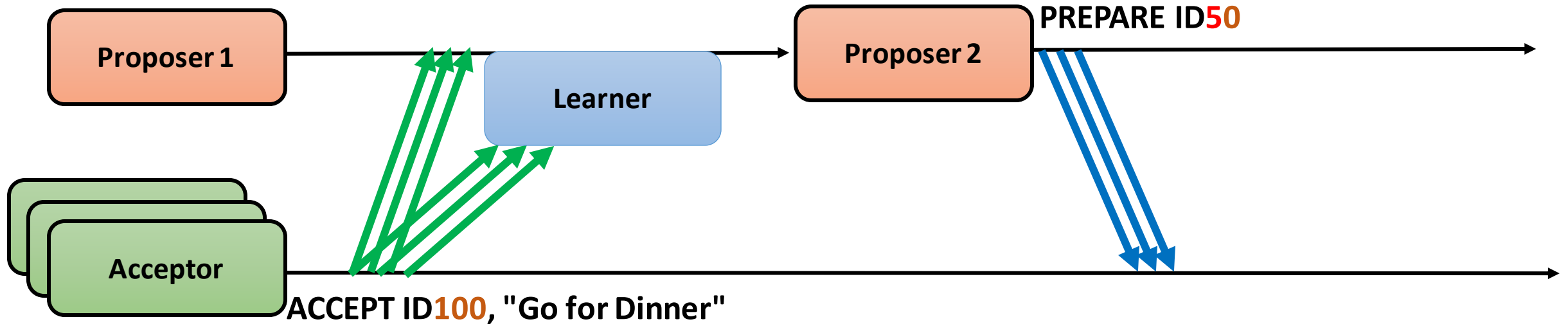
Paxos Algorithm



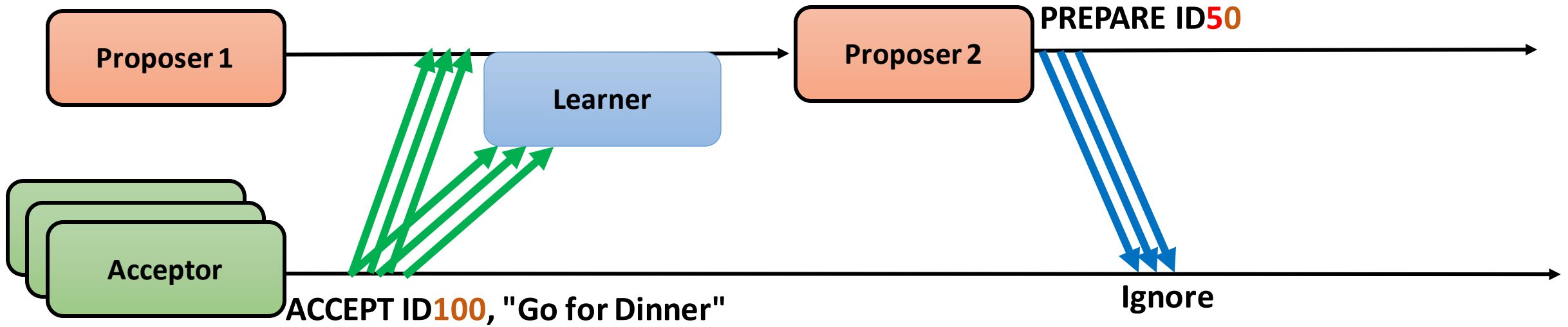
Proposer or **Learner** gets ACCEPT message with ID_p, VALUE:

- If a proposer/learner gets majority of accept for a specific ID_p, they know that consensus is reached for the value (not ID_p).

Paxos Algorithm – Multiple Proposers



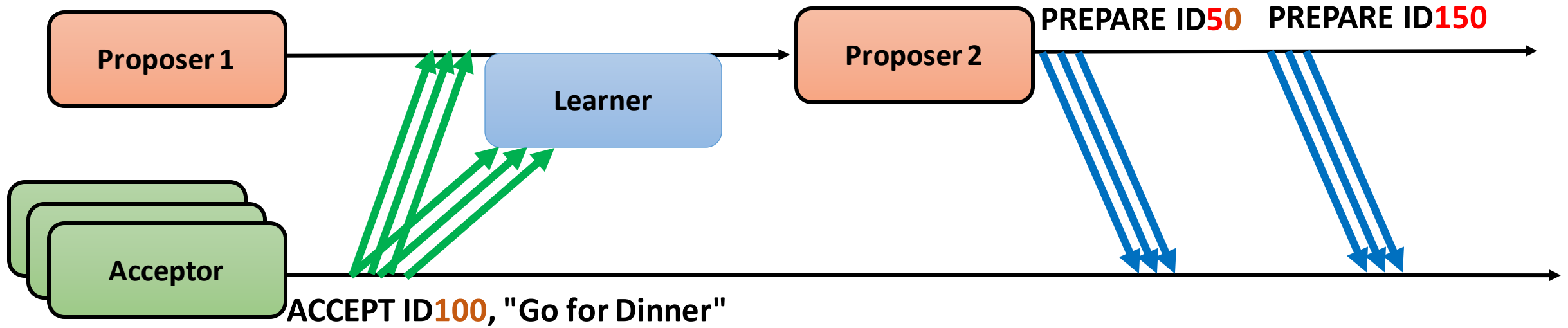
Paxos Algorithm – Multiple Proposers



Acceptor received a PREPARE message with ID_p:

- Did it promised to ignore requests with this ID_p?
 - **YES:** Ignore
 - **NO:** Will promise to ignore any request lower than ID_p
 - (?) Reply with PROMISE ID_p

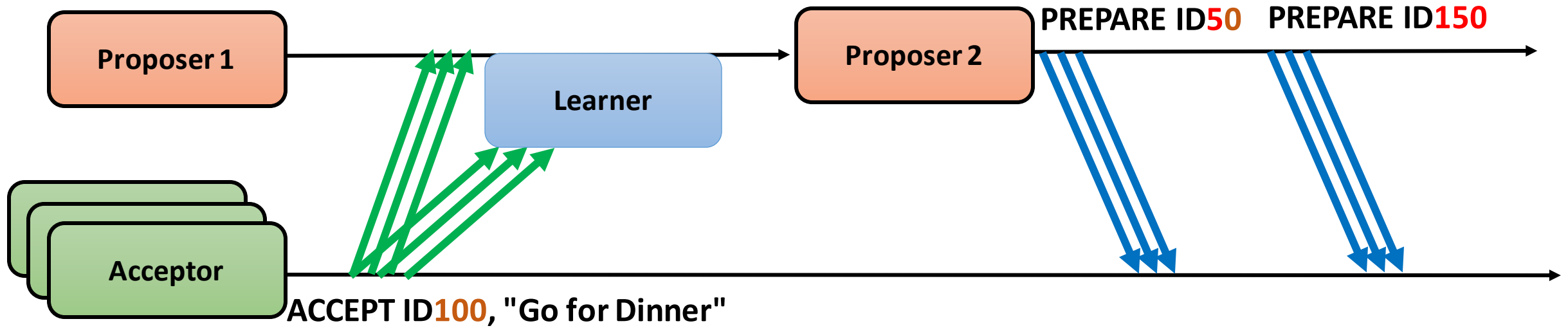
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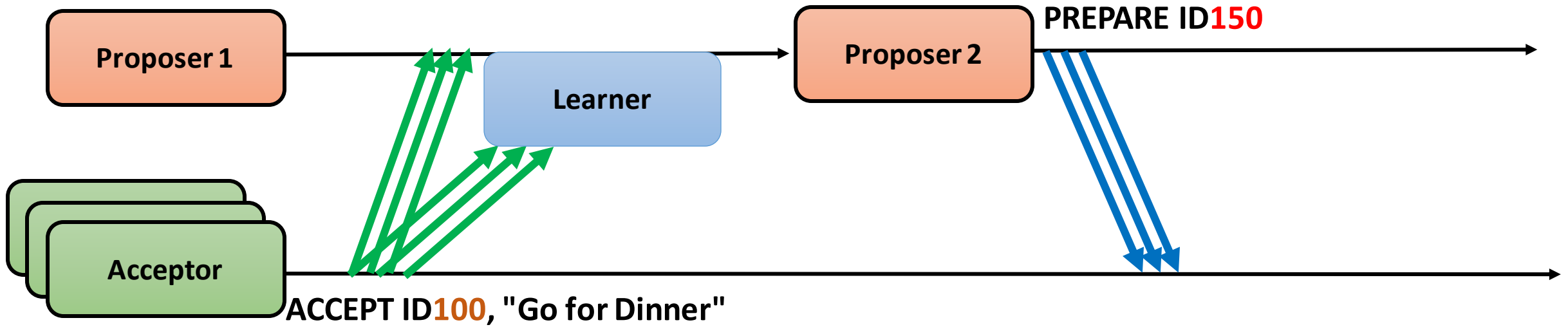
Paxos Algorithm – Multiple Proposers



Acceptor received a PREPARE message with ID_p:

- Did it promised to ignore requests with this ID_p?
 - **YES:** Ignore
 - **NO:** Will promise to ignore any request lower than ID_p
 - Has it ever accepted anything? (Assume accepted ID = ID_a)
 - **YES:** Reply with **PROMISE ID_p accepted ID_a, VALUE**
 - **NO:** Reply with **PROMISE ID_p**

