#### 1. Describe the main components of an evolutionary 14. Explain the main problems of genetic programprogram: population representation, generation, selection, combination, replacement, and stopping cri-

teria? Represent the population with a list of solutions, start with a randomly generated or systematically built population. Compare solutions to each other using a fitness function to evaluate them. Select the best ones to combine them into new solutions (crossover), mutate some to get a new random solution that can expand the search space.

n iterations, when no change in population for n iterations, resources (time), target fitness.

#### 2. Describe when to use genetic algorithms?

GAs are good, when there is a clear way to evaluate fitness of solutions (and we don't know the original function - if we would, you don't need GA for it), when we have a big space to search and when we can find a good representation of genes (agents). For example we can use them with TSP where a fitness function is the distance of the traversed path.

#### 3. Describe the strengths and weaknesses of evolutionary programs.

Strengths: robust, adaptable and general, requires only fitness function and representation of genes

Weaknesses: can get stuck in local extreme, can take a long time to converge to solution, time complexity rises fast with bigger population

#### 4. Describe the main characteristics of genetic algorithms (GA) and genetic programming (GP).

GA is based on evolution.  $\rightarrow$  I think the same answer as in 1. Applies here

GP instead of representing solutions in list/objects, represent them with tree structures. Crossover: exchange subtree, mutation: random change in trees. Variable length encoding, more flexible, often grow in complexity

#### 5. Describe terms from evolutionary computation such as population variability, fitness function, coevolution

Fitness function: is a function that takes a solution as input and evaluates it, to see how "good" the solution is.

Population variability: we need to have a population that encompasses as big a solution space as possible to find a solution close to the optimal as possible (eg. 2<sup>30</sup> solution space, population of 10 will probably not find a very good solution) Coevolution: basically crossover  $\rightarrow$  two agents affect evolution by combining traits.

Was mentioned more in context of solving related problems together

### 6. Describe different gene representations in GA, operations on them, and their strengths and weaknesses: bit and numeric vectors, strings, permuta-

bit/numeric: good for problems that can be represented with numbers, cannot represent very complex problems, eg. good for knapsack problem

Permutations: good for problems where we are looking for 21. Contrast two different views on ML: as optimizaa solution of a sequence of numbers (TSP), then we can use GA to "learn" the best permutations

Trees: good for problems where we want to find the formula for the solution (as formulas can be nicely represented with

7. What are linear crossover, Gray coding of binary numbers, adaptive crossover, gaussian mutation, Lamarckian mutation, and elitism? What are

their advantages compared to baselines? Linear crossover: takes a linear combination of the two individuals, have a "probability" for each bit in each agent and take each bit with probability p from agent 1 and with prob-

ability (1-p) from agent 2 Gray coding: Encode binary numbers in such a way that inthis: Order binary representations of numbers in such a way method is, the more variance it has.

that the next number is only one bit changed: 0 - 1 - 11 - 10 Adaptive crossover: Use bit templates for crossover (1-first

parent, 0-second parent). Learn which templates work best Gaussian mutation: Mutate by adding a Gaussian error to the mutation

Lamarckian mutation: search for locally best mutation Elitism: choose n of the best solutions in population and keep them for the next population

#### 8. Describe the following evolutionary models: proportional and rank proportional roulette wheel, tournaments, single tournament, and stochastic universal sampling?

Tournaments: have agents "battle" each other, by assigning them probabilities according to their fitness values. Best so $lution \rightarrow best probability of winning.$ 

Proportional: Assign each agent a probability according to poorly for 7500, but predict very well for 10000 again) their fitness value. Use randomly generated numbers to se- This is observed only in neural networks (and random lect agents. Rank proportional: Assign each agent probability according phenomenon.

to their rank of fitness value. Single tournament: randomly split population into small

groups and apply crossover to two best agents from each group, their offspring replace the two worst agents from the

Stochastic: F = sum(all fitness values), N = size of population we want. Make a F/N interval. Assign part of the interval to each agent according to fitness values. Use RNG to generate numbers, if generated number is within an interval of some  $agent \rightarrow choose the agent$ 

### 9. How to prevent niche specialization in GA?

We punish agents that are too similar to others  $\rightarrow$  depending on the type of problem (min/max) decrease/increase the fitness value

### 10. Explain hypotheses on why GAs work?

When we have a big enough population and the right parameters, we can search a pretty big solution space.

## 11. What are the typical parameters of GAs?

Probability of crossover, probability of mutation, population size, max number of iterations, max number of iterations with the same best solution, selection method, termination crite-

### 12. Where to use GAs and where not?

YES: where there are many local extrema, fitness function When model complexity keeps increasing, the testing error easily defined, robustness, don't need specialized methods NO: huge solution spaces with large solutions (eg. list of list

#### 13. Why are GAs suitable for multiobjective optimization, and what is Pareto optimal solution? Use fitness functions with different objectives and try to im-

Pareto: we cannot improve conflicting criteria without get- the under-parameterization regime ting worse on others

Needs huge populations(thousands), it's slow, problems involving physical environments: making trees that are really executable, execution can change the environment which changes fitness function, calculating fitness function with simulation takes a lot of time.

### Machine learning

accuracy.

Stopping criteria: n generations, when no change in top x for f(X) Try to estimate f(X) so we can get the most accurate f(X) to

$$Y = f(X) + \varepsilon$$

#### 15. Describe the two main goals of ML, prediction and inference, and explain why they are sometimes in contradiction.

Prediction: if we can make a good estimate, then we can make accurate predictions for the response Y based on XInference: we are interested in the type of relationship be-

tween Y and X, model interpretability is essential for infer-If we want good accuracy (prediction), we might need a much more complicated model which will have lower interpretability and vice versa. But it can also happen that some compli-

cated model gives us bad results (overfitting) and thus lower

#### 16. What parametric and non-parametric ML methods exist?

Parametric methods: Logistic regression, Naive bayes, simple neural networks

Non-parametric methods: kNN, decision trees, SVM 17. Describe the main characteristics of supervised, unsupervised, and semi-supervised ML methods?

Supervised learning: both X and Y are observed Unsupervised: only X are observed, we need to use X to guess what Y would have been and build a model from there Semi-supervised: only a small sample of labelled instances are

observed but a large set of unlabeled instances 18. What is the difference between regression and classification? Give examples of problems for each type.

Regression: Y is continuous/numerical (predict the value of a share on the stock market, predict the temperature). Classification: Y is categorical (predict if an event will hap-

pen, eg. is this email spam or not, will it be cloudy, rainy or

19. What are association rules, and how they differ from decision rules? Association rules are rules that tell us how some "event" if sociated with another (how some X is associated with some

A decision rule is a simple IF-THEN statement consisting of a condition and a prediction.

