Pentosha Golconda, MBA, PMP

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Experienced professional with proven abilities

- Certified PMP professional, expertise in strategic planning and program management
- Innovative and creative solution provider, critical analyst and keen problem solver
- Focus on quality product/service delivery to customers and build corporate value
- Excellent team player, Tenacious Team builder and Motivational Team leader
- Adoptable to New business challenges, trends and technologies
- Entrepreneurial and Management consulting experience

Executive Summary

Professional Summary:

- A well rounded and result oriented leader with over 20 years' experience with specialties in strategic management, M&A, core banking transformation, business integration, investment management, wealth management, corporate restructuring and re-investments, new product development, enterprise risk management, regulatory compliance and governance, project, program and portfolio management in fast-paced global business environments.
- Experienced management consulting and business information technology professional with a successful track record of managing global merger & acquisition, setup CIO, CPO, PMO's, and TPO's process, product management, global business transformation, governance, risk and compliance, digital transformation, business process management and business integration high-tech projects (software-applications systems, mobility, cloud, infrastructure, network and cloud, Robotic Process Automation, Artificial Intelligence and Machine Learning) and quality improvement projects and always giving the extra mile to achieve objectives and results, focus on building corporate value, extremely passionate about driving organizations towards excellence, bringing shareholder value and enterprise effectiveness, promoting change, strategic enterprise transformation and improving business and operational processes
- Demonstrated leadership in, strategic planning, advisory, merger and acquisitions, stakeholder management, presale, business solutions, strategy and oversight planning, team building, change management, innovation and ideation, product development roadmap, new product planning, product and process quality management, business process improvement, business development, RFP proposal, business and technology gap analysis, customer relationship, cost-benefit analysis, budgeting, funding, vendor management, resource management planning and allocations, managed services and offshore supplier management and service management release management, RAID milestone tracking and IT audit, corporate actions, GRC framework, governance and regulatory compliance reporting
- Adhering to regulatory compliance and governance, SOX audit and controls, security compliance,, Global AML, KYC, trade surveillance, Dodd-Frank, Volker rule, FATCA, OFAC, SAS 70/SSAE16/SSAE18/SOC1/SOC2, GDPR, GAAP, DFAST, IHC CCAR, Capital Market Risk/Credit Risk - Basel II/III, FDIC, FINRA, SIPC, FRBNY, NYDFS, CCPA, FRB, OCC, SEC regulations.
- Interfacing with regulatory agencies: SEC, FRB, FINRA, OCC, FDIC, SIPC, CFTC-OCR; State regulators: FRBNY, Dept. PA Insurance, and Global Regulators like; PRA/FCA, FINMA, APRA, MSA
- Practicing PMI, ITIL, COSO, COBIT, ISO/IEC 2700, SIEM, ETAP, NIST FFIEC-Cybersecurity CAT, RCSA,
- PMO processes SDLC - Waterfall, Agile, Scrum, Kanban, ADKAR, RAD, lean Six Sigma, RAP, TQM, PPQA, CMMi, and due diligence business process standards leading global CIO/CFO/CTO/CRO/ EPMO / COO initiatives
- Managed program portfolio budget to the tune of $135 million, reported up to business executive directors and Global CIO, lead team of PMs and organizational agile coach, managed large size cross-

functional, cross-organizational teams including onsite and offshore global development teams. Coordinated and interfaced with customers, business CoEs, SMEs and senior management stakeholders to align and deliver cost center saving and cost optimization, and revenue generating strategic business goals.

Education:

- M.B.A – double major in Finance & Marketing, Osmania University, India
- B S (Honors) – major in Physics and Electronics, Osmania University, India

Certification:

- PMP Certified

Professional Experience

Market Information Group New Jersey 03/2006 - Present

MD PMO – Management Consulting, M&A, strategy and planning, business transformation, New Product Development

- Consult on new business ventures, M&A business integration, corporate transformation, restructure and re-investment, business and technology strategy, new production innovation and product ideation, new product development, product roadmap, technology roadmap, digital strategy, data management and cloud technology, Robotic Process Automation, Machine Learning and Artificial Intelligence (RPA-ML-AI) transformation solutions, pre-sale tehncology proposals, new products and commercial-off-the-shelf business solutions, and setup TPO/ PMO/CPO and CCO practices, GRC process framework governance, and sustainable value to client business development and operational process improvement
- Lead client global portfolio program project and app modernization initiatives with enabling agile process framework
- Drive and managed client strategies and drive Go-To-Market (GTM), new product development initiatives.
- Meet customer and business KPI goals by achieving value proposition and business case alignment.
- Target Banking, Financial, and Capital Markets verticals accounts, which include revenue forecasting, order book maintenance, and growing pipeline funnel, develop strategic delivery roadmaps, and customer onboarding.
- Develop customer centric solutions, collaborate with vendor management, sourcing, evaluation and pre-sales process with clients, as well as proposal development, RFP presentations, POCs, Pilot Product Evaluation, Decision making and Statement of Work (SOW) to Service Level Agreement (SLA) contracts. Technology product pilot & Identify key alliance partners and software vendors to jointly develop and achieve target plans.
- Execute and deliver customer's business venture short-term tactical and long-term strategic consulting project initiatives

