### Learning at the Racetrack: Data-driven Methods to Improve Racing Performance over Multiple Laps

Nitin R. Kapania and J Christian Gerdes

Abstract— Autonomous vehicles will generate data from the variety of sensors they employ to track the surrounding environment. This data is inherently valuable, as it gives algorithm designers the potential to leverage prior experience in order to improve driving performance over time. This paper uses the lens of autonomous racing to provide an example of how data from previous iterations of driving can be used to improve quantitative metrics of performance. Two complementary algorithms are demonstrated in this paper. The first algorithm uses iterative learning control (ILC) to simultaneously improve lateral and longitudinal tracking of the desired racing trajectory over multiple laps, while the second algorithm is focused on altering the trajectory itself using a search method. When driven experimentally at the limits of handling, the result is a reduction in lap time of nearly 1.4 seconds, a major improvement.

Index Terms—Autonomous driving, iterative learning control, A\* search

#### I. INTRODUCTION

#### A. Problem Description and Proposed Solution

Several methods exist in the literature to generate autonomous racing trajectories ([1], [2], [3], [4]), which typically comprise of a longitudinal speed profile  $U_x(s)$  and a desired curvature profile  $\kappa(s)$  as a function of distance along the track. These trajectories can then be tracked using one of several path following controllers, such as ([5], [6], [7], [8], [9]).

Using the trajectory generated by [4] and the trajectory following controller presented by [10], the authors generated a test run of an autonomous race vehicle (see Fig.1) at the limits of handling on the Thunderhill Raceway course in Willows, CA. The results are shown in Fig. 2.



Fig. 1. "Shelley" - Stanford's autonomous self-driving race vehicle.

While the vehicle is able to generate lap times that are comparable to an amateur expert, the resulting lap times are 2-3 seconds below that of a professional human race car driver. There are several ways to analyze why this difference arises, but a simple insight that makes the case for lap-to-lap learning comes from viewing the trajectory tracking performance and friction utilization of the controller, shown in Fig. 2.

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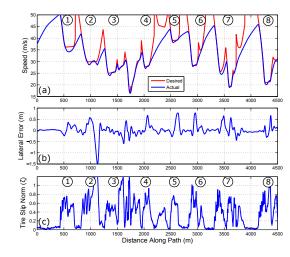


Fig. 2. Controller tracking performance and tire slip norm on a test run at the limits of handling ( $\mu=0.94$ ).(a) Desired vs actual speed of the vehicle. (b) Lateral tracking error and (c) tire slip norm as a function of distance along the path.

One of the issues shown in Fig. 2 is the relatively poor controller tracking on a few labeled sections of the race track. This includes errors in the lateral path tracking (sections (2), (4) - (7)) and failing to drive at the desired speed (sections (1), (2), (7)), resulting directly in a loss of lap time. The speed and lateral path tracking will be improved in this paper with the addition of iterative learning control (ILC) algorithms.

The second issue shown in Fig. 2 is the inconsistent usage of the tire friction capacity, as judged by the tire slip norm metric. The tire slip norm, formalized in [11], is given by  $\zeta$ :

$$\zeta = \sqrt{\left(\frac{\alpha}{\alpha_p}\right)^2 + \left(\frac{\sigma}{\sigma_p}\right)^2} \tag{1}$$

Where  $\sigma$  and  $\alpha$  are the maximum longitudinal and lateral tire slips over all four tires, and  $\sigma_p$  and  $\alpha_p$  are empirically determined *peak slip* values resulting in maximum longitudinal and lateral tire force generation. As a result,  $\zeta < 1$  corresponds to the tires having excess force generation capacity, while  $\zeta > 1$  corresponds to tire saturation.

Fig. 2 shows inconsistent usage of tire friction across many turns. On some turns (sections 2 and 8), the vehicle significantly exceeds the limits of handling, and the car's stability control systems kick in to regain control, slowing the car down in the process. On other turns (sections 3, 5, and 7), the vehicle uses only a portion of the available tire force, indicating the vehicle can actually drive with higher acceleration on the next lap. Learning from prior runs to find the optimal acceleration (or  $\mu$  parameter) for each part of the track will also be accomplished in this paper via an A\* search algorithm.

#### B. Relation to Prior Work

1) Iterative Learning Control: Iterative learning control (ILC) is based on the notion that the performance of a system that executes the

same task multiple times can be improved by learning from previous executions (trials, iterations, or in our case, laps of racing) [12]. On every iteration, a control signal is applied to a system in order to follow an ideal, unchanging "reference trajectory". The tracking error for that iteration is recorded, and a learning algorithm is applied to improve the control signal and achieve more accurate system performance on the next iteration. There are a variety of learning algorithms used, but most attempt to correct the tracking error by using a model of the system to determine the augmentation to apply to the prior control signal. This process is repeated until the reference tracking performance becomes satisfactory.

Because iterative learning control works best when learning to follow the same reference trajectory under nearly constant ambient conditions, the most common applications of ILC are in the field of automated manufacturing. Notable examples include CNC machining [13], industrial robotics [14] [15], piezolectric stage positioning [16], motor control [17], and microdeposition [18]. However, the rise of automated systems outside factory environments has led to important applications of ILC for ground and air robotics. Chen and Moore [19] proposed a simple iterative learning scheme to improve pathfollowing of a ground vehicle with omni-directional wheels. Purwin and Andrea synthesized an iterative controller using least-squares methods to aggressively maneuver a quadrotor unmanned aerial vehicle (UAV) from one state to another [20]. Sun et al. [21] proposed an iterative learning controller for speed regulation of high-speed trains. Other novel applications where ILC has been applied include Homogeneous Charge Compression Ignition (HCCI) [22] and wing vibration suppression [23].