### 20. What are outliers in ML?

A data object that does not comply with the general behavior of the data. It can be noise or an exception.

### tion and as search. Usually the goal of classification is to minimize the test er-

ror. Therefore, many learning algorithms solve optimization Optimization: objective is to minimize test error (optimize

cost function) Search: find parameters that describe our f(X) = y best

#### 22. Describe different properties of ML models: bias, variance, generalization, hypothesis language. Bias refers to the error that is introduced by modeling a real

life problem by a much simpler problem. The more flexible/complex a method is, the less bias it will have Variance refers to how much your estimate for f would change

crementing a number by 1 takes only 1 bit change (Sth like if you had a different training data set. The more flexible the  $Generalization \ {\it describes} \ {\it how} \ {\it well} \ {\it our} \ {\it method} \ {\it works} \ {\it on} \ {\it new}$ 

inseen data (aka test data).

Hypothesis language describes the hypotheses which machine learning system outputs

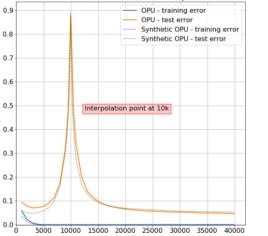
### 23. What is the bias-variance trade-off in ML?

If we have too much bias, we won't have a lot of variance giving us a very inflexible method that doesn't predict well. f we have too much variance, the model could overfit to the raining data and will not work well with new unseen data. In both cases the error of prediction will be high, so we want to find that sweet spot where we minimize the error rate, but don't overfit

#### 24. Describe the double descent concerning biasvariance trade-off.

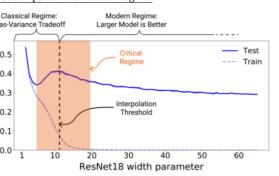
For every model there is a spot in how much data we use that will have a very bad error rate. (eg. a model can predict well on the test and train set for 5000 samples and predict very

forests(?)). Other models observe the "classic" overfitting



Random features first starts decreasing due to the adaptation of model parameters to the data features. After the sweet spot that is proposed by the classical wisdom, testing error starts rising and generalization keeps worsening. However, after the complex ity exceeds the interpolation threshold, the mystery happens. As long as we keep increasing the model complexity, test error keep decreasing and after certain complexity, the testing er-

ror start to be smaller than the sweet pot that we get within



Describe bias-variance trade-off in relation to Variance generally decreases with increasing k, bias increases

with increasing k 26. Describe methods that can speed-up the kNN algorithm: k-d trees, R-trees, RKD-tree, locally sensitive hashing, and hierarchical k-means.

- k-d trees are a generalization of BST, where each node holds a vector instead of a single value. Before building a tree we must normalize values to the interval [0,1], and we split each node on dimension so that we maximize variance in that dimension, and we use the median of that dimension as a splitting value. Leaves usually hold multiple values.
- R-trees are similar to k-d trees but are generalization of B-trees.
- RKD-trees are multiple trees where we split on random dimensions from a set of dimensions with highest variance. If the probability of not finding nearest neighbor in the single tree is p then with m trees is p^m
- Local sensitive hashing: we have multiple hash tables with multiple hash functions near instances are also near when hashed (hashing with random hyperplanes)
- Hierarchical k-means: recursively run kmeans clustering, until clusters are small enough

## 27. What are the Bayes error rate and Bayes optimal

Bayes error rate refers to the lowest possible error rate that could be achieved if somehow we knew exactly what the "true" probability distribution of the data looked like. Bayes optimal classifier for new x0 returns the maximally

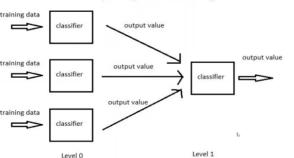
### probable prediction value P(Y=v|X=x0)28. Describe properties of the following models: kNN, decision rules, bagging, boosting, random forests, stacking, AODE, MARS, SVM, neural networks.

kNN: represent the data in a 2D/3D... space and compute istances between different data samples, use these distances find the k nearest neighbors to our input x0 and classify x0 as the majority class of these k instances.

Decision rules: is a function which maps an observation to an appropriate action. Bagging: make different bags for each classifier and put data samples in them, classify new data sample by comparing it

to the samples in the bags Boosting: grows tree sequentially  $\rightarrow$  each tree uses information about errors of previous trees, weak learners ensemble Random forests: build an number of decision trees on bootstrapped training sample, but when building

these trees, each time a split in a tree is considered, a random sample of m predictors is chosen as split candidates form the full set of p predictors **Concept Diagram of Stacking** 



Stacking: Predictions of base learners are used as input for meta learner (shitty neural networks)

Method to combine heterogeneous predictors. Predictions of base learners are used as input for meta learner. MARS: Multivariate Adaptive Regression Splines

Generalization of stepwise Linear regression. Not tree-based. Adds one variable at the time (sees which 1 is the best).

nonlinearities and interactions between variables. AODE: Average One-Dependence Estimator ensemble of SPODE classifiers (Super-Parent One Depen-

It is a non-parametric regression technique and can be seen

dence Estimator – Naive Bayes where attributes are dependent on class and one more attribute)

All attributes in turn are used in SPODE classifier and their results are averaged It has higher variance but lower bias than Naive bayes.

Averaged one-dependence estimators (AODE) is a probabilistic classification learning technique. It was developed to address the attribute-independence problem of the naive bayes classifier. SVM: Support Vector Machine  $\rightarrow$  constructs a hyperplane or

set of hyperplanes in a high dimensional space which can be used for classification or regression. Neural networks: use layers of neurons to compute the result, neurons are connected with edges that have weights, these weights are used to represent the importance of one neuron's output for another neuron's input. Use backpropagation to

#### learn these weights. 29. What is the difference between training and testing error? Why do we need an evaluation set?

Training error is the error rate we get on training data, testing error is the error we get on the test data. Mostly if training error is very low, the model will overfit, which will produce a high testing error and a badly generalized model. We need the evaluation set to test our model on previously unseen data and see if we overfitted it

tion with cross-validation. Describe different biases of ML models stemming from data: reporting bias, automation bias, selection bias, group attribution bias,

Cross-validation: when we don't have enough data to split (or we don't want to split), we make k splits and build a model Where  $Y_i$  is observed and  $Y_i$  is predicted value for each subset and test it on remaining data. Every instance 40. What are the ideas of unsupervised and semiis used for testing once and we get a general idea of model supervised feature selection? accuracy on that data.