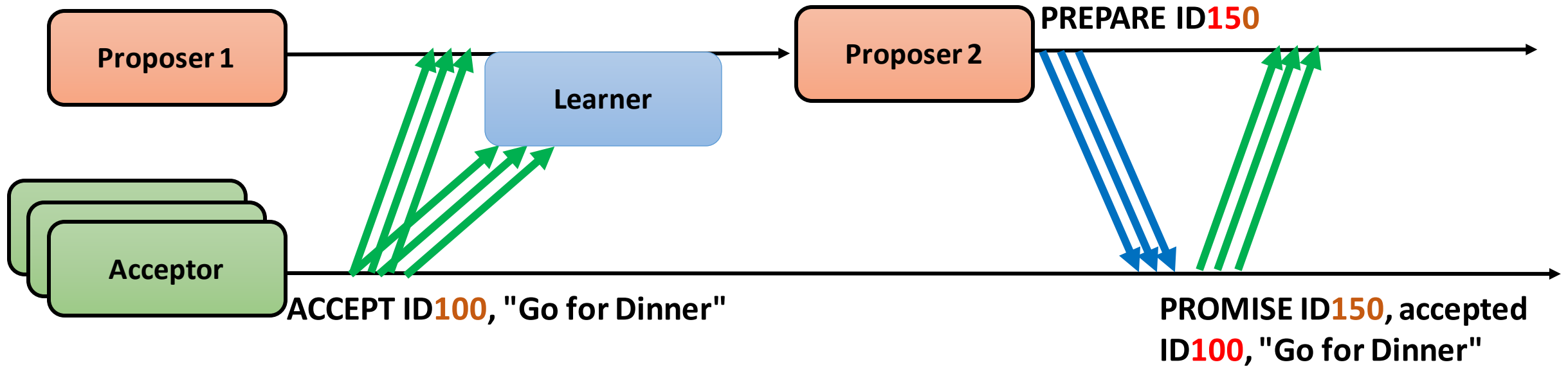
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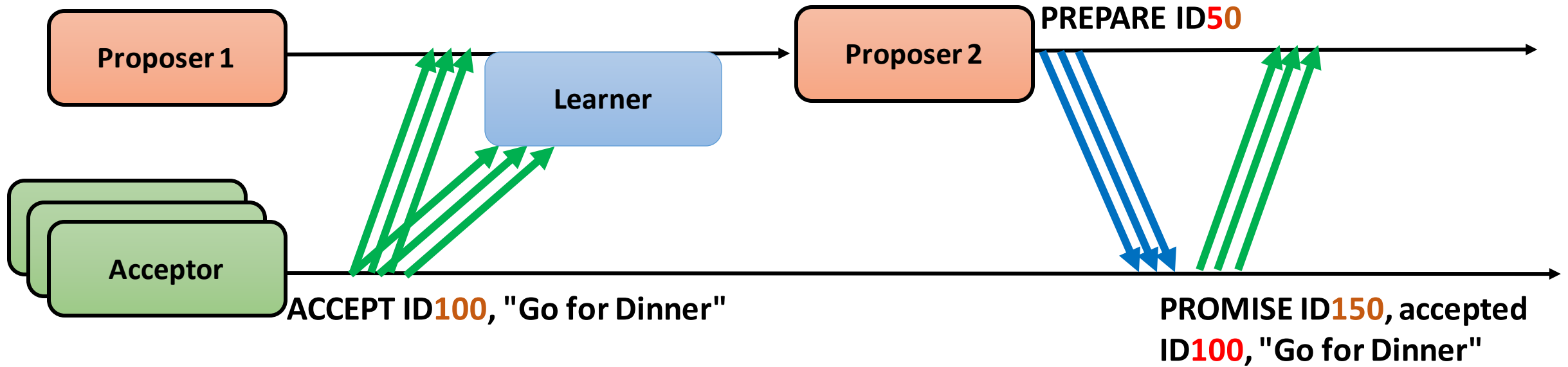
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Paxos Algorithm – Multiple Proposers



What the proposer will do?

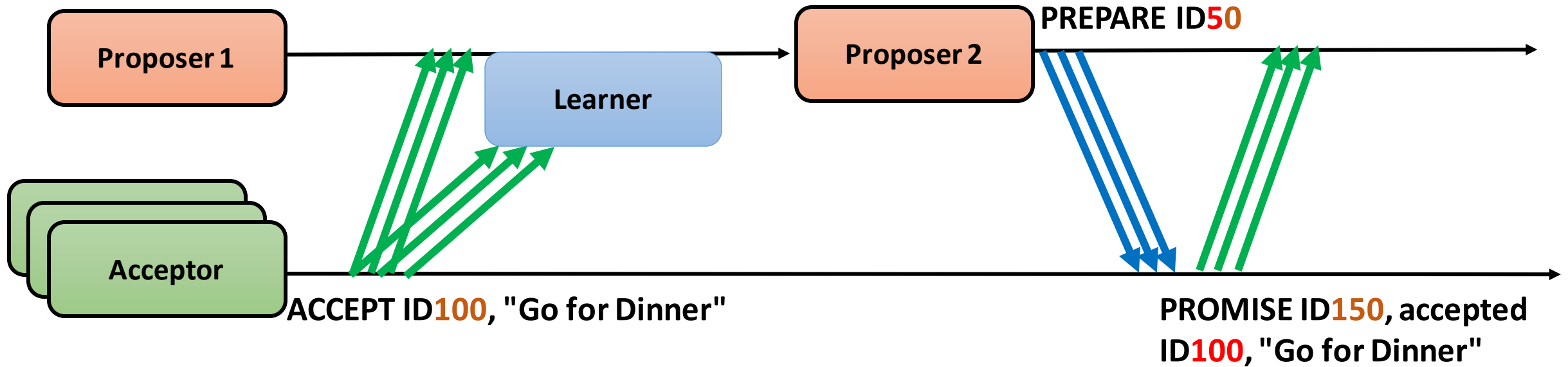
Paxos Algorithm – Multiple Proposers



Proposer gets majority of PROMISE messages for a specific ID_p:

- It sends **ACCEPT-REQUEST ID_p, VALUE** to a majority (or all) of **Acceptors**
- (?) It picks any value it wants

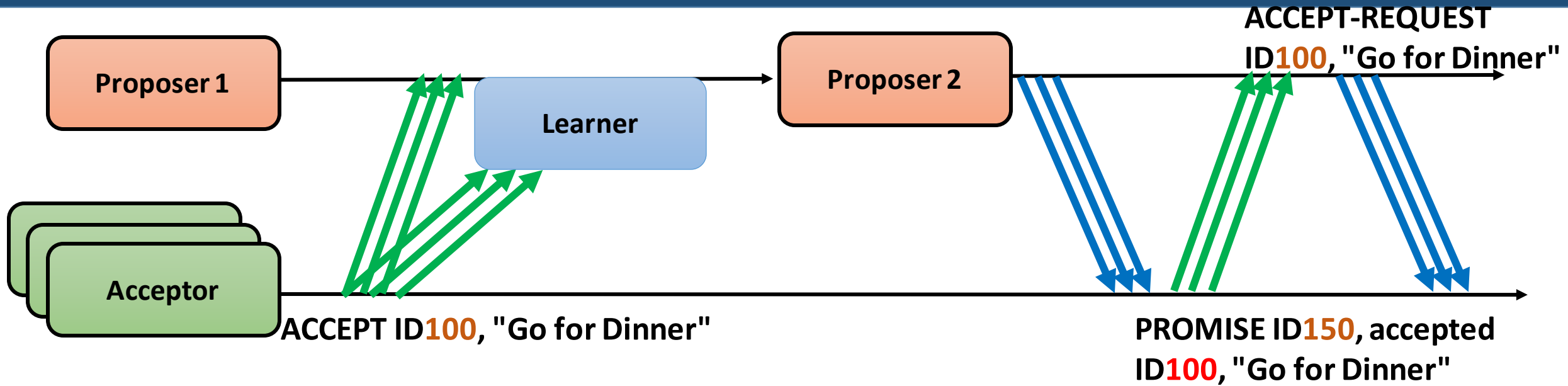
Paxos Algorithm – Multiple Proposers



Proposer gets majority of PROMISE messages for a specific ID_p:

- It sends **ACCEPT-REQUEST ID_p, VALUE** to a majority (or all) of **Acceptors**
 - Has it got any already accepted value from promises?
 - **YES:** Picks the value with the highest ID_a
 - **NO:** Picks the value of its choice

