

ROBERT JOHN ROSA
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SENIOR ACCOUNTANT

Seasoned Accountant professional with a proven ability to perform and supervise complex accounting functions to meet business needs and ensure regulatory compliance for corporations in the energy industry (power, gas, crude/fuel oil, petrochemicals and others). In-depth knowledge of GAAP, including but not limited to commodity and cash settlements, tax/financial planning, fiscal analysis, account reconciliation, month/year-end closing, accounting and SOX compliance management. Fast learner with exceptional communication and interpersonal skills; ability to build relationships with individuals at all company levels—from front-line staff to CEO.

Tech-savvy, people-oriented leader and superior customer service with 16+ years in corporate accounting and prior experience as part of a landmark food service family business in Houston. (See below)

Areas of Emphasis:

- Accounting Management (AP, AR, GL)
- Budget, Performance & Variance Analysis
- Commodity Settlements – Vouchers/Invoices
- Internal & External Audits
- SOX Compliance
- Journal Entries, Accruals and Reconciliations
- System Implementation & Optimization
- P&L Analysis & Reporting
- Gathering, Processing & Analyzing Data
- Internal & Fiscal Controls
- Financial Statement Preparation
- Cash Management & Application
- Tax Planning & Management
- RISK Analysis & Optimization
- Profit/Loss & Balance Sheet Reconciliations
- Tri-lingual: English, Spanish and Italian

EDUCATION & CREDENTIALS

B.B.A. In Accountancy (1999) UNIVERSITY OF HOUSTON-CENTRAL CAMPUS, Houston, TX

Magna Cum Laude ~ Awarded GPA Improvement Scholarship

Credentials & Training: Notary Public ~ Texas Real Estate Salesperson License ~ ACE Yellow Belt Training ~ CPR Certified ~ Implementation and Super User Training for PeopleSoft 7.5 to 9.0 ~ Communication and Interpersonal Skills

Software Skills: MS Office, Oracle, Entegrate, Hyperion, Hyperion Retrieve, SAP, MerrillReports, DST, Bloomberg, PeopleSoft 9.1 (Super User), IDC, Seagate Crystal Reports, Fundstation, Nucleus, Allegro, Citrix, A/P and A/R EDMS, PowerPoint

Language Skills: Dual US and Italian citizenships ~ Conversational in Spanish, Fluent in Italian

Community Involvement: Active Volunteer, Floor Warden, CPR Certified, Team Lead Support for Houston-Chevron Marathon and BP MS 150 (Calpine)

EXPERIENCE

CASTLETON COMMODITIES INTERNATIONAL LLC - CCI)

SENIOR SETTLEMENTS ANALYST, Houston, TX – (12/11/2017 to Present)

An established leader in commodities trading and commodities infrastructure investing. Castleton Commodities International is an independent global commodities merchant. As a trader, CCI deploys capital on a proprietary basis in the physical and financial commodity markets, providing the Company with market insights and access. As a strategic investor and developer, CCI leverages its market expertise, operations capabilities, and industry knowledge to invest in, and develop, select commodity infrastructure assets.

- ◆ *Collaborate with Contracts, Traders and Schedulers and others to verify accuracy of trade deals and make corrections as needed.*
- ◆ *Reconcile and actualize for volumetric differences and pricing with Counterparties and Broker operations personnel, identify and resolve discrepancies.*
- ◆ *Create vouchers/invoices in Entegrate (ETRM); net-out sales and purchases for each responsible Counterparty on a daily basis and notify Treasury on payables/receivables to assist teams in forecasting and cash application.*
- ◆ *Recognize, analyze and ensure resolutions to cash fail receivables and trial balances.*
- ◆ *Work closely on complex settlements with internal and external stakeholders.*
- ◆ *Research and provide support to Counterparties for various key settlement functions, such as but not limited to GST, HST, QST and other taxes.*
- ◆ *Provide personal experiences and feedback for process improvements and adhoc projects*
- ◆ *Comply and coordinate with all SOX requirements, internal control testing and coordination with auditors*

ROBERT JOHN ROSA (CSA), [SELF-EMPLOYED], Houston, TX – (01/23/2016 to 12/11/2017)

