# CS 374 Problem Set 1

## Problem 1

1.

Define function h mapping regular expressions over  $\Sigma$  to regular expressions over  $\Delta$  as follows:

$$h(r) = \begin{cases} \emptyset & \text{if } r = \emptyset \\ \epsilon & \text{if } r = \epsilon \\ h(a) & \text{if } r = a \in \Sigma \\ (h(r_1) + h(r_2)) & \text{if } r = (r_1 + r_2) \\ (h(r_1)h(r_2)) & \text{if } r = (r_1r_2) \\ (h(r_1)^*) & \text{if } r = (r_1^*) \end{cases}$$

2.

**Given property**: For every pair of strings u, v, h(uv) = h(u)h(v).

Let us first prove two lemmas that we will need for this proof:

**Lemma 1**:  $f(A) \cup f(B) = f(A \cup B)$ 

**Proof**: Note the preimages  $f^{-1}(A) = \{a \mid a \in A\}$  and  $f^{-1}(B) = \{b \mid b \in B\}$ . We also see that the preimage  $f^{-1}(A \cup B) = \{x \mid x \in (A \cup B)\}$ . Trivially, we see that  $\{a \mid a \in A\} \cup \{b \mid b \in B\} = \{x \mid (x \in A) \cup (x \in B)\} = \{x \mid x \in (A \cup B)\}$ . Since the function is applied to identical preimages, the images of  $f(A) \cup f(B)$  and  $f(A \cup B)$  must be equal.

**Lemma 2**:  $h(w^k) = h(w)^k \ \forall \ k \in \mathbb{N}$ .

**Proof**: Prove by induction on k.

**Base**: k = 0,  $h(w^0) = h(\epsilon) = \epsilon = h(w)^0$ . Base case holds.

**Inductive**: Assume that  $\forall k < n, h(w^k) = h(w)^k$ .

Take k = n.  $w^n = w \cdot w^{n-1} \Rightarrow h(w) = h(w \cdot w^{n-1}) = h(w)h(w^{n-1})$  by given property. By the inductive assumption, we see that  $h(w)h(w^{n-1}) = h(w)(h(w))^{n-1} = (h(w))^n$ .

Proof will be completed by induction on the number of operations in regular expression r. Let us define function:

 $\#_{ops}: \{r \mid r \text{ is a regular expression}\} \to \mathbb{N}$ 

such that  $\#_{ops}(r_1)$  = number of operations in regular expression  $r_1$ .

**Base**:  $\#_{ops}(r) = 1$ . This presents 3 cases:  $r \in \{\emptyset, \epsilon, h(a)\}$ .

- Case  $\emptyset$ :  $r = \emptyset \Rightarrow L(r) = \emptyset \Rightarrow h(L(r)) = \emptyset = L(h(r))$
- Case  $\epsilon$ :  $r = \epsilon \Rightarrow L(r) = \{\epsilon\} \Rightarrow h(L(r)) = \{\epsilon\} = L(h(r))$
- Case  $a \in \Sigma$ :  $r = a \Rightarrow L(r) = \{a\} \Rightarrow h(L(r)) = \{h(a)\} = L(h(r))$

**Inductive Assumption**: Assume that for all regular expressions with fewer than n operations, that L(h(r)) = h(L(r)).

A regular expression r with n operations can be written in one of 3 ways:

- 1.  $r_1 + r_2$ , where  $\#_{ops}(r_1) = n 1$  and  $\#_{ops}(r_2) = 1$ .
- 2.  $r_1r_2$ , where  $\#_{ops}(r_1) = n 1$  and  $\#_{ops}(r_2) = 1$ .
- 3.  $(r_1^*)$ , where  $\#_{ops}(r_1) = n 1$ .

# Case $r = r_1 + r_2$ :

$$L(r_1 + r_2) = \{ w \mid w \in (L(r_1) \cup L(r_2)) \}$$

Since both  $\#_{ops}(r_1) = n - 1 < n$  and  $\#_{ops}(r_2) = 1 < n$ , bot  $r_1$  and  $r_2$  fall under the inductive assumption and we can say that  $h(L(r_1)) = L(h(r_2))$  and  $h(L(r_2)) = L(h(r_2))$ . Note that  $L(r_1 + r_2) = L(r_1) \cup L(r_2)$  by definition. We can apply h to both sides and we get  $h(L(r_1 + r_2)) = h(L(r_1)) \cup h(L(r_2)) = L(h(r_1)) \cup L(h(r_2))$ . By Lemma #1, we see that:

$$L(h(r-1)) \cup L(h(r_2)) = L(h(r_1) + h(r_2)) = L(h(r_1 + r_2)) = L(h(r)) = h(L(r_1 + r_2)) = h(L(r))$$

#### Case $r = r_1 r_2$ :

$$L(r_1r_2) = \{w_1w_2 \mid w_1 \in L(r_1), \ w_2 \in L(r_2)\} \Rightarrow h(L(r_1r_2)) = \{h(w_1w_2) \mid w_1 \in L(r_1), \ w_2 \in L(r_2)\}$$

By the given property, we can see that:

$$\{h(w_1w_2) \mid w_1 \in L(r_1), \ w_2 \in L(r_2)\} = \{h(w_1)h(w_2) \mid w_1 \in L(r_1), \ w_2 \in L(r_2)\}$$
$$\{h(w_1)h(w_2) \mid w_1 \in L(r_1), \ w_2 \in L(r_2)\} = h(L(r_1)) \cdot h(L(r_2))$$

Since  $\#_{ops}(r_1) = n - 1 < n$  and  $\#_{ops}(r_2) = 1 < n$ , the inductive assumption implies that:

$$h(L(r_1)) \cdot h(L(r_2)) = L(h(r_1)) \cdot L(h(r_2)) = L(h(r_1)h(r_2)) = L(h(r))$$

### Case $(r_1^*)$ :

 $\overline{\text{By definition}}$ , we know that  $L(h(r_1^*)) = L((h(r_1))^*) = \{h(w)^k \mid w \in L(r_1), k \in \mathbb{N}\}.$ 

$$\{h(w)^k \mid w \in L(r_1), k \in \mathbb{N}\} = \{h(w^k) \mid w \in L(r_1), k \in \mathbb{N}\}\$$
 by Lemma #2.

$$h(L(r_1)) = \{h(w) \mid w \in L(r_1)\} \Rightarrow h(L(r_1^*)) = \{h(w^k) \mid w \in L(r_1), k \in \mathbb{N}\}\$$

the two sets are equal, therefore  $L(h(r)) = L(h(r_1^*)) = h(L(r_1^*)) = h(L(r))$ .