

# # Analysis of Algorithm (Background)

# sum of n natural number

Input :  $n = 3$

Output : 6

$$|| 1+2+3$$

For less time

- ① Machine dependent
- ② programming language

Input :  $n = 5$

Output : 15

$$|| 1+2+3+4+5$$

```
def fun1(n):
    return  $n*(n+1)/2$ 
```

```
def fun2(n):
    sum = 0
    for i in range(1, n+1):
        sum = sum + i
    return sum
```

```
def fun3(n)
    sum = 0
    for i in range(1, n+1):
        for j in range(1, i+1):
            sum = sum + 1
    return sum
```

$$1+(1+1)+(1+1+1)+(1+1+1+1)+\dots$$

$$1+2+3+4\dots$$

$$n*(n+1)/2$$

$$\frac{n^2}{2} + \frac{n}{2}$$

## # Asymptotic Analysis : (Theoretical Analyses)

- No dependency on machine, programming language, etc
- we do not have to implement all ideas / algorithms
- Asymptotic Analysis is about measuring order of growth in terms of input size.

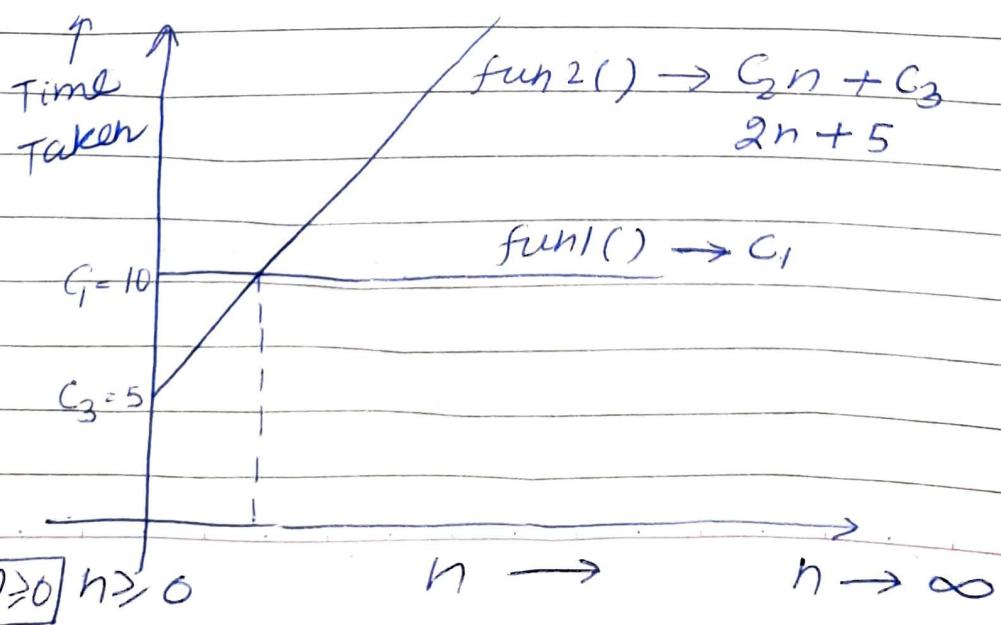
### #<sub>15</sub> order of Growth :

$$\text{fun1}() \rightarrow C_1 \leftarrow (\text{any constant})$$

$$\text{fun2}() \rightarrow C_2 n + C_3$$

$$\text{fun3}() \rightarrow C_4 n^2 + C_5 n + C_6$$

### # Comparison of fun1() and fun2()



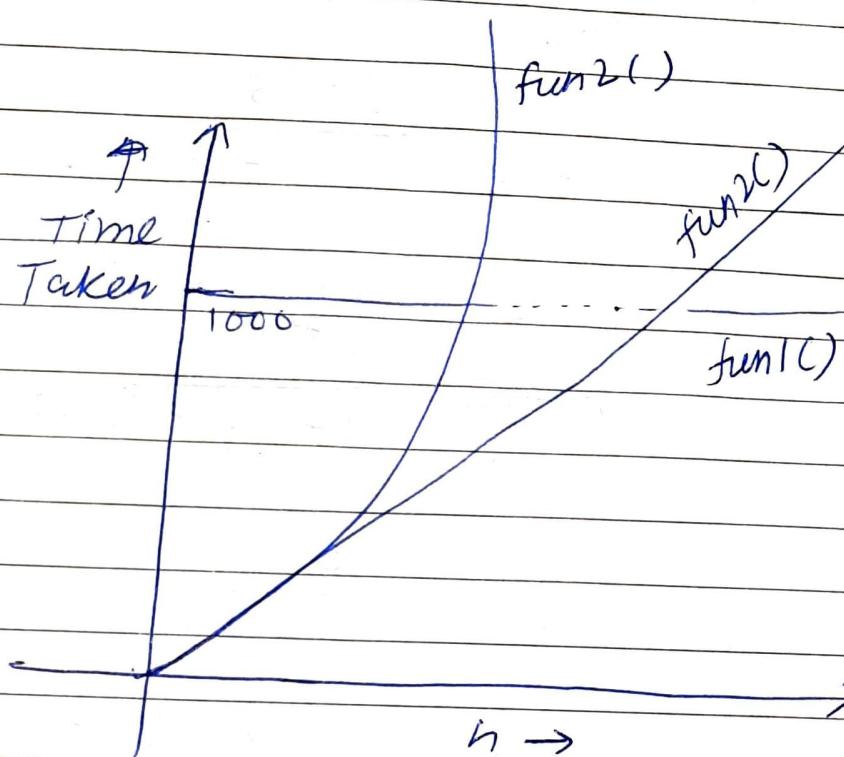
$$2n + 5 \geq 10$$

$$n \geq 2.5$$

$$n \geq 3$$

$$\text{fun1}() = 1000$$

$$\text{fun2}() = n+1$$



$$n+1 \geq 1000$$

$$n \geq 999$$

### order of Growth

$$n > 0$$

Time Taken  $\geq 0$

$$f(n), g(n) \geq 0$$

A function  $f(n)$  is said  
to be growing faster than  
 $g(n)$  if

$$\lim_{n \rightarrow \infty} \frac{f(n)}{g(n)} = \infty$$

OR

$$\lim_{n \rightarrow \infty} \frac{g(n)}{f(n)} = 0$$

$$f(n) = n^2 + n + 6$$

$$g(n) = 2n + 5$$

5.  $\lim_{n \rightarrow \infty} \frac{2n+5}{n^2+n+6}$

$$\lim_{n \rightarrow \infty} \frac{\frac{2n}{n^2} + \frac{5}{n^2}}{\frac{1}{n^2} + \frac{1}{n} + \frac{6}{n^2}}$$

