# Plenoxels and extension to point clouds

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Figure 1: Our model at epoch 0, 2, 6, 14, 22. Total computation time: 35 minutes on a single GPU.

#### Abstract

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#### 1 Introduction

The most straightforward way to capture 3D objects from the real world is to use special sensors such as the Kinect or more expensive scanning devices. Another approach relies solely on RGB images: by combining photographs taken at several known viewpoints, one is able to render novel views of a scene. A year ago, a method known as NeRF (for Neural Radiance Field [MST<sup>+</sup>20]) tackled this challenge by using a deep neural network to simultaneously shapes and shadings. More recently, a new approach known as Plenoxels [YFKT<sup>+</sup>21] showed that directly optimizing a voxel grid allowed better results with faster computations. This project is focused on this paper. We re-implemented parts of it and showed that its method can be extended to generate point clouds from photographs.

# 2 Our implementation

#### 2.1 Given code

The given code associated to the plenoxels paper requires the compilation of a custom CUDA extension which parallelizes across rays, opacities and spherical harmonics coefficients. Unfortunately, it requires a CuDNN developer account and quite a bit of C++ experience (another alternative relies on JAX, but is much slower to train). In order to avoid losing time on hazardous compilations, we decided to ignore the given code and re-implement everything **from scratch**, except for the following elements:

- The utilities functions  $get\_data$  and  $get\_rays\_np$  (30 lines of code) from the original plenoxels repository <sup>1</sup>
- The Python file *ply.py*, given in the TP of this course.

### 2.2 Our implementation

Since our implementation is "homemade", it doesn't contain every extension described in the paper. It is slower (because it doesn't use a custom CUDA kernel) but still usable in finite time: a simple 128x128x128 grid can be trained in 30 minutes. Our code is organized in the following manner:

- The file *VoxelGrid.py* contains the different voxel grids (RGB colors, spherical harmonics and tri-linear interpolation)
- The files main.py and main\_spherical.py are used to optimize our grids
- The different jupyter notebooks allow for a more interactive training and computation of PSNR
- Some useful functions (including get\_data and get\_rays\_np) are located inside utilities.py.

The Plenoxel paper introduces remarkable concepts and the given implementation is no less great: reproducing the results was a challenge, and improving the given method (in terms of speed or quality of results) was impossible in the scope of this project. However, we found a way to extend this approach by integrating a point cloud export to the code, which links this project to the NPM3D course.

#### 2.3 Datasets



Figure 2: Test images from the nerf\_synthetic datasets

TODO

#### 3 Related works

#### 3.1 Classical methods

Before the rise of neural networks, some methods already existed to render novel views from images: one of them is called *Space Carving* [KS00]. This method successfully captures the 3D shape and

<sup>&</sup>lt;sup>1</sup>https://github.com/sarafridov/plenoxels

texture of the photographed scenes, but is unable to render the shading and capture the specular properties of the objects. We noticed the rigor of the authors who provide theoretical guarantees, absent from nowadays' optimization methods.

## 3.2 NeRF rendering

The NeRF [MST<sup>+</sup>20] approach consists of training a fully-connected network which takes a 5D input  $(x, y, z, \theta, \phi)$  composed of a 3D position and a viewing direction, and outputs a 4D tensor  $(r, g, b, \sigma)$  composed of an RGB color and an opacity  $\sigma$ . For each pixel of the rendered image, N points are sampled along a camera ray and their colors and intensities are integrated to obtain a final RGB value. This method achieved state-of-the-art when it was published, as it allowed to reproduce shading and specular effects efficiently. The training time, however, was quite slow (12 hours for a single scene).

#### 3.3 Concurrent papers and posterior approach

DirectVoxGo [SSC21] is similar to Plenoxels: the main differences are that it uses a neural network (and not a custom CUDA implementation). It produces NeRF-comparable results in less than 15 minutes. More recently, a method using multiresolution Hash encoding [MESK22] was able to reproduce the same results in less than 1 second!

#### 4 The method

In this section, we'll describe the method of the original paper [YFKT<sup>+</sup>21], and list the different between their implementation (see Figure 3) and ours.