2) Trajectory Modification Algorithms: Iterative learning control (ILC) algorithms can help an autonomous vehicle follow a desired trajectory more precisely over several laps of driving. However, ILC algorithms do not alter the desired trajectory itself, only the input signals that attempt to track the trajectory. In cases where the trajectory results in oversteer or understeer, a trajectory modification algorithm is necessary since the vehicle may already be saturating the tires, and applying additional actuator effort will not result in better

Some trajectory modification algorithms previously investigated in the literature have focused on modifying the lateral trajectory by altering the curvature profile as the vehicle understeers or oversteers. For example, Theodosis and Gerdes presented an algorithm to gradually widen the radius of a turn in response to a detected understeer [24]. Klomp and Gordon also developed a strategy for recovering from vehicle understeer by solving for an optimal emergency braking profile to minimize deviation from the desired path [25] Brown et al. [26] presented a model predictive control (MPC) approach that generally aimed to follow a planned vehicle trajectory at the limits. However, if the vehicle was at risk of understeering or oversteering, the MPC algorithm would deviate laterally from the planned trajectory in order to maintain stability of the vehicle without driving off the road. Another approach to trajectory modification is Learning Model Predictive Control (LMPC), developed by Rosolia et al. [27] for the purpose of autonomous racing. In LMPC, data from every lap of racing is used to build invariant sets and approximate the value function for the generally non-convex lap time minimization problem.

#### C. Order of Contributions

Due to the repetitive nature of automotive racing, iterative learning control techniques are a promising method to gradually eliminate trajectory tracking errors. This paper will first present adaptations of two established ILC methods (proportional-derivative and quadratically optimal) for use in the Audi TTS racing system. The ILC algorithms will be designed for combined lateral and longitudinal control, as an extension of the lateral-only ILC first presented in [28]

Second, this paper will address situations where the vehicle underperforms due to excessive oversteer or understeer. An A\* search algorithm is presented that iteratively modifies portions of the velocity profile to be more conservative if a stability violation is encountered on a prior lap. The algorithm also modifies the velocity profile to be more aggressive if the tires are not being actuated at the limits. In this way, the algorithm is focused on learning the time-optimal friction profile  $\mu^{\star}(s)$  by searching through datasets obtained over multiple

#### II. ITERATIVE LEARNING CONTROL

#### A. Dynamic System Model

The ILC algorithms we consider require a set of closed-loop system dynamics that are (a) stable to any disturbance input, and (b) expressible as an affine discrete dynamical system. In our case, we have two subsystems: the steering controller and the longitudinal speed control. Stability of the steering controller under lanekeeping feedback was shown in the linear case by [29] and in the saturated case by [30]. Similar analyses can be considered to show the stability of a simple proportional speed-following controller.

The more difficult task is expressing the dynamics of the two subsystems using an affine model, given the tendency for the vehicle tires to saturate at the limits. This paper will model the dynamics with a bicycle model with single longitudinal input  $F_x$  and front steer input  $\delta$ , shown in Fig. 3.

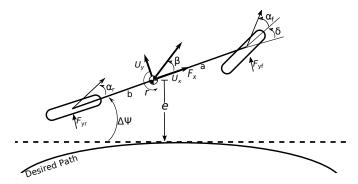


Fig. 3. Schematic of bicycle model with steer input  $\delta$  and longitudinal

The potential for coupling between the subsystems occurs from both the state equations and also the complex nature of tire force generation at the handling limits. As shown in Fig. 4, as longitudinal force  $F_x$  is distributed across the tires, the available lateral force decreases. At the limits of handling, this derating of the lateral force may become significant. As a result, we model lateral tire force generation  $F_y$  as a function of both lateral tire slip  $\alpha$  and the applied longitudinal force  $F_x$ , using a modified form of the Fiala tire model

The control inputs  $F_x$  and  $\delta$  are broken up into the closed-loop feedback term and the learned component that is modified on every lap by the ILC algorithm:

$$F_x = F_x^{\text{FB}} + F_x^L$$

$$= -K_x v + F_x^L$$
(2)
(3)

$$= -K_x v + F_x^L \tag{3}$$

$$\delta = \delta_{\rm FB} + \delta_L \tag{4}$$

$$\delta = -k_p (e + x_{LA} \Delta \Psi) + \delta_L \tag{5}$$

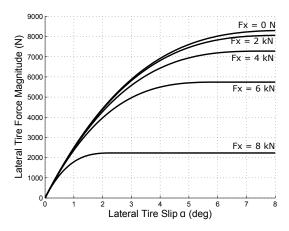


Fig. 4. Lateral tire force curve as a function of longitudinal force  $F_x$  and lateral tire slip  $\alpha$ .

where  $K_x$  is the proportional speed tracking gain and  $k_p$  and  $x_{\rm LA}$ are the lookahead gains presented in [10]. The term v denotes the speed tracking error.

The closed loop system dynamics are given by incorporating (2) into equations of motion for the bicycle model:

$$\frac{de}{dt} = \left(v + U_x^{\text{des}}(s)\right) (\beta + \Delta \Psi) \tag{6}$$

$$\frac{dv}{dt} = \left(v + U_x^{\text{des}}(s)\right)\beta r + \frac{-K_x v + F_x^L}{m} \tag{7}$$

$$-\frac{F_{\rm yf}(\alpha_F, F_x)(k_p(e + x_{LA}\Delta\Psi) + \delta_L)}{m}$$
 (8)

$$-\frac{F_{yf}(\alpha_F, F_x)(k_p(e + x_{LA}\Delta\Psi) + \delta_L)}{m}$$

$$\frac{d\beta}{dt} = \frac{F_{yf}(\alpha_f, F_x) + F_{yr}(\alpha_r, F_x)}{m(v + U_x^{des}(s))} - r$$
(9)

$$\frac{dr}{dt} = \frac{aF_{yf}(\alpha_f, F_x) - bF_{yr}(\alpha_r, F_x)}{I_z}$$

$$\frac{d\Delta\Psi}{dt} = r - \kappa(s)(v + U_x^{des}(s))$$
(10)

$$\frac{d\Delta\Psi}{dt} = r - \kappa(s)(v + U_x^{\text{des}}(s)) \tag{11}$$

The nonlinear closed-loop dynamics must be converted into an affine, discrete-time dynamical system to apply conventional iterative learning control algorithms. In our case, we have two system outputs  $(y = \begin{bmatrix} e & v \end{bmatrix}^T)$  that are measured and two input signals to learn  $(u = \begin{bmatrix} \delta_L & F_x^L \end{bmatrix}^T)$ . Since we run the iterative learning control algorithm after seeing a trial of data, we can approximate the dynamics in (6)-(11) by linearizing about the observed states and inputs  $x_o, u_o$  from the first lap. These state estimates can be obtained from a differential GPS, simulation, or a state observer. The affine model is therefore given by:

$$\begin{bmatrix} \dot{e} \\ \dot{\beta} \\ \dot{r} \\ \dot{\Delta \Psi} \\ \dot{v} \end{bmatrix} = \begin{bmatrix} A(t) \end{bmatrix} \begin{bmatrix} e \\ \beta \\ r \\ \Delta \Psi \\ v \end{bmatrix} + \begin{bmatrix} B(t) \end{bmatrix} \begin{bmatrix} \delta_L \\ F_x^L \end{bmatrix} + d(t)$$
 (12)

$$\begin{bmatrix} e \\ v \end{bmatrix} = \begin{bmatrix} 1 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 1 \end{bmatrix} x \tag{13}$$

