



江南大学  
JIANGNAN UNIVERSITY

# 蒙特卡洛壳模型的误差和外推

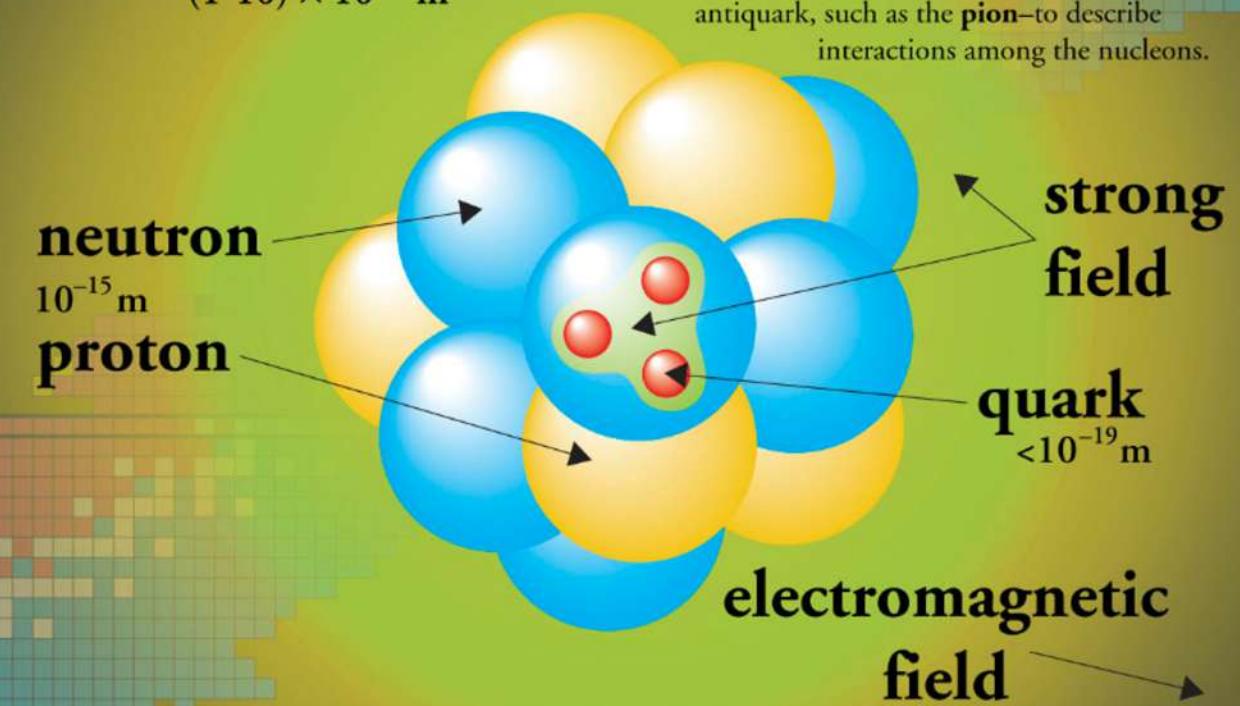
刘 朗

**To develop a unified description of all nuclei based on the underlying forces between nucleons.**

## The Nucleus

$(1-10) \times 10^{-15} \text{ m}$

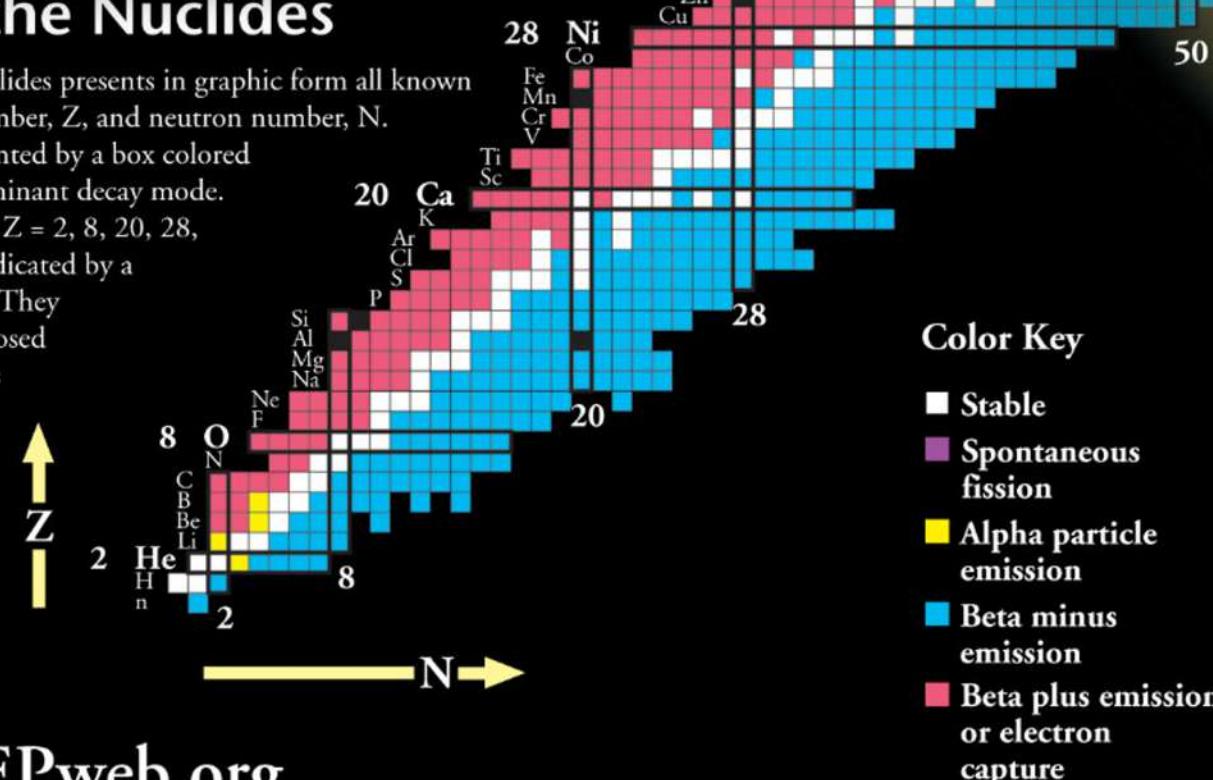
At the center of the atom is a nucleus formed from nucleons—protons and neutrons. Each nucleon is made from three quarks held together by their strong interactions, which are mediated by gluons. In turn, the nucleus is held together by the strong interactions between the gluon and quark constituents of neighboring nucleons. Nuclear physicists often use the exchange of mesons—particles which consist of a quark and an antiquark, such as the pion—to describe interactions among the nucleons.



In an atom, electrons range around the nucleus at distances typically up to 10,000 times the nuclear diameter. If the electron cloud were shown to scale, this chart would cover a small town.

### Chart of the Nuclides

The Chart of the Nuclides presents in graphic form all known nuclei with atomic number, Z, and neutron number, N. Each nuclide is represented by a box colored according to its predominant decay mode. Magic numbers (N or Z = 2, 8, 20, 28, 50, 82 and 126) are indicated by a rectangle on the chart. They correspond to major closed shells and show regions of greater nuclear binding energy.



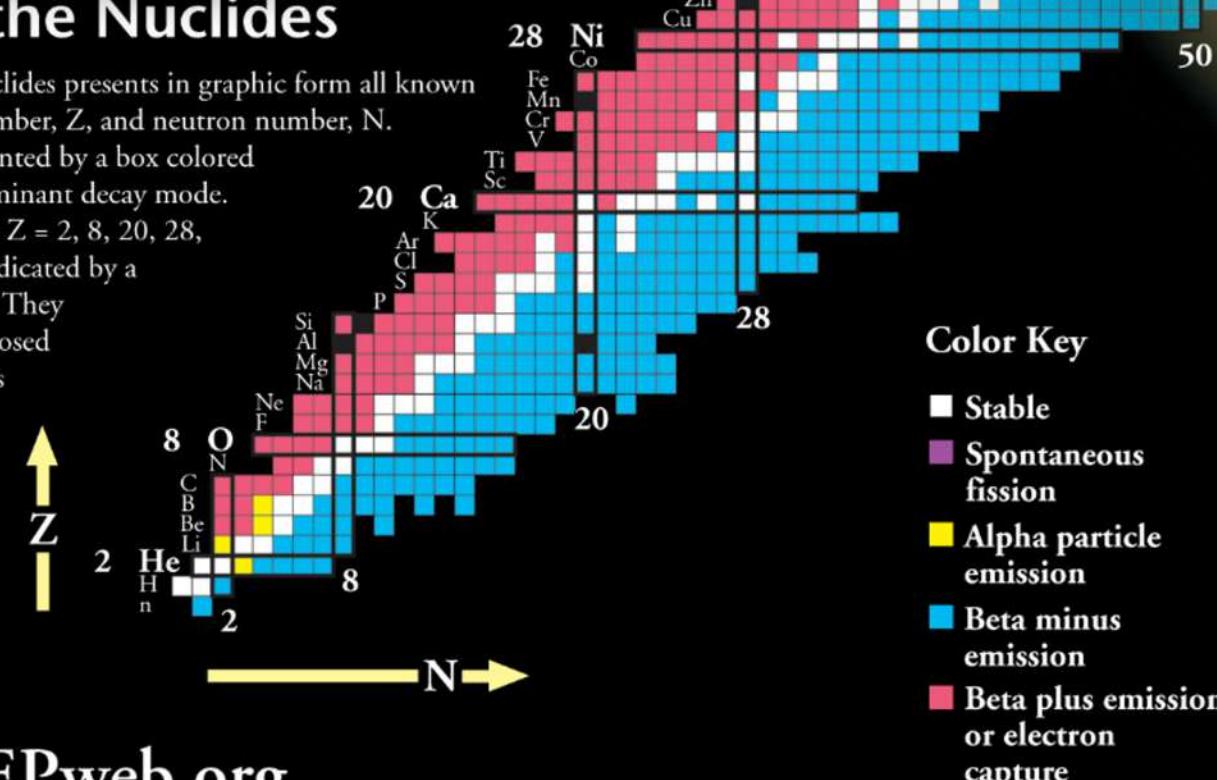
- Color Key**
- Stable
  - Spontaneous fission
  - Alpha particle emission
  - Beta minus emission
  - Beta plus emission or electron capture

# State-of-the-art ab-initio theories for nuclei

- Quantum Monte Carlo
- No-core shell model
- Nuclear lattice simulations
- Coupled cluster method
- Relativistic Brueckner-Hartree-Fock (RBHF)
- Many-body perturbation theory (MBPT)
- ...

