



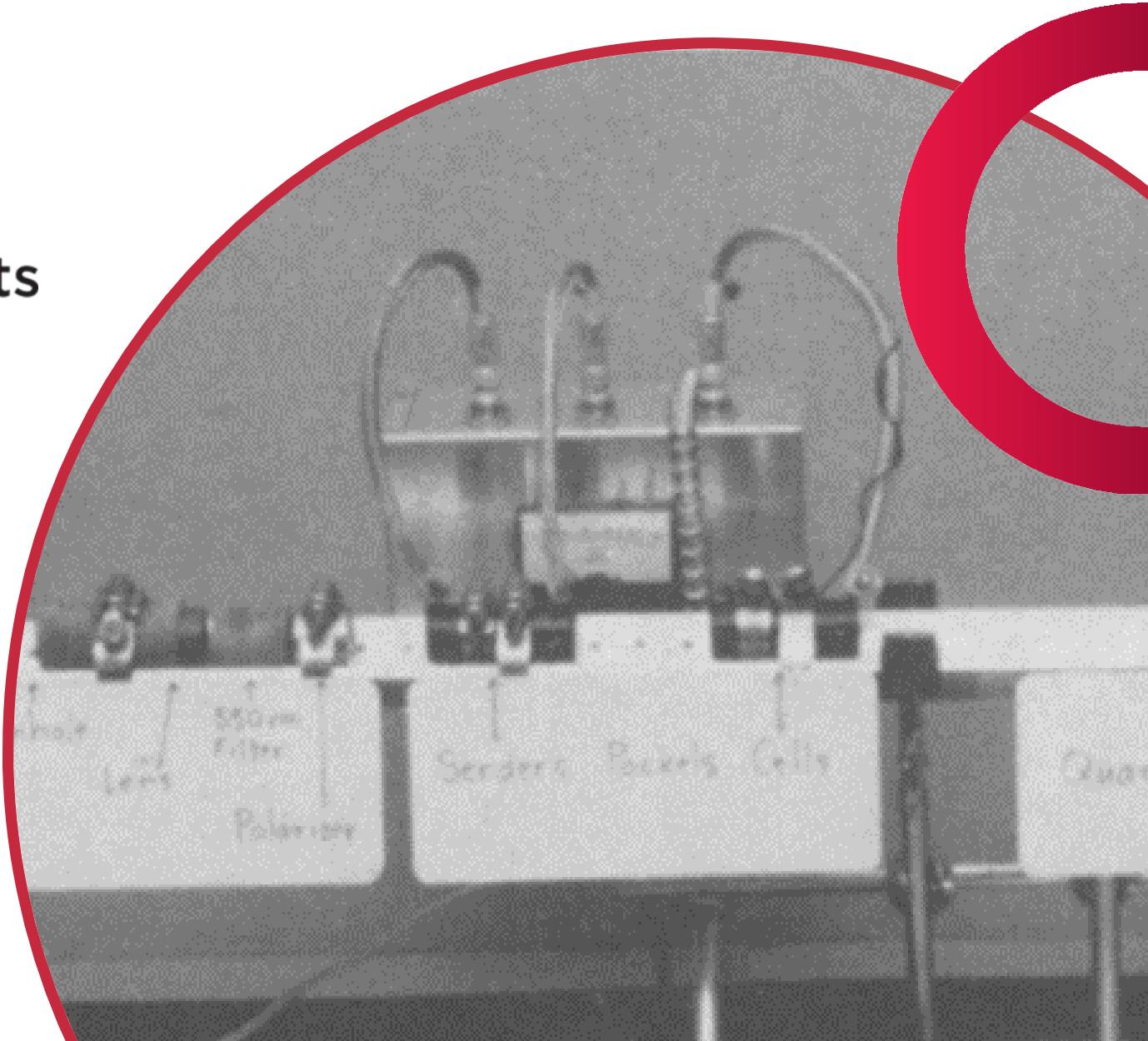
UNIVERSITY OF  
WATERLOO

IQC Institute for  
Quantum  
Computing

**QSYS** Quantum School  
for Young Students

# QUANTUM KEY DISTRIBUTION

RAMY TANNOUS  
+ John Donohue



# Stuff to Recall

Superposition is a relative concept  
depending on a choice of mutually exclusive states

$$|+\rangle = \frac{|0\rangle + |1\rangle}{\sqrt{2}} \quad |-\rangle = \frac{|0\rangle - |1\rangle}{\sqrt{2}}$$

The particle is both  
“0” AND “1”  
at the same time

*BUT*

When measured in the 0/1 basis,  
it will be found as  
“0” OR “1”  
randomly

$$|0\rangle = \frac{|+\rangle + |-\rangle}{\sqrt{2}} \quad |1\rangle = \frac{|+\rangle - |-\rangle}{\sqrt{2}}$$

The particle is both  
“+” AND “-”  
at the same time

*BUT*

**Measurement Basis**  
Defines which “question”  
I ask the particle

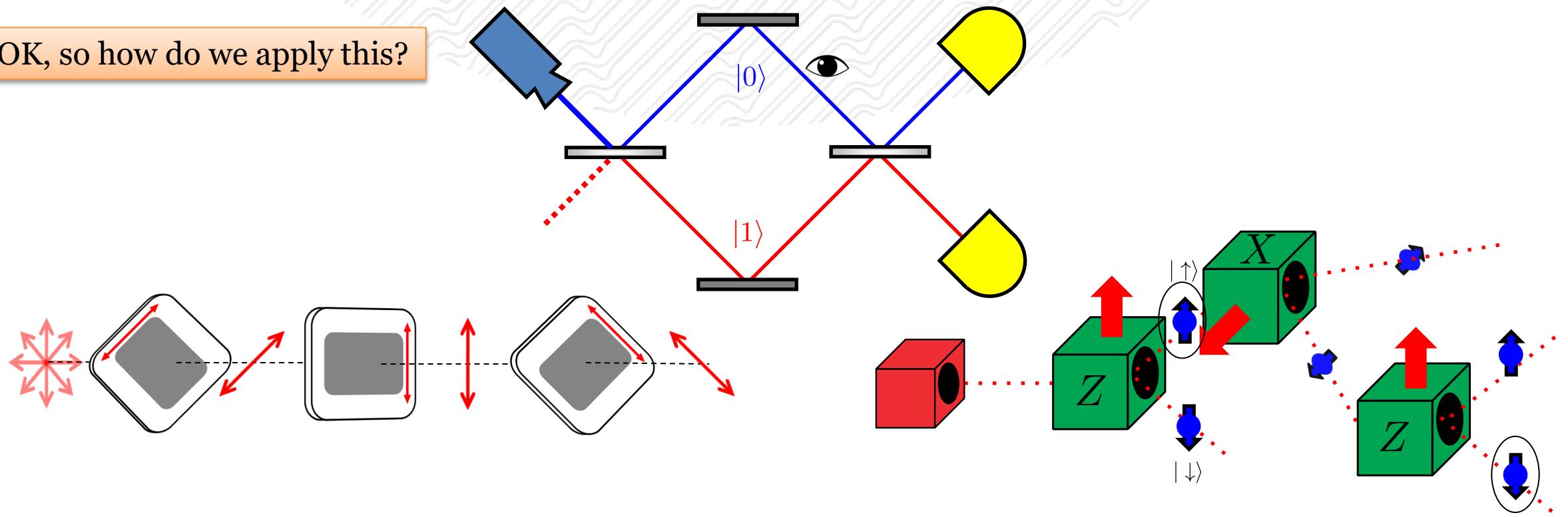
When measured in the +/- basis,  
it will be found as  
“+” OR “-”  
randomly

# Stuff to Recall

Measurement asks the photon a question

When forced to answer, the quantum state can change

OK, so how do we apply this?