Clientele Experience:

PGIM Investments (Prudential Global Investments Management) Newark, NJ 07/2017 – Present

Management Consulting – Product Management - Exchange Traded Funds (ETFs), ETF new product Launch - Fixed Income, QMA, New Product Innovation and and Governance, Risk and Regulatory Compliance initiatives

- Lead and managed PGIM Investments corporate strategic and new product development (new investment funds) across Investment management and wealth management LoBs product verticals,; Fixed Income, QMA funds and Mutual Funds.
- Delivered Prudential Investments Exchange Traded Fund (ETFs) new product program portfolio initiatives aligned with investment management vehicles business and technology strategic investment innovation product roadmap
- Responsible for four new Exchange Traded Fund (ETFs) new product development initiatives and ETF product launch; implemented New Product Launch of Exchange Traded Funds (ETFs), coordination with product management, legal and compliance and - OCC/FINRA regulatory stakeholders; adhering to compliance with focus on end-to-end delivery of new product approvals (NPA) of Prudential Fixed Income & QMA ETFs funds.
- Developed product roadmap and implemented enterprise technology architecture for new product development leverating agile scrum delivery approach, and delivered PGIM business technology across multiple work-streams; Prudential Investments Fund Administration, Portfolio Performance, Communications, Transfer Agency, Operations, Sales & Digital Marketing
- Implemented Digital mobile wallet and Fund info web portal launch with customer onboarding and digital marketing launch
- Developed and implemented PGIM-PI digital strategy, upscale digital footprint, integrated PI web portal and transformation across enterprise architecture, aligned with Prudential Standards, Governance and Risk Controls and Regulatory Compliance
- Led PMO governance, risk assessments and regulatory compliance initiatives for PGIM Investments, Mutual Funds Assets Under Management (AUM) – and OnesourceTax reporting and regulatory audit controls (RCSA, NIST/IEC, PII, PCI, GDPR), to meet OCC / FINRA, SEC, FRB, NYDFS and CCPA, regulatory compliance.
- Delivered initiatives across Prudential Investments LOBs: Fixed Income, QMA, Real Estate Investments, Annuities and Retirements, with multiple investment management financial vehicles: Mutual Funds, ETFs, Asset Management Institutional Investments, broker dealers and Advisor Group regulatory compliance.

American Insurance Group / AIG Global Services NJ / NYC 08/2015 – 06/2017

- Portfolio Program Project management consulting - COO –O&S Strategy - Governance and Regulatory Compliance, M &A and divestiture, Corporate Restructure and Re-Investment, Transformation, Recovery and Resolution Plan, GRC governance risk regulatory compliance - monitoring and oversight consulting – AIG business units: Consumer-Commercial-Investments –Investment Broker-Dealer: Advisor Group – Sage Point Financial – Royal Alliance – FSC Securities – Woodbury Financial, Inc. – AIG Federal Savings Bank
- Lead and managed AIG holding companies-corporate M&A and global divestiture program portfolio initiatives aligned with AIG corporate restructuring and re-investment, TPO transition, business integration and digital transformation, IT governance and business operations, legal regulatory compliance initiatives across matrix organization supporting multi-entities business and technology transformation strategic investment roadmap
- Lead and delivered corporate initiatives across AIG matrix organization; global regions and across LoBs, product BUs and global work stream investment product initiatives include: Consumer/Commercial/ Investments / High Net-worth–Private Wealth Management / Portfolio management / IBDs / Asset Management/ Treasury / Fixed Income / Annuities / Mutual Funds/ ETFs / Collaterals / Securities Lending/Counterparty Credit / Alternative Asset Investment products etc
- Lead and implemented COO-S&O (strategy & operations) corporate business transformation, FIS strategy planning and roadmap development, regulatory compliance and establish GRC process, initiatives across

AIG's global regions and LOBs include: Insurance: Consumer/Commercial, Investments, Advisor Group, Treasury and Asset Management; supporting multiple workstreams.

