Project 3: Handwritten Digit Recognition

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Abstract

This project aims to solve a classification problem. The

classification problem is that of handwritten digits. To solve this

problem, we test the data on multiple classifiers and then implement an ensemble classifier using bagging and majority

voting. Performance of all the classifiers is evaluated

individually and compared with the ensemble classifier. We take

the MNIST public dataset and train and test on it. The classifiers

used to solve the problem are Logistic Regression using

backpropagation, Support Vector Machine, Neural networks and

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Introduction 1

Random Forest Classifiers.

With handwritten digit recognition being an established and significant problem, there has been a great deal of research work that has been undertaken in this area. It is not a trivial task because of the big variation that exists in the writing styles that have been found in the available data. Therefore both, the features and the classifier need to be efficient.

Handwritten digit recognition remains a vital area because of its huge number of practical applications, as well as the important financial implications. It is promising in a wide range of application domains, including online handwriting recognition on computer tablets; zip code recognition to help sort posted mail, as well as the verification of signatures on cheques in order to thwart any attempts at bank fraud, etc. Handwritten digit recognition is also widely used in a number of academic institutions to process their examination papers.

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2 Datasets

2.1 MNIST Dataset

For both training and testing of our classifiers, we will use the MNIST dataset. The MNIST database of handwritten digits, has a training set of 60,000 examples, and a test set of 10,000 examples. It is a subset of a larger set available from NIST. The digits have been size-normalized and centered in a fixed-size image.

The MNIST database is a large database of handwritten digits that is commonly used for training various image processing systems. The database is also widely used for training and testing in the field of machine learning.

The dataset could be downloaded from here:

http://yann.lecun.com/exdb/mnist/

The original black and white (bilevel) images from MNIST were size normalized to fit in a 20x20 pixel box while preserving their aspect ratio. The resulting images contain grey levels as a result of the anti-aliasing technique used by the normalization algorithm. the images were centered in a 28x28 image by computing the center of mass of the pixels, and translating the image so as to position this point at the center of the 28x28 field.



Figure 1 Sample Images from the MNIST Dataset

2.2 USPS Dataset

We use USPS handwritten digit as another testing data for this project to test whether your models could be generalized to a new population of data.

As we train on the MNIST and then test on the USPS dataset, we resize all the images in the USPS dataset to 28*28 pixels so that all the images have the same number of features. 0/234 Figure 2 USPS Dataset Sample Images As mentioned above, the handwritten digit recognition has important applications in the field of postcard zip code reading. It can be very useful if an efficient algorithm can be built to do so. 2.3 Training and Testing Data split We split the USPS data into Training, Validation and Testing data in the ratio of 5:1:1 respectively. As there are 70,000 samples, we take 50,000 samples for training, 10,000 samples for validation and testing data. We use the 19999 samples of the USPS dataset entirely for testing and evaluate whether our models can work on a new population of data. Each image has 784 features, both the MNIST and the USPS dataset.

Logistic Regression

Logistic regression is a discriminative probabilistic statistical classification model that can be used to predict the probability of occurrence of an event. In this case there are multiple events. Hence, we use the Multinomial Logistic Regression approach. We use multinomial when the dependent variable is categorical. In this case, we need to classify the data into 10 classes that is categories. For example, the event that a given image is a '0'. We calculate probabilities of all the classes

and then output the class with the maximum probability.

3.1 Genesis Equation and Computational Graph

The genesis equation is given by:

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$$p(C_k|X) = y_k(X) = \frac{e^{a_k}}{\sum_{i} e^{a_j}}$$

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Where $a_k = W_k^T X + b_k$ Hence, the class with the maximum probability is chosen for a given input X.

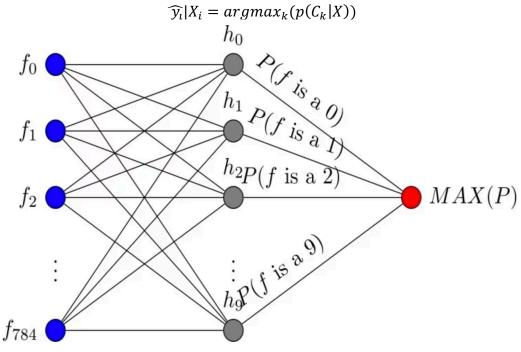


Figure 3 Computational graph for Multinomial Logistic Regression

The above computational graph represents how 784 features are mapped to 10 classes. Each edge has a particular weight which is learned over many iterations.

