

Leading Practices in UK Conflicts Searching, Analysis and Resolution

As firms expand their global footprint and experience increasing regulatory pressures, the need for comprehensive conflicts processes and a sophisticated conflicts staff has become a leading practice. While there is a standard set of foundational knowledge about conflicts requirements and practical skills for conflicts analysis, firms often have unique requirements due to the specific jurisdictions, practice areas, and risk regime in which they operate.

The *Leading Practices in UK Conflicts* training course is a customized on-site program for firms that covers the content of the *Foundations for UK Conflicts Analysis* course via the specific content of a firm's conflicts database and associated third-party research database integrations. The course is tailored to provide a personalized educational curriculum the outputs of which (analytical search scenarios, training materials, and workbook reference resource) remains the property of the firm. Following an initial discovery and planning phase, the four to five day course combines live instruction with hands-on activities to prepare firm Conflicts Analysts for the day-to-day tasks their position entails.

Which firms should take this course?

Leading Practices in UK Conflicts is designed for:

- Firms that want to use their own Intapp Conflicts configuration, data, and search examples to develop the skills of their Conflicts Analysts
- Firms moving to a centralized model for conflicts resolution that are making an investment in a dedicated team of conflicts analysts and require large-scale training
- Firms moving to a staffing model where Conflicts Analysts also have responsibilities for client due diligence, Know-Your-Client, and Anti-Money Laundering research



Phase 1 – Discovery and Planning

During the Discovery and Planning phase, Intapp Conflicts Consultants will interview several firm stakeholders to understand the firm's conflicts requirements and develop an instructional plan for the course.

Interviewee	Goals
Conflicts Management	Review existing processes, challenges and requirements, and understand staffing organization, data management, and process refinement improvement goals
General Counsel, Intake or Ethics Committee	Discuss conflicts in the broader scope of the firm's intake processes and overall risk management requirements
Practice Group Stakeholders	Review practice-specific conflicts requirements

Phase 2 – Instruction

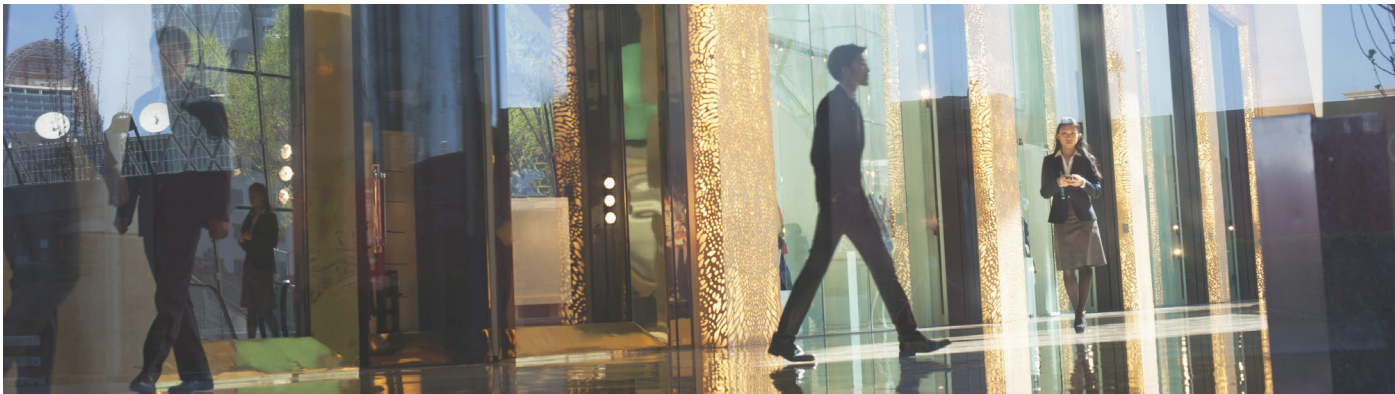
During the Instruction phase, Intapp Conflicts Consultants will provide interactive training for firm Conflicts Analysts. The instruction will consist of classroom style teaching and hands-on activities that provide Conflicts Analysts an opportunity to reinforce their learning right away.

Topics

Before taking the *Leading Practices in US Conflicts* course, students should complete the complimentary *Navigating Intapp Conflicts* course available on demand through our Customer Community. Current Intapp Conflicts users can test out of the *Navigating Intapp Conflicts* course.

Foundation of Conflicts Analysis

<p>Lecture-Based Instruction</p> <p>Setting the Stage</p> <ul style="list-style-type: none">• Understanding the relationship between legal conflicts versus reviewing work commercially• Introduction to the Solicitors Regulation Authority and Code of Conduct• How to read the Code of Conduct Principles• Duties of Confidentiality v Disclosure• Rule 4: Understanding the three types of conflicts• When can you act?• Exceptions to the Rules <p>Approaching Conflicts Searches</p> <ul style="list-style-type: none">• For what reasons are conflicts searches conducted?• What constitutes a successful search strategy?• How to communicate results effectively and set up issues decisions <p>Sidebar on Lateral Hires</p> <ul style="list-style-type: none">• Approaching searches for lateral candidates• Summarizing lateral candidate findings	<p>Scenario-Based Practical Exercise</p> <p>Litigation, Transactional and IP Subject Matter Scenarios</p> <ul style="list-style-type: none">• Conducting comprehensive searches using Intapp Conflicts• Arranging and grouping search results• Filtering Ranking based on party relationships• Selecting relevant hits in Interactive Report• Communicating results to supervisor in risk function
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Foundation of Conflicts Resolution

<p>Lecture-Based Instruction</p> <p>Setting the Stage</p> <ul style="list-style-type: none">• Considerations for regional (domestic), international, and global resolution• Positioning Know Your Client research• Laying out the resolution of potential conflicts• Communicating results to affected lawyers	<p>Scenario-Based Practical Exercise</p> <p>Litigation, Transactional and Bankruptcy and Trust & Estates Scenarios</p> <ul style="list-style-type: none">• Analysing complex ethical business conflicts• Working with Blackbooks, client commitments, third-party research integrations• Honing potential conflicts communications via the interactive conflicts report
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Introduction to Know-Your-Client and Anti-Money Laundering Risk Research

<p>Lecture-Based Instruction</p> <p>Setting the Stage</p> <ul style="list-style-type: none">• Introduction to Money Laundering• What are the regulations and what is their purpose?• Combatting money laundering• Complying with the regulations• Consequences of non-compliance• Intapp Conflicts integrated research tools	<p>Scenario-Based Practical Exercise</p> <p>Lateral Scenarios</p> <ul style="list-style-type: none">• Exercises using Intapp Conflicts• Hypothetical involving research that focus on client entity types
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For additional details on this or any other Intapp University courses please contact customertraining@intapp.com