The time-varying state space matrices A(t) and B(t) are given by Jacobian linearizations of the closed loop nonlinear dynamics (6) about the observed states and inputs  $x_o(t), u_o(t)$  from the last trial:

$$\left[A(t)\right] = \frac{\partial f}{\partial x}\Big|_{x_O(t)} \tag{14}$$

$$[B(t)] = \frac{\partial f}{\partial u}\Big|_{u_0(t)} \tag{15}$$

This multiple-input, multiple-output (MIMO) model captures the coupled behavior of the longitudinal and lateral inputs. If we make the assumption that the longitudinal and lateral inputs are decoupled and make small angle approximations, we may obtain the following resulting analytical state matrices A(t) and B(t) for (12):

$$B(t) = \begin{bmatrix} 0 & 0 \\ 0 & 0 \\ \frac{a\tilde{C}_{f}(t)}{I_{z}} & 0 \\ \frac{\tilde{C}_{f}(t)}{mU_{x}(t)} & 0 \\ 0 & \frac{1}{2} \end{bmatrix}$$
(17)

The affine term d(t) is given by:

$$d(t) = \begin{bmatrix} 0 \\ -\kappa(t)U_{x}(t) \\ \frac{a\tilde{C}_{f}(t)\tilde{\alpha}_{f}(t) - b\tilde{C}_{r}(t)\tilde{\alpha}_{r}(t) + a\tilde{F}_{yf}(t) - b\tilde{F}_{yr}(t)}{I_{z}} \\ \frac{\tilde{C}_{f}(t)\tilde{\alpha}_{f}(t) + \tilde{C}_{r}(t)\tilde{\alpha}_{r}(t) + \tilde{F}_{yf}(t) + \tilde{F}_{yr}(t)}{mU_{x}(t)} \\ 0 \\ 0 \end{bmatrix}$$
(18)

Where  $F_{\rm vf,r}(t)$  are the steady-state front and rear tire forces from the first lap,  $\tilde{C}_{\rm f,r}(t)$  are the effective cornering stiffnesses at those tire forces, and  $\tilde{\alpha}_{\rm f,r}(t)$  are the corresponding front and rear tire slips. While (16) is written as a MIMO system for compactness, assuming decoupled lateral and longitudinal dynamics provides two single-input, single-output (SISO) systems.

While beyond the scope of this paper, it should also be noted that advances in ILC research have focused specifically on ILC for nonlinear [32] and linear parameter-varying (LPV) systems [33].

#### B. Lifted Domain Representation and ILC Problem Statement

Whether the coupled (14) or decoupled (16) dynamics are assumed, the final modeling step is to apply the matrix exponential discretization technique to obtain dynamics in the following form:

$$x_{k+1} = A_k x_k + B_k u_k + d_k (19)$$

$$y_k = Cx_k \tag{20}$$

For a given lap of racing j, sensor measurements provide N observations of both the lateral path deviation e and longitudinal speed tracking error v. These measurements can be stacked into a  $2N \times 1$ 

$$\mathbf{e}_j = \begin{bmatrix} e_1 & \dots & e_N & v_1 & \dots & v_N \end{bmatrix}^T \tag{21}$$

These measurement errors are related to the learned control inputs  $\delta^L$  and  $F_x^L$  as follows:

$$\mathbf{e}_{i} = P\mathbf{u}_{i}^{L} + \mathbf{w} \tag{22}$$

$$\mathbf{u}_{j}^{L} = \begin{bmatrix} \delta_{1}^{L} & \dots & \delta_{N}^{L} & F_{x1}^{L} & \dots & F_{xN}^{L} \end{bmatrix}^{T}$$
 (23)

The system dynamics modeled in the previous section are represented by the *lifted-domain* dynamics matrix P, which is  $2N \times 2N$  and given by:

$$P = \begin{bmatrix} P_{e\delta} & P_{eF} \\ P_{v\delta} & P_{vF} \end{bmatrix} \tag{24}$$

Where each submatrix in (24) is  $N \times N$  and represents the lifted-domain dynamics from a given input to a given output. Individual terms of the sub-matrices are given by:

$$p_{lk} = \begin{cases} 0 & \text{if } l < k \\ C_y B_u(k) & \text{if } l = k \\ C_y A(l) A(l-1) \cdots A(k) B_u(k) & \text{if } l > k \end{cases}$$
 (25)

Where  $C_y$  is the row of C in (19) corresponding to the desired output and  $B_u$  the column of B in (19) corresponding to the desired input. The term  $\mathbf{w}$  in (22) is the unknown disturbance. Iterative learning control relies on the assumption that this disturbance is the underlying cause of the observed errors  $\mathbf{e}_j$ , and that the disturbance, while unknown, is constant from lap to lap.

Given the error signal  $\mathbf{e}_j$  for a given lap j, the iterative learning problem is to find the inputs  $\mathbf{u}_{j+1}$  that will cancel out the tracking error on the next lap. The learned inputs are then applied, the observed error  $\mathbf{e}_{j+1}$  is recorded, and the process is repeated until the tracking error falls to a desired level. There is a wide body of literature on methods to determine  $\mathbf{u}_{j+1}$  given P and  $\mathbf{e}_j$ , but this paper will compute the ILC input for the next lap with the following formulation:

$$\mathbf{u}_{j+1}^L = Q(\mathbf{u}_j^L - L\mathbf{e}_j) \tag{26}$$

where Q is the  $2N \times 2N$  filter matrix, and L is the  $2N \times 2N$  learning matrix. In the following two sections, the matrices Q and L will be obtained by designing a proportional-derivative (PD) iterative learning controller as well as a quadratically optimal (Q-ILC) learning controller.