10.  $\lim_{n \rightarrow \infty} \frac{0+0}{1+0+0} = 0$

15.  $f(n)$  is growing faster than  
 $g(n)$

order of growth of  $f(n)$  is faster than  $g(n)$

means

20.  $g(n)$  is better algorithms

# Direct way to compare function.

- (i) Ignore lower order terms
- (ii) ignore leading constant.

# How do we know which terms are lower order?

$$C < \log \log n < \log n < n^{1/3} < n^{1/2} < n \\ < n^2 < n^3 < n^4 < 2^n < n^n$$

$$f(n) = 2n^2 + n + 6$$

$$g(n) = 100n + 3$$

$f(n)$ 's order of growth is  $n^2$

$g(n)$ 's order of growth is  $n$

$g(n)$  is better algo. -

$$f(n) = C_1 \log n + C_2$$

$$g(n) = C_3 n + C_4 \log \log n + C_5$$

5

$f(n) = \log n$  order of growth ~~higher~~

better  
than  
 $g(n)$

$g(n) = n$  lower higher

10

$$f(n) = C_1 n^2 + C_3 n + C_4 \rightarrow n^2$$

$$g(n) = C_5 n \log n + C_6 n + C_7 \rightarrow n \log n$$

15

$n \log n$  is faster ✓

# Best, Average & worst case and Asymptotic Notations

def getSum(l):

    sum = 0

    for x in l:

        sum = sum + x

    return sum

# function for sum of the element in the  
list

# order of Growth

$C_1 n + C_2$

↳ Order of Growth is  $n$  (or linear)

def getSumOdd(l):

    if len(l) % 2 == 0:

        return 0

}

    sum = 0

    for x in l:

        sum = sum + x

}

    return sum

✓ Best case : Constant  $O(1)$

✓ Average case : linear  $O(n)$

(under the assumption that even & odd  
cases are equally likely)

✓ Worst case : linear  $O(n)$

## # Asymptotic Notation

Big O : Represent exact or upper bound

Theta : Represent exact bound

5 Omega : Represent exact or lower bound ✓

✓ 10 Big O  $c_1 n + c_2$

$O(n)$ ,  $O(n^2)$

✓ 15 Theta  $c_1 n + c_2$

$\Theta(n)$

✓ 20 Omega  $c$   $\Omega(1)$

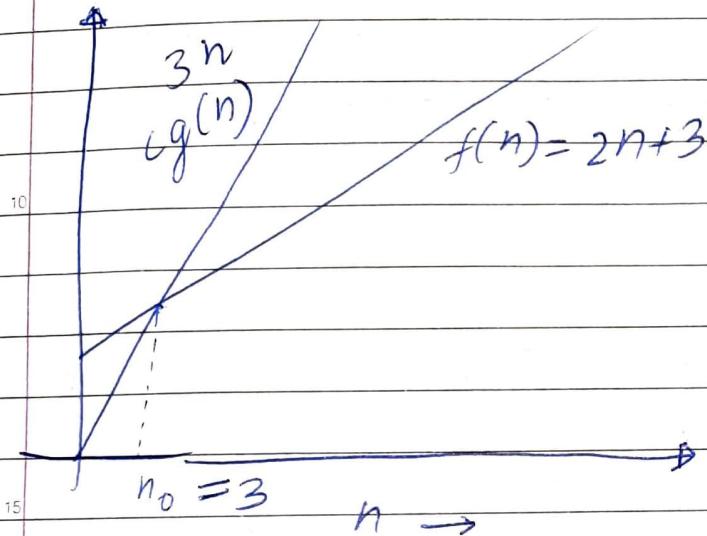
$c_1 n + c_2$

$c_1 + c_2$   
 $\Omega(n)$   
 $\Omega(1)$ ,  $\Omega(\log n)$

# Big O Notation

(Upper bound on order of growth)

We say  $f(n) = O(g(n))$  iff there exist constants  $c$  and  $n_0$  such that  $f(n) \leq c g(n)$  for all  $n \geq n_0$



$$f(n) = \beta n^2 + 2n + 100$$

$$O(n^2)$$

$$f(n) = 4n + \log n + 30$$

$$O(n)$$

$\Leftarrow f(n) = 2n + 3$   
 $O(n)$

$$2n+3 \leq 3^n$$

$$3 \leq n$$

$$n_0 = 3$$

$f(n) \leq c g(n)$  for all  $n \geq n_0$

$(2n+3) \leq cn$  for all  $n \geq n_0$

$c = 3$

$(2n+3) \leq 3n$

$3 \leq n$

$n_0 = 3$

$n = 4$

$2(4) + 3 \leq 12$

$11 \leq 12$

- order of growth
- $\in O(n) \{ \frac{n}{4}, 2n+3, \frac{n}{100} + \log n, n+10000, \frac{n}{10000}, \frac{100}{\log n+1} \}$
- $\in O(n^2) \{ n^2+n, 2n^2, n^2+100n, n^2+2\log n, \frac{n^2}{1000}, \dots \}$
- $\in O(1) \{ 1000, 2, 1, 3, 10000, 1000000, \dots \}$