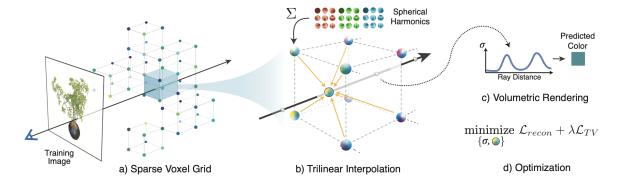


Figure 3: [image source: [YFKT+21]] The plenoxels method is composed of four steps: (a) camera rays are extracted from training images and sampled on a sparse voxel grid; (b) the spherical harmonics of degree 9 and opacities are computed for each sample through trilinear interpolation; (c) the resulting colors and opacities are summed to obtain a single pixel value for each ray (d) the mean squared error loss with a total variation regularizer is back-propagated to optimize the grid

#### 4.1 The voxel grid

The clever idea of Plenoxels is to optimize a voxel grid directly. Each voxel stores an opacity  $\sigma \in \mathbf{R}^+$  along with spherical harmonics coefficients in [0,1] (in the original paper they use 9, but we experimented with 4 and 1 to optimize computations). These spherical harmonics allow each voxel to have a different color depending on the viewing direction, which renders specular effects and reflections.

The original paper uses a sparse voxel grid optimized with the custom CUDA implementation. Ours is a dense voxel grid which which justifies the lower definition (128x128x128 vs 512x512x512) and the longer computation time.

#### 4.2 Color estimation from camera rays

Once this grid is initialized at random, we optimize its voxels based on images taken from known viewpoints. Each pixel  $p_x$  of the training images corresponds to a certain camera ray  $r(p_x) \in (\mathbf{R}^3, \mathbf{R}^3)$  composed of an origin and a direction. We sample this ray with N=200 points, compute the points colors and opacities via nearest neighbour interpolation (we implemented trilinear interpolation like in the paper but it was 8 times slower) and sum the sampled points to obtain the estimated RGB color  $\hat{c}(r(p_x))$ :

$$\hat{c}(r(p_x)) = \sum_{0 \le i < N} T_i (1 - \exp(-\sigma_i \delta_i)) c_i$$

Where  $T_i = \exp(-\sum_{0 \le j < i} \sigma_j \delta_j)$  represents how much light is transmitted to sample i and  $\delta_i$  represents the distance between samples.

## 4.3 Optimization

The loss is then computed as  $L = \sum_{p_x} \|p_x - \hat{c}(r(p_x))\|^2 + \lambda_{TV} L_{TV}$ , and back-propagate through the grid. At each training step, we sample 5000 random rays and compute the total variation over the whole grid. We used an SGD optimizer to do the gradient descent with a learning rate of 1000, and  $\lambda_{TV} = 10^{-4}$ .

## 5 Results and experiments

#### 5.1 Results



Figure 4: Our models evaluated on novel viewpoints.

#### 5.2 Spherical harmonics

#### 5.3 Adaptative grid size for faster optimization

In the paper, they subdivide the voxel grid via tri-linear interpolation to boost the training phase. We tested and implemented this method which accelerated our optimization (Figures 1 and 5).

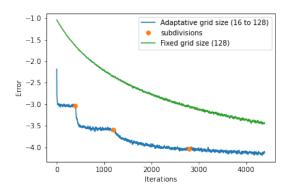


Figure 5: Evolution of the error curve on the microphone model

## 6 Using plenoxels to generate point clouds

## 6.1 CloudCompare pipeline

A point cloud can be seen as a voxel grid with discrete (0 or 1) opacities. We implemented a .ply export of our voxel grids, storing the RGB colors and the opacity as a scalar field.

The point cloud is then loaded in CloudCompare. We found that applying the following transformations resulted in a clean, usable point cloud:

- Edit > Scalar fields > Filter by value (Range  $2.0; +\infty$ ) to remove the transparent voxels
- Tools > Clean > Noise filter (Radius 0.01) to clean the point cloud
- Tools > Clean > S.O.R. filter (Radius 0.2) to remove the outliers.

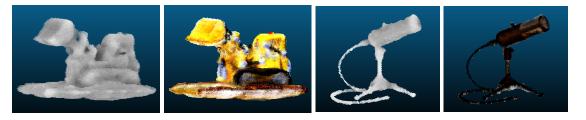


Figure 6: Lego and mic models loaded in cloud compare

#### 6.2 Discussion

Although these parameters work great, the optimal ones may vary for each model (little less value filter for the lego model, little more noise filter for the hotdog model..). We are pretty satisfied with the results, and a good extension to this project would be to compare the point cloud obtained with this method and a more specialized device (kinect...) on a real scene.

## 7 Conclusion

# References

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