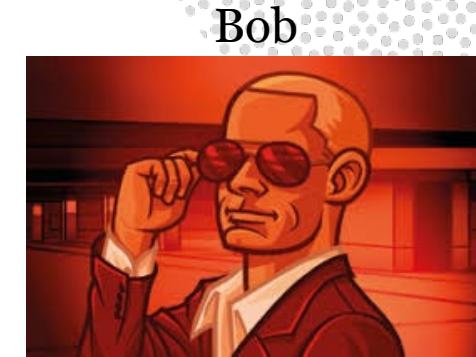
# Quantum Key Distribution

- Secret keys and the one-time pad
- The BB84 quantum protocol
- The no-cloning theorem
- Implementations of BB84

# Keys and Security



Secure  
channel



Alice and Bob use a secure channel to share  
**identical** copies of a key

# Keys and Security

Alice



Secure  
channel



An eavesdropper  
can see the safe,  
but can't open it  
without the key

Bob



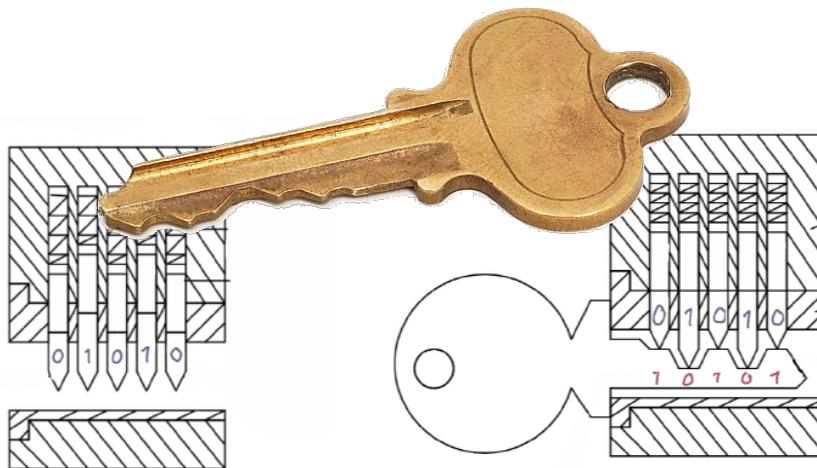
Public  
channel

# Keys

- In real life, the key is **information** (i.e. Binary string)
  - Alice and Bob have the information, but the eavesdropper doesn't



## Safe Key: The PIN Number



## Door Lock

# Question Break

# The Caesar Cipher



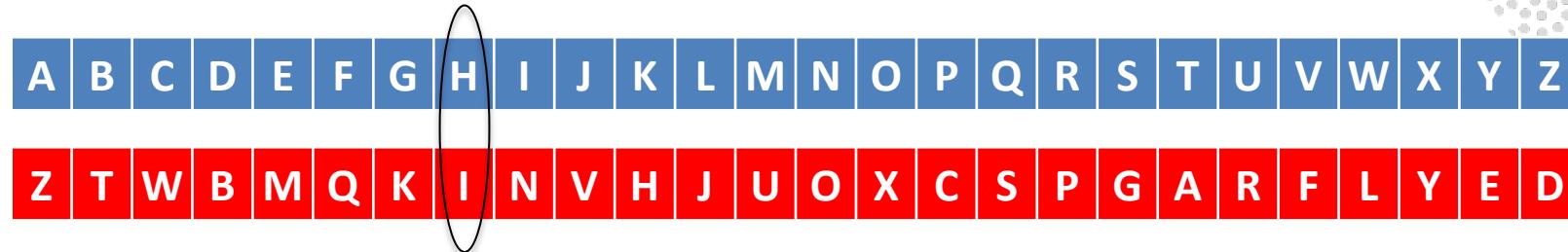
HELLO      Encrypt → NKRRU      Decrypt → HELLO

= 6 letter shift  
 = NKRRU ciphertext

Big Problem!  
If you know one encrypted letter,  
you know the whole message!

Many apps and websites are active that can crack theses

# The Substitution Cipher



Random shuffle  
of the alphabet



= 26 random substitutions



= IMJJX ciphertext

Now have to test many more possibilities  
 $26! \sim 400$  trillion trillion

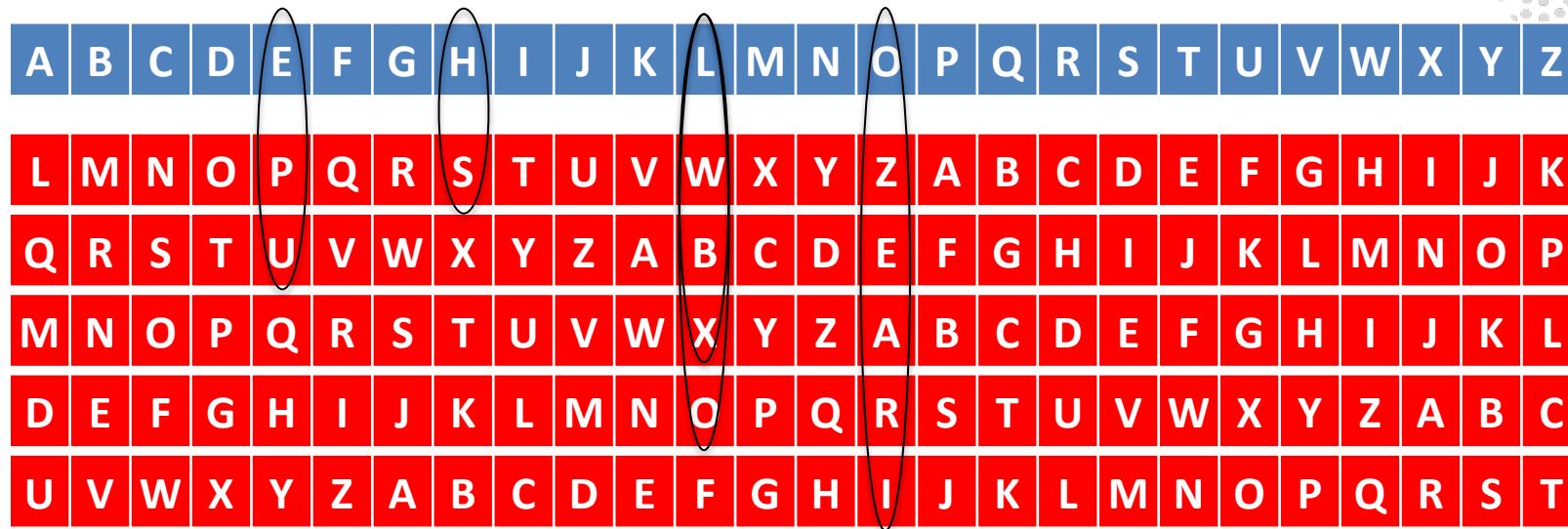
Still a big problem!  
Once we crack a piece of the puzzle,  
we can crack the whole thing

Context: US domestic policy  
What could this ciphertext mean?

ABCCBCCBDDDB  
MISSISSIPPI

# The One-Time Pad

(aka Vernam cipher)



HELLO

Encrypt  
0

SUXOI

Decrypt  
0

HELLO



= 5 random shifts



= SUXOI ciphertext

A different  
Caesar cipher  
for each letter

# History of One-Time Pad

- First described in 1882
- Rediscovered by Vernam in 1917
- Widely used in the World Wars

LFHNY ZAHSS JRNXK BYNFF K0ZAT  
VRETH JPCSU RUEYQ JBXNN ELGEL  
PODTF JJLVJ XFSHL HPLGA ZXVZY  
TSUJO XBHKJ MBSHD HPNPI OZV0Z  
ETJFF DBXXR PNTVY YTKEK ATOPR  
NNCJK FPNSV BRZZH QGZYN CYSDE  
YIZUJ TBRRZ QHRDE YOVRJ NOC6Y  
HALOK NHIIZM CAIDY RDTKH ZDZHP  
OINDS ENOFE XGBVJ CAYSO IGBHU  
KISZX OZJIM DBRCY BHUVZ LFBXT  
TATI NWIFH INNSF RUUVYC UITRN  
NGQNG ZUBZB EPVJX NCZXY FBTEX  
VEIOE HDVTN GSSNG LRZVG UKUOK  
POFRI QCFAA NLTKD DXHDA QAIHU  
HEIKR LDTWP HVBNX MHUUK ACPKA  
ATGFS ZNF0U SYHVVX IYIPO RJCEK  
PROPO JFNI0 NYLIX GVTNC QAXXH  
FSGNA UDTLB UHKAH MARHG TZVXH  
UGBOA JXMFY HTUNH WCTXM OFLSY

