

# Some universal Models

①

I will begin with two questions.

orbit equivalence  
Dougherty, Jackson,  
Keane  
1994

Ques. 1  $X$  - Standard Borel space

$T: \mathbb{Z}^d \times X \rightarrow X$  action by Borel automorphisms.

$(X, T)$  - Borel dynamical system.

$\mathcal{E}(X, T)$  - ~~invar~~ ergodic invariant probability measures.

$X_0 \subset X$  is "full" if  $\mu(X_0) = 1$  for all  $\mu \in \mathcal{E}(X, T)$ .

Defn:  $(X, T)$  &  $(Y, S)$  are "almost B. isomorphic" if  
 $\exists X_0 \subset X, Y_0 \subset Y$  full and invariant  
& an isomorphism from  $(X_0, T)$  to  $(Y_0, S)$ .

Question:  $(X, T), (Y, S)$  Borel automorphisms.  
Suppose  $\gamma: \mathcal{E}(X, T) \rightarrow \mathcal{E}(Y, S)$  ~~are~~ is an isomorphism  
such that  $(X, \mu, T) \cong (Y, \gamma(\mu), S) \forall \mu \in \mathcal{E}(X, T)$

Ans.  $(X, T)$  &  $(Y, S)$  almost ~~is~~ B. isomorphic?  
 $\overline{X}$

Question 2: Dominoes are rectangular parallelopiped  
with one side length 2 and rest 1.

$X_{\text{dom}}$  = space of tilings of  $\mathbb{Z}^d$  by dominoes.

$\sigma$  - ~~shift~~  $\mathbb{Z}^d$  action on  $X_{\text{dom}}$  by translations (shifts).

Question: Prove

$$\lim_{n \rightarrow \infty} \frac{1}{(2n)^d} \log (\# \text{ domino tiling of } [1, 2n]^d) = h_{\text{top}}(X_{\text{dom}})$$

For  $d=2$ . follows from Kasteleyn (1962).



$(X, T)$  - Borel dy. system.

(2)

$$h_{\text{gut}}(X, T) = \sup_{\mu \in \mathcal{E}(X, T)} h_{\mu}$$

$(X, T)$  is called "universal" if for all free, ergodic  $(Y, \mu, S)$

$$h_{\mu} < h_{\text{gut}}(X, T)$$

invariant  
 $\exists Y_0 \subset Y, \mu(Y_0) = 1$

and an embedding of  $(Y_0, S)$  into  $(X, T)$ .

~~What about non-ergodic measures?~~

$(X, T)$  ~~is~~ <sup>(almost)</sup> ~~almost~~ Borel universal - if for all free Borel  $(Y, S)$ .

$$h_{\text{gut}}(Y, S) < h_{\text{gut}}(X, T)$$

$\exists$  embedding of  $(Y, S)$  into  $(X, T)$

Hochman (2015) All mixing SFTs are Borel universal.

Motivation for question 1)

(What is the <sup>red</sup> distinction between universal and almost Borel universal.)



Topological

③.

## Two topological dynamical systems

•  $\mathbb{Z}^d$  - Cayley graph with standard generators

Proper  $k$ -colouring of  $\mathbb{Z}^d$ .

$X_{k, \text{col.}} = \{x \in \{1, \dots, k\}^{\mathbb{Z}^d} : \tau \text{ is adjacent to } \bar{\tau} \text{ in } \mathbb{Z}^d \text{ then } x(\tau) \neq x(\bar{\tau})\}$

## Tiling Shifts

• ~~Tiles~~ Tiles -

$\mathcal{F} = \{ \text{set set } \{F_1, \dots, F_n\} \text{ are rectan } \text{parallelepipeds} \}$

rectangular parallelepipeds in  $\mathbb{Z}^d$ .

s.t. for all coordinate directions the

g.c.d. of the coord. side lengths = 1. (mixing)

e.g. dominoes.  $X_{\text{dom}}$

$X_{\mathcal{F}} = \text{set of tilings of } \mathbb{Z}^d \text{ by } \mathcal{F} \{F_1, \dots, F_n\}$

(general class of shifts and very little is known).