- Developed corporate governance operating process model utilizing IT risk / InfoSec Cybersecurity risk assessments, responsive to corporate actions, DM integration / data governance and RCSA, leveraging COBIT, ISO/IEC 2700, NIST, NSA, NFA, AML, KYC, PII, GDPR, PCI, HIPAA, NPA, New Payment Method Product, EFTs / Reg E, FFIEC-CAT, OCC, SEC regulatory compliance framework
- Lead the internal RCSA enterprise risk assessments, managed and coordinated Bus in responding to FDL questionnaire and generating final responses to regulatory agencies;
- Managed regulatory compliance initiatives, GRC (governance, risk and compliance) business transformation workstreams across (CFO / CIO / CTO / COO - O&S / TPO / CRO / ERM – ORM / TRO / CISO / BIO's / Legal / Compliance) business units: coordinated enterprise risk resolution planning initiatives; RRP- recovery and resolution plan, market risk controls, credit risk controls, counterparty credit risk assessments, 10K reports, Reg W / Reg 12b / Reg 15c/ FFIEC 001-101 / FR Y-6 / Y-7/ Y-9C/ Y-10/Y-11 / FR 2341 /FR- Y14/Y-15 CCAR-SIFI regulatory reporting compliance initiatives; Volker rule reporting metrics; securities sales, risk management/risk and position limits and usage, risk factor sensitivities, VaR, comprehensive P/L attribution, customer-facing trade ratio and inventory activity metrics reporting and regulatory response initiatives
- Lead, managed and delivered AIG Global Services – corporate governance ERM-RRP –Recovery and Resolution Planning report for SEC regulators / FRB College of supervisors with details including corporate financial management reporting, governance and RRP strategy for TPO (transition planning office) utilizing Basel II/III, Dodd-Frank/Volker Rule/DFAST/ AML/ IHC CCAR / G-SIFI credit risk, market risk, liquidity risk, operational risk, value at risk (VaR), regulatory compliance controls within framework
- Developed GRC governance and ERM risk compliance business and technology roadmap and implemented regulatory compliance portfolio program project management initiatives include: global corporate technology planning, Cybersecurity assessments, and corporate GRC SOX-IT audit / IT Security controls enhancing enterprise cloud infrastructure and cyber security compliance framework; InfoSec, NIST, ISO/IEC, COBIT, NPA, AML, KYC, PCI, HIPAA, HITECH, SSAE16/SSAE18 SOC1 & SOC2 / AT101, GDPR, MiFID, PRA/ S166, data governance cybersecurity data controls
- Driving technology debt reduction program initiatives, enhancing digital strategy data governance, cloud transformation strategy and developing cloud infrastructure technology, Implementing agile process application delivery include: EAI-EBS-FM/FIS SAP/HCM/EDM/DM/MDM/ BC/DCM/DR/VM/VPN/SSO-Web portal, re-engineering, data governance/ data classification/storage/ data security - Data Loss Prevention / DLP monitoring / data privacy / access controls /IAM/AD/Capacity/ VM/Third Party provider/ systems / applications / cloud / physical / network security and monitoring, policy-processes and standards improvement, resource planning, and Service Now, incident response IR- center, Robotic Process automation-AI-ML, risk assessments and implementation of SOX/IT audit controls initiatives
- Completed various regulatory audit exams with SEC, FRB, FRBNY, FINRA, OCC and different state regulatory agencies, chaired IT governance regulatory compliance committee meetings with various regulators and coordinated regulatory exams with federal, state and global regulatory agencies and provided corporate business and IT / cybersecurity compliance program request response deliverables on time and on budget
- On periodic basis responding to regulatory exam's / audits requests, that include global regulatory agencies : Federal Reserve-FRB, SEC, OCC, FINRA, FDIC, FRBNY, state regulatory agencies and EMEA (PRA, FCA, FINMA) and APAC (MSA, APRA) regulators across global regulatory regions
- Lead IT governance / IT Audit regulatory compliance initiatives, monitoring corporate actions tracking and response resolution and oversite reporting, include; FDL request reviews, Corporate Actions, Management Action Plan (MAP) reviews, regulatory audits conducted by internal IAG and external auditors, coordinating resolution and actions on MRIA/MRA (matters requiring immediate attention and matters requiring attention) regulatory requests, resolution tracking and reporting responses to the regulators and metrics reports for leadership team and senior management
- Managed global regulatory compliance initiatives, collaborating onsite audits/ regulatory exams, and reporting regulatory audit recommendations on MRIAs and MRAs, monitoring guidelines response and remediation and resolution.

- Implemented GRC dashboard BI analytics for enterprise IT governance, regulatory compliance, SOX audit controls, IT internal and external control audit tracking and reporting.
- Delivered various metrics reports to senior executives and leadership teams and presented various KPI / KRI metrics to technology governance committees and various management committee boards.

Bank Leumi / Leumi Investment Services Inc. **NYC** **09/2014 – 07/2015**
PMO /Business Consulting: Investment Banking - Private Banking – Commercial banking