3.2 Loss function

We use the cross-entropy loss function for our multinomial logistic regression model.

146 Cross-entropy loss, or log loss, measures the performance of a classification model whose output is a probability value between 0 and 1. Cross-entropy loss increases as the predicted probability diverges from the actual label. A perfect model would have a log loss of 0.

 $E(X) = L = -\sum_{k=1}^{K} t_k ln y_k$

Where $y_k = y_k(X)$ and t_k represents the actual output value.

3.3 Computation of weights

In order to optimize the logistic regression model, we need to use gradient descent approach. We take gradient of the loss function with respect to the weight and change weights according to the gradient value to reach a minima.

The gradient of the error function would be,

 $\Delta_{W_j} E(X) = (y_j - t_j)X$

 $w_j^{t+1} = w_j^t - \eta \, \Delta_{W_j} \, E(X)$

Hence, we update the weights iteratively to reach an optimal solution.

3.4 Batch Gradient Descent

To perform Logistic Regression, we use batch Gradient Descent for faster computations using matrix multiplications. Using this the speed the computation, increases greatly. We run the algorithm for multiple epochs on the dataset.

3.5 Performance

The performance of the datasets on the logistic regression model is given below:

Dataset	Log Loss Training	Log Loss Validation	Log Loss Testing	Accuracy
MNIST	0.50747	0.6171	0.63616	0.9012
USPS	-	-	3.325	0.3501

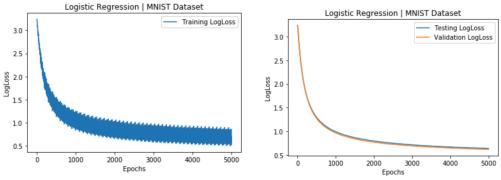


Figure 4 Negative Log Loss Plot for Training, Validation and Testing USPS Data

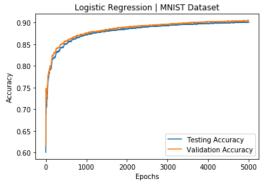


Figure 5 Accuracy Plot for USPS Data

Through this we can observe that after a certain amount of epochs we get around 90% accuracy for the MNIST dataset. However, the USPS dataset gives only 35% accurate results which is not a good result.

203 Confusion Matrix for MNIST Data:

```
[[ 956
             11
                       2
                           15
                                16
                                     3
                                              13]
    0 1102
[
                       6
                                3
                                     20
                                         10
                                               87
                       5
                                     29
        2 888
                 18
                           6
                                 6
                                         10
    3
                                               6]
                      0
    3
        4
            19
                 898
                           43
                                2
                                     4
                                         29
                                              11]
[
Γ
    0
         1
             15
                 1
                     901
                           15
                                13
                                     11
                                          8
                                              43]
    2
         2
            0
                 32
                      0 726
                                16
                                     0
                                         26
                                              16]
            17
                  6
                      10
                          17
                               897
                                     0
                                         12
                                               0٦
 Γ
    1
        0
             21
                 15
                      2
                           10
                                1
                                    918
                                         13
                                              24]
    7
                       8
        20
             45
                  22
                           43
                                 4
                                        840
 [
                                     4
                                               6]
Γ
                  12
                      48
                           11
                                 0
                                     39
                                         17
                                             882]]
```

Confusion Matrix for USPS Data:

```
[[ 601 234 219 108
                   65 182 380 198
[ 4
      298 25 3
                  86 20
                          13 213
                                  30 1887
375
      126 1176 121
                   36
                      214
                                  146
                          346
                              318
                                      1647
[ 56 350 138 1259
                  62 184 106 450
                                  208 470]
[ 255 286 67 21 1028
                      45 105
                              74
      52 75 236 120 1031 218
                              78
                                  573
T 111
                                       841
[ 104
      41
          93
              31
                  41 126
                          698
                               35
                                  119
                                       15]
[ 42 299 93 58 128
                      72
                          25 300
                                  43
                                      3651
[ 147 296 90 103 292 89 75 287
                                  444
                                      339]
[ 305
      18 23
              60 142 37
                          34
                              47
                                  84 168]]
```

3.6 Strength and Weakness

Logistic Regression attempts to predict the output using independent variables. If any of the independent variables are inaccurate or if the variables are dependent on each other then, the logistic regression model may not perform well. This is because the model then over weights those features which are not independent. It is also vulnerable to overfitting and may not give the best results due to that.

