# Reproducible Gene Set Analysis with R

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### 1 Overview

Gene Set Analysis (GSA) has been widely use to assist the interpretation of results from gene expression and genomic data analyses.

In today's tutorial we will use  $\mathbf{R}$  to perform GSA using methods and examples based on the RTopper [1] and limma packages [2, 3, 4].

In particular we will use example data and wrapper functions from RTopper in conjuction with the GeneSetTest function from limma, which enables testing the hypothesis that a specific set of genes (a Functional Gene Set, FGS) is more highly ranked on a given statistics. using the Wilcoxon rank-sum test. We will also illustrate alternative strategies, creating user-defined functions based on the Fisher's exact test. Overall, this approach is conceptually analogous to Gene Set Enrichment Analysis (GSEA), as proposed by Mootha and colleagues [5, 6].

In addition we will also show how to correct for multiple hypothesis by applying the Benjamini and Hochberg method [7] as implemented in the multtest R/Bioconductor package.

Finally we will also show where and how to retrieve FGS information, including providing simple code to cross-reference common gene identifiers. To achieve all these goals we will need to start by refreshinga few basic Rcommands and concepts.

# 2 R-Bioconductor analytical packages

The following R packages were used to perform our analyses and produce this vignette:

- BiocGenerics: this library implements several generic classes and methods to work with Bioconductor packages and can be obtained from Bioconductor;
- Biobase: this library implements classes and methods to work with genomic data and can be obtained from Bioconductor;
- limma: this library provide advanced methods to perform gene expression analysis from raw data to gene set analysis and can be obtained from Bioconductor;
- RTopper: this library implements methods to perform integrated gene set analysis across datasets and platforms and can be obtained from Bioconductor;
- multtest: this library implements methods to perform multiple test correction and can be obtained from Bioconductor;
- org.Hs.eg.db: this library contains human genes annotation and can be obtained from Bioconductor;
- KEGG.db: this library contains KEGG pathway annotation and can be obtained from Bioconductor;
- reactome.db: this library contains Reactome pathway annotation and can be obtained from Bioconductor;
- GO.db: this library contains Gene Ontoogy annotation and can be obtained from Bioconductor;

• AnnotationDBI and annotate: these libraries contain classes and methods to access and manipulated annotation packages and can be obtained from Bioconductor;

### 2.1 Install the packages

The chunk of **R** code below can be used to obtain and install all necessary packages from CRAN, Bioconductor, or the website repository accompanying this manuscript. Installing from Bioconductor:

# 3 Gene Set Analysis with RTopper

### 3.1 RTopper data structure

In this tutorial we will use some of the functions of the RTopper package. To this end we will make use of simplified data generated within The Cancer Genome Atlas (TCGA) project, using Glioblastoma Multiforme (GBM) genomics data obtained from the same patients" cohort using distinct platforms, including Differential Gene Expression (DGE), Copy Number Variation (CNV), and Differential Methylation (DM). This data is included with the RTopper package as the dataset exampleData, which consists of genomic measurements (the list dat) for 500 genes (in rows) and 95 patients (in columns) from 4 distinct platforms:

- 1. DGE obtained using Affymetrix;
- 2. DGE obtained using Agilent;
- 3. CNV data generated ad Harvard;
- 4. CNV data generated ad the MSKCC;

Load the data set type data(sepScores), and to view a description of this data type ?sep-Scores. The structure of the data is shown below:

```
> require(RTopper)
> data(sepScores)
> ls()
```

```
[1] "installedPckgs" "pckg"
[3] "pckgListBIOC"
                      "sepScores"
> class(sepScores)
[1] "list"
> names(sepScores)
[1] "dat.affy"
                      "dat.agilent"
[3] "dat.cnvHarvard" "dat.cnvMskcc"
> sapply(sepScores, class)
      dat.affy
                  dat.agilent dat.cnvHarvard
     "numeric"
                     "numeric"
                                    "numeric"
  dat.cnvMskcc
     "numeric"
> sapply(sepScores,dim)
$dat.affy
NULL
$dat.agilent
NULL
$dat.cnvHarvard
NULL
$dat.cnvMskcc
NULL
```