# Omega Notation (lower bound)

↳ opposite of  $O(n)$

$f(n) = \Omega(g(n))$  iff there exist positive constants  $c$  and  $n_0$  such that

$$0 \leq cg(n) \leq f(n) \text{ for all } n \geq n_0$$

Example :

$$f(n) = 2n+3$$

$$f(n) = \Omega(n)$$

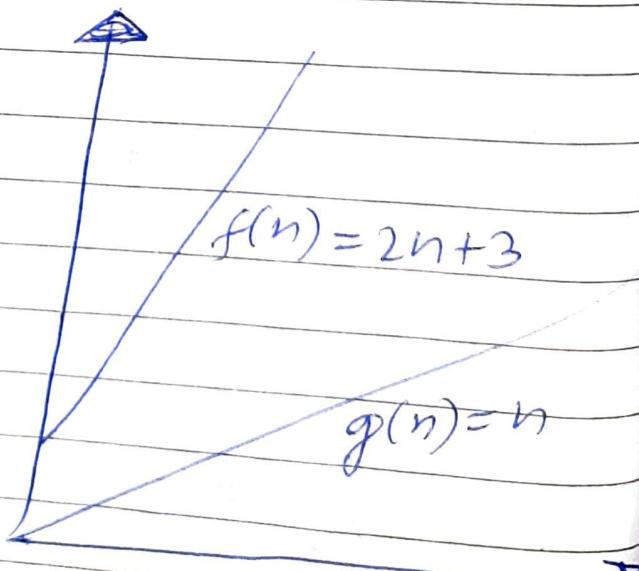
$$\boxed{C=1}$$

$$Cg(n) = n$$

$$n \leq 2n+3$$

$$\boxed{n_0 = 0}$$

$$f(n) = 2n+3 = \Omega(n)$$



$n \rightarrow$

## Omega Notation

①  $\Omega\left\{\frac{n}{4}, \frac{n}{2}, 2n, 3n, 2n+3, n^2, 2n^2, \dots, n^n\right\}$

$$\in \Omega(n)$$

② If  $f(n) = \Omega(g(n))$   
then  $g(n) = O(f(n))$

③ Omega Notation is useful when we have lower bound on time complexity.

#

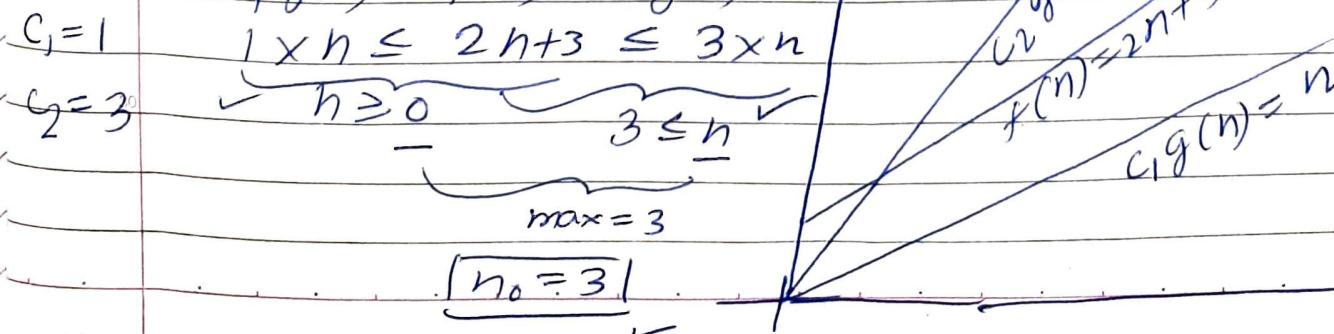
## Theta Notation

→ Exact order of growth

$f(n) = \Theta(g(n))$  iff there exist +ve constants  $c_1, c_2$  and no. such that  $0 \leq c_1 g(n) \leq f(n) \leq c_2 g(n)$  for all  $n \geq n_0$

Example  $f(n) = 2n+3 = \Theta(n)$

$$c_1 g(n) \leq f(n) \leq c_2 g(n) \quad \forall n \geq n_0$$



# Theta Notation

$$i) \text{ if } f(n) = \Theta(g(n))$$

then

$$f(n) = O(g(n)) \text{ & } f(n) = \Omega(g(n))$$

and

$$g(n) = O(f(n)) \text{ & } g(n) = \Omega(f(n))$$

ii) Theta is useful to represent time complexity when we know exact bound. For example, time complexity to find sum, max and min in an array is  $\Theta(n)$ ,

$$(iii) \left\{ \frac{b^2}{4}, \frac{n^2}{2}, \dots, 2n^2, 2n^2 + 1000n, 4n^2 + 2n \log n + 30, \dots \right\} \in \Theta(n^2)$$

# Analysis of Common Loops

Carnegie Mellon  
Date: \_\_\_\_\_

# first example loop

$$i = 0$$

while  $i < n$ :

$$i = i + c \text{ (const)}$$

# some other constant

# time work.

$$0$$

$$2$$

$$4$$

$$6$$

$$8$$

$$n = 10$$

$$c = 2$$

$\frac{n}{c}$  times

$$0$$

$$h = 11$$

$$2$$

$$4$$

$$6$$

$$8$$

$$10$$

$$\left\lceil \frac{n}{c} \right\rceil$$

so, order of growth  
of this loop

$$\Theta(n)$$

# Equivalent for loop

for  $i$  in range( $0, n, c$ ):

# some constant work

$$\Theta(n)$$

# 2<sup>nd</sup> loop

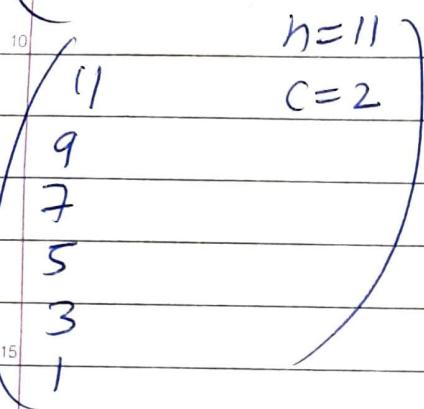
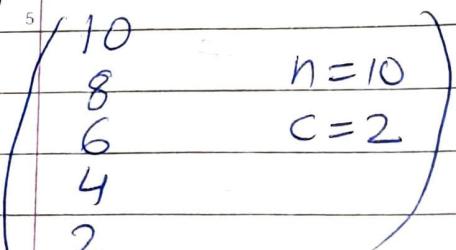
$$i = n$$

