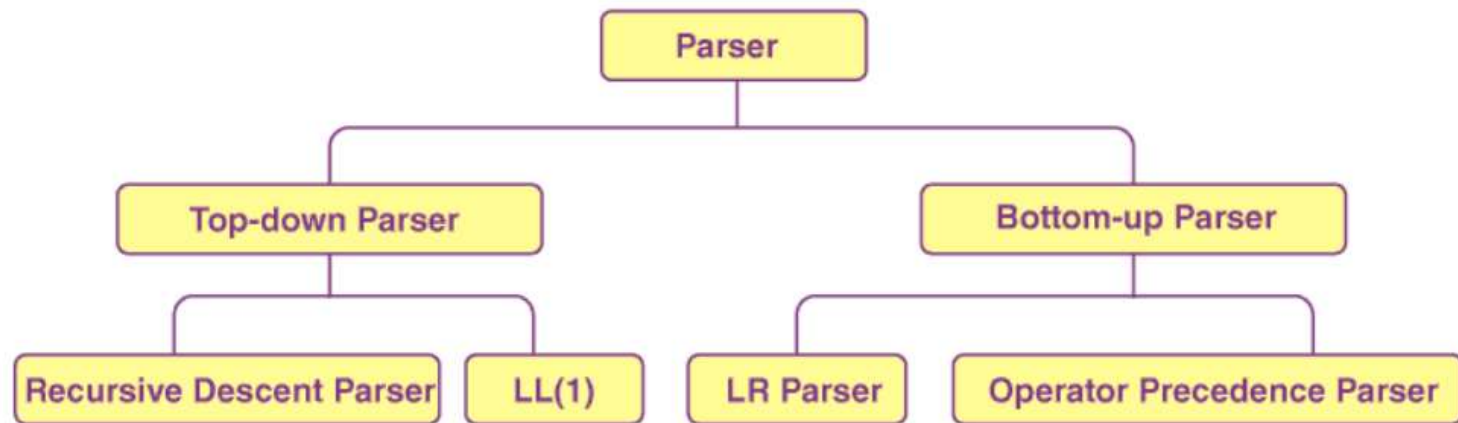


# Compiler Design

BoTtOm-Up PaRsInG

InTrO..



- Bottom-UP-Parsing

- Construct a parse tree for an input string beginning at leaves and going towards root  
OR
- Reduce a string **W** of input to start symbol of grammar **S**

## BoTtOm-Up PaRsInG

- Bottom-up parsing is more general than top-down parsing
  - And just as efficient
  - Builds on ideas in top-down parsing
  - Preferred method in practice
- Also called LR parsing
  - L means that tokens are read left to right
  - R means that it constructs a rightmost derivation !

## An Introductory Example

- LR parsers don't need left-factored grammars and can also handle left-recursive grammars
- Consider the following grammar:  $E \rightarrow E + ( E ) \mid \text{int}$ 
  - Why is this not LL(1)?

An **expression (E)** can be: Another expression followed by  $+$   $( E )$ , or Just an **int**.

Here,  $E \rightarrow E + ( E )$  is **left-recursive**, so LL(1) fails.

Consider the string:

int + ( int ) + ( int )

An LL(1) parser would face difficulty when it sees the first int:

- Should it expand  $E \rightarrow \text{int}$  or try  $E \rightarrow E + ( E )$ ?
- With only 1 symbol lookahead, it cannot decide.

An LR parser doesn't guess.

- It shifts **int**, then reduces it to **E**,
- then when it sees  $+$   $( \text{int} )$  ..., it recognizes the pattern  $E + ( E )$  and reduces correctly.
- Finally, it parses the whole input without problems.

Contd.,

- Consider a grammar

$$S \rightarrow aABe$$
$$A \rightarrow Abc \mid b$$
$$B \rightarrow d$$

Input string : **a****b****b****c****d****e**

a**A**bcde

a**A**de

a**AB**e

S

The sentential forms happen to be a right most derivation in the reverse order

Right Most Derivation  $\rightarrow \rightarrow \rightarrow \rightarrow \rightarrow$

**S**  $\rightarrow$  **a A B e**

$\rightarrow$  **a A d e**

$\rightarrow$  **a A b c d e**

$\rightarrow$  **a b b c d e**

Contd.,

$S \rightarrow aABb$

$A \rightarrow aA \mid \underline{a}$

$B \rightarrow bB \mid b$

input string:  $aaabb$

$aaAbb$

$aAbb$

$aABb$

$S$

$\Downarrow$  reduction

$S \xRightarrow{rm} aABb \xRightarrow{rm} aAbb \xRightarrow{rm} aaAbb \xRightarrow{rm} aaabb$

Right Sentential Forms

## ThE iDeA

- LR parsing reduces a string to the start symbol by inverting (**reversing**) productions.
  - str **w** input string of terminals
  - Repeat
    - Identify  **$\beta$**  in **str** such that  **$A \rightarrow \beta$**  is a production (**i.e., str =  $\alpha\beta\gamma$** )
    - Replace  **$\beta$**  by **A** in str (**i.e., str w =  $\alpha A \gamma$** )
    - until str = **S** (the start symbol)  
OR all possibilities are exhausted

## A Bottom-up Parse in Detail (1)

`int + (int) + (int)`

`int + ( int ) + ( int )`



## A Bottom-up Parse in Detail (2)

int + (int) + (int)  
E + (int) + (int)

E  
|  
int + ( int ) + ( int )

## A Bottom-up Parse in Detail (3)

int + (int) + (int)

E + (int) + (int)

E + (E) + (int)

E
 |
 int
 +
 (
 E
 |
 int
 )
 +
 (
 int
 )

