

# Is MFS Strongly Correlated with faulty code? \*

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## ABSTRACT

Combinatorial Testing (CT) is an effective technique for testing the interactions of factors in the Software Under Test (SUT). Most works in CT focus on the technique itself, e.g., how to generate test cases, model the inputs, or handle the constraints of the inputs. Few works have considered the following question, i.e., is detecting and identifying the minimal failure-inducing interactions (MFS), really useful and helpful to code-level fault diagnosis? In this paper, we present the first study on the relationship between MFS and the code which causes the failure. Specifically, we firstly obtained the guaranteed code of the corresponding MFS, i.e., those program entities which are directly affected by these interactions. And then we compared these guaranteed code with those real faulty code to see whether there exists any associations between MFS with these real faulty code. Our empirical studies based on 7 programs from SRI showed that the correlation between failure-inducing interactions and the faulty code in the SUT is low to moderate, and this correlation is affected by the fault types and MFS characteristics.

## CCS Concepts

•Software defect analysis → Software testing and debugging;

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Software Testing, Combinatorial Testing, Fault localization, Failure-inducing interactions, Guaranteed code

## 1. INTRODUCTION

Modern software is becoming more and more complex. To test such software is challenging, as the candidate factors that can influence the system's behaviour, e.g., configuration options, system inputs, message events, are enormous. Even worse, the interactions between these factors can also cause runtime errors, e.g., the incompatibility problems. In consideration of the scale of the industrial software, to test all the possible interactions of all the factors (we call them the interaction space) is not feasible, and even if it is possible, it is not wise to test all the interactions because most of them do not provide any useful information.

Many empirical studies show that, in real software systems, the effective interaction space, i.e., targeting fault detection, makes up only a small proportion of the overall interaction space [14, 15]. What's more, the number of factors involved in these effective interactions is relatively small, of which 4 to 6 is usually the upper bounds[14]. With this observation, applying Combinatorial testing(CT) in practice is appealing, as it is proven to be effective to detect the interaction faults in the system.

CT tests software with an elaborate test suite which checks all the required parameter value combinations, and after detecting some failures by this test suite, it then identify the failure-inducing interactions, or more formally, failure-causing schemas (MFS) in the SUT. Most works in CT focus on the method itself, e.g, to design smaller test suite with the same interaction coverage [3, 4, 16, 12], or to identify the MFS more accurately [20, 23, 36, 25]. Few of the works consider the following question:

*Is detecting and identifying the MFS really useful and helpful to code-level fault diagnosis?*

To analyse this question is important and necessary, because it builds the relationship between MFS and faulty code, which is the foundation to apply CT on code-level debugging. In this paper, we try to answer this question by studying the *guaranteed code* of interactions. The *guaranteed code* of a interaction is the program entities (e.g., statements,

branches, blocks, etc.) which are directly *affected* by the interaction according to the previous study [26]. Obtaining the guaranteed code of an interaction can help us understand how this interaction influence on the behaviour of the program under test. Furthermore, analysing the guaranteed code of the MFS can offer us an insight into the extent to which the MFS is related to the cause of the failure; based on which, we can learn whether detecting and identifying the MFS can facilitate the debugging, as well as bug fixing.

There are many techniques to compute the MFS in CT. In this paper, we adopts the TRT method proposed in [25], which is an efficient and effective MFS identification technique in CT. With respect to guaranteed code, we follow the steps which are original proposed in [26], which firstly utilizes symbolic execution tool to search possible paths for different values assigned to the input parameters, and then calculates the guaranteed code for each possible interaction based on these paths. One difference from study in [26] is that we only need to compute the guaranteed code for the MFS we identified in the SUT, instead of all the possible interactions. After obtaining the MFS and corresponding guaranteed codes, we are able to evaluate and analyze the correlation between MFS and faulty code.

We designed three empirical studies on 7 open-source software subjects from Software Infrastructure Repository (SIR) [6]. These studies considers several different aspects (e.g., the degree of MFS, the types of faults) of the relationships between MFS and faulty code. Our results suggests that: 1) The correlation between MFS and the faulty code is weak to moderate; 2) There exists a significant decrease in the correlation between MFS and real faulty code with the increase of the degree MFS. 3) For different types of faults, the correlation between MFS and faulty code varies.

The remaindering of this paper are organised as follows: Section 2 gives the preliminaries about Combinatorial testing (especially MFS-related), and basic definitions about Guaranteed code. Section 3 proposes three research questions that needs to be handled in this paper. Section 4 introduces the subjects on which our experiments are conducted on. Section 5 shows the results as well as the analysis. Section 6 discusses the related works. Section 7 concludes this paper.

## 2. PRELIMINARY AND FORMAL MODEL

This section presents some formal descriptions about MFS and guaranteed code.

### 2.1 Basic definitions about CT

Assume that the Software Under Test (SUT) is influenced by  $n$  parameters, and each parameter  $p_i$  can take the values from the finite set  $V_i$ ,  $|V_i| = a_i$  ( $i = 1, 2, \dots, n$ ). Then we give some basic definitions which are related to failure-inducing interactions in CT.

*Definition 1.* A test case of the SUT is a tuple of  $n$  values, one for each parameter of the SUT. It is denoted as  $(v_1, v_2, \dots, v_n)$ , where  $v_1 \in V_1, v_2 \in V_2 \dots v_n \in V_n$ .

In practice, these parameters in the test case can represent many factors, such as input variables, run-time options, building options or various combination of them. We need to execute the SUT with these test cases to ensure the correctness of the behaviour of the SUT.

*Definition 2.* For the SUT, the  $n$ -tuple  $(-, v_{x_1}, \dots, v_{x_k}, \dots)$  is called a  $k$ -degree *schema* ( $0 < k \leq n$ ) when some  $k$  parameters have fixed values and other irrelevant parameters are represented as "-".

For example, the tuple  $(-, 4, 4, -)$  is a 2-degree schema. In effect a test case itself is a  $k$ -degree *schema*, when  $k = n$ . Furthermore, if a test case contains a *schema*, i.e., every fixed value in the schema is in this test case, we say this test case *contains* the *schema*.

Note that the schema is a formal description of the interaction between parameter values we discussed before.