A	ABCDEFGHIJKLMNOFQRSTUVWXYZ
Z	ZYXWVUTSRQPONMLKJIHGFEDCBA
B	ABCDEFGHIJKLMNOFQRSTUVWXYZ
Y	YXWVUTSRQPONMLKJIHGFEDCBAZ
C	ABCDEFGHIJKLMNOFQRSTUVWXYZ
X	XWVUTSRQPONMLKJIHGFEDCBAZY
D	ABCDEFGHIJKLMNOFQRSTUVWXYZ
W	WVUTSRQPONMLKJIHGFEDCBAZYX
E	ABCDEFGHIJKLMNOFQRSTUVWXYZ
V	VUTSRQPONMLKJIHGFEDCBAZYXX
F	ABCDEFGHIJKLMNOFQRSTUVWXYZ
U	UTSRQPONMLKJIHGFEDCBAZYXXW
G	ABCDEFGHIJKLMNOFQRSTUVWXYZ
T	TSRQPONMLKJIHGFEDCBAZYXXWU
H	ABCDEFGHIJKLMNOFQRSTUVWXYZ
S	SRQPONMLKJIHGFEDCBAZYXXWUT
I	ABCDEFGHIJKLMNOFQRSTUVWXYZ
R	RQPONMLKJIHGFEDCBAZYXXWUTS
J	ABCDEFGHIJKLMNOFQRSTUVWXYZ
Q	QPNMIKJIHGFEDCBAZYXXWUTSR
K	ABCDEFGHIJKLMNOFQRSTUVWXYZ
P	PONMLKJIHGFEDCBAZYXXWUTSRQ
L	ABCDEFGHIJKLMNOFQRSTUVWXYZ
O	ONMLKJIHGFEDCBAZYXXWUTSRQF
M	ABCDEFGHIJKLMNOFQRSTUVWXYZ
N	NMLKJIHGFEDCBAZYXXWUTSRQF0
O	ABCDEFGHIJKLMNOFQRSTUVWXYZ
L	LKJIHGFEDCBAZYXXWUTSRQF0N
P	ABCDEFGHIJKLMNOFQRSTUVWXYZ
K	KJIIHGFEDCBAZYXXWUTSRQF0NL
Q	ABCDEFGHIJKLMNOFQRSTUVWXYZ
J	JIHGFEDCBAZYXXWUTSRQF0NMLK
R	ABCDEFGHIJKLMNOFQRSTUVWXYZ
I	IHFEDCBAZYXXWUTSRQF0NMLKJ
S	ABCDEFGHIJKLMNOFQRSTUVWXYZ
H	HGFEDCBAZYXXWUTSRQF0NMLKJI
T	ABCDEFGHIJKLMNOFQRSTUVWXYZ
G	GFEDECBAZYXXWUTSRQF0NMLKJIH
U	ABCDEFGHIJKLMNOFQRSTUVWXYZ
F	FEDCBAZYXXWUTSRQF0NMLKJIHG
V	ABCDEFGHIJKLMNOFQRSTUVWXYZ
E	EDCBAZYXXWUTSRQF0NMLKJIHGF
W	ABCDEFGHIJKLMNOFQRSTUVWXYZ
D	DCBAZYXXWUTSRQF0NMLKJIHGF
X	ABCDEFGHIJKLMNOFQRSTUVWXYZ
C	CBAZYXXWUTSRQF0NMLKJIHGFED
Y	BAZYXXWUTSRQF0NMLKJIHGFEDC
Z	ABCDEFGBHIJKLMNOFQRSTUVWXYZ
A	AZYXXWUTSRQF0NMLKJIHGFEDCBA

# The One-Time Pad



Message

01101000

Key

01001001

Cipher

00100001

Cipher

00100001

Key

01001001

Message

01101000



		Key Bit	
		0	1
Message Bit	0	0	1
	1	1	0

Alice and Bob share a long random binary string

Encode and decode by adding mod 2 (XOR)

# The One-Time Pad



Message

01101000

Key

01001001

Cipher

00100001

Cipher

00100001

Key

01001001

Message

01101000



8-bit key  
 $2^8$  possible keys

Number of possible keys = Number of possible messages

Perfectly secure!  
But we're forgetting something...

# One-Time Pad Big-Time Problem



Alice



Bob

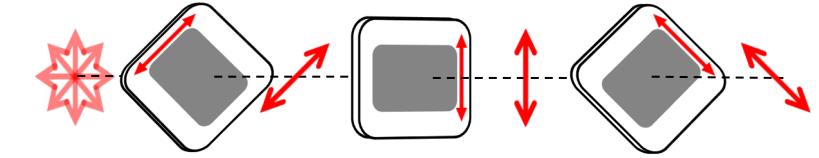
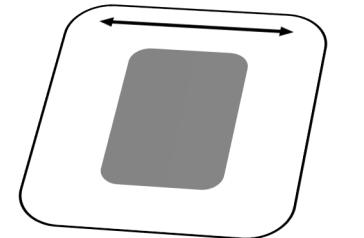
How do Alice and Bob securely share the key  
in the first place?

# A Quantum Solution



Alice and Bob generate the key by sending polarization-encoded photons to each other

# A Quantum Solution

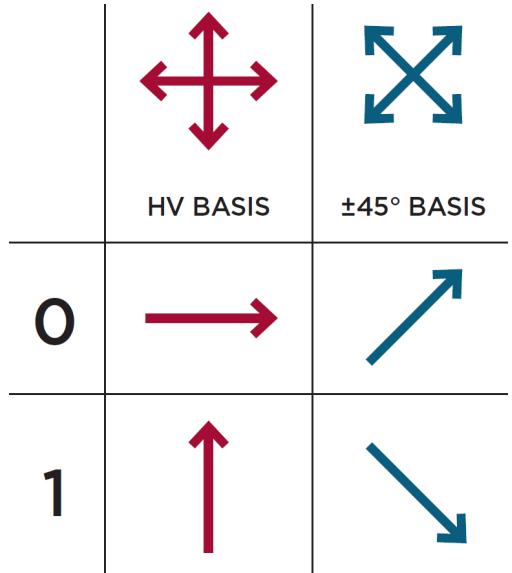


Remember the three  
polarizers?



If the eavesdropper intercepts,  
they'll disturb the polarization state

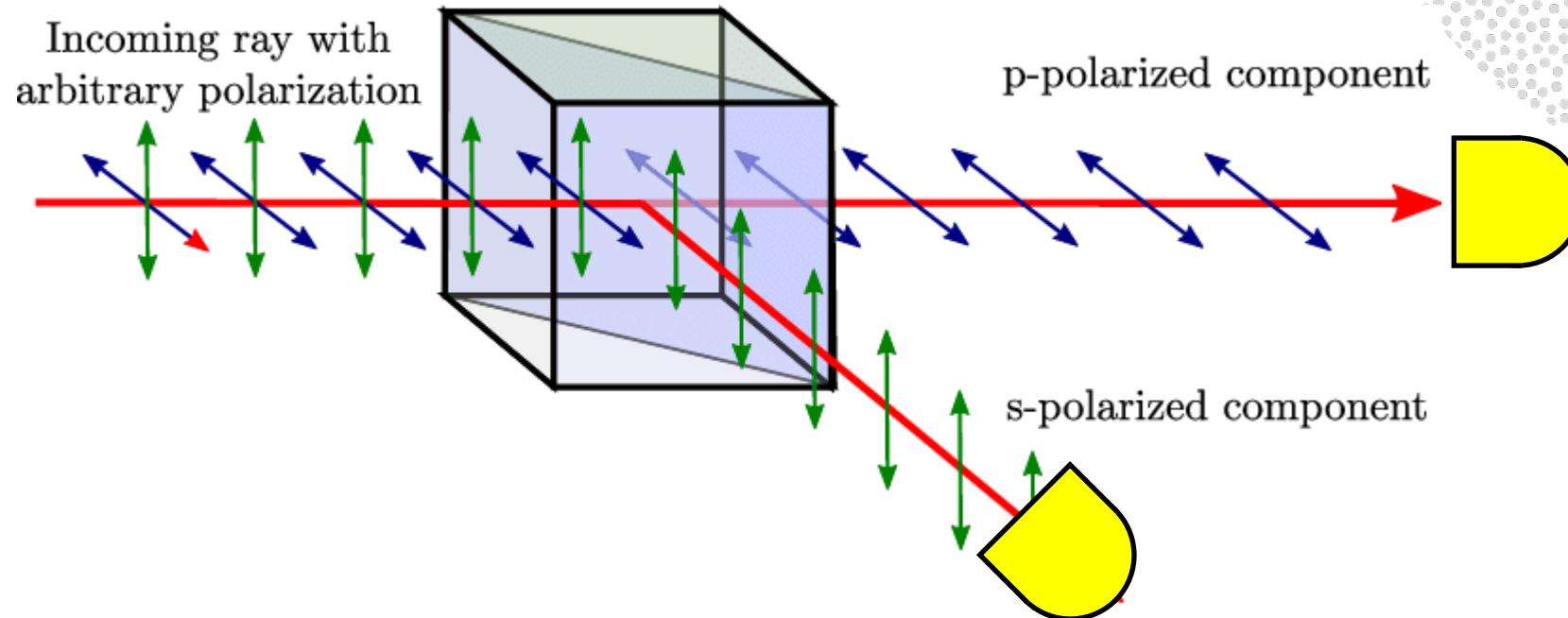
# Polarization Qubits



Encode binary “0” or “1” as a polarization state,  
with two possible bases

	H/V measurement	A/D measurement
0	H for sure	random
1	V for sure	random
	random	D for sure
	random	A for sure