#### C. Proportional-Derivative Controller

The proportional-derivative ILC computes the steering  $\delta^L$  and force  $F^L$  correction for the current lap j based on the error and error derivative at the same time index k from the previous lap:

$$\delta_j^L(k) = \delta_{j-1}^L(k) - k_{p\delta}e_{j-1}(k) - k_{d\delta}(e_{j-1}(k) - e_{j-1}(k-1))$$
(27)

$$F_j^L(k) = F_{j-1}^L(k) - k_{pF}v_{j-1}(k) - k_{dF}(v_{j-1}(k) - v_{j-1}(k-1))$$
(28)

where  $k_{p\delta}$  and  $k_{pF}$  are proportional gains and  $k_{d\delta}$  and  $k_{dF}$  are derivative gains.

The PD equation (27) determines  $\delta^L$  only using lateral path deviation e and  $F_x^L$  using only the speed tracking error v. The filter matrix Q is obtained by taking any filter transfer function and converting into the lifted domain via (25). An important design consideration in choosing the two  $k_p$  and  $k_d$  gains is avoiding a poor lap-to-lap "transient" response, where the path tracking error increases rapidly over the first several laps before eventually decreasing to a converged error response  $e_{\infty}$ . This is a commonly encountered design requirement for ILC systems, and can be solved by ensuring the following *monotonic convergence* condition is met [12]:

$$\gamma \triangleq \bar{\sigma}(PQ(I - LP)P^{-1}) < 1 \tag{29}$$

where  $\bar{\sigma}$  is the maximum singular value. In this case, the value of  $\gamma$  provides an upper bound on the change in the tracking error norm from lap to lap, i.e.

$$||\mathbf{e}_{\infty} - \mathbf{e}_{j+1}||_2 \le \gamma ||\mathbf{e}_{\infty} - \mathbf{e}_j||_2 \tag{30}$$

Fig. 5 shows values of  $\gamma$  for both an unfiltered PD controller (Q = I), and for a PD controller with a 2 Hz, first order low

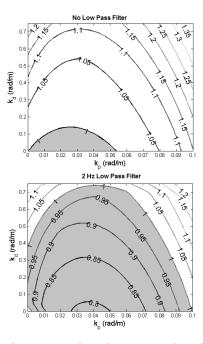


Fig. 5. Values of convergence bound  $\gamma$  vs.  $k_{p\delta}$  and  $k_{d\delta}$  for PD iterative learning controller with (top) no filtering and (bottom) with a 2 Hz low-pass filter. Lower values of  $\gamma$  correspond to faster convergence. Shaded regions correspond to gains that result in system monotonic stability. The stability analysis in Fig. 5 assumes the P matrix is constant for all iterations and is generated assuming decoupled vehicle dynamics for a straight-line trajectory at a constant speed of 20 m/s.

pass filter. The  $\gamma$  values are plotted as a contour map against the controller gains  $k_{p\delta}$  and  $k_{d\delta}$ . Addition of the low-pass filter assists with monotonic stability by removing oscillations in the control input generated when trying to remove small reference tracking errors after several iterations. The plot shown in Fig. 5 is for the steering ILC design only, but the same analysis is possible for the longitudinal ILC design as well. Because the P matrix in general can change from iteration to iteration and will also change depending on the trajectory, making a general assertion or proof about stability of the iterative learning controller is difficult.

#### D. Quadratically Optimal Controller

An alternate approach to determining the learned steering and longitudinal force input is to minimize a quadratic cost function for the next lap:

$$J_{j+1} = \mathbf{e}_{j+1}^T T \mathbf{e}_{j+1} + \mathbf{u}_{j+1}^T R \mathbf{u}_{j+1}^T + \Delta_{j+1}^T S \Delta_{j+1}$$
(31)

where  $\Delta_{j+1} = \mathbf{u}_{j+1}^L - \mathbf{u}_j^L$  and the  $2N \times 2N$  matrices T, R, and S are weighting matrices, each given by a scalar multiplied by the identity matrix for simplicity. This formulation allows the control designer to weight the competing objectives of minimizing the tracking errors e and v, control effort  $|\delta^L|$  and  $|F_x^L|$ , and change in the control signal from lap to lap. While constraints can be added to the optimization problem, the unconstrained problem in (31) can be solved analytically [34] to obtain desired controller and filter matrices:

$$Q = (P^{T}TP + R + S)^{-1}(P^{T}TP + S)$$
 (32a)

$$L = (P^T T P + S)^{-1} P^T T P (T^{1/2} P)^{-1} T^{1/2}$$
 (32b)

An advantage of the quadratically optimal control design over the simple PD controller is that the controller matrices Q and L take

the linearized, time-varying dynamics P into account. This allows the iterative learning algorithm to take into account changes in the steering dynamics due to changes in vehicle velocity. Furthermore, if the fully coupled dynamics (14) are used, the iterative learning algorithm also accounts for the second-order effect of steering on the longitudinal dynamics and longitudinal force application on the lateral dynamics. However, a disadvantage is that computing  $\delta^L$  in (26) requires matrix multiplications with the typically dense matrices Q and L for every lap, which can be computationally expensive for fast sampling rates.

#### E. Simulated Results

To test the feasibility of the PD and Q-ILC learning algorithms, the vehicle tracking performance over multiple laps is simulated using the path curvature and speed profiles shown in Fig. 6.

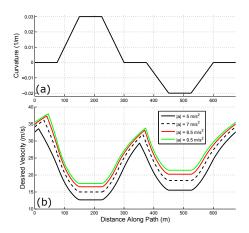


Fig. 6. (a) Curvature profile used for ILC simulation. (b) Velocity profiles generated for four different levels of longitudinal/lateral acceleration.

Simulated results for the root-mean-square (RMS) lateral path deviation is shown in Fig. 7. The results show the change in RMS error as the number of ILC iterations increase. Three different ILC controllers are tested. The first controller is the simple PD controller with low-pass filter (27), and the second controller is the quadratically optimal ILC algorithm (32) assuming fully coupled dynamics (14) in the plant matrix P (i.e. the full MIMO system). The third controller is also the quadratically optimal ILC algorithm, but the P matrix used in the optimization assumes decoupled dynamics (16) and therefore solves the lateral and longitudinal SISO problems separately.

