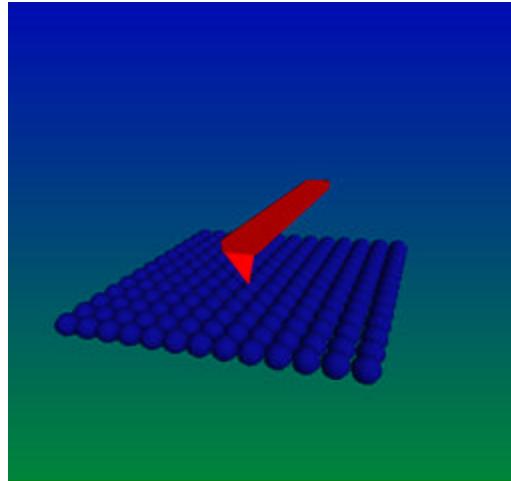


Atomic Force Microscopy (AFM)



Arvind Raman, Associate Professor

Mechanical Engineering

Birck Nanotechnology Center

*NASA Institute of Nanoelectronics and Computation (I
NAC)*

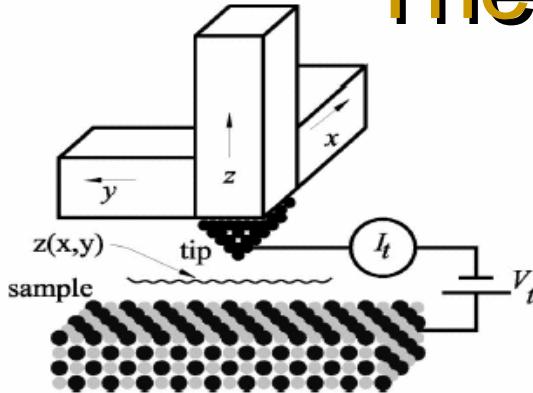
Further reading

- J. Gomez-Herrero, and R. Reifenberger, “Scanning Probe Microscopy”, to appear in *Encyclopaedia of Condensed Matter Physics*, edited by F. Bassani, J. Leidl, and P. Wyder, Elsevier Science Ltd., 2004.
- D. Sarid, *Scanning Force Microscopy with Applications to Electric, Magnetic and Atomic Forces*, Revised Edition, Oxford University Press, 1994.
- U. Dürig, “Interaction sensing in dynamic force microscopy”, *New Journal of Physics*, Vol. 2, pp. 5.1-5.12, 2000.
- F. Giessibl, “Advances in atomic force microscopy”, *Reviews of Modern Physics*, Vol. 75, pp. 949-983, 2003.
- R. García, R. Pérez, “Dynamic atomic force microscopy methods”, *Surface Science Reports*, Vol. 47, pp. 197-301, 2002.
- B. Cappella, G. Dietler, “Force-distance curves by atomic force microscopy” *Surface Science Reports*, Vol. 34, pp. 1-104, 1999.

Outline

- History of Atomic Force Microscopy (AFM)
- Instrumentation
- Static force-distance curves and force spectroscopy
- Dynamic AFM and force gradient spectroscopy
- Imaging
- Applications and emerging areas

The starting point- STM



F. Giessibl's
Rev. Mod. Phys.



FIG. 3. Energy diagram of an idealized tunneling gap. The image charge effect (see Chen, 1993) is not taken into account here.

FIG. 2. A scanning tunneling microscope (schematic).

- Binnig, Gerber, Rohrer, Wiebel (1982)
- Binnig and Rohrer awarded Nobel Prize in Physics in 1986 for STM
- If $|V_t|$ is small compared to workfunction Φ , and tunneling current is given by $I_t(z) = I_0 e^{-2k_t z}$ where z is the gap, I_0 is a function of the applied voltage and the density of states in the tip and the sample, and $k_t = \sqrt{2m\Phi / \hbar}$
- For most metals, $\Phi \sim 4\text{eV}$, so that $\kappa_t = 1\text{\AA}^{-1}$
- Most current carried by “front atom” blunt tips, so atomic resolution possible even with relatively blunt tips
- Only electrically conductive samples, restricting its principal use to metals and semi-conductors

The AFM

G. Binnig, C. F. Quate and Ch. Gerber, PRL 56, 930 (1986)

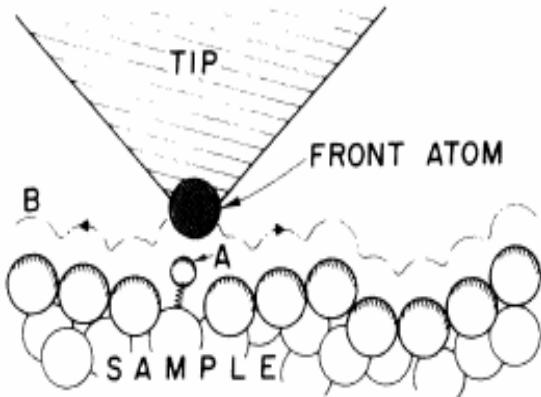
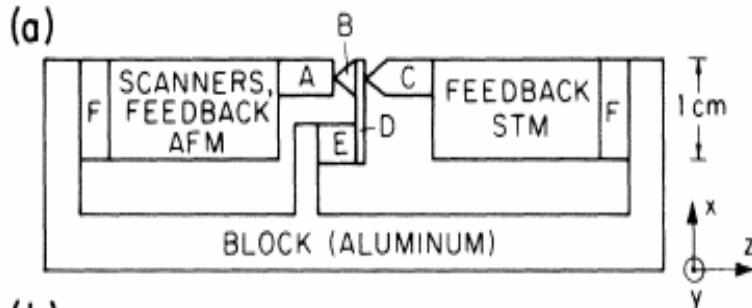


FIG. 1. Description of the principle operation of an STM as well as that of an AFM. The tip follows contour *B*, in one case to keep the tunneling current constant (STM) and in the other to maintain constant force between tip and sample (AFM, sample, and tip either insulating or conducting). The STM itself may probe forces when a periodic force on the adatom *A* varies its position in the gap and modulates the tunneling current in the STM. The force can come from an ac voltage on the tip, or from an externally applied magnetic field for adatoms with a magnetic moment.



(a)
SCANNERS,
FEEDBACK
AFM
FEEDBACK
STM
BLOCK (ALUMINUM)
B
C
D
E
F
1 cm
x
y
z

(b)
A: AFM SAMPLE
B: AFM DIAMOND TIP
C: STM TIP (Au)
D: CANTILEVER,
STM SAMPLE
E: MODULATING PIEZO
F: VITON
25 μ m
.25 mm
DIAMOND
TIP
.8 mm
LEVER
(Au-FOIL)

FIG. 2. Experimental setup. The lever is not to scale in (a). Its dimensions are given in (b). The STM and AFM piezoelectric drives are facing each other, sandwiching the diamond tip that is glued to the lever.

- Binnig invented the AFM in 1986, and while Binnig and Gerber were on a Sabbatical in IBM Almaden they collaborated with Cal Quate (Stanford) to produce the first working prototype in 1986

Early AFM Images

G. Binnig, C. F. Quate and Ch. Gerber, PRL 56, 930 (1986)

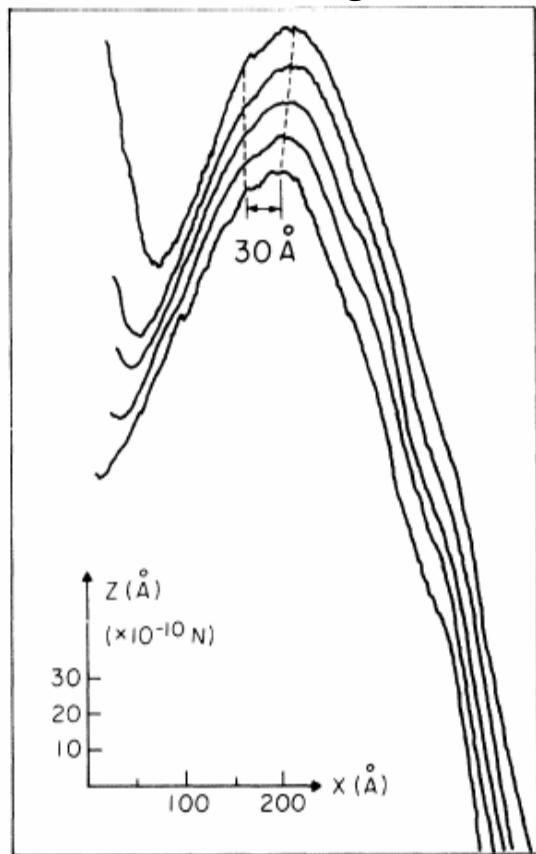


FIG. 3. The AFM traces on a ceramic (Al_2O_3) sample. The vertical scale translates to a force between sample and tip of $10^{-10} \text{ N}/\text{\AA}$. For the lower trace the force is $3 \times 10^{-8} \text{ N}$. The stability of the regulated force is better than 10^{-10} N . The successive traces are displaced by a small amount along the y axis.

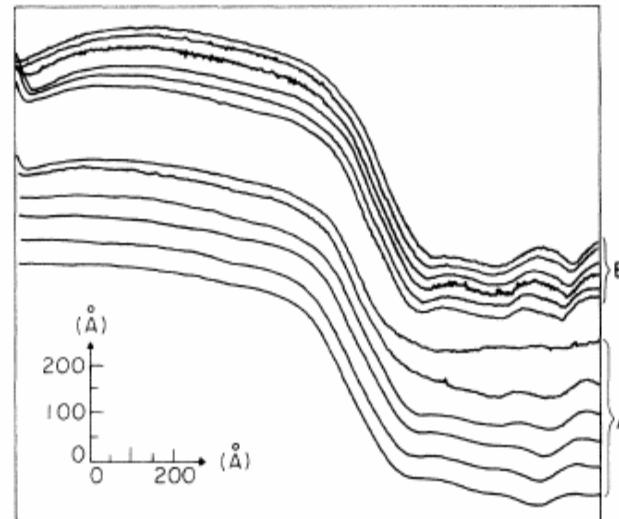
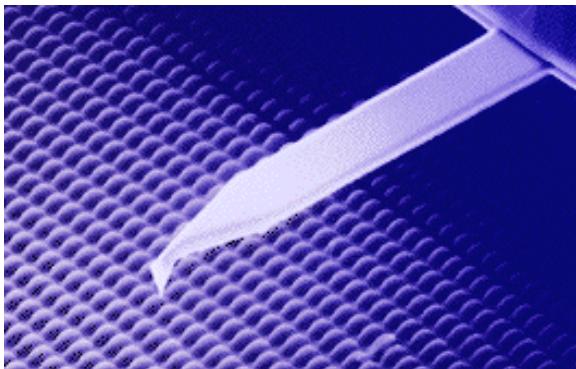


FIG. 4. The AFM traces for another area of the ceramic sample. The curves grouped under *A* were recorded with additional low-pass filtering. For this set the stabilizing force, f_0 , was reduced by thermal drifts as we moved from the lowest to the highest traces of set *A*. The force f_0 is near 10^{-8} N for the highest curve. We note that the structure vanishes on the traces when the sample-to-tip force is reduced below this level. The force f_0 was reset to a higher value near $5 \times 10^{-8} \text{ N}$ for the traces marked *B*.