It is a simple model which is easy to implement and understand. In this case, however the pixel values may not be independent of each other which is why it takes a large number of epochs for the model to give considerable results.

4 Neural Network

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- An Artificial Neural Network (ANN) is an information processing paradigm that is inspired by the way biological nervous systems, such as the brain, process information. It is composed of a large number of highly interconnected processing elements (neurons) working in unison to solve specific problems. ANNs, like
- people, learn by example.

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4.1 Deep Neural Network

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4.1.1 Hyperparameters

We discuss some hyperparameters for the neural network and how they affect the performance of the model.

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4.1.1.1 Learning Rate

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The learning rate determines how gradually or quickly the weight of the neural network are updated. A high learning rate might not be able to finetune the network, however a low learning rate might become very slow for the parameters to adapt.

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4.1.1.2 Dropout Rate

The dropout rate is defined as the fraction rate of input that is made 0 after each training iteration. This is done to prevent overfitting of data.

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4.1.1.3 Hidden Layers and number of nodes

When a neural network has too few hidden neurons (<64), the network does not have the capacity to learn enough. On increasing the number of nodes to 128 the accuracy increases greatly. However, afterwards even if we increase the nodes by a value of 512, the accuracy increases by only 2%. Hence, we can say that after a point the hidden layer of nodes do not make much difference to the accuracy.

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4.1.1.4 **Epochs**

One epoch is when an entire dataset is passed forward and backward through the neural network only once. As the number of epochs increases, more the number of times the weights are changed in the neural network and the curve goes from underfitting to optimal to overfitting curve.

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4.1.1.5 Activation Function

- An activation function is the output of that node, given an input or set of inputs. The output is usually between [-1,1], depending on the activation function. It decides if
- the information at the node is relevant or should be ignored.
- Relu function: A(x) = max(0,x)
- As we can observe, the relu function works best on this data. The reason is that all
- the activation functions: tanh, sigmoid and others still keep the data sparse.
- However, relu does not activate around half of the nodes because of its nature.

4.1.1.6 Loss Function

The loss function is used to measure the inconsistency between actual and predicted output. It is a non-negative value, where the robustness of model increases along with the decrease of the value of loss function.

4.1.1.7 Hyperparameters used

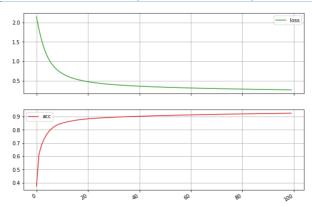
To train the model, we used the following hyperparameters and found that this combination gives the best output.

Hyperparameter	Value
Optimizer	sgd
Epochs	100
Number of nodes	32
Activation function	softmax
Loss function	Categorical_Crossentropy

4.1.2 Performance

The performance of the deep neural network on the datasets is given below.

Dataset	Accuracy	Loss
MNIST	0.9284	0.259
USPS	0.384	2.54



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Figure 6 Accuracy and Loss for USPS Dataset for Deep Neural Network

```
[[ 958
                   0
      0
           2
               1
                       4
                           10
                                   4
                                        0٦
                               1
[ 0 1110
               2
                   0
                               2
                                   13
           3
                       1
                           4
                                        0]
  13 6 932
               8
                  10
                       1
                           13
                               12
                                   33
                                        4]
Γ
   3
       1 17 931
                  0 23
                           2
                               11
                                   18
                                        47
Γ
   1
      2
          5
              1 922
                      0
                           12
                              2
                                   6
                                       31]
                              4
   8
      3 4
               39
                  5 785
                          15
                                   23
                                        6]
  10
      3 4
              0
                      14
                          910
                               1
                                   3
                   13
                                        0٦
   4
       9 27
               6
                   8
                       0
                          0
                              949
                                   2
                                       23]
6
       7
               20
                   8
                              13
                                  881
6
                       18
                           10
                                        51
  14
       7
           1
               12
                   36
                       7
                           1
                               21
                                      906]]
```