while  $i > 0$ :

$$i = i - c$$

$$\left\lceil \frac{n}{c} \right\rceil$$

$$\Theta(n)$$



# 3<sup>rd</sup> loop

$$i = 1$$

while  $i < n$ :

$$i = i * c$$

# —

# —

$$n = 32$$

$$c = 2$$

$$1, 2, 4, 8, 16$$

$$n = 33$$

$$c = 2$$

$$1, 2, 4, 8, 16, 32$$

equivalent loop

for  $i$  in range ( $n, 0, -c$ )

$$\Theta(n)$$

$$1, c, c^2, \dots, c^{k-1}$$

$$c^{k-1} < n$$

$$k \leq \log_c n + 1$$

$$\Theta(\log n)$$

32  
32, 16, 8, 4, 2.  
33  
33, 16, 8, 4, 2  
Date 1 1

~~4<sup>th</sup>~~ loop

$$i = n$$

while  $i > 1$ :

$$i = i/c$$

# -----

# -----

$$n = 32, c = 2$$

$$32, 16, 8, 4, 2$$

$$n = 33, c = 2$$

$$33, 16, 8, 4, 2$$

$\Theta(\log n)$



# 5<sup>th</sup> loop

$$c = 2$$

$$n = 32$$

$$i = 2$$

while  $i < n$ :

$$i = i * c$$

$$2, 4, 16, \\ 2^2, (2^2)^2, (2^2)^2$$

$$2, 2^c, (2^c)^c \dots ((2^c)^c)^c$$

$$2, 2^c, 2^{c^2} \dots 2^{c^{k-1}}$$

$$\boxed{2^{c^{k-1}} < n} \quad \text{termination condition}$$

$$2^{c^{k-1}} < \log_2 n$$

$$k-1 < \log_c \log_2 n$$

$$k < \log_2 \log_2 n + 1$$



$\Theta(\log \log n)$

30

# 6<sup>th</sup> loop (Subsequent loops)

$i = 0$   
 while  $i < n$ :  $\Theta(n)$   
 $i = i + 2$

$i = 1$   
 while  $i < n$ :  
 $i = i * 3$   $\Theta(\log n)$

$i = 1$   
 while  $i < 100$   $\Theta(1)$   
 $i = i + 1$

$$\Theta(n) + \Theta(\log n) + \Theta(1)$$

$\xrightarrow{}$   $\Theta(n)$

# 7<sup>th</sup> loop : Nested loop

$i = 0$   
 while  $i < n$ :  
 $j = 1$   
 while  $j < n$ : }  $\Theta(\log n)$  \* }  $\Theta(n)$   
 $j = j * 2$   
 $i = i + 1$   
# -----  
# -----

# 8<sup>th</sup> loop : Mixed loops

$i = 0$

while  $i < n$ :

$j = 1$

while  $j < n$ :

$j = j * 2$

$i = i + 1$

}  $O(n \log n)$

$i = 0$

while  $i < n$ :

$j = 1$

while  $j < n$ :

$j = j + 1$

$i = i + 1$

}  $O(n^2)$

$O(n^2) + O(n \log n)$

$O(n^2)$

# 9<sup>th</sup> loop : Multiple input

$i = 0$

while  $i < n$ :

$j = 1$

while  $j < n$ :

$O(n \log n)$

$j = j * 2$

$i = i + 1$

$i = 0$

while  $i < m$ :

$j = 1$

$O(m^2)$

while  $j < m$

$j = j + 1$

$i = i + 1$

$O(m^2 + n \log n)$

## # Analysis of Recursion

```
# def fun(n):
    if n==1:
        return
    for i in range(n):
        print("GFG")
        fun(n/2)
        fun(n/2)
```

$$T(n) = 2T(n/2) + \Theta(n) \quad n > 1$$

Best case  $T(1) = \Theta(1) \quad n=1$

```
# def fun(n):
    if n==1:
        return
    print("GFG")
    fun(n/2)
    fun(n/2)
```

$$T(n) = 2T(n/2) + \Theta(1) : n > 1$$

Best case  $T(1) = \Theta(1) \quad n=1$

```
# def fun(n):
    if n==1:
        return
    print(n)
    fun(n-1)
```

$$T(n) = T(n-1) + \Theta(1) \quad n > 1$$

$$T(1) = \Theta(1) \quad n=1$$

$$T(n) = 2T(n/2) + C_n$$

↑ recursive part

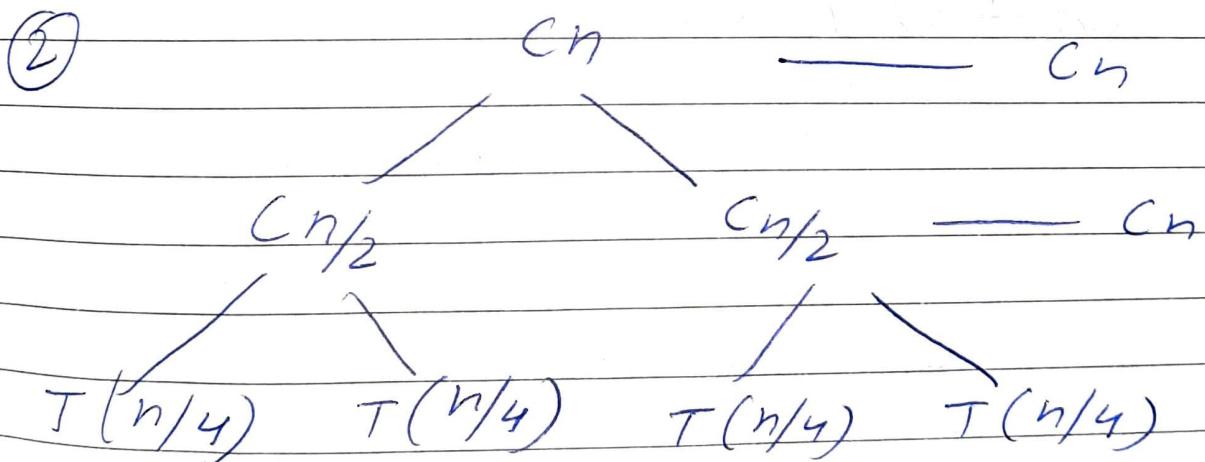
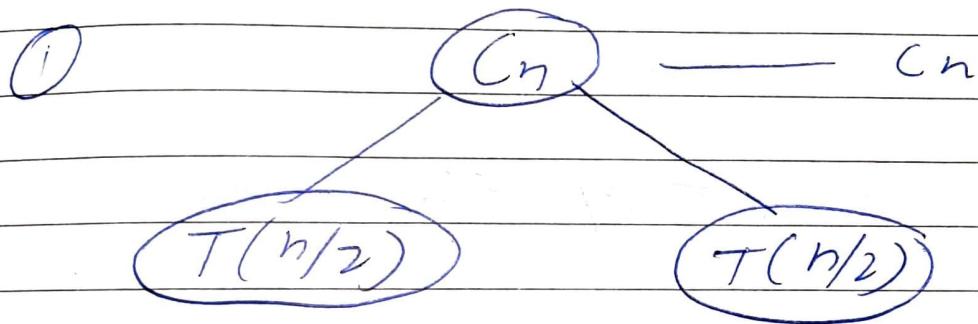
$$T(1) = C$$