### 3.2 Creation of Functional Gene Sets

Functional Gene Sets (FGS) are list of genes that share a specific biological function. Examples of FGS are genes that operate in the same signaling pathway (*i.e.* Notch signaling genes), or that share the same biological function (*i.e.* Cell adhesion genes). FGS can be retrieved from various database, or can be constructed ad hoc. A convenient source of FGS are the R-Bioconductor metaData packages, and S4 classes and methods for handling FGS are provided by the GSEABase package. Below is shown a simple way to extract FGS from the human genome metaData package org.Hs.eg.db. As a general rule the name of the metaData package, without the .db extension, can be used a function to see the content of the package, as shown below:

```
> require(org.Hs.eg.db)
> org.Hs.eg()
Quality control information for org.Hs.eg:
This package has the following mappings:
org.Hs.egACCNUM has 28608 mapped keys (of 43827 keys)
org.Hs.egACCNUM2EG has 692985 mapped keys (of 692985 keys)
org.Hs.egALIAS2EG has 96484 mapped keys (of 96484 keys)
org.Hs.egCHR has 43369 mapped keys (of 43827 keys)
org.Hs.egCHRLENGTHS has 93 mapped keys (of 93 keys)
```

```
org. Hs. egCHRLOC has 23658 mapped keys (of 43827 keys)
org. Hs. egCHRLOCEND has 23658 mapped keys (of 43827 keys)
org.Hs.egENSEMBL has 24274 mapped keys (of 43827 keys)
org. Hs. egENSEMBL2EG has 26047 mapped keys (of 26047 keys)
org. Hs. egENSEMBLPROT has 19686 mapped keys (of 43827 keys)
org. Hs. egENSEMBLPROT2EG has 100661 mapped keys (of 100661 keys)
org. Hs. egENSEMBLTRANS has 21268 mapped keys (of 43827 keys)
org.Hs.egENSEMBLTRANS2EG has 161437 mapped keys (of 161437 keys)
org. Hs. egENZYME has 2230 mapped keys (of 43827 keys)
org.Hs.egENZYME2EG has 975 mapped keys (of 975 keys)
org. Hs. egGENENAME has 43827 mapped keys (of 43827 keys)
org. Hs. egGO has 18088 mapped keys (of 43827 keys)
org. Hs. egGO2ALLEGS has 17202 mapped keys (of 17202 keys)
org. Hs. egGO2EG has 13385 mapped keys (of 13385 keys)
org.Hs.egMAP has 35004 mapped keys (of 43827 keys)
org. Hs. egMAP2EG has 2503 mapped keys (of 2503 keys)
org. Hs. egOMIM has 15570 mapped keys (of 43827 keys)
org. Hs. egOMIM2EG has 19134 mapped keys (of 19134 keys)
org. Hs. egPATH has 5870 mapped keys (of 43827 keys)
org.Hs.egPATH2EG has 229 mapped keys (of 229 keys)
org. Hs. egPFAM has 20364 mapped keys (of 43827 keys)
org. Hs. egPMID has 31324 mapped keys (of 43827 keys)
org. Hs. egPMID2EG has 373456 mapped keys (of 373456 keys)
org. Hs. egPROSITE has 20364 mapped keys (of 43827 keys)
org. Hs. egREFSEQ has 27268 mapped keys (of 43827 keys)
org. Hs. egREFSEQ2EG has 88756 mapped keys (of 88756 keys)
org.Hs.egSYMBOL has 43827 mapped keys (of 43827 keys)
org. Hs. egSYMBOL2EG has 43819 mapped keys (of 43819 keys)
org. Hs. egUCSCKG has 22922 mapped keys (of 43827 keys)
org. Hs. egUNIGENE has 24733 mapped keys (of 43827 keys)
org. Hs. egUNIGENE2EG has 25954 mapped keys (of 25954 keys)
org. Hs. egUNIPROT has 19200 mapped keys (of 43827 keys)
Additional Information about this package:
DB schema: HUMAN_DB
DB schema version: 2.1
Organism: Homo sapiens
Date for NCBI data: 2013-Mar5
Date for GO data: 20130302
Date for KEGG data: 2011-Mar15
Date for Golden Path data: 2010-Mar22
```

For instance the org.Hs.egG02ALLEGS environment contains the mapping of all ENTREZ Gene identifiers to the **Gene Ontology Terms** [8], while org.Hs.egPATH2EG maps the identifiers to **KEGG** pathways [9]. The corresponding lists of FGS can be retrieve from the corresponding environments using the the **R** command as.list(), as shown below for KEGG and GO:

```
> kegg <- as.list(org.Hs.egPATH2EG)
> length(kegg)
[1] 229
> str(kegg[1:5])
```

Date for Ensembl data: 2013-Jan16

```
List of 5
 $ 04610: chr [1:69] "2" "462" "623" "624" ...
 $ 00232: chr [1:7] "9" "10" "1544" "1548" ...
 $ 00983: chr [1:52] "9" "10" "978" "1066" ...
 $ 01100: chr [1:1130] "9" "10" "15" "18" ...
 $ 00380: chr [1:42] "15" "26" "38" "39" ...
> names(kegg)[1:5]
[1] "04610" "00232" "00983" "01100" "00380"
> go <- as.list(org.Hs.egGO2ALLEGS)</pre>
> length(go)
[1] 17202
> str(go[1:5])
List of 5
 $ GO:0000002: Named chr [1:18] "291" "1763" "1890" "3980" ...
  ..- attr(*, "names")= chr [1:18] "TAS" "IDA" "TAS" "IEA" ...
 $ GO:0000003: Named chr [1:1855] "18" "49" "49" "49" ...
 ..- attr(*, "names")= chr [1:1855] "IEA" "IEA" "IMP" "ISS" ...
 $ GO:0000012: Named chr [1:9] "3981" "7141" "7515" "23411" ...
  ..- attr(*, "names")= chr [1:9] "IDA" "IDA" "IEA" "IMP" ...
 $ GO:0000018: Named chr [1:45] "604" "641" "641" "940" ...
 ..- attr(*, "names")= chr [1:45] "IEA" "IEA" "IMP" "IEA" ...
 $ GO:0000019: Named chr [1:4] "641" "4292" "4361" "10111"
  ..- attr(*, "names")= chr [1:4] "IEA" "IEA" "TAS" "IDA"
> names(go)[1:5]
[1] "GD:0000002" "GD:0000003" "GD:0000012"
[4] "GD:0000018" "GD:0000019"
```