# How To Measure Polarization



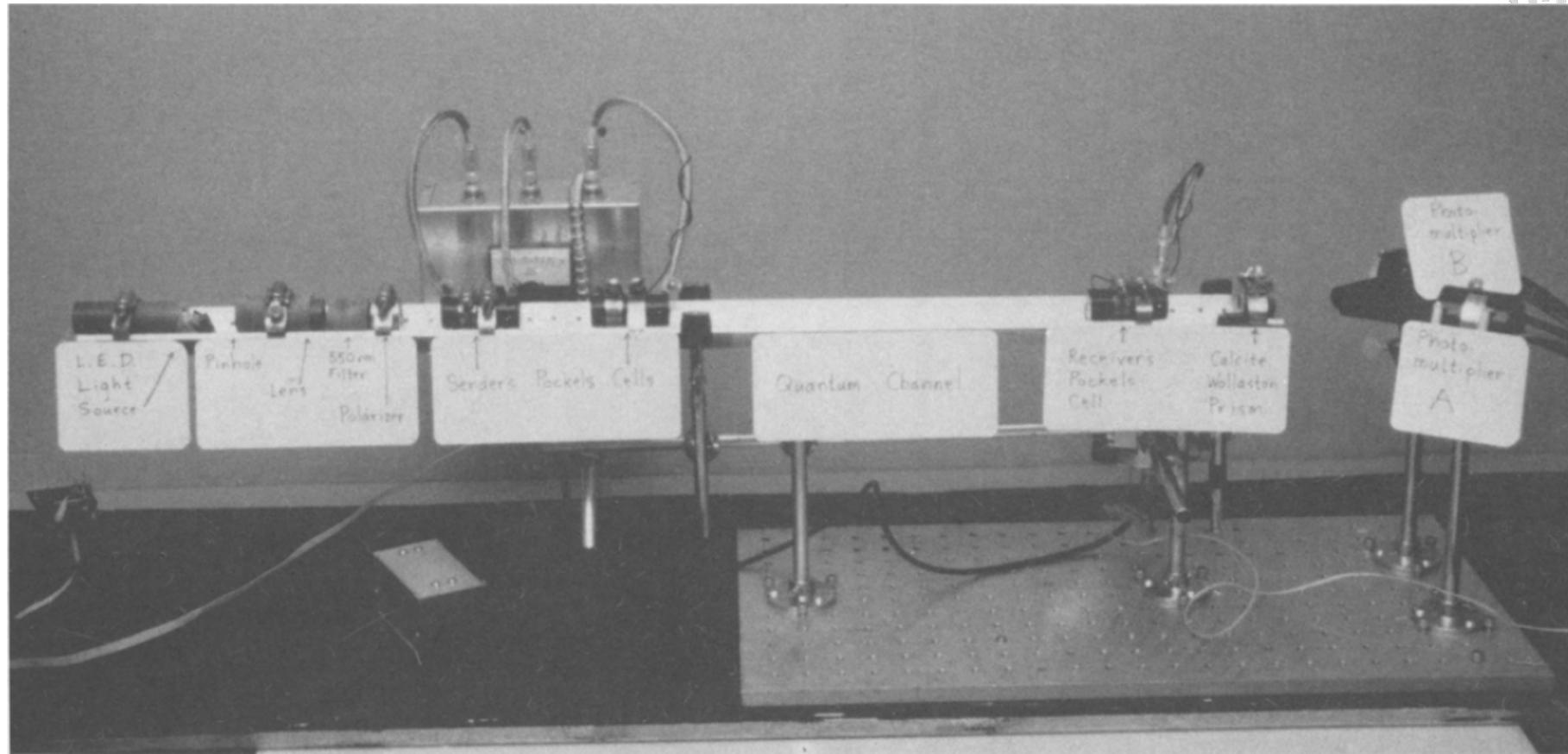
A polarizing beamsplitter diverts each polarization in a different direction

Putting a detector in each path works as an H/V measurement

By rotating the PBS, we can perform a D/A measurement, or any other angle

# Question Break

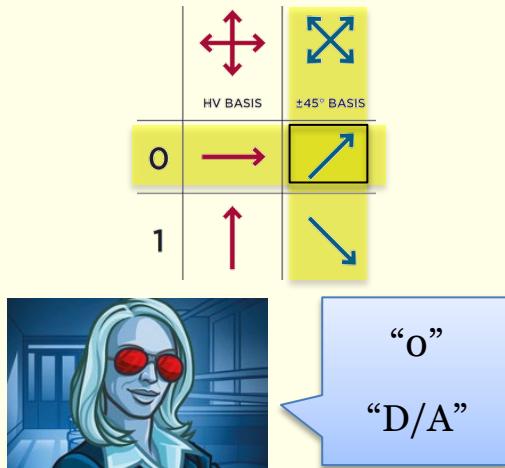
# The BB84 Protocol



# The BB84 Protocol

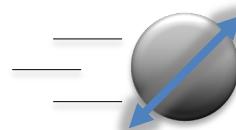
Step 1  
Alice chooses  
“0” or “1” randomly

Step 2  
Alice chooses the  
H/V or D/A basis randomly



Step 7  
Repeat and repeat until a long, random binary string is built

Step 3  
Alice encodes the appropriate qubit  
and sends it to Bob as a single photon



Step 6  
Alice and Bob publicly announce which bases they used,  
keeping their bit values secret

I used the  
“D/A” basis

Step 4  
Bob randomly chooses  
a measurement basis

Step 5  
Bob records the result  
of his measurement



Alice’s Lab

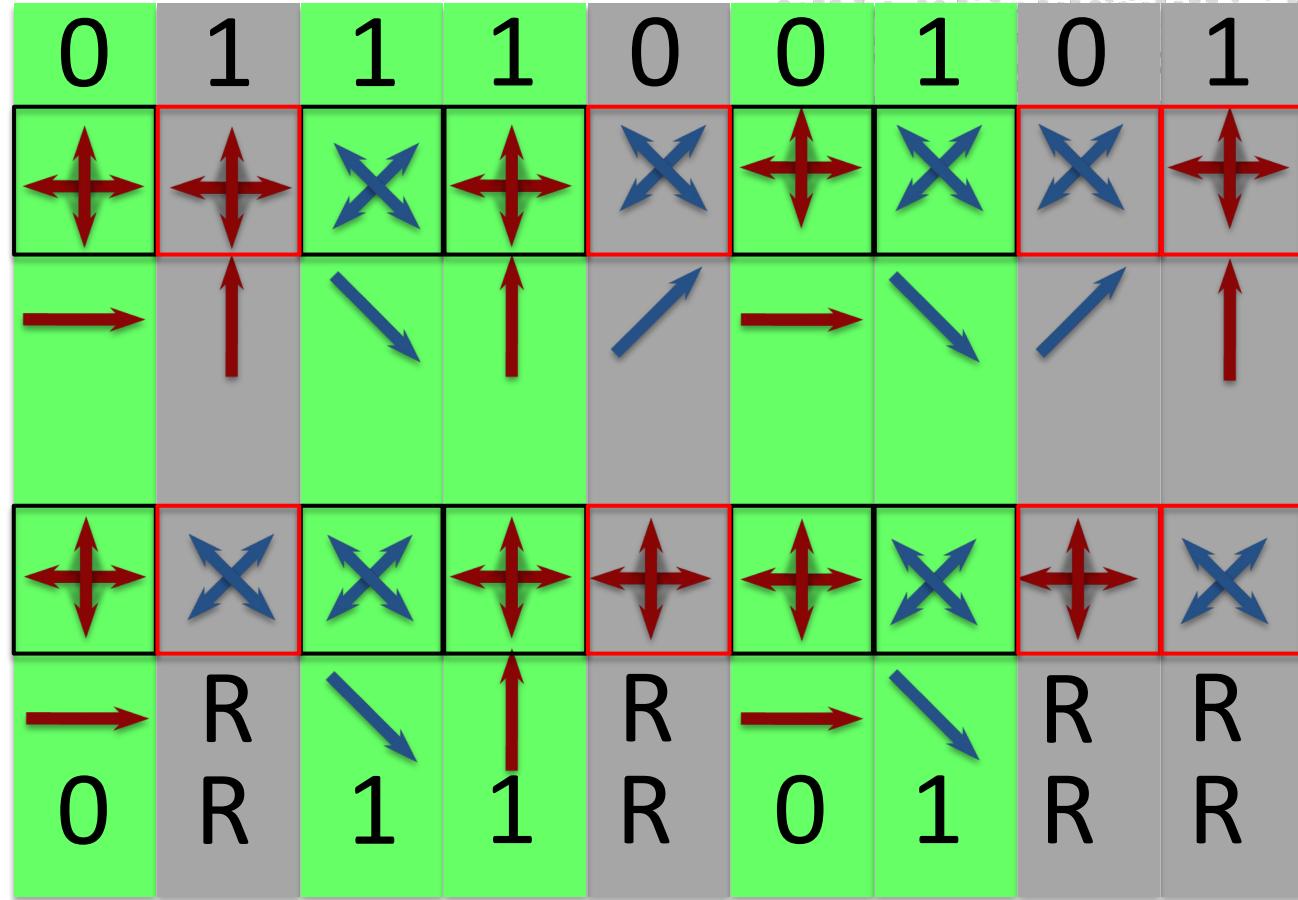
I used the  
“D/A” basis

Bob’s Lab

# BB84 Example



1. Alice chooses a **RANDOM** bit
2. Alice chooses a **RANDOM** basis
3. Alice send the state to Bob
4. Bob measures in a **RANDOM** basis
5. Bob records the bit
6. Alice and Bob announce the basis



Alice and Bob are performing the BB84 protocol. In some of the rounds their basis selection doesn't match and results in a random measurement for Bob. What should Alice and Bob do to these cases to ensure they share the same key?