*Definition 3.* Let  $c_l$  be a  $l$ -degree schema,  $c_m$  be an  $m$ -degree schema in SUT and  $l < m$ . If all the fixed parameter values in  $c_l$  are also in  $c_m$ , then  $c_m$  *subsumes*  $c_l$ . In this case we can also say that  $c_l$  is a *sub-schema* of  $c_m$  and  $c_m$  is a *super-schema* of  $c_l$ , which can be denoted as  $c_l \prec c_m$ .

For example, the 2-degree schema  $(-, 4, 4, -)$  is a sub-schema of the 3-degree schema  $(-, 4, 4, 5)$ , that is,  $(-, 4, 4, -) \prec (-, 4, 4, 5)$ .

*Definition 4.* If all test cases that contain a schema, say  $c$ , trigger a particular fault, say  $F$ , then we call this schema  $c$  the *faulty schema* for  $F$ . Additionally, if none of sub-schema of  $c$  is the *faulty schema* for  $F$ , we then call the schema  $c$  the *minimal failure-causing schema (MFS)* [23] for  $F$ .

Note that MFS is identical to the failure-inducing interaction discussed previously. In this paper, the terms *failure-inducing interactions* and *MFS* are used interchangeably.

### 2.2 An example of MFS

Consider an program like Fig 1, which contains four integer parameters:  $a, b, c$ , and  $d$ . With respect to applying combinatorial testing, we need to first build a input model for this program. For simplicity, let  $a, b, c$  and  $d$  can take on the following values:  $v_a = \{1, 2\}, v_b = \{0, 1\}, v_c = \{1, 2\}$ , and  $v_d = \{0, 1\}$ , respectively. Assume that through testing of this program, we find a test case, e.g.,  $(a = 1, b = 0, c = 1, d = 1)$ , will trigger an arithmetic exception. Then we will describe how CT identify the MFS in this test case.

A typical MFS identification process is shown in Table 1. In this table, test case  $t$  represents the failing test case we aforementioned. To identify the MFS, we mutate one factor of  $t$  one time to generate new test cases:  $t_1 - t_4$ . It turns out that test case  $t_1$  passed, which indicates that this test case break the MFS in the original test case  $t$ . So  $(1, -, -, -)$  should be a failure-causing factor. Similarly, we can also conclude that  $(-, -, 1, -)$  is another failure-inducing factor because of the pass of  $t_3$ . Considering that all the other test cases failed, which means no other failure-inducing factors were broken, therefore, the MFS in  $t$  is  $(1, -, 1, -)$ .

This identification process mutate one factor of the original test case at a time to generate extra test cases. Then according to the outcome of the test cases execution result, it identifies the MFS of the original failing test cases. It is called the OFOT method [23], which is a well-known MFS identification method in CT.

### 2.3 Formal description about guaranteed code

```

1  public float foo(int a, int b, int c, int d){
2      int x, y, z;
3      x = 4;
4      if(a < 2){
5          y = 3;
6          if(c < 2){
7              z = Math.sqrt(y - x);
8              return z / y;
9          }
10         else
11             return x + y;
12     }
13     else{
14         y = 2;
15         if(b < 1){
16             if(d < 1)
17                 return y / (x + y);
18             else
19                 return x / (x + y);
20         }
21         else
22             return y * x;
23     }
24 }

```

Figure 1: A simple program *foo* with four input parameters

Table 1: OFOT example					
	Original test case				Outcome
$t$	1	0	1	1	Fail
Additional test cases					
$t_1$	2	0	1	1	Pass
$t_2$	1	1	1	1	Fail
$t_3$	1	0	2	1	Pass
$t_4$	1	0	1	0	Fail

Although MFS are the failure-inducing parts of the failing test input for the SUT, however, we still cannot directly utilize it for fault localization, because they do not provide any code-level information. For this, we need to build the relationship between input schemas and program entities.

Let  $\mathcal{P}$  be a path in the SUT. Then let  $Cov(\mathcal{P}) = \langle s_1, s_2, s_3, \dots, s_n \rangle$  be the program entities that covered by path  $\mathcal{P}$ . A program entity can be a statement, block, edges, etc. In this paper, we mainly focus on statements; note that other types of structure coverage can also be applied [30]. Let  $Pcon(\mathcal{P}) = \langle pc_1, pc_2, pc_3, \dots, pc_k \rangle$  be the path conditions that are encountered by path  $\mathcal{P}$ . As an example, consider the program list in Fig 1. It is easy to find that it has five possible paths, which forms the execution tree in Fig 2.

In this tree, a rhombus node represents a path condition, and a rectangle represents the statement. Note that those consecutive statements are included in one rectangle. From this figure, we can list the paths, their covered entities, and their path conditions, which are explicitly shown in Table 2.

Next we give some important definitions that are related to the guaranteed code.

**Definition 5.** For a schema  $c$ , and a path  $\mathcal{P}$ , if all the path

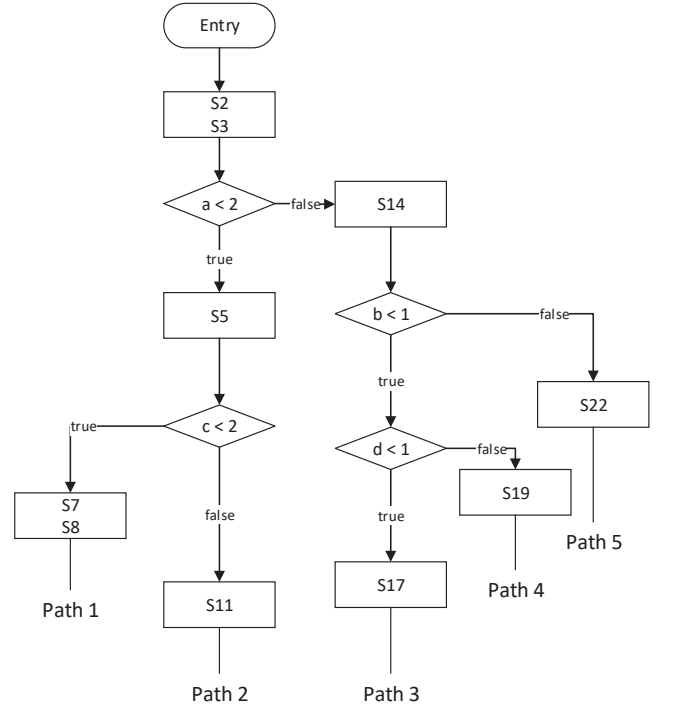


Figure 2: The execution tree of program *foo*

Table 2: Paths, covered entities, and conditions of *foo*

ID	Covered Entities	Path Conditions
1	S2, S3, S5, S7, S8	$a < 2, c < 2$
2	S2, S3, S5, S11	$a < 2, \neg(c < 2)$
3	S2, S3, S14, S17	$\neg(a < 2), b < 1, d < 1$
4	S2, S3, S14, S19	$\neg(a < 2), b < 1, \neg(d < 1)$
5	S2, S3, S14, S22	$\neg(a < 2), \neg(b < 1)$

conditions in this path can be satisfied when given an input that contains this schema, we call  $\mathcal{P}$  a *Consistent path* of schema  $c$ , which can be denoted as  $SAT(Pcon(\mathcal{P}) \wedge c)$ .