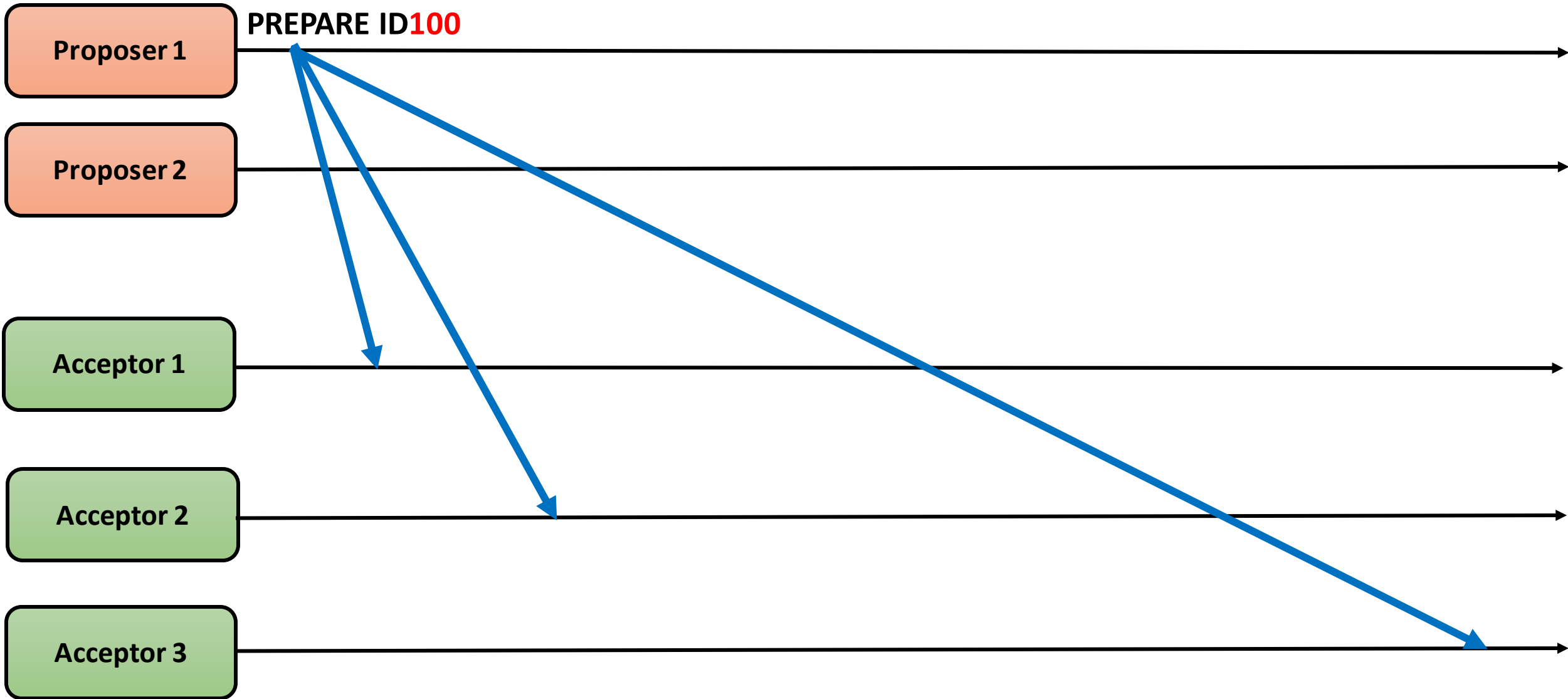
Paxos Algorithm – Multiple Proposers



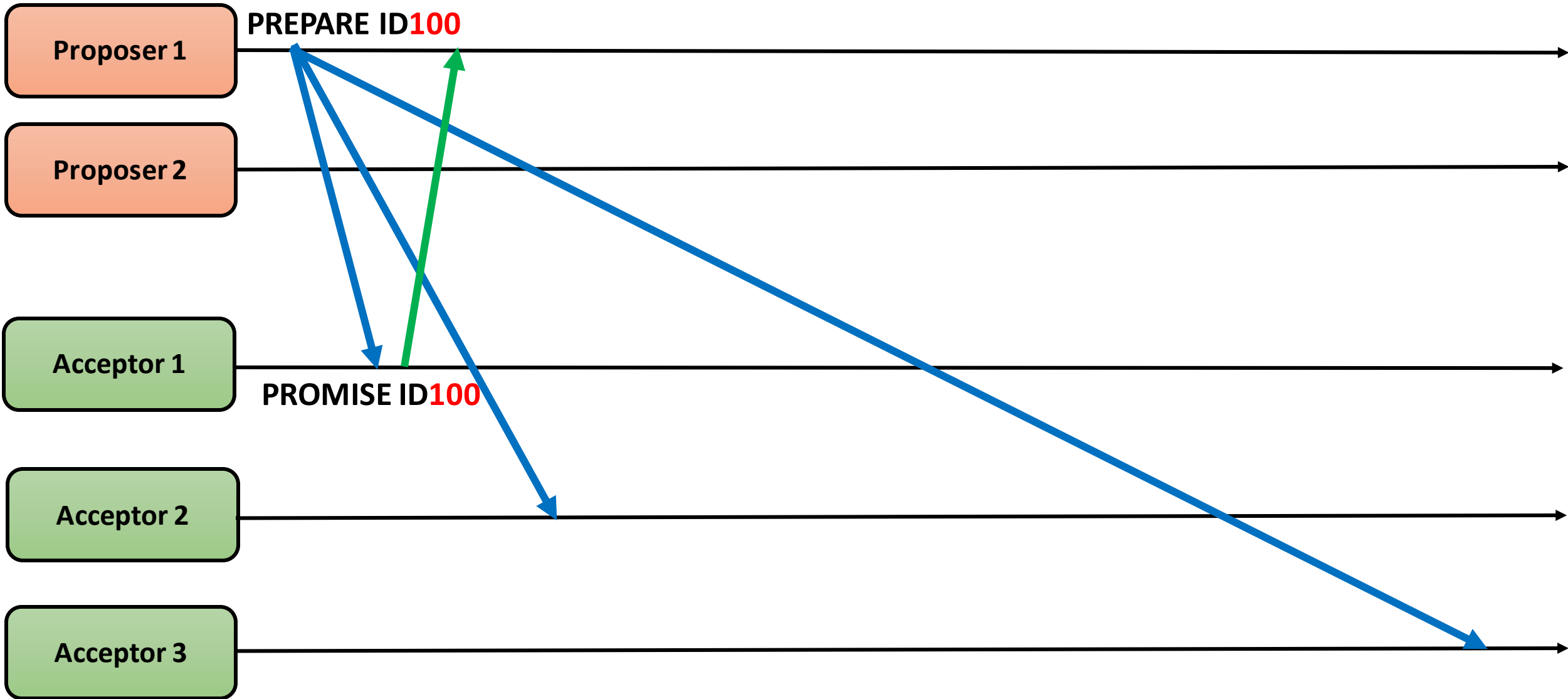
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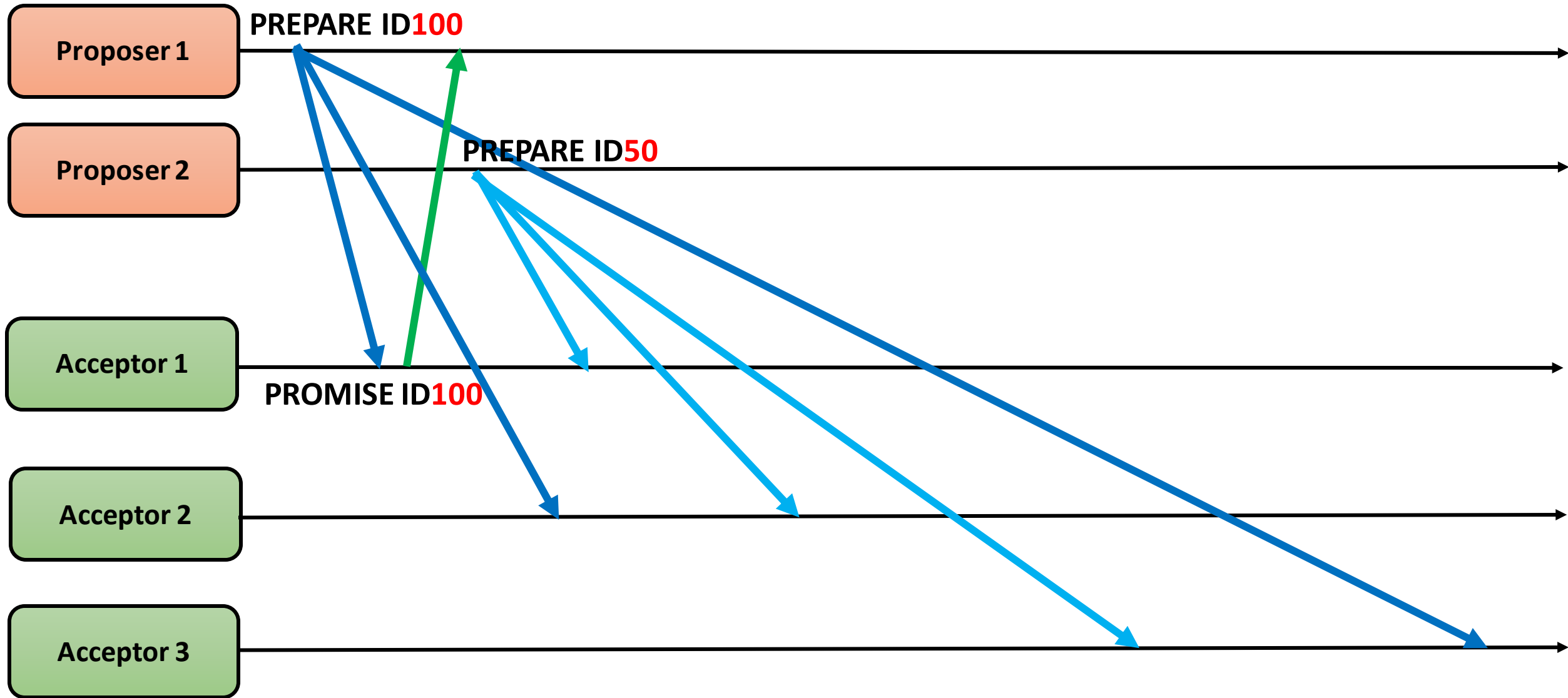
Paxos – How Majority Works