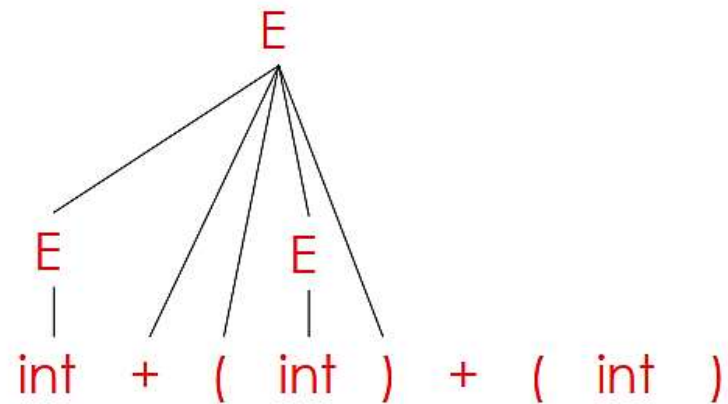
## A Bottom-up Parse in Detail (4)

int + (int) + (int)

E + (int) + (int)

E + (E) + (int)

E + (int)



## A Bottom-up Parse in Detail (5)

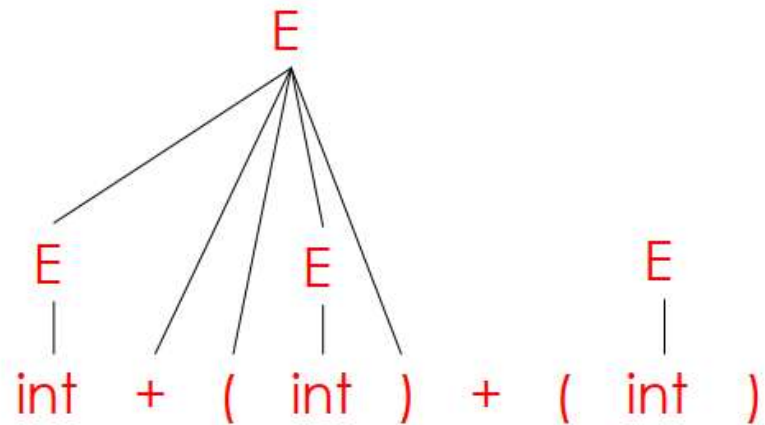
int + (int) + (int)

E + (int) + (int)

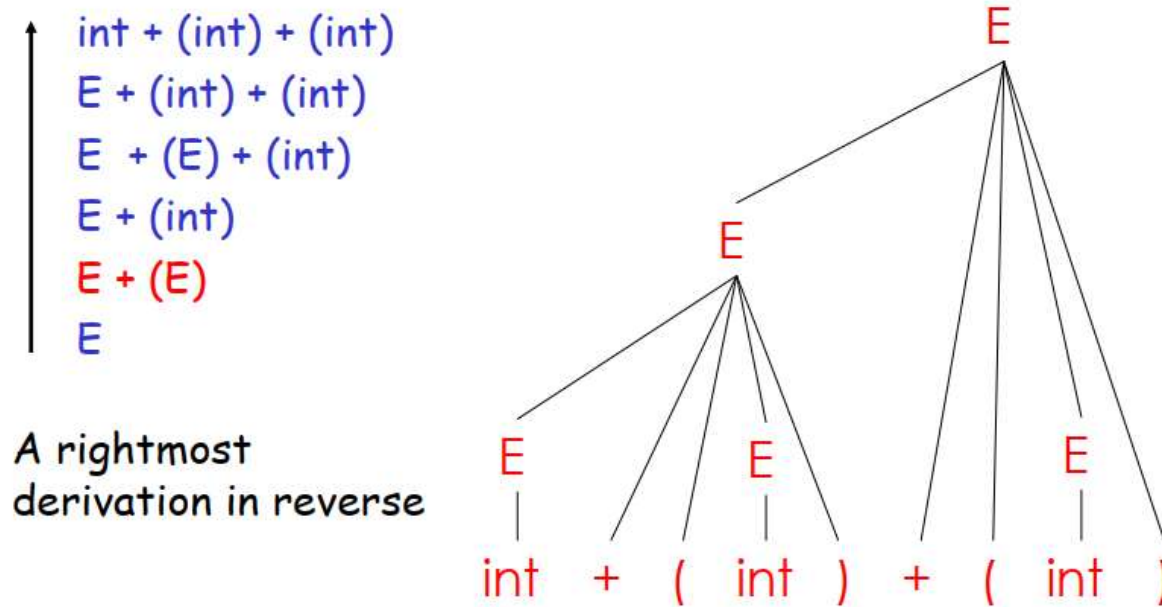
E + (E) + (int)

E + (int)

E + (E)



## A Bottom-up Parse in Detail (6)



## Important Fact #1

- Important Fact #1 about bottom-up parsing:

***An LR parser traces a rightmost derivation in reverse***

## Where Do Reductions Happen

- Important Fact #1 has an interesting consequence:
  - Let  $\alpha\beta\gamma$  be a step of a bottom-up parse
  - Assume the next reduction is by using  $A \rightarrow \beta$
  - Then  $\gamma$  is a string of terminals
- Why? Because  $\alpha A \gamma \rightarrow \alpha \beta \gamma$  is a step in a rightmost derivation

## Idea: Notation

- Split string into two substrings
  - Right substring is as yet unexamined by parsing (a string of terminals)
  - Left substring has terminals and non-terminals
- The dividing point is marked by a |
  - The | is not part of the string
- Initially, all input is unexamined: |x<sub>1</sub>, x<sub>2</sub>, . . . x<sub>n</sub>