- Delivered LISI investment management and core banking transformation strategic business roadmap
- Developed re-structuring and re-investment strategic LISI roadmap in new growth markets
- Developed new product development process management roadmap focused on revenue generation model
- Implemented new wealth management financial products, FIS and new product development NPA approval
- Delivered ordering and trading platform initiatives: trade management, order execution, position management, pricing reconciliation and risk management, KYC, trade surveillance and trade monitoring, reconciliations and corporate actions
- The NPA and EFTs/Mobile wallets/New Payment Method Products (NPMD) processes developed and aligned with OCC / FDIC regulated by agencies within the compliance framework and guidelines as prescribed by regulators.
- Established business and IT GRC framework, IT audit and controls; developed governance, risk and
- compliance framework for finance and banking enterprise risk audit and corporate actions committee
- Implemented and delivered e-banking digital strategy, web portal, business and IT GRC regulatory governance and compliance audit controls RADAR dashboard for internal and external metrics reporting analytics; including market risk and credit risk monitoring metrics reports within Volker Rule/DFAST/CCAR compliance framework
- Developed and managed core banking transformation program/projects; business systems and process integration projects; regulatory compliance, risk and governance projects including: ISO/global AML, KYC, trade surveillance, Dodd-Frank Act / Volker Rule, Basel II/III, DFAST, IHC CCAR, market risk and credit risk compliance guidelines
- Lead and managed IB/PB program projects including: LISI infrastructure, Alternative Assets Investment, Held Away products, Mutual Fund, Insurance products, portfolio performance, investment management strategies, book building process, asset class investment allocation process, risk rating and model portfolio rebalance, Trading enhancement, Hedge fund, Managed Accounts, middle market institutional sales, Collateral, Premium Financing, trade order execution and securities lending for LISI for wealth management, fixed income, treasury business and operations org
- Implemented alternative asset investment products resulting in increased high net worth investor growth revenue streams
- Developed technology acquisitions strategy and new product business Ops risk process workflows and supporting EA
- Implemented Private banking broker portal, portfolio management dashboard, e-banking, 360-degree view wealth manager for wealth management advisors, and online Alternative Investment wealth management customer onboarding
- Collaborated with IB/PB account management, sales, RMs, treasury and business operations teams
- Managed vendor sourcing and technology product evaluation and technology assessments and product pilots alongwith facilitated decision-making process for E2E agile delivery implementation of e-banking ERM–HFM, PeopleSoft G/L, OneSource, BI Analytics, AWS Cloud strategy, RPA-AI-ML, ECM, Digital strategy, digital footprint management to develop and support new product sales process with clients
- Interfaced with business users to develop UAT business scenarios and workflow plans for deposit and loan origination and processing to ensure the successful delivery of business scope
- Collaborated closely with the global teams to develop proposals, presentations, and SOW to SLA contracts and identify key business alliance partners and technology vendors to jointly develop and achieve Go-To-Market product launch plans and meet organizational key delivery goals

- Executed and delivered strategic long term and tactical short-term consulting projects and provided leadership throughout the lifecycle from initial qualification to closure
- Current target focus on accounts in the BFS/Capital markets/Market Data Analytics and EPM verticals, including forecasting revenue, maintaining order book and growing pipeline funnel
- Worked with business SMEs and practice teams on RFPs/Go-to-Market (GTM) solutions and proactively recommend strategies and roadmap to expand into new growth markets with existing and new client accounts
- Delivered key performance monitoring SMART metrics to clients to measure effectiveness of value proposition that aligns with client KPI goals

John Wiley & Sons **Jersey City/ Hoboken, NJ** **03/2014 – 08/2014**
Merger & acquisition – business integration initiative – (consulting)

- product portfolio-investment management initiatives
- Corporate restructuring and Re-Investments Global Finance and Enterprise Tax compliance – Digital Strategy, Innovation, Robotic Process Automation and AI, Product Management, ERM and Regulatory compliance initiative
- As a senior program portfolio management, delivered key business strategic initiatives of CIO & CFO org.
- Developed strategic regulatory compliance roadmap and enterprise tax compliance initiatives across global regions includes; NA, EMEA and ASAPC billing regions. Implemented Vertex operations and finance tax migration into OneSource (Sabrix) Tax solution utilizing Oracle and SAP ERP architecture platform and Financial Information Systems
- Lead enterprise Global Portfolio management initiative to deliver multi- M&A business transformation, integration, corporate restructure and re-investment initiatives
- Managed strategic global initiatives and implemented large business transformational, integrated enterprise change management across corporation verticals and horizontal matrix,
- Developed enterprise governance framework, IT GRC; Governance, Risk, Compliance IT audit / CAs / SOX controls
- Developed new product process PPQA along with enterprise technology acquisition process, and TQM performance management review metrics and reporting framework for corporate business and enterprise technology groups
- Delivered Go-to-Market product and service offering initiatives with focus on marketing of a service to end customers
- Delivered ETE full life-cycle SDLC program and projects with both waterfall and agile/scrum methodologies
- Implemented merger and acquisition initiatives, client onboarding and client M&A business integration across global billing regions

BNY Mellon **Lawrenceville/Jersey City/ Wall St – NYC** **03/2012 – 03/2014**
Bank of New York Mellon – (consulting)
Program portfolio management (M&A consultant), financial services - business integration

- Merger & acquisition Business Services –Investment Management – Wealth Management and Capital Markets
- Led and managed investment banking merger and acquisition (M&A) global business integration program initiatives
- Managed strategic global IB/PB initiatives and implemented large business transformation, integrated enterprise change management across enterprise IT governance and controls, Operations, Risk, Finance and Compliance (ORFC).
- Developed new product strategy roadmap and NPA process workflow /new product approval process aligned with regulatory compliance framework and process quality assurance with OCC regulatory guidelines
- Developed strategic planning and multi-phase business/technology strategy roadmaps and