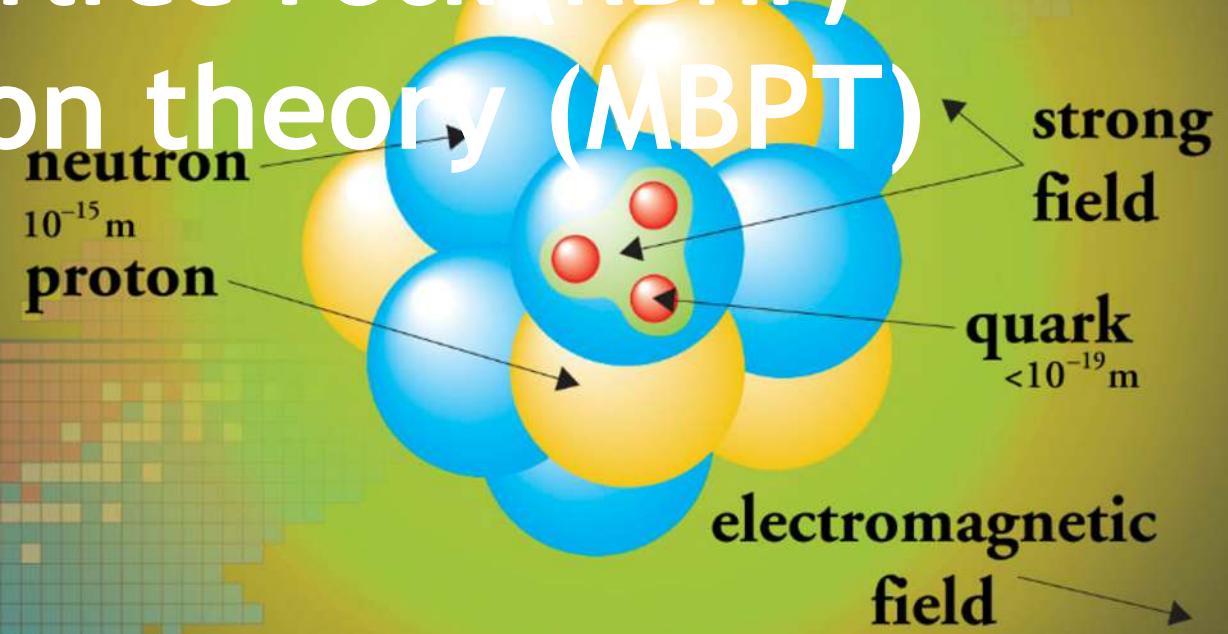
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## Realistic nuclear forces

ChPT

Low energy QCD

# Nuclear shell model

*Configuration interaction shell model;*

*No-core shell model*

The Nucleus

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neutron

$10^{-15} \text{ m}$

proton

strong field

quark

electromagnetic field

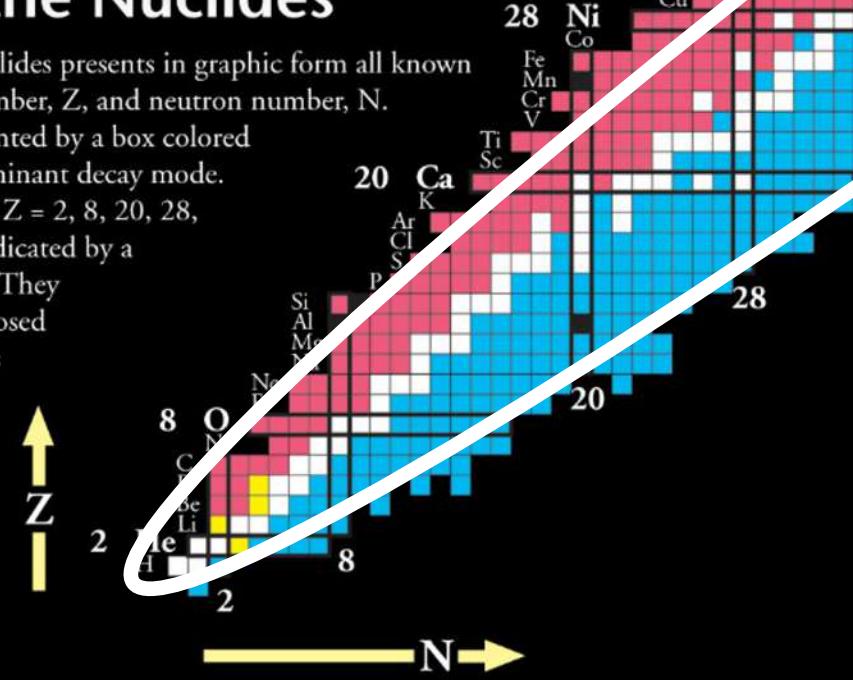
In an atom, electrons range around the nucleus at distances typically up to 10,000 times the nuclear diameter. If the electron cloud were shown to scale, this chart would cover a small town.

Based on minimum number of natural assumptions;

All dynamical correlations can be appropriately incorporated.

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## Configuration interaction shell model;

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## The Nucleus

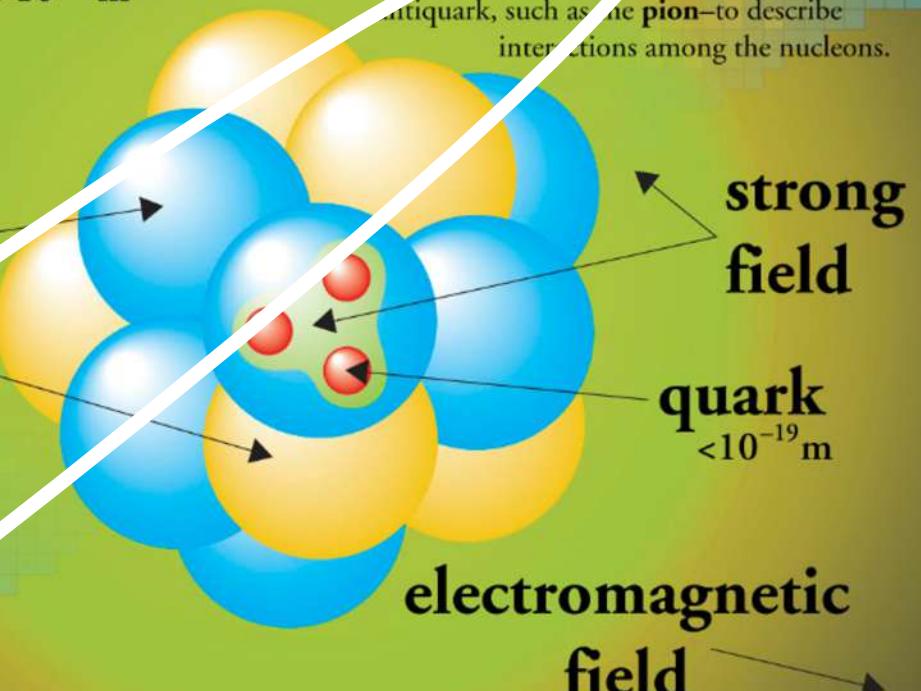
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neutron

$10^{-15} \text{ m}$

proton



50

Sn

In

Cd

Ag

Pd

Rh

Al

Tc

Nb

Zr

Y

Sr

Kr

Br

Rb

Sc

As

Ge

Ga

Zn

Cu

Ni

Co

Fe

Mn

Cr

V

Ti

Sc

### Color Key

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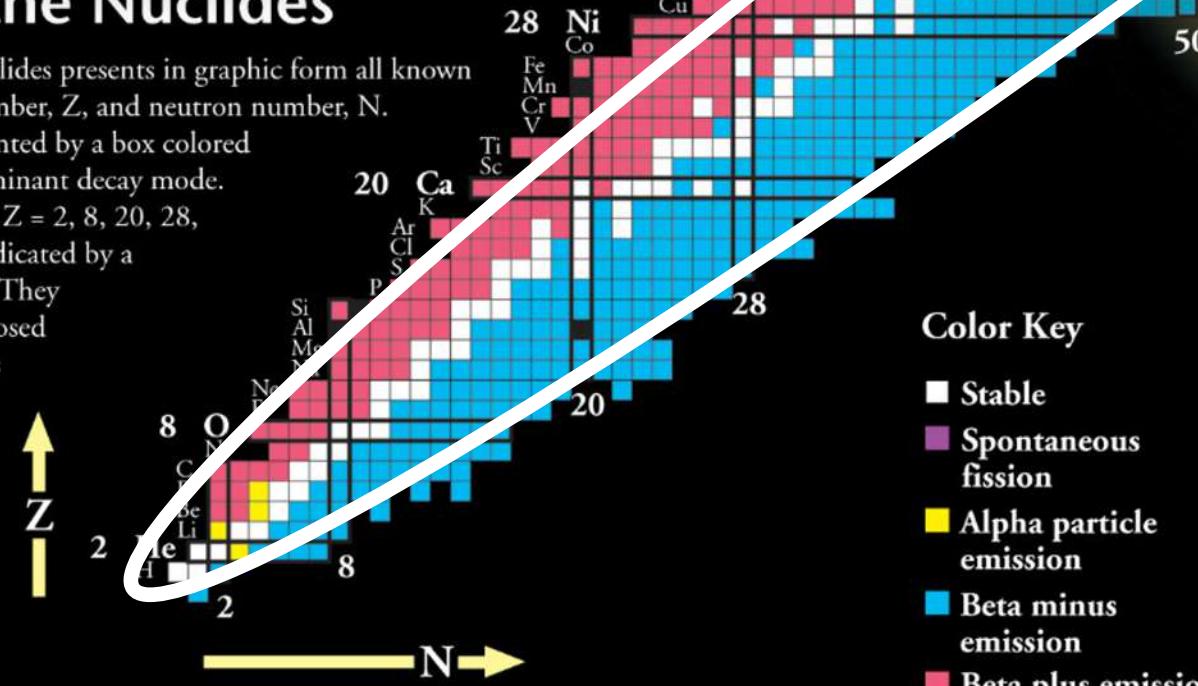
Huge Hamiltonian matrix