In fact, to judge whether a path is a *consistent path* of one schema, is to find whether exist an input that contains this schema and follows all the path conditions of this path. Taking the program *foo* for example, Path 1 is a consistent path of schema  $(1, -, -, -)$ , because  $(a < 2 \wedge c < 2 \wedge a = 1) = true$  can be solved, e.g., input  $(1, 1, 1, 1)$  is such a result.

Based on this definition, we use the notation  $c^\#$  to represent the set of all the consistent paths of schema  $c$ . Then we give the formal definition of weak guaranteed of one schema.

**Definition 6.** For a schema  $c$ , the weak guaranteed code of  $c$ , i.e.,  $wg(c)$ , is the intersection of program entities covered by its consistent paths. Formally,  $wg(c) = \bigcap_{\mathcal{P} \in c^\#} Cov(\mathcal{P})$ .

To better illustrate the weak guaranteed code of schemas, we list some schemas in the program *foo*, their consistent paths and weak guaranteed code in Table 3. For example, for schema  $(1, -, -, -)$ , its consistent paths are Path 1 and Path 2, because we can find inputs that contain this schema

and satisfy all the path conditions of them. For example, (1, 1, 1) can go through Path 1 and (1, 1, 2, 1) can go through Path 2. These two inputs both contain the schema of (1, -, -, -). Hence, the weak guaranteed code of (1, -, -, -) are the intersection of the entities covered by these two paths, i.e., S2, S3, and S5.

Note that there is one special schema (-, -, -, -), listed in this Table, it is the sub-schemas of all the other schemas of program *foo*. What's more, it is consistent with all the paths of program *foo*, and hence its weak guaranteed code are S2 and S3, which are the common code of all the paths.

**Table 3: Weak guaranteed code of some schemas of *foo***

Schema	$c^\#$	$wg(c)$
(1, -, -, -)	Path 1, 2	S2, S3, S5
(1, -, 1, -)	Path 1	S2, S3, S5, S7, S8
(1, -, 2, -)	Path 2	S2, S3, S5, S11
(2, -, -, -)	Path 3, 4, 5	S2, S3, S14
(2, 0, -, -)	Path 3, 4	S2, S3, S14
(2, 0, -, 1)	Path 4	S2, S3, S14, S19
(2, 1, -, -)	Path 5	S2, S3, S14, S22
(-, -, 1, -)	Path 1, 3, 4, 5	S2, S3
(-, -, -, -)	Path 1, 2, 3, 4, 5	S2, S3

Above all, we can observe that, the weak guaranteed code<sup>1</sup> of one schema, essentially, is the maximal common code that is expected to be executed by any path which consists with this schema. In other word, this schema guarantees some code to be executed.

Although the weak guaranteed code always follows with the corresponding schema, it does not necessarily indicates that the schema directly controls the weak guaranteed code. This is because, for one schema, there may exists some other schemas that may always appears with this schema. Hence, it may result in that some code are the weak guaranteed code of one schema, but these code may be actually controlled by other schemas. It is easy to learn that these “accompanying” schemas are the sub-schemas of one schema.

For example, with respect to program *foo*, schema (1, -, 1, -) always appears with schema (1, -, -, -), hence, the weak guaranteed code of schema (1, -, 1, -), i.e., (S2, S3, S5, S7, S8) must always contain the weak guaranteed code of schema (1, -, -, -), i.e., (S2, S3, S5). More formally, we can conclude the relationship of the weak guaranteed code between subsuming schemas as the following proposition.

**PROPOSITION 1.** *Given schemas  $s_1, s_2$ , where  $s_1 \prec s_2$ , then  $wg(s_1) \subseteq wg(s_2)$ .*

It is easy to prove this proposition and hence we omit it here. Based on this proposition, to understand which code are under the direct control of some schemas, we need to remove the influence from their subschemas.

**Definition 7.** For a schema  $c$ , the strong guaranteed code of  $c$ , i.e.,  $sg(c)$ , is the weak guaranteed code of  $c$  with removing those weak guaranteed code of its subschemas. Formally,  $sg(c) = wg(c) \setminus \{\bigcup_{c' \prec c} wg(c')\}$ .

<sup>1</sup>The definition of weak guaranteed code is similar to the *guarantee coverage* defined in [26]. The difference is that in this paper we do not distinguish the input and value symbolic; instead, they are handled in an unified way.

Strong guaranteed code are the program entities that under the direct control of the corresponding schema, and hence it reflects how the schema influence on the behaviour of program. As an example, considering the schemas which we show their weak guaranteed code in Table 3. We list the weak guaranteed code of all their subschemas, and the strong guaranteed code of themselves in Table 4. For example, for schema (1, -, 1, -), its weak guaranteed code are (S2, S3, S5, S7, S8), while the weak guaranteed code of all its subschemas are: (S2, S3, S5), (S2, S3), (S2, S3) for schemas (1, -, -, -), (-, -, 1, -) and (-, -, -, -), respectively. Hence, the union of the weak guaranteed code of its subschemas are (S2, S3, S5), and the strong guaranteed code of (1, -, 1, -) are (S7, S8).