In the kegg list genes are identified by their ENTREZ Gene identifiers, while in the dat genes are identified by their Gene Symbol. Below is an example of the code that can be used to perform the identifiers conversion, using only a subset of KEGG and GO FGS:

```
> numberOfFGSkegg <- 200
> kegg <- lapply(kegg[sample(1:length(kegg),numberOfFGSkegg)],</pre>
                 function(x) unique(unlist(mget(x,org.Hs.egSYMBOL))))
> str(kegg[1:5])
List of 5
 $ 00061: chr [1:6] "ACACA" "ACACB" "FASN" "MCAT" ...
 $ 04270: chr [1:116] "ACTA2" "ACTG2" "ADCY1" "ADCY2"
 $ 00500: chr [1:54] "AGL" "AMY1A" "AMY1B" "AMY1C" ...
 $ 03320: chr [1:70] "ACAA1" "ACADL" "ACADM" "ACOX1" ...
 $ 00603: chr [1:14] "FUT1" "FUT2" "GLA" "HEXA" ...
> ### Process GO: keep only Biological Process
> length(go)
[1] 17202
> go <- go[ Ontology(names(go)) == "BP" ]</pre>
> length(go)
[1] 11972
```

Finally, it is also possible to annotate FGS, mapping pathways identifiers to pathway names, as shown below for KEGG, using the KEGG.db.

```
> require(KEGG.db)
> KEGG()
Quality control information for KEGG:
This package has the following mappings:
KEGGENZYMEID2GO has 3999 mapped keys (of 3999 keys)
KEGGEXTID2PATHID has 75100 mapped keys (of 75100 keys)
KEGGGO2ENZYMEID has 4129 mapped keys (of 4129 keys)
KEGGPATHID2EXTID has 3152 mapped keys (of 3152 keys)
KEGGPATHID2NAME has 390 mapped keys (of 390 keys)
KEGGPATHNAME2ID has 390 mapped keys (of 390 keys)
Additional Information about this package:
DB schema: KEGG_DB
DB schema version: 2.1
Date for KEGG data: 2011-Mar15
> names(kegg) <- paste(names(kegg),unlist(mget(names(kegg),KEGGPATHID2NAME)),sep=".")</pre>
> head(names(kegg), n=10)
 [1] "00061.Fatty acid biosynthesis"
 [2] "04270. Vascular smooth muscle contraction"
 [3] "00500.Starch and sucrose metabolism"
 [4] "03320.PPAR signaling pathway"
 [5] "00603.Glycosphingolipid biosynthesis - globo series"
 [6] "00062. Fatty acid elongation in mitochondria"
 [7] "05016. Huntington's disease"
 [8] "05340.Primary immunodeficiency"
 [9] "00514.Other types of O-glycan biosynthesis"
[10] "00531.Glycosaminoglycan degradation"
```

Similarly GO Terms can be retrieved from the GO.db (please refer to the vignettes of the corresponding packages for details).

```
> require(GO.db)
> GO()
```

```
Quality control information for GO:
  This package has the following mappings:
  GOBPANCESTOR has 24697 mapped keys (of 24697 keys)
  GOBPCHILDREN has 14173 mapped keys (of 24697 keys)
  GOBPOFFSPRING has 14173 mapped keys (of 24697 keys)
  GOBPPARENTS has 24697 mapped keys (of 24697 keys)
  GOCCANCESTOR has 3146 mapped keys (of 3146 keys)
  GOCCCHILDREN has 1041 mapped keys (of 3146 keys)
  GOCCOFFSPRING has 1041 mapped keys (of 3146 keys)
  GOCCPARENTS has 3146 mapped keys (of 3146 keys)
  GOMFANCESTOR has 9547 mapped keys (of 9547 keys)
  GOMFCHILDREN has 1906 mapped keys (of 9547 keys)
  GOMFOFFSPRING has 1906 mapped keys (of 9547 keys)
  GOMFPARENTS has 9547 mapped keys (of 9547 keys)
  GOOBSOLETE has 1784 mapped keys (of 1784 keys)
  GOTERM has 37391 mapped keys (of 37391 keys)
  Additional Information about this package:
  DB schema: GO_DB
  DB schema version: 2.1
  Date for GO data: 20130302
  > names(go) <- paste(names(go), Term(names(go)), sep=".")</pre>
  > head(names(go), n=10)
   [1] "GO:0071680.response to indole-3-methanol"
   [2] "GO:0009081.branched-chain amino acid metabolic process"
   [3] "GO:0045950.negative regulation of mitotic recombination"
   [4] "GO:0044337.canonical Wnt receptor signaling pathway involved in positive regulation of apoptotic process"
   [5] "GO:0060760.positive regulation of response to cytokine stimulus"
   [6] "GO:0032714.negative regulation of interleukin-5 production"
   [7] "GO:0042886.amide transport"
   [8] "GO:0034199.activation of protein kinase A activity"
   [9] "GO:0045838.positive regulation of membrane potential"
  [10] "GO:0031394.positive regulation of prostaglandin biosynthetic process"
   Finally we can be combine the two FGS collections into a named list for further used in GSE
analysis (see below).
  > fgsList <- list(go=go,kegg=kegg)</pre>
  > str(fgsList$go[1:5])
  List of 5
   $ GO:0071680.response to indole-3-methanol
                                                                                                               : chr
   $ GO:0009081.branched-chain amino acid metabolic process
                                                                                                               : chr
   $ GO:0045950.negative regulation of mitotic recombination
                                                                                                               : chr
   $ GO:0044337.canonical Wnt receptor signaling pathway involved in positive regulation of apoptotic process: chr
   $ GO:0060760.positive regulation of response to cytokine stimulus
                                                                                                               : chr
```

: chr [1:6] "ACACA" "ACACB" "FASN" "MCAT" ...