## Chart of the Nuclides

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# Nuclear Shell Model

- Eigenvalue problem of large sparse Hamiltonian matrix

$$H|\Psi\rangle = E|\Psi\rangle$$

$$\begin{pmatrix} H_{11} & H_{12} & H_{13} & H_{14} & H_{15} & \cdots \\ H_{21} & H_{22} & H_{23} & H_{24} & & \\ H_{31} & H_{32} & H_{33} & & & \\ & & \ddots & & & \\ H_{41} & H_{33} & & & & \\ H_{51} & & & & & \\ \vdots & & & & & \end{pmatrix} \begin{pmatrix} \Psi_1 \\ \Psi_2 \\ \Psi_3 \\ \Psi_4 \\ \Psi_5 \\ \vdots \end{pmatrix} = \begin{pmatrix} E_1 & & & & & & 0 \\ & E_2 & & & & & \\ & & E_3 & & & & \\ & & & \ddots & & & \\ 0 & & & & & & \end{pmatrix} \begin{pmatrix} \Psi_1 \\ \Psi_2 \\ \Psi_3 \\ \Psi_4 \\ \Psi_5 \\ \vdots \end{pmatrix}$$

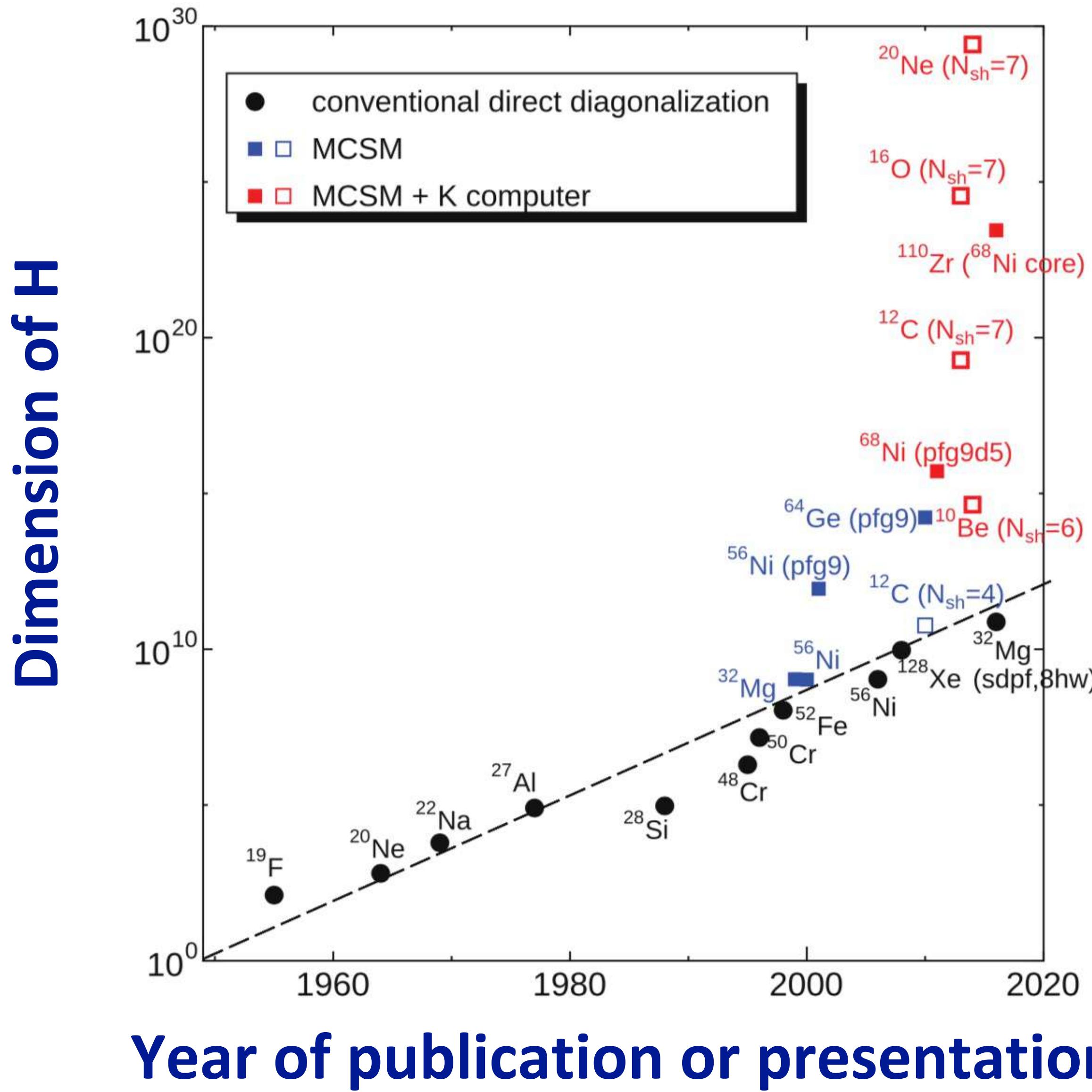
Large sparse matrix (in M-scheme)

$$\sim \mathcal{O}(10^{10})$$

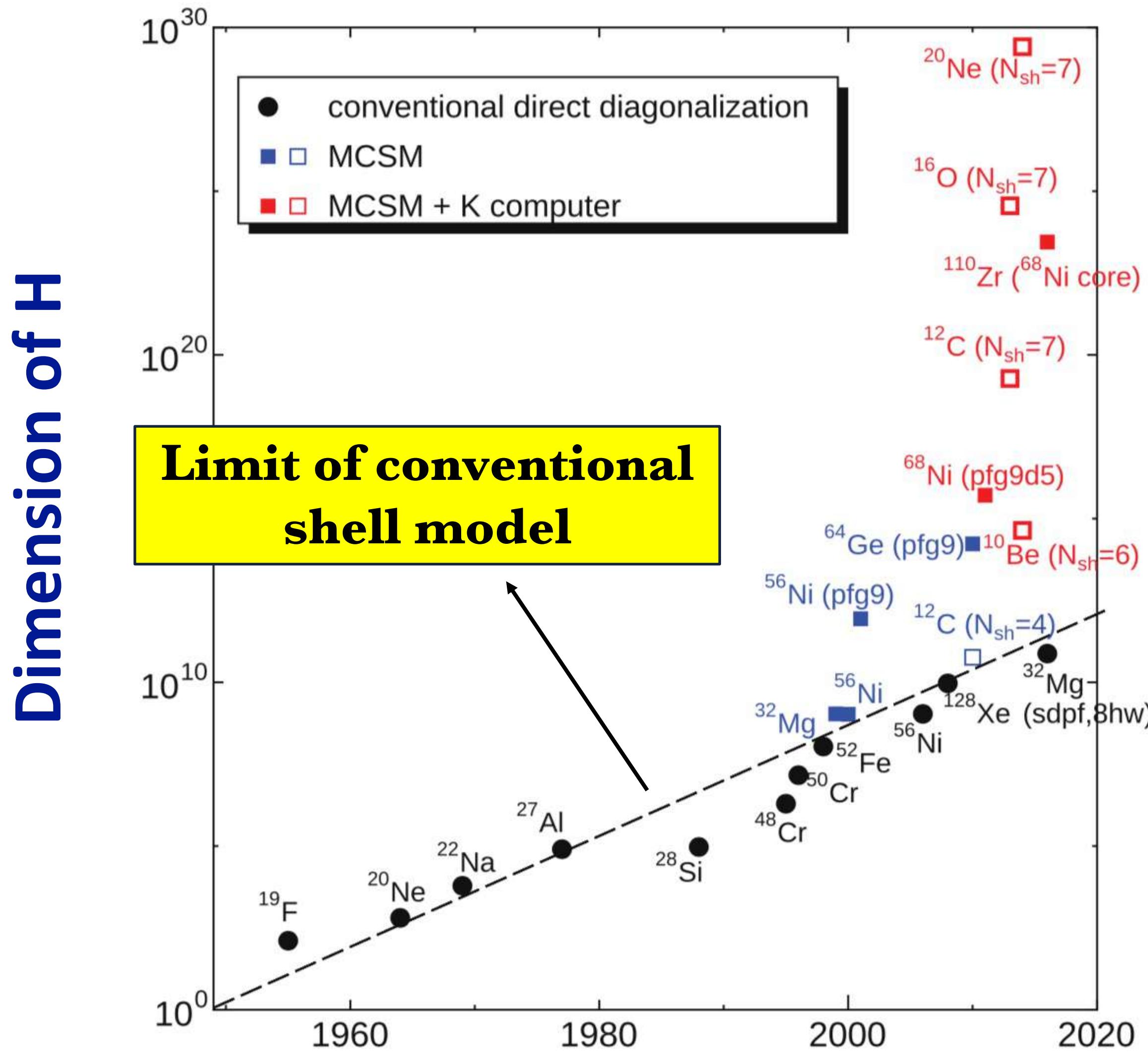
$$\# \text{ non-zero MEs} \quad \sim \mathcal{O}(10^{13-14})$$

$$\begin{cases} |\Psi_1\rangle = a_\alpha^\dagger a_\beta^\dagger a_\gamma^\dagger \cdots |-\rangle \\ |\Psi_2\rangle = a_{\alpha'}^\dagger a_{\beta'}^\dagger a_{\gamma'}^\dagger \cdots |-\rangle \\ |\Psi_3\rangle = \dots \\ \vdots \end{cases}$$