---

- A.** Discard these rounds
- B.** Alice shares what she sent
- C.** XOR the random cases
- D.** Keep them and try to correct for errors later
- E.** Try RSA instead

They can simply discard them with no consequence to security

# BB84 Example



## Basis Reconciliation

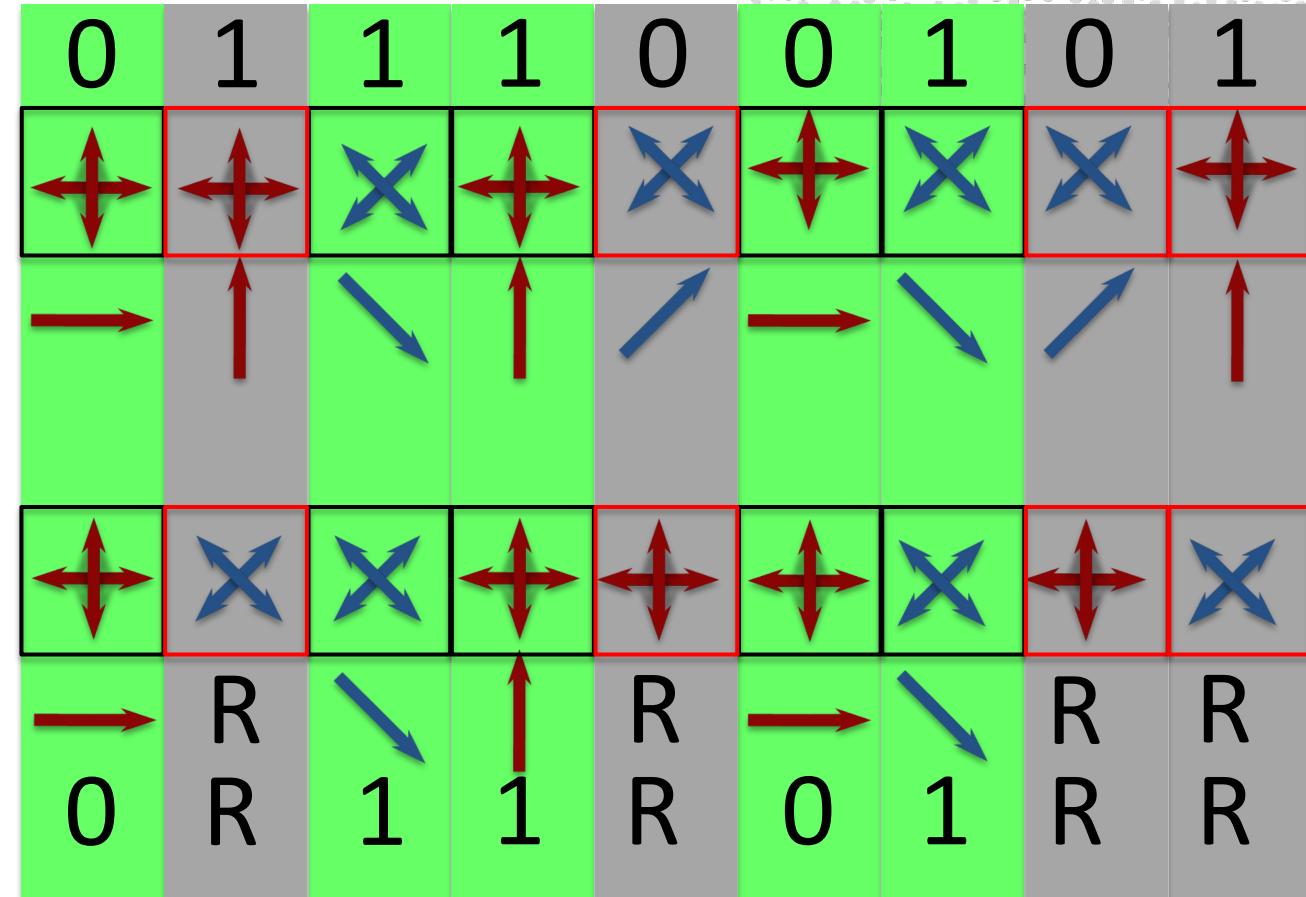
Alice and Bob discard all bits where their bases didn't match

This leaves them with the secret key

01101



What if there's  
an eavesdropper?





0	1	1	1	0	0	1	0	1



0	1	R	1	R	0	R	R	1



0	R	R		R	0		R	R

# Catching Eve



- If Alice and Bob make truly random basis choices, Eve will guess wrong half the time
- Half of the time Eve guesses wrong, they will introduce an error
- Therefore, an always-present eavesdropper will introduce an error rate of 25%!

What is the approximate probability that an eavesdropper can measure 100 qubits **without** introducing a **single** error?

---

**A.** One in 100

**B.** One in one million

**C.** One in one billion

**D.** One in one trillion

**E.** Absolute 0%

# Error Estimation and Correction



1	0	0	1	1	0	1	0	0	1	0	1

“Raw” Key

1	0	1	0
✓	✓	✗	✓

✗	0	0	✗	1	0	✗	✗	✗	✗	0	1
---	---	---	---	---	---	---	---	---	---	---	---

0	0	1	0	0	1
---	---	---	---	---	---

Final Key

## Parity Check

See if addition of neighbouring bits  
(modulo 2)  
matches over the whole string



“Raw” Key

1	0	0	1	1	0	1	1	0	1	0	1

Communicate Publicly

1	0	0	0
✓	✓	✗	✓

Discard sets with errors  
&  
One bit from each  
correct set  
to maintain secrecy

✗	0	0	✗	1	0	✗	✗	✗	✗	0	1
---	---	---	---	---	---	---	---	---	---	---	---

0	0	1	0	0	1
---	---	---	---	---	---

Final Key

# Privacy Amplification



What if the eavesdropper didn't measure every time?  
Could they have some partial information?  
How do we distinguish that possibility from systematic errors?

- We must assume that all errors come from a potential eavesdropper!
- If the error rate is greater than 11%, no secret key is possible\*
- If smaller than 11%, we can keep shrinking the key via parity checks until Eve has no information about the key left
- The higher the error rate, the less key we get to keep at the end of the day

Examples:

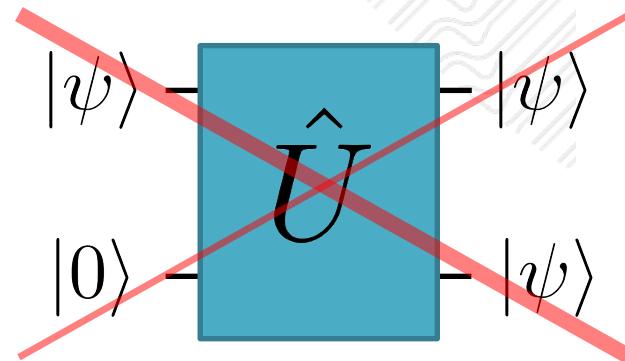
With an error rate of 4% and a 2,000 bit raw key, we can keep ~750 secret bits  
With an error rate of 8% and a 2,000 bit raw key, we can keep ~100 secret bits

\*proof a bit complicated, see Shor & Preskill, Phys. Rev. Lett 85, 441 (2000).

# A Loophole?

- Alice and Bob are generating a random key which they will use to encrypt future secret messages
- The only quantum part is the qubit transmission, all the rest is classical post-processing
- But what if Eve can make a copy of the bits?

# The No-Cloning Theorem



There exists no unitary  
which can create a  
perfect copy of  
an unknown quantum state

W.K. Wootters & W.H. Zurek,  
Nature 299, 802  
(1982)

$$\text{GOAL : } U|\psi\rangle|0\rangle = |\psi\rangle|\psi\rangle$$

$$\text{REALITY : } \hat{U}|0\rangle|0\rangle = |0\rangle|0\rangle$$

$$\hat{U}|1\rangle|0\rangle = |1\rangle|1\rangle$$

GOAL :

$$U|\psi\rangle|0\rangle = |\psi\rangle|\psi\rangle$$

What will our “cloning machine” do  
if given the state  $|+\rangle$ ?

$$\hat{U}|0\rangle|0\rangle = |0\rangle|0\rangle$$

$$\hat{U}|1\rangle|0\rangle = |1\rangle|1\rangle$$

---

**A.**  $|+\rangle|+\rangle$

**B.**  $|+\rangle|-\rangle$

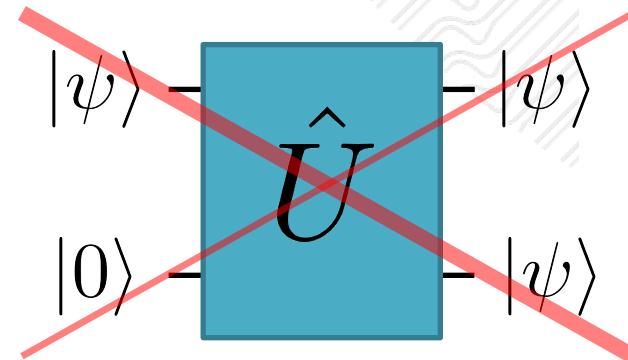
**C.**  $(|0\rangle|0\rangle + |1\rangle|1\rangle)/\sqrt{2}$