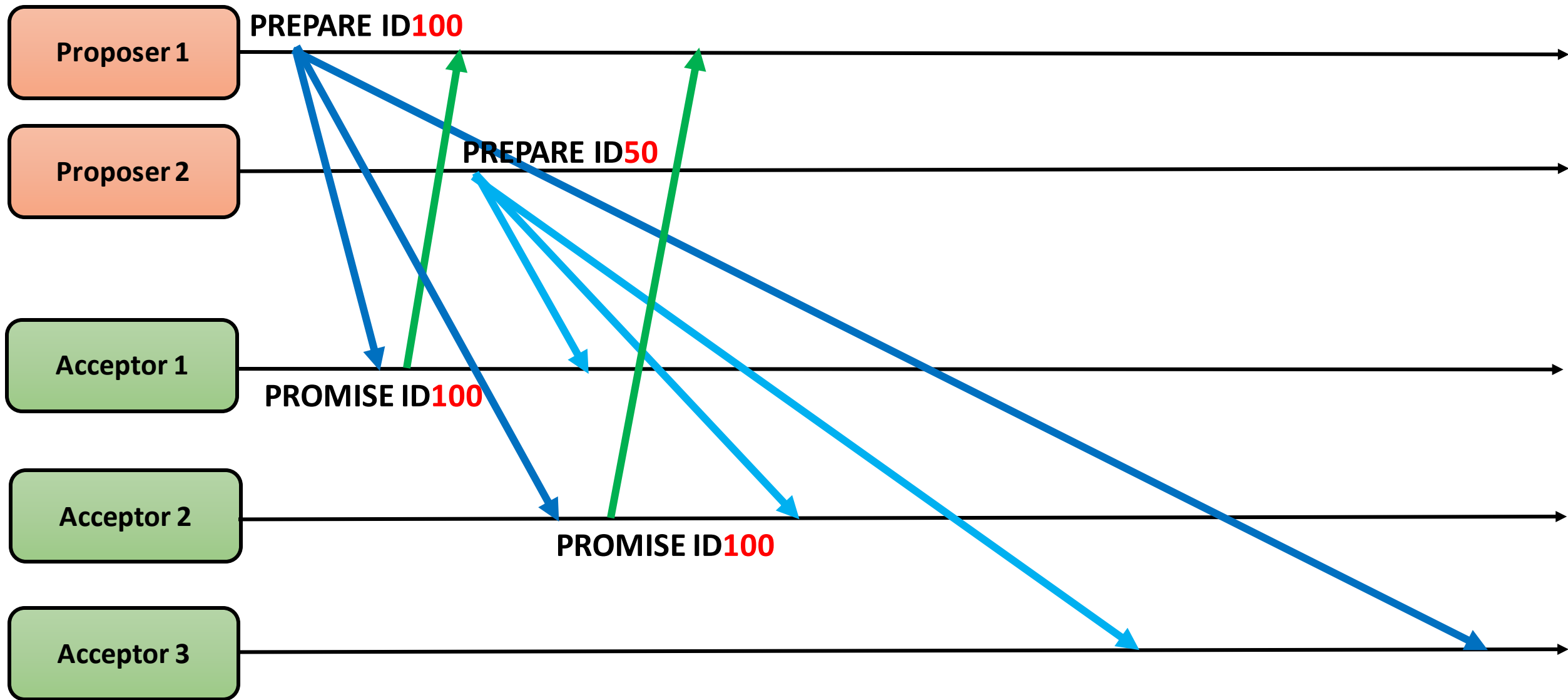
Paxos – How Majority Works



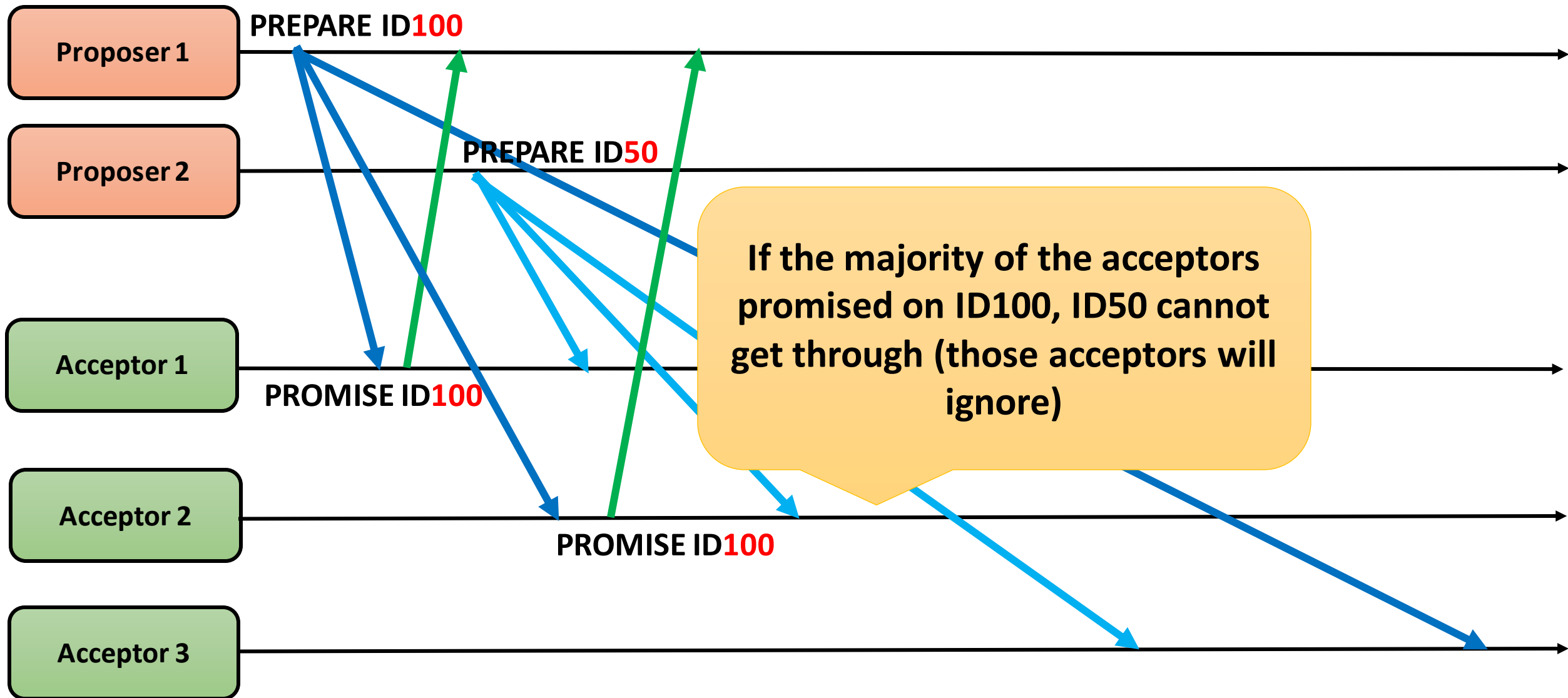
Paxos – How Majority Works



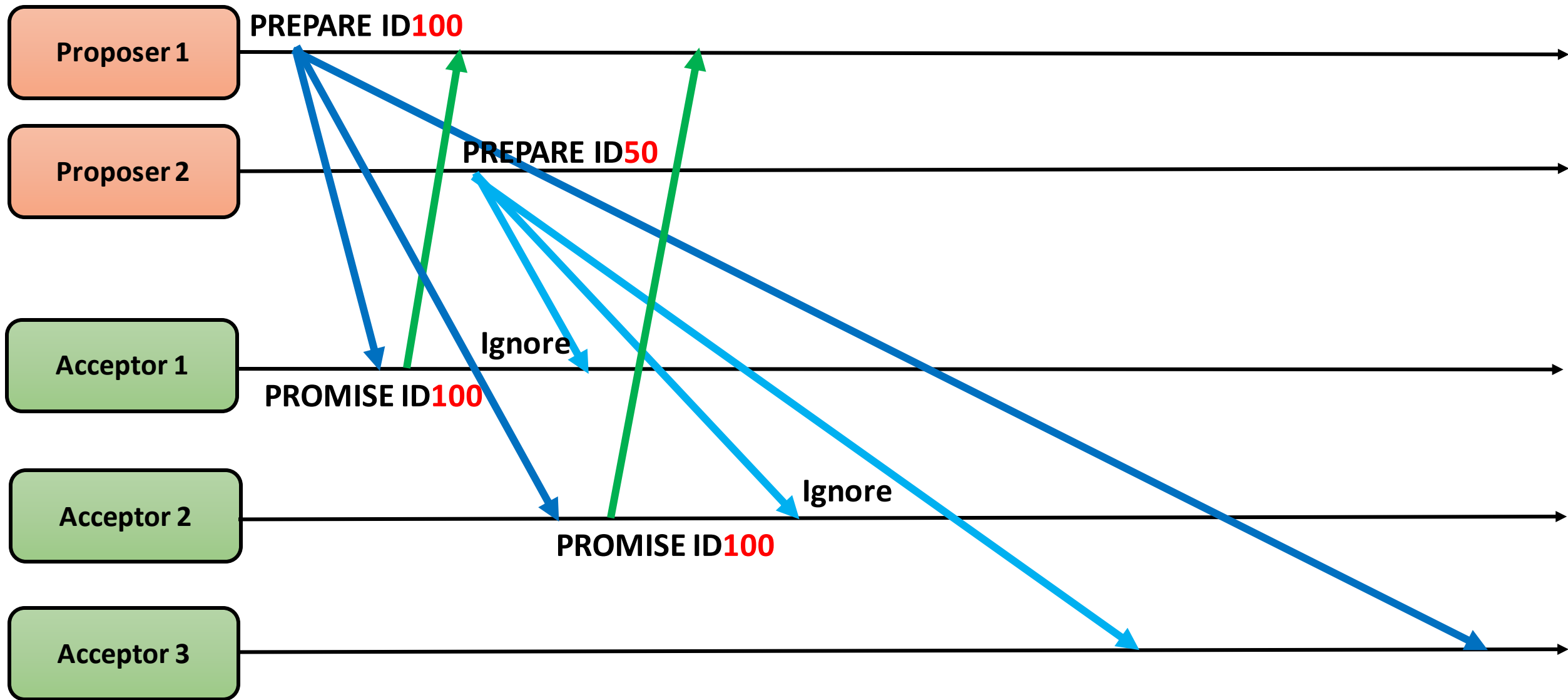
