

CONTRACTOR COMPLIANCE BACKGROUND FORM

Southern Company is committed to conducting its business in accordance with high ethical standards and in compliance with all laws and regulations. For purposes of this form, "Southern Company" refers to The Southern Company and any or all of its subsidiaries. We also expect our contractors to conduct themselves with honesty, integrity and fairness and with a commitment to legal compliance. Our compliance program requires that this Contractor Compliance Background Form be completed and signed by an authorized representative of your company. Thank you for your cooperation and support.

1. At any time during the past five years has your company or an affiliated entity been the subject of an enforcement action or investigation (criminal, civil or administrative) that has resulted, or could result in a fine or penalty in excess of \$25,000 by a government agency focusing on the company's compliance with laws or regulations including, but not limited to, employment, environmental, unauthorized aliens, antitrust, fraud and/or securities?
☐ **Yes** ☐ **No.** *If yes, please describe on a separate page and attach.*
2. At any time during the past five years has your company or an affiliated entity been the subject of a government agency's debarment or license revocation proceeding?
☐ **Yes** ☐ **No.** *If yes, please describe on a separate page and attach.*
3. At any time during the past five years has your company or any officer, principal or key employee been charged, indicted, arrested, convicted or entered a plea for the alleged commission of a crime, related to your company's business, other than a traffic infraction?
☐ **Yes** ☐ **No.** *If yes, please describe on a separate page and attach.*
4. If your company has a legal or ethics compliance program or engages in other activities to assure your employees adhere to applicable legal and regulatory requirements in the performance of their work, please describe on a separate page and attach.

If there is an occurrence of any of the types of circumstances described in items 1 through 3 subsequent to the date below, Contractor agrees to notify Southern Company's Compliance Office (complianceprogram@southernco.com) within ten (10) days of the occurrence.

Contractor hereby acknowledges and understands that, in connection with the consideration of Contractor as a possible supplier of services or goods or, subsequently, in connection with Contractor's supplying of services or goods, Southern Company may obtain investigative or credit reports that contain information about Contractor. These reports may contain: information found in public records, Contractor's commercial credit history, information about Contractor's general reputation in the industry (including with its current and past customers), and other types of information. Contractor consents to Southern Company (or a designated third party representative) obtaining such reports and hereby waives any claims against Southern Company (or its third party representative) if Southern Company refuses or ceases to do business with Contractor based on any information contained in these reports.

Contractor's Name

Contractor's Authorized Representative (Print Name)

P. O. Box or Street Address

Title

City, State and Zip Code

Signature

Date

Telephone No.

Contractor's Federal Tax ID #