- Implemented wealth management enterprise FIS technology evaluation and technology acquisition process reviews, cost-benefit/business-gap analysis, risk monitoring to reduce enterprise risk, designed strategy and developed regulatory compliance and governance framework; ISO/IEC security compliance, global AML, KYC, NPA due diligence, risk controls for market risk, credit risk and trade surveillance
- Developed performance monitoring analytics and established metrics reporting framework for CIO & CFO initiatives
- Implemented merger and acquisition initiatives, client onboarding and client M&A business integration of Barclays, Broadridge, Guardian Insurance and Park Avenues Securities
- Delivered Go-to-Market product and service offering initiatives with focus on marketing of a service to end customers
- Delivered end-to-end full life cycle SDLC program and projects with both waterfall and agile/scrum methodologies
- EPM oracle HFM technology solution and developed BI analytics, established benchmarking to measure performance management reporting and reporting metrics dashboard for enterprise risk management and regulatory compliance
- Implemented complex E-commerce ECM/DS digital solutions and enterprise analytics solutions with EPM/ BI/BO/DW/ data center management, business systems, applications, and infrastructure technology program project initiatives
- Implemented infrastructure technology solutions leveraging agile methodology for SOA with EAI ERP/ CRM / ECM /DS / BPM / EPM-Oracle HFM/MDM/ RDM/ ESB / VM / Cloud architecture platforms, Digital strategy and digital footprints, cloud strategy, cloud management, cloud storage, services include–SaaS, PaaS, CaaS, IaaS, VM servers, AWS Glacier, S3, EC2, Dynamo, Salesforece.com and VMware virtualization and mobile app initiatives.
- Managed program resource planning, global product and service delivery teams, coordinated with cross-functional/cross-organizational product verticals, business and IT stakeholders along with multiple vendor management teams whilst coordinating with seven onsite PMs along with large size offshore delivery teams
- Providing direction to teams, performing PMO process improvements, developing enterprise resource planning, agile tailoring matrix, training and mentoring the teams, ensuring risk management and mitigations plans, addressing issues and jeopardy resolutions to ensure the deliverables meet on time and within budget and achieved target benefits & ROIs.
- Client facing role on enterprise wide SLA initiatives, interacting with top-tier clients such as Charles Schwab, Ameriprise, Northwestern Mutual fund, Citigroup and JP Morgan Chase
- Interfacing with product, business and IT stakeholders to ensure product delivery on time and within budget
- Implemented KPI / KRI metrics monitoring dashboard for SLA and SLM compliance enterprise risk assessment and monitoring framework to reduce financial exposure of the firm
- Defined and developed enterprise SMART dashboard for successful KPI metrics monitoring, tracking and reporting and measure risk assessment effectiveness across organizations to align and meet business strategic goals
- Implemented enterprise wide KPI reporting across product verticals utilizing MDM, BI/BO analytics reporting tools
- Delivered and implemented wealth management portfolio performance management reporting, credit risk monitoring analytics, SLMs and SLA compliance, KPI / KRI metrics monitoring dashboard.
- Developed a roadmap to capitalize effective enterprise resource planning utilization resulting in cost saving benefits, enterprise wide implementation of PPM tool with integrated workflow for effective enterprise delivery
- Implemented Financial data network initiative - DBF replacement- a financial market data collection/verification tool.
- Worked on capital markets FDN-Financial Data Network-file delivery market data, this includes assets and investments, portfolio performance management reporting for Fund Managers, FIs, Investment Broker Dealers (IBDs) and Advisors.

- Implemented transformation and business integration of AWR and NetX360 financial platform applications for wealth management, QPRs reporting, across baseline investment objective and baseline asset types, that includes Equity, ETF Derivatives, Treasuries, Fixed Income, Annuities, Mutual Funds for High Net-worth investors (NHWI), FIs and IBDs.
- Managing enterprise strategic initiatives, program project portfolio budget of multi million dollars,
- As a practice leader, taking inputs from business partners and stakeholders, providing client advisory services, demonstrating leadership in strategic planning and developing roadmap and establishing framework for achieving organizational strategic goals, reporting to Business Executive Director
- Delivered business critical portfolio performance, corporate actions response reports including trading, operations, Business process improvement, Portfolio Performance Reporting and Quarterly Performance Reporting
- Delivered successful program initiatives, met short-term and long-term business strategic goals, and achieved organizational KPIs.

AT&T Business Services **Middletown, NJ** **03/2006 - 03/2012**

Senior Program/Project Management – Consulting Global PMO / Global CIO

- Enterprise Business Services – Merger and Acquisition, business integration program initiative.
- As a senior business program management and strategic investment (SI) owner, responsible for global CIO initiatives, client facing advisory role, lead various Enterprise Business services, E-commerce, cloud strategy, SaaS, IaaS, PaaS, hosting, mobility, customer care, operations and Global program initiatives.
- Implemented M&A, enterprise business integration and managed scalability services program initiatives.
- As a Strategic Investment program owner managed combined budget to the tune of $135 MM across product verticals.
- Led EPMO and implemented full life cycle multi-program portfolio initiatives for Global CIO organization.
- Implemented enterprise technologies CoE initiatives include; SOA-EAI-ERP-ESB- BMP-ECM-CRM- Oracle PeopleSoft, Salesforce.com, Clarity EPM, BRM, HP PPM and MS SharePoint server technologies across business services organization.
- Managed CTO initiatives, delivered 3-Year CoE Business Strategic Plan with a program portfolio budget of $79 MM
- Delivered E-commerce programs, new product launch initiatives that include; ECM, digital footprint strategy
- Implemented E-business, global ordering management, global billing, e-payment, PCI, mobile wallets, procurement, global vendor management, digital asset management, managed services scalability, mobility, hosting and cloud computing based SaaS, CaaS, PasS, IaaS initiatives.
- Managed seven PMs team, reported to global CIO and generated reports for C-level executives. Managed multiple global cross-organizational/cross-functional product teams, vendor management teams on-site and offshore across NA, EMEA and APAC regions
- Implemented CFO org business initiatives for global financial systems, global P&L, KYC, global Billing and universal biller (price, tariff and tax) Vertex solution, with derived combined revenue benefits of $8 Million per annum in addition to 13 FTE HC reduction/ cost savings and 18 FTE HC avoidance with achieving 85% automation efficiency meeting customer satisfaction.
- Achieved KPI metrics and business objectives aligned with management and organizational strategic goals.
- Implemented enterprise compliance and governance framework, quality milestone deliverables, perform internal IT/SOX 404 regulatory reporting and compliance audit controls.
- Managed vendor risk management, procurement, resource engagement agreements, SoW contracts, SLAs agreements, SLMs, and, vendor funding allocations (FTPs), tracking vendor risk compliance and governance
- Focused on revamping the BPM and performance improvement to achieve enterprise optimization by achieving cost saving and revenue generating benefits.