Reporting bias: frequency of data is not real world frequency large sample of unlabeled data is available. Use the label in (people review only if they have extreme opinions ...) formation of labeled data and data distribution or local structure of both labeled and unlabeled data to evaluate feature

Automation bias: model is actually not better than human performance (but you love ML and you want to use it ...) Selection bias: data sets are not representatively selected (interview only friends and family, even selecting complete strangers we have some bias in selection)

Group attribution bias: is a tendency to generalize what is true of individuals to an entire group to which they belong. (you went to FRI and generalize that all are good students With clustering

Implicit bias: occurs when assumptions are made based on one's own mental models and personal experiences that do not necessarily apply more gen-

#### erally. (i think, so it must be true) 31. What is the no-free-lunch theorem?

No universal algorithm is the best algorithm, (we cannot say SVM is better than RF, we cannot mathematically prove There cannot be a single best algorithm for every ML situa-

32. Describe three types of feature selection methods: filter, wrapper, and embedded methods. What are the main differences between them? Filter methods: independent of learning algorithm, select the

most discriminative features through a criterion based on the Multi-view: information from different sources, some meacharacter of data (information gain, ReliefF) surements are irrelevant, noisy or conflicting. Different views Wrapper: use the intended learning algorithm to evaluate the typically provide complementary information. features (eg. progressively add features to SVM while perfor-

mance increases) Embedded: select features in the process of learning (ridge,

#### 33. Describe the difference between impurity based and context-sensitive attribute evaluation. Impurity based: assume conditional independence between the attributes (information gain, Gini index, MDL, distance

measure, MSE, MAE (mean absolute error)) Context sensitive measures: contrary (Relief, Contextual Merit). Random forest or boosting based attribute evalua-

#### 34. Describe the main ideas of information gain and ReliefF evaluation measure.

Information gain: measure (im)purity (entropy) of labels be fore and after the split IG(A) = H(T) - H(T|A)

H... Information entropy

H(T|A) ... conditional entropy Assumes attributes are independent

ReliefF: criterion: evaluate attribute according to its power of separation between near instances. Increases/decreases worth 43. What do online learning and online feature selecof feature(s) when comparing the (dis)similarity between ran-

### dom nearby examples (based on certain attribute). Nearest k 35. Explain how regularization can be used as a feature selection method?

(Regularization is a technique used to reduce the errors by 44. Explain the main ideas of ensemble methods in fitting the function appropriately on the given training set ML, why and when they work? and avoid overfitting.) Learn a large number of basic (simple) classifiers and merge

#### Example $\rightarrow$ Lasso (L1) regression ... attributes (parameters the predictions. We need different weak classifiers (in the in linear regression) will be set to 0 if they are useless ... 36. Describe ridge regression (L2) and lasso (L1) and

the difference between them? The key difference between them is the penalty term.  $Lasso \rightarrow L1$  type regularization, which means that it does not square the size of the attribute parameter. It only sums up the sizes and adds it to the error estimation. It will automatically converge these parameters to zero, if they don't

contribute to the prediction. In other words, if the parameter does not contribute to the prediction, it will be set to 0.

 $Ridge \rightarrow L2$  regularization, sum of square of parameters is added to error estimation (e.g. to RMSE). This is called penalty and is weighted (in L1 also) with the lambda parameter. We don't want our parameters to be huge because that leads to overfitting to train data. The "dummy parameters" will be close to 0, but not equal

to 0. This makes L2 reg. "useless" for feature extraction. We use L1 for that. 37. What are the advantages and disadvantages of

### the wrapper method for feature selection? Forward selection, effective for a given learning model.

!!!not sure if we use bagging to select instances at the begin-High computational load, attention to data overfitting. Evaluating prediction models needs to be a separate evaluation ning and then just subsample the features for each tree or we also use bagging of features for each tree separately!!!

38. Describe the confusion matrix and evaluation measures based on it? as an extension of linear models that automatically models

The confusion matrix represents how data was classified by

39. Describe ROC curves, sensitivity, specificity, precision, recall, F-measure, classification accuracy,

Classification accuracy: (TP + TN)/(TP + TN + FP + FN)

Precision: TP / (TP + FP)  $\rightarrow$  what % of tuples that the

Recall: TP / (TP + FN)  $\rightarrow$  what % of positive tuples did the

ROC curve: shows both y=TP and x=FP rate simultane-

ously, to summarize overall performance we also use area un-

der the ROC curve (AUC), the larger AUC is, better the

 $F = \frac{2 \cdot \operatorname{precision} \cdot \operatorname{recall}}{}$ 

precision + recall

classifier labeled as positive are actually positive

 $sensitivity: TP/P \rightarrow true positive recognition rate$ 

Specificity:  $TN/N \rightarrow true$  negative recognition rate

F-measure:  $\rightarrow$  harmonic mean of precision and recall

our classifier, compared to observed data.

mean squared error.

classifier label as positive

→ how accurate is the model

features are selected at random out of the total and the best split feature from the subset is used to split each node in a tree. Bagging all features are considered for splitting a node. 46. What is the out-of-bag error estimation? OOB error is the mean prediction error on each training sample  $x_i$ , using only the trees that did not have  $x_i$  in their boot-

strap sample.

Since bootstrapping involves random selection of subsets of observations to build a training data set, then the remaining (36.8%) non-selected part could be the testing data.

 $MSE = \frac{1}{n} \sum_{i} (Y_i - \hat{Y}_i)^2$ 

Semi-supervised: Typically a small sample of labelled and a

Unsupervised: criterion: preserve similarity between in

eigenvalues of L (laplacian matrix) measure the separability

corresponding soft cluster indicators

We can use an ensemble approach to:

42. Describe the main ideas of

• Baseline: concatenate all views

distances between objects)

• transform to single label case

• Treat multiple labels directly

tion mean?

new features may appear

Construct tensor space from views

• Multi-view clustering & feature selection

Multi-Label: Each instance may have more than one label

• Relief like approach (different views contribute to the

• Relief like approach (comparing sets of instance labels)

same model. They share knowledge representation. Prevents

arrive sequentially, potentially the learned concept changes,

sense that they produce correct predictions on different in-

45. Explain the main differences between bagging and

Bootstrap aggregating (Bagging) is a procedure, where we

take a training set D and create new subsets D\_i by subsam-

pling from D uniformly and with replacement (every instance

has the same chance of being chosen and can be chosen mul-

tiple times). That way we will have about 1 - 1/e (63.2%) of

Averaging reduces variance. -> "Given a set of n independent

observations Z1, ..., Zn, each with variance  $\sigma^2$ , the variance

the class that is the mode of the classes (classification) or

mean/average prediction (regression) of the individual trees.