# Shift-Reduce Parsing

- Bottom-up parsing uses only two kinds of actions: **Shift and Reduce**

*Shift:* Move **|** one place to the right

- Shifts a terminal to the left string

$$E + (| \text{int} ) \Rightarrow E + (\text{int} | )$$

Shift



In general:

$$ABC | xyz \Rightarrow ABCx | yz$$

*Reduce:* Apply an inverse production at the right end of the left string

- If  $E \rightarrow E + ( E )$  is a production, then

Reduce



$$E + ( \underline{E + (E)} | ) \Rightarrow E + ( \underline{E} | )$$

In general, given  $A \rightarrow xy$ , then:

$$Cbxy | ijk \Rightarrow CbA | ijk$$

## Shift-Reduce Example

| int + (int) + (int)\$ shift

$E \rightarrow E + ( E ) \mid \text{int}$

int + ( int ) + ( int )  
↑

## Shift-Reduce Example

$E \rightarrow E + ( E ) \mid \text{int}$

| int + (int) + (int)\$ shift

int | + (int) + (int)\$ reduce  $E \rightarrow \text{int}$

int + ( int ) + ( int )  
↑

## Shift-Reduce Example

$E \rightarrow E + ( E ) \mid \text{int}$

| int + (int) + (int)\$ shift

int | + (int) + (int)\$ reduce  $E \rightarrow \text{int}$

E | + (int) + (int)\$ shift 3 times

E  
/  
int + ( int ) + ( int )  
↑

## Shift-Reduce Example

| int + (int) + (int)\$ shift  
int | + (int) + (int)\$ reduce  $E \rightarrow \text{int}$   
E | + (int) + (int)\$ shift 3 times  
E + (int | ) + (int)\$ reduce  $E \rightarrow \text{int}$

$E \rightarrow E + ( E ) \mid \text{int}$

E  
/  
int + ( int ) + ( int )  
↑

## Shift-Reduce Example

| int + (int) + (int)\$ shift  
int | + (int) + (int)\$ reduce  $E \rightarrow \text{int}$   
E | + (int) + (int)\$ shift 3 times  
E + (int |) + (int)\$ reduce  $E \rightarrow \text{int}$   
E + (E |) + (int)\$ shift

$E \rightarrow E + (E) \mid \text{int}$

Diagram illustrating the partial parse tree for the expression `int + (int) + (int)` after the first reduction:

The expression is shown as: `int + ( int ) + ( int )`

Red lines indicate the structure of the partial parse tree:

- A red line connects the first `int` to a red `E` above it.
- A red line connects the `int` inside the first parentheses to a red `E` above it.

An upward arrow points to the closing parenthesis `)` of the first sub-expression, indicating the current position of the parser.

## Shift-Reduce Example

$E \rightarrow E + (E) \mid \text{int}$

| int + (int) + (int)\$ shift  
int | + (int) + (int)\$ reduce  $E \rightarrow \text{int}$   
E | + (int) + (int)\$ shift 3 times  
E + (int |) + (int)\$ reduce  $E \rightarrow \text{int}$   
E + (E |) + (int)\$ shift  
E + (E) | + (int)\$ reduce  $E \rightarrow E + (E)$

Diagram illustrating the partial parse tree structure for the expression `int + (int) + (int)` after the final shift operation:

The expression is shown as: `int + ( int ) + ( int )`

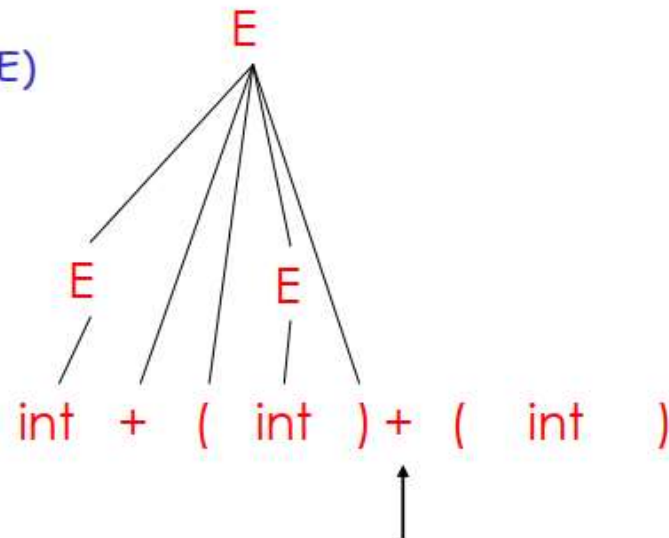
Red 'E' labels are placed above the first `int` and the first `( int )` group, with diagonal lines connecting them to the `int` and `( int )` respectively, indicating they are non-terminals derived from `E`.

An upward-pointing arrow is positioned below the `+` operator between the two `( int )` groups, indicating the current position in the shift-reduce process.

## Shift-Reduce Example

$$E \rightarrow E + (E) \mid \text{int}$$

int + (int) + (int)\$	shift
int   + (int) + (int)\$	reduce $E \rightarrow \text{int}$
E   + (int) + (int)\$	shift 3 times
E + (int   ) + (int)\$	reduce $E \rightarrow \text{int}$
E + (E   ) + (int)\$	shift
E + (E)   + (int)\$	reduce $E \rightarrow E + (E)$
E   + (int)\$	shift 3 times