- Delivered strategic business initiatives with complex projects, metrics measured in higher ROIs, aligned with enterprise KPI goals. Lead business case to success and achieved business goals with result-oriented benefits and value propositions

New York Stock Exchange (NYSE) **Wall Street, NYC** **02/2005 – 12/2005**
Portfolio Program Management and Strategic Planning - PMO Consulting

- New York Stock Exchange – Market Data, Member Firm, Regulation and Market Surveillance projects
- Intermarket Surveillance Group – Enterprise Web Portal Consortium initiative Digital Strategy and Trading, ETFs
- As a senior program management consultant responsible for PMO setup and strategic plan and roadmap development
- Established BPM best practices, initiated TQM business process improvement for successful delivery of SDLC projects
- Delivered NYSE business critical projects initiatives with SOX compliance and governance across the EPMO for Member Firm Regulations, Book building process, underwriting, Trande Management, EFP, ETFs Trade Surveillance, Corporate Actions, NPA, AML, KYC, FIS Regulatory compliance and Enforcement, and Market Data
- Implemented new product risk assessment process framework within SEC / OCC regulatory compliance framework,
- SOA-EAI- BMP- CRM-ECM- e-Commerce web portal solutions, digital strategy content management, global order management and billing initiatives. Implemented multi-tier market data, DW, DCM and DR infrastructure initiatives
- Led cost saving and revenue generating initiatives, achieved cost savings with annual optimization of $12.5 M
- Coordinated with business sponsors, business partners, users and stakeholders to evaluate program project initiatives for result oriented benefits. Lead, mentored and directed large size on-site and offshore vendor management teams.
- Reported strategic initiatives program status and timely scorecard updates to senior management/CIO/c-levels.
- Evaluated new technologies, conducted techno feasibility studies and, provided research analysis strategic plan.
- Identified business growth markets and presented revenue generating business proposals to the tune of $12 M
- Implemented vendor risk management and risk assessments, new products evaluation, RFPs and vendor management SoW contracts, and developed SLA agreements to ensure successful delivery of business implementations.
- Implemented end-to-end operational automation projects and demonstrated outcome-oriented benefits and value proposition statements, revamped business process improvements, established governance and compliance process.
- Responsible for business relationship management, managed offshore development centers and vendor managed service delivery in Europe and Asia pacific region.

ADDITIONAL WORK EXPERIENCE UPON REQUEST

Business & Technology Professional Experience Background:

Leadership: Expertise in leading strategic planning and program management, Metrics Reporting to Senior Executives, C-level Executives Management, Resource Management including staffing, project team selection, training, mentoring, performance evaluations, performance reporting, metrics management reporting, heading and leading business presentations, senior management reporting thru business and technology steering committee board meetings

Business Skills and Provider Solutions: Management consulting: strategy & planning, advisory services, M&A-mergers and acquisitions, divestiture, new product management, corporate restructure, business transformation, re-investment, business development, strategic planning, CIO, CPO, TPO, TIO, PMO setup and Technology Innovation Office setup, program portfolio management, business case evaluation, performance management, business integration, digital strategy, digital transformation, business integration, client facing, customer onboarding / off-boarding, client front office operations, global cross-functional/cross-organizational matrix management, transition change management, organizational agile coach, global change, enterprise cross-org resource management, resource planning, internal / external audit, governance, risk and legal regulatory compliance, BI analytics, KPI and KRI metrics reporting, TPO strategy, RRP- recovery and resolution planning, and credit risk monitoring analytics, performance management, benchmarking, due diligence, data governance, data management, data warehouse, data center migration, e-commerce global operations, business process management, re-engineering, customer care, service assurance, service delivery, enterprise resource management, enterprise risk management, IT security/ RCSA cybersecurity assessments, corporate governance maturity assessments, KYC, global AML, corporate actions, trade surveillance, SEC and IOSCO regulatory compliance, business relationship management, Business Case with ROI and Cost-Benefit Analysis, budget allocations, product development, technology debt reduction, business and technology product evaluation, emerging technology evaluations, present and secure approval of business cases, corporate governance and approval process, budget management, decision making, and mitigation planning, solutions provider, pre-slaes business solutions and applications(COTS), and global vendor management, negotiations, evaluate vendor selection process, vendor risk management, POC, respond to RFPs and facilitate SOWs developed Scope and set program milestones, procurement, establish SLMs, SLAs, SMPs, contract management, legal and compliance, GRC TQM quality management and IT Governance audit, portfolio management and equity research, market research, business analysis, business vision workshops, BPM, Business process improvement, Business Process Modeling,