9.

Prakhodko (2002)

(a) Salin (2008) proved.

for all  $(Y, \mu, S)$  a free.

$\exists$  equivariant meas. map.

$\varphi: Y \rightarrow X_f$  defined a.p.a.e.

( $\mathbb{Z}^d$  a-Alperin's Lemma  
equivariant tiling of  
orbits).

(b)

Salin & Robinson (2001)

Are  $X_{3, \text{col}}$  and  $X_{\text{dom}}$  universal in  $d=2$ ?

(c)

Gao & Jackson (2015) Let  $(X, T)$  Borel dynamical.

Is there a Borel equivariant map  
into  $X_{3, \text{col}}$  and  $X_{\text{dom}}$ ?

Then:

~~$X_f$  is  $t$ -almost Borel universal for  
some  $t$   
if strengthen (a) & "almost" answers (c)~~

~~$X_{3, \text{col}}$  is  $t$ -almost  $X_{\text{dom}}$  are universal.~~

Then (CGM)  $X_f$  is  $t$ -almost Borel universal for some  $t$   
( $d=2$ )

$X_{\text{dom}}$  &  $X_{3, \text{col}}$  are almost Borel universal.  
[strengthen]



Strengthens (a) (Stronger  $\mathbb{Z}^d$ -Alperin's Lemma) (5)

Completely answers (b)


"almost" answers (c) - [We do not know how to deal with part with which does not support probability measures]

("almost" equivariant tilings of an and colouring of and  $\mathbb{Z}^d$  Borel dynamical system.)

- X -

We prove further:  $H$ -finite undirected graph

$X_H$  vertex shift for  $H$   
= maps from  $\mathbb{Z}^d$  to  $H$  which preserve adjacency.

$H =$   -  $X_H$  is ~~isomorphic~~  $\cong X_{3, \text{col}}$ .

Thm:  $H$ - is not bipartite.  $\Rightarrow X_H$  is almost Borel universal.

Question (2)  $\Rightarrow X_{\text{dom}}$  for  $d \geq 2$  is universal.

General Scheme Want:  $(X, T)$  universal.

- prove  $(X, T)$  has specification-like condition
- Specification like condition  $\Rightarrow$  universality.



(Let us discuss two such conditions)

⑥.

$X$  - shift space.  $X \subset A^{\mathbb{Z}^d}$ .

• Strong irreducibility:

$\exists n$  s.t.  $\forall A, B \subset \mathbb{Z}^d$ .



$\forall x, y \in X$

$\exists z$  s.t.  $z|_A = x|_A$

$z|_B = y|_B$ .

→ technical condition  $\Rightarrow$  universality.

(Sahar & Robinson, 2001)

↳ (not required is a result of our theorem).

•  $d=1$  Almost weak specification.

$\exists f: \mathbb{N} \rightarrow \mathbb{N}$   $A(n) = o(n)$  s.t.



$|B_i| \geq f(|A_i|)$

then for all  $x^{(1)}, x^{(2)}, x^{(3)}, \dots, x^{(n)} \in X$ .

$\exists x \in X$  s.t.  $x|_{A_i} = x^{(i)}|_{A_i} \Rightarrow$  universality (Quas & Soo 2012)

Answered Lind & Thouvenot

Quas & Soo used this to prove

In more general non-periodic subshifts of  $\mathbb{Z}^d$ .



Quers & So (2012)

7

First Idea Why not try to approximate  
our systems with these.

Then:  $X_{3, \text{rot}}$  &  $X_{\text{don}}$  does not contain any  
such system!

Second Idea ( $X_{3, \text{rot}}$  &  $X_{\text{don}}$  do not  
contain any such have  
a non-trivial cocycle into  
 $\mathbb{Z}$ ).

Second Idea Why not use their method of proof?

They use Ruelle - Rohrborn Machinery.