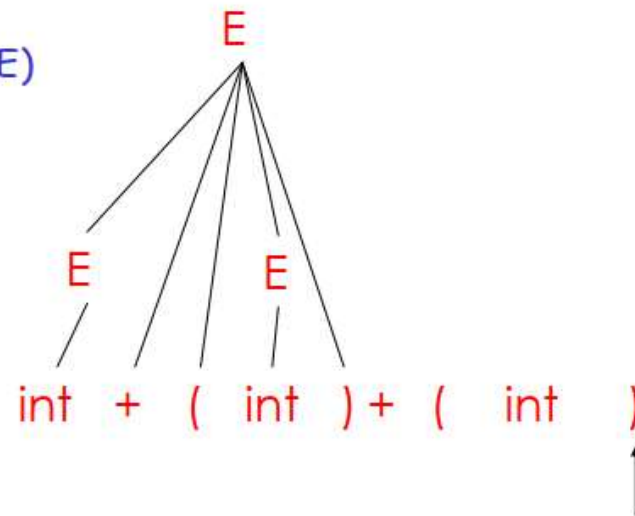




## Shift-Reduce Example

$E \rightarrow E + (E) \mid \text{int}$

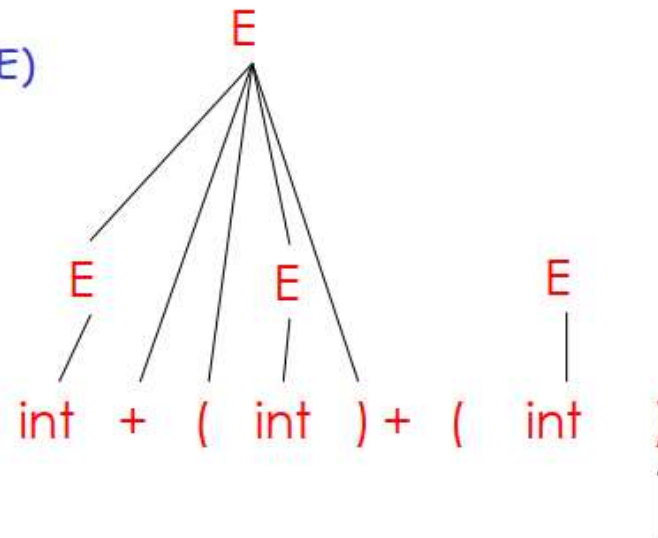
| int + (int) + (int)\$ shift  
int | + (int) + (int)\$ reduce  $E \rightarrow \text{int}$   
E | + (int) + (int)\$ shift 3 times  
E + (int | ) + (int)\$ reduce  $E \rightarrow \text{int}$   
E + (E | ) + (int)\$ shift  
E + (E) | + (int)\$ reduce  $E \rightarrow E + (E)$   
E | + (int)\$ shift 3 times  
E + (int | )\$ reduce  $E \rightarrow \text{int}$



## Shift-Reduce Example

$E \rightarrow E + (E) \mid \text{int}$

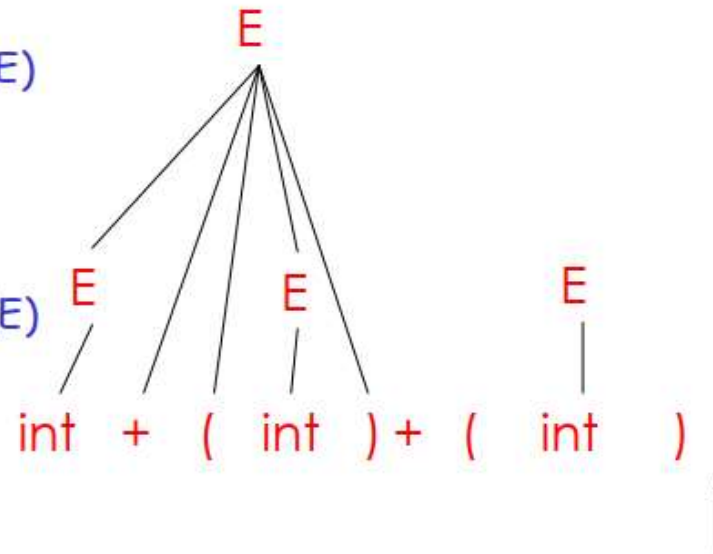
| int + (int) + (int)\$ shift  
int | + (int) + (int)\$ reduce  $E \rightarrow \text{int}$   
E | + (int) + (int)\$ shift 3 times  
E + (int | ) + (int)\$ reduce  $E \rightarrow \text{int}$   
E + (E | ) + (int)\$ shift  
E + (E) | + (int)\$ reduce  $E \rightarrow E + (E)$   
E | + (int)\$ shift 3 times  
E + (int | )\$ reduce  $E \rightarrow \text{int}$   
E + (E | )\$ shift



# Shift-Reduce Example

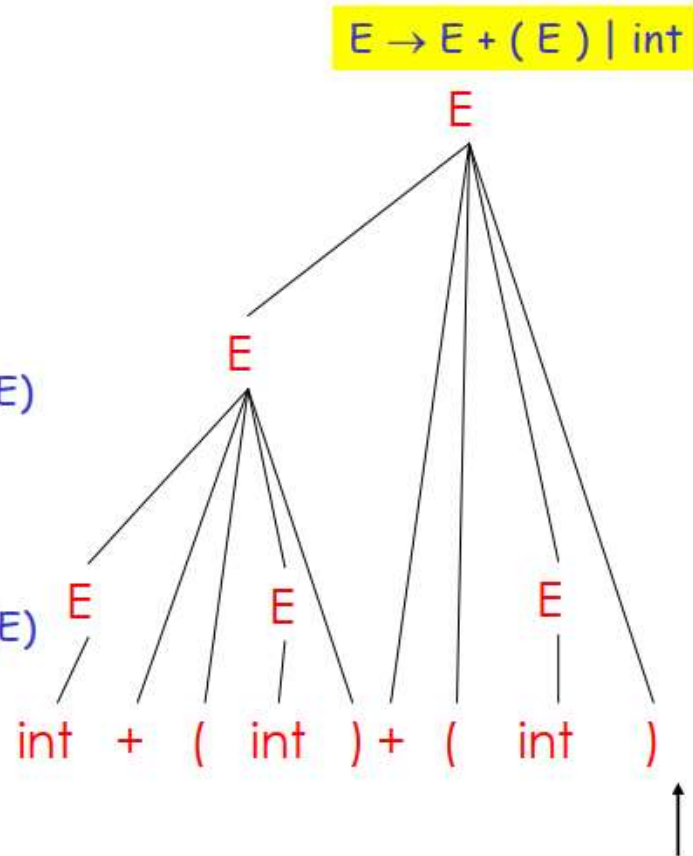
$$E \rightarrow E + ( E ) \mid \text{int}$$