Confusion Matrix for USPS Data:

```
Deep Neural Network | USPS
Accuracy USPS: 0.384869243453232
Loss USPS: 2.546163725920919
[[ 530
      2 256 78 242 192
                            63
                                74 132
                           23 597 148
[ 130 365 203 237 144 116
                                         371
      18 1285 105 57 156 58 69
Γ 181
                                   52
                                         187
[ 66
       4 145 1358 11 267 10 67
                                    45
                                         271
      50 43 36 1088 157
                            23 177
                                    231 171]
Γ 24
      10 202 179 39 1232
[ 110
                           84 65
                                    60
[ 304
       7 386 58 87 297 774
                                15
                                    23
                                         491
Γ 94 174 285 518
                   40
                       195
                            14
                               450
                                   164
                                         661
[ 177
       24
          165
              231 105
                       651
                           106
                                59
                                    407
                                         75]
[ 27 130 122 500 141
                       96
                            6 471
                                    299
                                        20811
```

4.1.3 Strength and Weakness

- The disadvantage of using the neural network is that it is a black box and one can't figure out how it came up with the output. Hence, it is difficult to tweak the hyperparameters as we don't know which ones are important.
- The neural network requires a large amount of data to make the right prediction.
- Hence, because the neural network has not trained on the USPS dataset, it can't make accurate predictions and returns a low accuracy of 38%.
- As we have 50,000 images to train on, neural networks return a good result on the MNIST test data.
- Neural Networks do return good results but are computationally expensive.

4.2 Convolutional Neural Networks

- 322 Convolutional Neural Networks are very similar to ordinary Neural Networks.
- They are made up of neurons that have learnable weights and biases. Each neuron
- receives some inputs, performs a dot product and optionally follows it with a non-
- linearity. The whole network still expresses a single differentiable score function:
- from the raw image pixels on one end to class scores at the other. And they still have a loss function (e.g. Softmax) on the last (fully-connected) layer.
- 328 CNN architectures make the explicit assumption that the inputs are images, which
- 329 allows us to encode certain properties into the architecture. These then make the
- forward function more efficient to implement and vastly reduce the number of
- parameters in the network.

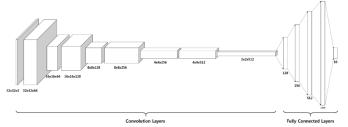


Figure 7 Example of convolutional network architecture

For our dataset, we use the following architecture:

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337 In \rightarrow [Conv2D \rightarrow relu]*2 \rightarrow MaxPool2D \rightarrow Dropout(0.25) \rightarrow Flatten \rightarrow Dense

 \rightarrow relu \rightarrow Dropout (0.5) \rightarrow Softmax \rightarrow Out

4.2.1 Performance

Using the above configuration, we achieve very good results for the CNN model. Below are the results.

Dataset	Accuracy	Loss
MNIST	0.9917	0.027
USPS	0.60	2.64

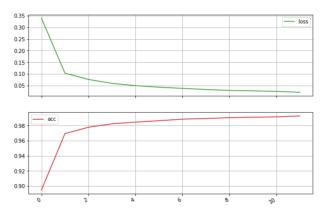


Figure 8 Accuracy and Loss for USPS Dataset for Convolutional Neural Network

354 Confusion Matrix for MNIST Data:

[[977	1	0	0	0	0	1	1	0	0]
[0	1132	1	0	0	0	1	0	1	0]
[1	1	1023	0	2	0	0	3	1	1]
[0	0	3	999	0	3	0	0	2	3]
[0	0	0	0	979	0	0	0	0	3]
[1	0	0	4	0	879	5	2	0	1]
[3	2	0	0	2	1	949	0	1	0]
[0	2	7	1	0	0	0	1016	Θ	2]
[4	0	2	0	0	0	0	0	965	3]
Γ	0	0	0	1	7	0	0	3	0	99811

Confusion Matrix for USPS Data:

```
Convolutional Neural Network | USPS
Accuracy USPS: 0.6004300214876633
Loss USPS: 2.6429732614987804
[[ 869
       1 202 15 193 11
                              55
                                   7
                                      260
                                          387]
   9 755 583
               65 143 20 39 358
                                       20
                                            87
   32
       5 1802
               46
                   11 51
                                  14
                                       14
                                            6]
       1 96 1666
                    7 206
                                      10
   2
                             5
                                  6
                                            1]
                                 199
   1
       32
           61
                5 1347
                        12
                              58
                                      265
                                           20]
        0
           60
                48
                    13 1774
                              7
                                  26
                                       38
                                           21]
   13
   47
        11 114
                4
                     61
                         26 1710
                                  1
                                       20
                                            6]
   11
        77 1033
               133
                     17
                         10
                             55
                                 575
                                       87
                                            2]
                        421 101
                                 33 1006
   32
        7 147 175
                    44
                                           341
                        13
          386 157
                    140
                               3 476 294
                                          504]]
   1
        26
```

4.2.2 Strength and Weakness

The convolutional neural network returns the best results on this dataset. It is due to the fact that the convolutional networks are built assuming that the input is in the form of images. It returns a high accuracy of 99.17% on the USPS test dataset. It also returns a decent 60% accuracy on the USPS dataset which has not even been trained on.