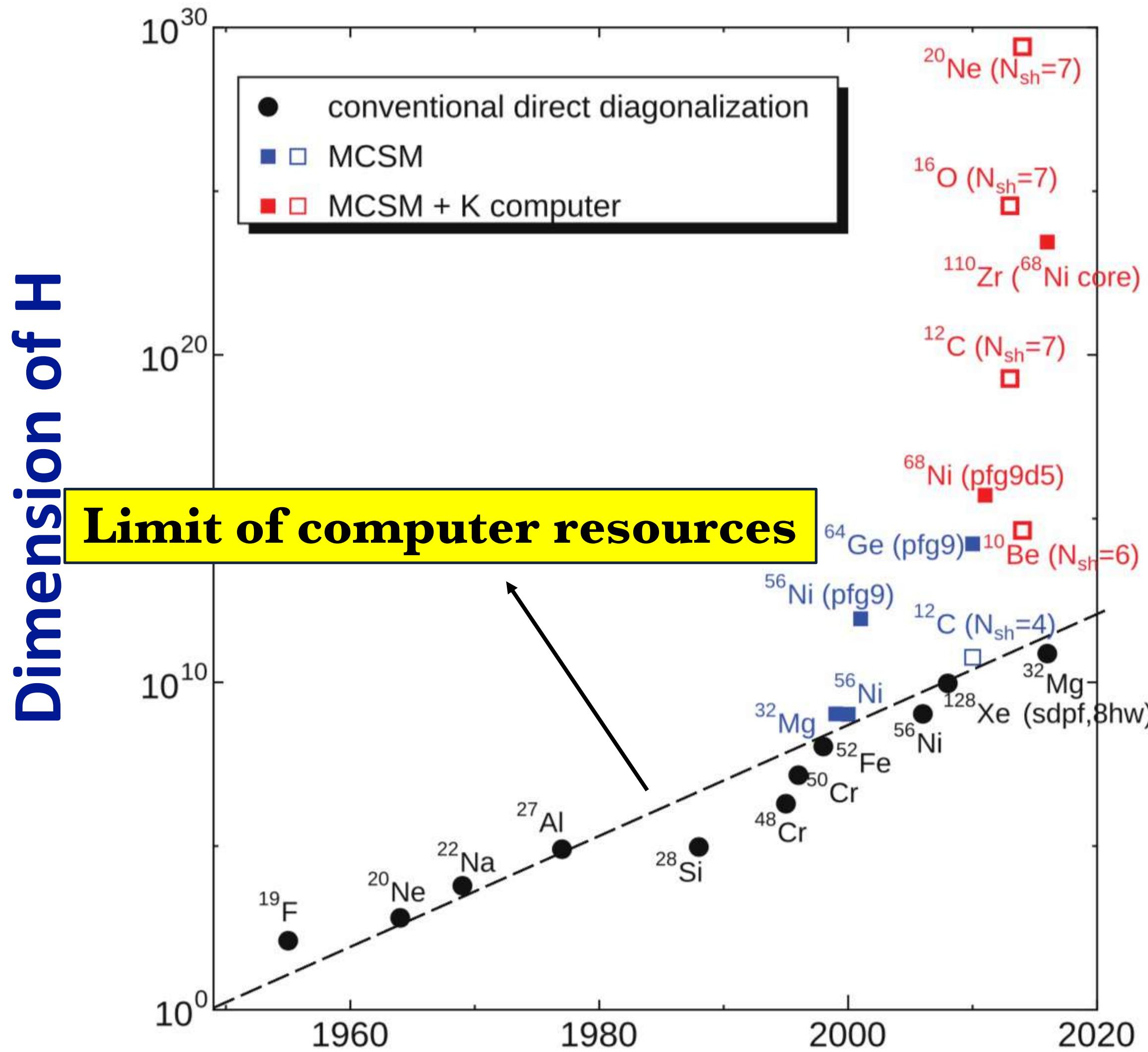
# Historical Evolution of the Shell Model



# Historical Evolution of the Shell Model



# Historical Evolution of the Shell Model



# Dimension of H matrix for MCSM

## Standard shell model

$$H = \begin{pmatrix} * & * & * & * & * & \cdots \\ * & * & * & * & & \\ * & * & * & & & \\ * & * & & \ddots & & \\ * & & & & & \\ * & & & & & \end{pmatrix} \xrightarrow{\text{Diagonalization}} \begin{pmatrix} E_0 & & & & & 0 \\ & E_1 & & & & \\ & & E_2 & & & \\ & & & \ddots & & \\ 0 & & & & & \end{pmatrix}$$

Large sparse matrix  
 $\sim \mathcal{O}(10^{10})$

# non-zero MEs  
 $\sim \mathcal{O}(10^{13-14})$

## Monte Carlo shell model

Importance truncation

$$H \sim \begin{pmatrix} * & * & \cdots \\ * & \ddots & \\ \vdots & & \end{pmatrix} \xrightarrow{\text{Diagonalization}} \begin{pmatrix} E'_0 & & 0 \\ & E'_1 & \\ 0 & & \ddots \end{pmatrix}$$

Important bases stochastically selected

**$\sim \mathcal{O}(100)$**

T. Otsuka *et al.*, Prog. Part. Nucl. Phys. 47, 319 (2001)

# MCSM — Starting Point

- ❖ imaginary-time evolution operator

$$e^{-\beta \hat{H}}$$

$\beta$ : inverse of the temperature  $T$

$\hat{H}$ : a general time-independent Hamiltonian

- ❖ an initial state

$$|\Psi^{(0)}\rangle = \sum_i c_i |\phi_i\rangle$$

$|\phi_i\rangle$ :  $\hat{H}$ 's eigenfunction

$c_i$ : amplitude

$$e^{-\beta \hat{H}} |\Psi^{(0)}\rangle = \sum_i e^{-\beta E_i} c_i |\phi_i\rangle$$

$E_i$ : the  $i$ -th eigenvalue of  $\hat{H}$

**$\beta$  big enough  $\rightarrow$  only the ground state and low-lying excited states survive**

# MCSM — General Idea

## ❖ a general Hamiltonian

$$\hat{H} = \sum_{i,j=1}^{N_{s.p.}} \epsilon_{ij} c_i^\dagger c_j + \frac{1}{4} \sum_{i,j,k,l=1}^{N_{s.p.}} v_{i,j,k,l} c_i^\dagger c_j^\dagger c_l c_k$$

$i, j$ : the single particle states.

$N_{s.p.}$ : the number of the single particle states.

## ❖ Hamiltonian in a quadratic form

$$\hat{H} = \sum_{\alpha=1}^{N_f} (E_\alpha \hat{O}_\alpha + \frac{1}{2} V_\alpha \hat{O}_\alpha^2)$$

$\hat{O}_\alpha$ : one-body operators

$N_f$ : the number of the  $O_\alpha$ 's

$$e^{-\beta \hat{H}} = \int_{-\infty}^{\infty} \prod_{\alpha} d\sigma_{\alpha} \sqrt{\frac{\beta |V_{\alpha}|}{2\pi}} \cdot e^{-\frac{\beta}{2} |V_{\alpha}| \sigma_{\alpha}^2} \cdot e^{-\beta |V_{\alpha}| \sigma_{\alpha} \hat{O}_{\alpha}}$$

# MCSM — General Cases

## ❖ a general Hamiltonian

$$\hat{H} = \sum_{i,j=1}^{N_{s.p.}} \epsilon_{ij} c_i^\dagger c_j + \frac{1}{4} \sum_{i,j,k,l=1}^{N_{s.p.}} v_{i,j,k,l} c_i^\dagger c_j^\dagger c_l c_k$$

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## ❖ Hamiltonian in a quadratic form

$$\hat{H} = \sum_{\alpha=1}^{N_f} (E_\alpha \hat{O}_\alpha + \frac{1}{2} V_\alpha \hat{O}_\alpha^2)$$

$\hat{O}_\alpha$ : one-body operators

$N_f$ : the number of the  $O_\alpha$ 's

$$e^{-\beta \hat{H}} \times \int_{-\infty}^{\infty} \prod_{\alpha} d\sigma_{\alpha} \sqrt{\frac{\beta |V_{\alpha}|}{2\pi}} \cdot e^{-\frac{\beta}{2} |V_{\alpha}| \sigma_{\alpha}^2} \cdot e^{-\beta |V_{\alpha}| \sigma_{\alpha} \hat{O}_{\alpha}}$$

$\hat{H}$  contains many-body term,  
 $\hat{O}_\alpha$ 's do not commute with each other !

