# Tutorial on LSM-trees

Database System Technology - CSC443H1

We would like to choose KV-store engine between BerkeleyDB vs. RocksDB for a workload consisting of 10% random gets and 90% random puts. BerkeleyDB uses a B-tree. RocksDB uses a leveled LSM-tree with size ratio T=10 and a buffer size P=2<sup>26</sup> entries. Assume N=2<sup>40</sup> and B=2<sup>7</sup>. All internal nodes fit in memory. We're using a disk drive. What's your choice?







(Edit: in the original version, we had P expressed in megabytes rather than entries. This was a mistake.)

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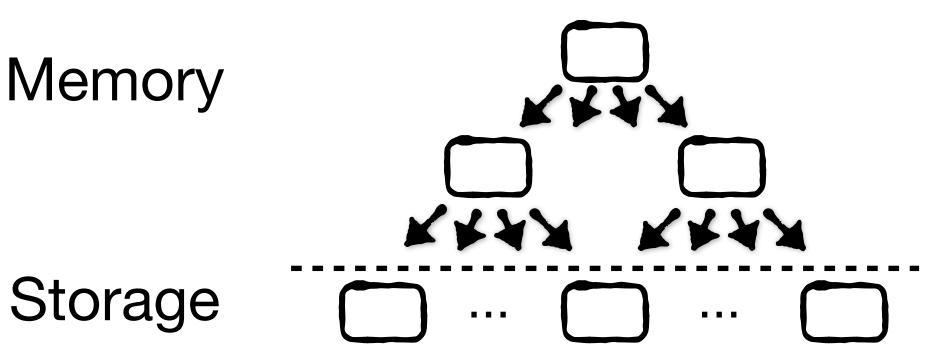
Each "put" costs 1 read & 1 write I/O. Each get costs 1 read I/O. B-tree:

As we are using disk, read/write I/O costs are symmetric.

Avg. #I/O per operation: 0.9 \* 2 + 0.1 \* 1 = 1.9



Memory



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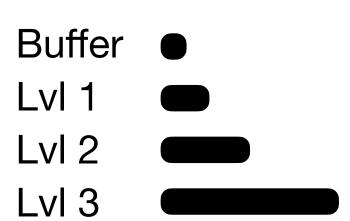
B-tree: Avg. #I/O per operation: 0.9 \* 2 + 0.1 \* 1 = 1.9

LSM-tree: A put costs  $(T/B) * log_T(N/P) = 0.32 read & write I/Os$ 

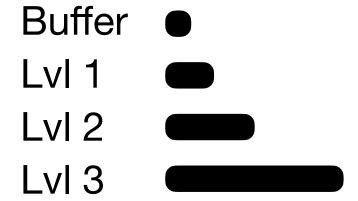
A get costs  $log_T(N/P) = 4.2$  read I/Os

0.32 \* 2 \* 0.9 + 4.2 \* 0.1 = 0.996 (Cheaper)





A friend tells you they switched from using a B-tree to a basic LSM-tree (with size ratio 2), yet write-amplification actually increased. There are N=2<sup>40</sup> entries and B=2<sup>5</sup>. Explain why this happened. Identify three tuning options for reducing write-amplification and the trade-off of each one of them.



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LSM-tree before tuning:  $(1/B) * log_2(N/B) = 1.1$  write I/O (Costlier than B-tree)

Buffer •
Lvl 1 •
Lvl 2 •
Lvl 3

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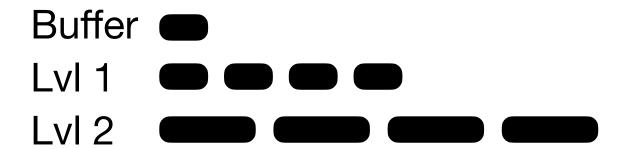
Let the buffer size be P entries. We can increase the buffer size to decrease the number of levels over which entries get merged. E.g., P=2^12 entries. Trade-off: requires more memory.

$$(1/B) * log_2(N/P) = 0.87$$

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We can further employ tiering, say, with size ratio T=10. Trade-off: reads get more expensive.

e.g., 
$$(1/B) * log_T(N/P) = 0.28$$

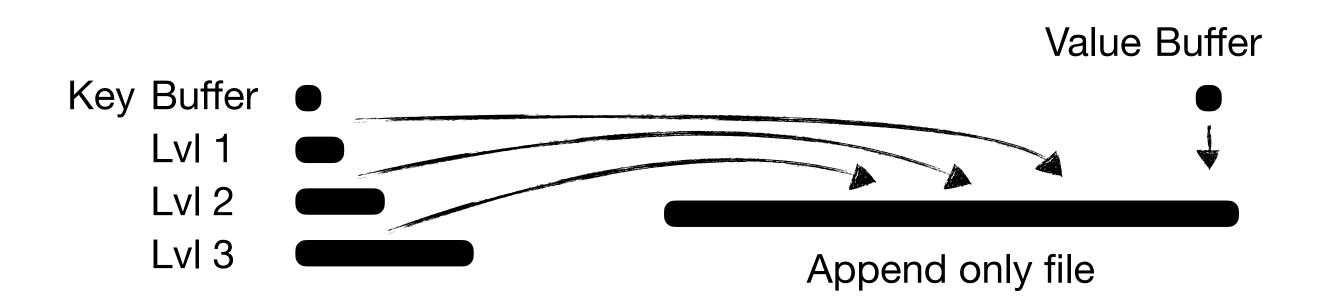


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Last approach: Make the LSM-tree unclustered. More in next question.

In a clustered LSM-trees, the values are stored within the LSM-tree alongside their keys. Another approach is an unclustered LSM-tree, which stores values in an append-only file and indexes them using key-pointer pairs from within the LSM-tree. What's the impact of clustered vs. unclustered LSM-trees on put/get/scan performance. Propose adjusted cost models assuming a basic LSM-tree (size ratio T=2). Hint: let K be the number of key-pointer pairs fitting into a page, and let B be the number of key-value pairs fitting in a page. Based on your models, identify cases where each of these approaches shines. Assume a 1 page buffer.



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 $L=log_T(N/B)$   $L=log_T(N/K)$ 

Clustered, put: O(L / B)).

Unclustered, put: O(1/B + L/K)).

Clustered, get: L. Unclustered, get: L + 1.

Clustered, scan: O(L+S/B)
Unclustered, scan: O(L+S)

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Clustered, scan: O(L+S/B)

Unclustered, scan: O(L+S)

For small entries, clustered is better.

For large entries, unclustered is better.

An LSM-tree can store multiple versions for a given entry, where only the most recent is considered up-to-date and the rest are obsolete. The obsolete entries are eventually discarded during compaction, but meanwhile they consume space. This phenomenon is known as space-amplification, defined as: (physical space taken up) / (logical data size). Quantify space-worst-case amplification for a leveled vs. tiered LSM-tree with size ratio T between any two adjacent levels. Assume all levels are totally full.



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