But instead of using D<sub>-i</sub> to construct our trees we also use

 $m \approx \sqrt{p}$  or  $m \approx 1 + \log_2 p$ 

of the mean Z of the observations is given by  $\sigma^{2/n}$ 

bagging to select a subset of m features:

Online learning: same as above but for learning

stances), the law of large numbers does the rest

unique instances in each subset D<sub>-i</sub>

label, and multitask learning.

1. Produce diverse feature sets

2. Then aggregate them

· Solution: ensemble approach

of the components of the graph and the eigenvectors are the

41. How can we increase the stability of feature se-

D<sub>1</sub> D<sub>2</sub> .... D<sub>1</sub>

multi-view, multi-

stances

lection?

### 47. How can one evaluate attributes with random forests or produce a similarity matrix? Evaluation of attribute A is the difference between:

• Strength of the forest

ple for every split

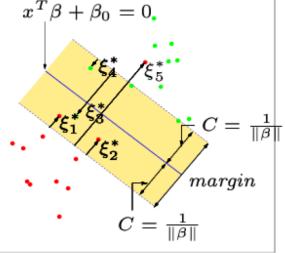
• Strength of the forest when values of A are randomly

When two instances end in the same leaf of the tree we increase their similarity score, average over all trees gives similarity measure → similarity matrix 48. Describe the main parameters of random forests and boosting?

Boosting: B=number of trees,  $\lambda$ =the shrinkage parameter, a small positive number(small \( \paragraphi requires large B \) to work well), d=number of splits in each tree RF: B=number of trees, m = number of features to subsam-

49. Describe the main idea of gradient boosting?

Gradient boosting is a machine learning technique for regression and classification problems, which produces a prediction model in the form of an ensemble of weak prediction models, typically decision trees. It builds the model in a stagewise fashion like other boosting methods do and it generalizes them by allowing optimization of an arbitrary differentiable



50. Describe the notion of margin in kernel methods. Suppose we have two class data, that can be separated with a straight line. We would like all the points to be as far from the line as possible (and on the correct side).

C is the minimum distance between each point and the sep arating line. C = 1/|b| where b's are the parameter of the model. Margin is the area around the separating line that has width of 2C. We do not want points inside the margin. This On this layer we have local connectivity and shared weights s why we tune C such that the sum of all errors \* 1/C will be smaller than some con7stant. 51. What is the purpose of different kernels (linear,

polynomial, RBF) in SVM? Linear: trivial

Polynomial: we allow SVM to produce a non-linear decision

boundary. Radial basis function (RBF):

$$K(x, x') = \exp\left(-\frac{|x - x'|^2}{2\sigma^2}\right)$$

Euclidean distance divided by a free parameter  $\sigma^2$ Since the value of the RBF kernel decreases with distance and ranges between zero (in the limit) and one (when x = x'), it has a ready interpretation as a similarity measure

52. Describe how to use SVM for more than two One versus All: build k different models (k=number of Multitask: learn several related tasks simultaneously with the classes) and classify an example to the class that gives highest probability

classify to the class that wins most pairwise competitions. Choose 1v1 if k is small enough. 53. Describe different activation functions in neural networks (NNs). Online feature selection: in data stream scenario, instances

Activation functions are mathematical equations that determine the output of a neural network.

Step functions: f(x) = 1 if x > 0 else 0 ReLU (Rectified Linear Unit):  $f(x) = \max(0, x)$ 

Sigmoid function  $S(x) = 1/(1 + \exp(-x))$ Softplus:  $f(x) = \ln(1 + e^x)$  (approximation of ReLU) 54. Describe the main idea of backpropagation learning for NNs.

• Initialize the weights to small random numbers, associated with biases

• Propagate the inputs forward (using activation func-

55. Describe the role of criterion (loss) function in množica) of decision trees at training time and outputting NN?

$$C = -\sum_{j} t_{j} \log y_{j}$$

$$\frac{\partial C}{\partial z_{i}} = \sum_{i} \frac{\partial C}{\partial y_{j}} \frac{\partial y_{j}}{\partial z_{i}} = y_{i} - t_{i}$$

Where  $t_i$  is the target and  $y_i$  predicted value To see how much we missed in classifying an input. We use this to backpropagate and improve the network.

f we have a scalar output, we use criterion function Random forests de-correlate the trees. In RF only a subset of where we made mistakes. We frequently use cross entropy as cost function C.

> 56. Describe the strengths and weaknesses of NN? Weaknesses: long training time, require a number of parameters determined empirically, poor interpretability, overfitting is a usual, gradient based BP we have no guarantee of reaching the global optimum.

Strengths: high tolerance to noisy data, ability to classify untrained patterns, well suited for continuous valued inputs and outputs, algorithms are inherently parallel, successful on an person. We average them at the end) array of real-world data. Can closely approximate any func-

57. Describe a few techniques for overfitting prevention in NNs. Weight decay: over time if weights haven't been updated in a while, slowly decrement them and set them to 0

Weight sharing: not all connections have unique weights, they are shared among connections. Early stopping: stop before we reach a too high classification

accuracy. Need a separate evaluation set. Model averaging: train multiple models and average the weights to use on the final model. "Ensembling" (not a good option -> even 1 NN takes a lot of time to train)

Drop out: randomly (with some probability) drop a node, when training. Generative pre-training: .

Bayesian fitting: not useable, too slow, complex 58. What are deep neural networks? What are their main strengths and weaknesses?

Deep neural networks are NNs with more than one hidden layer. They perform nonlinear regression (from a statistical point of view)

STRENGTHS: very powerful, high tolerance to noisy data, ability to classify untrained patterns, well suited for contin-

uous valued inputs and outputs, algorithms are inherently

parallel, successful on an array of real-world data WEAKNESSES: long training time, poor interpretability

overfitting is as usual, requires a lot of data ...

### 59. What are the recurrent networks?

Is a NN where neurons are also connected backwards (backwards connections between neurons). One's output is the input back to it's parent(s).

Used in the text/signal/image processing. Learning is harder, unreliable gradients, they disappear faster. They are getting "dropped"

## Describe the convolutional neural networks

A class of deep NN, most commonly applied to analyzing visual imagery and language. CNN were inspired by biological processes in that the con-

nectivity between neurons resembles the organization of the animal visual cortex Idea: many copies of small detectors used all over the image. It uses pooling and convolution. They learn filters/detectors

and combinations to recognize some items (dots, edges, for

example). Not fully connected -> lighter model

### 61. Describe different components of CNNs.

Convolutional layer: The convolutional layer consists of a set of filters. (Each filter covers a spatially small portion of the input data). The network will learn filters that activate when they see some specific type of feature at some spatial position in the input.