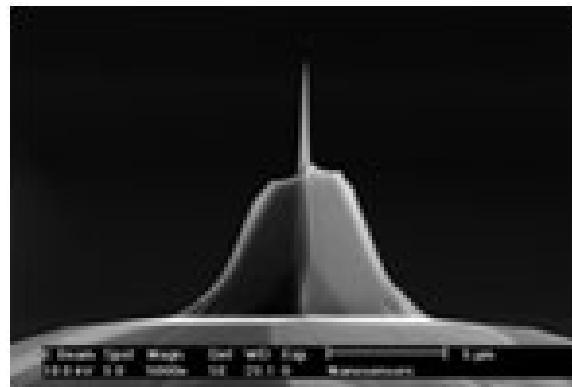
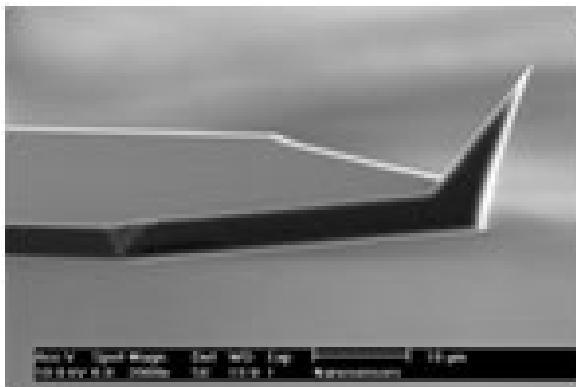
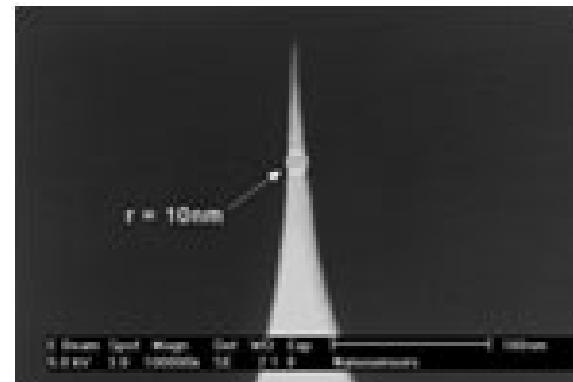
Outline

- History of Atomic Force Microscopy (AFM)
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- Dynamic AFM and force gradient spectroscopy
- Imaging
- Applications and emerging areas

The microcantilever – the force sensor

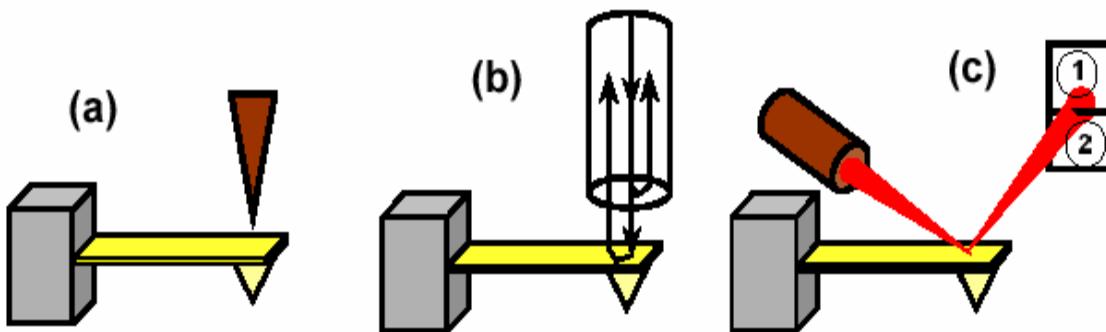


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www.nanosensors.com

Detecting deflection



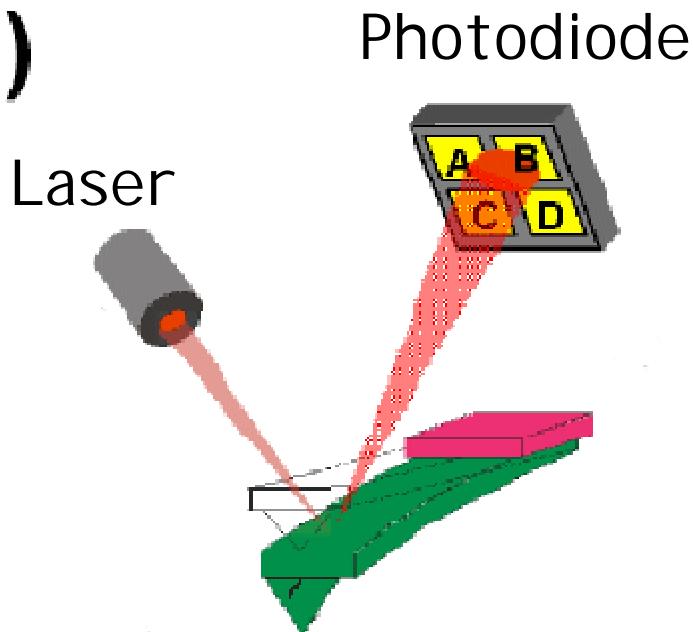
- STM tip
- Capacitance/laser interferometry
- **Beam deflection**



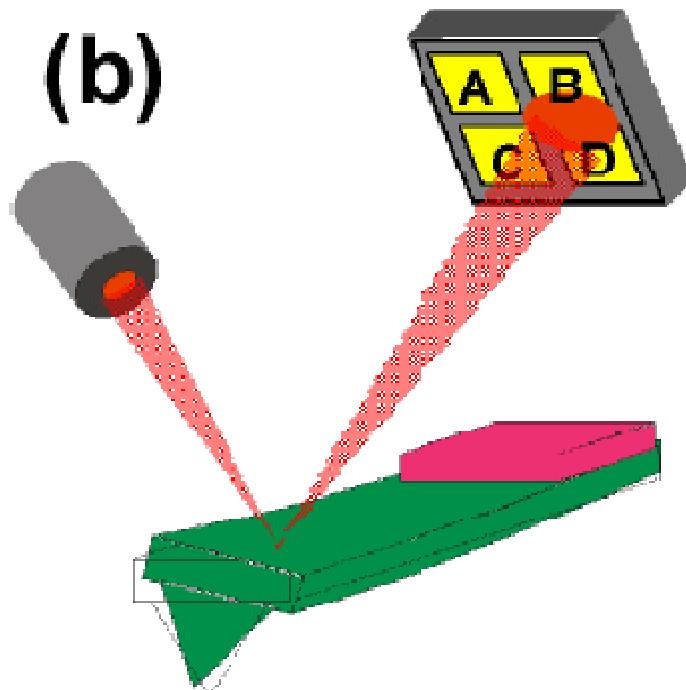
Courtesy- J. Gomez, UAM, Spain

The beam deflection method

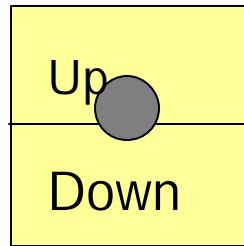
(a)



(b)



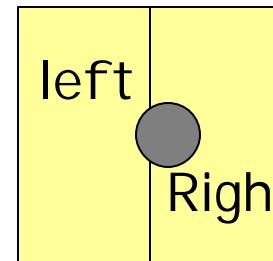
a) Normal force



$A+B= UP$

$C+D= DOWN$

b) Lateral Force



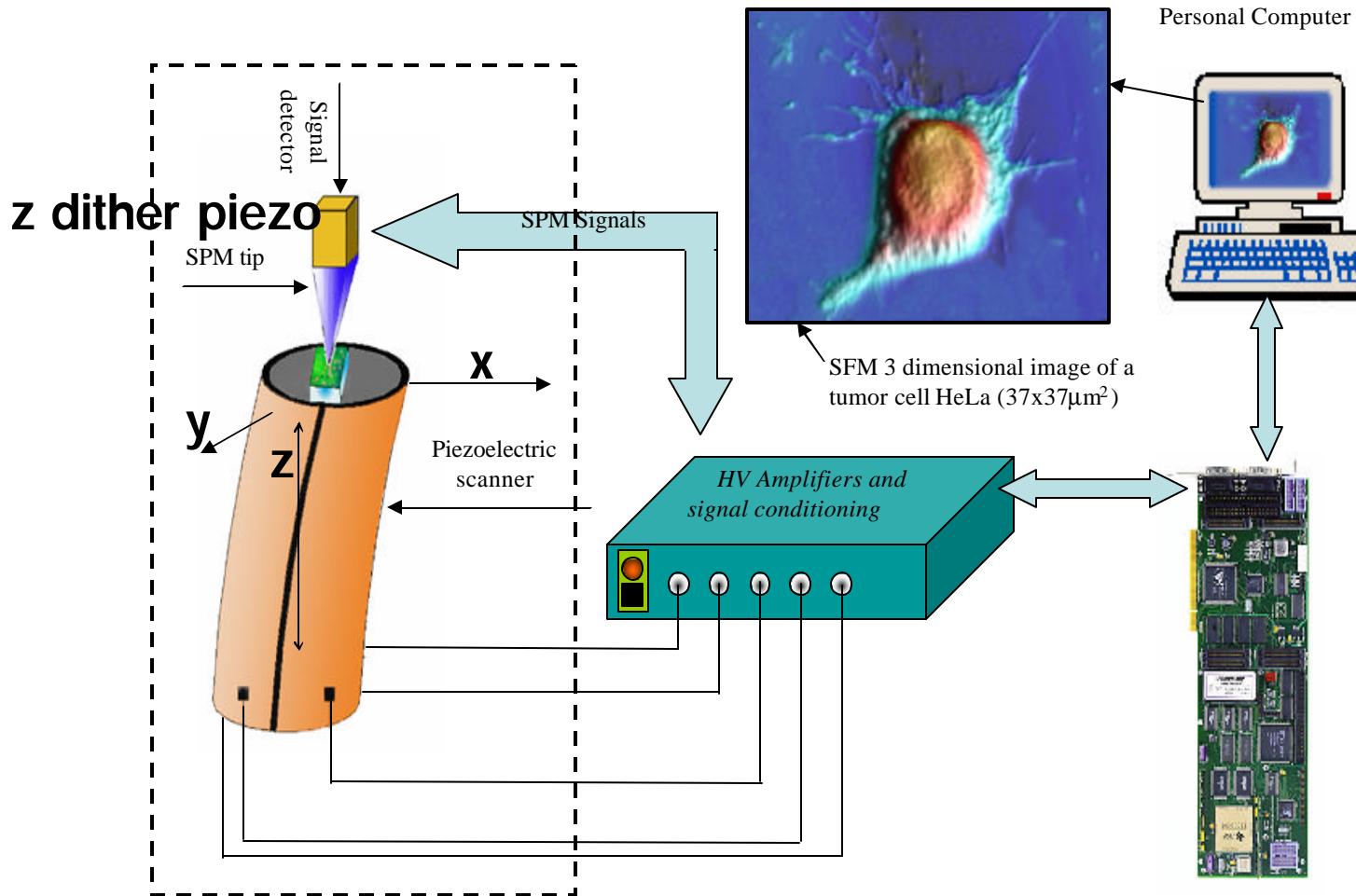
$A+C= LEFT$

$B+D= Right$

Courtesy- J. Gomez-Herrero, UAM, Spain

PURDUE
UNIVERSITY

AFM Block Diagram



Courtesy - J. Gomez-Herrero, UAM, Spain

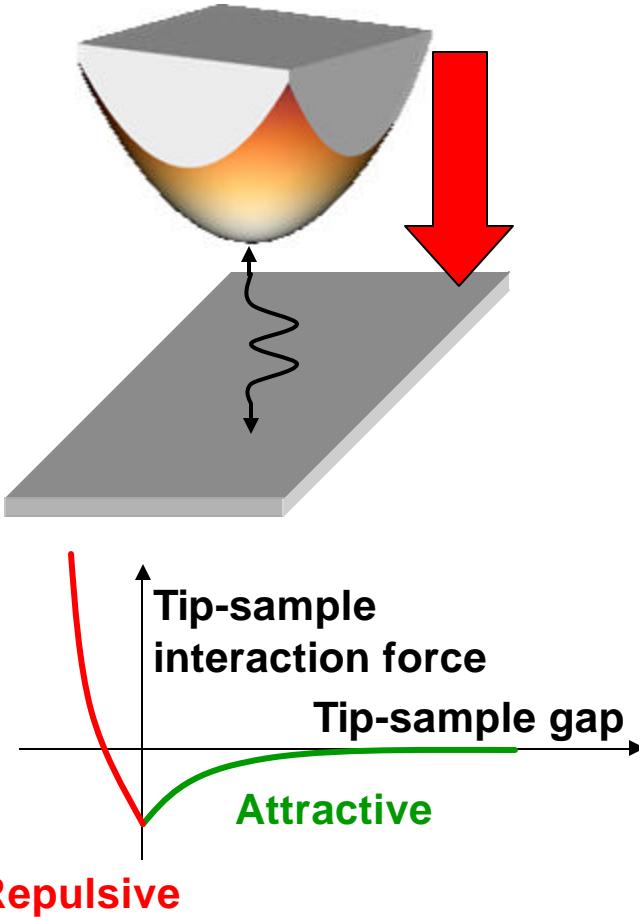
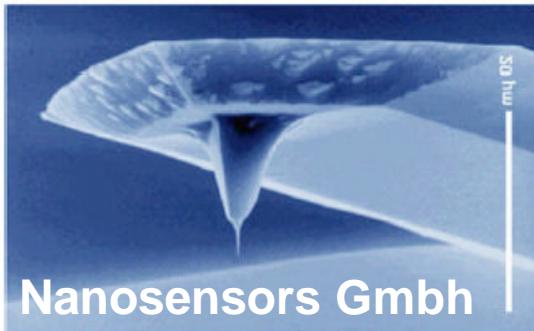
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Digital Signal
Processor

