

# Facial Emotion Recognition

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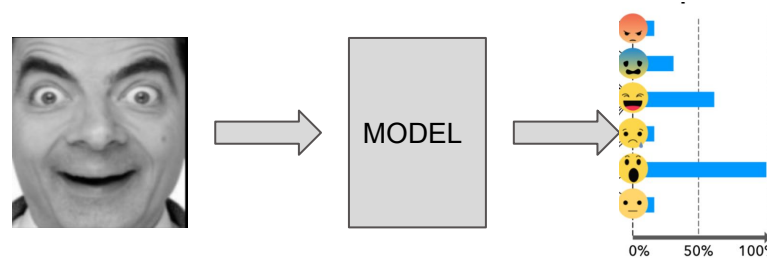


# Outline/Agenda

- Introduction
- Dataset overview
- Model
- Experimental Results
- Visualization
- Conclusion
- Future work
- References



# Objective



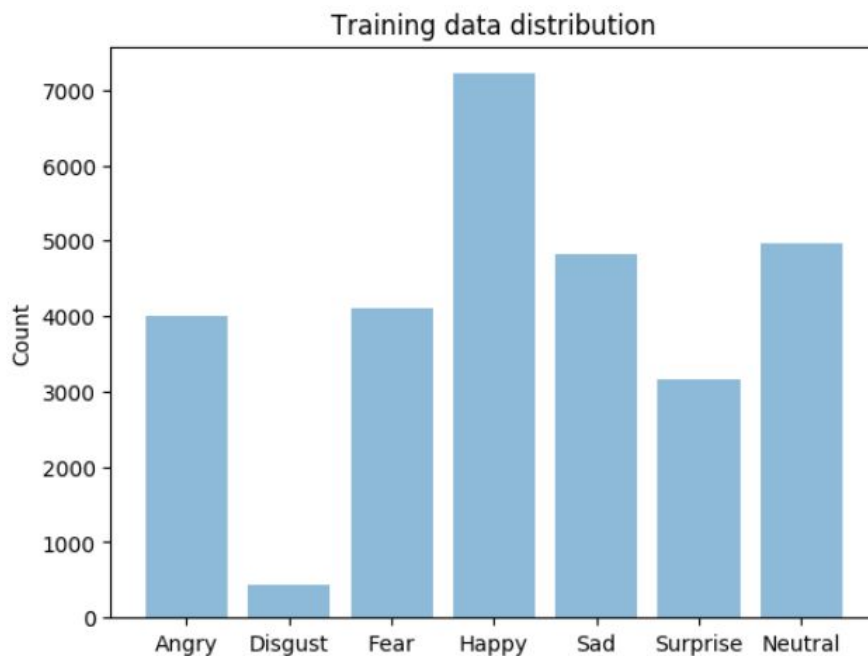
- Prediction of emotion from facial expressions
- Compare different models and techniques
- Analyse the prediction by diving into the model

# Related Work

- 1) In order to recognize and categorize facial expressions accurately, based on our knowledge in class, we first thought of using basic machine learning techniques such as Support Vector Machine using PCA.
- 2) Krizhevsky et.al.[4] Simonyan et.al.[5] Y. LeCun et.al[6] used VGG, AlexNet and LeNet architecture for ImageNet dataset
- 3) Tang et.al. used SVM over global features extracted from the CNNs