**D.**  $(|0\rangle|1\rangle + |1\rangle|0\rangle)/\sqrt{2}$

**E.** None of the above



# The No-Cloning Theorem



There exists no unitary which can create a perfect copy of an unknown quantum state

W.K. Wootters & W.H. Zurek,  
Nature 299, 802  
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$$\text{GOAL : } U|\psi\rangle|0\rangle = |\psi\rangle|\psi\rangle$$

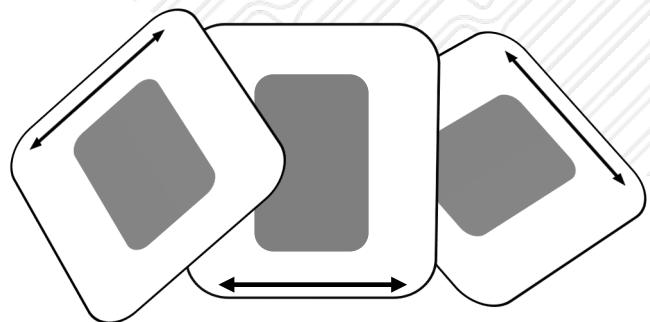
$$\text{REALITY : } \hat{U}|0\rangle|0\rangle = |0\rangle|0\rangle$$

$$\hat{U}|1\rangle|0\rangle = |1\rangle|1\rangle$$

$$\begin{aligned}\hat{U} \frac{1}{\sqrt{2}} (|0\rangle + |1\rangle) |0\rangle &= \frac{1}{\sqrt{2}} (\hat{U}|0\rangle|0\rangle + \hat{U}|1\rangle|0\rangle) \\ &= \frac{1}{\sqrt{2}} (|0\rangle|0\rangle + |1\rangle|1\rangle) \\ &\neq \frac{1}{\sqrt{2}} (|0\rangle + |1\rangle) \frac{1}{\sqrt{2}} (|0\rangle + |1\rangle)\end{aligned}$$

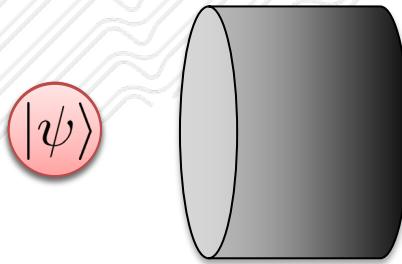
# The Heart of QKD

Measurement Disturbance



When we measure a quantum state,  
we disturb it

The No-Cloning Theorem



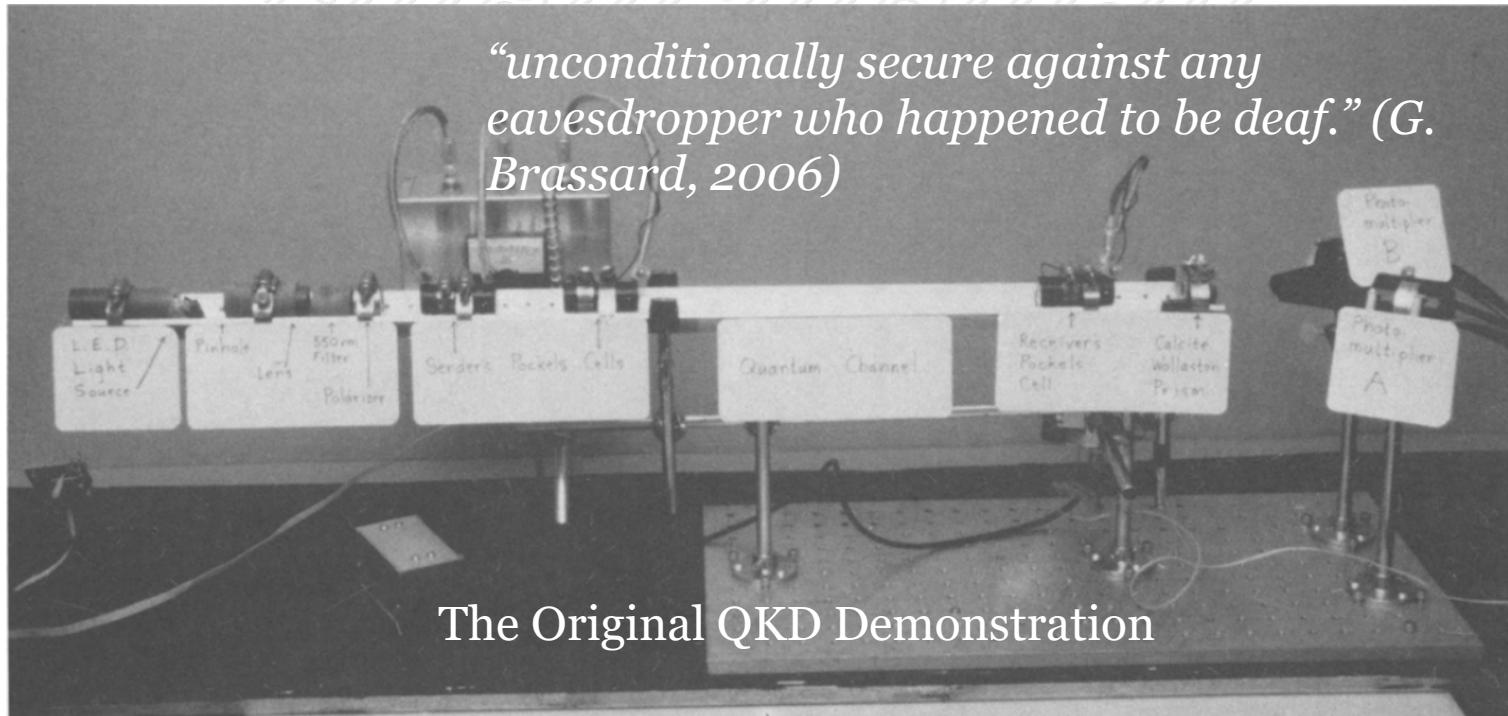
**Assumptions, classical channel is authenticated  
(Eve can't pretend to be Alice or Bob)**

# So what do we need in the lab?

- Single photon sources
  - Very difficult
  - Often use a very weak laser, which has a single photon on average
- Single photon detectors
  - Getting better and better, but expensive
  - Sometimes possible to hack, ruining security
- Ways to control photon polarization
  - Half-wave plates for polarization rotations, polarizing beam-splitters for measurement
  - Or encode qubits in an entirely different degree of freedom, like time
- A channel for single photons
  - Can use optical fiber, just like telecommunications
  - Can use free-space channels or even satellite links

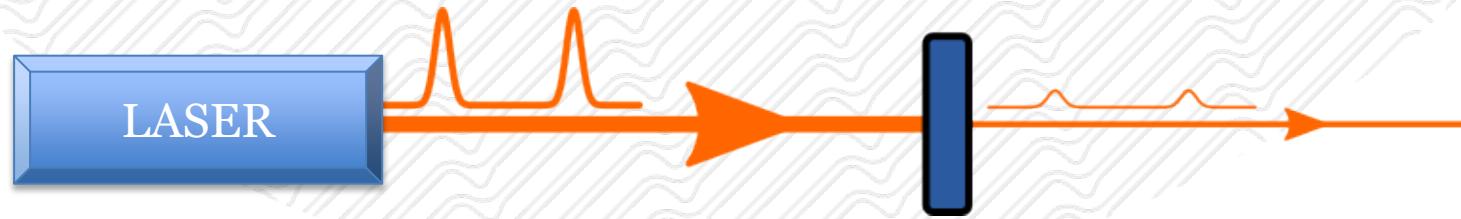
# Hacking QKD

QKD security is guaranteed by the laws of physics!  
But compromised by the reality of engineering