Outline

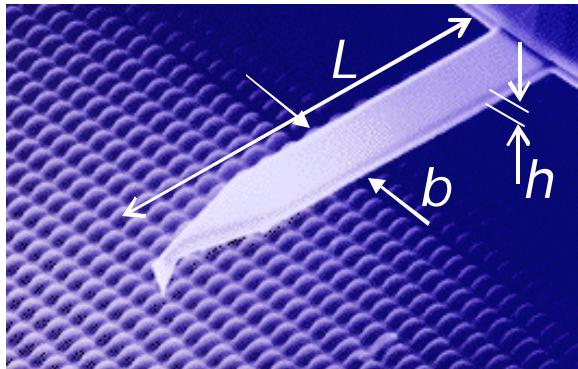
- History of Atomic Force Microscopy (AFM)
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Tip-sample interaction forces in AFM

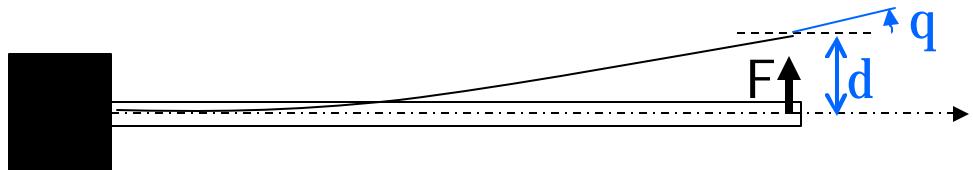


- Long-range electrostatic and magnetic forces (upto 100 nm)
- Capillary forces (few nm)
- Van der Waals forces (few nm) that are fundamentally quantum mechanical (electrodynamic) in nature
- Casimir forces
- Short-range chemical forces (fraction of nm)
- Contact forces
- Electrostatic double-layer forces
- Solvation forces
- Nonconservative forces (Dürig (2003))

The microcantilever – the force sensor

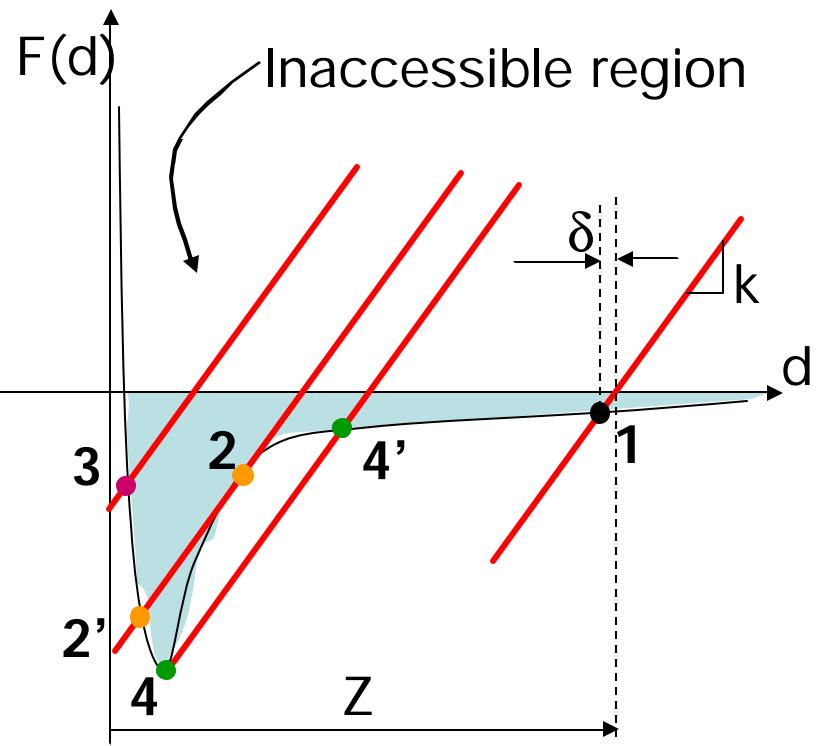
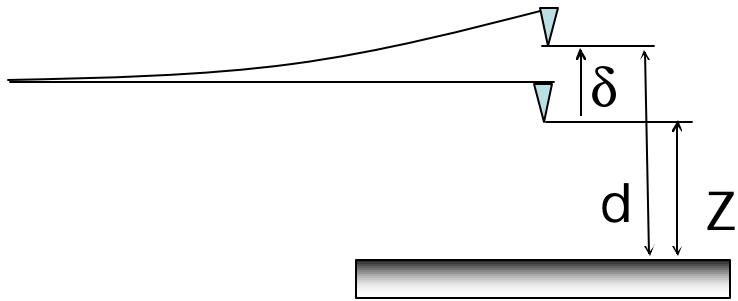


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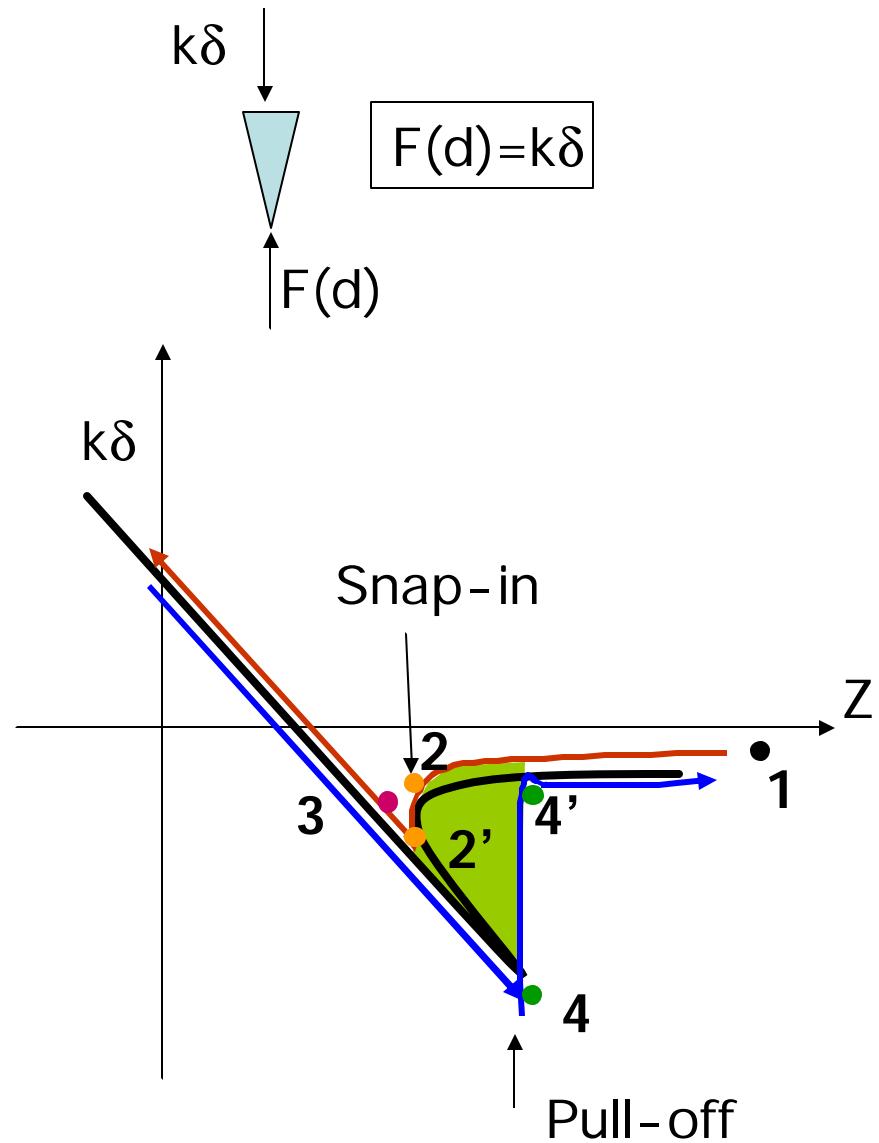


- From elementary beam theory, if E =Young's modulus, $I=bh^3/12$ then
- $\delta=w(L)=F L^3/(3EI)$, and $\theta=dw(L)/dx=FL^2/(2EI)$
- Deflection and slope linearly proportional to force sensed at the tip
- $k=3EI/L^3$ is called the bending stiffness of the cantilever

Force-displacement curves

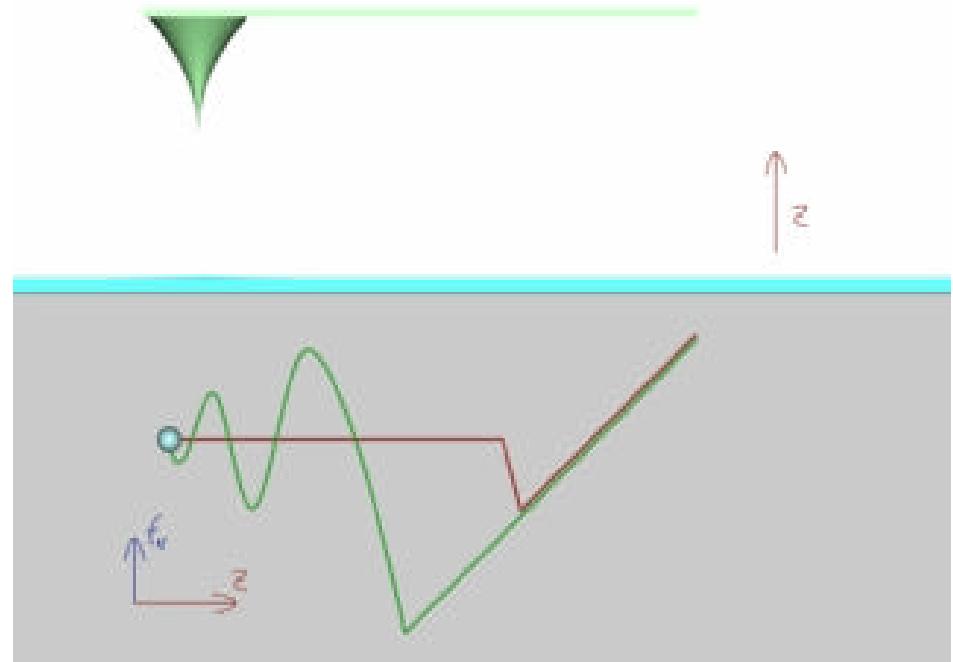
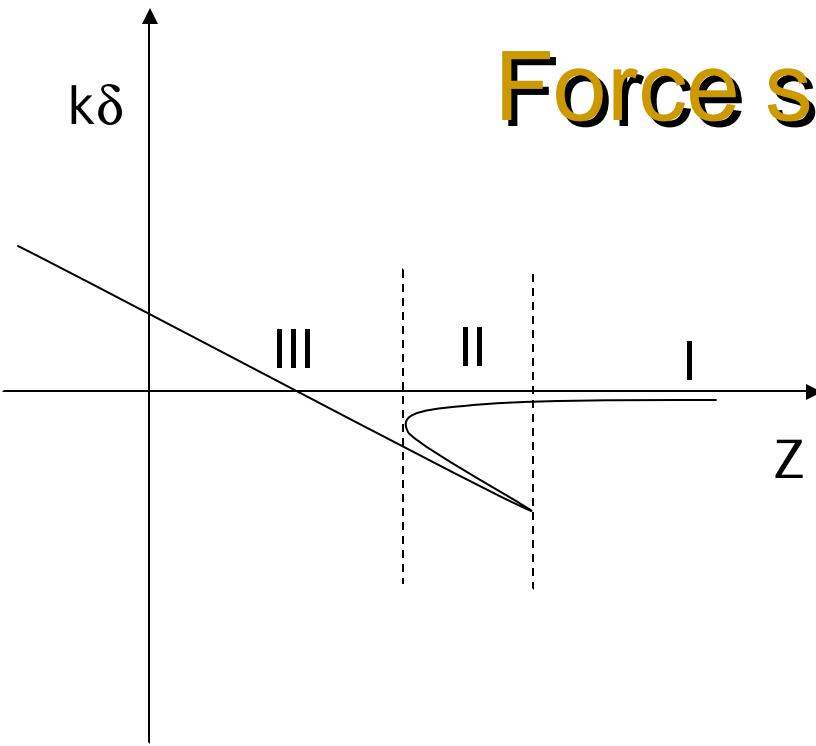