Fig. 7 shows that both quadratically optimal ILC algorithms exponentially reduce the lateral path tracking error as the number of learning iterations is increased. Fig. 8 shows the same results as Fig. 7, but for the speed tracking performance. The overall trends are very similar. In both plots, there is very little difference between the coupled MIMO formulation and decoupled SISO formulation at low accelerations. At higher accelerations, there are small differences, but the overall RMS errors are still quite similar.

A final comment is that the proportional-derivative ILC algorithm performs relatively poorly. At low accelerations, the speed and path tracking performance both improve initially, but fail to improve after the second learning iteration. At high lateral and longitudinal accelerations, the tracking performance becomes even worse for the steering ILC in Fig. 7. This is unsurprising given that the linearized plant dynamics P are not explicitly accounted for in the selection of the PD gains.

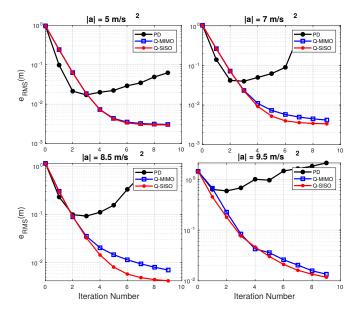


Fig. 7. Simulated results for root-mean-square path tracking error at several values of vehicle acceleration, with T=R=I and S=100I. Results are plotted on a log scale.

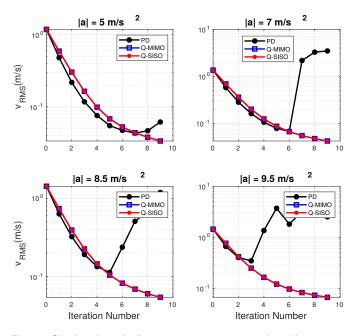


Fig. 8. Simulated results for root-mean-square speed tracking error v at several values of vehicle acceleration, with T=I, R=0, and  $S=1\mathrm{e}-7I$ . Results are plotted on a log scale.

#### F. Experimental Results

Experimental data for iterative learning control was collected over four laps at Thunderhill Raceway with the autonomous Audi TTS described in Fig. 1. The controller parameters are shown in Table I.

Fig. 9 shows the applied iterative learning signals and resulting path tracking error over four laps using the SISO quadratically optimal learning algorithm. The car is driven aggressively at peak lateral/longitudinal accelerations of 8 m/s $^2$ . On the first lap, despite the incorporation of a feedforward-feedback controller operating at a high sampling rate, several spikes in tracking error are visible due to transient vehicle dynamics.

However, the iterative learning algorithm is able to significantly

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Parameter	Symbol	Value	Units
Lookahead Distance	$x_{\mathrm{LA}}$	15.2	m
Lanekeeping Gain	$k_{ m LK}$	0.053	$\rm radm^{-1}$
Lanekeeping Sample Time	$t_s$	0.005	S
ILC Sample Time	$T_s$	0.1	S
Speed Tracking Gain	$K_x$	2500	$\mathrm{Nsm}^{-1}$
Q-ILC Matrix (Path)	T and $R$	I	-
Q-ILC Matrix (Path)	S	100 I	-
Q-ILC Matrix (Speed)	T	I	-
Q-ILC Matrix (Speed)	R	0	-
Q-ILC Matrix (Speed)	S	1e-7 I	-

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attenuate these transient spikes after just two or three laps. One of the most important features of the time series plot is that the learned steering corrections are applied slightly before a lateral path deviation was observed the prior lap (i.e. the steering corrections *lead* the observed error). This is because the ILC learns to anticipate repetitive disturbances and acts proactively.

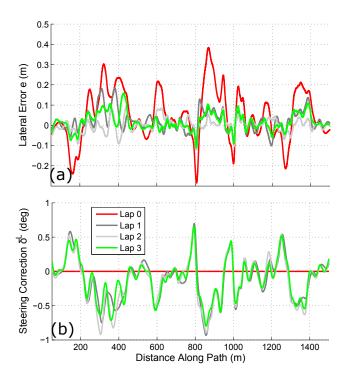


Fig. 9. Experimental results for path tracking error with Q-SISO learning controller, at peak lateral accelerations of  $8 \, \mathrm{m/s^2}$ .

Fig. 10 shows the iterative learning signals for the longitudinal speed control at a slightly higher acceleration of 8.5 m/s<sup>2</sup>. Again, in just two to three iterations, significant lags in the speed tracking are attenuated from s=800-900 meters and s=1200-1300 meters.

Notice that Fig. 10 has several regions where the speed error v<<0. These are straight regions of the track where is no true planned speed because the desired longitudinal action is to fully apply the throttle and go as fast as physically possible. For convenience, the ILC is programmed to saturate the longitudinal learning signal to 8000 Newtons. This is unlikely to affect convergence as the throttle is likely to already be fully applied.

In Fig. 11, root-mean-square tracking results are shown for a range of peak vehicle accelerations. The results show that at lower vehicle accelerations, the initial speed and lateral tracking errors (Iteration 0)

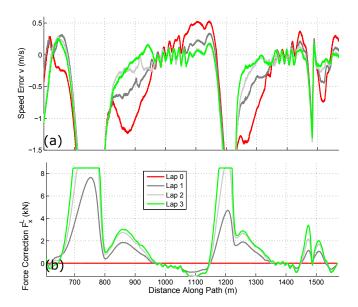


Fig. 10. Experimental results for speed tracking error with Q-SISO learning controller, at peak lateral accelerations of  $8.5 \text{ m/s}^2$ .

are smaller, as the built-in feedback-feedforward controller performs better. However, as the speed profile becomes more aggressive, the path and speed tracking degrades in the presence of highly transient tire dynamics. Regardless of the initial error, application of iterative learning control reduces the trajectory tracking errors significantly over just 2 or 3 laps. At an acceleration of 8.5 m/s<sup>2</sup>, for example, the RMS lateral tracking error is around 3 cm, on the order of the expected RMS error from the GPS position sensor.

On some tests, the RMS tracking error increases slightly from Lap 2 to Lap 3, and for the case where vehicle acceleration is  $9~{\rm m/s^2}$ , the lateral tracking error is constant from Lap 1 to Lap 2 before decreasing further in Lap 3. While not predicted in simulation, this behavior likely occurs because the repeating disturbance from lap-to-lap is not exactly constant, especially as the vehicle approaches the handling limits.