# Overview of FER2013



Training data: 28,709  
Validation data: 3,589  
Test data: 3,589

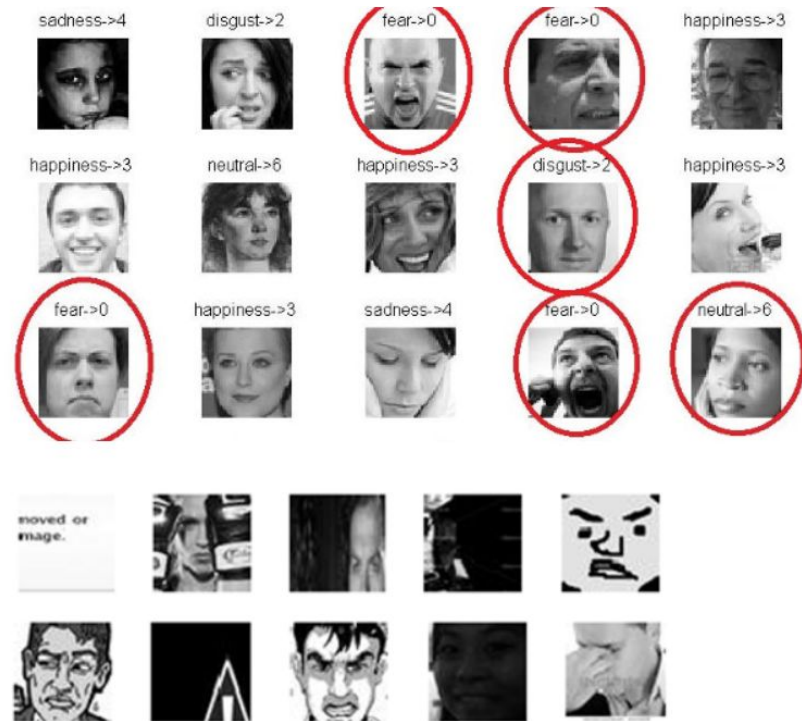
48x48 Grey scale  
Images

0 – angry  
1 – disgust  
2 – fear  
3 – happy  
4 – sad  
5 – surprise  
6 – neutral



# FER2013

- Incorrectly Labeled samples
- Samples which are not faces
- Difficult because the model has to generalize for incorrect data
- Human accuracy on the dataset is 65+-5 %



# CNNs

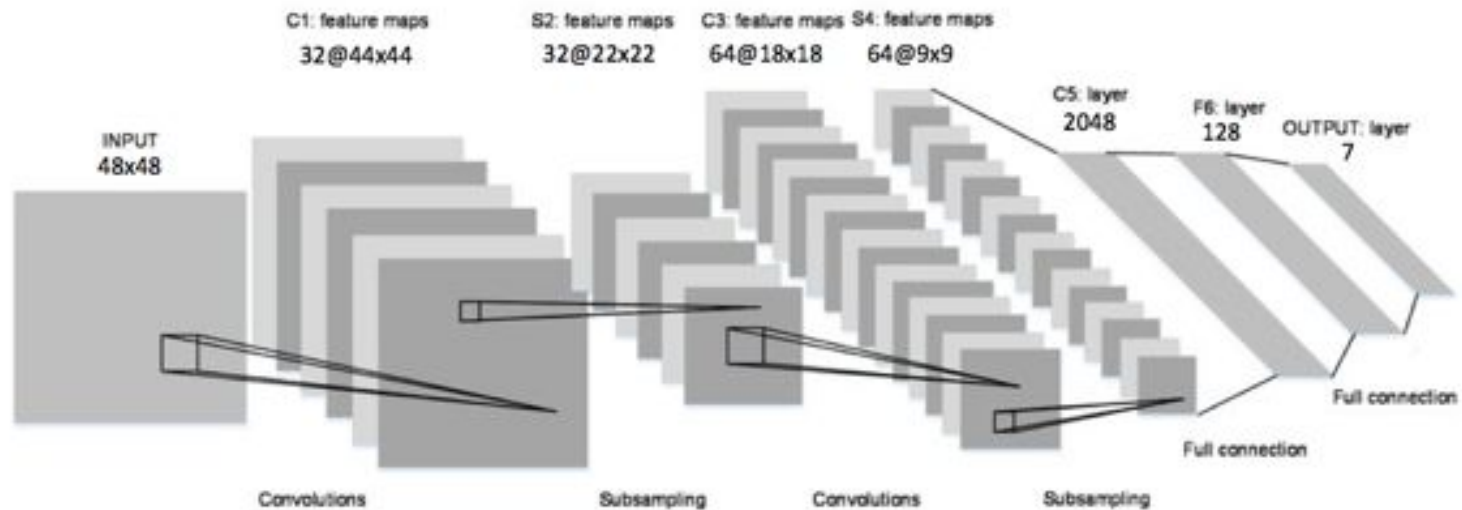
- Shallow CNNs to deeper CNNs
- LeNet
  - 2 Convolution layers
- AlexNet
  - 5 Convolution layers
- VGG12
  - 12 Convolution layers