$W_{\text{Adhesion}} = \text{blue shaded area above}$



$W_{\text{Cantilever}} = \text{shaded area above}$

Force spectroscopy



- Three distinct regions
- If k is known then from the static-force distance curve, $F(d)$ can be calculated for all d except for inaccessible range near snap-in
- It can be shown that $W_{\text{Cantilever}}$ is related to the W_{Adhesion}
- Slope in III is good measure of repulsive forces (local elasticity)

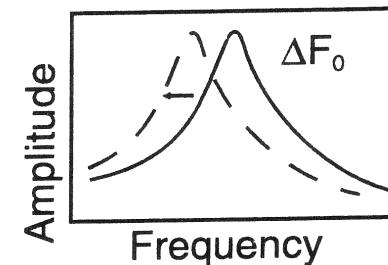
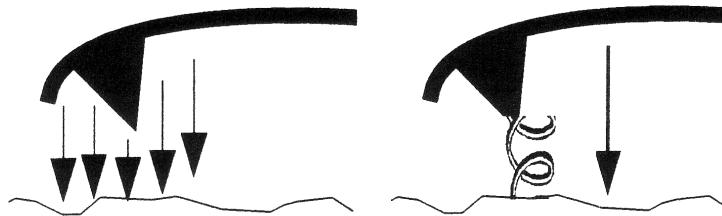
Animation courtesy J. Gomez-Herrero, UAM, Spain

Outline

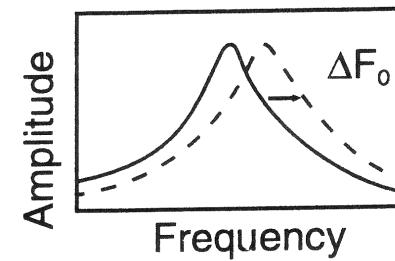
- History of Atomic Force Microscopy (AFM)
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Dynamic AFM

- Cantilever driven near resonance
- Non-contact AFM, Tapping mode AFM, Amplitude Modulated AFM, Frequency Modulated AFM are all dynamic AFM
- The cantilever's resonant frequency, phase and amplitude are affected by short-scale force gradients



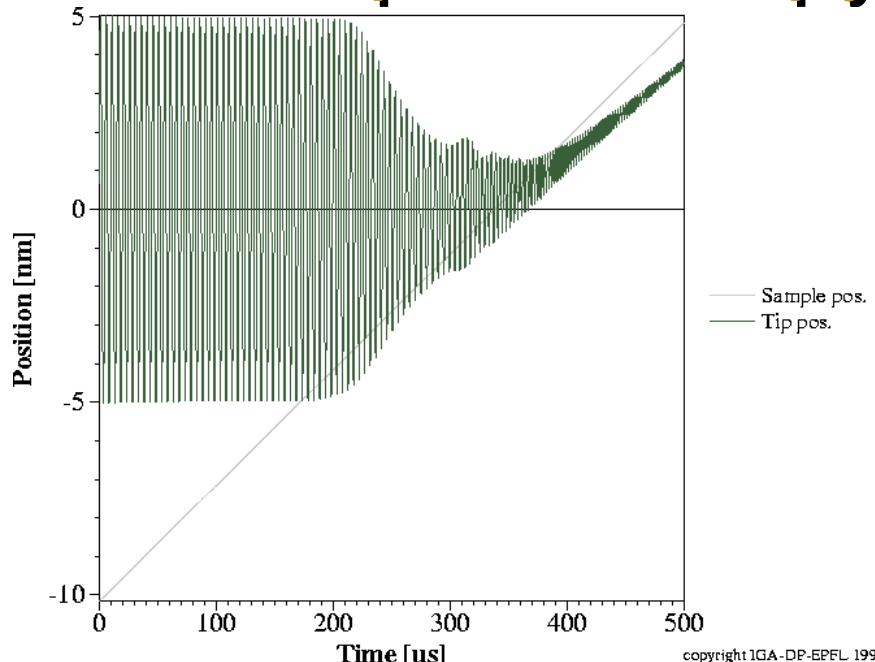
Attractive gradient equivalent to additional spring in tension attached to tip, reducing the cantilever resonance frequency.



Repulsive gradient equivalent to additional spring in compression attached to tip, increasing the cantilever resonance frequency.

Dynamic AFM & force gradient spectroscopy

- Variation of amplitude, resonance frequency, and phase measured as Z is decreased
- From this it is possible to reproduce the Force gradients between the tip and the sample
- Even non-conservative interactions can be resolved
- Offers many advantages over static-force distance curve based force spectroscopy
- Quantitative information is hard to come by because the forces are nonlinear



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Simulation results
DMT contact mechanics
v. d. Waals surface force

Input parameters

Din = -10.0 [nm]
PPA tip = 10.0 [nm]
Fex = .2 [MHz]
V = 3.00000000000000E-02 [nm/us]
Q = 100.0
Fre = .2 [MHz]
kc = 10.0 [N/m]
R = 10.0 [nm]
K = 10.0 [GPa]
rat = 1.0
Deq = .165 [nm]
w = 7.19999999999999E-02 [J/m^2]

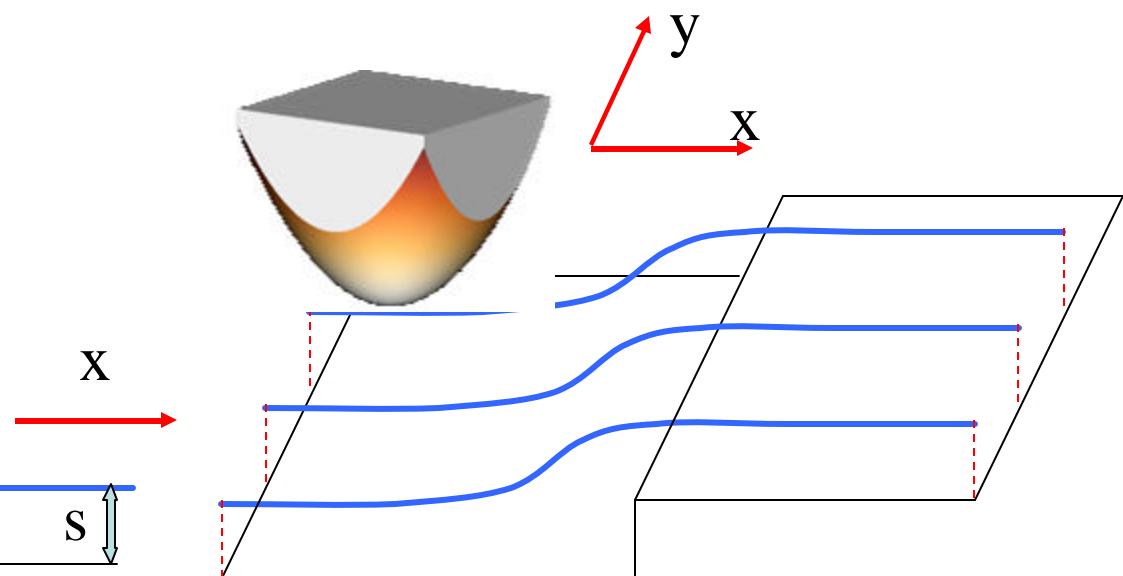
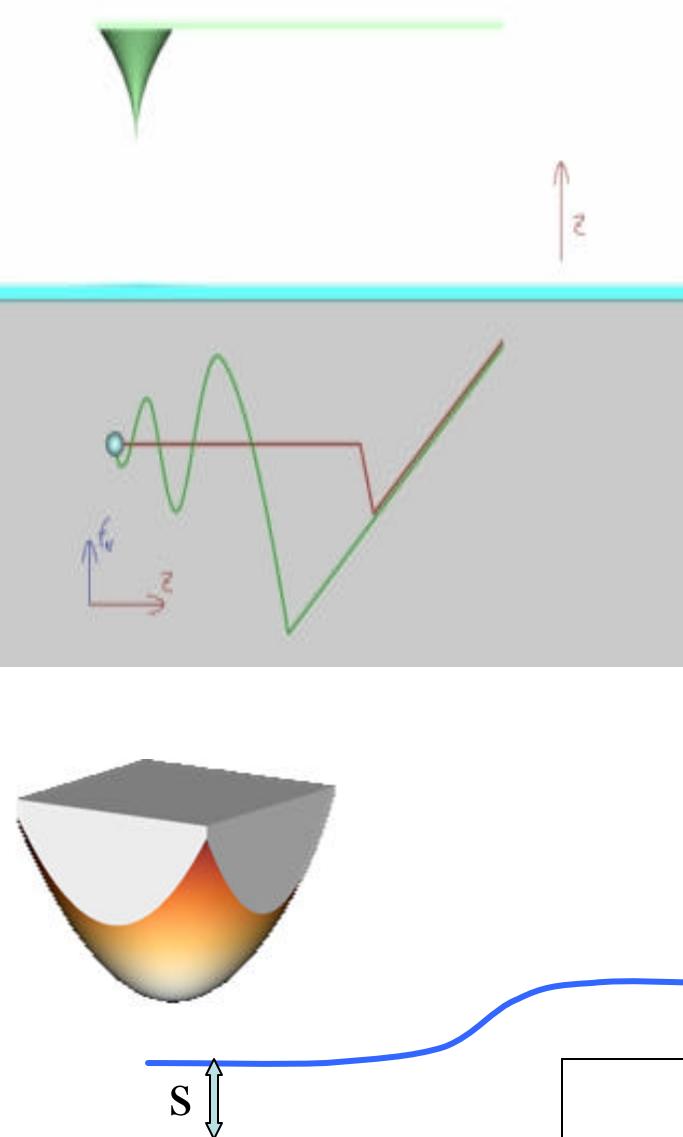
Computed data

m* = 6.33257397599238 [pkg]
lambda = .6847861733899854
bc = 6.283028234257542E-03 [1/us]
bi = 6.283028234257542E-03 [1/us]
D0 = .0 [nm]
Dm = .0 [nm]
Dnorm = .1723144028935211 [nm]
Pnorm = .4178408698922936 [GPa]

Outline

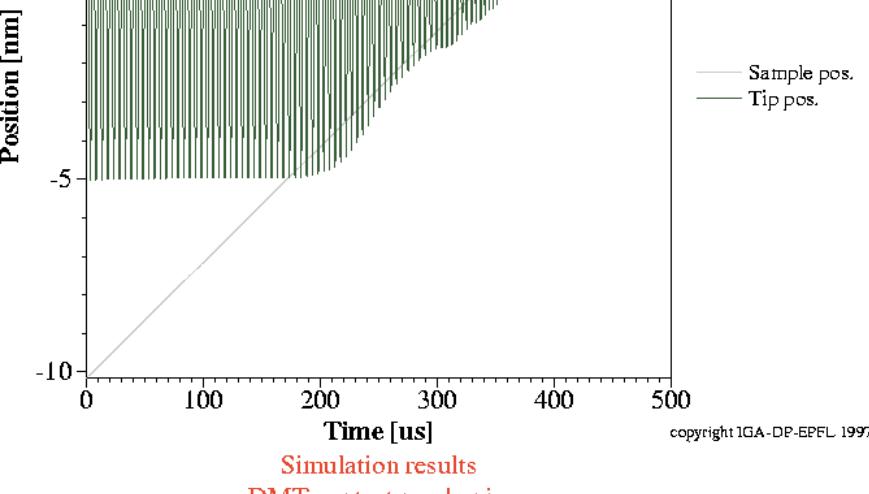
- History of Atomic Force Microscopy (AFM)
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Contact Mode Imaging



First tip contacts surface with some setpoint normal force which is kept constant during the scan