Convolving the filter == dot product between filter and the

Pooling layer: Progressively reduce the spatial size of the representation to reduce the amount of parameters and compu tation in the network. Speeds up learning and reduces over-

Pooling partitions the input image into a set of non overlapping rectangles and, for each such sub region, outputs the maximum (minimum or average) value of the features in that Problem: after several layers we lose the information about

the exact location of the recognized pattern. E.g. nose on the

62. What are the advantages and disadvantages of CNNs? Advantages: automatically detects important features without human supervision, lighter model (not fully connected layers -> less weights), free translation of variance, fewer pa-

rameters take less space -> can be computed in a memory of a GPU (or across CPUs). Disadvantages: high computational cost, need a lot of train

ing data!

63. What is 1d and 2d convolution? 1d is convolution over 1 dimension and is used for convolution One versus One: fit (k 2) models (every possible pair) and on words, characters, lemmas,...

2d is convolution over 2 dimensions and is used for convolu-

cially for images). They compress input into a latent-space of

tion on images/ text classification. 64. Describe the main idea and components of autoencoders? Autoencoders are designed to reproduce their input (espe-

usually smaller dimension. Then they reconstruct the input from the latent space (even without the noise).

Encoder: compress input into a latent space of usually smaller dimension. h = f(x)Decoder: reconstruct input from the latent space. r = g(f(x))

#### with r as close to x as possible 65. What is denoising an autoencoder?

generative adversarial networks?

Training means improving G and D.

((this one might be wrong, not sure))

Get a clean image as input, apply some noise to it and train the autoencoder to reproduce the clean image. 66. Describe the main idea and components of the

Two neural networks contest with each other in a game (in the form of a zero sum game). Use one neural network to • Backpropagate the error (by updating the weights and generate data for the second neural network to use as input

and have the first NN try to "fool" the second one into mis classifying the input.

Generator: generate fake samples, tries to fool the Discrimi Discriminator: tries to distinguish between real and fake sam

67. Describe different inference methods for predictive methods.

Domain level: try to explain the "true causes and effects"

68. Describe different techniques for the explanation of predictions.

relations (if we can test it with result functions) Not applicable especially in medicine, business. Model-based: Make the prediction process of a particular

model transparent. Better models enable better explanation at the domain level. Instance-level: explain predictions for each instance separately (model-based). Nomograms

(For titanic, we would have a separate nomogram for each

Model-level: the overall picture of a problem the model conveys (model-based). Averaged instance-level models Model agnostic: Can be applied to any model. change one

input to our black box and see if the output changes

Weakness: there might be 2 attributes needed to be absent

significantly. This means that that input is important. (perturbation-based explanation). Won't work well for images. For that we use:

Model-specific explanation technique Method EXPLAIN: Hide one attribute at a time.

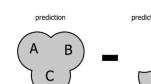
at the same time to see the importance of the 3rd. 69. What is the role of clustering in interpretability? Clustering is useful in supervised tasks to get insight into the

relation between predicted values Y and basic groups in the 70. Describe the main idea of perturbation-based explanation methods?

Importance of a feature or a group of features in a spe-

30. Describe the properties and purpose of evalua- Mean squared error:

cific model can be estimated by simulating lack of knowledge about the values of the feature or randomly shuffling them to







71. Explain the difference between instance-based and model-based explanations?

Model based tries to paint the whole picture, while instance based only explains the instances separately

Model based: Make the prediction process transparent of a particular model. Explanation is independent of the accuracy of a model. 

this is what knowledge extractors are interested in (the overall picture of a problem the model conveys).

Instance based: Explain predictions for each instance separately (presentation format: impact of each feature on the prediction value). ← this is what practitioners applying models are interested in.

72. Explain the main idea of the IME, LIME, and SHAP explanation technique?

IME: Interactions-based Method for Explanation, feature gets some credit for standalone contributions and for contributions in interactions determine m, the desired number of samples

for j = 1 to m do

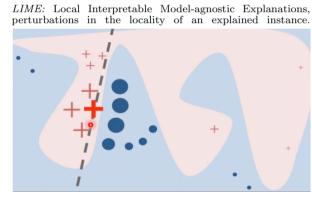
choose a random permutation of features  $O \in \pi(N)$ choose a random instance  $y \in \mathcal{A}$ 

 $v_1 \leftarrow f(\tau(x, y, Pre^i(\mathcal{O}) \cup \{i\}))$ 

 $v_2 \leftarrow f(\tau(x, y, Pre^i(O)))$  $\varphi_i \leftarrow \varphi_i + (v_1 - v_2)$ 

end for

- Alternative formulation of shapley value
- "hide" any subset of attributes at a time (2 a subsets!) • the feature gets some credit for standalone contribu-
- tions and for contributions in interactions



- Faster than IME, works for many features (text and images)
- No guarantees that the explanations are faithful and
- Neighborhood based: curse of dimensionality
- may not detect interactions due to simple interpretable local model (linear)

SHAP: SHapley Additive exPlanation, unification of several explanation methods, including IME and LIME

(faster than IME but still uses linear model with all its strengths and weaknesses)

### $Natural\ language\ processing\ (NLP)$

### 73. What is the Turing test?

The turing test is a test where a human is communicating with two other agents over a computer, one of them another human, the other an AI. The test tests, if the AI is smart enough to fool the human communicating with it, that it is

74. What is the micro-world approach to NLP?

Create a "world" out of data to analyze. Most text data cannot be directly processed, so we have to create our own world, where we can process data.

### 75. Describe the stages of linguistic analysis?

*Prosody:* the patterns of stress and intonation in a language Phonology: systems of sounds and relationships among the matrix shows how many times some term appeared in a doc-deeper layers encode semantical properties. speech sounds that constitute the fundamental components of a language

Morphology: the amissible arrangement of sounds in words: how to form words, prefixes and suffixes

Syntax: the arrangement of words and phrases to create wellformed sentences in a language

Semantics: the meaning of a word, phrase, sentence or text used, including such matters as deixis, taking turns in consparse and which are dense? versation, text organization, presupposition and implicature Word Embeddings are dense representations of the individ-Knowing the world: knowledge of the physical world, humans, ual words in a text, taking into account the context and other

76. Describe how to preprocess text in text mining.

• To lower case

- Remove punctuation • Remove numbers
- Remove stopwords (a, and, the, of,...)
- Strip whitespaces
- Stem the text

77. Describe lemmatization, stemming, POS tagging, dependency parsing, and named entity recognition Lemmatization: the process of grouping together the different inflected forms of a word so they can be analyzed as a single

Stemming: reduce the words to their root "state" (it is get-

POS tagging: assigning the correct part of speech (noun, verb, subject, object,...) to words

Named entity recognition: seeks to locate and classify named entities mentioned in unstructured text into predefined categories such as person names, organizations, locations, medical

Dependency parsing: find connections (dependencies) be-

78. Describe the basic language resources for English and Slovene (or your language). Corpora, wiki, SSKJ, FRAN, GigaFida, KRES, ccKres, GOS,

79. Describe the structure of WordNets. WordNet is a database composed of synsets (cognitive syn-

onyms):

- 1. Synonyms
- 2. Hypernyms
- 3. Hyponyms 4. Meronyms
- 5. Holonyms
- 6. Etc.