# MCSM — Hubbard-Stratonovich (HS) Transformation

❖ “time” slices of  $\beta$

$$e^{-\beta \hat{H}} = [e^{-\Delta \beta \hat{H}}]^{N_t}$$

❖ HS transformation

$$e^{-\beta \hat{H}} \simeq \prod_{n=1}^{N_t} \int_{-\infty}^{\infty} \prod_{\alpha} d\sigma_{\alpha n} \sqrt{\frac{\Delta \beta |V_{\alpha}|}{2\pi}} \cdot e^{-\frac{\Delta \beta}{2} |V_{\alpha}| \sigma_{\alpha n}^2} \cdot e^{-\Delta \beta (E_{\alpha} + s_{\alpha} V_{\alpha} \sigma_{\alpha n}) \hat{O}}$$

❖ Gaussian weight factor

$$G(\sigma_{\alpha}) = e^{-\frac{\Delta \beta}{2} |V_{\alpha}| \sigma_{\alpha n}^2}$$

❖ one-body Hamiltonian

$$\hat{h}(\sigma_n) = \sum_{\alpha} (E_{\alpha} + s_{\alpha} V_{\alpha} \sigma_{\alpha n}) \hat{O}_{\alpha}$$

$$s_{\alpha} = \pm 1 \ (\pm i) \text{ if } V_{\alpha} < 0 \ ( > 0)$$

❖ HS transformation

$$e^{-\beta \hat{H}} \simeq \prod_{n=1}^{N_t} \int_{-\infty}^{\infty} \prod_{\alpha} d\sigma_{\alpha n} \sqrt{\frac{\Delta \beta |V_{\alpha}|}{2\pi}} \cdot G(\sigma_{\alpha}) \cdot e^{-\Delta \beta \hat{h}(\sigma_{\alpha})}$$

# SMMC and MCSM

## ❖ HS transformation

$$e^{-\beta \hat{H}} \simeq \prod_{n=1}^{N_t} \int_{-\infty}^{\infty} \prod_{\alpha} d\sigma_{\alpha,n} \sqrt{\frac{\beta |V_{\alpha}|}{2\pi}} \cdot G(\sigma_{\alpha}) \cdot e^{-\Delta\beta \hat{h}(\sigma_{\alpha})}$$

## ❖ the ground state

$$|\Phi_{g.s.}\rangle \simeq \prod_{n=1}^{N_t} \sum_{MC,\sigma} e^{-\Delta\beta \hat{h}(\sigma_n)} |\Psi^{(0)}\rangle$$

## ❖ states with $\sigma$

$$|\Phi(\sigma)\rangle \propto \prod_{n=1}^{N_t} e^{-\Delta\beta \hat{h}(\sigma_n)} |\Psi^{(0)}\rangle$$

## ❖ the ground state energy

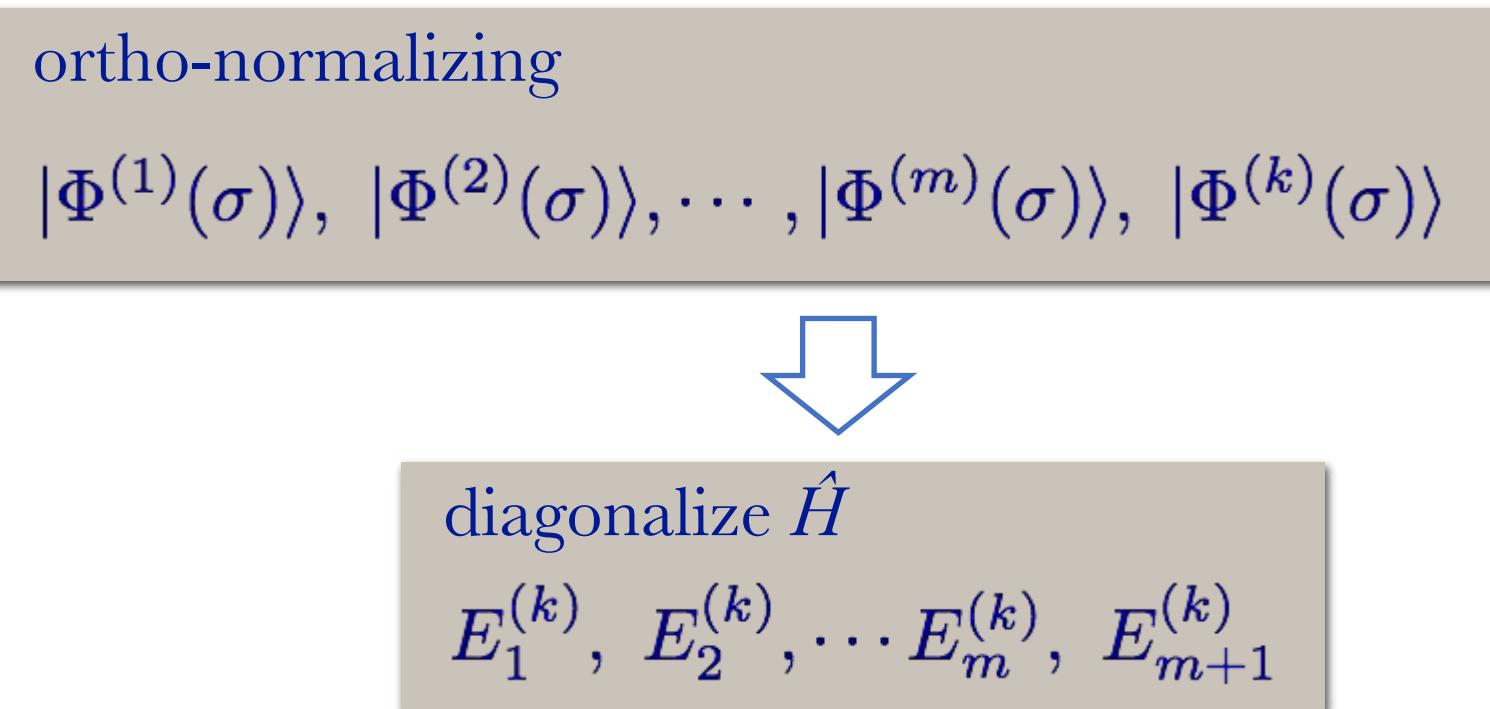
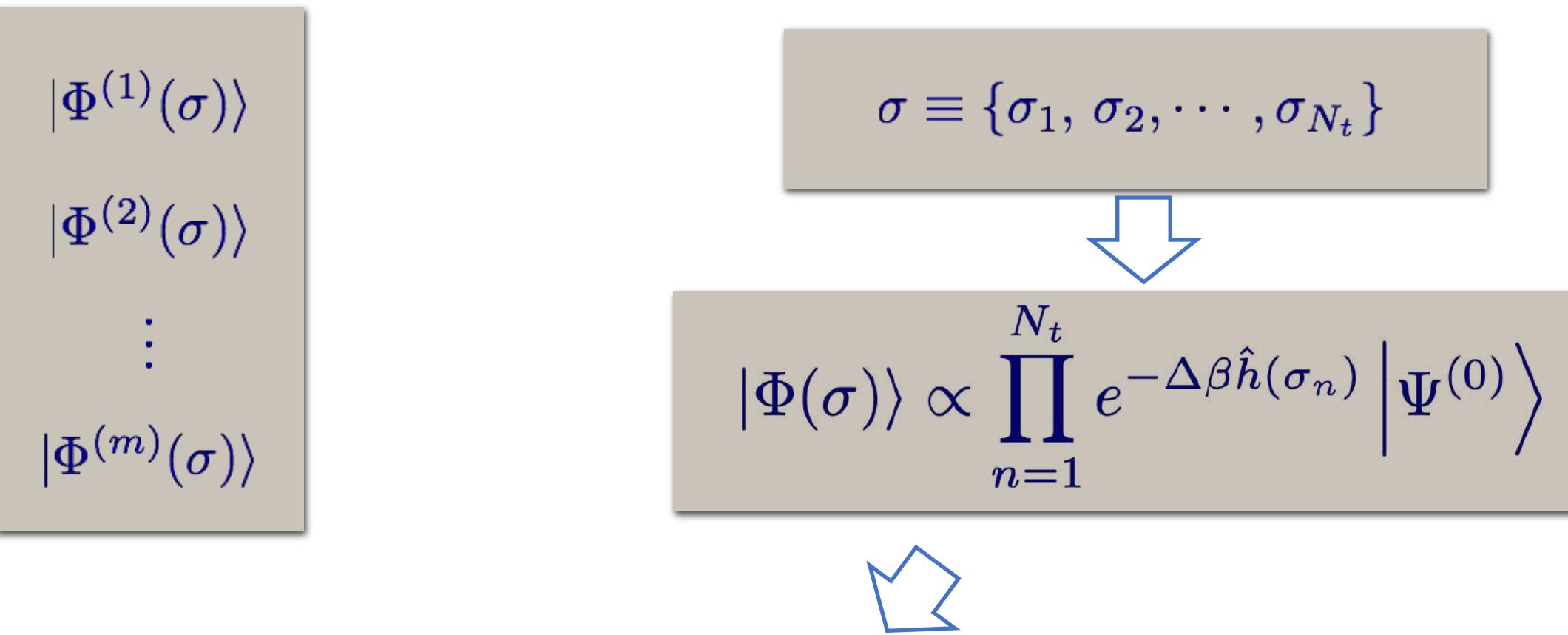
$$E_{g.s.} = \frac{\langle \Phi_{g.s.} | \hat{H} | \Phi_{g.s.} \rangle}{\langle \Phi_{g.s.} | \Phi_{g.s.} \rangle}$$

- ❖ generate basis;
- ❖ diagonalization.

