

# CS 374 Spring 2018

## Homework 2

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### Problem 1

Let us first define some functions and notation that will simplify this problem:

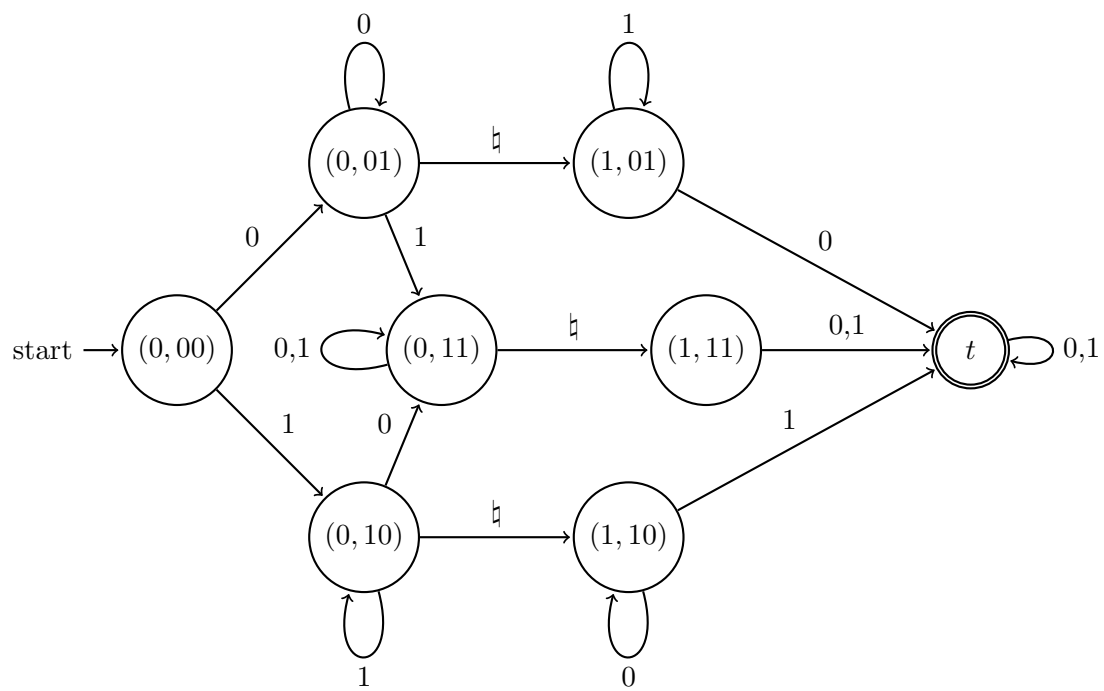
- Let  $s$  be a binary string. Let us introduce notation  $s_i$ , which defines the value of the  $i^{th}$  character from the end of the string (zero indexed). i.e.  $s = 1110$ ,  $s_0 = 0$ ,  $s_1 = 1$
- Define  $b_k : 2^k \rightarrow \mathcal{P}(\Sigma_k)$  such that for a given binary string  $s$  of length  $k$ ,  $b_k(s) = \{a \in \Sigma_k \mid s_a = 1\}$ . Notice that  $b_k(s) \subseteq \Sigma_k$ .

(a)

Let us define  $M = (Q, \Sigma, \delta, s, A)$ .

- $Q = \{0, 1\} \times 2^2 \cup \{t, g\}$ , where  $2^2$  is all binary strings of length 2.
- $\Sigma = \Sigma_k \cup \{\natural\} = \{0, 1\} \cup \{\natural\}$
- $s = (0, 00)$
- $A = \{t\}$
- $q$  is defined by the following. Note that for some cases we use numerical values for the state names to determine the next state. Also note that  $q \in Q = q(i, j)$ , where  $i \in \{0, 1\}$  and  $j \in 2^2$ . Note that we also use  $|$  symbol to represent bitwise OR between two binary strings.

$$\delta(q, a) = \delta((i, j), a) = \begin{cases} (0, j|j') & \text{if } i = 0, a \in \{0, 1\}, \text{ where } j'_a = 1, \text{ and all other positions are zeroes.} \\ (i + 1, j) & \text{if } i = 1, |j| \geq 1 \text{ and } a = \natural \\ t & \text{if } i = 1 \text{ and } a \in b_k(j) \\ (1, j) & \text{if } i = 1 \text{ and } a \notin b_k(j) \\ g & \text{otherwise} \end{cases}$$