WordNet® is a large lexical database of English. Nouns verbs, adjectives and adverbs are grouped into sets of cognitive synonyms (synsets), each expressing a distinct concept Synsets are interlinked by means of conceptual-semantic and

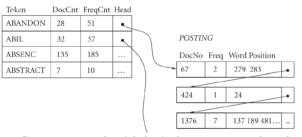
80. Describe approaches to document retrieval.

Historically people used keywords, but today full text search is used (by the help of organized databases, indexing and good searching algorithms)

### 81. Describe the inverted file index.

Is a data structure that maps words to documents. Inverted file index means that we have a database where for every word we store in how many documents it appeared and

the overall number of appearances. Then it has a pointer to the document where we can find the location of the word in the document.



82. Compare search with logical operators and ranking based search.

Search with logical operators is outdated. It returns a lot of results, we need to write large queries, synonyms are a problem, there is no partial matching and no weighting.

Ranking based search is used nowadays for web search (Yahoo, Google, Bing, ...). Less frequent terms are more informative. It uses vector based representation of documents and queries. For ranking based search we can explain what bagof-words approach is or dense embeddings.

#### 83. Describe one-hot-encoding and bag-of-words representation.

One-hot-encoding is the vector representation that consists of only 1 bit set to 1 and all other bits to 0. It assures that PCA) machine learning does not assume that higher numbers are more important

Bag of words representation is commonly used in NLP, where a text or a document is represented as the bag of its words and how many times every word appears.

### 84. Describe how to use term-document and term-Term-document matrix is the matrix where every line is one

ument. This matrix is used for **comparison of terms**.

Term-term matrix is a matrix where every line is one term and every column is one term. If two terms appear together more often they have a higher score in the matrix.

Pragmatics: language in use and the context in which it is 85. What is word embedding? Which embeddings are

surrounding words that that individual word occurs with. Sparse embeddings: SVD

Dense embeddings are the ones that have less dimension, less space, they capture synonyms better, and reduce noise. We use LSA (latent semantic analysis) for truncating the matrices with eigenvalues.

86. Describe the use of cosine similarity on docu-

When comparing documents only the angle between their vec-

tors matters, this is why cosine similarity is used. 87. Describe TF-IDF weighting.

Inverse document frequency (idf) is equal to: N - number of documents in collection Nb - number of documents with word b

IDFb = log(N/Nb) (lower value == more distinct term. If Idf = 0, then this term is present in every document) Weight of word b in document d would be equal to: Wbd = TFbd \* IDFbd

Where TFbd is frequency of the term b in document d 88. Describe precision, recall, and F1 measures in document retrieval.

*Precision*: proportion of relevant documents in the obtained Recall: proportion of obtained relevant documents. How many of the relevant documents we succeeded retrieving. F1 is just weighted harmonic mean (where beta = 1), weighted precision and recall

 $F1 = \frac{2 \cdot \text{precision} \cdot \text{recall}}{}$ precision + recall

89. Describe problems of web search and possible im-Problems

- 1. No content control
- 2. Different quality of documents
- 3. Up-to-date?
- 4. (in)valid links
- 5. Search engine manipulation (link farms)

Improvements

- 1. Use dictionary, thesaurus (a book that lists words in groups of synonyms and related concepts), synonyms
- 2. Query expansion with relevance information (user feedback, personalization, trusted document sources)
- 4. Specific types of queries require specific approaches
- 5. Trustful sources Wikipedia
- 6. Hubs with relevant links
- 7. Graph theory and analysis
- 8. Additional information: titles, meta-information, URL
- 9. Ranking of documents based on links

90. Describe the idea of the PageRank algorithm and

Page rank algorithm determines the rank of a page based on the quality and number of pages pointing to it Possible uses: was used by google to order search results

p ... web page O(p) ... pages pointed to by p $I(p) = \{i_1, \dots, i_n\}$  ... pages pointing to p $d \in [0, 1]$  ... damping factor, usually  $\approx 0.85$  $\pi(p)$  ... page quality

$$\pi(p) = (1 - d) + d \frac{\pi(i_1)}{O(i_1)} + \dots + d \frac{\pi(i_n)}{O(i_n)}$$

91. Describe the main ideas and implementation of LSA, word2vec, ELMo, and BERT.

LSA: uses term-context matrix, the idea being the words with MT: similar context should be closer. It reduces the dimensionality of the matrix with SVD and uses k most important dimensions to represent the embedding of the words. (basically

Word2vec: instead of counting how many times a word appears near another word. It trains a classifier to answer that question (for example NN). Then it uses classifiers learned weights as the word embeddings. It doesn't take context into an account. Solution: ELMo and BERT. ELMo: looks at the entire sentence before assigning each word in it an embedding. ELMo predicts the next word in a se-

quence of words - a task called Language Modeling (LM). term and the columns are the documents. Every cell of the first layers capture morphological and syntactic properties, BERT: predicts masked words in a sentence, also predicts Document-term matrix is the other way around, (basically order of sentences: is sentence A followed by sentence B or channel and get a corrupted sentence f. For reconstruction we transposed TDM) and it is used for comparison of docu- not ... train a classifier built on the top layer foreach task need 1) how to speak original language (language model p(e)) that you fine tune for , e.g., Q&A, NER, inference. achieves

state of the art results for many tasks. Used form: MLM (masked language model) - delete some words in the sentence and try to predict them. Needs context of both sides of the word.

Dominates text classification field. 92. Which are the desired properties of word embed-

dense vector embeddings.

Matrix based transformations to reduce di-mensionality (SVD or LSA - latent semantic analysis)

- Neural embeddings (word2vec, Glove)
- Contextual neural embeddings (ELMo,

SVM, deep NN -> both require numerical input 1-hot-encoding and a bag of words do not preserve semantic similarity.:

93. Compare different types of word embeddings.

- Frequency based Embedding (Count vector,
- TD-IDF, co-occurrence vector) Prediction based Embedding (Continuous
- Bag of words, Skip Gram model
- Dense vector embeddings
- Neural embeddings

Diachronic embeddings

- Contextual embeddings
- Cross-lingual embeddings

94. Describe a few relations expressed with modern word embeddings.

Diachronic embedding: comparing words and their neighbours throughout history. 95. What sort of biases are reflected in word embeddings?