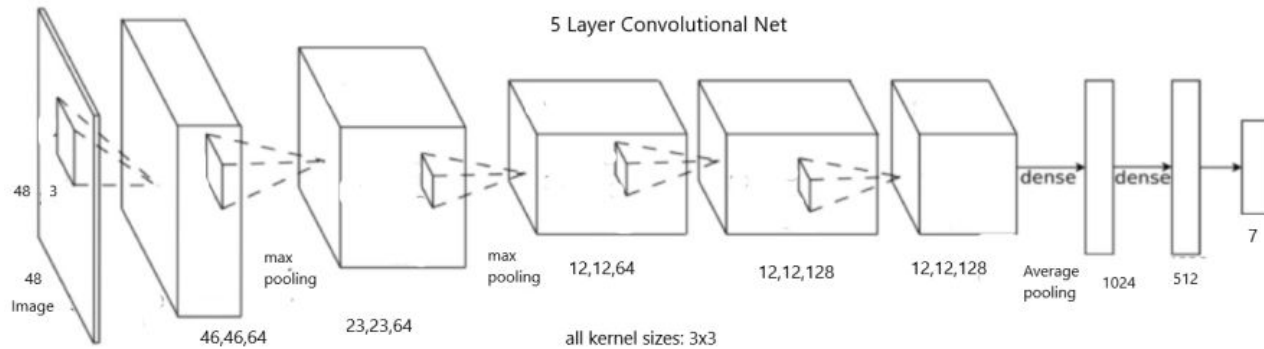
# 2-Layer ConvNet

2-layer convolution net - LeNet Architecture

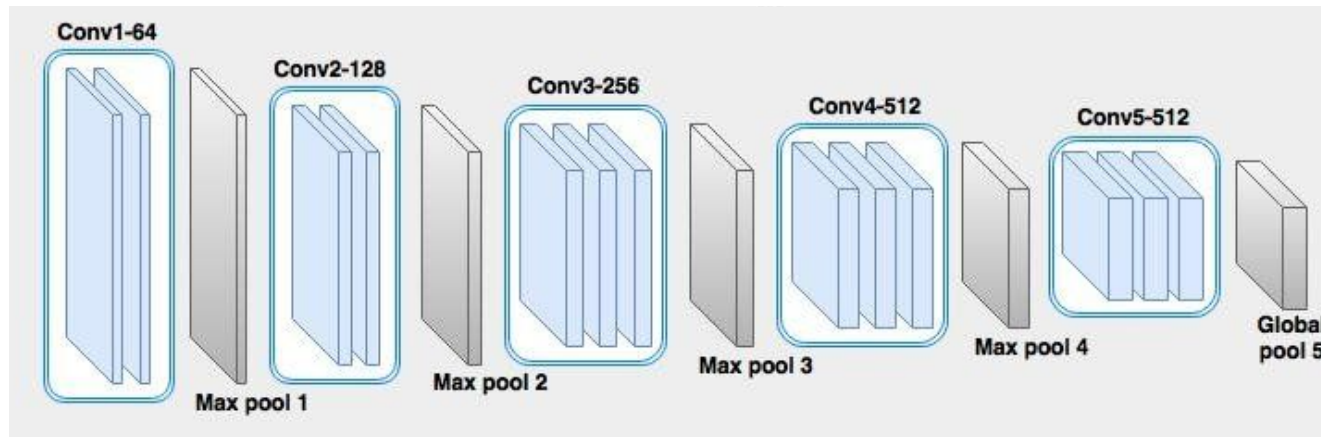




# Deep-ConvNets



5 Layer CNN

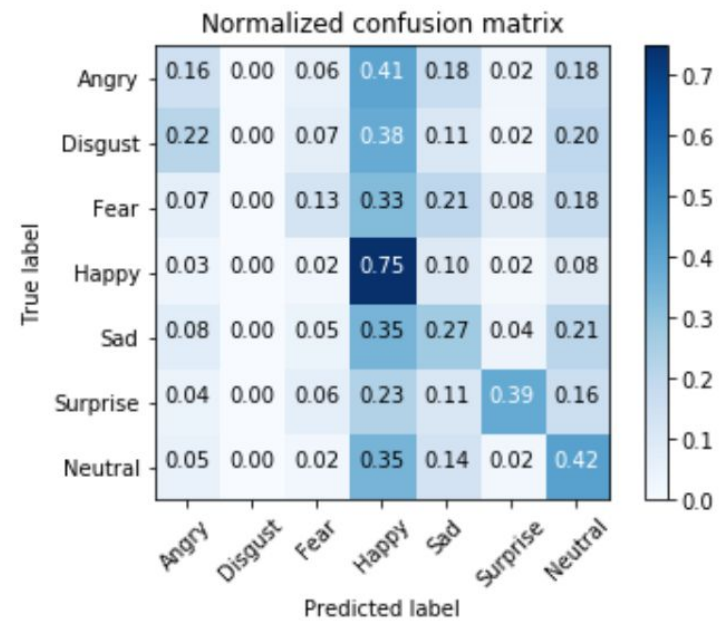


16 layer CNN (adapted to 12 layers)

# SVM with PCA

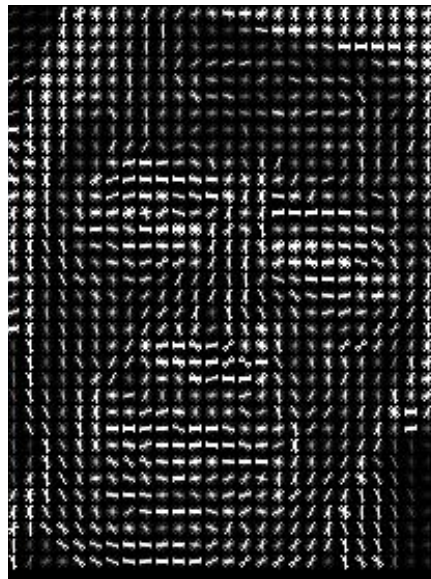
- # principal components = 200
- radial Basis function
- $C = 1$
- $\gamma = 0.0001$
- accuracy = 39.98 %

	precision	recall	f1-score	support
0	0.29	0.14	0.19	491