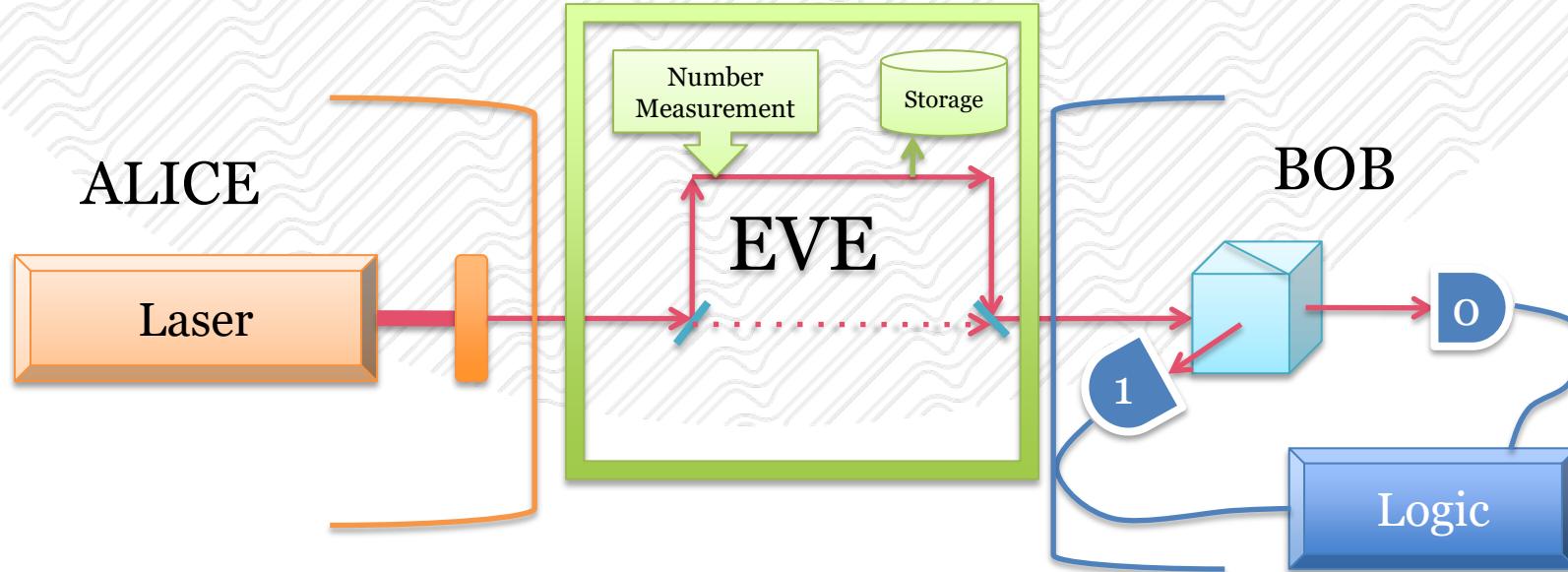
# The Photon-Number Splitting Attack

- The easiest way to make photons: a weak laser beam



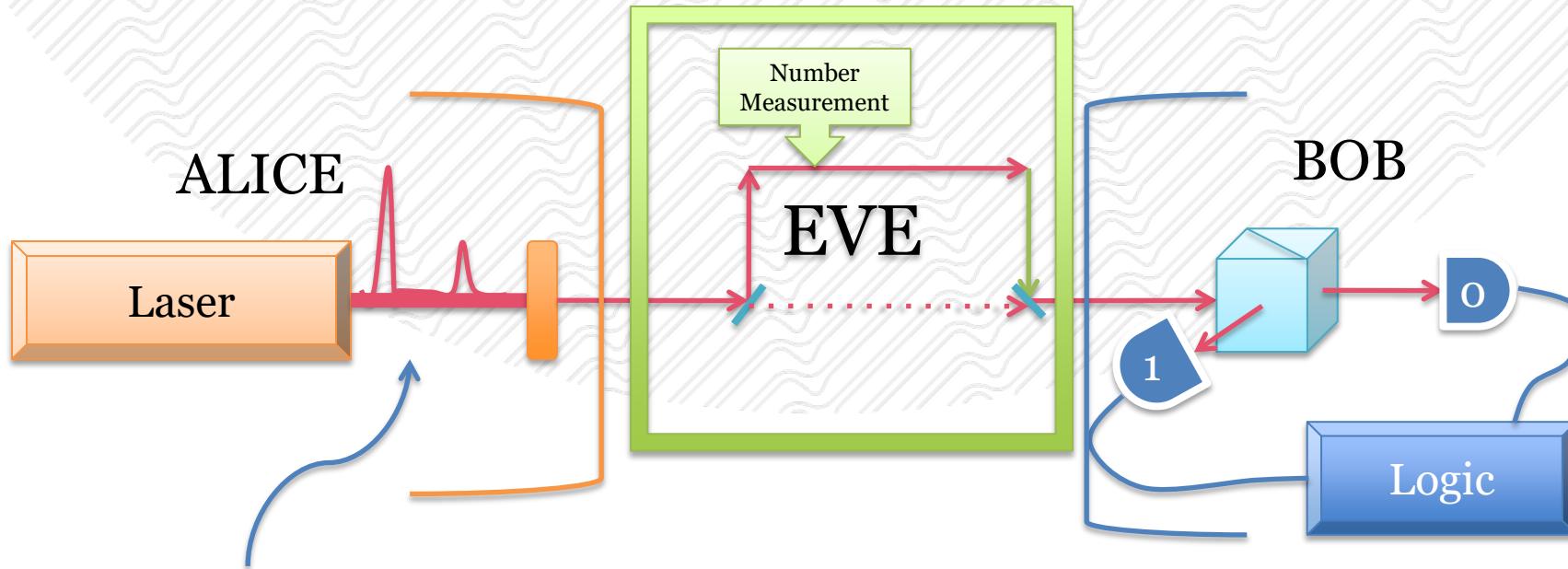
- Impossible to always have one photon using this scheme
- Multi photons = same polarization state
- Eve can take advantage to learn the bit without disturbing!

# The Photon-Number Splitting Attack



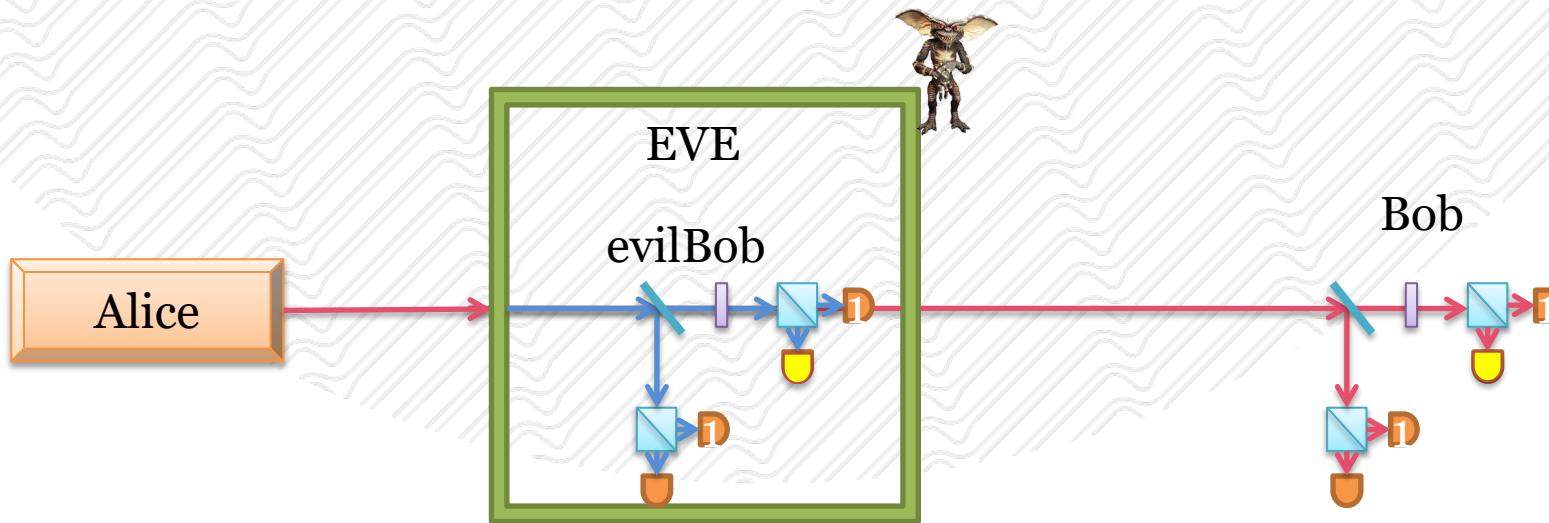
1. Alice sends a pulse, with average photon number  $< 1$
2. Eve counts photons in the pulse
  - a) If photons = 1, Eve **blocks the pulse**
  - b) If photons  $> 1$ , Eve stores one and sends the rest to Bob
3. When Alice and Bob communicate basis information, Eve measures her stored photons in the correct basis and gets all information
4. If **loss introduced by Eve**  $<$  **expected system loss**, Alice and Bob notice nothing