int + (int) + (int)\$	shift
int   + (int) + (int)\$	reduce $E \rightarrow \text{int}$
E   + (int) + (int)\$	shift 3 times
E + (int   ) + (int)\$	reduce $E \rightarrow \text{int}$
E + (E   ) + (int)\$	shift
E + (E)   + (int)\$	reduce $E \rightarrow E + (E)$
E   + (int)\$	shift 3 times
E + (int   )\$	reduce $E \rightarrow \text{int}$
E + (E   )\$	shift
E + (E)   \$	reduce $E \rightarrow E + (E)$



## Shift-Reduce Example

int + (int) + (int)\$	shift
int   + (int) + (int)\$	reduce $E \rightarrow \text{int}$
E   + (int) + (int)\$	shift 3 times
E + (int   ) + (int)\$	reduce $E \rightarrow \text{int}$
E + (E   ) + (int)\$	shift
E + (E)   + (int)\$	reduce $E \rightarrow E + (E)$
E   + (int)\$	shift 3 times
E + (int   )\$	reduce $E \rightarrow \text{int}$
E + (E   )\$	shift
E + (E)   \$	reduce $E \rightarrow E + (E)$
E   \$	accept



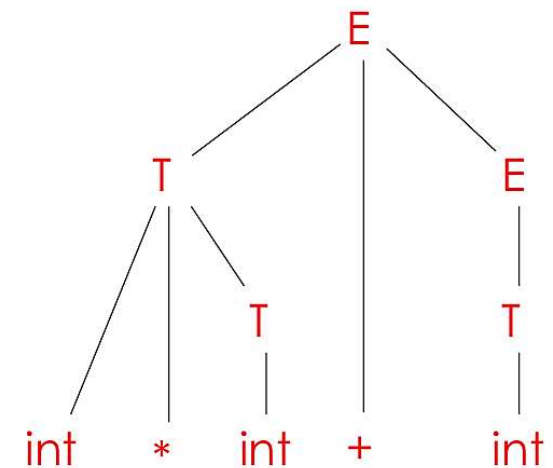
## A Bottom-up Parse... Example (2)

Bottom-up parsing reduces a string to the start symbol by inverting productions:

int * int + int	$T \rightarrow \text{int}$
int * T + int	$T \rightarrow \text{int} * T$
T + int	$T \rightarrow \text{int}$
T + T	$E \rightarrow T$
T + E	$E \rightarrow T + E$
E	

int \* int + int  
int \* T + int  
T + int  
T + T  
T + E  
E

$E \rightarrow T + E \mid T$   
 $T \rightarrow \text{int} * T \mid \text{int} \mid (E)$



## A Bottom-up Parse Ex 2 in Detail (1)

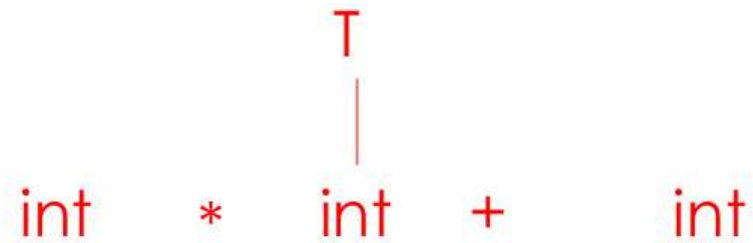
int \* int + int

int \* int + int

## A Bottom-up Parse Ex 2 in Detail (2)

int \* int + int

int \* T + int

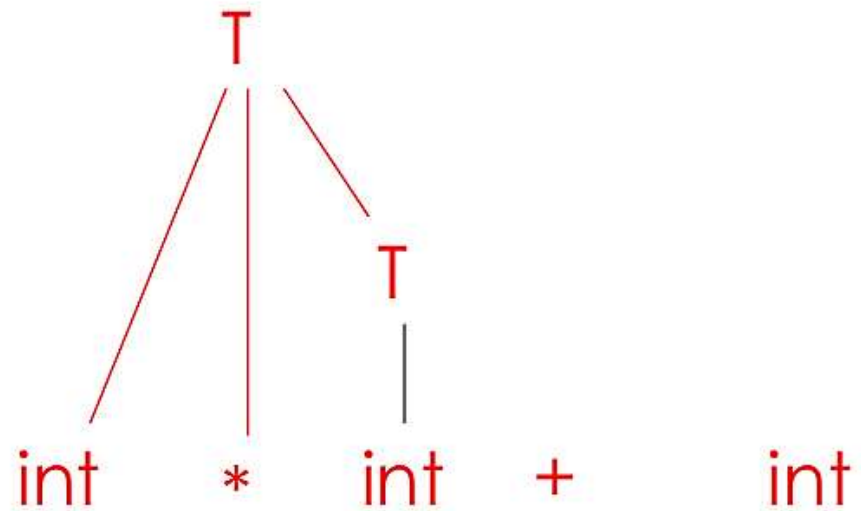


## A Bottom-up Parse Ex 2 in Detail (3)

int \* int + int

int \* T + int

T + int





## A Bottom-up Parse Ex 2 in Detail (4)

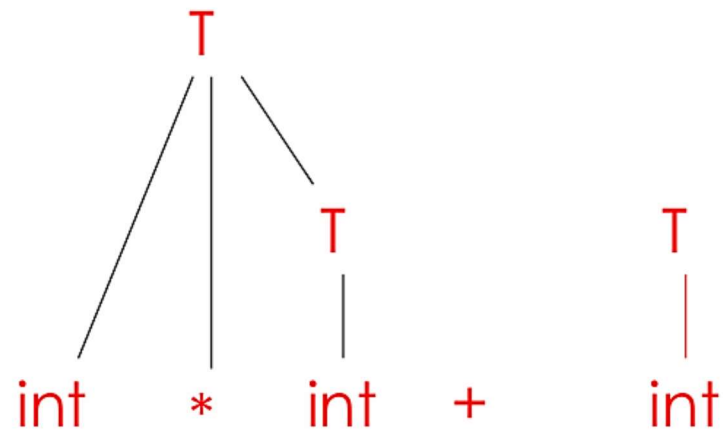
.