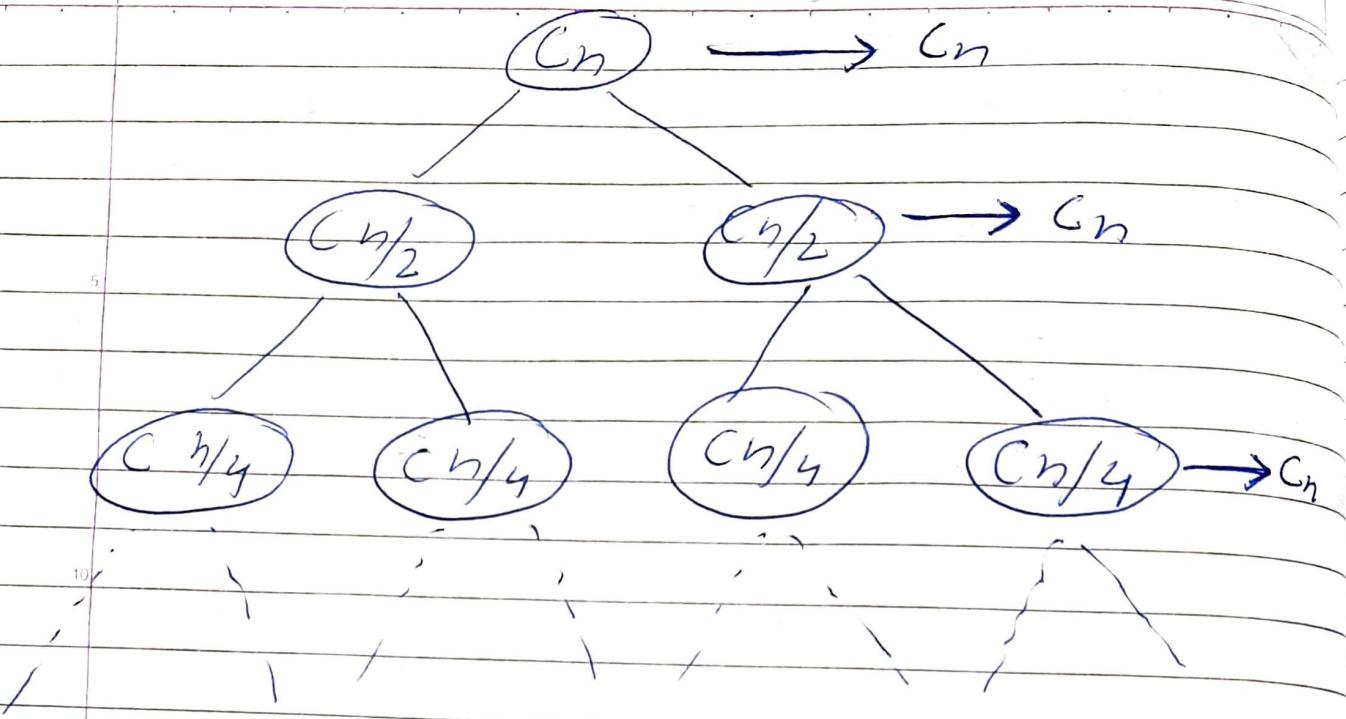
↑ non-recursive part

## # Recursion Tree Method

→ we write non-recursive part as root of tree & recursive parts as children

→ we keep expanding children until we see a pattern





15  $\log_2 n$  (height of the tree)