As a commissioned Texas Notary Public, specializing in the process of obtaining and notarizing the signatures of the party(ies) involved in real estate mortgage documents for the purpose of closing a real estate loan/closing transaction. Corresponding with Title companies and Lenders who offer loans across the country who rely heavily on competent Mortgage Notary Signing Agents to complete the signing and notarizing portions of the real estate loan transaction in a professional, reliable and accurate manner:

- ◆ *Professionally and specifically trained with the knowledge of industry requirements, experienced and completely certified in presenting documents used in real estate refinance loan transactions.*
- ◆ *Provided expertise review of Title and Lender documents for correctness/completeness prior to attending closings.*
- ◆ *Identified transactional financial documents to clients and point out terms to the loan transaction.*
- ◆ *Executed hundreds of in-home mortgage closings for many national financial institutions.*
- ◆ *Resolved issues that arose during the mortgage signing process without traversing the ethical authorization of duties and responsibilities.*

DIRECT ENERGY, L.P., Houston, TX

Gas Volume Imbalance Project Consultant – (10/27/2015 to 01/22/2016)

Collaborated and assisted as consultant on projects with external Auditors (Ernst & Young) achieving expeditious reconciliations of previous quarters gas volume imbalances and recognized improvements in processing data for the department of Risk & Internal Controls:

- ◆ *Reconciled client's pipelines and LDCs (Local Distribution Companies) and tied out from a wholesale and retail standpoint.*
- ◆ *Developed reconciliation documentation/templates for volume flow on Company/LDC pipelines for the given month.*
- ◆ *Created reconciliation documentation/templates for volume flow from pipelines to respective LDC(s).*
- ◆ *Assisted in reconciling LDC consumption from third party statements, to Electronic Data Interchange (EDI) data flow information, to respective billing systems and calendarization engine.*

- ◆ *As directed, produced presentations and flowcharts for company-wide distribution for current tax and compliance policies.*
- ◆ *Analyzed RISK findings from external auditors (E&Y) and established guidelines to ensure compliance.*
- ◆ *Ad hoc reports and special projects as requested.*

SUNOCO LOGISTICS PARTNERS, L.P., Sugar Land, TX

Senior Revenue Consultant – with 2 Extensions (10/13/2014 to 6/26/2015)

Responsible for the accurate and timely revenue recognition within the Oil and Refined Products (Butane) business within SAP administrative duties. Interacted with external customers as needed to resolve issues and responsible for the overall accuracy:

- ◆ *Conducted research and provided resolutions for invoicing transactions and exceptions on a daily basis.*
- ◆ *Reviewed and analyzed data inconsistencies providing diagnosis, resolutions and enhancements.*
- ◆ *Monitored daily reports and conducted quality control processes to ensure accuracy in processing data, performing system and data corrections, while maintaining adherence to defined audit controls.*
- ◆ *Provided reporting, data analysis and files, and other technical assistance to external customers and collaborate with customers and internal departments to resolve discrepancies.*
- ◆ *Generate and submit invoices to 3rd parties based on executed contracts; verifying and applying accurate prices and volumes.*
- ◆ *Supported internal personnel on system transactions, customer care information and processes.*
- ◆ *Resolved customer inquiries relating to both system and invoice issues to include A/R, payments, collections composing all outgoing correspondence.*
- ◆ *Independently performed various accounting functions necessary to achieve accurate and timely month end close.*

CALPINE CORP., Houston, TX

Senior Commodity Settlements Accountant (3/13/2006 to 6/06/2014)

Managed accounting functions for power and gas company with a fleet of highly efficient power plants (93 natural gas and natural gas/steam cogeneration plants currently in operation or under construction) that provide 29K megawatts of clean, reliable electricity in 20 US states and Canada.