First step:  $\mu$  on  $(X, T)$  is, ~~but~~  $\mu$  is not an nme.

Find  $\mu'$  closest to  $\mu$  (weak\* sense),  
st.  $h_{\mu'} > h_{\mu}$ .

~~For  $X_H$  it is believed~~

(Belief)  $\exists \mu$  on  $X_H$  (vertex shifts)

st.  $\mu$  is not an nme.

But  $\mu$  is a local nme.

(statistical  
physics  
entropic  
resolubility)



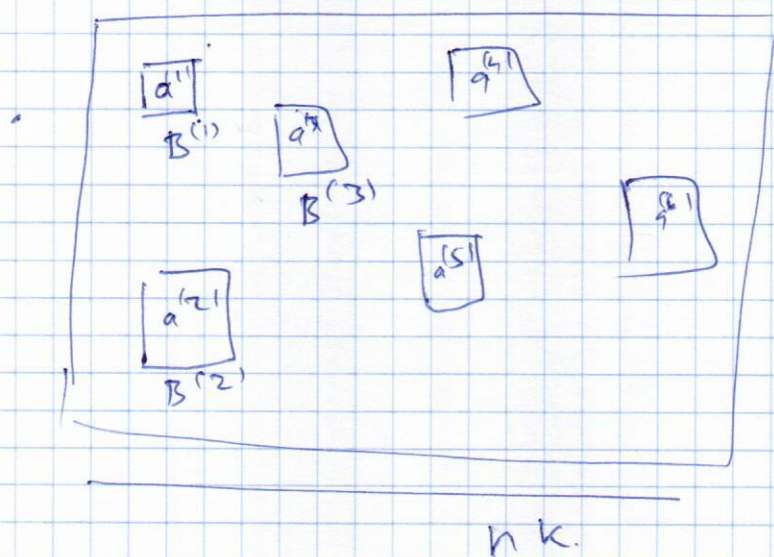
$$L(x, B) = \{u \mid B : x \in X\}.$$

(8)

$B_n$  - box of side length  $n$ . Fix  $k \in \mathbb{N}$ .

$C_{nk} \subset L(x, B_{nk})$  is called  $t$ -flexible if

$$\liminf_{n \rightarrow \infty} \frac{1}{|B_{nk}|} \log |C_{nk}| = t.$$



$$a^{(i)} \in \bigcup_{i \in \mathbb{N}} C_{nk}.$$

$$\Rightarrow \exists a \in C_{nk} \text{ st } a|_{B^{(i)}} = a^{(i)}$$

Thus  $t$ -flexible  $\Rightarrow t$ -almost Borel universal seqn.



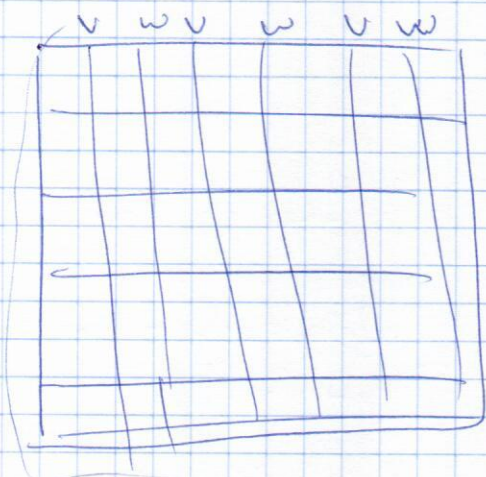
(9)

$H$  - undirected graph. (connected).

$(v, w)$  edge

$C_n$  = graph homomorphisms from  $B_n$  to  $H$ .

such that on  $B_n \setminus B_{n-1}$ , only  $v, w$  appear



$\mathcal{F}$  - set of rectangular tiles.  $k$  = product of side lengths of tiles in  $\mathcal{F}$ .

$x \in \mathcal{C}_{n,k}$ .

$C_{n,k}$  = complete tilings of  $B_{n,k}$ .

Question: Is  $h_{\text{top}}(x_{\mathcal{F}})$  always computable?