$$Cn + Cn + Cn + \dots + Cn$$

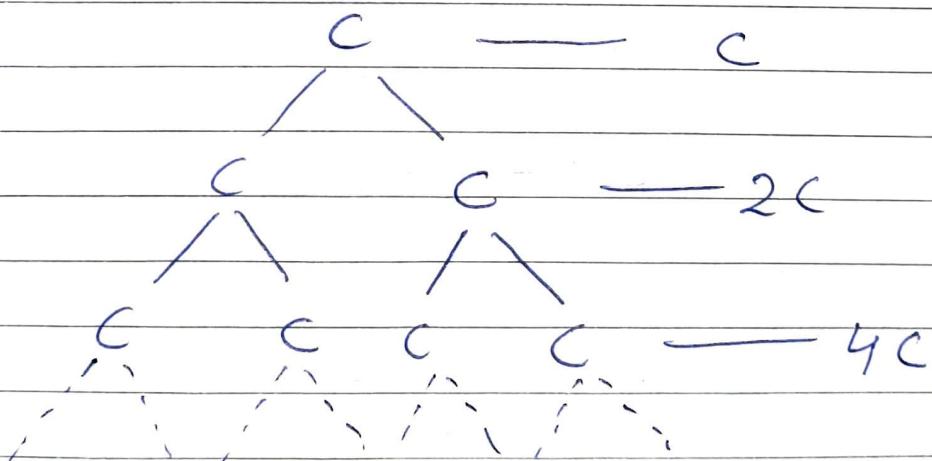
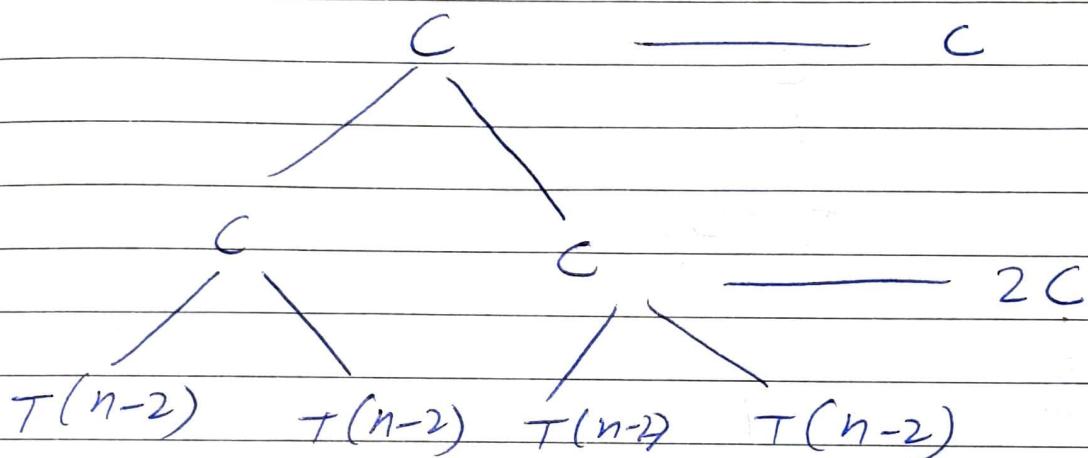
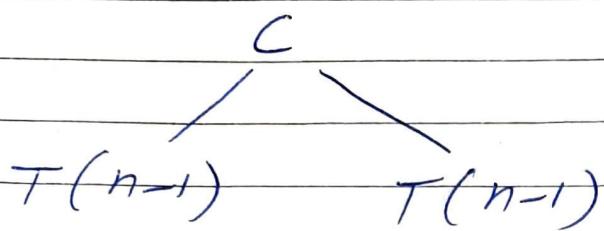
$\log_2 n$

$$Cn \times \log_2 n$$

Merge Sort  $\rightarrow O(n \log_2 n)$

$$T(n) = 2T(n-1) + C$$

$$T(1) = c$$

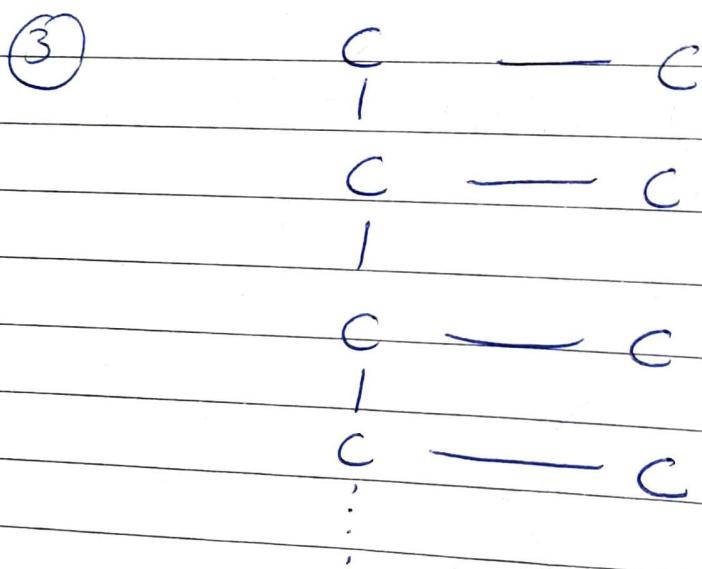
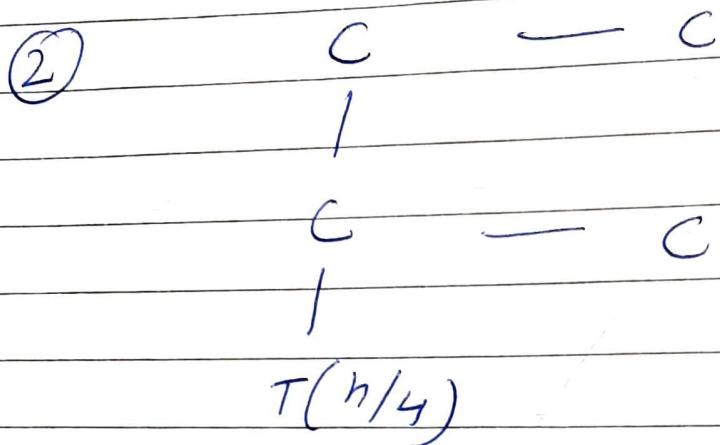
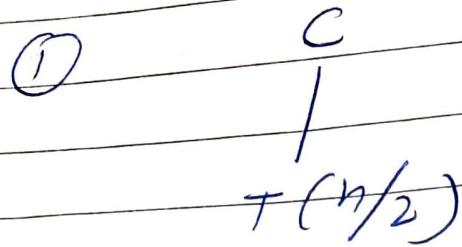


$$C + 2C + 4C + 8C + \dots$$

$$\text{Geometric progression} = \frac{a \times (r^n - 1)}{r - 1}$$

$$\Theta(2^n)$$

$$\text{#} \quad T(n) = T(n/2) + C$$
$$T(1) = C$$



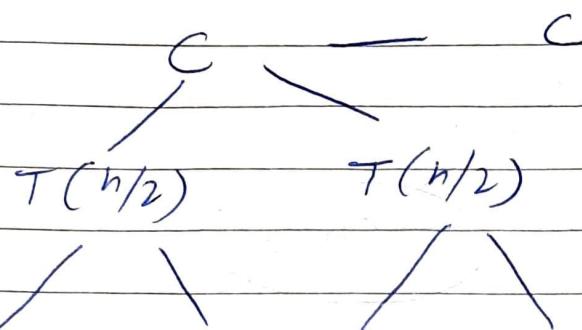
$C + C + C + C + \dots$   
 $\underbrace{\phantom{C + C + C + C + \dots}}_{\log_2 n}$