> str(fgsList\$kegg[1:5])

\$ 00061.Fatty acid biosynthesis

List of 5

```
$ 04270.Vascular smooth muscle contraction : chr [1:116] "ACTA2" "ACTG2" "ADCY1" "ADCY2" ... $ 00500.Starch and sucrose metabolism : chr [1:54] "AGL" "AMY1A" "AMY1B" "AMY1C" ... $ 03320.PPAR signaling pathway : chr [1:70] "ACAA1" "ACADL" "ACADL" "ACOX1" ... $ 00603.Glycosphingolipid biosynthesis - globo series: chr [1:14] "FUT1" "FUT2" "GLA" "HEXA" ...
```

### 3.3 Gene Set Analysis functions in RTopper

We will use a gene-to-phenotype score for each platform to perform separate GSE analysis for each platform ultimately identifying the FGS most strongly associated with the score.

To this end we will use the runBatchGSE function, as shown below. This function enables to perform GSE analysis over multiple collections of FGS, and over multiple ranking statistics. In the current implementation of the runBatchGSE the default is performing the enrichment analysis using the geneSetTest function from the limma package, and most of the arguments passed to runBatchGSE are indeed passed to geneSetTest (see the relative help for the details).

As an alternative the user can also define his own function to test for FGS enrichment, passing the selection of genes within the FGS and the ranking ranking statistics in the same way as done for <code>geneSetTest</code>. In this tutorial we apply <code>geneSetTest</code> in order to perform a Wilcoxon rank-sum test, using the absolute value of the gene-to-phenotype scores as the ranking statistics.

```
> args(runBatchGSE)
function (dataList, fgsList, ...)
NULL.
```

Below a short description of the arguments that can be passed to this function:

- dataList: a list containing gene-to-phenotype scores to be used as ranking statistics in the GSE analysis;
- fgsList: a list of FGS collection, in which each element is a list of character vectors, one for each gene set;
- ...: any other argument to be passed to lower level functions, including the lower level enrichment function to be used (like the geneSetTest function from the limma package, which is used as the default);
- absolute: logical specifying whether the absolute values of the ranking statistics should be used in the test (the default being TRUE);
- gseFunc: a function to perform GSE analysis, when not specified (the default) the gene-SetTest from the limma package is used. When a function is specified, the membership of the analyzed genes to a FGS, and the ranking statistics must be defined in the same way this is done for geneSetTest, and the new function must return an integer (usually a p-value) (see the help for geneSetTest in the limma package)

Below are few examples to perform Wilcoxon rank-sum test over multiple FGS collections, and over multiple ranking statistics, usin the runBatchGSE. To this end we will use the **KEGG** and **GO** collections created above, and the separate and integrated gene-to-phenotype scores computed using the computeDrStat. The output of this function is a named list of lists, containing an element

for each ranking statistics considered in the input. Each one of these elements, in turn, is another list, containing the GSE results for each collection sets. In the examples below we will therefore obtain a list of length one in the case of the integrated gene-to-phenotype score, and a list of length four (on element for each genomic platform) in the case of the separate scores. For all the rankings we will obtain GSE result for both the collections of FGS.

### 3.4 An example

#### 3.4.1 One-sided Wilcoxon rank-sum test using absolute ranking statistics

The individual gene-to-phenotype scores computed for each platform can be used to perform separate GSE analyses for each considered genomic platform. This can be accomplished by calling the runBatchGSE with default values, or by specifying each argument, as shown below:

```
> gseABS.sep <- runBatchGSE(dataList=sepScores, fgsList=fgsList)
> gseABS.sep <- runBatchGSE(dataList=sepScores, fgsList=fgsList,
+ absolute=TRUE, type="f", alternative="mixed")</pre>
```

#### 3.4.2 One-sided Wilcoxon rank-sum test using signed ranking statistics

When the signed ranking statistics has a sign, it is possible to perform a one-sided test assensing both tails separately, as well as a two-sided test. This can be accomplished by passing the corresponding arguments to runBatchGSE, as shown below:

```
> gseUP.sep <- runBatchGSE(dataList=sepScores, fgsList=fgsList,
+ absolute=FALSE, type="t", alternative="up")
> gseDW.sep <- runBatchGSE(dataList=sepScores, fgsList=fgsList,
+ absolute=FALSE, type="t", alternative="down")
> gseBOTH.sep <- runBatchGSE(dataList=sepScores, fgsList=fgsList,
+ absolute=FALSE, type="t", alternative="either")</pre>
```

#### 3.4.3 Performing a simulation-based GSE test

It is also possible to perform an enrichment analysis comparing each FGS to randomly selected gene lists of the same size of the FGS. In this case the p-value is computed by simulation as the proportion of times the mean of the statistics in the FGS is smaller (or larger) than in the nsim random simulated sets of genes.

```
> gseABSsim.sep <- runBatchGSE(dataList=sepScores, fgsList=fgsList,
+ absolute=TRUE, type="f", alternative="mixed",
+ ranks.only=FALSE, nsim=1000)
> gseUPsim.sep <- runBatchGSE(dataList=sepScores, fgsList=fgsList,
+ absolute=FALSE, type="t", alternative="up",
+ ranks.only=FALSE, nsim=1000)</pre>
```