1	0.00	0.00	0.00	55
2	0.35	0.13	0.19	528
3	0.41	0.75	0.53	879
4	0.27	0.26	0.27	594
5	0.61	0.40	0.48	416
6	0.35	0.41	0.38	626
avg / total	0.37	0.38	0.35	3589



# SVM on HOG and Facial Landmarks

accuracy = 48.2%



# Softmax v/s SVM

- Multiclass SVM instead of softmax
- consistent increase in accuracy

model	Softmax	MultiClass SVM
LeNet	56.2	56.9
AlexNet	61.1	61.8
VGG12	63.9	64.5



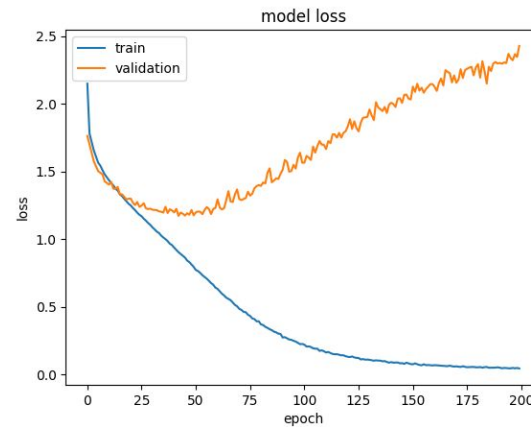
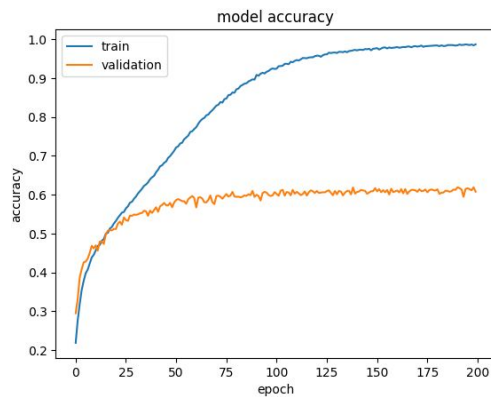
# Results