Tapping Mode



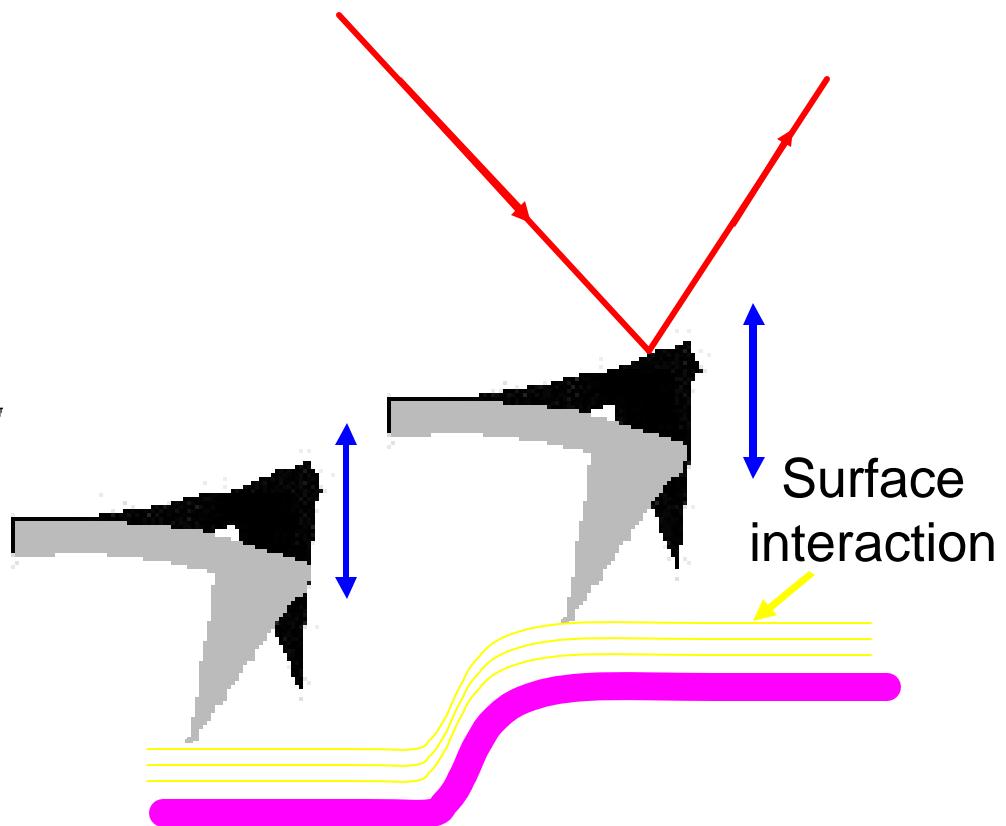
copyright IGA-DP-EPFL 1997

Input parameters

```
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PPA tip = 10.0 [nm]
Fex = .2 [MHz]
V = 3.00000000000000E-02 [nm/us]
Q = 100.0
Fre = .2 [MHz]
kc = 10.0 [N/m]
R = 10.0 [nm]
K = 10.0 [GPa]
rat = 1.0
Deq = .165 [nm]
w = 7.19999999999999E-02 [J/m^2]
```

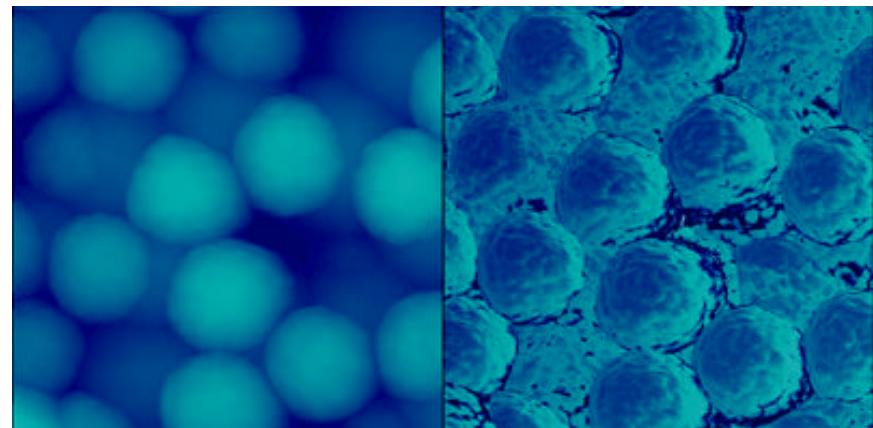
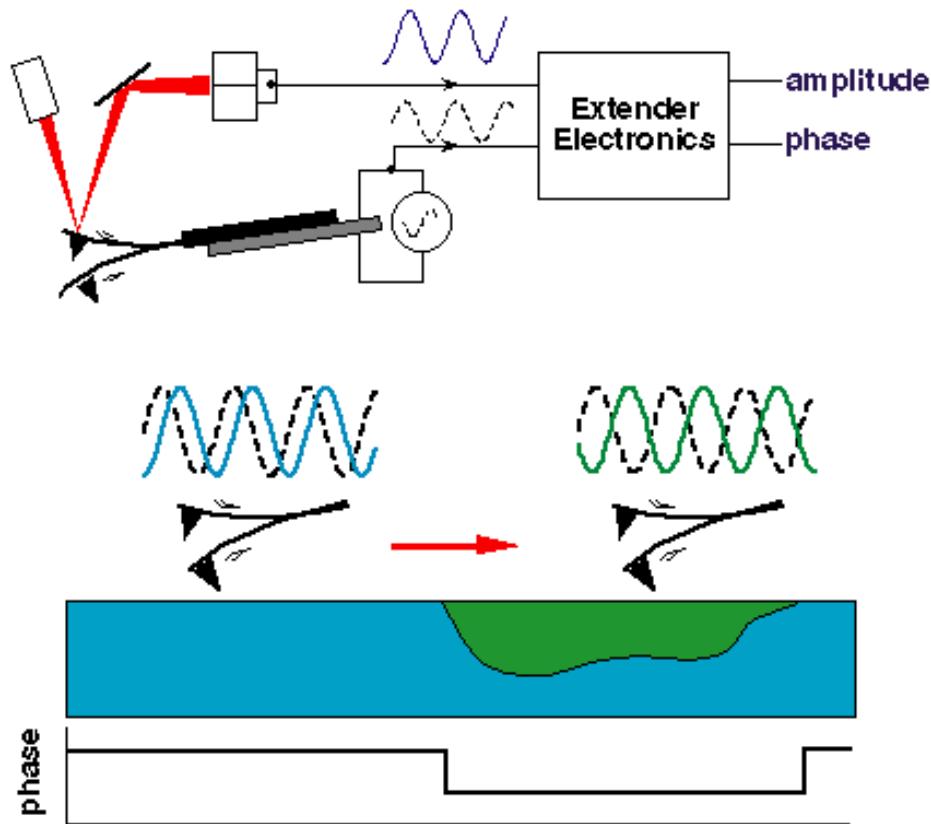
Computed data

```
m* = 6.33257397599238 [pkg]
lambda = .6847861733899854
bc = 6.283028234257542E-03 [l/us]
bi = 6.283028234257542E-03 [l/us]
D0 = .0 [nm]
Dm = .0 [nm]
Dnorm = .172314402893521 l [nm]
Pnorm = .4178408698922936 [GPa]
```



In Tapping mode the tip is oscillated at the resonance frequency and the amplitude of oscillation is kept constant while the tip intermittently enters the repulsive regime

Phase Imaging



AFM height (left) and phase (right) images of poly(methylmethacrylate)

(Digital Instruments, Inc.)

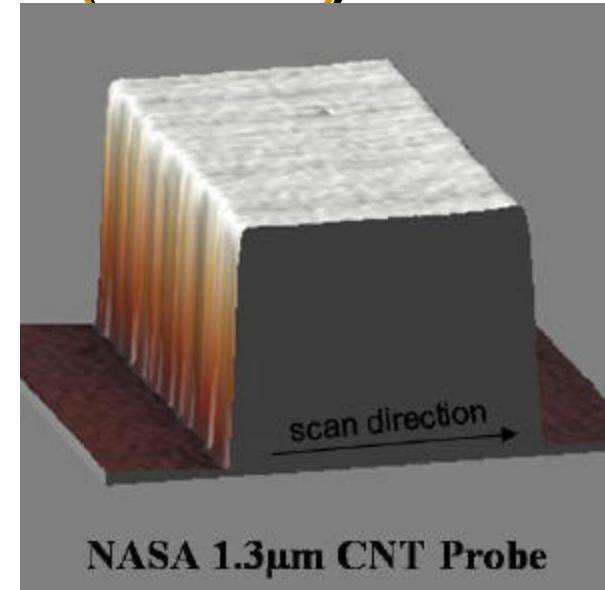
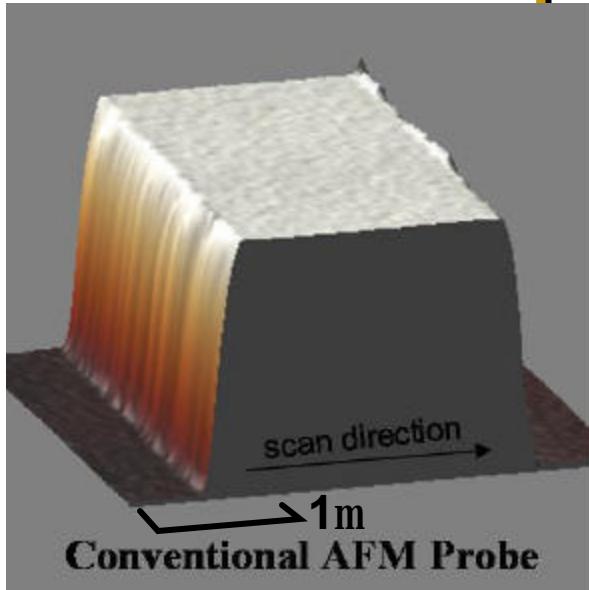
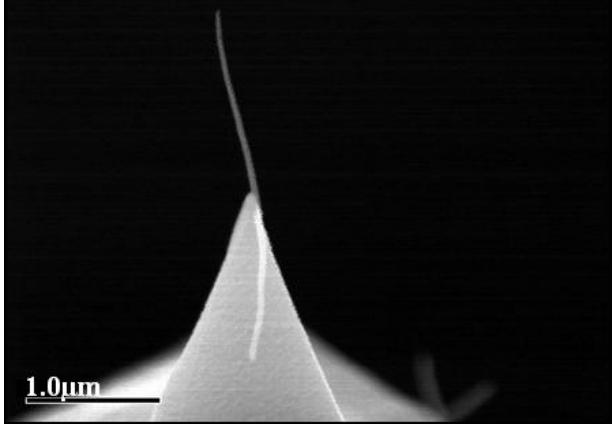
- Regular tapping mode implemented but signal phase monitored
- Phase contrasts are related to differences in local dissipation

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Carbon nanotube tips (CNT)