int \* int + int

int \* T + int

T + int

T + T



## A Bottom-up Parse Ex 2 in Detail (5)

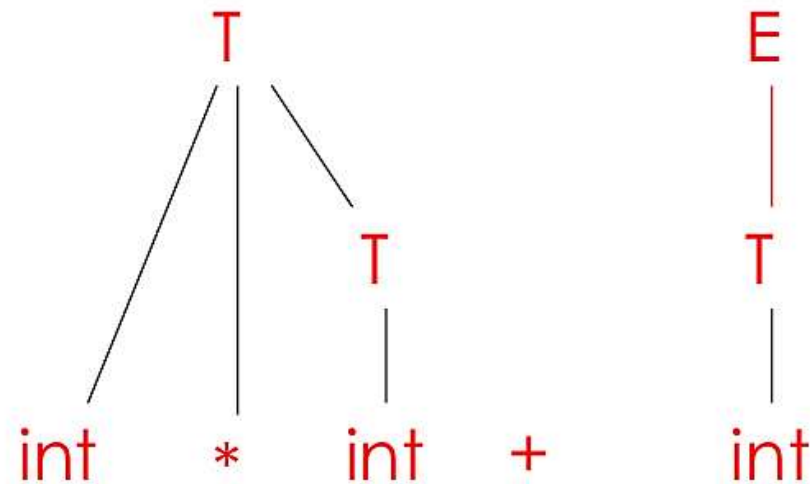
int \* int + int

int \* T + int

T + int

T + T

T + E



## A Bottom-up Parse Ex 2 in Detail (6)

int \* int + int

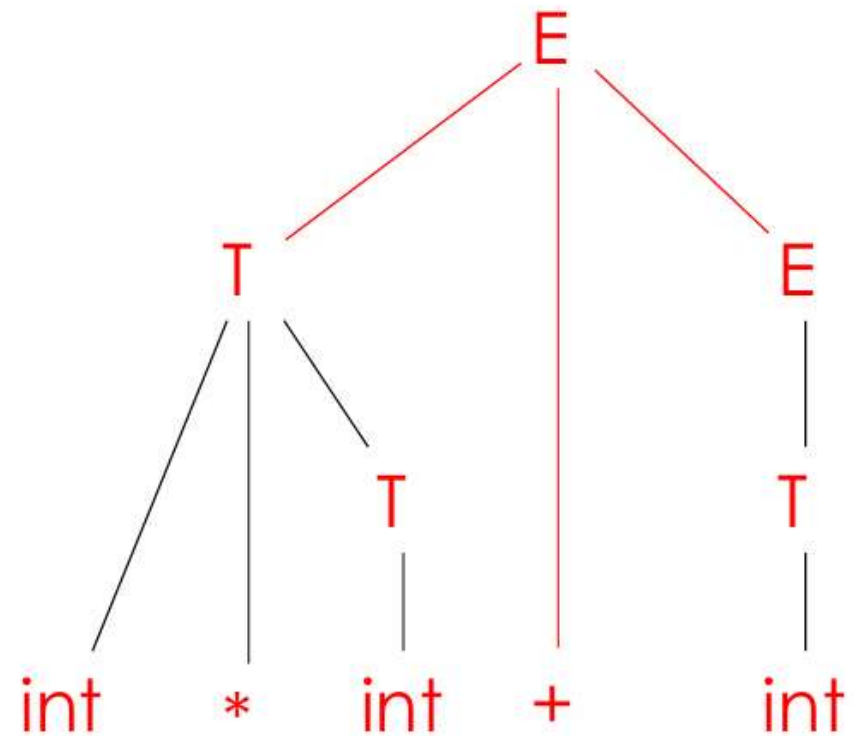
int \* T + int

T + int

T + T

T + E

E



## The Example with Shift-Reduce Parsing

int * int + int	shift
int   * int + int	shift
int *   int + int	shift
int * int   + int	reduce $T \rightarrow \text{int}$
int * T   + int	reduce $T \rightarrow \text{int} * T$
T   + int	shift
T +   int	shift
T + int	reduce $T \rightarrow \text{int}$
T + T	reduce $E \rightarrow T$
T + E	reduce $E \rightarrow T + E$
E	