Model	Avg. Precision	Avg. Recall	Avg. f1 score	Accuracy (%)
<b>SVM with PCA</b>	0.37	0.38	0.35	39.98
<b>SVM on HOG and Face Landmarks</b>	1.00	0.48	0.65	48.2
<b>AlexNet</b>	0.62	0.62	0.62	61.1
<b>LeNet</b>	0.55	0.56	0.55	56.2
<b>VGG12</b>	0.63	0.64	0.63	63.9
<b>SVM on CNN</b>	0.64	0.64	0.64	64.4



# Analysis

## Training Curves:

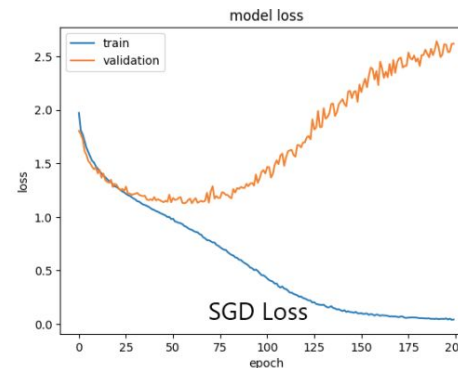
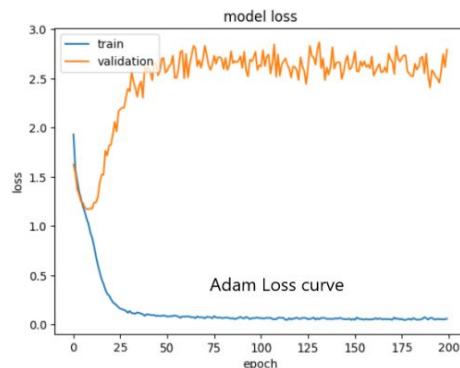




# Hyperparameter Tuning on 5-Layer Net

- Optimizers:

We have tried several optimizers like SGD, Adam, Adadelata, RMS Prop.

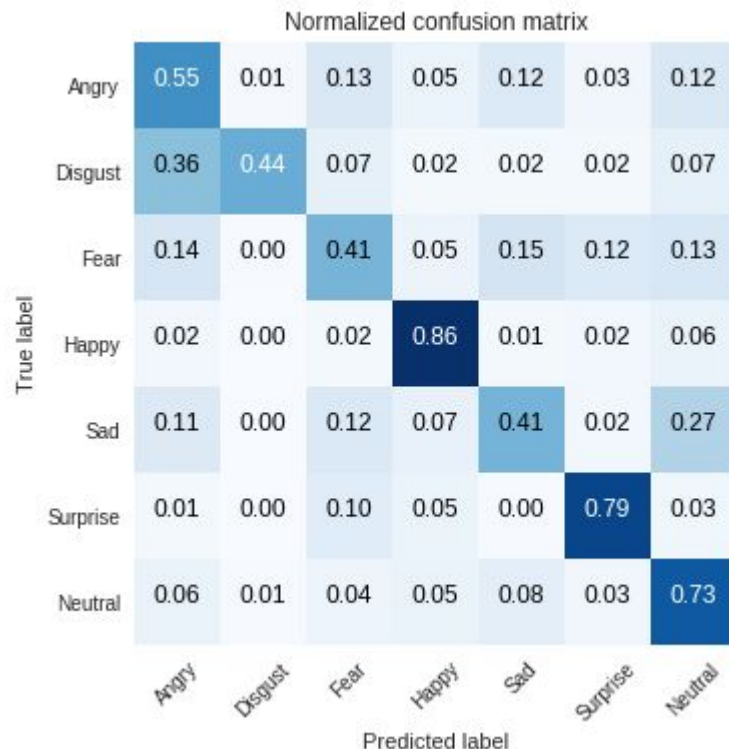




- Number of filters:
  - All layers have same number of filters(64)
  - Top three layers have 64 filters and rest two have 128 filters
  - Top three layers have 128 filters and rest two have 64
- Dropout rates:
  - Without dropout, accuracy 0.55
  - With optimal dropout, accuracy 0.61
- Fully Connected Layers
- Number of Convolutional Layers
  - Shallow to deep CNNs