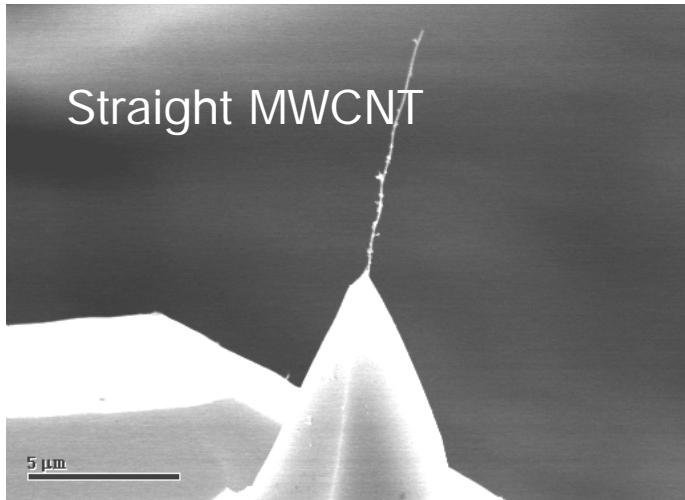
1.4 μ m CNT Probe



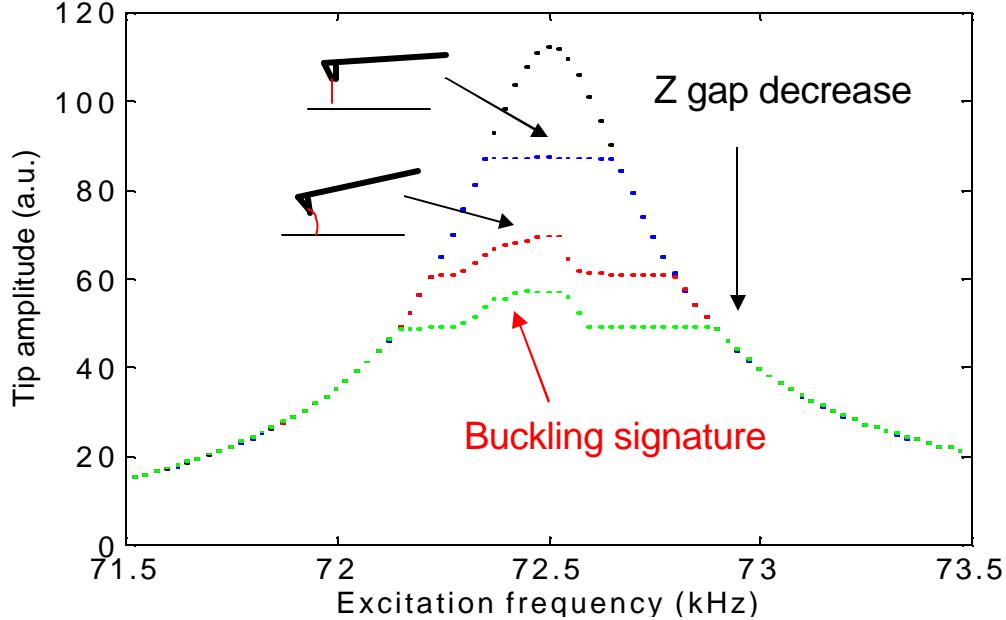
Dynamic AFM images of a **100 nm** trench on Si using conventional silicon probe (left) and a MWCNT probe (right)

- Provide high resolution
- Show little evidence of wear
- Promising technology for critical dimension metrology of semiconductors, and nanobiological investigations
- Buckling, friction and stiction of CNT become important

CNT tips – tapping mode

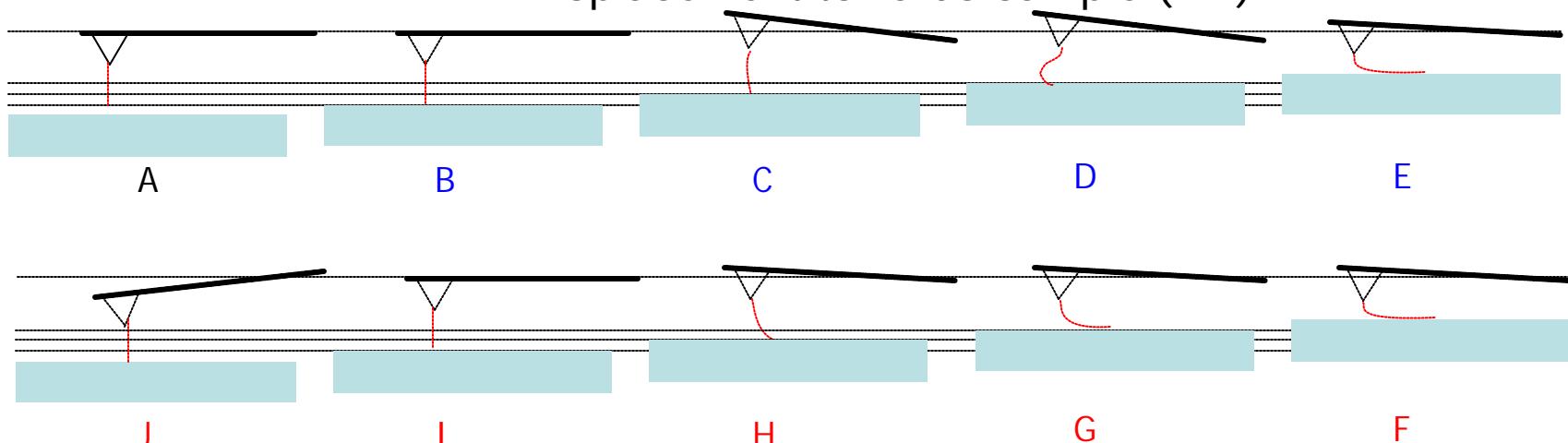
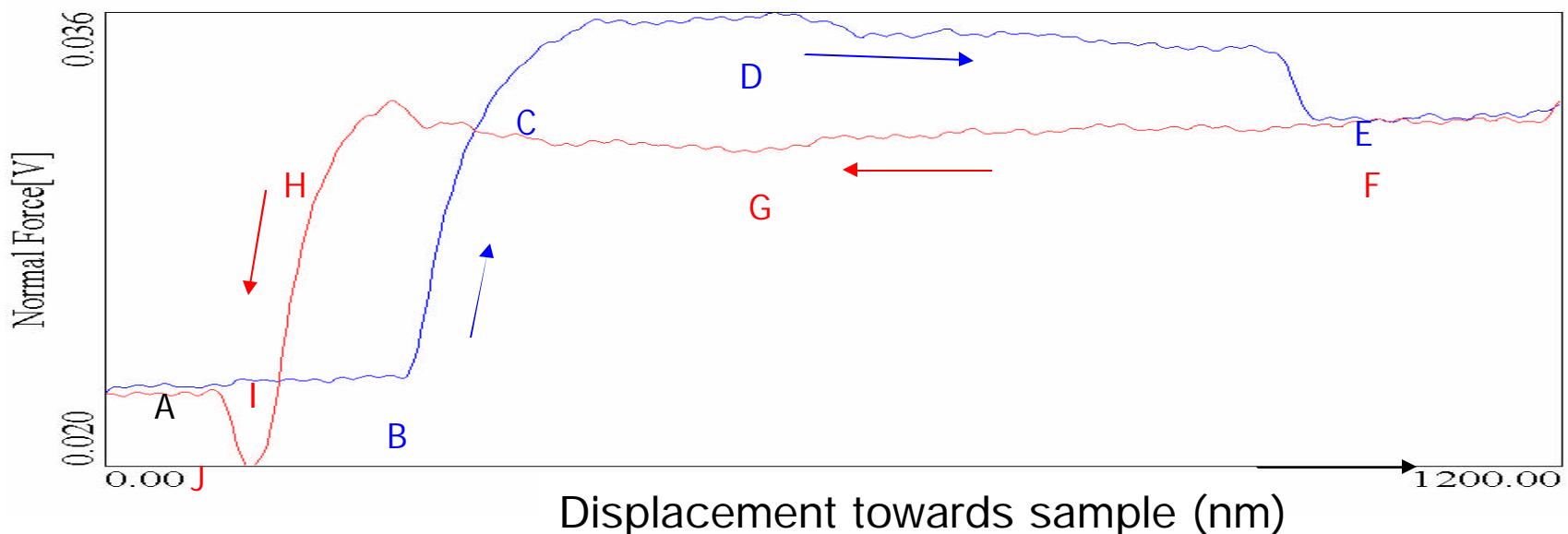


(SEM images: NASA & Purdue, 2002)



- CNT attached strongly to Force modulation etched Si probe (Ni evaporation)
- Straight MWCNT, diameter 10 nm, length 7.5μm, Frequency 72.5 kHz
- Repulsive and attractive states do not appear to co-exist for long CNT tips

Static force-distance curves

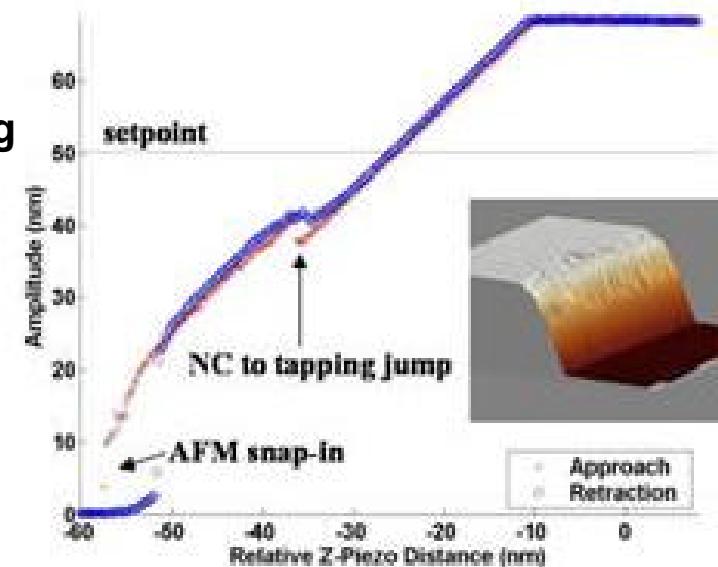
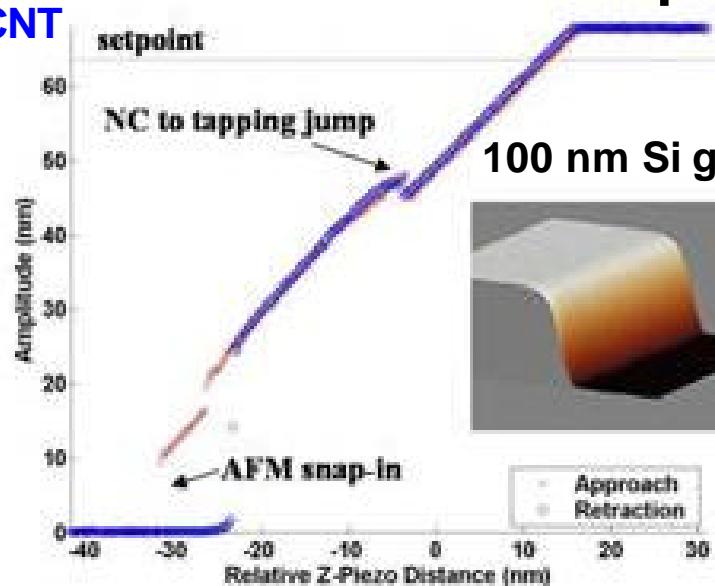


- CNT buckles, slips, and slides
- High adhesion on the CNT sidewalls

Raman et al, Nanotechnology (200

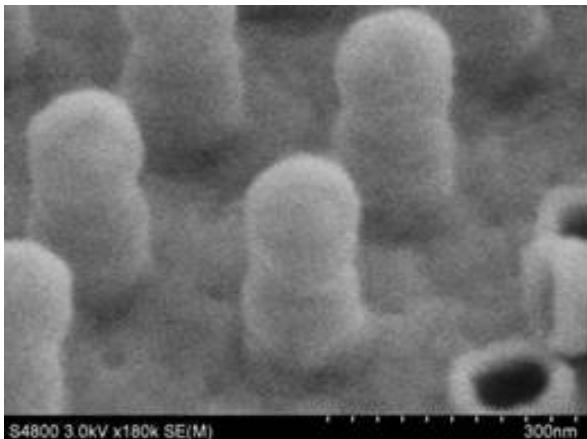
Shorter CNT tips- noncontact mode

0.4 m CNT

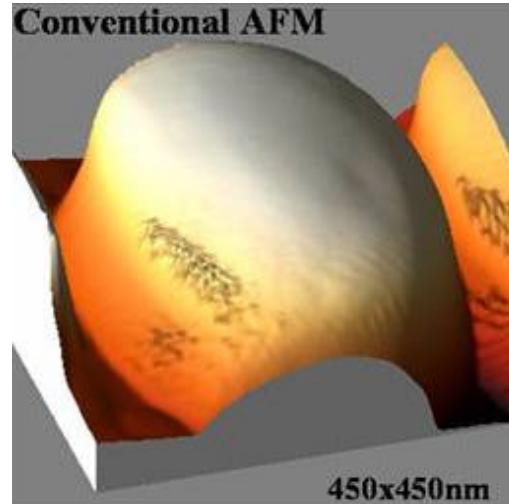


- Divot artifacts associated with switching between attractive (noncontact) and repulsive (tapping states)
- Ringing artifacts associated with CNT adhesion and stiction to sidewalls