### III. ITERATIVE TRAJECTORY MODIFICATION A. Effect of Tire Slip Norm on Lap Time

Fig. 12 shows the complicated effect of driving at different levels of lateral and longitudinal acceleration for region 2 in Fig. 2. Recall that the speed profile is generated by assuming a global value of tire friction  $\mu$ , which is directly related to the acceleration norm of the desired speed profile  $\left(\sqrt{a_x^2+a_y^2}\right)$  by a factor of  $g=9.81~\mathrm{m/s^2}$ .

However, selecting a more aggressive value of  $\mu$  does not necessarily entail a faster experimental lap time. Consider the actual speeds of the vehicle in Fig. 12(b) when trying to experimentally follow three different speed profiles. For the case where  $\mu=0.9$ , the vehicle completes the turn without fully utilizing the tire's capability and achieves relatively low velocities. For the extreme case where  $\mu=0.95$ , the vehicle enters the turn at a high speed but then begins to slide as  $\zeta=1.6$ . While not shown in the plot, the saturation is occurring primarily at the front tires, causing an understeer that can cause the car to skid off the track. Completing the lap therefore requires a stabilizing action from the stability controller to slow the car down and regain control of the vehicle.

As a result, when the vehicle accelerates at the end of the turn, the actual vehicle speed at  $\mu=0.95$  is significantly slower than the case where  $\mu=0.9$ . A final "just right" value of  $\mu=0.93$  was also

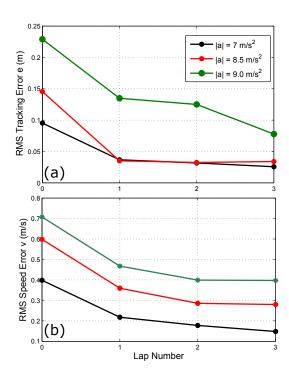


Fig. 11. Experimental RMS tracking error for the Q-SISO learning controller at several levels of lateral acceleration. (a) RMS lateral tracking error as a function of lap number/iteration number. (b) RMS speed tracking error as a function of lap/iteration number. Note that lap 0 corresponds to the baseline case where iterative learning control is not applied.

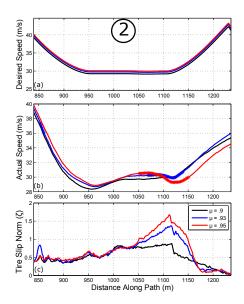


Fig. 12. (a) Desired speed for varying levels of  $\mu$  (b) Actual speed for varying levels of  $\mu$ . Asterisks correspond to regions where  $\zeta>1$ . (c) Tire slip norm for varying levels of  $\mu$ .

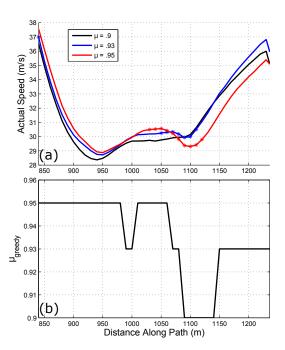


Fig. 13. (a) Desired speed for varying levels of  $\mu$  (b)"Greedy" value of  $\mu$  as a function of distance along track. Asterisks denote region of track where vehicle is understeering.

tested experimentally for this turn, and while the car does slide a bit at this level of driving (peak  $\zeta=1.3$ ), the needed stabilizing action is significantly smaller and the vehicle exits the turn with the highest speed.

#### B. Naive Method: Greedy Algorithm

Section III-A shows that to minimize the overall lap time, there is a need to generalize the speed profile such that different portions of the track can be driven with different values of  $\mu$ . In other words, the problem is to learn the "friction profile"  $\mu^*(s)$  along the path that minimizes the experimental vehicle lap time.

The simplest approach to finding  $\mu(s)$  is a greedy algorithm where a set of experimental data is collected for a variety of different speed profiles, each corresponding to a different value of  $\mu$  and therefore a different acceleration level. The greedy approach is then to discretize the track into a number of small sections and pick the value of  $\mu$  for each section that corresponds to the highest observed experimental velocity. The final desired velocity profile is then generated using the numerical integration approach presented in [35]. This method does not require a single value of friction across the whole track, and generates a smooth velocity profile even when the peak acceleration limits vary from point to point.

A plot showing the results of applying the greedy algorithm for section  $\bigcirc$  is shown in Fig. 13. The flaw in simply selecting  $\mu$  based on the highest speeds is apparent at  $s=1050\mathrm{m}$ . The greedy algorithm suggests the vehicle mostly drive at  $\mu=0.95$ , but then switch to driving at  $\mu=0.93$  as soon as the vehicle begins to slide. Switching to a less aggressive velocity profile at this point is impossible to achieve in practice, because the vehicle is already fully sliding and has no control until the vehicle slows down.

#### C. Framing Trajectory Learning as Search Problem

Given the inadequacy of the greedy algorithm, a more sophisticated approach is necessary to learn  $\mu^*(s)$  from experimentally observed data. This section frames the desire to find the minimum time  $\mu^*(s)$ 

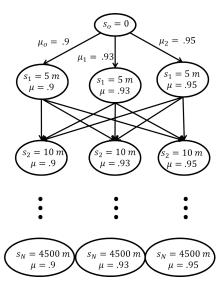


Fig. 14. Sample search tree for Thunderhill race track where there are only 3 experimentally observed full laps at  $\mu = 0.9, 0.93, 0.95$ .

as a tree search problem. Consider discretizing the racing path into N evenly spaced segments. For example, on the Thunderhill Raceway with  $\Delta s=5\mathrm{m},~\mathbf{s}=[0~5\dots s_k\dots 4495~4500]$  for  $k=0\dots N$ , with N=901. For each path distance  $s_k$ , there are  $M_k$  velocity and  $M_k$  tire slip observations from experimental data, each corresponding to a different  $\mu$ . For example, looking at Fig. 12, if  $k=191,~s_k=950\mathrm{m},~M_k=3$ , the velocity and slip norm  $\zeta$  observations  $U_k(\mu)$  and  $Z_k(\mu)$  are shown in Table II:

## $\begin{array}{c|c} & \text{TABLE II} \\ U_k(\mu) \text{ AND } Z_k(\mu) \text{ FOR } k = 191 \\ \underline{\mu} & U_x(m/s) & \zeta \\ \hline 0.9 & 28.42 & 0.55 \\ 0.93 & 28.91 & 0.63 \\ 0.95 & 29.14 & 0.66 \\ \end{array}$

 $M_k$  is not necessarily the same for all k to account for experimental trials that do not cover the full lap. For safety and time reasons, some parts of the track will only have experimental data collected at two different friction values, while others may have five or six.