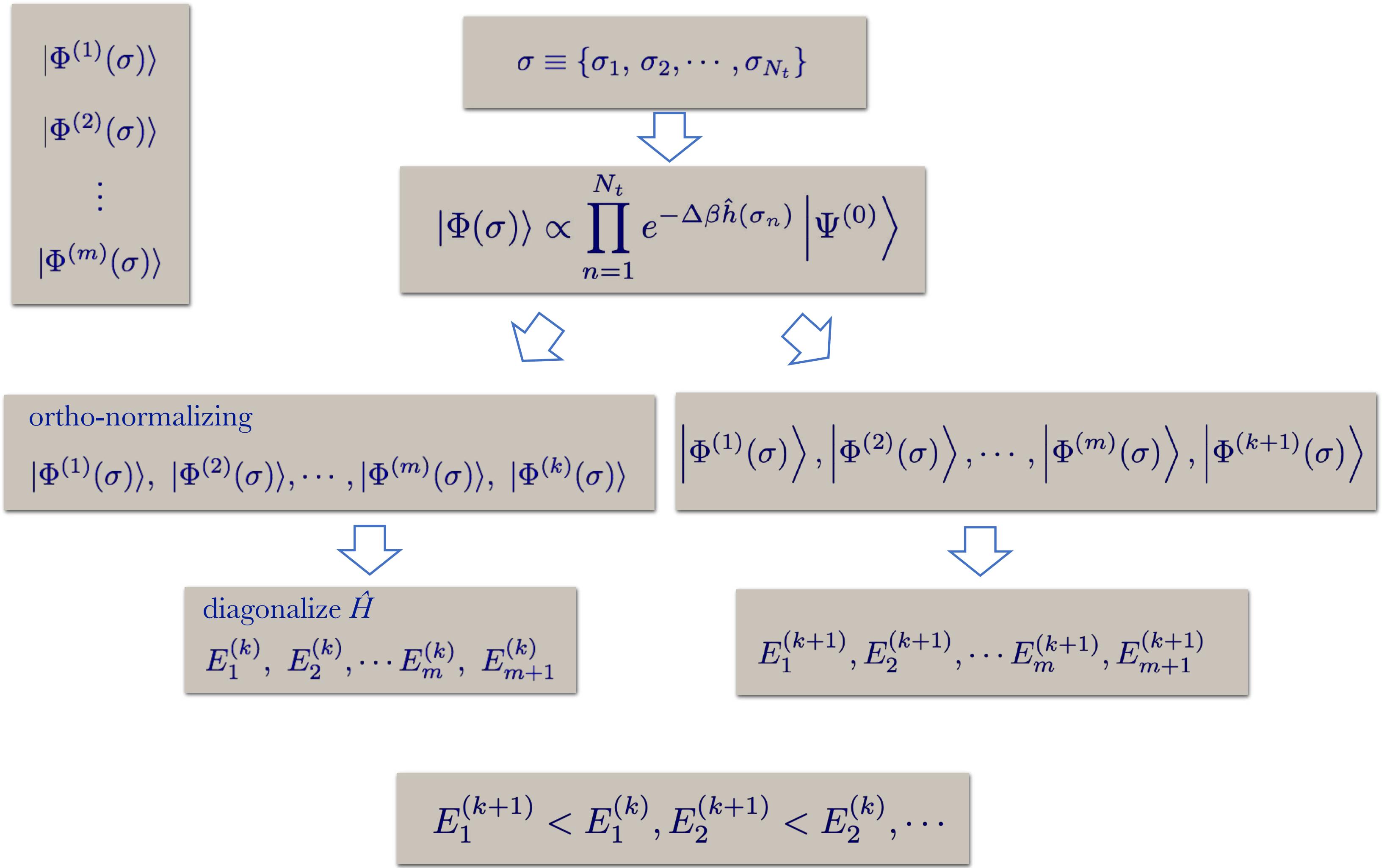
Shell Model Monte Carlo

Quantum Monte Carlo

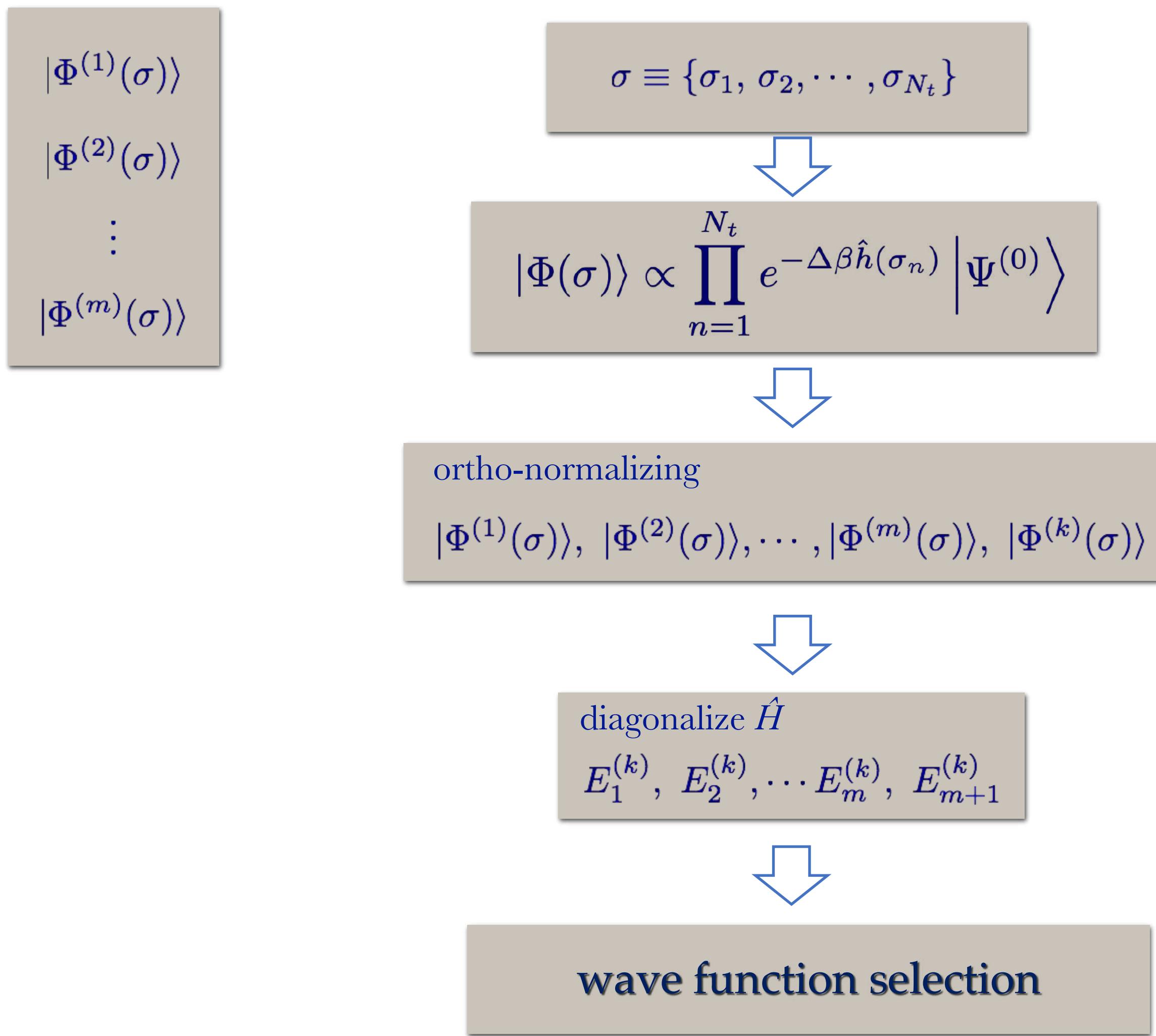
# MCSM — Generation Process for Basis



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# MCSM Bases

**MCSM bases**

**MCSM dimension:** the number of bases.

$$|\Phi^{(1)}(\sigma)\rangle$$

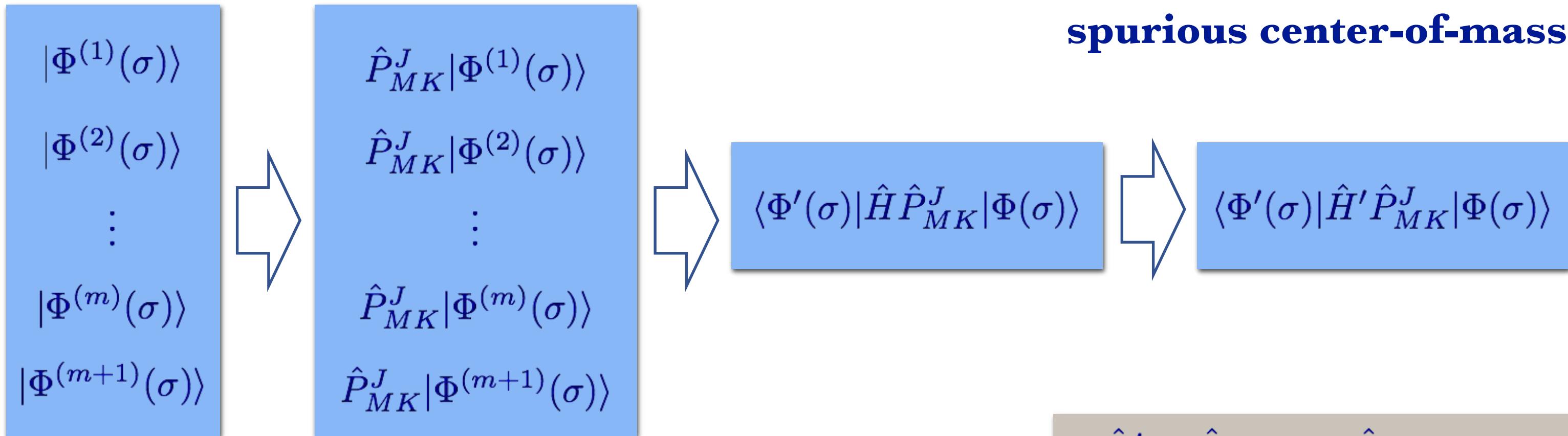
$$|\Phi^{(2)}(\sigma)\rangle$$

:

$$|\Phi^{(m)}(\sigma)\rangle$$

$$|\Phi^{(m+1)}(\sigma)\rangle$$

# MCSM — Restoration of Symmetry



$$\hat{P}_{MK}^J = \frac{2J+1}{8\pi^2} \int d\Omega D_{MK}^J(\Omega)^* e^{i\alpha\hat{J}_x} e^{i\beta\hat{J}_y} e^{i\gamma\hat{J}_z}$$