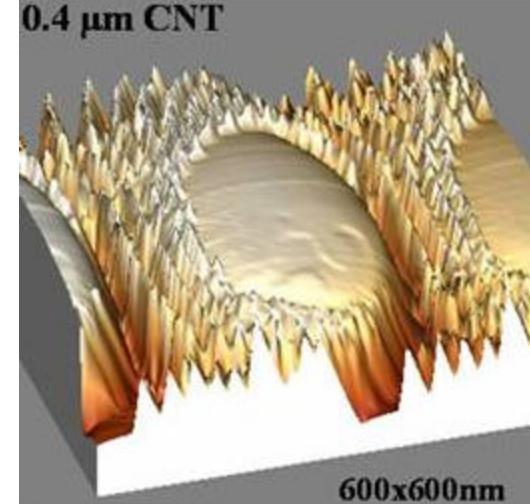
300 nm Tungsten nanorods



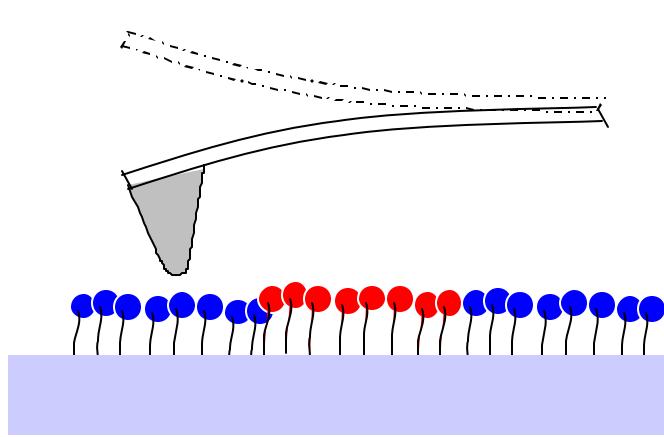
Conventional AFM



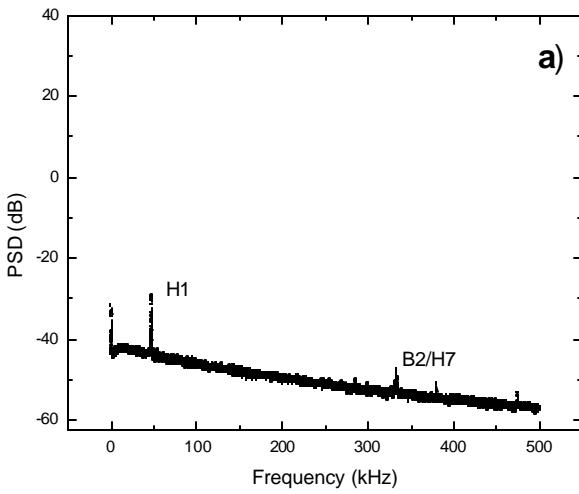
0.4 μm CNT



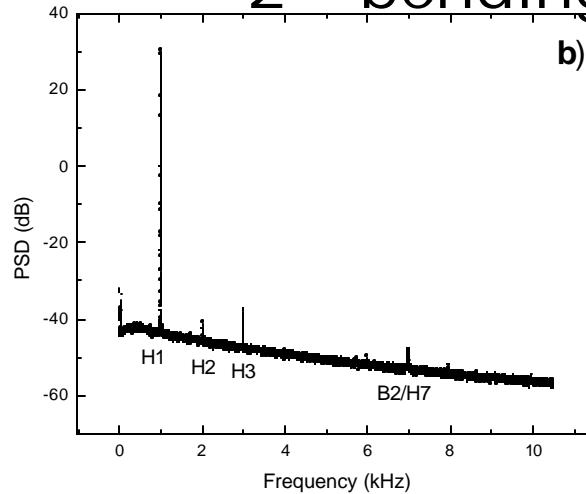
Exploiting anharmonic oscillations



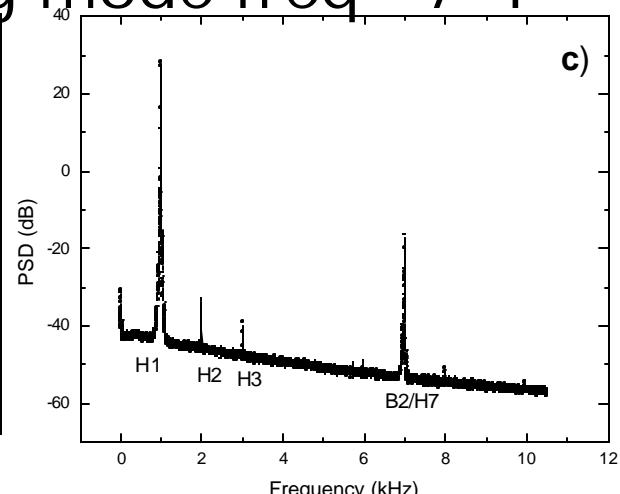
- NC vibration spectrum depends on local adhesion properties
- Experiments performed using 47 kHz microcantilever on wild and mutant bacteriorhodopsin membrane
- 2nd bending mode freq $\sim 7 \times$ 1st



Thermal vibration



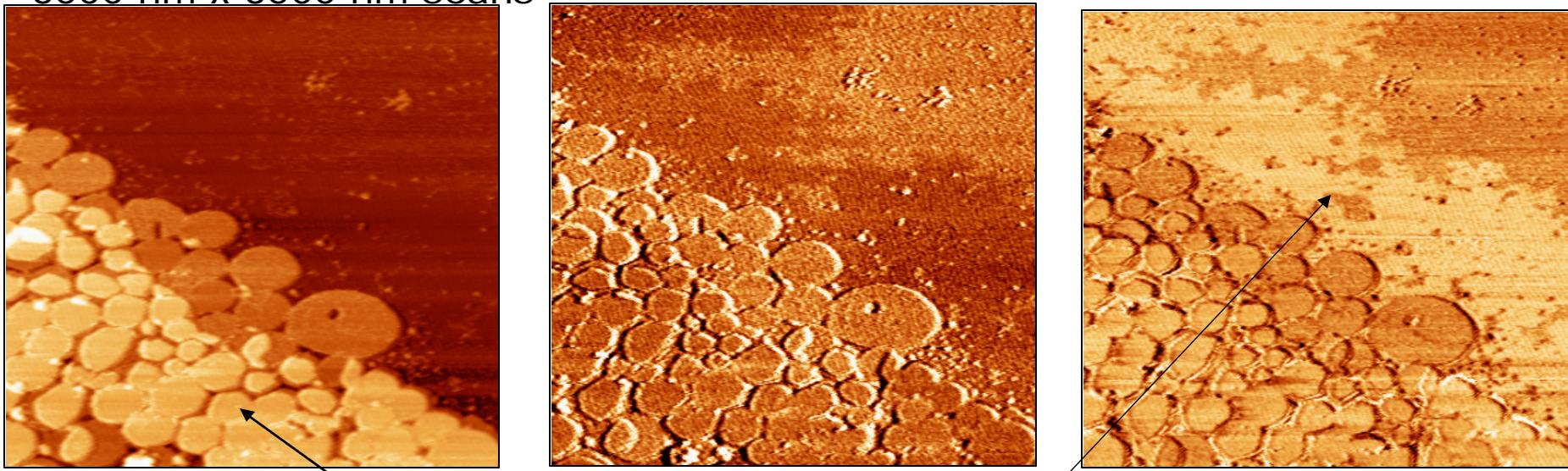
Driven in air



On mica (50 % setpoint)

Application to local adhesion estimation

3500 nm x 3500 nm scans



Topography

proteins

Second harmonic ima
ge

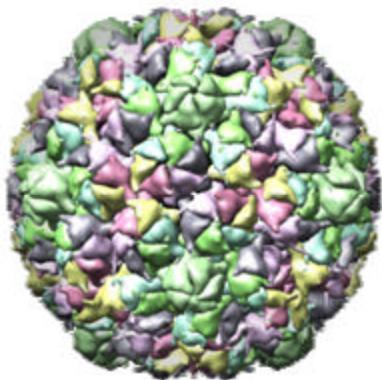
Lipid deposits

Seventh harmonic imag
e

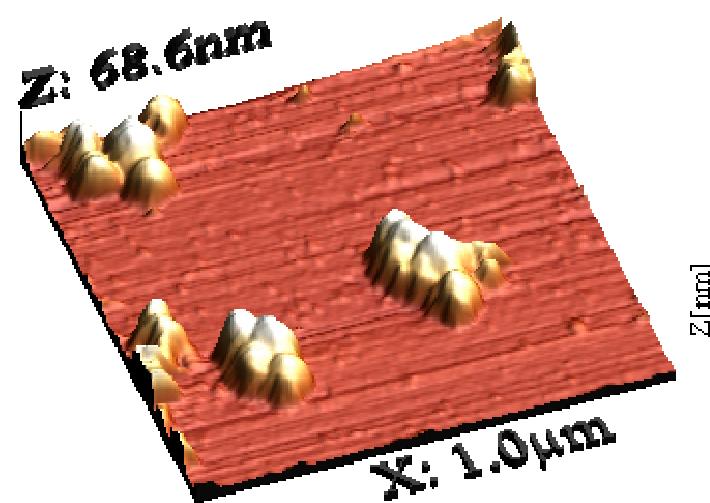
- Clear distinction between lipids and proteins
- Presence of internal resonance critical in the method
- The method shows promise for the measurement of local attractive forces of soft biomolecules
- Can be extended to electrostatic force microscopy or capacitance microscopy for dopant profiling

*"Probing Van der Waals forces at the nanoscale using higher harmonic dynamic force microscopy"
Crittenden, Raman, Reifenberger (in press PRB)*

Virus capsid mechanics studied using AFM

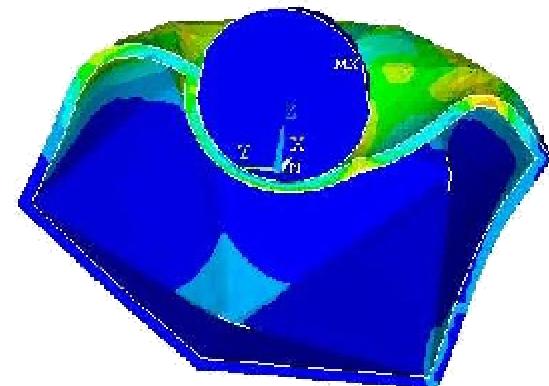
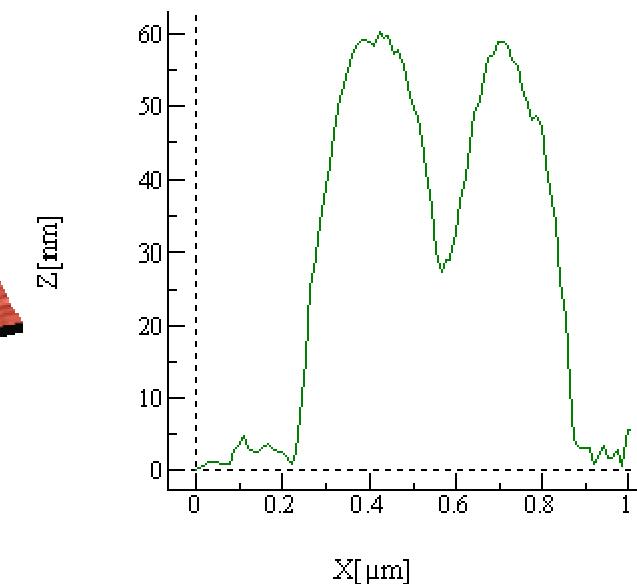
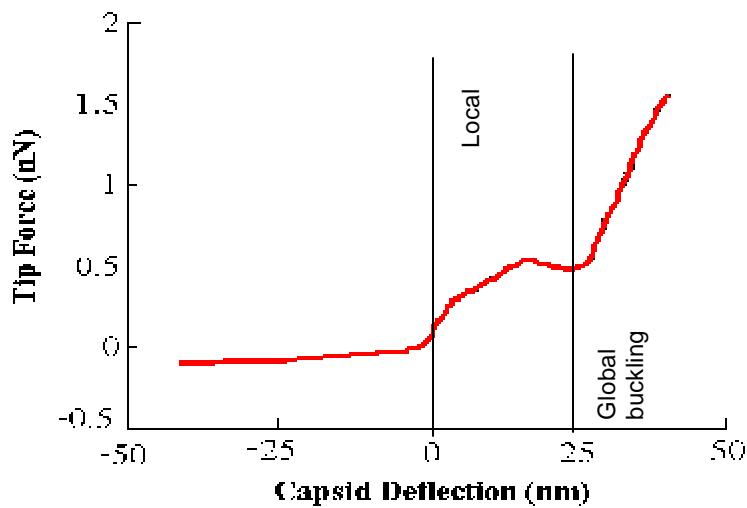