Cultural biases, usually negative biases

 $96.\ How\ to\ use\ BERT$  and multilingual BERT for text classification? train a classifier built on the top layer for each task that you fine tune for , e.g ., Q&A, NER, inference.

- Sentence classification (sentiment, grammar...)
- Two sentence classification
- Questions/answers

embeddings? Word clouds of different languages can be aligned.

97. Describe the idea and a few uses of cross-lingual

- transfer between languages: models, resources
- embedded words enter neural networks
- replace them with cross lingual embeddings and easily switch languages

98. Describe a few semantic technologies and a few important NLP tasks.

Semantic technologies aka Text mining: to acquire new knowledge. Summarization, document relations, clustering of documents, related news, new topic detection, q&a, named entity recognition, inference, coreference resolution.

analysis, text mining, machine translation, language gener-99. How to approach text summarization, sentiment classification, machine translation (MT), or question answering problems?

ument classification, document summarization, sentiment

Text summarization: general, guided (describe in advance what sort of information do you want). One/multi document. Extractive and abstractive (mix 2 words like increase/de-

For short text we use abstractive summarization.

For longer texts we use extractive summarization. Sentiment classification

Binary, tenary, n-ary

We use lexicon of positive/negative words Machine learning based.

With BERT, RNN, Encoder-Decoders, NMT(neural machine translation)

100. What are the language model and translation model in MT? Language model: each target (English) sentence e is assigned a probability p(e). Estimation of probabilities for the whole

sentences is not possible (why?), therefore we use language models, e.g., 3 gram models or neural language models. Translation model: We have to assign a probability of p(f|e), which is a probability of a foreign language sentence f, given target sentence e. We search the e which maximizes p(e) p(fle). We take into account the position of a word and how many words are needed to translate a given word.

and 2) how to transform f into e (translation model, p(fle)) 101. What is the encoder-decoder model in NLP? Encoder: use word representation  $\rightarrow$  word , 1 hot vector, dense embedding, recurrent network

Decoder: computation of the next state of recurrent network, probability of the next word, selection of the next word Encoder takes a sentence and transforms it into latent vector epresentation. Decoder takes that latent vector representa-They shall preserve relations from the original space. We need tion and transforms it back into a sentence. Both are language specific.

102. What is the attention mechanism in deep neural networks?

Usually for each word in a sentence a hidden state vector called context is output from an encoder and this vector is fed back into the input and not into the decoder until the end of sentence is detected, then decoder produces output one step at a time. This is problematic for long sentences, this is where the attention mechanism comes in which produces a special context vector for each decoder time step.

#### Reinforcement learning

103. Describe when and why to apply RL.

We can use it when we are in an environment where we can afford to make mistakes. When we need to make decisions in Why?: simple algorithms, works most of the time, no need to label the data (it takes a lot of time, money or it is just hard

to - label regions of objects in 15 million images). 104. What are the differences between supervised learning and RL?

You don't get examples of correct answers, you have to try things in order to learn.

105. Describe the explore or exploit dilemma in RL? We can't always choose the action with the highest Q-value. The Q-function is initially unreliable, we need to explore until

Exploit: use information to make better decision 106. Describe the four main components of RL and

Explore: gather information from environment

1. Policy: defines agents choices and actions in a given

- 2. Reward: feedback from the environment. Agent tries to
- maximize it 3. Value: agents expectation of what can be expected in a given state (it predicts rewards)
- 4. Model: internal representation of environment

107. How the interface between the agent and environment works in RL? Agents and the environment interact at discrete time steps Agent observes state s(t) at step t and produces an action

108. Describe returns for episodic and continuing Episodic: interaction breaks naturally into episodes (eg. plays

a(t), giving a resulting reward r(t+1) and next state s(t+1).

of a game, trips through a maze) Continuing: interaction does not have natural episodes

IN OTHER WORDS Episodic tasks are the tasks that have a terminal state (end) In RL, episodes are considered agent-environment interactions from initial to final states. For example, in a car racing video game, you start the game (initial state) and play the game until it is over (final state). This is called an episode. Once the game is over, you start the next episode by restarting the game, and you will begin from the initial state irrespective of the position you were in the previous game. So, each episode is independent of the other.

In a continuous task, there is not a terminal state. Continuous tasks will never end. For example, a personal assistance robot does not have a terminal state. NLP tasks: document retrieval, information extraction, doc- 109. What is the discounted return, and what is its

 $R_t = r_{i+1} + \gamma r_{i+2} + \gamma^2 r_{i+3} + \dots = \sum_{i=1}^{k} \gamma^k r_{i+k+1}$ 

in the future lass valuable. 110. What is the average reward model, and what are its advantages and disadvantages?

where  $\gamma \in [0, 1]$  is the discount rate it makes rewards further

It's a model where the agent optimizes long-term average reward. The downside is that it does not know the difference between near and distant rewards 111. What is the role of Markov property in RL?

If a state summarizes all past sensations so as to retain all

"essential" information it has the Markov property. Used in MDP (Markov decision process) and Bellman Optimality Equation. Markov property is that the next decision is solely de-

pendent on the current state. All of the states before this one are meaningless for the next decision 112. Describe the Markov decision problem (MDP) If a task has the Markov property, it is basically a Markov Decision Process. If state and action sets are finite, it is a finite MDP. To define a finite MDP we need:

- State and action sets
- One step "dynamics" defined by transition probabilities
- Reward probabilities 113. What sort of learning simplifications does MDP

MDP can be solved by linear programming or by a dynamic programming method. MDP is a discrete, stochastic and controlled process. At any given time, the process is in a certain 's' state, and the user can select any 'a' action that is available in the 's' state. The process responds to this action at the next time unit by random moving to a new state s' and

114. Describe the State-value function and action-value functions?

giving the user a corresponding reward.

State-value function:  $V^{\pi}(s)$  returnes the expected revard starting from the state s using policy  $\pi$ 

 $V^{\pi}(s) = E_{\pi}\{G_t|s_t = s\}$ 

where  $G_t$  is total discounted reward from time step t. Action-value function:  $Q^{\pi}(s, a)$  returns the expected revard of taking an action a in a state s under policy  $\pi$ .

$$Q^{\pi}(s,a) = E_{\pi}\{G_t|s_t = s, a_t = a\}$$

The relationship between Q and V:

$$V^{\pi}(s) = \sum_{a \in A} \pi(a|s)Q^{\pi}(s,a)$$

# 115. Describe the Bellman equations and their role

Bellman eq. give us the ability to calculate all the expected rewards in all states. It is basically n equations with n vari- For  $\lambda = 0$ : ables. If we solve them we get an optimal reward for every state we are in. This is how we do RL ...