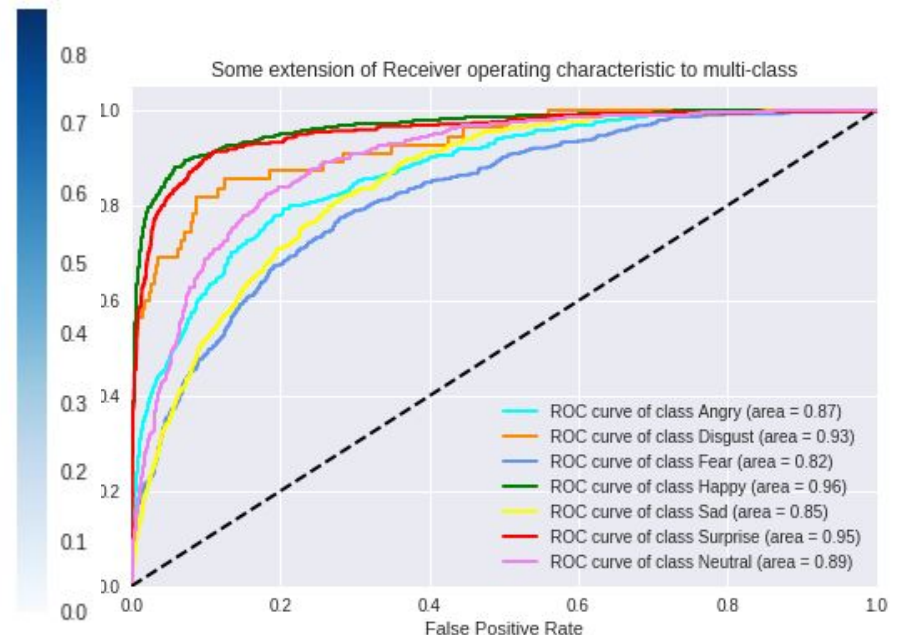
# Confusion matrix, ROC curves



Misclassifications:

Disgust -> Angry

Sad -> Neutral



# Visualization -Layer activations



Layer -1  
activations

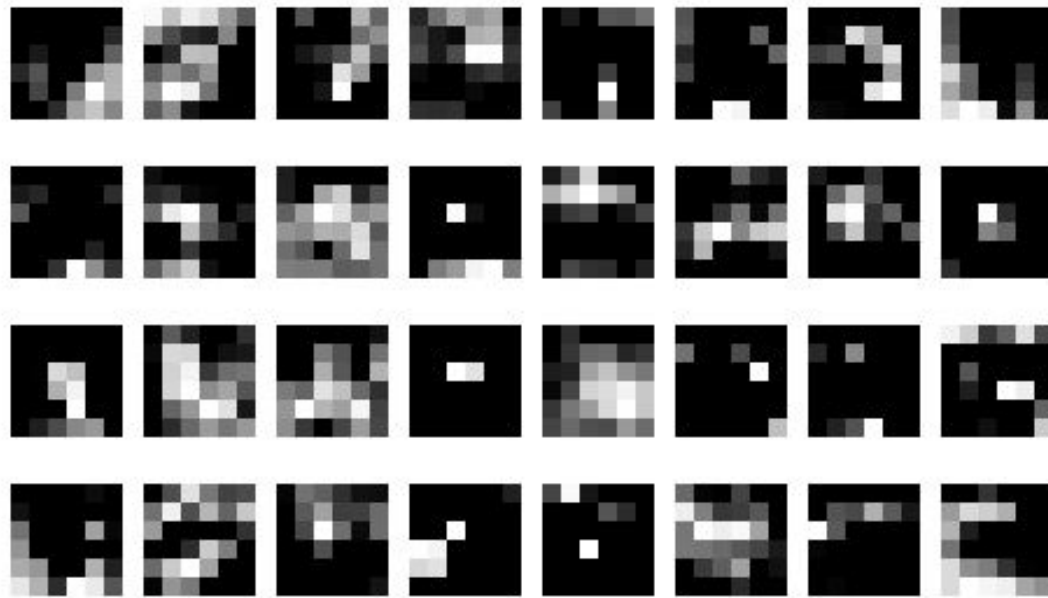
# More visualization...