Paxos – How Majority Works



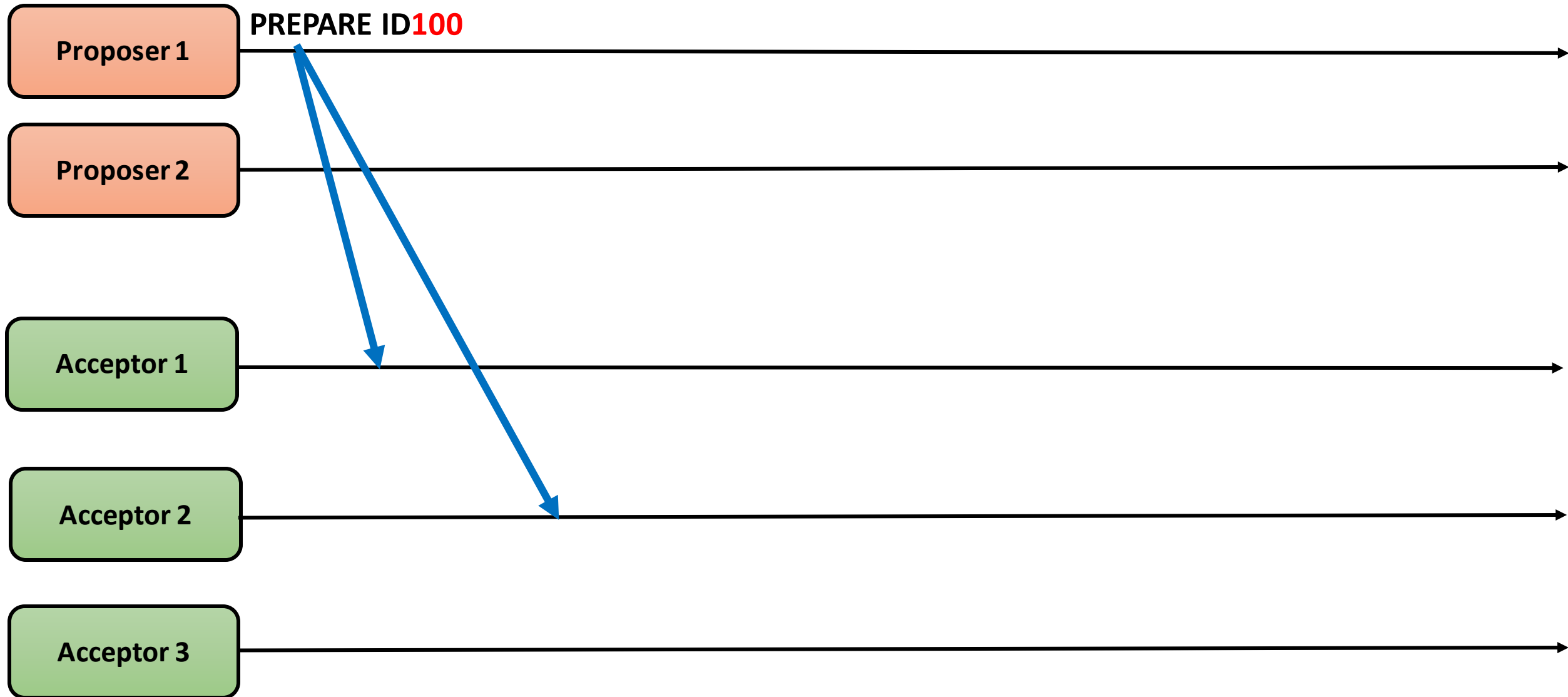
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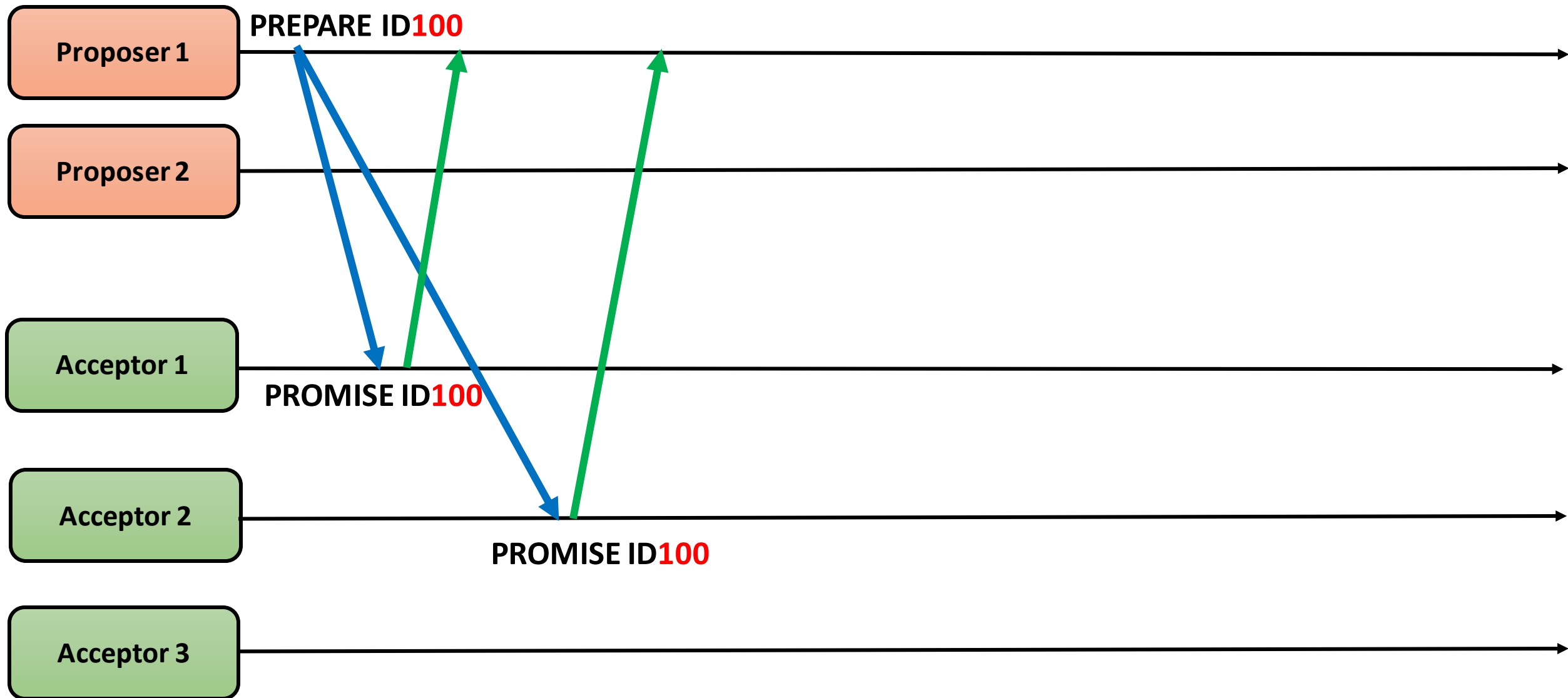
Paxos – How Majority Works