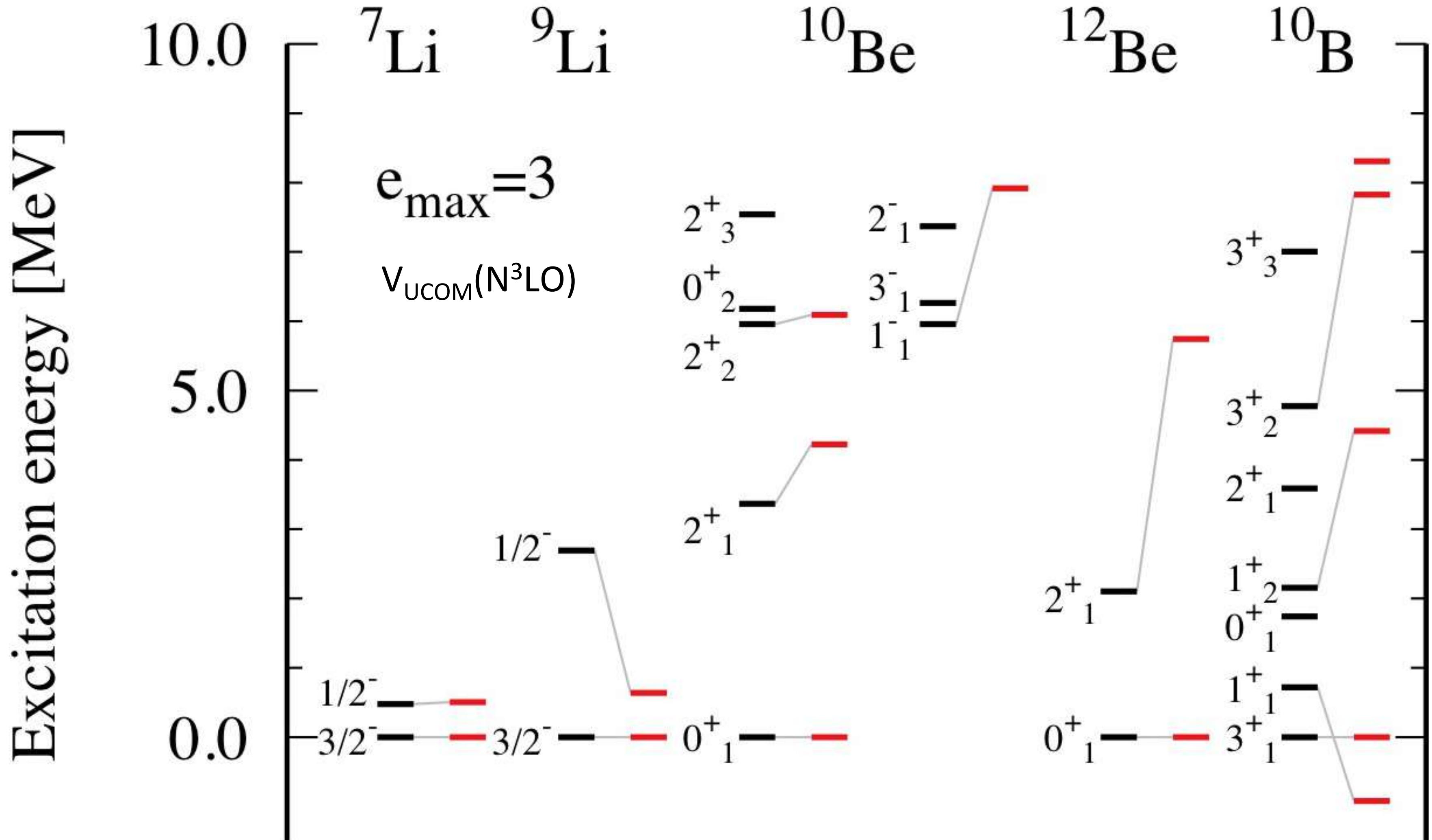
$$\hat{H}' = \hat{H} + \beta_{c.m.} \hat{H}_{c.m.}$$

$$\hat{H}_{c.m.} = \frac{\hat{\mathbf{P}}^2}{2AM} + \frac{1}{2}MA\omega^2\hat{\mathbf{R}}^2 - \frac{3}{2}\hbar\omega$$

D. Gloeckner and R. Lawson, 1974

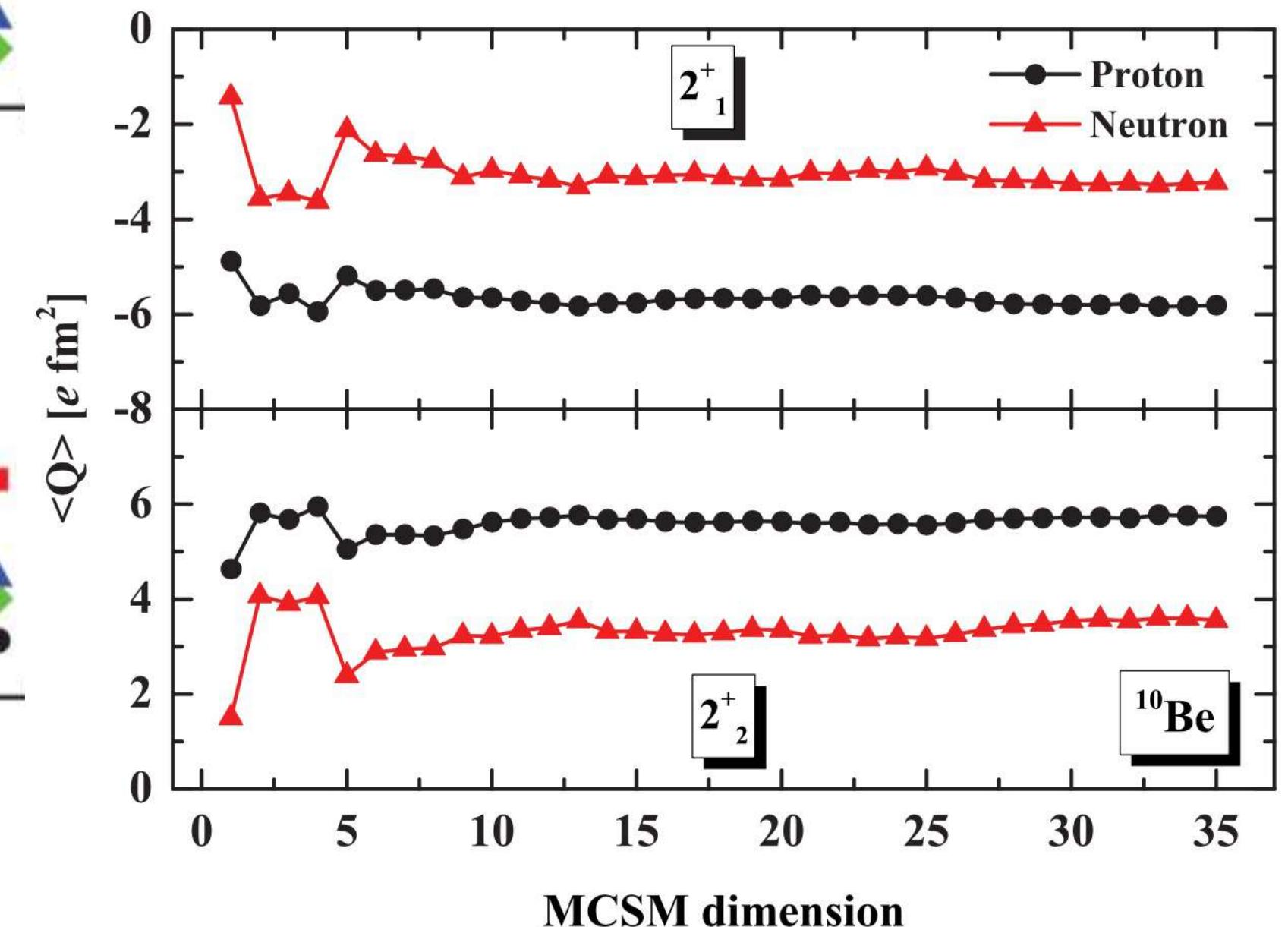
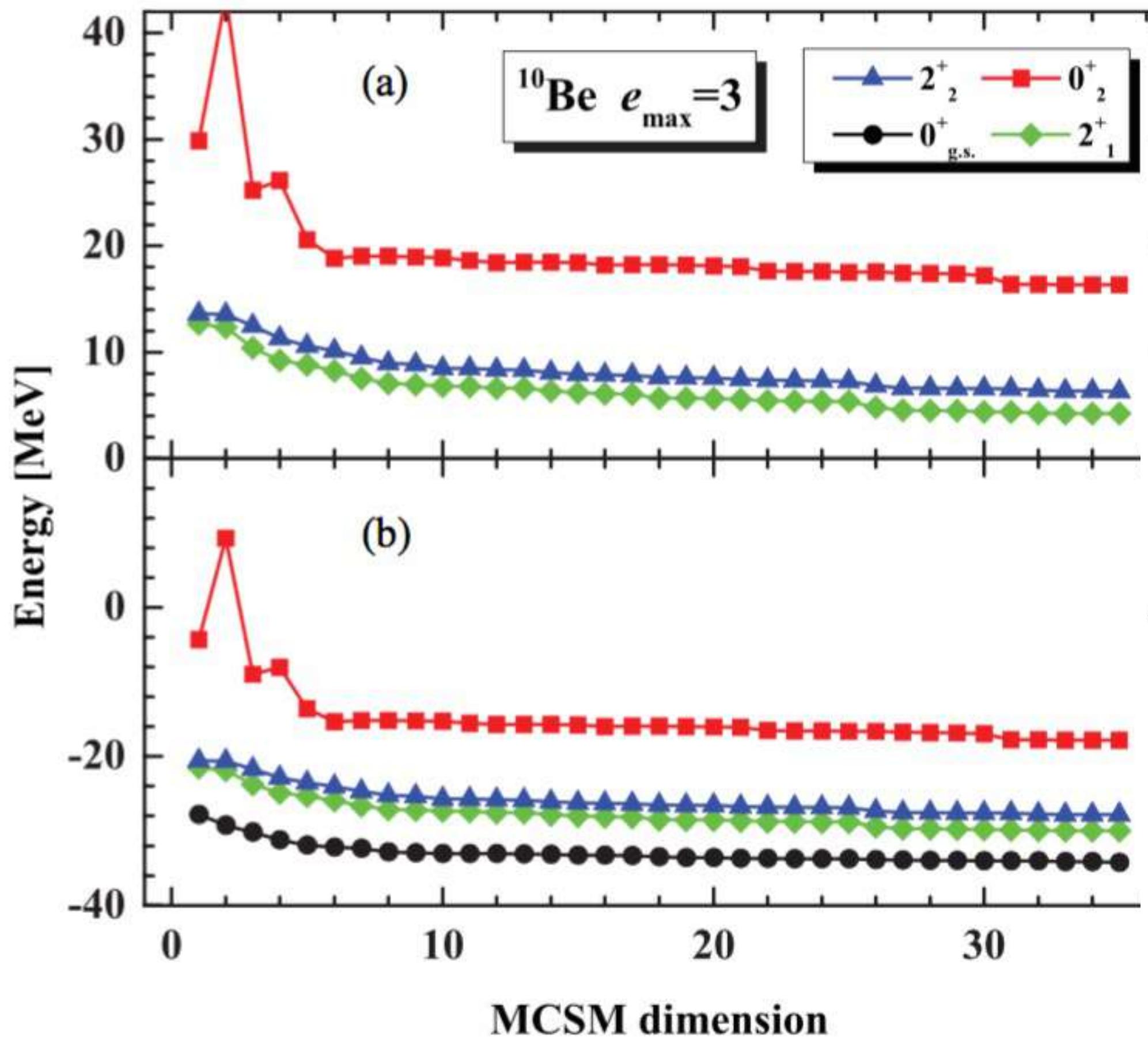
Ring & Schuck, “The Nuclear Many-Body Problem”,  
Springer