**Table 4: Strong guaranteed code of some schemas of *foo***

Schema	$wg$ of subschemas	$sg(c)$
(1, -, -, -)	S2, S3	S5
(1, -, 1, -)	S2, S3, S5	S7, S8
(1, -, 2, -)	S2, S3, S5	S11
(2, -, -, -)	S2, S3	S14
(2, 0, -, -)	S2, S3, S14	-
(2, 0, -, 1)	S2, S3, S14	S19
(2, 1, -, -)	S2, S3, S14	S22
(-, -, 1, -)	S2, S3	-
(-, -, -, -)	-	S2, S3

In this paper, we focus on the guaranteed code of MFS, instead of all the other schemas in the test case. With respect to the example in Fig 1, it is easily to find that the weak guaranteed code and strong guaranteed code of the MFS (1, -, 1, -) are, (S2, S3, S5, S7, S8), and (S7, S8), respectively. They are correlated to the faulty code, i.e., S7, where an exception of taking square root of a negative number will be triggered. This example, especially for the strong guaranteed code, implies that MFS is closely related to the faulty code. However, in the real situation, we do not know whether the conclusion can still hold. As we want to know whether to detect and identify the MFS is really helpful in the code-based diagnosis, hence, we need to do more empirical studies to verify the conclusion.

### 3. RESEARCH QUESTIONS

To comprehensively study the correlativeness between the MFS and faulty code, we propose three research questions in the following.

#### 3.1 The correlation between MFS and faulty code

As discussed before, it is important to study the relationship between MFS and faulty code. Although the simple example in Section 2.3 shows there exists a strong correlation between the guaranteed code, it is necessary to verify it on more real program subjects. Hence, it motivates our first research question, which is also the key research question, that is :

**Q1: Is the guaranteed code of the MFS correlated to the faulty code in real program subjects?**

To answer this question, we need to conduct studies on a batch of program subjects. In these studies, the MFS, their weak and strong guaranteed code, and the fault code

should be investigated and analyzed. Another point that needs to note is how we evaluate the correlation between the guaranteed code and faulty code. Inspired by the ranking formula that is used in fault localization [22, 1], in this paper, we adopts the following formula to compute the relevance between two codes, i.e.,

$$Correlation(A, B) = \frac{Common(A, B)}{Common(A, B) + Different(A, B)} \quad (1)$$

Here,  $Common(A, B)$  means the number of common statements between two code blocks A and B, and  $Different(A, B)$  means the number of different statements contained in either A or B. Based on this formula, more number of common code indicates a closer correlation, while more number of different code, on the contrary, indicates a more distinct or irrelative relationship.

### 3.2 The influence of the degree of MFS

The second research question is raised from the degree of the MFS. Based on the definition of guaranteed code, it is easy to learn that, with the increase of the degree of the MFS, the number of lines of weak guaranteed code also increases. This because the MFS with less degree may have more compatible paths that can contain this schema. Take the example in Section 2, 1-degree schema (1, -, -, -) have two compatible paths (Path 1, 2), while 2-degree (1, -, 1, -) only have one path (Path 1). As result, to obtain the weak guaranteed code, the MFS with less degree need to conduct more intersection between the lines of code of paths, and hence it may decrease the number of lines of code for the weak guaranteed code. However, more number of lines may have a negative effect on the correlation between guaranteed code with real faulty code (Considering that there may exists much more different lines of code between them).

Hence, the second research question is:

**Q2: To what extent does the degree of MFS affect the correlation between it and faulty code?**

To answer question, we need to classify the MFS into different groups according to their degrees, and then observe whether there exists significant differences among these groups with respect to the correlation between their guaranteed code with real faulty code.

### 3.3 The influence of different types of faults

According to the nature of the defect, e.g., missing construct, or wrong construct, faults can be categorized into many types. The influence of different type of fault varies widely; as a consequence, the MFS and corresponding guaranteed code of different type of fault may also varies. Hence, focusing on single type of fault may significantly impact on the generality of our study about the correlation between MFS and faulty code, which motivates the last research question:

**Q3: To which extent does different type of fault influence on the correlation between MFS and faulty code ?**

To answer this question, we need first give the the characterization and classification of software faults. According to the study [7], we decide to conduct experiments on the fault types listed in Table 5. These faults are classified according to the three ODC [2] classes, i.e., Assignment, Checking, and Interface faults. Note that we have omit two more types of faults which are originally listed in [7], as those

two types of faults are related to the design or requirement faults. For each of these faults, they are refined into three sub-types, which are based on the nature of the defects, i.e., the fault caused by missing construct, wrong construct, or superfluous part. The column “Example” in Table 5 shows some samples of the corresponding type of fault.

Based on the categories given in Table 5, we next study these faults and their corresponding MFS to observe whether there exists any distinction among different types.

## 4. SUBJECT PROGRAMS

We have prepared 7 program subjects for our experiment, which are belong to the Siemens set [11]. We obtain these subjects, as well as their program specifications, from the Software Infrastructure Repository (SIR) [6]. These subjects have been wildly used in the studies of fault detection and localization [34, 13, 27, 8]. Table 6 shows the specific program subjects, number of lines of code, the brief introduction, and the number faulty versions for each subject.

**Table 6: Characteristics of subject programs**

Subject	Loc	Description	Versions
printtokens	472	lexical analyzers	7
printtokens2	399	-	10
replace	512	pattern substitution	32
schedule	292	priority scheduler	9
schedule2	301	-	10
tcas	141	altitude separation	41
totinfo	440	information measure	23

### 4.1 Fault characteristics

For each program subject in our experiment, there are several faulty versions come with the correct version. These faults vary in different types and locations. With respect to research question 3, we need to classify these faults into 3 main types and each of them has 3-sub types. Therefore, we checked the source file for each version of the specific programs, compared them with the correct version, and then classified the faults according to the aforementioned types. Table 7 shows the fault type distribution for each subject.

Note that there may exist multiple types of faults in one subject. For example, the fault version 1 of subject *printtokens* has two types of faults, which are belong to missing code of variable assignment and extraneous code of variable assignment, respectively. As a result, the total number of the faulty types of the programs with all the versions is large than the number of faulty versions of the programs.