$C \times \log_2 n$   
 $O(\log n)$

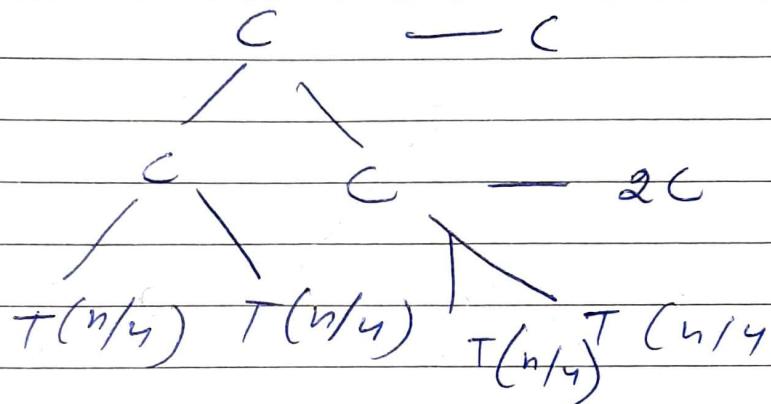
$$\# \# T(n) = 2T(n/2) + C$$

$$T(1) = C$$

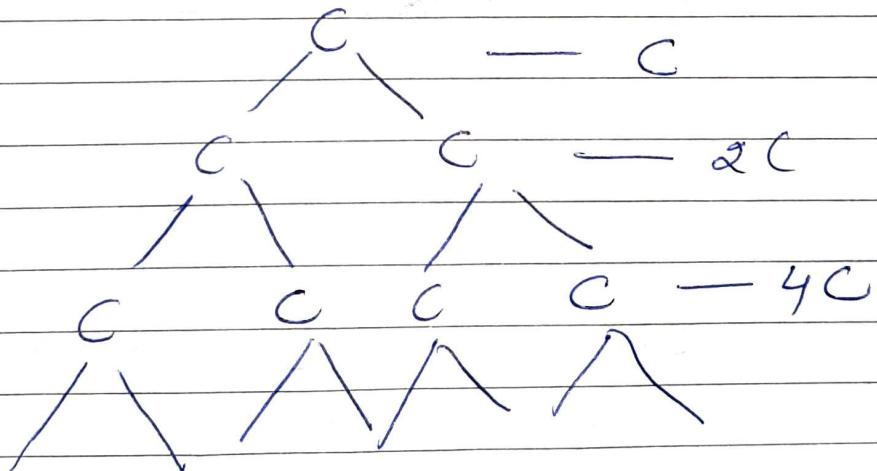
①



②



③



$$C + 2C + 4C - \dots$$

$\log_2 n$

$$\frac{C \times (2^{\log_2 n} - 1)}{2 - 1}$$

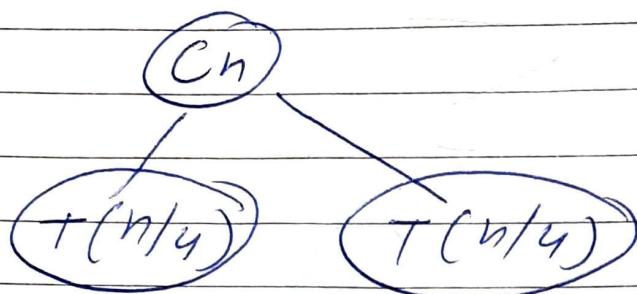
$O(n)$

# Complex Recursion Tree

$$\# \# \quad T(n) = T(n/4) + T(n/2) + \Theta(n)$$

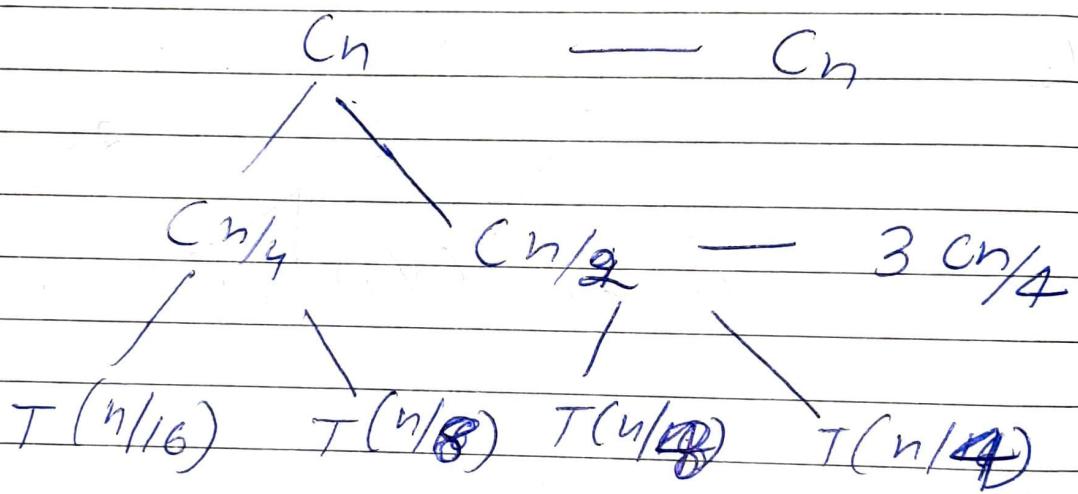
$$T(1) = C$$

①



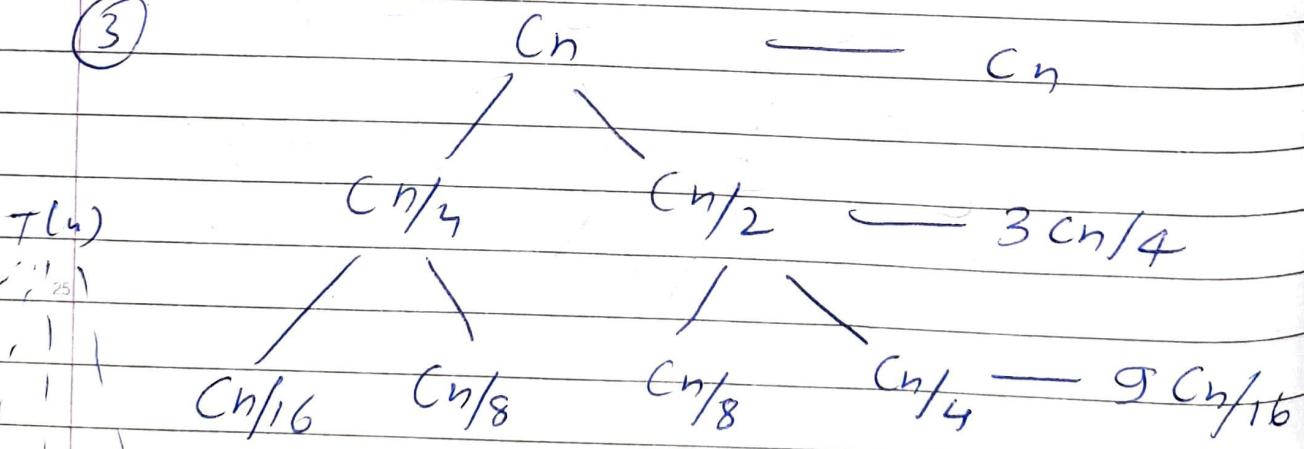
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②



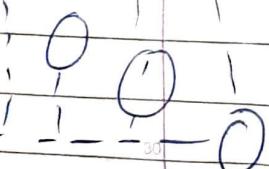
20

③



25

④



Assume

Full Tree

$$C_n + 3C_{n/4} + 9C_{n/16} - \dots$$

$\nearrow r = 3/4 \quad \searrow \log n$

geometric progression

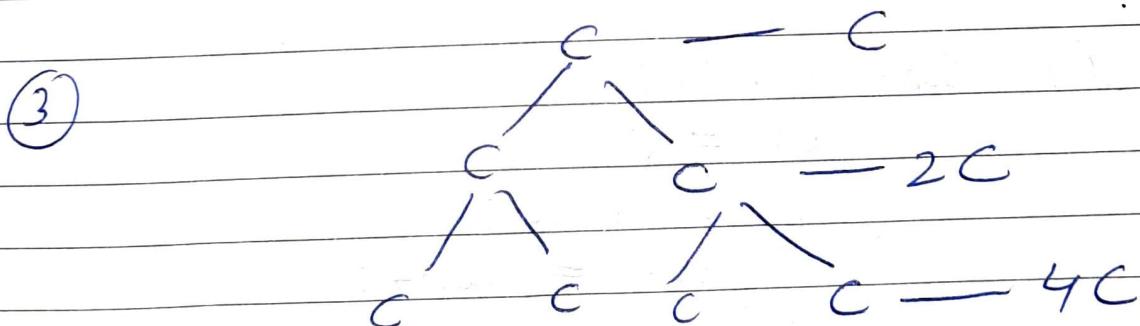
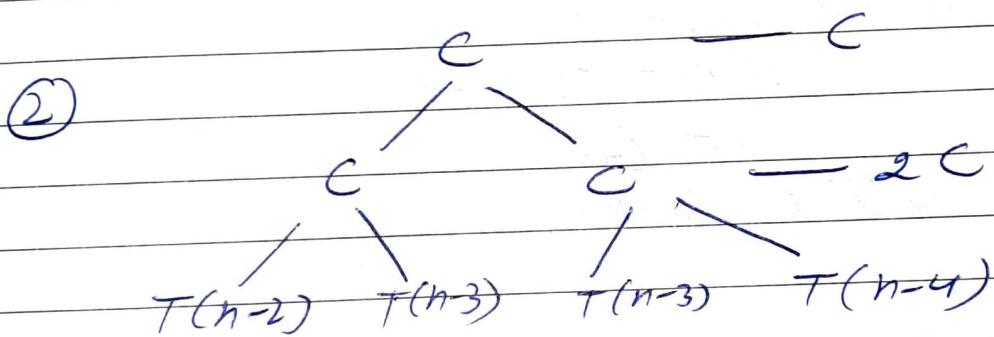
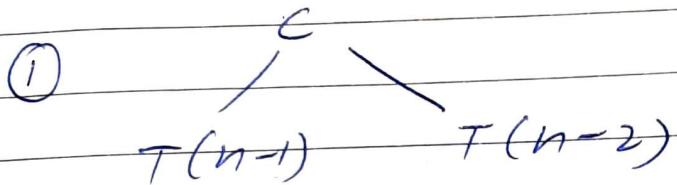
$$O\left(C_n \times \left(\frac{1}{1-3/4}\right)\right)$$

→ Infinite series

$$O(n)$$

recursive Fibonacci program ✓