The only disadvantages of CNN are that it is computationally expensive as a single epoch takes at least 1 minute to run on a general configuration computer and that it

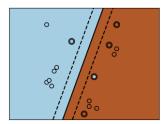
is a black box.

5 Support Vector Machine

A Support Vector Machine (SVM) is a discriminative classifier formally defined by a separating hyperplane. In other words, given labeled training data, the algorithm outputs an optimal hyperplane which categorizes new examples.

5.1 Kernel

- The learning of the hyperplane in linear SVM is done by transforming the problem using some linear algebra. This is where the kernel plays role.
- The linear kernel separates the data by using a linear hyperplane between the samples of the data.
- The polynomial or the RBF kernel is used when the data is not linearly separable.



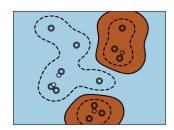


Figure 9 Linear and RBF kernel

5.2 Gamma

The gamma parameter defines how far the influence of a single training example reaches, with low values meaning 'far' and high values meaning 'close'. In other words, with low gamma, points far away from plausible seperation line are considered in calculation for the separation line. Whereas high gamma means the points close to plausible line are considered in calculation.

5.3 Performance

We evaluate the SVM model using various hyperparameters and we compute the ideal hyperparameters for the same.

5.3.1 Linear Kernel

We evaluate the performance of SVM using the linear kernel and keeping all other parameter values as default.

```
SVM Linear Kernel | MNIST
Confusion matrix:
[[ 959
         0
                                                   0٦
    0 1121
               3
                                                   0]
     6
         8
             968
                    9
                         3
                              2
                                  11
                                       10
                                            13
                                                   2]
    5
                         4
         2
              17
                  944
                                   1
                                        8
                                            13
                             13
                                                   3]
    2
         1
              10
                   1
                       943
                             0
                                   4
                                        2
                                             2
                                                  17]
   13
              2
                   39
                         5
                            792
                                   9
                                            22
 Γ
         4
                                        1
                                                   5]
    10
         3
              11
                   1
                         5
                             14
                                 911
                                        2
                                             1
                                                   07
              20
                                             3
                                                  18]
    1
         8
                   10
                         6
                             1
                                   0
                                      961
              9
                   25
                                        5
                                                   8]
    8
                        11
                             27
                                   6
                                           871
     7
          6
               2
                   13
                                   0
                                       18
                                                 920]]
 Γ
Accuracy: 0.939
SVM Linear Kernel | USPS
Confusion matrix:
[[ 348
                                                201]
          0 476 152
                       222 345
                                  74 172
                                            10
    60
        303 534
                  275
                       230
                            172
                                  17
                                      351
                                            37
                                                 21]
 [ 139
                                  55
                                                 14]
         63 1293 115
                        33
                            221
                                      45
                                            21
    56
         58 341
                  898
                        8
                            520
                                  9
                                       45
                                            48
                                                 17]
    24
         24
             221
                   82
                       800
                            215
                                  10
                                      464
                                                 78]
    47
            652 240
                                       35
         25
                        41
                            876
                                  30
                                            41
                                                 13]
 146
         19
             903
                  55
                        86
                            264
                                 462
                                       38
                                            2
                                                 25]
    19
         74
             201
                  706
                        54
                            294
                                  12
                                      522
                                            84
                                                 34]
                  449
   100
         16
             298
                      126
                            692
                                  82
                                      58
                                           160
                                                 19]
    18
         38
            204
                  588
                      142
                           104
                                      580
                                           155
                                                163]]
Accuracy: 0.2912645632281614
```