A computer model of the prohead structure of the P22 and HK97 virus capsids. (T. Ferrin, UCSF Computer Graphics Lab)



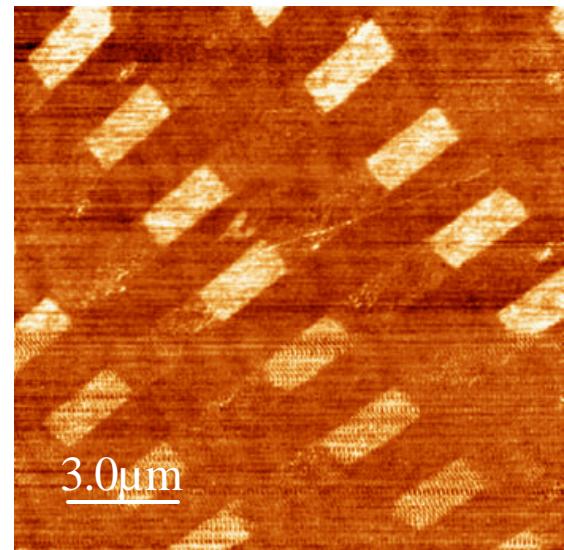
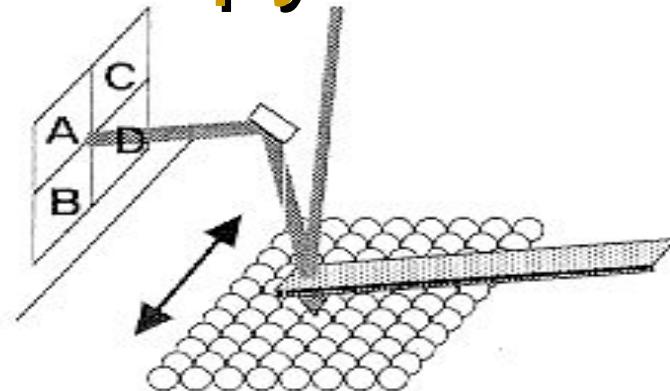
Bacteriophage P22 (in buffer)

Experimental force-indentation

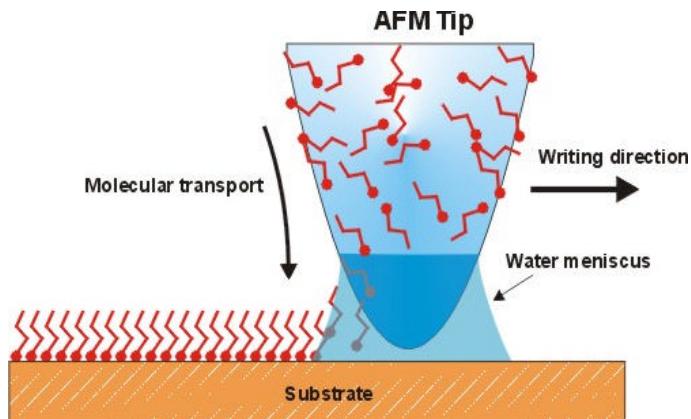


Friction Force Microscopy

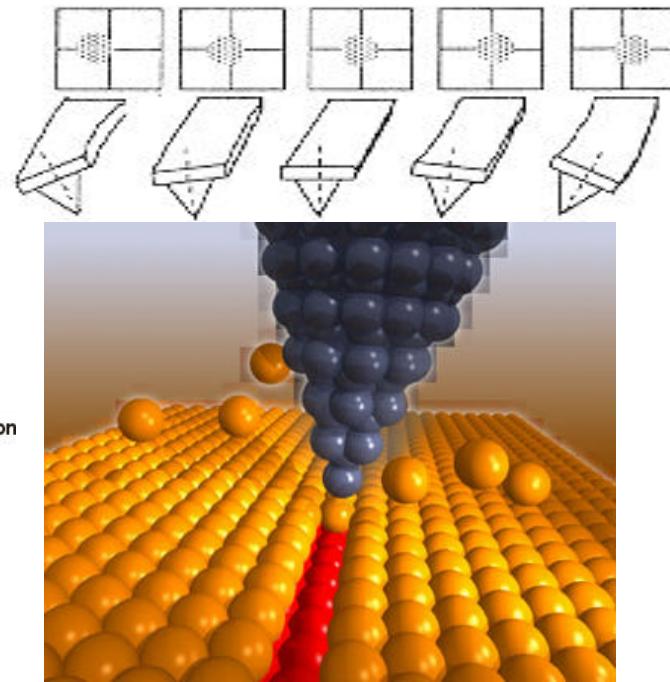
- Torsional vibrations due to atomic and molecular friction
- Lateral forces are specific
- Applications to nanotribology, probe based lithography



Friction force image of a self assembled monolayer (Riefenberger Group)



www.chem.nwu.edu/~mkngrp/
Dip-pen lithography



Contact mode oxidation
lithography

PURDUE
UNIVERSITY
Conley, Raman, Krousegrill, submitted J

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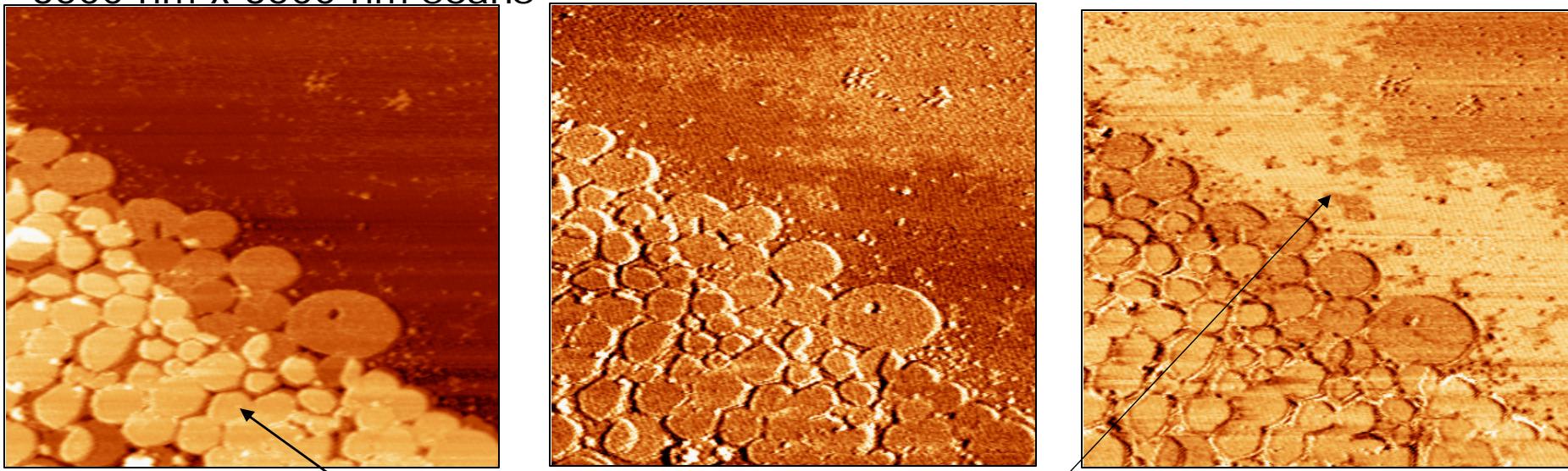
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- DoE
- Purdue Research Foundation

Questions & Answers

Application to local adhesion estimation

3500 nm x 3500 nm scans



Topography

proteins

Second harmonic ima
ge

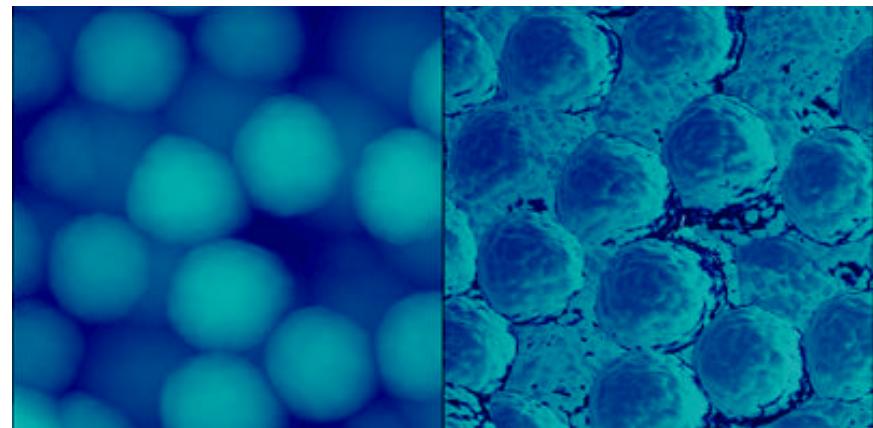
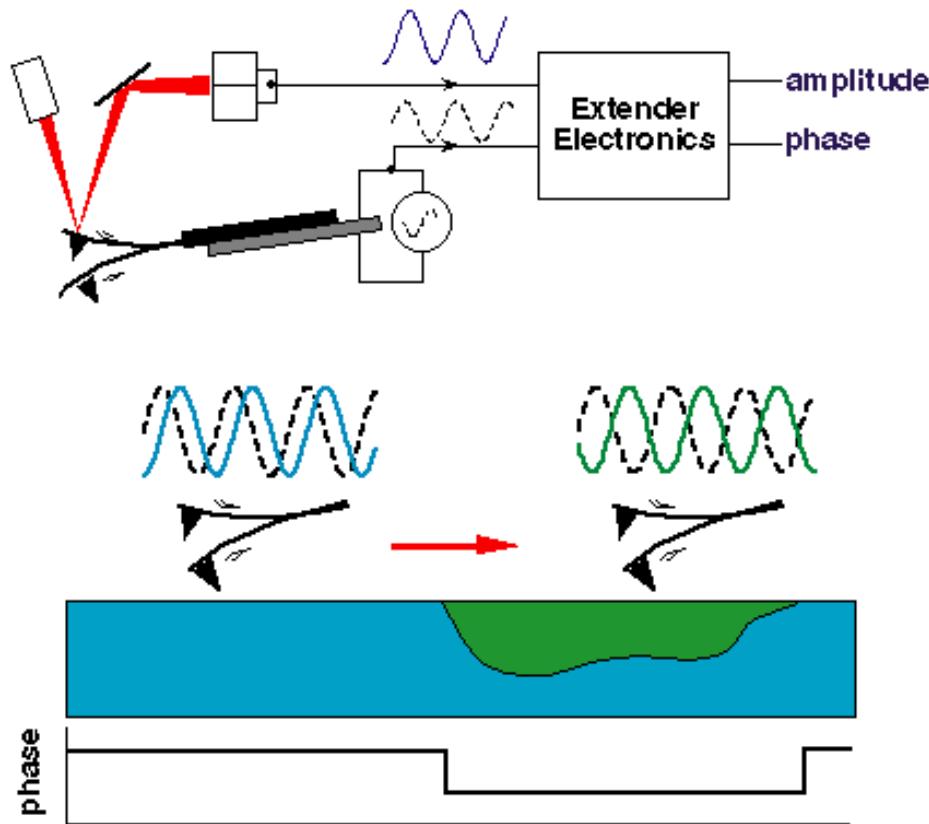
Lipid deposits

Seventh harmonic imag
e

- Clear distinction between lipids and proteins
- Presence of internal resonance critical in the method
- The method shows promise for the measurement of local attractive forces of soft biomolecules
- Can be extended to electrostatic force microscopy or capacitance microscopy for dopant profiling

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Phase Imaging



AFM height (left) and phase (right) images of poly(methylmethacrylate)

(Digital Instruments, Inc.)

- Regular tapping mode implemented but signal phase monitored
- Phase contrasts are related to differences in local dissipation