Results from this analysis are named lists of lists, as shown below:

```
> str(gseUP.sep[1:5])
```

```
$ dat.affy
                 :List of 2
  ..$ go : Named num [1:200] NA 0.143 NA NA 0.367 ...
  ... - attr(*, "names")= chr [1:200] "GO:0071680.response to indole-3-methanol" "GO:0009081.branched-chain amin
  ..$ kegg: Named num [1:200] NA 0.858 0.559 0.773 NA ...
  ....- attr(*, "names")= chr [1:200] "00061.Fatty acid biosynthesis" "04270.Vascular smooth muscle contraction
 $ dat.agilent
               :List of 2
  ..$ go : Named num [1:200] NA 0.0637 NA NA 0.8554 ...
  ... - attr(*, "names")= chr [1:200] "GO:0071680.response to indole-3-methanol" "GO:0009081.branched-chain amin
  ..$ kegg: Named num [1:200] NA 0.776 0.122 0.835 NA ...
  ... - attr(*, "names")= chr [1:200] "00061.Fatty acid biosynthesis" "04270.Vascular smooth muscle contraction
 $ dat.cnvHarvard:List of 2
  ..$ go : Named num [1:200] NA 0.911 NA NA 0.191 ...
  ... - attr(*, "names")= chr [1:200] "GO:0071680.response to indole-3-methanol" "GO:0009081.branched-chain amin
  ..$ kegg: Named num [1:200] NA 0.0353 0.352 0.3556 NA ...
  ... - attr(*, "names")= chr [1:200] "00061. Fatty acid biosynthesis" "04270. Vascular smooth muscle contraction
 $ dat.cnvMskcc :List of 2
  ..$ go : Named num [1:200] NA 0.738 NA NA 0.958 ...
  ... - attr(*, "names")= chr [1:200] "GO:0071680.response to indole-3-methanol" "GO:0009081.branched-chain amin
  ..$ kegg: Named num [1:200] NA 0.128 0.829 0.211 NA ...
  ... - attr(*, "names")= chr [1:200] "00061.Fatty acid biosynthesis" "04270.Vascular smooth muscle contraction
> head(gseABSsim.sep$dat.affy$go)
                                                                GO:0071680.response to indole-3-methanol
                                                  GO:0009081.branched-chain amino acid metabolic process
                                                                                                0.8511489
                                                 GO:0045950.negative regulation of mitotic recombination
GO:0044337.canonical Wnt receptor signaling pathway involved in positive regulation of apoptotic process
                                         GO:0060760.positive regulation of response to cytokine stimulus
                                              GO:0032714.negative regulation of interleukin-5 production
> head(gseABSsim.sep$dat.affy$kegg)
                      00061. Fatty acid biosynthesis
           04270. Vascular smooth muscle contraction
                                          0.1668332
                00500.Starch and sucrose metabolism
                                          0.8261738
                       03320.PPAR signaling pathway
                                          0.2187812
{\tt 00603.Glycosphingolipid\ biosynthesis\ -\ globo\ series}
        00062. Fatty acid elongation in mitochondria
```

#### 3.4.4 Passing alternative enrichment functions to runBatchGSE

List of 5

Below is show how to define and pass alternative enrichment functions to runBatchGSE. We will first show how to use the limma wilcoxGST function, which is a synonym for geneSetTest using

As shown below this approach will return the same results obtained with geneSetTest passing appropriate arguments.

```
> str(gseUP.sep.2)
List of 4
 $ dat.affy
                :List of 2
 ..$ go : Named num [1:200] NA 0.143 NA NA 0.367 ...
  ... - attr(*, "names")= chr [1:200] "GO:0071680.response to indole-3-methanol" "GO:0009081.branched-chain amin
  ..$ kegg: Named num [1:200] NA 0.858 0.559 0.773 NA ...
  ... - attr(*, "names")= chr [1:200] "00061.Fatty acid biosynthesis" "04270.Vascular smooth muscle contraction
 $ dat.agilent :List of 2
  ..$ go : Named num [1:200] NA 0.0637 NA NA 0.8554 ...
  ... - attr(*, "names")= chr [1:200] "GO:0071680.response to indole-3-methanol" "GO:0009081.branched-chain amin
  ..$ kegg: Named num [1:200] NA 0.776 0.122 0.835 NA ...
  ... - attr(*, "names")= chr [1:200] "00061.Fatty acid biosynthesis" "04270.Vascular smooth muscle contraction
 $ dat.cnvHarvard:List of 2
 ..$ go : Named num [1:200] NA 0.911 NA NA 0.191 ...
  ... - attr(*, "names")= chr [1:200] "GO:0071680.response to indole-3-methanol" "GO:0009081.branched-chain amin
 ..$ kegg: Named num [1:200] NA 0.0353 0.352 0.3556 NA ...
  ... - attr(*, "names")= chr [1:200] "00061. Fatty acid biosynthesis" "04270. Vascular smooth muscle contraction
 $ dat.cnvMskcc :List of 2
  ..$ go : Named num [1:200] NA 0.738 NA NA 0.958 ...
  ... - attr(*, "names")= chr [1:200] "GO:0071680.response to indole-3-methanol" "GO:0009081.branched-chain amin
  ..$ kegg: Named num [1:200] NA 0.128 0.829 0.211 NA ...
  ... - attr(*, "names")= chr [1:200] "00061. Fatty acid biosynthesis" "04270. Vascular smooth muscle contraction
> all(gseUP.sep.2$go==gseUP.sep$go)
Γ11 TRUE
```

We can finally also pass any new user-defined enrichment function, provided that the arguments are passed in the same way as with **geneSetTest**, as shown below using the Fisher's exact test, and a threshold for defining the list of differentially expressed genes.