### 4.2 Input modeling

To test each subject program, we need to model their inputs space firstly. Specifically, We followed the instructions described in [9] to build the corresponding model for each subject, which include defining the key parameters and the values of each parameter for the programs, obtaining the possible constraints among these parameters. For example, for the program *replace* in the Siemens suite, we may consider there parameters: pattern, substitute and input text. Each of them have different type of value, e.g., the pattern may be a common character, digit,

**Table 5: Fault types according to defect nature and ODC class**

ODC class	Nature	Example
Assignment	missing	A variable was not assigned a value, a variable was not initialized, etc.
	wrong	A wrong value (or expression result, etc) was assigned to a variable
	extraneous	A variable should not have been subject of an assignment
Checking	missing	An "if" construct is missing, part of a logical condition is missing, etc.
	wrong	Wrong logical expression used in a condition in branch and loop construct.
	extraneous	An "if" construct is superfluous and should not be present
Interface	missing	A parameter in a function call was missing; incomplete expression as used as parameter
	wrong	Wrong information was passed to a function call (value, expression result, etc.)
	extraneous	Surplus data is passed to a function

**Table 7: Fault type distribution of subject programs**

Subjects	Assignment			Checking			Interface		
	missing	wrong	extraneous	missing	wrong	extraneous	missing	wrong	extraneous
printtokens	2	2	1	0	1	1	1	0	0
printtokens2	1	2	0	2	1	3	0	1	0
replace	1	9	1	10	8	4	0	3	0
schedule	1	2	0	1	4	1	0	0	0
schedule2	1	1	0	5	0	2	0	1	0
tcas	0	40	2	1	1	0	0	0	0
totinfo	0	9	0	1	8	3	1	1	0

or line matching signal, etc. Table 8 listed these models, the number of test cases, and the number of constraints of each subject. The model is presented in the abbreviated form  $\#values^{\#num\ of\ param} \times \dots$ . For example, in Table 8, schedule subject,  $2^9 \times 3^2$  means that there are 9 parameters with 2 possible values, and 2 parameters with 2 possible values.

**Table 8: Inputs modeling of subject programs**

Subject	Model	Tests	Cons
printtokens	$2^5 \times 3^1 \times 4^2 \times 5^2$	38400	8
printtokens2	$2^7 \times 3^2 \times 4^1$	4608	8
replace	$2^{11}$	2048	36
schedule	$2^9 \times 3^2$	4608	0
schedule2	$2^9 \times 3^2$	4608	0
tcas	$2^7 \times 3^2 \times 4^1 \times 10^2$	460860	0
totinfo	$3^3 \times 5^2 \times 6^1$	4050	0

## 5. RESULTS

In this section, we display and analyse the results of our experiments, based on which we will answer the three research questions posed in Section 3.

### 5.1 The characteristics of the MFS

We firstly give the characteristics of the MFS. These MFS are identified by the tool *TRT*[25]. Table 9 shows the distribution of the MFS with various degree for each subject.

We can learn that the degree of most MFS is less than 6, which is in accordance with the observation in the study [14]. One exception is *tcas*, we found that most of them are greater than 6 (up to 12). Another observation from Table 9 is that there may exists multiple MFS for a single faulty version. Hence, the total number of MFS is far greater than the number of faulty versions.

Next, we will show the average number of lines of the guaranteed code (weak and strong) for the MFS with different degrees in Table 10.

One observation of Table 10 is that the number of lines of weak guaranteed code is far more than that of strong guaranteed code. It is easy to understand, as according to the definition, we need to remove many lines of code from weak guaranteed to obtain the strong guaranteed.

### 5.2 The correlation between MFS and real faulty code

We first utilized the GNU tool *diff* to compare the correct and faulty programs. We mark the differences between them as the real faulty code, i.e., the line numbers of different code in the faulty versions. Then we used these real faulty code to evaluate the accurateness of the guaranteed code (weak and strong) of those identified MFS according to the Formula 1 in Section 3. The results are listed in Fig 3. Each sub-figure of Fig 3 shows the correlations between real faulty code and the guaranteed code (Weak and Strong) for one program subject.

From this figure, we can first observe that for different program subjects, the correlation between guaranteed code and real faulty code varies. For example, with respect to weak guaranteed code, its correlation with real faulty code is around 0.02 for *tcas*, while it reaches about 0.04 for *totinfo*. With respect to strong guaranteed code, the result is ranged from 0.05 to 0.25 for *totinfo*, while for *tcas*, this result is 0 for all the MFS.

Second, the correlation of strong guaranteed code is either greater than that of weak guaranteed code, or alternatively, is equal to 0 (which indicates that it has non correlation with the real faulty code). For example, it reaches 0.25 for *totinfo*, which means that we only need to inspect at most four program lines on average to obtain one real faulty code. This result is relatively effective for fault localization, and is far greater than the correlation of its weak guaranteed code,

**Table 9: The degree of the mfs for the subject programs**

Subjects	The number of MFS with specific degree												Total
	1	2	3	4	5	6	7	8	9	10	11	12	
printtokens	0	0	8	0	0	0	0	0	0	0	0	0	8
printtokens2	0	11	8	0	0	0	0	0	0	0	0	0	19
replace	0	11	8	9	120	133	472	1135	2883	0	0	0	4671
schedule	0	14	20	10	0	0	0	0	0	0	0	0	44
schedule2	0	0	0	0	132	187	523	1139	0	0	0	0	1981
tcas	0	0	0	0	0	76	465	1130	2882	3057	1274	132	9076
totinfo	0	0	0	8	110	114	0	0	0	0	0	0	232

**Table 10: The average number of lines of guaranteed code of the mfs**

	Subjects	The average number of lines code of the MFS with specific degree											
		1	2	3	4	5	6	7	8	9	10	11	12
Weak	printtokens	-	-	123.9	-	-	-	-	-	-	-	-	-
	printtokens2	-	116.5	123.8	-	-	-	-	-	-	-	-	-
	replace	-	116.6	123.9	46.0	58.3	69.9	53.4	51.2	50.6	-	-	-
	schedule	-	108.3	96.4	49.2	-	-	-	-	-	-	-	-
	schedule2	-	-	-	-	60.6	73.7	56.3	51.3	-	-	-	-
	tcas	-	-	-	-	-	51.1	51.5	50.6	50.6	50.9	51.5	52.5
	totinfo	-	-	-	29.5	47.7	52.4	-	-	-	-	-	-
Strong	printtokens	-	-	-	0.0	-	-	-	-	-	-	-	-
	printtokens2	-	5.1	0.0	-	-	-	-	-	-	-	-	-
	replace	-	5.1	0.0	7.1	26.3	5.5	0.0	0.1	0.2	-	-	-
	schedule	-	4.0	0.0	6.4	-	-	-	-	-	-	-	-
	schedule2	-	-	-	-	23.9	3.9	0.0	0.1	-	-	-	-
	tcas	-	-	-	-	-	0.0	0.0	0.1	0.2	0.2	0.1	0.0
	totinfo	-	-	-	8.0	28.6	6.5	-	-	-	-	-	-

which is about 0.02 to 0.04. While for subjects *schedule*, *schedule2*, and *tcas*, all the results for the correlation of strong guaranteed code is 0. This observation implies that, when compared to the weak guaranteed code, the strong guaranteed code of the MFS may remove some program entities that are exactly the real faulty statements. As a result, the correlation between the strong guaranteed code and real faulty code may be 0.