5.3.2 RBF Kernel

 We evaluate the performance of SVM using the RBF kernel and keeping all other parameter values as default.

```
SVM | RBF Kernel | Gamma = Default | MNIST
                           Confusion matrix:
                           [[ 967
                                     0
                                          1
                                                    0
                                                                        2
                                                                            0]
                                0 1120
                                          2
                                               3
                                                   0
                                                         1
                                                             3
                                                                  1
                                                                        5
                                                                            0٦
                                        962
                                                   10
                                                             13
                                                                  11
                                                                            2]
                                    1
                                    1
                                         14
                                            950
                                                   1
                                                       17
                                                             1
                                                                  10
                                                                       11
                                                                            41
                                1
                                    1
                                         7
                                              0
                                                 937
                                                        0
                                                             7
                                                                  2
                                                                       2
                                                                            25]
                                7
                                    4
                                          5
                                              33
                                                   7
                                                       808
                                                             11
                                                                       10
                                                                            5]
                               10
                                         4
                                                           924
                                                                  0
                                                                            0٦
                                    3
                                                   5
                                                       10
                                                                       1
                                              1
                               2
                                    13
                                         22
                                                             0
                                                                954
                                                                            20]
                               4
                                                                  8
                                    6
                                         6
                                              14
                                                   8
                                                             10
                                                                     891
                                                        24
                                                                            3]
                              10
                                     6
                                          0
                                              12
                                                   33
                                                             1
                                                                  14
                                                                          922]]
                           Accuracy: 0.9435
427
                           SVM | RBF Kernel | Gamma = Default | USPS
                           Confusion matrix:
                           [[ 573
                                     2 428
                                             19 285 248
                                                            73
                                                                       6
                                                                          322]
                                   429 285 137 273
                                                      180
                                                                501
                                                                      22
                                                                          17]
                            Γ 110
                                                            46
                            [ 128
                                    18 1402
                                             59
                                                  39
                                                      198
                                                            61
                                                                 57
                                                                      23
                                                                           14]
                                    3 186 1123
                                                             5
                                                                 70
                                                                      27
                            Γ 76
                                                  11
                                                      483
                                                                           161
                            [ 18
                                    67
                                         91
                                             14 1167
                                                      267
                                                            22
                                                                194
                                                                           91]
                            Γ 108
                                    17 257
                                            102
                                                   25 1367
                                                            60
                                                                 43
                                                                      15
                                                                           61
                            [ 197
                                     7
                                        489
                                             24
                                                   98
                                                     394
                                                           748
                                                                 13
                                                                      7
                                                                           23]
                            Γ 50
                                  225
                                        457
                                            265
                                                   57 416
                                                            15
                                                                452
                                                                      41
                                                                           22]
                            [ 73
                                   25 209
                                            193
                                                  87 1006
                                                            95
                                                                41
                                                                     244
                                                                          27]
                            [ 26 166 228 278 213 165
                                                             8
                                                               499
                                                                     214
                                                                         203]]
                           Accuracy: 0.38541927096354817
428
429
```

5.3.2 RBF Kernel, Gamma = 0.01

The highest accuracy is obtained when we use the RBF kernel and Gamma = 0.01.

SVM	M	INIST								
Con	fusi	ion m	atrix	:						
[[9	973	0	2	1	0	1	1	0	2	0]
[0	1128	3	0	0	1	1	1	1	0]
[3	1	1016	0	1	0	1	7	3	0]
[0	0	1	993	0	3	0	4	6	3]
[1	0	3	0	967	0	2	0	Θ	9]
[3	0	0	9	1	870	4	0	3	2]
[5	2	1	0	2	4	943	0	1	0]
[0	7	8	2	0	0	0	1004	Θ	7]
[3	0	2	4	4	2	1	2	952	4]
[3	4	1	7	10	2	0	4	1	977]]
Accı	urac	:y: 0	.9823							

436 437

432 433

An accuracy of 98.23% is obtained in this case.

438 439

```
SVM | USPS
Confusion matrix:
[[ 652
       1 485
               40 128 291
                               93
                                     0 271]
[ 105 517 345 116 99 128 17 647
                                    15
                                        11]
[ 55
      11 1681
              56 9 128 18 36
                                         1]
[ 22
                   0 473
                                     0
       3 234 1236
                               29
                           0
                                         3]
[ 17
                           6 422
       72 214 22 909 282
                                    14
                                        42]
              45
[ 59
       6 293
                   8 1544
                           14
                               25
                                         1]
[ 169
          738
              27
                    35 202 799
                                     0
        6
                               8
                                        16]
[ 45 183
          452
              395
                   20 288
                            2 610
                                     2
                                         3]
[ 81
        5
          321
              313
                   33 1031
                            32
                               40
                                   137
                                         7]
       82 328
              383 113 144
                             2 690
                                    89 160]]
Accuracy: 0.4122706135306765
```