As shown below this approach will test for over-representation of the a specific gene set within the genes defined as differentially expressed (in our example the genes showing an integrated association score larger than 7.5). Results are somewhat comparable to what obtained using the Wilcoxon rank-sum test.

```
> str(gseUP.sep.3)
```

```
List of 4
 $ dat.affy
                :List of 2
 ..$ go : Named num [1:200] NA 1 NA NA 1 NA 1 1 NA NA ...
  ... - attr(*, "names")= chr [1:200] "GO:0071680.response to indole-3-methanol" "GO:0009081.branched-chain amin
  ..$ kegg: Named num [1:200] NA 1 1 1 NA NA 1 1 1 1 ...
  ... - attr(*, "names")= chr [1:200] "00061.Fatty acid biosynthesis" "04270.Vascular smooth muscle contraction
 $ dat.agilent :List of 2
  ..$ go : Named num [1:200] NA 1 NA NA 1 NA 1 1 NA NA ...
  ... - attr(*, "names")= chr [1:200] "GO:0071680.response to indole-3-methanol" "GO:0009081.branched-chain amin
  ...$ kegg: Named num [1:200] NA 1 1 1 NA NA 1 1 1 1 ...
  ... - attr(*, "names")= chr [1:200] "00061.Fatty acid biosynthesis" "04270.Vascular smooth muscle contraction
 $ dat.cnvHarvard:List of 2
  ..$ go : Named num [1:200] NA 1 NA NA 1 NA 1 1 NA NA ...
  ... - attr(*, "names")= chr [1:200] "GO:0071680.response to indole-3-methanol" "GO:0009081.branched-chain amin
  ..$ kegg: Named num [1:200] NA 1 1 1 NA ...
  ... - attr(*, "names")= chr [1:200] "00061. Fatty acid biosynthesis" "04270. Vascular smooth muscle contraction
 $ dat.cnvMskcc :List of 2
  ..$ go : Named num [1:200] NA 1 NA NA 1 NA 1 1 NA NA ...
  ... - attr(*, "names")= chr [1:200] "GO:0071680.response to indole-3-methanol" "GO:0009081.branched-chain amin
  ..$ kegg: Named num [1:200] NA 1 1 1 NA ...
  ... - attr(*, "names")= chr [1:200] "00061.Fatty acid biosynthesis" "04270.Vascular smooth muscle contraction
> head(data.frame(fisher=gseUP.sep.3$dat.affy$kegg,
                  wilcoxon=gseUP.sep$dat.affy$kegg))
                                                    fisher
00061. Fatty acid biosynthesis
                                                       NA
04270. Vascular smooth muscle contraction
                                                        1
00500.Starch and sucrose metabolism
                                                         1
03320.PPAR signaling pathway
00603.Glycosphingolipid biosynthesis - globo series
                                                        NA
00062. Fatty acid elongation in mitochondria
                                                       NA
                                                    wilcoxon
00061. Fatty acid biosynthesis
                                                          NA
04270. Vascular smooth muscle contraction
                                                    0.8577238
```

0.5594477

0.7727233

NA

#### 3.5 Multiple testing correction

03320.PPAR signaling pathway

00500.Starch and sucrose metabolism

00062. Fatty acid elongation in mitochondria

Finally the adjustPvalGSE enables to adjust the p-values computed by the runBatchGSE. This functions is an interface to the mt.rawp2adjp function from the multtest package.

```
> gseABS.sep.BH <- adjustPvalGSE(gseABS.sep)
> gseABS.sep.holm <- adjustPvalGSE(gseABS.sep, proc = "Holm")</pre>
```

00603.Glycosphingolipid biosynthesis - globo series NA

Also in this case the results after the adjustment are named lists of lists, as shown below:

```
[1] "dat.affy"
                    "dat.agilent"
[3] "dat.cnvHarvard" "dat.cnvMskcc"
> str(gseABS.sep.BH$dat.affy)
List of 2
 $ go : num [1:200, 1:2] NA 0.891 NA NA 0.666 ...
  ..- attr(*, "dimnames")=List of 2
  ....$ : chr [1:200] "GO:0071680.response to indole-3-methanol" "GO:0009081.branched-chain amino acid metabolic
  ....$ : chr [1:2] "rawp" "BH"
 $ kegg: num [1:200, 1:2] NA 0.172 0.517 0.258 NA ...
  ..- attr(*, "dimnames")=List of 2
  ....$ : chr [1:200] "00061.Fatty acid biosynthesis" "04270.Vascular smooth muscle contraction" "00500.Starch
  ....$ : chr [1:2] "rawp" "BH"
> str(gseABS.sep.holm$dat.affy)
List of 2
 $ go : num [1:200, 1:2] NA 0.891 NA NA 0.666 ...
  ..- attr(*, "dimnames")=List of 2
  ....$ : chr [1:200] "GO:0071680.response to indole-3-methanol" "GO:0009081.branched-chain amino acid metabolic
  ....$ : chr [1:2] "rawp" "Holm"
 $ kegg: num [1:200, 1:2] NA 0.172 0.517 0.258 NA ...
  ..- attr(*, "dimnames")=List of 2
  ....$ : chr [1:200] "00061.Fatty acid biosynthesis" "04270.Vascular smooth muscle contraction" "00500.Starch
  ....$ : chr [1:2] "rawp" "Holm"
> head(gseABS.sep.BH$dat.affy$go, n=10)
                                                                                                               rai
GO:0071680.response to indole-3-methanol
                                                                                                          0.891253
GO:0009081.branched-chain amino acid metabolic process
GO:0045950.negative regulation of mitotic recombination
GO:0044337.canonical Wnt receptor signaling pathway involved in positive regulation of apoptotic process
GO:0060760.positive regulation of response to cytokine stimulus
                                                                                                         0.666238
GO:0032714.negative regulation of interleukin-5 production
                                                                                                         0.967034
GO:0042886.amide transport
                                                                                                          0.222999
GO:0034199.activation of protein kinase A activity
GO:0045838.positive regulation of membrane potential
GO:0031394.positive regulation of prostaglandin biosynthetic process
                                                                                                         BH
GO:0071680.response to indole-3-methanol
                                                                                                         NA
GO:0009081.branched-chain amino acid metabolic process
                                                                                                          1
GO:0045950.negative regulation of mitotic recombination
                                                                                                         NA
GO:0044337.canonical Wnt receptor signaling pathway involved in positive regulation of apoptotic process NA
GO:0060760.positive regulation of response to cytokine stimulus
                                                                                                          1
GO:0032714.negative regulation of interleukin-5 production
                                                                                                         NA
GO:0042886.amide transport
                                                                                                          1
GO:0034199.activation of protein kinase A activity
                                                                                                          1
GO:0045838.positive regulation of membrane potential
                                                                                                         NΑ
                                                                                                         NA
GO:0031394.positive regulation of prostaglandin biosynthetic process
> head(gseABS.sep.holm$dat.affy$go, n=10)
                                                                                                               rai
GO:0071680.response to indole-3-methanol
                                                                                                          0.891253
GO:0009081.branched-chain amino acid metabolic process
GO:0045950.negative regulation of mitotic recombination
GO:0044337.canonical Wnt receptor signaling pathway involved in positive regulation of apoptotic process
GO:0060760.positive regulation of response to cytokine stimulus
                                                                                                          0.666238
```