Process Methodology and Business Processes and Risk & Regulatory Reporting Compliance
PMO Processes and standards: PMI, SDF, ADKAR, One Process, ITUP SDLC Waterfall, AGILE, SCRUM, SAFe, Kanban, JAD, RAD, PAT, PIW, SI, Clarity EPM, HP PPM, RUP-UML, GRC, VPMO, PRISM, PRINCE2, IFP, PPQA, ETAP, CMMi, ISO/IEC, lean Six Sigma, ERM framework, COSO, TOGAF, ITIL v3, COBIT 5 and IT SOX audits, RPA, AI etc.

Regulatory compliance, Business & IT security, Governance Process: SAS70, SSAE16/SSAE18/ SOC1/SOC2/ AT101, PRA/FCA/S166, GDPR, MiFID I/II, RCSA, PII,HIPAA/HITECH, SIEM, NIST, FFIEC, Cybersecurity-CAT, FedRAMP, New Product Approval / NPA, NPMP, PCI, EFTs/Mobile Wallet, LVPS-Payment Gateway, Configuration, KPI Analytics, TQM, Function Point Analysis, internal audit process and SOX audit and GRC controls, data governance, risk and compliance: Bank Secrecy Act/BSA, GAPP, IOSCO Trade Surveillance regulations, EFT Act/RegE/Reg W/ Reg12b/Reg15c/ Reg 15d/ SEC 8-K/ 10-K reports, Global AML, KYC, Basel II/III, Dodd-Frank Act, Volker rule, FATCA, OFAC, IHC CCAR, NPA, DFAST/ G-SIFI, Federal Reserve Board –FRB; FR Y-6/Y-7/Y-9C / Y-10/ FR Y-11/ FR 2314 /FR Y -14/ Q/M / FR Y-15/ FFIEC 001-009/101/102, NYDFS Part 23/ Part 342/ Part 500/Part 504 sanctions etc.

Regulatory Reporting Agencies: SEC, IOSCO, FRB, FRBNY, FDIC, SIPC, CFTC-OCR, OCC, FINRA, Dept. PA

Insurance, CCPA, State regulators, and international regulators; PRA/FCA, FINMA, APRA, MSA

Implemented Business Technology Solutions:
Business Domains: Capital Markets, Banking and Financials, Investment Management, Wealth Management, Insurance, Fixed Income, QMA, Real Estate Investments, Alternative Assets Investment, Held Away products, MF-Mutual Funds, ETFs- Exchange Traded Funds, Insurance products, investment management strategies, portfolio performance, asset class investment allocation process, risk rating and model portfolio rebalance, Trading enhancement, IPO Book building process, underwriting, Hedge fund, Managed Accounts, middle market institutional sales, Collateral, Premium Financing, Stock Loan/Securities collateral lending/borrowing (Repos and reverse repos), Mortgage- backed securities MBS, Commodities trading, trade order execution , position management, fund administration, transfer agency, taxation, and securities lending client on-boarding/off-boarding, wealth management, treasury business and corporate actions and reconciliation operations

Technology Business applications/systems/infrastructure - Key Portfolio Program Projects:
Financial systems: Integrated financial platform, Core-Banking Transformation, Investment Banking, Private Banking, Financial services solutions, Capital Markets business solutions, Financial infrastructure Platform, Financial Market Data custodians, Financial Custodian Data Network, Member Regulatory Firms / IBD-Broker-Dealer Trading platforms, Investment Advisor E-business portals, EFP, Stock Exchange -Electronic Trading Platform, ETFs Trading & Streaming Market Data, Wealth Management, Asset Management, Portfolio Performance Reporting, Asset Classifications, NetX360 integration, Trade Surveillance, Equity Portfolio Monitoring, CEP Apama and Nise Actimize / Mantas, AML KYC, PII compliance, Commercial / Commercial Insurance Platform, Property & Causality insurance business platform, Underwriting, Mortgage Lending, Re-insurance, Global P&L, LVPS- Large Value Payment Gateway Systems, Mobile Wallet, EFTs, Global Billing, billing platform solutions; AR /AP (JD Edwards)- (O2C and P2P) with Vertex and Sabrix/OneSource Tax solution, Sabrix (One Source) Tax solution (Direct and Indirect tax)

EAI-SOA-ESB-SSO platform and ERP solutions: E-business, E-commerce (B2B, C2C,O2C, P2P), Enterprise Web Portal, SSO Web-Portal Ecommerce enterprise, Digital strategy, digital footprint, Digital Solutions, ECM, EPM, PPM, BRM, CRM, enterprise content management, Global Integrated Ordering Management applications, utilizing Oracle and SAP platform integration, resource management planning, PeopleSoft CRM, SAP HANA, BW, BI/BO, FI/CO, Taxation OneSource, Vertex, Global Computing Platform GCP, ETL, Master Data -MDM, DW, DR, Data Mining, big data analytics, KPI reporting with bigdata platform BI Analytics reporting integration, BPM, KMS, Capacity Management, Enterprise Search, Integrated Business Process Management, Service Now, End-to-End flow-thru Automation and Robotic Process Automation and AI solutions, Incident Management and Change Management initiatives