The last, and the most important observation of this figure is that, for most subjects, the correlation between guaranteed code and real faulty code is low to moderate (Most of them are around 0.01, 0.02 and even 0). It implies that the MFS cannot be directly used in most cases with respect to code-level fault localization. By inspecting the programs in our subjects, we conclude two reasons that cause the negativness results:

1) The guaranteed code is large (containing too many program entities that are non-faulty). We believe the cause of this result is the *Coincidental Correctness* problem [21], i.e., the fault is triggered by a relative small number (1 to 6) of parameter values, but it may not be observed because it cannot propagate to the output. As a result, there exist many restrictions that make this fault observable, and hence the MFS contain many factors that are not related to the fault itself but related to how to make the fault observable.

2) Multiple faults in the program. This will result in that, for one MFS, its consistent paths may actually trigger different faults from each other. Hence, the intersection of the code of its consistent paths, i.e., the weak guaranteed code, may not contain the code of any fault (Note that it happens in the condition that there is no common code

between different faults).

Above all, the answer for the research question 1 is :

**The correlation between MFS and real faulty code is low to moderate, and some factors, i.e., coincidental correctness, and multiple faults, may negatively affect the result.**

### 5.3 MFS degree

The second experiment is to evaluate whether there exists any difference, with respect to the correlation between real faulty code and guaranteed code, in the MFS with different degrees. For this purpose, we classified the results in the first experiment according to 3 types of degrees, which are the MFS with degrees of 1 to 3, with degrees of 4 to 6, and with degrees more than 6, respectively. Additionally, to determine whether there exists significant difference between those three types, we conduct t-test on each pair of them. The results are shown in Table 11. Note that a p-value smaller than 0.05 indicates that the performance of these two approaches are statistically different with each other at 95% confidence. We can learn that most results are statistically significant.

According to Fig 4, it is clear that the MFS with degrees ranging from 4 to 6 have the highest correlation with real faulty code, following by the MFS with other two types of degrees. This result applies to both weak guaranteed code and strong guaranteed code. More specifically, when compared to the MFS with degree ranged from 1 to 3 and MFS with degree more than 6, there is a decrease about 15% in the correlation of weak guaranteed code, and 100% decrease in the correlation of strong guaranteed code

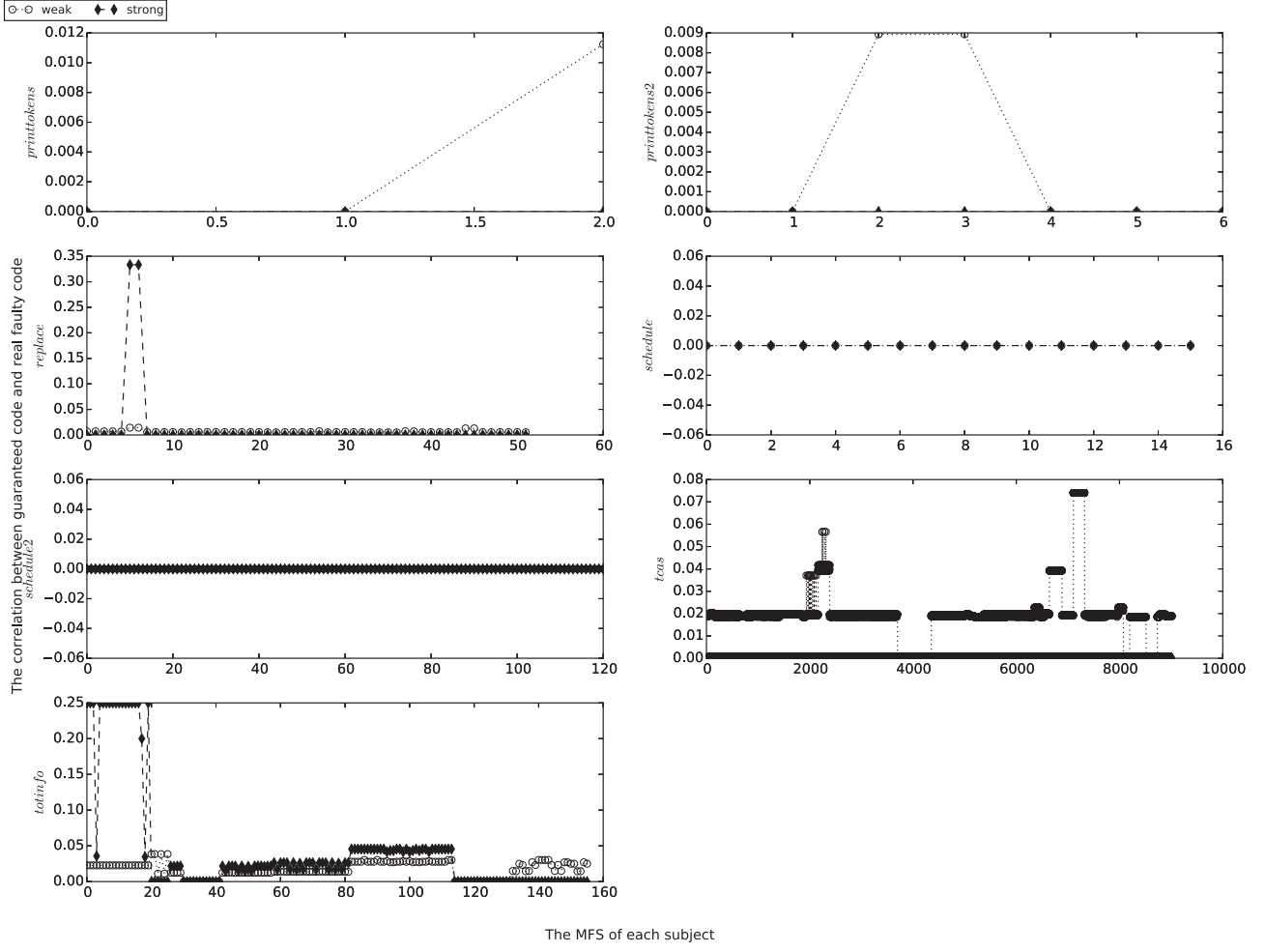


Figure 3: The correlation between guaranteed code with real faulty code for each subject