Nodes of the search tree are then defined as a two-element state tuple, with the first state element being  $s_k$  and the second element being the current friction coefficient  $\mu_k$ . Since the car must start from the beginning of the path, the first state has  $s_0=0$ . The  $M_k$  edges from a given node correspond to actions that the car can take at  $s=s_k$ . In this case, the "action" is the next value of  $\mu$ , and the successor states are  $(s_{k+1},\mu_1)...(s_{k+1},\mu_{M_k})$ . A diagram of the search tree is shown in Fig. 14.

Each edge is associated with a travel cost  $c_t$ . The travel cost for a given edge is the amount of time it takes to go from  $s=s_k$  to  $s=s_{k+1}$  driving at the friction coefficient  $\mu$  associated with that edge. As illustrated in Fig. 15, the cost is estimated from the experimentally observed data assuming linear acceleration between points. The travel cost from node  $(s_k, \mu_k)$  to  $(s_{k+1}, \mu_{k+1})$  can therefore be expressed mathematically with trapezoidal integration

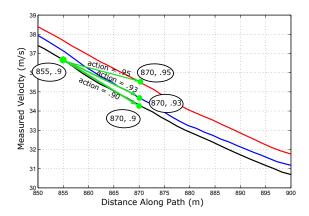


Fig. 15. Illustration of travel cost. Current state is (855, 0.9). Assuming  $\Delta s$  is 15 meters, cost is time to travel from this node to one of the three possible successor nodes, depending on the action taken.

of the straight-line velocity profile:

$$c_t(s_k, s_{k+1}, \mu_k, \mu_{k+1}) = \ln \frac{a_x(\Delta s) + U_k(\mu_k)}{a_x} - \ln \frac{U_k(\mu_k)}{a_x}$$
(33)

$$a_x = \frac{U_{k+1}(\mu_{k+1}) - U_k(\mu_k)}{\Delta s}$$
 (34)

In addition to the travel cost, there is also a *switching* cost  $c_s$  associated with switching to a different value of  $\mu$ . These are determined by the observed tire slip norm measurements  $Z_k(\mu)$ . The switching cost is expressed mathematically as:

$$c_s(s_k, s_{k+1}, \mu_k, \mu_{k+1}) = \tag{35}$$

$$\mathbb{1}\left(\mu_{k+1} \neq \mu_k\right) \left(\lambda + \infty \times \mathbb{1}\left(Z_k(\mu_k) > 1\right)\right)$$

Where 1 is the indicator function. The switching cost function (35) implies that an action will never incur a switching cost if the selected value of  $\mu$  is unchanged from the previous selection. If the value of  $\mu$  does change, there is a small switching penalty  $\lambda$ , chosen by trial and error to discourage the search algorithm from changing the friction profile to gain a trivial decrease in lap time. Additionally, there is a very large (infinite) switching penalty if the search algorithm attempts to change the friction profile while the vehicle's tires are saturated ( $\zeta > 1$ ). This reflects the physical inability of the car to control its trajectory while sliding and is what separates the search algorithm from the greedy algorithm. A diagram demonstrating (35) is shown in Fig. 16.

#### D. A\* Search Algorithm and Heuristic

Once the tree is mathematically defined in terms of the start state, nodes, edges, and costs, the search problem is to find the sequence of actions from the start node to any terminal node that minimizes the total cost. In our case, the start state, nodes, edges and costs were defined in the previous section, and a terminal node is any node at the end of the path (i.e. k=N). The sequence of actions in our case is the friction profile  $\mu=[\mu_1\ldots\mu_k\ldots\mu_N]$ , which determines how aggressively the vehicle will drive on every part of the track. The total cost is the sum of all individual travel costs  $c_t$  and switching costs  $c_s$ , and has intuitive units of time.

For the purpose of solving this search problem, the A\* search algorithm is used. Like breadth-first search, the A\* algorithm is guaranteed to find the lowest cost path from a start node to a goal node, but uses a priority queue data structure to more efficiently

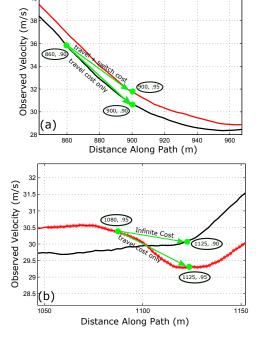


Fig. 16. (a) Costs when vehicle currently is not sliding. The vehicle can switch to a more aggressive velocity profile, paying a small switching penalty, or can continue on the current profile. (b) Costs when vehicle is currently sliding. Vehicle has no choice but to continue on current trajectory. Asterisks denote regions where  $\zeta > 1$  and the vehicle is sliding.

explore the search tree. Frontier leaf nodes n being considered for exploration are ranked according to the following modified cost:

$$f(n) = g(n) + h(n) \tag{36}$$

where g(n) is the true cost to go from the start node to node n. The function h(n) is a heuristic estimate of the cost to get from node n to any goal state (i.e. to the end of the path). For  $A^*$  to be guaranteed to find the shortest path, h(n) must be *admissible*, meaning that h(n) must underestimate the true cost of getting to the end of the path.

In our case, we have a very intuitive heuristic function h(n) for the A\* implementation. In Sec. III-B, the greedy approach was discussed. Define  $\mathbf{U}_{\mathbf{g}} = [U_{\mathbf{g}}(1) \dots U_{\mathbf{g}}(k) \dots U_{\mathbf{g}}(N)]$  to be the highest observed experimental speed for each index  $s_k$ . Because we know tracking this greedy profile is physically impossible due to vehicle sliding, time estimates from this profile will always underestimate the true cost. We therefore define h(n) as follows:

$$h(n) = \int_{s_R}^{s_N} \frac{1}{U_q(s)} ds \tag{37}$$

where  $s_n$  is the value of s corresponding to node n and  $s_N$  is the total length of the track. While (37) is an integral equation, it can be solved for the discrete array  $\mathbf{U}_g$  via trapezoidal numerical integration.