##  $T(n) = T(n-1) + T(n-2) + C$   
 $T(1) = C$



$$C + 2C + 4C + \dots$$

$\underbrace{\quad\quad\quad}_{n \text{ term}}$

$$C(1+2+4+\dots)$$

$$O(2^n)$$

## # Space Complexity

order of growth of memory (on RAM) usage  
in terms of input.

✓ 5 def getSum1(n):  
    return  $n * (n+1)/2$

$\Theta(1)$  or  $O(1)$

✓ 10 def getSum2(n)  
    sum = 0  
    i = 1  
    while  $i \leq n$ :  
        sum = sum + i  
        i = i + 1  
    return sum

✓ 20 def listSum(l):  
    sum = 0  
    for x in l:  
        sum = sum + x  
    return sum

$\Theta(n)$

## ## Auxiliary Space

Order of growth of extra space (space other than input, output)

✓ 1 def list\_sum(l)

    sum=0

    for n in l:

        sum = sum + n

    return sum

Auxiliary:  $\Theta(1)$

Space Complexity:  $\Theta(n)$

✓ 15 def fun(n) :

    if n <= 0:

        return 0

    else:

        return n + fun(n-1)

fun(3)

/

fun(2)

/

fun(1)

/

fun(0)

Space Complexity =  $\Theta(n)$

Auxiliary Space =  $\Theta(n)$