Global Infrastructure initiatives: Cloud based technology–Private cloud, Hybrid cloud, DCM, Data center migration, IDC,
ASM, Quality and data storage management, Disaster Recovery Management, Business Continuity and Resilience, Cloud Solutions (SaaS, PaaS, IaaS, CaaS, STaaS), Hosting, SANs, VM-Virtual Servers and Asset Management recoverability, Cybersecurity, IAM-Identity Access Management, Access Control / Active Directory, Physical Network / Virtual Networks, Firewall Security, DLP-Data Loss Prevention, Global Incident Response Center / i-Robotic IR manager, Robotic Process Automation and Artificial Intelligence-AI Process, ServiceNow, Data Privacy - PII/HIPAA/PCI, ETFs Payment Gateway - IVR, IPv6, BVoIP, mobile Health solutions, ForHealth, iHealth, Interactive -content delivery network, ServiceNow Robotics scalability, Infrastructure initiatives and platform initiatives,
New product launch and product development initiatives: ETFs, Managed services scalability, Custom deals programs project initiative, Merger and Acquisition integration systems and platform consolidation initiatives

Project Supported Technology Implementation Environments

- Technology Providers/Technology Interfacing systems and EAI / ERP / SOA / EBS Other: Oracle PeopleSoft, SAP, MS Azure, Amazon Web Services, E-Business Enterprise Suite, Ecommerce Digital strategy, EPM, MDM, Oracle Hyperion, FM, Flexcube, OFSA, HCM, Siebel CRM, PeopleSoft GL, HRIS, Workday, ECM, BRM, Clarity PPM, SAS PEGA BPM / Business Rules Engine, Vertex and HighJump, Sabrix / One Source (Thomson Reuters- Tax solution), Web Focus, WCF, Salesforce.com, BizTalk, Eclipse, Tibco, Calypso, Documentum, FileNet, CM8 Tibco process suite, Mercury Lotus Notes, Domino, Web Methods, Vision Content, SAP- HANA, CRM, BW, BI / BO, Cognos, BI Business objects, Crystal Reports, BRM/BI Analytics, Tableau, QlickView, Qualys Scan, FireEye assessment, IBM OnePage, RSA- Archer GRC,
- Operating Platform: Unix, Windows NT, IBM Mainframe, Linux, CentOS, Cloud Platform, LAN/WAN, VAM, CDN, SAN
- Database: ORACLE, DB2, MySQL, MQ, SYBASE, DataStage, SQL, ERWIN, MySQL, WebSphere MQ, Web Logic, WSDL,MDM, ETLs, MSSQL Server, JBoss, Tomcat, SOAP, Data warehouse, Big Data, Hadoop, Cassandra, Pig, Hive, MapReduce
- Programming technology: JAVA- J2EE , .NET, OOD, COBOL/CICS-Mainframe, PYTHON 3.4.x open source, PERL, HTML, XML, XDE, PHP, CORBA,
- Financial & Investment Technology Platforms/Systems/Application tools: Bloomberg Gateway, TOMS, EMSX, FDIC CRM, Custom Lender, Eagleye, CIBAR-OFAC, PRIME, NISE Actimize, Apama, Oracle-FM/Mantas, Brio, PeopleSoft-GL, SAP/FM/ FI/CO, BPC, GFT, Montran-Global Payment System, PNC Midland Enterprise!, Paragon, Envestnet, CHIPS, Fedwire, OPICS, CLS (DB), OpenLink-Findur, Pershing NetX 360, Reuters 3000 Xtra, Morning star, Moody's -Risk Calc, UCC filings, UFRS (Financial Reporting System), BNY Global One, State Street-PFS, Eagle, Markit EDM, BBH Worldview- ETF STAR trade management, BlackRock's Aladdin, Broadridge Trading

Tools and Technical Skills
Microsoft Office, Visio, MS Project, MS SharePoint server, Dynamics, Documentum P8, FileNet, PRISM, FrontPage, Program Portfolio Administration Tool, Cost-benefit analysis tool, EPM, HP PPM, Program Investment Works, Mind Manager, Clarity PPM, PlanView, Daptiv, Prince, Doors, IBM M&A Accelrator, IBM Rational Pro Suite, Unix, HTML, Dream Weaver, Wiki, P8, JIRA

Management Consulting / Client- Customer Servicing – vendor engagement relationship:
Bain & Company, McKinsey & Company, BCG, Ernst & Young (EY), PwC, KPMG, and boutique MC firms etc.
Bloomberg, Thomson Reuters, Brown Brothers Harriman (BBH), State Street, NYSE /ICE, Citigroup, Guardian, JPMC, BOA, Morgan Stanley, Charles Schwab, Ameriprise, Northwestern Mutual Funds, Raymond James Financials, etc

Business & Technology Delivery Managed Services Vendor relationship : IBM global services, Deloitt & Touche, Accenture, Infosys, TCS, Fidelity Services etc.