Layer -3

1 max pooling

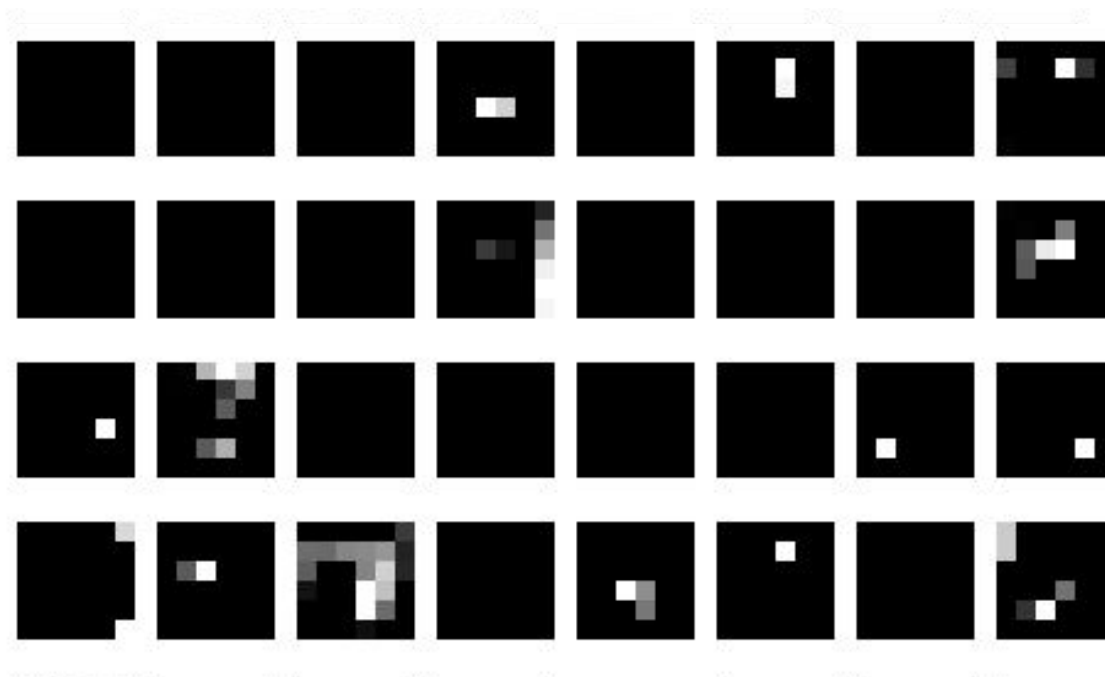
# And more ...



Layer -8

3 max pooling  
layers

# And more...



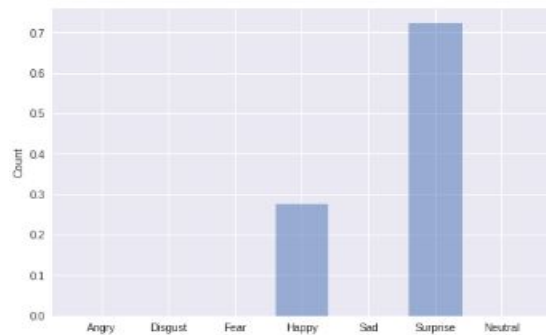
Layer -9

Final Activation  
map

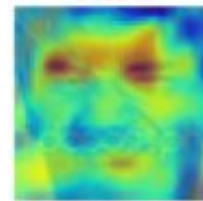
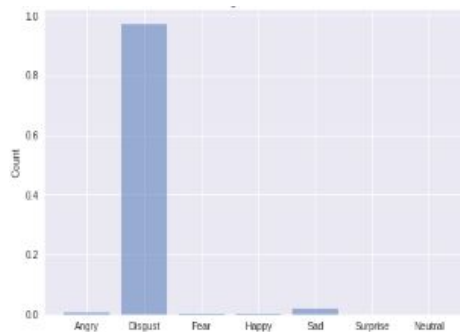
inputs to dense  
layers that  
predict the  
probabilities