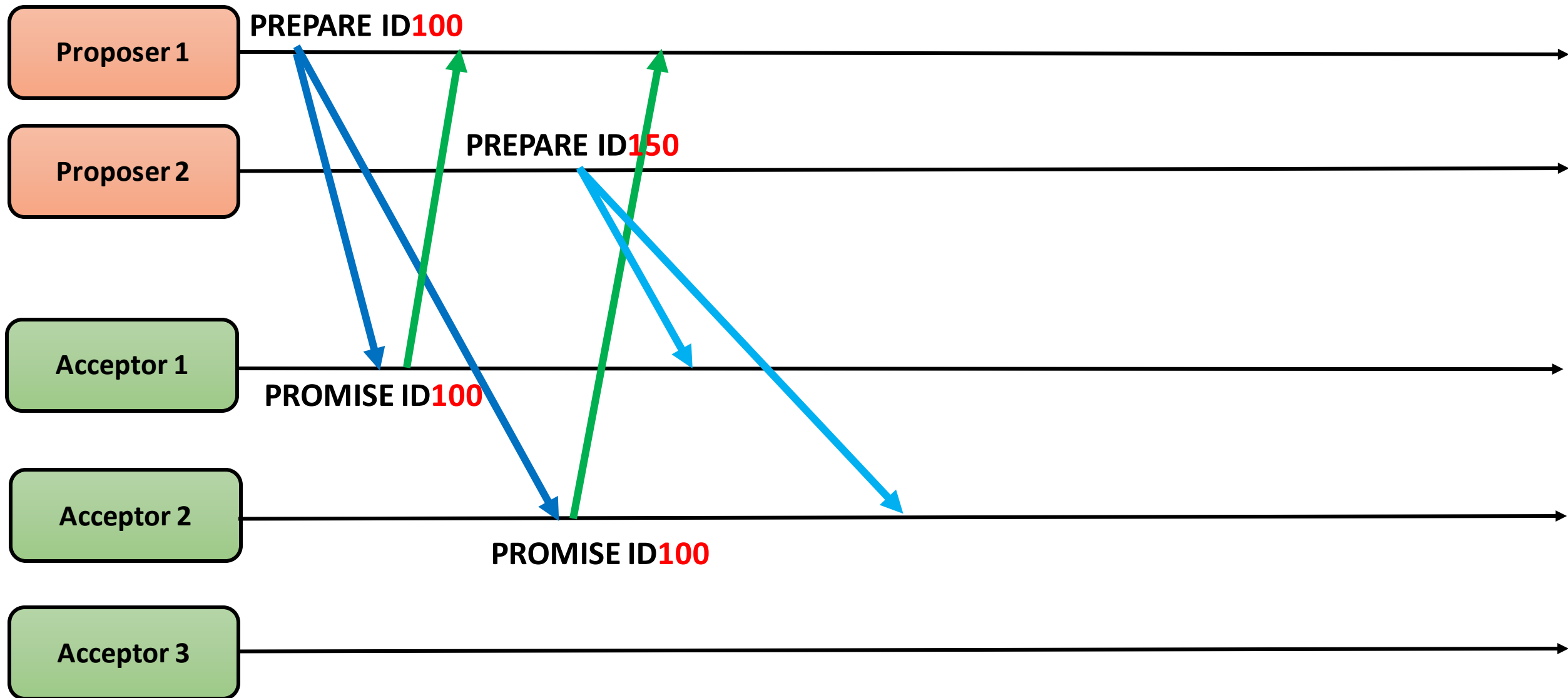
Paxos – Impact on Liveness



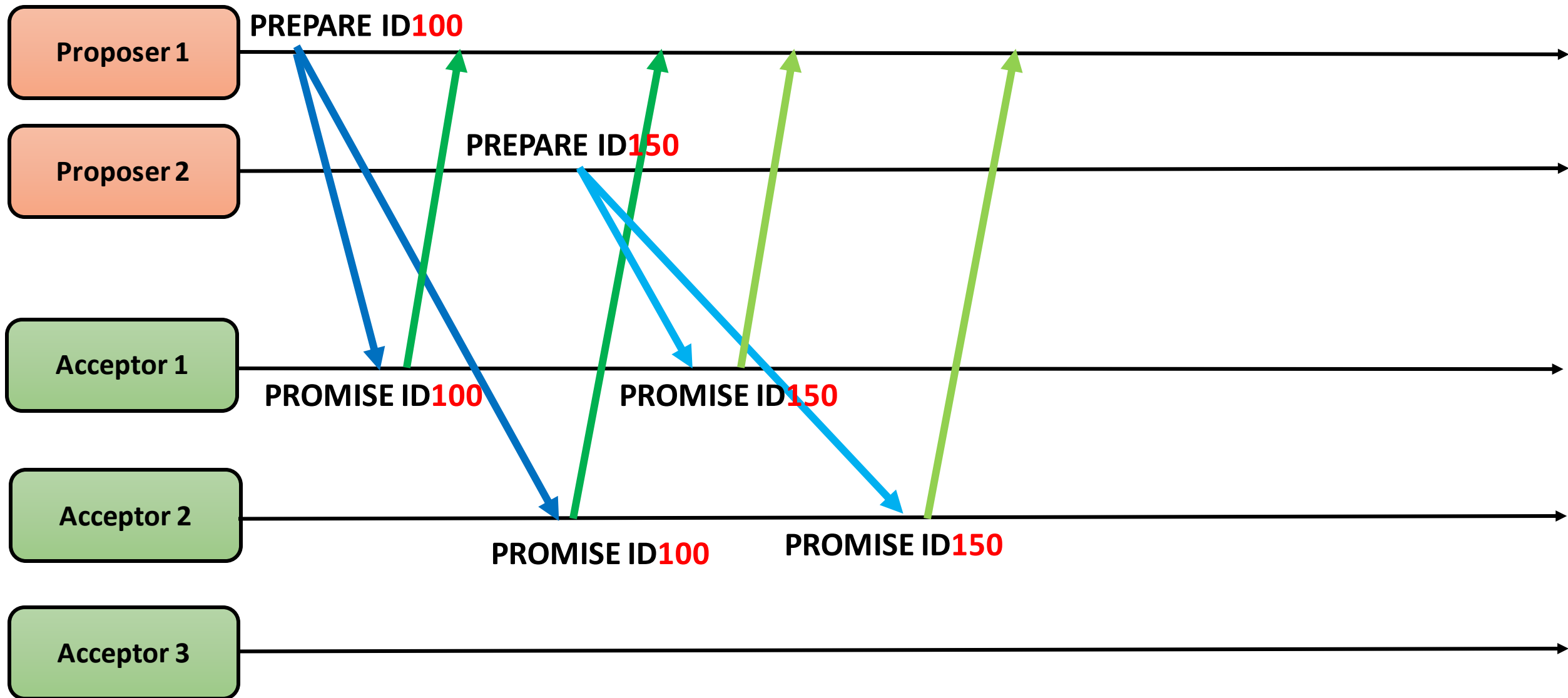
Paxos – Impact on Liveness



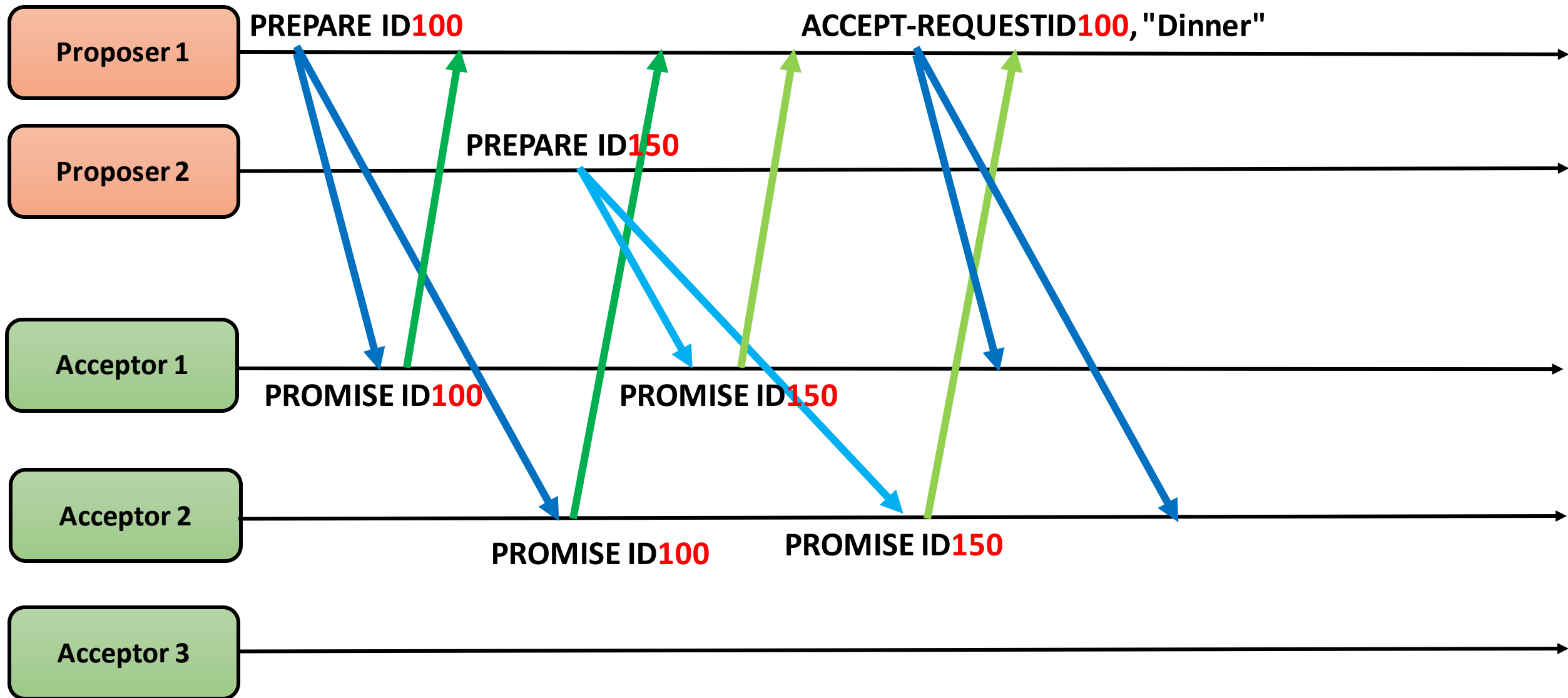
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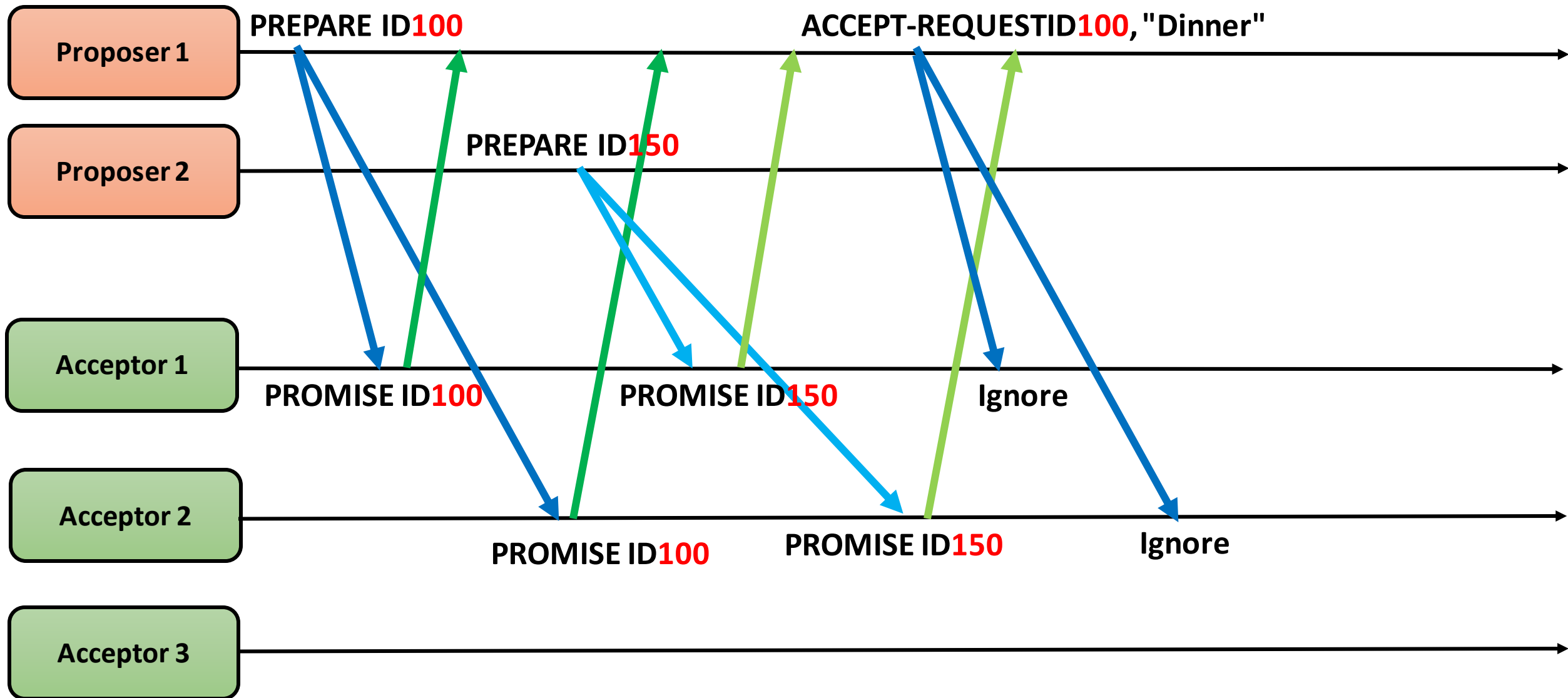
Paxos – Impact on Liveness