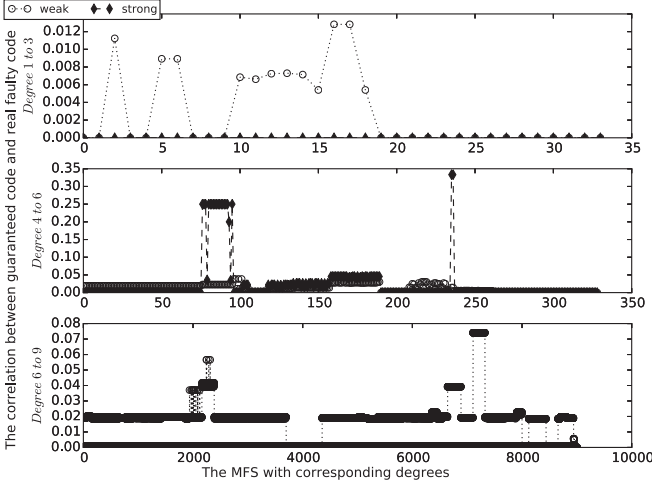


Figure 4: The correlation between guaranteed code with real faulty code for different degree of MFS

(Note that the correlation is 0 for both other two types), respectively.

There are two implications that we can learn from this observation:

1) If the MFS has a high degree, it seems that the useful information that is related to the real fault makes up only a small proportion of its guaranteed code. An intuitive explanation for this result is that a MFS with high degree may contain some *noisy* factors, i.e., these parameter values may not be related to the fault, but always appears with it. Additionally, the guaranteed code of MFS with high degree may contain a large number code lines (See Table 10), which may have a negative effect on the score of correlation between it with real faulty code (The latter contain only a small number of lines in our program subjects).

2) On the other hand, if the MFS has a low degree, its guaranteed code may not contain the real faulty code. This is because the MFS with low degree may have many consistent paths. When these consistent paths diff greatly from each other (For example, when there are different faults in these paths), the intersection of their covered lines probably do not contain the faulty code.

Hence, the answer for the research question 2 is :



The MFS with moderate degrees (4 to 6) have the highest correlation with real faulty code, when compared to the MFS with other degrees.

**Table 11: The t-test result for different MFS degree**

weak	P(x, y) <sup>1</sup>	P(x, z)	P(y, z)
	2.0E-17	6.3E-22	2.7E-23
Strong	P(x, y)	P(x, z)	P(y, z)
	5.0E-11	NaN	4.9E-11

<sup>1</sup>  $p(m, n)$  denotes the p-value of a test of significance for the probability that the results of two metrics, i.e., m and n, are equal, where m, n can be x = the results of MFS with degree 1 to 3, y = MFS with degree 4 to 6, and z = MFS with degree more than 6.

## 5.4 Fault types

In the last experiment, we investigate whether the correlation between faulty code and guaranteed code is affected by various fault types. Therefore, we separated the results in the first experiment according to different ODC classes and defect classes (include missing code type, wrong code type, and extraneous code type). The results are listed in Figure 5, where the left part shows the results of three ODC classes, and the right part shows that of three defect classes. Similar to the second experiment, to determine whether there exists significant difference between those metrics, we conduct t-test on each pair of the metrics in ODC, and defect classes, respectively. The results are shown in Table 12.

**Table 12: The t-test result for different fault types**

weak	ODC	P(a, c) <sup>1</sup>	P(a, i)	P(c, i)
		0.49	4.3E-6	1.3E-5
	Defect	P(m, w)	P(m, e)	P(w, e)
Strong	ODC	1.3E-8	-	-
		P(a, c)	P(a, i)	P(c, i)
	Defect	0.15	7.6E-7	2.4E-7
		P(m, w)	P(m, e)	P(w, e)
		0.26	-	-

<sup>1</sup> a = assignment, c = checking, i = interface, m= missing code, w = wrong code, and e = extraneous code.

According to Fig 5, we can find that the results vary among different metrics. Specifically, for the *ODC* class, it shows that the *Interface* type has the highest correlation on the strong guaranteed code (most of them are around 0.25), following by type *Checking* (about 0.02 to 0.04), and *Assignment* (around 0.02). Note that although there are some points of *Checking* and *Assignment* reach to 0.35, these points makes up a tiny proportion of all the MFS with that degree. The weak guaranteed code has a similar result for these three metrics. We believe the cause of this is that, the *Interface* type fault is simple, as it only relates to one or two invocation statements in the program, and hence, it is easy to be distinguished and isolated from other program statements. While for the *Assignment* and *Checking* types, these faults may combine other other statements (Logic

or assignment statements), which results in that they are harder to be distinguished from other statements.

With respect to the defect classes, we can observe that the *Wrong code* type has the highest correlation on both strongly (0 to 0.045) and weakly guaranteed code (0.01 to 0.04). The *Missing code* type followed (Note that some correlation of *Missing code* can reach 0.25, but these faults are all belong to *Interface* class, all the others are around 0.01). Here we omit the discussion of *extraneous fault* type because the number of faults that belong to this type is too small to produce a statistically significant conclusion.

We believe the reason why because type *Wrong code* has a higher correlation is because that, for *Wrong code* type, there exists specific lines of code that can trigger the failure. While for type *Missing code*, although we can compare the faulty version with correct version to obtain the location where the correct code is missing, however, there may exist many other locations where the correct code can be inserted, such that it can also be an equivalent version of the original correct program. As a result, the guaranteed code of MFS with type *Missing code* has very limited correlation with the real fault.

Hence, the answer for the research question 3 is :

**For different types of faults, the correlation between MFS and faulty code varies; More specifically, the faults that are belong to *Interface* class and *Wrong Code* type have a higher correlation than that of other types of faults.**

## 5.5 Threats to Validity

There are several threats to validity in our empirical studies.

First, our experiments are based on only 7 open-source software, of which the program scale is small or medium sized. More subject programs are desired to make the results more general.

Second, we only applied one inputs modeling [9] for our program subjects. Other inputs modeling may result in different results, e.g., the overall coverage of the programs with these models, and the characteristics of the MFS, may be different with different models.

At last, we should balance the number of different types of faults. In fact, based on Table 7, there is none extraneous code type in the odc class *Interface*. We should introduce more faults of such type to avoid deviation.