116. What is the role of the optimal value function and optimal action-value function?

For finite MDP's policies, they can be partially ordered:

$$\pi \ge \pi' \iff \forall s \in S : V^{\pi}(s) \ge V^{\pi'}(s)$$

For  $\lambda > 0$ , more than just immediate successors are taken This means that there are always one or more policies that are better or equal to all the others. These are optimal policies. Optimal policies share the same state-value function and action-value function.

$$V^*(s) = \max_{\pi} V^{\pi}(s) \quad \forall s \in S$$
 
$$Q^*(s, a) = \max_{\pi} Q^{\pi}(s, a) \quad \forall s \in S, a \in A(s)$$

Basically the optimal value function and the optimal actionvalue function return the expected return (reward) for following the optimal policy. This also means that they tell us what the optimal action in a state is.

117. How can we get the optimal policy from the op-

The value of a state under an optimal policy must equal the

timal action-value function?

expected return for the best action from that state 
$$Q^*(s,a) = E\left\{r_{t+1} + \gamma \max_{a'} Q^*(s_{t+1},a') | s_t = s, a_t = a\right\}$$
$$= \sum_{ss'} P^a_{ss'} \left[R^a_{ss'} + \gamma \max_{a'} Q^*(s',a')\right]$$

Q is the unique solution of this system of nonlinear equations Once we have  $Q^*$  we can further calculate the optimal policy by taking the optimal action:

$$\pi^*(s) = \underset{a \in A(s)}{\operatorname{argmax}} Q^*(s, a)$$

118. How to solve Bellman optimality equations? Finding an optimal policy by solving the Bellman optimality equation requires the following:

- accurate knowledge of environment dynamics:
- the Markov property must be true can be done with dynamic programming

• enough space and time to do the computation

We usually have to settle for approximations  $\rightarrow$  Monte Carlo, Value Iteration, Q-learning 119. When and how dynamic programming is used in

We need a complete model of the environment and rewards

(state space, action space, transition model). Idea: start with any policy, then iteratively improve it (calculate V(policy), then improve policy based on that V(policy)) 120. Describe policy-value iteration, value iteration,

and policy iteration approaches to RL? Policy iteration:  $V(\pi_0) \to \pi_1, \ V(\pi_1) \to \pi_2, \ \dots$  $V(\pi_i)$  doesn't need to converge, just move towards the best

 $V_{k+1}(s) = \max_{a} \sum_{s,s'} P_{ss'}^{a} [r_{ss'}^{a} + \gamma V_{k}(s')]$ 

• use Bellman optimality equation as an update

• Converges to V 121. Describe the convergence criterion for value it-

eration. If the maximum difference between two successive value functions is less than  $\varepsilon$ , then the value of the greedy policy, (the policy obtained by choosing, in every state, the action that maximizes the estimated discounted reward, using the current estimate of the value function) differs from the value function of the optimal policy by no more than  $2\varepsilon\lambda/(1-\lambda)$ at any state. This is an effective stopping criterion for the

algorithm 122. Describe the Monte Carlo approach to RL and

We use Monte Carlo methods as an approximation for the optimal policy. We don't need full knowledge of the environ ment. We only need experience or simulate experience. This method can only be used for episodic tasks. The way it works is by simulating a few paths and then averages all the returns So to estimate V(s) we average all observed returns in state

123. Describe the  $\varepsilon$ -greedy policy.

• with probability  $1 - \varepsilon$  perform the optimal/greedy ac-

• with probability  $\varepsilon$  perform a random action

• will keep exploring the environment

• slowly move it towards greedy policy:  $\varepsilon \to 0$ 

We use it in Q-learning as an "explore" method, because we can't always choose the action with the highest Q value. (The Q function is initially unreliable, we need to explore until op-

124. Describe learning with time differences (TD) in

RL? Previous states receive a portion of the difference to succes-

 $V(s_t) = V(s_t) + c \cdot (V(s_{t+1}) - V(s_t))$ 

where c is a parameter, slowly decreasing during learning en-

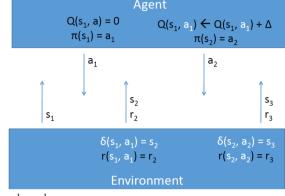
into account (speed)

sors. (difficult for analysis)

125. Describe the Q-learning. Works with Q function instead of V function.

Q(s,a) estimates the discounted cumulative reward (start in s, take action a, follow the current policy thereafter) Suppose we have the optimal Q function  $\rightarrow$  optimal policy is  $\operatorname{argmax}_b Q(s, b)$ 

Q-Learning: The Procedure



Repeat (for each episode)

Initialize s

 $s \leftarrow s'$ ;

until s is terminal

assure exploration?

Repeat (for each step of episode) Choose a from s using policy derived from Q (e.g.,  $\varepsilon$ -greedy) Take action a, observe r, s' $Q(s,a) \leftarrow Q(s,a) + \alpha[r + \gamma \max_{a'} Q(s',a') - Q(s,a)]$ 

Q-learning updates: basic update equation

 $Q(s, a) \leftarrow r(s, a) + \max_{a} Q(s', b)$ 

126. What are the updates in Q-learning? How to

• with a discount factor to give later rewards less impact:

 $Q(s, a) \leftarrow r(s, a) + \gamma \max_{a} Q(s', b)$ 

• with a learning rate for non-deterministic worlds:

 $Q(s, a) \leftarrow [q - \alpha]Q(s, a) + \alpha[r(s, a) + \gamma \max_{a} Q(s', b)]$ 

Assure exploration:  $\varepsilon$ -greedy!

formance of RL learners?

Used when in complex environments (Q is too complex), we describe a state with a feature vector. We can then calculate Q as any regression model by using the state feature vectors as its parameters. (<-- e.g.)

128. How to measure and compare the learning per-

127. How to use function approximation in RL?

ior. This is reassuring, but useless) Speed of convergence to optimality (more  $\operatorname{practical} \to \operatorname{speed}$  of convergence to near op-

Eventual convergence to optimality (Many

algorithms come with a provable guarantee

of asymptotic convergence to optimal behav-

timality (how near?) OR level of performance

Regret (expected decrease in reward gained due to executing the learning algorithm instead of behaving optimally from the very beginning; these results are hard to obtain)

after a given time (what time?))