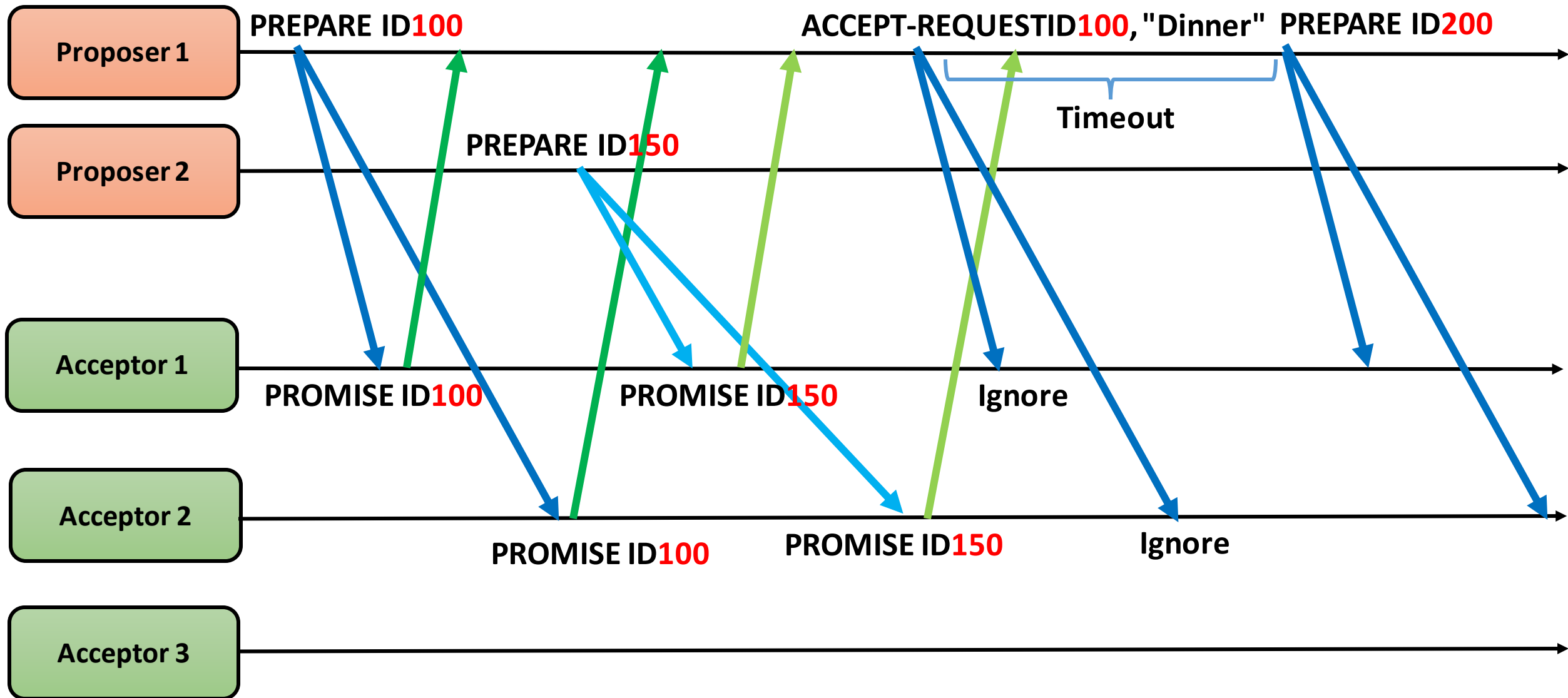
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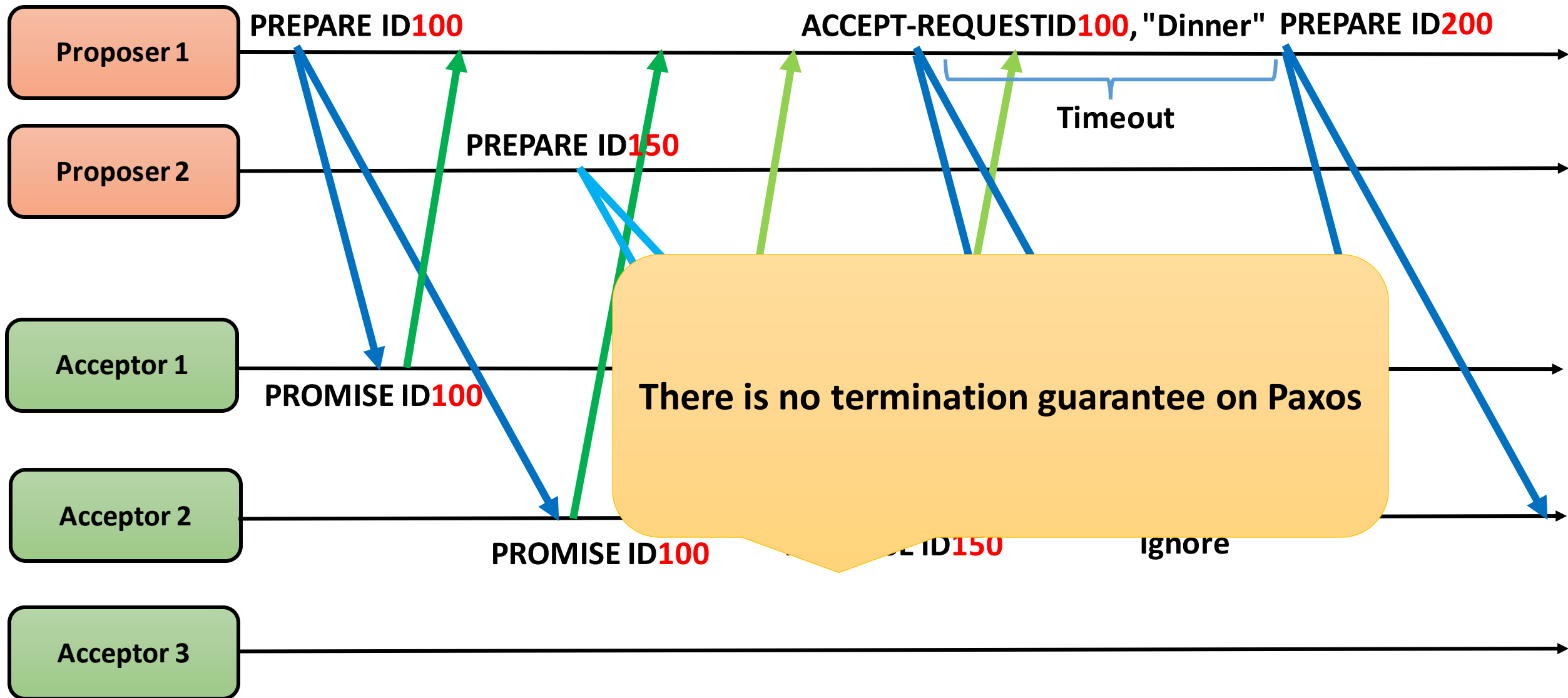
Paxos – Impact on Liveness



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Paxos – Impact on Liveness



Majority of Accepts

- Majority of accepts accepts a request with an ID and a value
 - Consensus has been reached
 - The consensus is on the value
- Accept request with a lower ID
 - Will not be accepted by the majority (Would require majority of promises with the lower ID, but we got for a higher one, hence the accept request)

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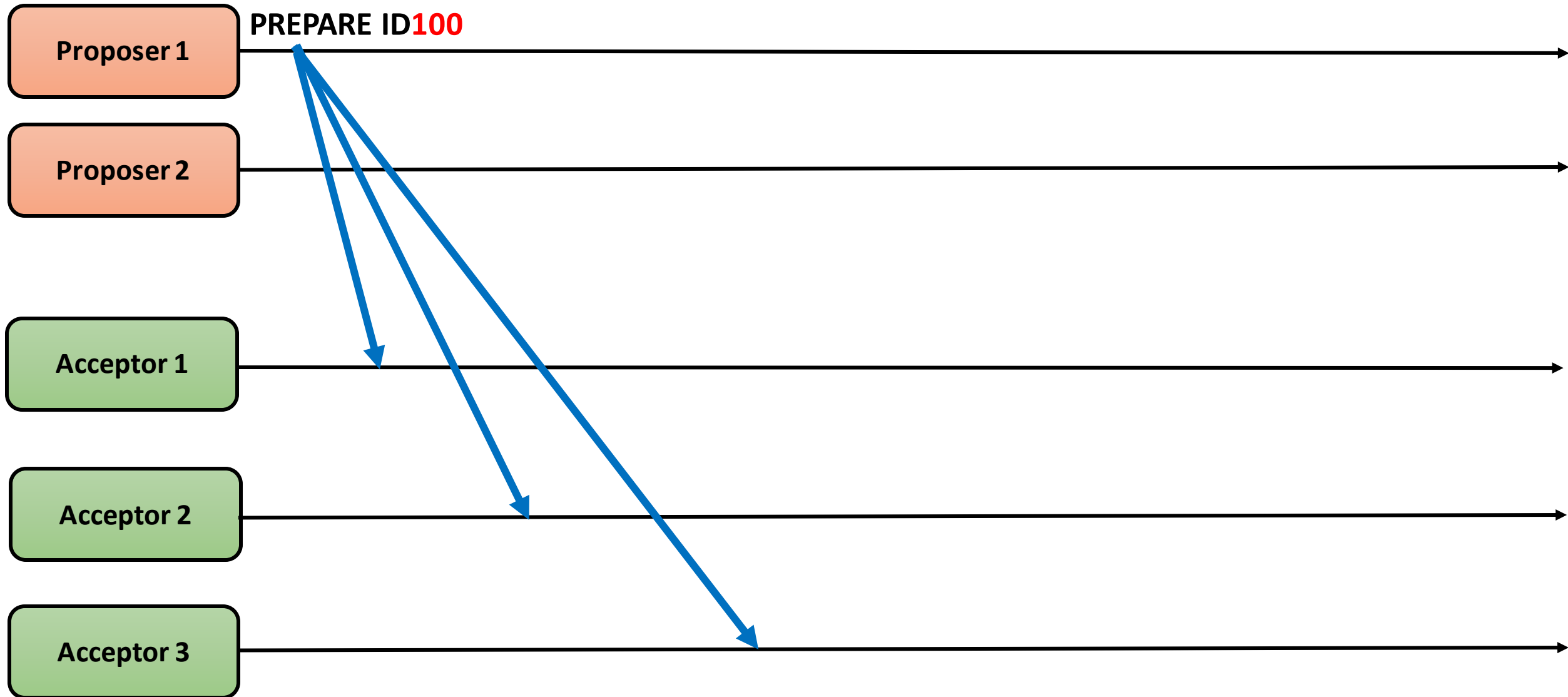
Majority of Accepts

- Majority of accepts accepts a request with an ID and a value
 - Consensus has been reached
 - The consensus is on the value
- Accept request with a lower ID
 - Will not be accepted by the majority with a lower ID, but we got for a higher ID
- Accept request with a higher ID but a different value
 - Will not be accepted by the majority
 - At least one acceptor will piggyback the previously accepted value (Remember, two majority implies that there is a common node)