# The Decoy State Protocol



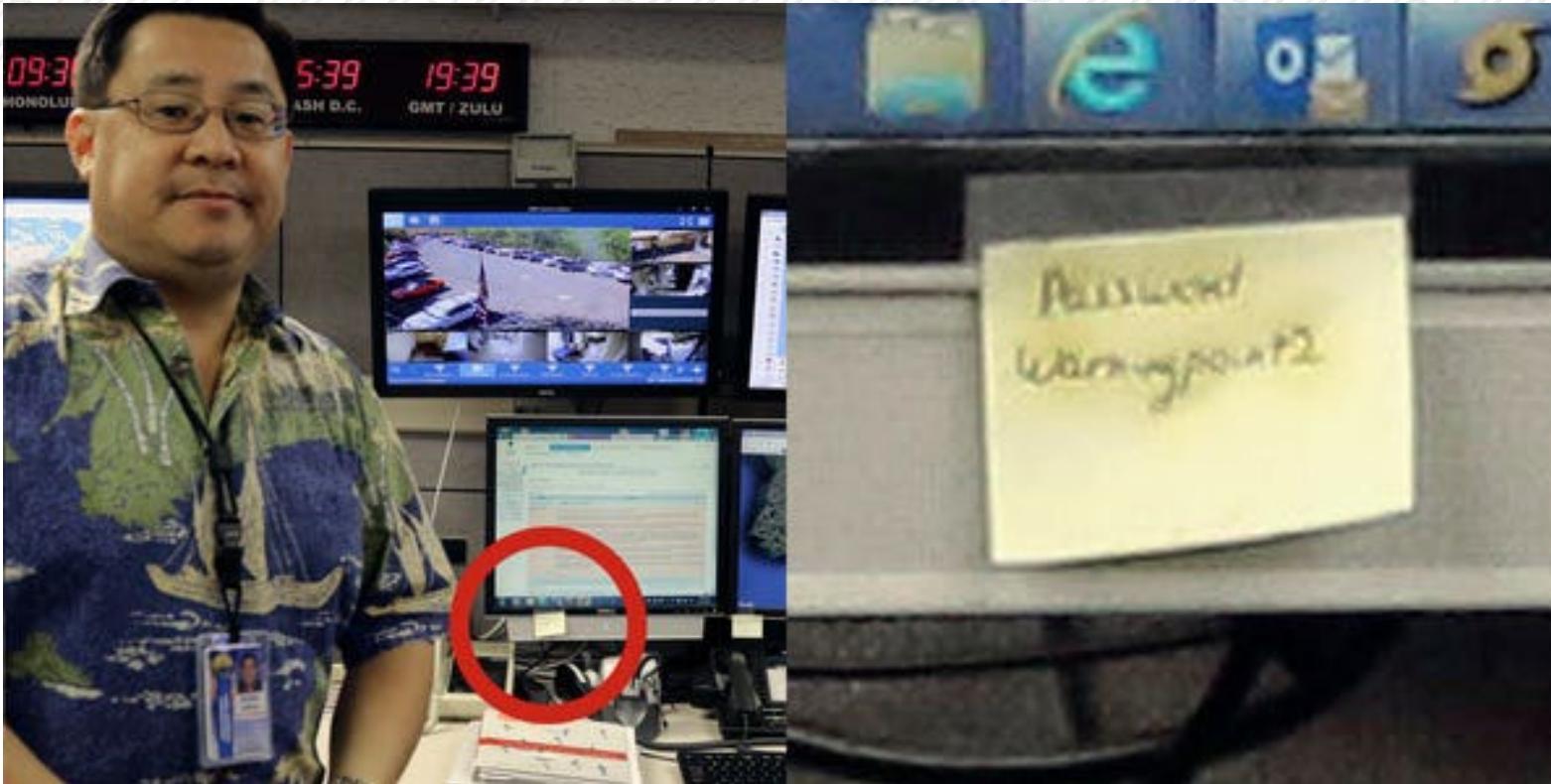
1. Alice now sends pulses of different average photon number
2. Eve still blocks pulses with only one photon, meaning she selectively blocks pulses with lower average photon number!
3. By comparing how many pulses arrive for different sizes of pulses, Alice and Bob can detect the photon number splitting attack

# Detector Control Attack



- If Eve can control Bob's detectors, they can make sure Bob always sees the same results as them
- Most if not all photon detectors could be vulnerable

# Is this level really the problem?



Business Insider 2018 Jan 16,  
“A password for the Hawaii emergency agency was hiding in a public photo, written on a Post-it note.”

# Well, maybe someday...

Polynomial-Time Algorithms for Prime Factorization  
and Discrete Logarithms on a Quantum Computer\*

Peter W. Shor<sup>†</sup>

## Abstract

A digital computer is generally believed to be an efficient universal computing device; that is, it is believed able to simulate any physical computing device with an increase in computation time by at most a polynomial factor. This may not be true when quantum mechanics is taken into consideration. This paper considers factoring integers and finding discrete logarithms, two problems which are generally thought to be hard on a classical computer and which have been used as the basis of several proposed cryptosystems. Efficient randomized algorithms are given for these two problems on a hypothetical quantum computer. These algorithms take a number of steps polynomial in the input size, e.g., the number of digits of the integer to be factored.

**Keywords:** algorithmic number theory, prime factorization, discrete logarithms, Church's thesis, quantum computers, foundations of quantum mechanics, spin systems, Fourier transforms

**AMS subject classifications:** 81P10, 11Y05, 68Q10, 03D10

# Quantum Key Distribution

QuVis

Quantum key distribution (BB84 protocol) using polarized photons

The diagram illustrates the BB84 protocol. Alice sends photons through a series of polarizers (H/V, +45/-45, -45/+45) to Bob. Eve intercepts the photons and can choose between random or fixed bases. The interface shows a matrix of Alice's basis, Eve's outcome, Bob's outcome, and whether they share the same basis. It also includes a key generation table and various control buttons.

Alice Basis	Eve Value	Eve Outcome	Bob Outcome	Alice and Bob Same bases?	Key	
H/V	1	+45/-45	1	H/V	YES	1
H/V	0	+45/-45	0	H/V	YES	ERROR
+45/-45	0	+45/-45	0	H/V	NO	
H/V	1	H/V	1	H/V	YES	1
+45/-45	0	H/V	0	+45/-45	YES	0
H/V	0	+45/-45	0	+45/-45	NO	

Eve chose the wrong basis!

Main controls:

- Send polarized photons to Bob
- Single photon
- Continuous
- Fast forward 100 photons
- Let Eve intercept and resend photons
- Stop eavesdropping

Most recent key bits (same bases)

Alice	Bob
1 0 1 0 1 0 1 0 0 0	1 1 0 1 1 1 1 0 0 0
1 1 1 0 0 1 0 1 1 0	1 1 1 0 0 1 0 1 1 1
0 1 1 0 0 0 1 0 1 0	1 1 1 0 1 0 1 0 0 1
0 1 0 1 1 0 1 0 1 1	1 1 0 1 1 0 0 0 1 0

Let Alice & Bob compare 20 bits

Errors (all measurements)

Alice	Bob	Theoretical
Total: N <sub>tot</sub> = 300		
Key bits: N <sub>key</sub> = 166	0.5 N <sub>tot</sub>	
Errors: N <sub>err</sub> = 39	0.25 N <sub>key</sub>	
Probability: N <sub>err</sub> / N <sub>key</sub> = 0.235	0.25	

Try the simulator  
by St. Andrew's University

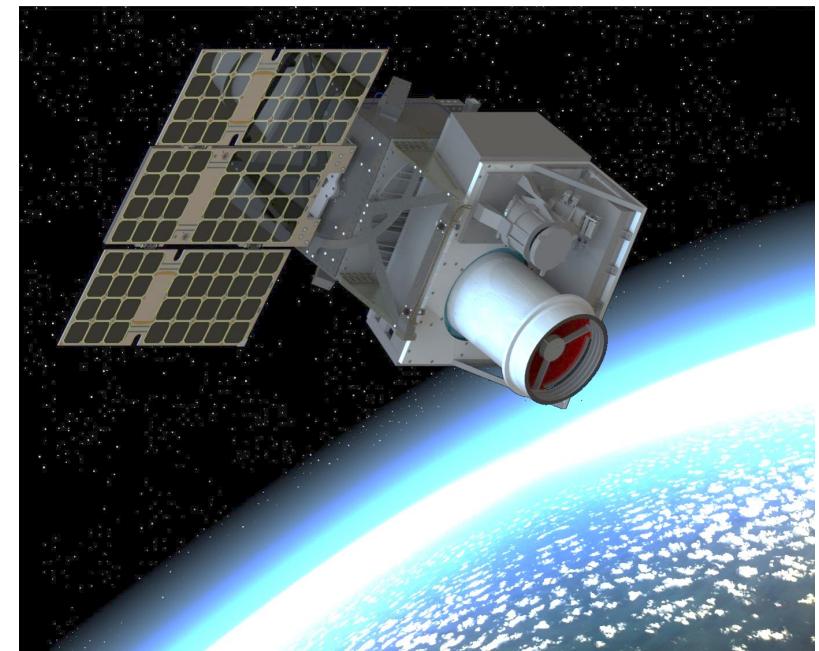
[st-andrews.ac.uk/physics/quvis](http://st-andrews.ac.uk/physics/quvis)  
Quantum Cryptography (B92)

Many slides stolen from:  
- Evan Meyer-Scott  
- Electra Eleftheriadou  
- Martin Laforest

# **Other types of protocols**

- Six state
  - Three bases instead of only two
    - How does this help?
- Time bin
  - Different encoding
  - Do not always have to use polarization
- Entanglement based
  - Why not add more Quantum?

# There is much more to QKD







**Thank You**