## A Shift-Reduce Parse in Detail (1)

| int \* int + int

int \* int + int  
↑

## A Shift-Reduce Parse in Detail (2)

| int \* int + int

int | \* int + int

int \* int + int  
↑

## A Shift-Reduce Parse in Detail (3)

| int \* int + int

int | \* int + int

int \* | int + int

int \* int + int  
↑

## A Shift-Reduce Parse in Detail (4)

| int \* int + int

int | \* int + int

int \* | int + int

int \* int | + int

int \* int + int  
          ↑



## A Shift-Reduce Parse in Detail (5)

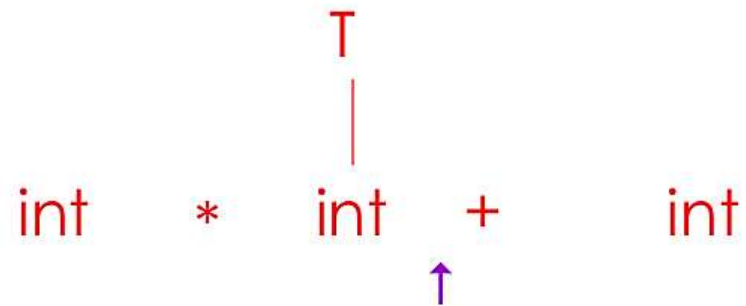
| int \* int + int

int | \* int + int

int \* | int + int

int \* int | + int

int \* T | + int



## A Shift-Reduce Parse in Detail (6)

| int \* int + int

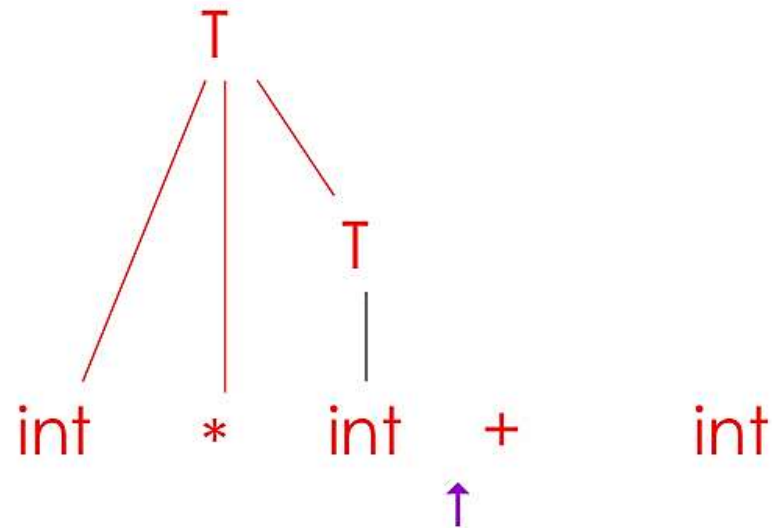
int | \* int + int

int \* | int + int

int \* int | + int

int \* T | + int

T | + int



## A Shift-Reduce Parse in Detail (7)

| int \* int + int

int | \* int + int

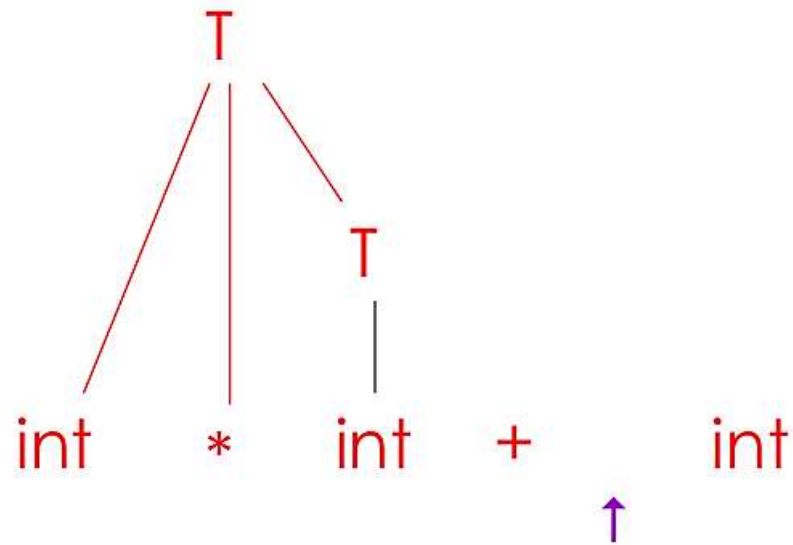
int \* | int + int

int \* int | + int

int \* T | + int

T | + int

T + | int



## A Shift-Reduce Parse in Detail (8)

| int \* int + int

int | \* int + int

int \* | int + int

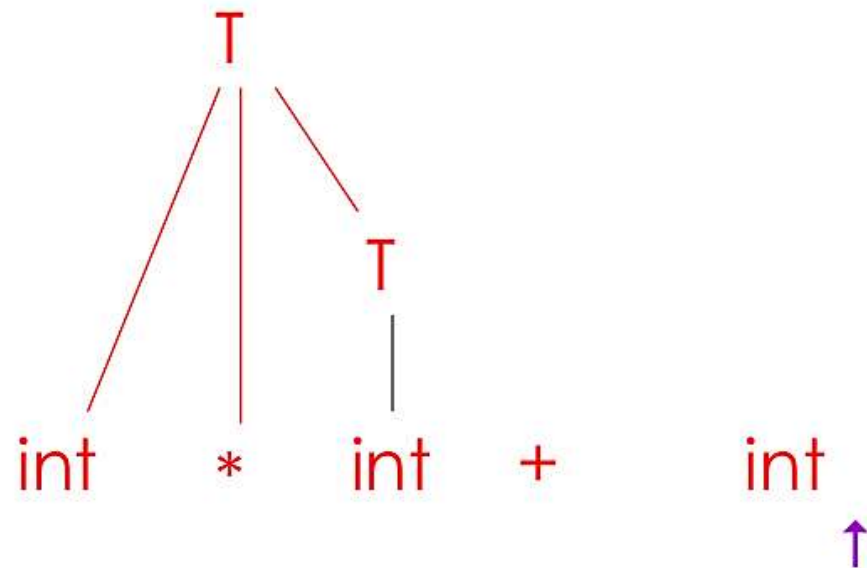
int \* int | + int

int \* T | + int

T | + int

