

Leading Practices in UK Conflicts

Leading Practices in UK Conflicts: Searching, Analysis, and Resolution

As firms expand their global footprint and experience increasing regulatory pressures, the need for comprehensive conflicts processes and a sophisticated conflicts staff has become a leading practice. While there is a standard set of foundational knowledge about conflicts requirements and practical skills for conflicts analysis, firms often have unique requirements due to the specific jurisdictions, practice areas, and risk regime in which they operate.

The *Leading Practices in UK Conflicts* training course is a customized on-site program for firms that covers the content of the *Foundations for UK Conflicts Analysis* course via the specific content of a firm's conflicts database and associated third-party research database integrations. The course is tailored to provide a personalized educational curriculum the outputs of which (analytical search scenarios, training materials, and workbook reference resource) remains the property of the firm. Following an initial discovery and planning phase, the four to five day course combines live instruction with hands-on activities to prepare firm Conflicts Analysts for the day-to-day tasks their position entails.

Which firms should take this course?

Leading Practices in UK Conflicts is designed for:

- Firms that want to use their own Intapp Conflicts configuration, data, and search examples to develop the skills of their Conflicts Analysts
- Firms moving to a centralized model for conflicts resolution that are making an investment in a dedicated team of conflicts analysts and require large-scale training
- Firms moving to a staffing model where Conflicts Analysts also have responsibilities for client due diligence, Know-Your-Client (KYC), and Anti-Money laundering (AML) research



Phase ①

Discovery and Planning

During the Discovering and Planning phase, Intapp Conflicts Consultants will interview several firms stakeholders to understand the firm's conflicts requirements and develop an instructional plan for the course.

Interviewee	Goals
Conflicts Management	Review existing processes, challenges and requirements, and understand staffing organization, data management, and process refinement improvement goals
General Counsel, Intake, or Ethics Committee	Discuss conflicts in the broader scope of the firm's intake processes and overall risk management requirements
Practice Group Stakeholders	Review practice-specific conflicts requirements

Phase ②


Introduction

During the Instruction phase, Intapp Conflicts Consultants will provide interactive training for firm Conflicts Analysts. The instruction will consist of classroom style teaching and hands-on activities that provide Conflicts Analysts an opportunity to reinforce their learning right away.



Topics

Before taking the *Foundations for UK Conflicts Analysts* course, students must complete the complimentary *Navigating Intapp Conflicts* course available on demand through Intapp's Customer Community. This course presents the basic functionality of Intapp Conflicts—searching, applying business rules and filters, and interacting with conflicts search results.

Foundation of Conflicts Analysis	
Lecture-Based Instruction	Scenario-Based Practical Exercise
Setting the Stage <ul style="list-style-type: none">Understanding the relationship between legal conflicts versus reviewing work commerciallyIntroduction to the Solicitors Regulation Authority and Code of ConductHow to read the Code of Conduct PrinciplesDuties of Confidentiality vs DisclosureRule 4: Understanding the three types of conflictsWhen can you act?Exceptions to the Rules	Litigation, Transactional and IP Subject Matter Scenarios <ul style="list-style-type: none">Conducting comprehensive searches using Intapp ConflictsArranging and grouping search resultsFiltering / Ranking based on party relationshipsSelecting relevant hits in interactive ReportCommunicating results to supervisor in risk function
Approaching Conflicts Searches <ul style="list-style-type: none">For what reasons are conflicts searches conducted?What constitutes a successful search strategy?How to communicate results effectively & set up issues / decisions	
Sidebar on Lateral Hires <ul style="list-style-type: none">Approaching searches for lateral candidatesSummarizing lateral candidate findings	

Foundation of Conflicts Resolution	
Lecture-Based Instruction	Scenario-Based Practical Exercise
Setting the Stage <ul style="list-style-type: none">Considerations for regional (domestic), international, and global resolutionPositioning Know Your Client (KYC) researchLaying out the resolution of potential conflictsCommunicating results to affected lawyers	Litigation, Transactional, Bankruptcy, and Trust & Estates Scenarios <ul style="list-style-type: none">Analyzing complex ethical business conflictsWorking with black books, client commitments, third-party research integrationsHoning potential conflicts communiques via the interactive conflicts report

Introduction to Know Your Client and Anti-Money Laundering Risk Research	
Lecture-Based Instruction	Scenario-Based Practical Exercise
Setting the Stage <ul style="list-style-type: none">Introduction to Money LaunderingWhat are the regulations and what is their purpose?Combating money launderingComplying with the regulationsConsequences of non-complianceIntapp Conflicts integrated research tools	Lateral Scenarios <ul style="list-style-type: none">Exercises using Intapp ConflictsHypothetical involving research that focus on client entity types

For additional details on this or any other Intapp University courses please contact customertraining@intapp.com