GO:0032714.negative regulation of interleukin-5 production	1
GO:0042886.amide transport	0.96703
GO:0034199.activation of protein kinase A activity	0.22299
GO:0045838.positive regulation of membrane potential	1
GO:0031394.positive regulation of prostaglandin biosynthetic process	1
	Holm
GO:0071680.response to indole-3-methanol	NA
GO:0009081.branched-chain amino acid metabolic process	1
GO:0045950.negative regulation of mitotic recombination	NA
GO:0044337.canonical Wnt receptor signaling pathway involved in positive regulation of apoptotic process	s NA
GO:0060760.positive regulation of response to cytokine stimulus	1
GO:0032714.negative regulation of interleukin-5 production	NA
GO:0042886.amide transport	1
GO:0034199.activation of protein kinase A activity	1
GO:0045838.positive regulation of membrane potential	NA
GO:0031394.positive regulation of prostaglandin biosynthetic process	NA

Below is the code to produce the p-value histogram shown in Figure 1:

```
> hist(gseABS.sep.BH$dat.affy$go[ , "rawp"], nclass=10, col="orange",
+ main="P-values")
```

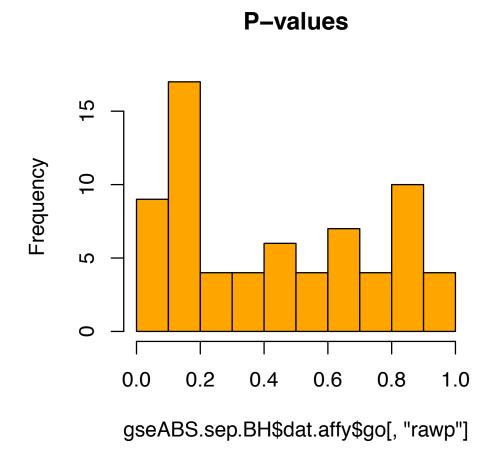


Figure 1: Histogram for p-values before multiple testing correction.

Below is the code to produce the q-value histogram shown in Figure 2:

```
> hist(gseABS.sep.BH$dat.affy$go[ , "BH"], nclass=10, col="orange",
+ main="Q-values")
```

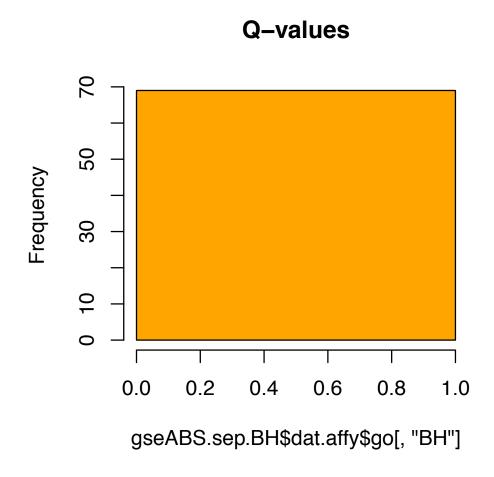


Figure 2: Histogram for q-values after multiple testing correction with the Benjamini-Hochberg method.