## 6. RELATED WORKS

There are several works that are related to our study, and they can be categorised into two types:

The first type of methods studies the MFS in CT. Nie [23] firstly studied the properties of the MFS in SUT, based on which additional test cases were generated to identify them. Other approaches to identify the MFS in SUT include building a tree model [33], adaptively generating additional test cases according to the outcome of the last test case [36], ranking suspicious interactions based on some rules [10], and using graphic-based deduction [19], among others. These approaches can be partitioned into two categories [5] according to how the additional test cases are generated: *adaptive*—additional test cases are chosen based on the outcomes of the executed tests [29, 23, 10, 25, 36, 28, 32, 17] or *nonadaptive*—additional test cases are chosen independently and can be executed in parallel [33,

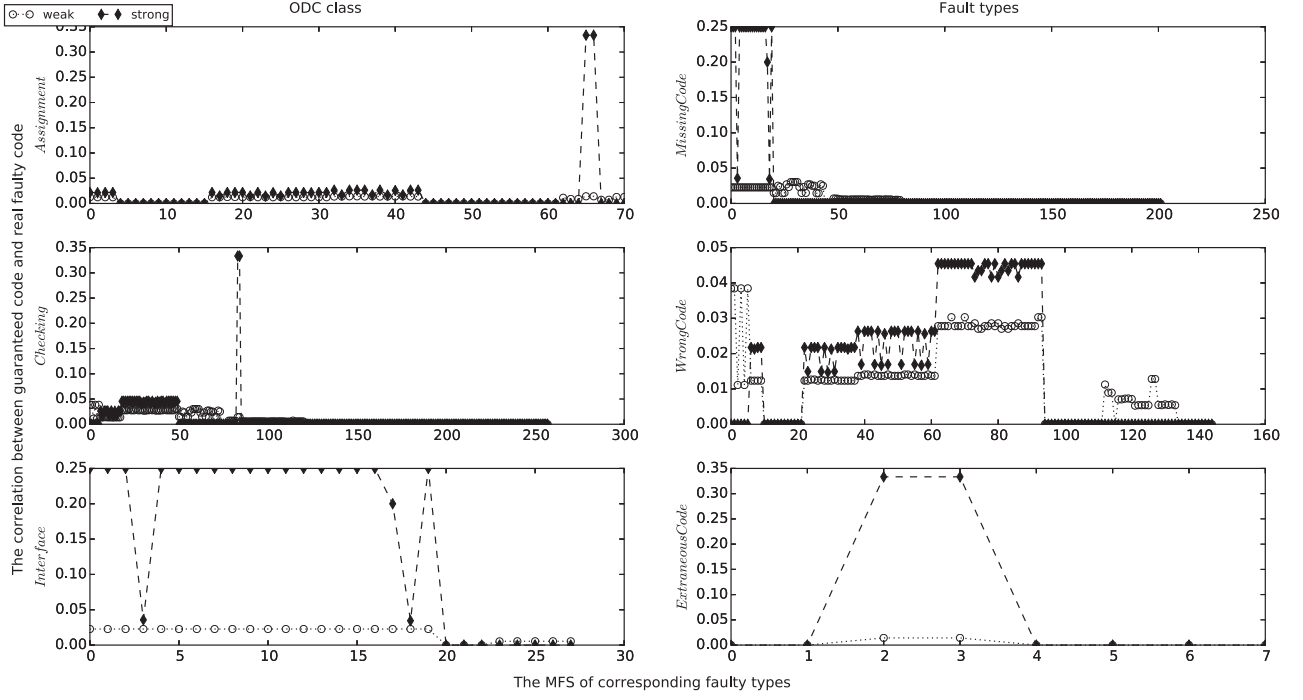


Figure 5: The correlation between guaranteed code with real faulty code for different fault type

5, 19, 20, 35]. All these works focused on input-level or configuration-level testing, i.e., their target is to identify the failure-inducing inputs or options, not the code in the program.

Besides these work, there exists two studies that focus on utilizing MFS to locate the faulty statement in the program [18, 8]. The first work [18] adopted OFOT [23] to calculate the MFS, and then compares the additional generated test cases with the original failing test cases to locate the failure-causing chains and corresponding statements. The second work [8] took the ranking method [10] to identify the MFS. Then it generated additional passing test cases based on the MFS, and ranked the suspicious statements by comparing the spectrum of the failing test case and passing test cases.

Our work differs from the first type of work in that we focus on both MFS and faulty code, not just any single object. Additionally, our work does not specifically describes how to identify MFS or how to conduct code-level fault localization, instead, we studied the correlation between them. We believe this is important and will give a guideline for how to utilize MFS for fault diagnosis.

The second type of work relates to the guaranteed code.

Elmatan [26] firstly used symbolic evaluation to analyse the configuration options in the program. In that work, they proposes the notion of guaranteed coverage, from which they find that the effective configuration space is relatively small when compared to the all the possible combinations of options. What's more, most coverage was accounted for by lower-strength interactions (2-way or 3-way), across all of line, basic block, edge, and conditions, but higher-strength interactions are needed for maximum coverage. Based on this work, Charles [30] proposed a test cases generation method, called iTree, which combines covering array and machine learning techniques to discover as more

new coverage interactions as possible. Their experiments shows that their method is more effective than traditional CT and random methods at generating test cases with more coverage of program statements. They further refined their method iTree [31] by re-constructing the composite interactions.

Our work differs from them in 1) we only focus on the guaranteed code of the MFS instead of all the possible interactions in the SUT. 2) we mainly studied the guaranteed code for fault localization instead of test case generation and program statements coverage.

## 7. CONCLUSIONS AND FUTURE WORKS

Combinatorial testing has been proven to be effective at detecting and identifying the failure-inducing interactions, i.e., MFS in the SUT. Most of their work focus on how to generate test cases and how to effectively identify the MFS. Few of them consider the relationship between MFS and code-level problem. In this paper, we studied the correlation between MFS and faulty code. Specifically, we obtain the weak and strong guaranteed code of MFS, and then compare them with faulty code to observe their relationship. Our empirical studies suggest that it do exists correlation between MFS and faulty code, but the extent to which of this relation depends on the faulty types and inputs modeling.

As a further work, we plan to use the conclusion in this paper to utilize MFS for code-level fault diagnosis. It is also appealing to study whether code-level fault diagnosis can optimal the inputs modeling of CT, according to the our 3rd empirical study. Besides them, we would like to conduct studies on more software programs with more types of faults to increase the generality of our work.

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