Dataset	Gamma	Kernel	Accuracy
MNIST	Default	linear	0.939
USPS	Default	linear	0.291
MNIST	Default	RBF	0.9435
USPS	Default	RBF	0.385
MNIST	0.01	RBF	0.9823
USPS	0.01	RBF	0.4122

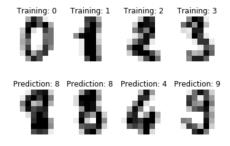


Figure 10 SVM Training and Testing

5.4 Strength and Weakness

SVM tries to maximize the margin between the different classes of data. It can work very well with high dimensions. In this case, we have 784 features and it gives around 98% accuracy which is very ideal.

The weakness is that SVM requires high level of computation which is why it takes the most time to run out of all the classifiers. It also requires a lot of memory when running.

6 Random Forest Classifier

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A random forest is a meta estimator that fits a number of decision tree classifiers on various sub-samples of the dataset and uses averaging to improve the predictive accuracy and control over-fitting.

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It is a kind of bagging technique used in ensemble classifier. It separates a number of features and builds decision trees individually. Then it takes an average of the outputs of all the decision trees and outputs the final result.

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We can define the number of trees in the forest by a parameter called 'n_estimators'. In our case we use 10 trees to determine the result.

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6.1 Decision Trees

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We discuss the most basic decision tree algorithm, ID3(Iterative Dichotomiser 3).

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Let the entropy be defined as:

$$S = -\sum_{i=1}^{N} p_i log p_i$$

497 Where p_i is the probability.

498

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499 And let Information gain be defined as,

 $IG = E_0 - \sum \frac{N_i}{N} S_i$

501 502

Where N_i = No. of ith elements in group and N = Total no. of elements in group.

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We calculate the information gain of each feature. We select the feature with the highest gain as the root node and keep dividing the tree until the point where we reach to a decision.

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6.2 Performance

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We use a forest of 10 decision trees to classify our data.

Dataset	Accuracy
MNIST	0.9445
USPS	0.307

513 Confusion Matrix:

```
Random Forest | MNIST
Confusion matrix:
[[ 968 0 1
                                    1
                                             o٦
   0 1118
                  3
                      2
                         0
                               4
                                    1
        1 978
                  6
                      6
                               7
   10
                          1
                                   12
                                        10
                                             1]
                      0
                          20
    1
         2
            17 944
                               1
                                    8
                                        11
                                             6]
    3
         2
            1
                 1 932
                          1
                               11
                                    4
                                         3
                                             24]
           2
    8
         2
                      6 819
                 32
                               6
                                    1
                                             7]
         3
                 1
                      7
                              924
                                    0
                                             0]
                         12
    2
         8
            22
                 7
                      6
                          0
                               0
                                  962
                                         3
                                            18]
         3
            16
                 21
                      9
                          14
                               8
                                    7
                                       878
                                            11]
 Γ
    6
         7
             6
                 14
                      31
                           9
                               2
                                    6
                                         6
                                            922]]
Accuracy: 0.9445
```

```
Random Forest | USPS
Confusion matrix:
[[640 44 272 109 369 210
                              95
                                  14 170]
                          77
[ 62 637 169 83 116 96
                          32 767
                                  22
                                      16]
                                      19]
[209 131 957 173 67 194
                          40 185
                                  24
[ 98 74 224 927 104 367
                          18 140
                                  15
                                      33]
                                  33 49]
 [ 47 273 110 91 874 160
                          33 330
[253 67 219 258 79 911
                                  26
                          46 110
                                      31]
[434 109 311 112 164 272 468 97
                                  13
                                      20]
[ 67 431 415 263 93 172
                          37 499
                                  9
                                      14]
[150 130 252 249 165 684
                          96 97 130
[ 75 301 300 297 249 133 24 439 82 100]]
Accuracy: 0.3071653582679134
```

6.3 Strength and Weakness

The random forest classifier doesn't need to know the type and nature of the data. It works on independent and dependent features. It is easier to understand and we can understand how we reach to a certain output. We can also predict which features are the most important in order to classify the data. It is computationally faster than the other classifiers.

The only major drawback is that it is possible for the random forest to take up a lot of memory which in turn leads to slower evaluation.

7 Ensemble Classifier

Ensemble Learning is a process using which multiple classifiers are strategically constructed to solve a particular problem.

In our case we use bagging ensemble classifier with majority voting.