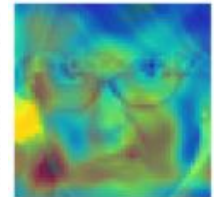
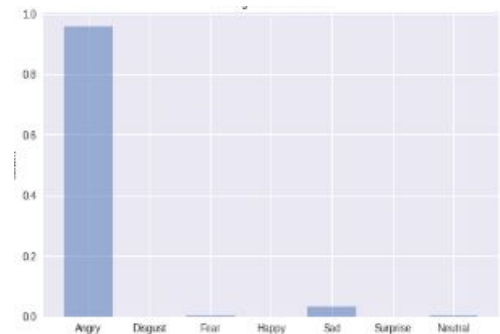
# Images and Prediction probabilities



Correctly classified  
Label: 5, Surprise



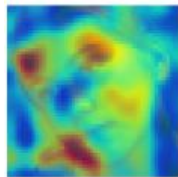
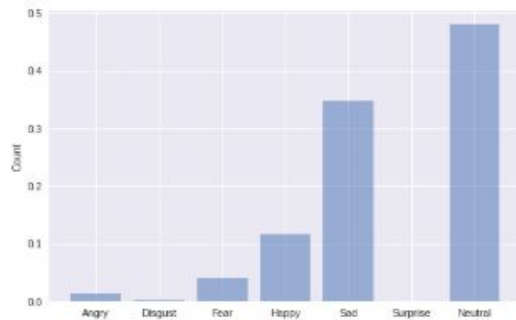
Correctly classified  
Label: 1, Disgust



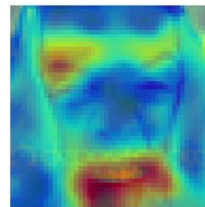
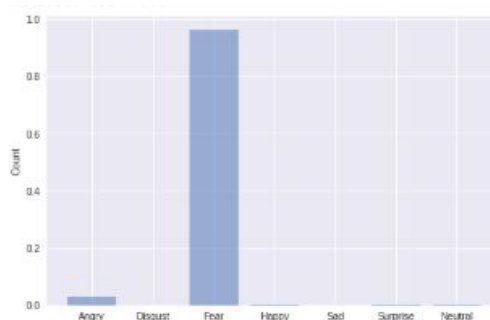
Correctly classified  
Label: 0, Angry



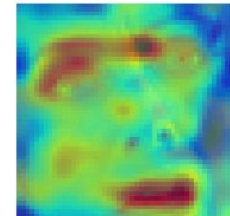
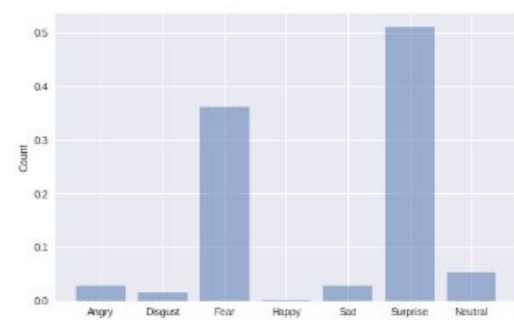
# More Images ...



Classified Neutral (6)  
Original Sad(4)



Classified Fear (2)  
Original Angry(1)



Classified Surprise (5)  
Original Angry(1)

# Conclusion

- SVMs perform better over global features (global activation, HOG and Face landmarks) rather than dimensionally reduced images
- Increasing dropout improves accuracy to a certain extent (bias-variance trade off)
- Deep networks perform better for classification than shallow networks.
- CNNs performed better for a class with less samples database where SVM was biased towards the class with more samples



# Future Work

- Exploring bigger networks - GoogLE Net, ResNet
- Using pretrained models
- Realtime classification



# References

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# Thank You