T + | int

T + int |



## A Shift-Reduce Parse in Detail (9)

| int \* int + int

int | \* int + int

int \* | int + int

int \* int | + int

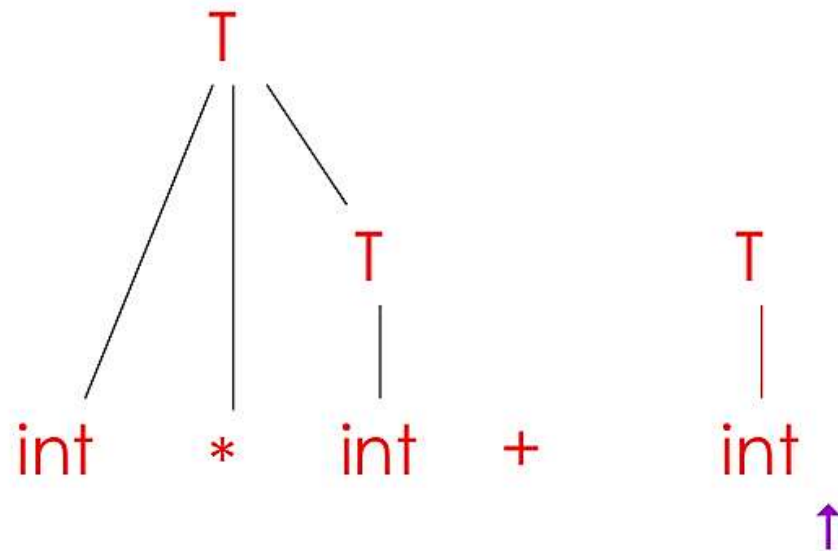
int \* T | + int

T | + int

T + | int

T + int |

T + T |



## A Shift-Reduce Parse in Detail (10)

| int \* int + int

int | \* int + int

int \* | int + int

int \* int | + int

int \* T | + int

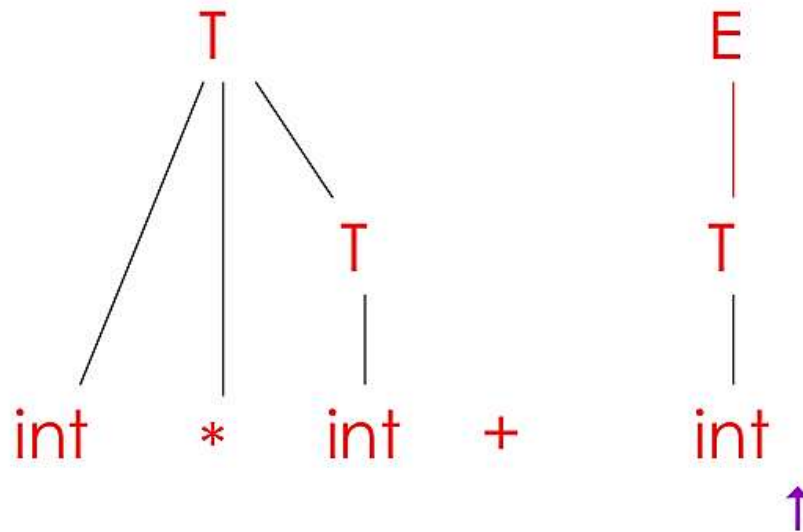
T | + int

T + | int

T + int |

T + T |

T + E |



## A Shift-Reduce Parse in Detail (11)

| int \* int + int

int | \* int + int

int \* | int + int

int \* int | + int

int \* T | + int

T | + int

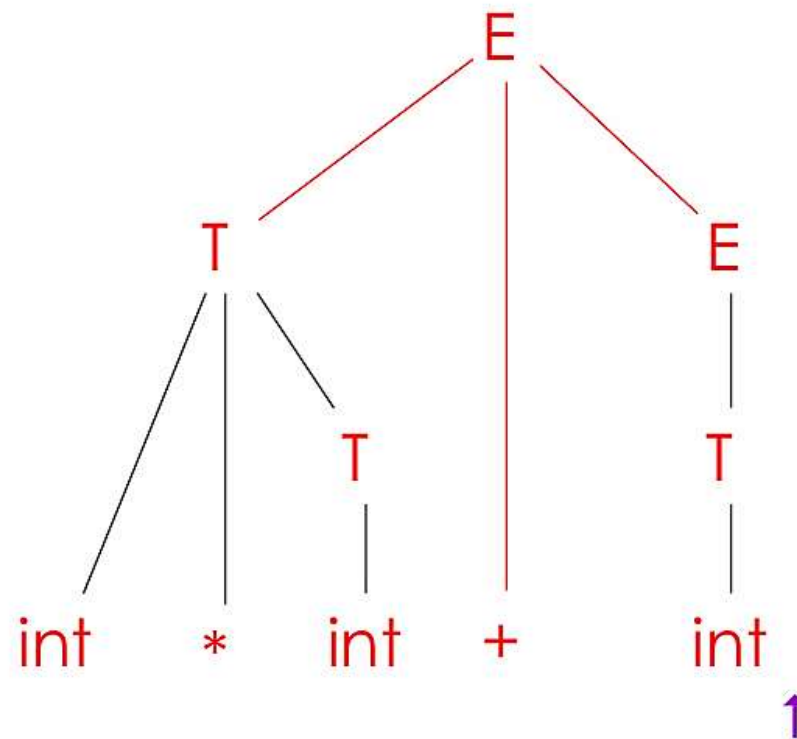
T + | int

T + int |

T + T |

T + E |

E |



## A Stack Implementation of a Shift-Reduce Parser

- There are four possible actions of a shift-parser action:
  - Shift : The next input symbol is shifted onto the top of the stack.
  - Reduce: Replace the handle on the top of the stack by the non-terminal.
  - Accept: Successful completion of parsing.
  - Error: Parser discovers a syntax error, and calls an error recovery routine.
- Initial stack just contains only the end-marker \$.
- The end of the input string is marked by the end-marker \$