# 4 Another example with a list of genes

We will now use our function to perform GSA with a list of autism candidate genes. This gene list is stored in the file "./myData/101symbols\_v02.txt". We will define a new function formatGeneList to prepare the data for the analysis. We will compare our list against all the annotated genes present in the genome (according to the information contained in the org.Hs.eg.db), using the previously defined function based n the Fisher's Exact test. First of all, however, we will read the least of genes in the R session and process the data to have them in the correct format.

```
> autismGenes <- read.table("myData/101symbols_v02.txt", sep="\t", header=TRUE,
                            colClasses="character")
> str(autismGenes)
'data.frame':
                    101 obs. of 1 variable:
 $ SYMBOL: chr "ANKRD11" "AP1S2" "ARX" "ATRX" ...
 The new function formatGeneList will take two lists of genes:
 • The gene list of interest;
 • The "background" gene list (i.e. the "gene space");
 As follows:
> formatGeneList <- function (geneList, allGenes) {</pre>
   stats <- 1* allGenes %in% geneList
   names(stats) <- allGenes</pre>
   out <- list(GeneList = stats)</pre>
          7
 And now we can prepare the data:
> allGenes <- unique(unlist(as.list(org.Hs.egSYMBOL)))</pre>
> str(allGenes)
 chr [1:43819] "A1BG" "NAT2" "ADA" ...
> geneMembership <- formatGeneList(autismGenes$SYMBOL, allGenes)</pre>
> str(geneMembership)
List of 1
 $ GeneList: Named num [1:43819] 0 0 0 0 0 0 0 0 0 0 ...
  ..- attr(*, "names")= chr [1:43819] "A1BG" "NAT2" "ADA" "CDH2" ...
> table(geneMembership$GeneList)
    0
43718
       101
 And now we can run the enrichment analysis:
> gseMyList <- runBatchGSE(dataList=geneMembership, fgsList=fgsList,
                                   absolute=FALSE, gseFunc=gseFunc, threshold=0.5)
 Let's look at the results:
> str(gseMyList)
```

```
List of 1
 $ GeneList:List of 2
  ..$ go : Named num [1:200] 1 1 1 1 0.0451 ...
  ... - attr(*, "names")= chr [1:200] "GO:0071680.response to indole-3-methanol" "GO:0009081.branched-chain amin
  ..$ kegg: Named num [1:200] 1 0.00249 1 1 1 ...
  ... - attr(*, "names")= chr [1:200] "00061.Fatty acid biosynthesis" "04270.Vascular smooth muscle contraction
> str(gseMyList$GeneList$kegg)
Named num [1:200] 1 0.00249 1 1 1 ...
 - attr(*, "names") = chr [1:200] "00061. Fatty acid biosynthesis" "04270. Vascular smooth muscle contraction" "0050
> summary(gseMyList$GeneList$kegg)
          1st Qu.
                     Median
                                 Mean 3rd Qu.
0.0000007 0.1423000 1.0000000 0.6710000 1.0000000
    Max.
1.0000000
> table(is.na(gseMyList$GeneList$kegg))
FALSE
  200
> table(gseMyList$GeneList$kegg < 0.01)</pre>
FALSE TRUE
  181
        19
> table(gseMyList$GeneList$go < 0.01)</pre>
FALSE TRUE
  183
       17
 And now we can correct for multiple testing:
> gseMyList.BH <- adjustPvalGSE(gseMyList)</pre>
> gseMyList.BH$GeneList$go[ gseMyList.BH$GeneList$go[, "BH"] < 0.01 , ]
GO:0045838.positive regulation of membrane potential
                                                                2.519385e-10
GO:0048011.nerve growth factor receptor signaling pathway
                                                                1.375639e-05
GO:0007275.multicellular organismal development
                                                                 2.053012e-35
GO:2000822.regulation of behavioral fear response
                                                                3.146650e-05
GO:0006325.chromatin organization
                                                                4.419086e-06
GO:0051130.positive regulation of cellular component organization 1.775857e-09
GO:0044710.single-organism metabolic process
                                                               6.202976e-06
                                                               3.093646e-06
GO:0002009.morphogenesis of an epithelium
GO:0021953.central nervous system neuron differentiation
                                                               6.029012e-10
                                                                           BH
GO:0045838.positive regulation of membrane potential
                                                               2.519385e-08
GO:0048011.nerve growth factor receptor signaling pathway
                                                               3.439096e-04
GO:0007275.multicellular organismal development
                                                                4.106025e-33
GO:2000822.regulation of behavioral fear response
                                                               6.992555e-04
GO:0006325.chromatin organization
                                                                1.473029e-04
GO:0051130.positive regulation of cellular component organization 8.879283e-08
```

1.772279e-04

1.237458e-04

4.019342e-08

GO:0044710.single-organism metabolic process

GO:0021953.central nervous system neuron differentiation

> gseMyList.BH\$GeneList\$kegg[ gseMyList.BH\$GeneList\$kegg[, "BH"] < 0.01 , ]

GO:0002009.morphogenesis of an epithelium

```
rawp
04720.Long-term potentiation 2.168670e-05
04510.Focal adhesion 1.046100e-04
05211.Renal cell carcinoma 2.168670e-05
04514.Cell adhesion molecules (CAMs) 6.982789e-07
04150.mTOR signaling pathway 2.419609e-04
BH
04720.Long-term potentiation 0.0014457802
04510.Focal adhesion 0.0052305001
05211.Renal cell carcinoma 0.0014457802
04514.Cell adhesion molecules (CAMs) 0.0001396558
04150.mTOR signaling pathway 0.0096784341
```

# 5 System Information

#### Session information:

```
> sessionInfo()
R version 3.0.0 (2013-04-03)
Platform: x86_64-apple-darwin10.8.0/x86_64 (64-bit)
locale:
[1] en_US.UTF-8/en_US.UTF-8/en_US.UTF-8/c/en_US.UTF-8
attached base packages:
[1] parallel stats graphics grDevices
[5] utils datasets methods base
other attached packages:
[1] GO.db_2.9.0 KEGG.db_2.9.1 annotate_1.38.0
[5] org.Hs.eg.db_2.9.0 RSQLite_0.11.4
[13] BiocInstaller_1.10.3
loaded via a namespace (and not attached):
[1] IRanges_1.18.1 MASS_7.3-26
[3] splines_3.0.0 stats4_3.0.0
[5] survival_2.37-4 tools_3.0.0
[7] XML_3.96-1.1 xtable_1.7-1
```

## 6 References

### References

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