(b)

We will prove the following statement by induction on  $|w|$ . For every string  $w$ ,

- (a)  $\forall w \in \Sigma_k^*, \delta^*(s, w) = (0, j)$  iff  $\natural \notin w$  and  $w \in b_k(j)^*$
- (b)  $\forall w \in \Sigma_k^*, \delta^*(s, w) = (1, j)$  iff  $w \in \{u\natural v, u \in b_k(j)^*, v \in \Sigma_k^* \cup \{\epsilon\} \text{ and } \text{set}(u) \cap \text{set}(v) = \emptyset\}$
- (c)  $\forall w \in \Sigma_k^*, \delta^*(s, w) = t$  iff  $w \in \{u\natural v, u, v \in \Sigma_k^* \text{ and } \text{set}(u) \cap \text{set}(v) \neq \emptyset\}$
- (d)  $\forall w \in \Sigma_k^*, \delta^*(s, w) = g$  iff  $w \in \{u\natural v, u, v \in \Sigma_k^* \cup \{\epsilon, \natural\} \text{ such that either}$ 
  - $u = \epsilon$
  - $\text{set}(v) \cap \text{set}(\{\natural\}) \neq \emptyset\}$

i.e.  $w$  starts with a  $\natural$  symbol, or contains more than one  $\natural$  symbol.

We want to prove that all four conditions (a)-(d) hold for every string  $w$ .

**Base case:**  $|w| = 0, w = \epsilon$ . By our definition of  $\delta$ , we see that  $\delta^*(s, w) = \delta^*(s, \epsilon) = (0, 00)$ , which is in the form  $(0, j)$ , where  $j = 00$ . We see that  $\natural \notin w$  and  $w \in b_k(j)^*$  vacuously. The base case holds.

**Inductive hypothesis:** Assume that for any string  $w$  of length less than  $i$ , conditions (a)-(d) hold.

**Induction Step:** Consider  $w$  of length  $i$ , where  $i > 0$ . Without loss of generality, we can say that  $w = ua$ , where  $u \in \Sigma^*$  and  $a \in \Sigma$ . We see that

$$\delta^*((0, 00), ua) = \delta^*(\delta^*(0, 00), u), a) = \delta(\delta^*((0, 00), u), a)$$

Using the inductive assumption, let us prove cases (a)-(d).

**Case (a):**  $\delta^*((0, 00), u) = (0, j) \Rightarrow \natural \notin u$  and  $u \in b_k(j)^*$

Let us consider all inputs:

$a \in \{0, 1\}$ :

Then  $\delta(\delta^*((0, 00), u), a) = (0, j|j')$ , where  $j'$  is defined above. This implies that  $\delta^*(0, 00), w$  is still in the form  $(0, j)$ , which implies that  $\natural \notin w$  and  $w \in b_k(j)^*$ . We see that the first condition ( $\natural \notin w$ ) trivially holds, and that  $w \in b_k(j|j')$  by our construction of  $j'$ .

$a = \natural$ :

We see that if  $|u| = 0$ ,  $\delta(\delta^*((0, 00), u), \natural) = g$  which implies that  $w = ua$  must have a  $\natural$  in the first position. this is, in fact the case because  $|u| = 0$ . Let us now assume that  $|u| \geq 1$ . by our definition of  $\delta$ ,  $\delta(\delta^*((0, 00), u), \natural) = (1, j)$  such that  $u \in b_k(j)^*$ . To be in state  $(1, j)$ , our string  $ua = w$  must be in the set

$$L_{(1,j)} = \{u\natural v \mid u \in b_k(j), v \in \Sigma_k^* \cup \{\epsilon\} \text{ and } \text{set}(u) \cap \text{set}(v) = \emptyset\}$$

By inductive assumption,  $u \in b_k(j) \Rightarrow u\natural = u\natural\epsilon = u\natural v \in L_{(1,j)}$  because  $\text{set}(u) \cap \text{set}(v) = \text{set}(u) \cap \text{set}(\epsilon) = \text{set}(u) \cap \emptyset = \emptyset$ .