#### E. A\* Implementation and Results

Because the A\* algorithm relies on experimental observations to learn  $\mu^{\star}(s)$ , experimental data was collected over several trials, with each trial consisting of a speed profile generated with a constant  $\mu$  value over the track. The  $\mu$  values chosen for experimental data collection were 0.85, 0.9, 0.92, 0.93, 0.94, 0.95, and 0.97.

Due to safety and time constraints associated with collecting high speed race data, only the speed profiles corresponding to  $\mu=0.92$ 

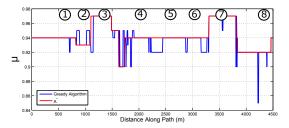


Fig. 17. Minimum time  $\mu(s)$  profile for Thunderhill, for both the A\* solution and greedy algorithm solution.

and  $\mu=0.94$  were observed over the whole track. The other speed profiles were only tested on critical sections of the track.

#### TABLE III SEARCH ALGORITHM INFORMATION Parameter Value Units Symbol Track Length L4500 Discretization Length 5 $\Delta s$ $_{\mathrm{m}}$ N901 Number of Points Switching Cost 0.05 CPU Solution Time 70 Nodes Explored

The resulting  $\mu^*(s)$  profile associated with the minimum lap time on Thunderhill Raceway is shown in Fig. 17. For comparison, the A\* solution is plotted against the greedy algorithm solution. Because of the incorporation of switching costs, the A\* algorithm switches  $\mu$  values only when necessary to achieve a nontrivial increase in lap time, and only switches  $\mu$  when the vehicle is not sliding. The same results are plotted on a map of the track in Fig. 18. A satisfying observation is that the optimal profile reduces  $\mu$  to 0.93 in section 2 to be more conservative. This matches our observations about tire slip norm  $\zeta$  in Sec. III-A.

Predicted lap time results are shown in Table IV. Notice that the  $A^*$  predicted lap time is slightly slower than the greedy algorithm prediction, which is expected given the physical infeasability of the greedy algorithm assumptions. The results also indicate that a significant lap time improvement can be expected over a velocity profile generated with a constant  $\mu$ .

# TABLE IV LAP TIMES Driver Lap Time Constant $\mu(s) = 0.94$ 139.2 Constant $\mu(s) = 0.92$ 139.4 Greedy $\mu_g(s)$ (Prediction) 136.4 A\* $\mu^*(s)$ (Prediction) 136.8

Fig. 18 provides interesting insights about what the A\* algorithm is learning. Section 2 is a long, sweeping turn with mostly steady-state cornering dynamics, so the optimal friction value of .93 is in the middle of the range of possible values and representative of the average friction between the tires and the track. Sections 3 and 7 represent short turns followed by a turn in the opposite direction. For these turns, the algorithm has learned it is better to drive a little faster than the true friction limit would dictate, because by the time the vehicle begins to understeer, the turn is already complete and the vehicle can reverse the steering quickly while following the desired path. Section 8 occurs before a long straight section of the track where recovering from an understeer would result in a significantly lower speed on the fastest part of the track. As a result, the algorithm's

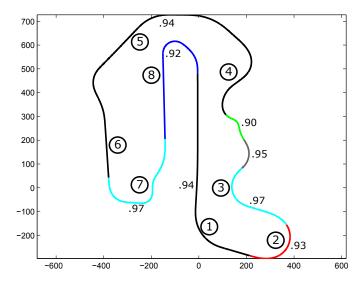


Fig. 18. Minimum time  $\mu^*(s)$  profile from A\* algorithm plotted on map of Thunderhill.

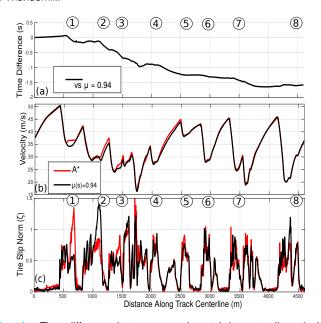


Fig. 19. Time difference between experimental dataset collected with A\* result  $\mu^*(s)$  and constant friction velocity profile at  $\mu=0.94$ . Negative time distance corresponds to A\* result being ahead. (b) Experimental velocities between both experimental datasets. (c) Tire slip norm measurements for both datasets.

planned acceleration is more conservative.

#### F. Experimental Validation

The best validation of the A\* algorithm is to experimentally drive the optimal velocity profile  $U_x^\star(s)$  generated from  $\mu^\star(s)$ . From Fig. 17, this velocity profile will have accelerations as low as 9.0 m/s² on some turns, and as high as 9.7 m/s on others. Fig. 19 shows autonomous experimental data from driving  $\mu^\star(s)$  compared to one other experiment¹. The comparison experiment is a fully autonomous test with a constant  $\mu=0.94$ .

<sup>1</sup>There was a minor change between the optimal  $\mu$  profile from Fig. 17 and what was tested experimentally. For section (2), the value of  $\mu$  was set to 0.92 as opposed to 0.93. This was a safety measure taken based on preliminary testing.

The experimental results show solid performance of the learned friction profile  $\mu^*(s)$ . From Fig. 19(a), the lap time using the learned friction profile is roughly 1.5 seconds faster than the lap time from the constant friction profile. A significant part of this improved performance comes through more efficient friction usage. For example, in sections 2 and 3, learning to drive more cautiously enables the tire slip norm 3 to drop closer to 1, avoiding a costly understeer. In sections 3 and 3, the 3 algorithm has learned that more aggressive driving is possible, increasing 3 closer to 3.

#### IV. CONCLUSION

This paper presents two complementary approaches to gradually improve the driving performance of an autonomous race car over time. Iterative learning control (ILC) formulations gradually determine the proper steering and throttle inputs to precisely track the desired racing trajectory. Experimental results at combined vehicle accelerations of 9 m/s<sup>2</sup> indicate that the proposed algorithm can rapidly attenuate trajectory following errors over just two or three laps of racing. This paper also presents a trajectory modification algorithm to minimize experimental lap times by learning different acceleration limits for each turn on the track. An A\* search algorithm is devised to search through experimental data and find the best value of  $\mu$  for each portion of the track in order to globally minimize the resulting lap time. Key developments of this algorithm include designing an appropriate A\* heuristic to minimize the needed computation time and designing the cost function to account for the physical difficulty of altering the vehicle's trajectory while understeering or oversteering.

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<sup>2</sup>Note that due to time constraints, both datasets were all taken on different dates, meaning some variation in weather and tire conditions is possible for each test.

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