Managed and executed accounting, treasury and administrative functions in fast-paced setting. Performed month-end close, journal entries (including non-standard entries), account and balance sheet reconciliations, variance analysis and forecasting functions. Executed commodity settlements to resolve pricing disputes, volume variances and other issues based on contractual agreements. Reported status and activity of Northeast U.S. plants to corporate offices as well as assisted with monthly/quarterly/ yearly audits (PWC). Prepared FERC reporting for plants with standard and non-standard accruals. Interacted with IT, scheduling, deal capture, legal, trading, commercial/plant business managers and other teams on daily basis:

- ◆ *Successfully collaborated with plant accountants, gas schedulers and traders in interpreting and resolving challenges that arose between the company's Commercial Group and individual plants.*
- ◆ *Partnered with the Credit Group in managing prepayment and margin settlements, and coordinating long-term deposits.*
- ◆ *Gathered data, validated accuracy and prepared files for estimates, journal entries, recurring transactions, accruals and other financial management and accounting tasks.*
- ◆ *Planned and facilitated internal and external audit procedures (PWC), and maintained Sarbanes-Oxley (SOX) documentation to comply with internal controls.*
- ◆ *Expertly reconciled CES Margin Call deposit activity, which involved prepaid accounts and other liabilities, and roll-forward FRS quarterly and yearly schedules.*
- ◆ *As part of month-end closings, researched differences between financial (PeopleSoft 9.1) and trading systems (Nucleus/Allegro), and developed a margin to assist the Financial Accounting team.*
- ◆ *Participated in monthly calls regarding the operational status of plant facilities, and to coordinate complex accounting functions (General Ledger, AP/AR, month/year-end closings and other matters).*
- ◆ *Process daily/monthly interfaces from the Trading system to PS 9.1 financials to ensure accurate Financial Statements*

- ◆ Maintained 30-day AP/AR Aging for all responsible counterparties

HEXION SPECIALTY CHEMICALS, INC., Houston, TX

Contract Accountant / Analyst (10/17/2005 to 3/3/2006)

Performed accounting activities during a period of significant corporate change, as Borden Chemical, Inc., Resolution Performance Products, LLC and Resolution Specialty Materials, LLC merged with Bakelite AG to form the world's-largest producer of thermosetting resins with more than \$4B in annual revenue:

- ◆ Managed accounting processes (AP/AR and account updates/reconciliations) with speed and accuracy.
- ◆ Interfaced with vendors and customers in resolving discrepancies and billing issues.
- ◆ Trained and mentored newly hired staff. Streamlined day-to-day procedures.
- ◆ Defined and implemented highly efficient A/P processes and documentation.
- ◆ Liaised clients to seamlessly initiate Electronic Data Management System (EDMS) functions.

AIM MANAGEMENT GROUP, INC., Houston, TX

Contract Accountant (3/14/2005 to 10/7/2005)

Provided accounting expertise and support for investment management firm with \$129B assets under management.

Prepared quarterly, semiannual and annual financial statements, and oversaw SEC and other regulatory filings for 145 investment portfolios (64 retail funds, 28 variable annuity funds, 32 sub-advised funds, one contractual plan, one separately managed account and seven AIM private asset management portfolios:

- ◆ Identified and analyzed accounting and reporting errors, and recommended revisions as well as process improvements to eliminate redundant issues.
- ◆ Entrusted with the timely and accurate execution of financial statements and schedules, MD&As, expense ratios, total returns, report production processes, advisor compensation, fee tables, financial information and many other accounting tasks meeting financial reporting disclosure requirements and deadlines.

ENRON CORP., Houston, TX

Rotation Analyst (7/17/2000 to 7/23/2004)

Conducted pre-bankruptcy accounting and financial analysis, and post-bankruptcy claims compression tasks for former provider of natural gas, electricity and communications products and services to the wholesale and retail markets:

- ◆ Prioritized and completed the full range of accounting actions, such as consolidating financial statements, reconciling accounts, preparing balance sheet summaries, schedules and annual reports.
- ◆ Coordinated and facilitated audits; systematically isolated, researched and resolved issues. Analyzed variances. Performed month-end closing processes.
- ◆ Enforced GAAP guidelines. Assisted in SEC filings. After bankruptcy proceedings, analyzed, reconciled and negotiated settlements of \$.75B of the company's \$100B+ claims filed against debtor entities, and secured agreements with claimants to resolve claims.
- ◆ Cooperated with governmental inquiries/investigations; worked diligently to minimize liabilities.

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Built the foundation for success with **Antonio's Flying Pizza and Italian Restaurant**, a multigenerational family business and landmark restaurant in Houston. Gained valuable experience at all levels of business and financial operations, beginning at the ground floor and advancing to Vice President.