7.1 Bagging

Bagging is one of the Ensemble construction techniques which is also known as Bootstrap Aggregation. Bootstrap establishes the foundation of Bagging technique. 'n' samples are selected randomly and are feeded to different classifiers. Samples from the training set are randomly selected and certain classifiers are trained using these samples.

We implement majority voting which means that we select the output which is given by most classifiers. This means that each classifier will predict one value and the value which is output by the greatest number of classifiers is the output.

7.2 Boosting

Boosting is another technique. The algorithm works by training a model with the entire training set, and subsequent models are constructed by fitting the residual error values of the initial model. In this way, boosting attempts to give higher weight to those observations that were poorly estimated by the previous model. Once the sequence of the models is created the predictions made by models are weighted by their accuracy scores and the results are combined to create a final estimation.

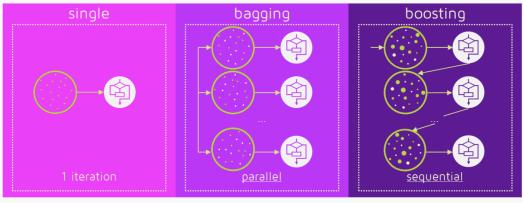


Figure 11 Bagging and Boosting

Performance 7.3

Classifier	Accuracy MNIST	Accuracy USPS
Logistic Regression	0.9012	0.3501
Deep Neural Network	0.9284	0.384
Convolutional Neural Network	0.9917	0.60
Linear SVM	0.939	0.291
RBF SVM	0.9823	0.4122
Random Forest	0.9445	0.307
Ensemble	0.9591	0.416

> We can see that the performance of the ensemble learning is better than most classifiers in the case of both MNIST and USPS dataset.

Confusion Matrix for the ensemble:

MNIST Dataset:

```
Confusion matrix:
                                            0]
       0
                     0
                          2
                              3
[[ 972
            0
                 1
                                   1
                                       1
    0 1120
             2
                 2
                      0
                          1
                              4
                                   1
                                       5
                                            0]
      1
                                            1]
    0
        0
            10
               971
                     0
                         10
                              1
                                            31
            4
                 0 955
                          0
                                           14]
    1
        0
                              6
        2
            1
                23
                    4
                       830
                              9
                                   1
                                            5]
                          9
                             929
                                   0
    3
        9
            22
                4
                     4
                         0
                              0
                                970
                                       2
                                           14]
   6
                14
                         15
        3
            3
                     6
                              8
                                   5
                                     911
                                            3]
   10
        6
            1
                12
                    18
                          4
                              1
                                   9
                                       2
                                          946]]
Accuracy: 0.9591
```

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USPS Dataset:

```
Confusion matrix:
[[ 655 2 419
               44 262 192
                                     28 304]
 [ 134 479 316 177
                   175
                       117
                             18
                                543
                                     32
                                           9]
      17 1532
 [ 136
               66
                   32 118
                             38
                                 38
                                     15
                                           7]
 [ 64
[ 21
        3 181 1359
                     4 315
                                 45
                                     17
                             3
                                           91
       78 95 26 1172 182
                             13 224
                                    122
                                          67]
 [ 107
       12 263 116
                    23 1384
                            34
                                 41
                                     17
                                          31
                    78 255 797
 [ 269
       8
          521
               36
                                 11
                                      5
                                          20]
 [ 80 215 446 413
                    43 245
                            13 474
                                     59
                                          12]
 [ 120
      24 225 252
                   87 854
                             75
                                39 301
                                          23]
 [ 30 157 235 419 151 102
                             5 502 222 177]]
Accuracy: 0.4165208260413021
```

8 Conclusion

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Through this project, we tried to solve the handwritten digit classification problem using the MNIST and USPS dataset. We used various types of classifiers and evaluated the models on MNIST and USPS datasets. We then built an ensemble of all classifiers using bagging and majority voting. We observed the pros and cons of all the classifiers and how they can be useful. We achieved the best result using Convolutional Neural Networks.

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8.1 No Free Lunch Theorem

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The "No Free Lunch" theorem states that there is no one model that works best for every problem. The assumptions of a great model for one problem may not hold for another problem, so it is common in machine learning to try multiple models and find one that works best for a particular problem.

We evaluated the model on the USPS dataset and did not achieve good results in most cases. This is because the ground truth label for the USPS data is different than that of MNIST data. Hence our results also support the "No Free Lunch" theorem.

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