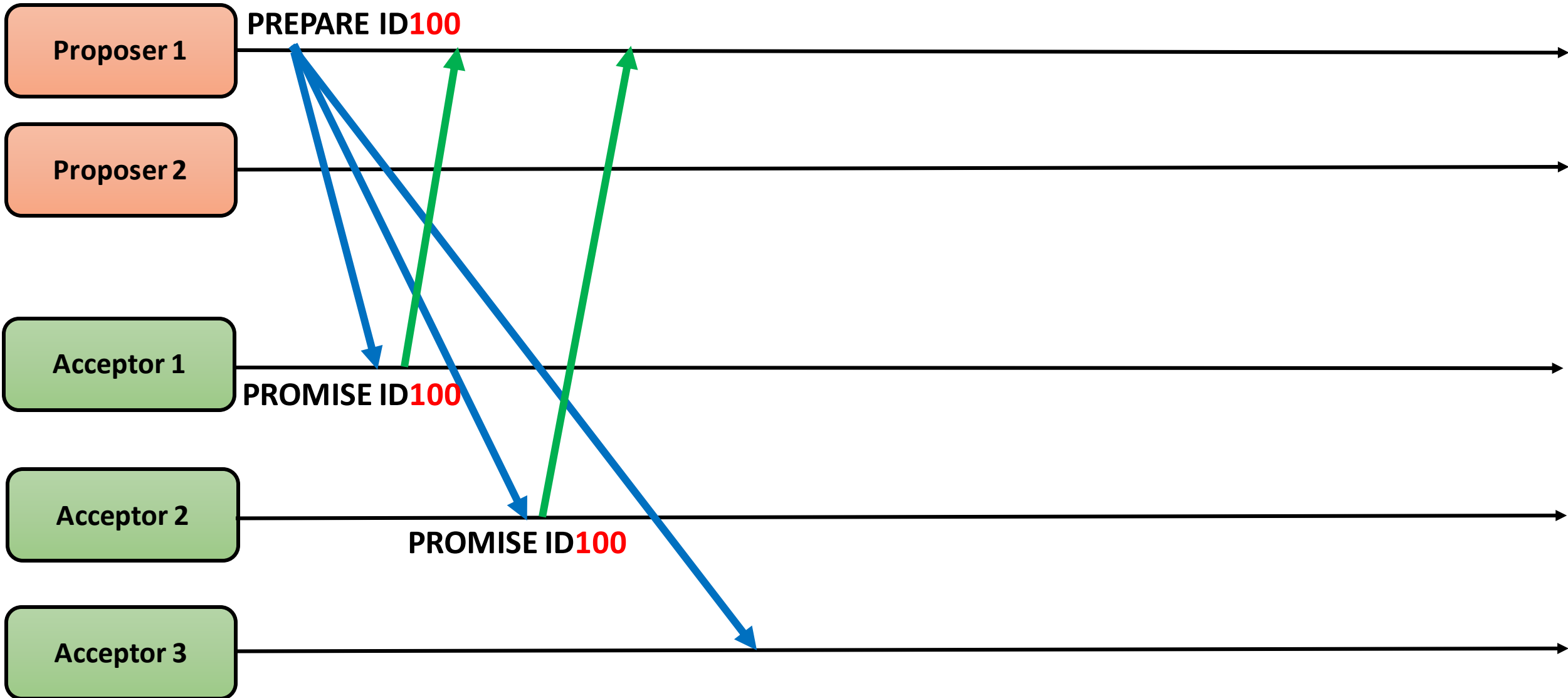
So, the consensus is on the value

We need the ID to maintain the current state of promise and accept, so that multiple values does not propagate

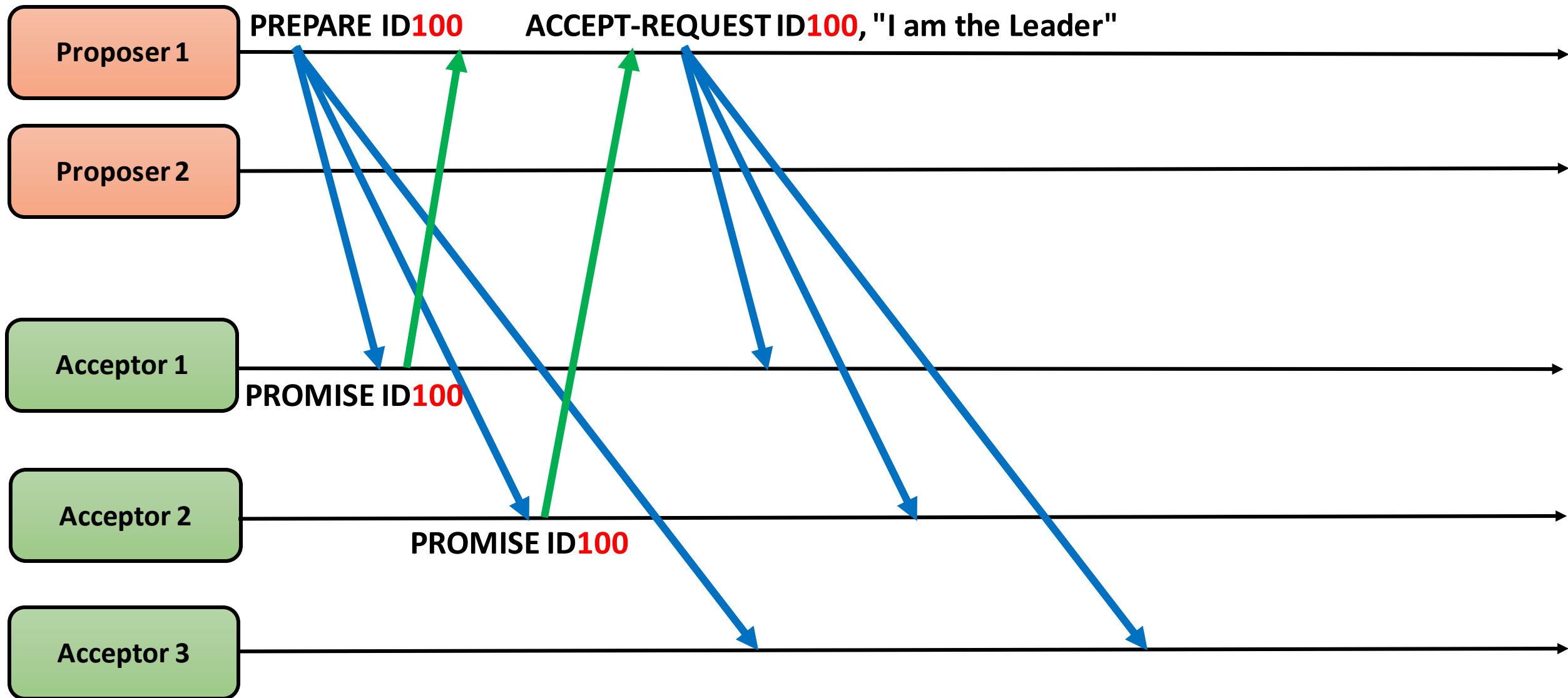
Paxos for Leader Election



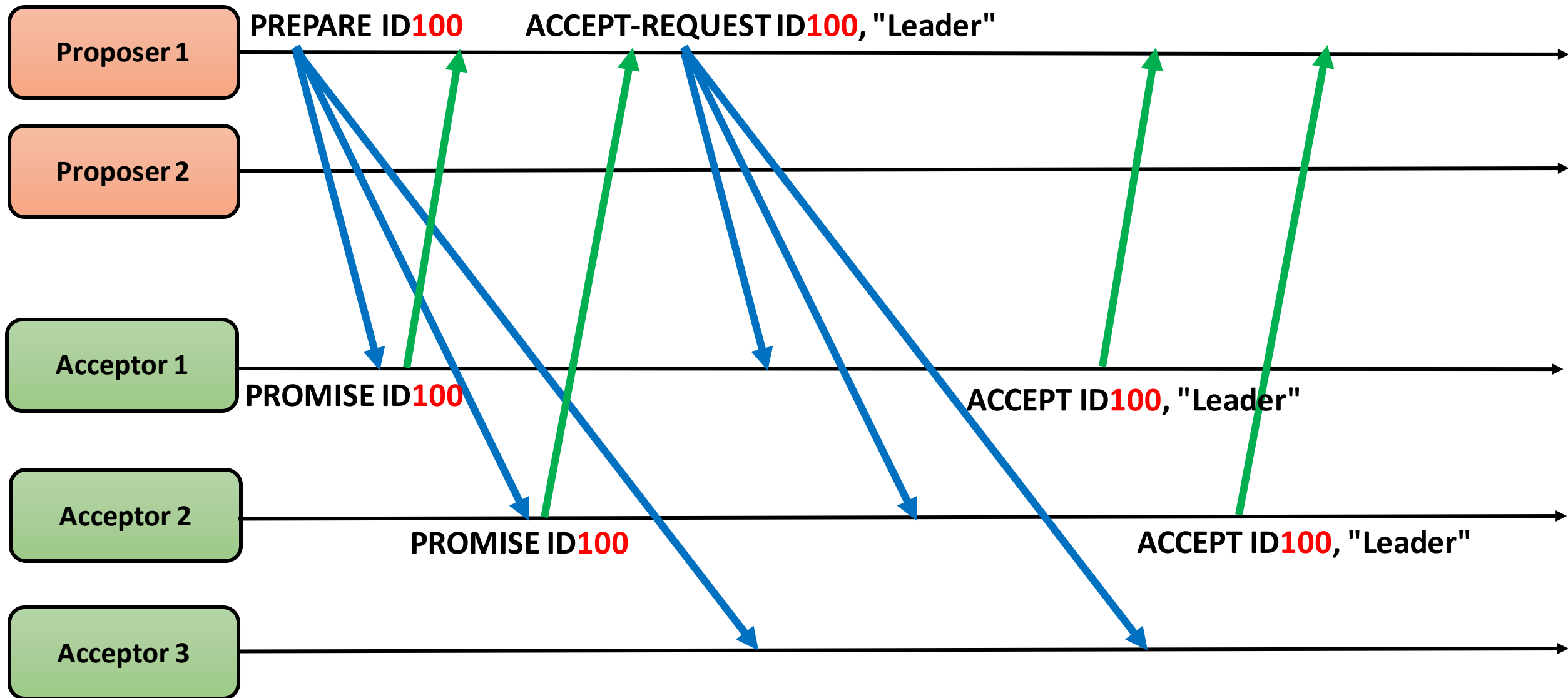
Paxos for Leader Election



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Multi-Paxos

- Applications often needs a continuous stream of agreed values
 - Commit the transactions in a replicated database – each transaction needs a consensus to be agreed upon by the replicas
- Run multiple instances of Paxos with different round numbers
 - Each value is associated with a round number
- If a value is already accepted for Round n , ignore the accept requests for a different value under Round n
 - Forward an ACCEPT ID_p, (ROUND_n, VALUE) only when no value has been agreed upon for the Round n