**Case (b):**  $\delta((0, 00), u) = (1, j) \Rightarrow u \in \{u'\natural v' \mid u' \in b_k(j), v' \in \Sigma_k^* \cup \{\epsilon\}, \text{set}(u') \cap \text{set}(v') = \emptyset\}$ .

Let us consider all inputs:

$a \in \{0, 1\}$ :

By our definition, if  $a \in b_k(j)$ , then  $\delta(\delta^*((0, 00), u), a) = t$ , which implies that  $w = ua \in \{u\natural v \mid u, v \in \Sigma_k^*, \text{set}(u) \cap \text{set}(v) \neq \emptyset\}$ . By inductive assumption,  $u \in b_k(j) \subseteq \Sigma_k^*$ , so  $u \in \Sigma_k^*$ . We also see that  $v \in \Sigma_k^* \cup \{\epsilon\} \Rightarrow v \cdot a \in \Sigma_k^*$  because  $\{0, 1\} \subset \Sigma_k^*$ . It follows that  $a \in b_k(j) \Rightarrow \text{set}(u) \cap \text{set}(v'a) \neq \emptyset$  because  $a$  is a common symbol in both strings.

$a = \natural$ : By our definition of  $\delta$ , if  $a = \natural$ , then  $\delta(\delta^*((0, 00), u), \natural) = g$ , which implies that  $u\natural$  is in the set  $\{u\natural v \mid u, v \in \Sigma_k^* \cup \{\epsilon\}, u = \epsilon \text{ or } \text{set}(v) \cap \text{set}(\{\natural\}) \neq \emptyset\}$  which means that either  $u\natural$  starts with a  $\natural$  or contains more than one  $\natural$  symbol.  $u\natural$  cannot start with a  $\natural$  because, by inductive assumption,  $u$  does not start with  $\natural$  because  $u$  is in a state with form  $(1, j)$ . Now, we see that  $u\natural$  must contain 2  $\natural$  symbols because, by inductive assumption,  $u$  contains one  $\natural$  symbol, so  $u\natural$  must contain 2  $\natural$  symbols.

**Case (c):**  $\delta^*((0, 00), u) = t \Rightarrow u \in T_k = \{u'\natural v' \mid u', v' \in \Sigma_k^*, \text{set}(u') \cap \text{set}(v') \neq \emptyset\}$

Let us consider all inputs:

$a \in \{0, 1\}$ :

By inductive assumption, we trivially see that if  $a \in \{0, 1\} \subseteq \Sigma_k^*$ ,  $u \in T_k \Rightarrow ua \in T_k$  which agrees with our definition of  $\delta$ :  $\delta(t, 0) = \delta(t, 1) = t$ .

$a = \natural$ :

By our definition of  $\delta$ ,  $\delta(t, \natural) = g$ , so  $\delta^*((0, 00), ua) = \delta(\delta^*((0, 00), u), \natural) = g$ . We must now prove that either  $w = u\natural$  starts with a  $\natural$  symbol or contains 2  $\natural$  symbols. By the inductive assumption, we see that  $u = u'\natural v'$  contains one  $\natural$  symbol which implies that  $u\natural$  contains 2  $\natural$  symbols.

**Case (d):**  $\delta^*((0, 00), u) = g \Rightarrow u \in L_g = \{u' \sharp v' \mid u', v' \in \Sigma_k^* \cup \{\epsilon, \sharp\}, \text{set}(v') \cap \text{set}(\{\sharp\}) \neq \emptyset\}$

Let us consider all inputs:

$a \in \{0, 1, \sharp\}$ :

$\delta(g, a) = g \Rightarrow \delta(\delta^*((0, 00), u), a) = g$ . It is trivial to show that  $u \in L_g \Rightarrow ua \in L_g$  because  $u = u' \sharp v' \Rightarrow ua = u' \sharp v' a = u' \sharp v$  holds because  $a \in \Sigma_k^* \cup \{\epsilon, \sharp\}$  and  $\text{set}(v') \cap \text{set}(\{\sharp\}) \neq \emptyset \Rightarrow \text{set}(v'a) \cap \text{set}(\{\sharp\}) \neq \emptyset$ .

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