# Low-lying Spectra for Light Nuclei



# Beryllium Low-lying Spectra

❖ The convergence of energy and  $Q$  for  $^{10}\text{Be}$  as the function of MCSM dimension.



# $^{10}\text{Be}$ E2 Transition

Unit:  $Q(e \text{ fm}^2)$ ,  $B(\text{E2}) (e^2 \text{ fm}^4)$

❖ **MCSM**

	<b>Q</b>	<b><math>B(\text{E2}; 2^+_1 \rightarrow 0^+_1)</math></b>	<b><math>B(\text{E2}; 2^+_2 \rightarrow 0^+_1)</math></b>	<b><math>B(\text{E2}; 2^+_2 \rightarrow 2^+_1)</math></b>
<i>Exp.</i>		<b>9.2(3)</b>	<b>0.11(2)</b>	
<b>MCSM</b>	<b>-7.71</b>	<b>9.29</b>	<b>0.32</b>	<b>3.28</b>

E.A. McCutchan, C. J. Lister, R. B. Wiringa, *et al.* Phys. Rev. Lett. **103**, 192501 (2009)

❖ **GFMC**

<i>H</i>	AV18	AV18+UIX	AV18+IL2	AV18+IL7	Expt.
$ E_{gs}(0^+) $	50.1(2)	59.5(3)	66.4(4)	64.3(2)	64.98
$E_x(2^+_1)$	2.9(2)	3.5(3)	5.0(4)	3.8(2)	3.37
$E_x(2^+_2)$	2.7(2)	3.8(3)	5.8(4)	5.5(2)	5.96
$B(E2; 2^+_1 \rightarrow 0^+)$	10.5(3)	17.9(5)	8.1(3)	8.8(2)	9.2(3)
$B(E2; 2^+_2 \rightarrow 0^+)$	3.3(2)	0.35(5)	3.3(2)	1.7(1)	0.11(2)
$\Sigma B(E2)$	13.8(4)	18.2(6)	11.4(4)	10.5(3)	9.3(3)

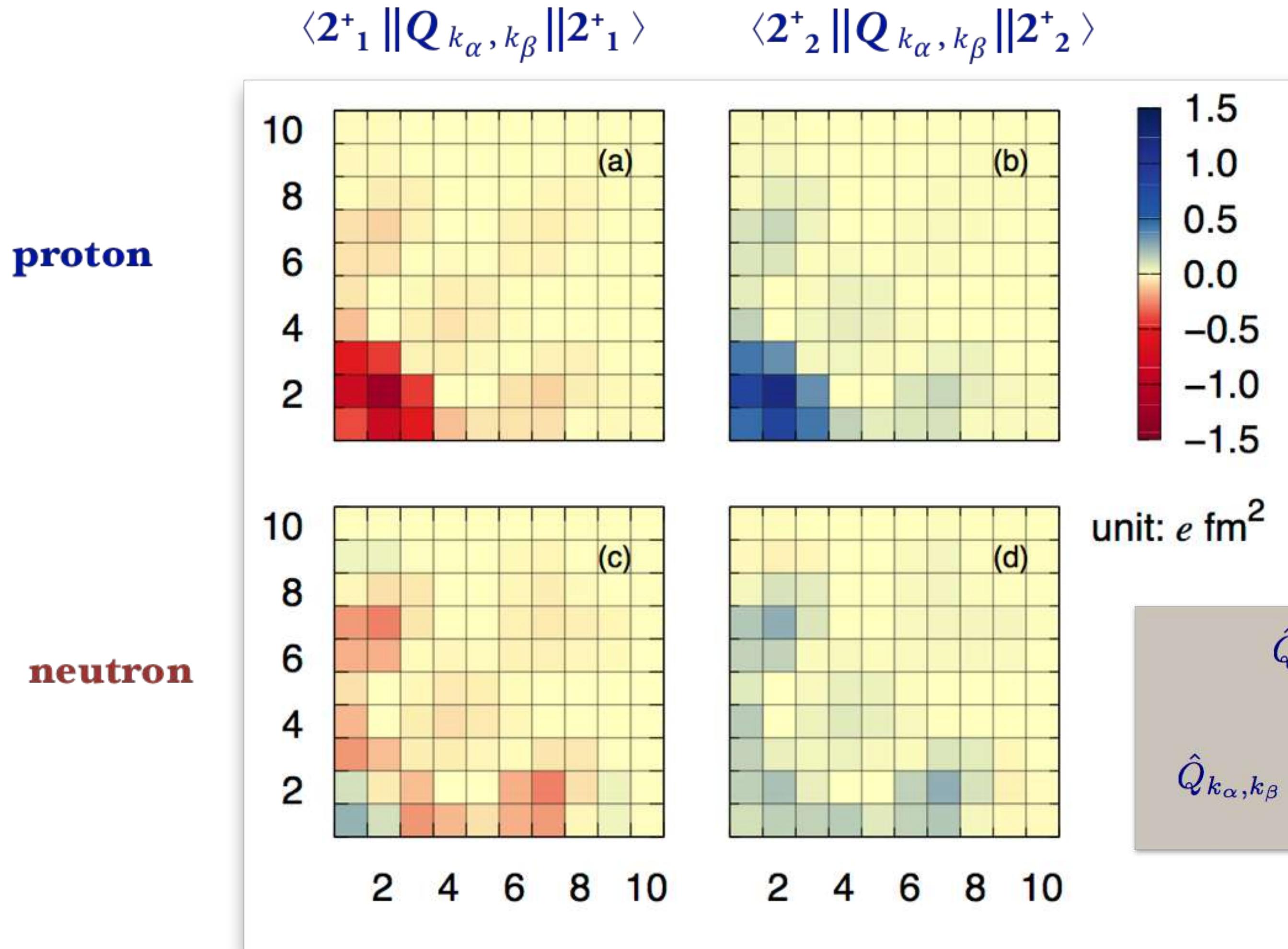
M. Pervin, S. C. Pieper, and R.B. Wiringa, Phys. Rev. C. **76**, 064319 (2007).

❖ **NCSM**

with the CD-BONN:  **$B(\text{E2}; 2^+_1 \rightarrow 0^+_{\text{g.s.}}) = 6.5 \text{ e}^2 \text{ fm}^4$**   
 with the CDB2K:  **$B(\text{E2}; 2^+_1 \rightarrow 0^+_{\text{g.s.}}) = 9.8 \text{ e}^2 \text{ fm}^4$**

E. Caurier, P. Navr'atil, W.E. Ormand, and J.P Vary, Phys. Rev. C **66**, 024314 (2002).

# Contribution of Single Particle Orbit to $\mathbf{Q}$

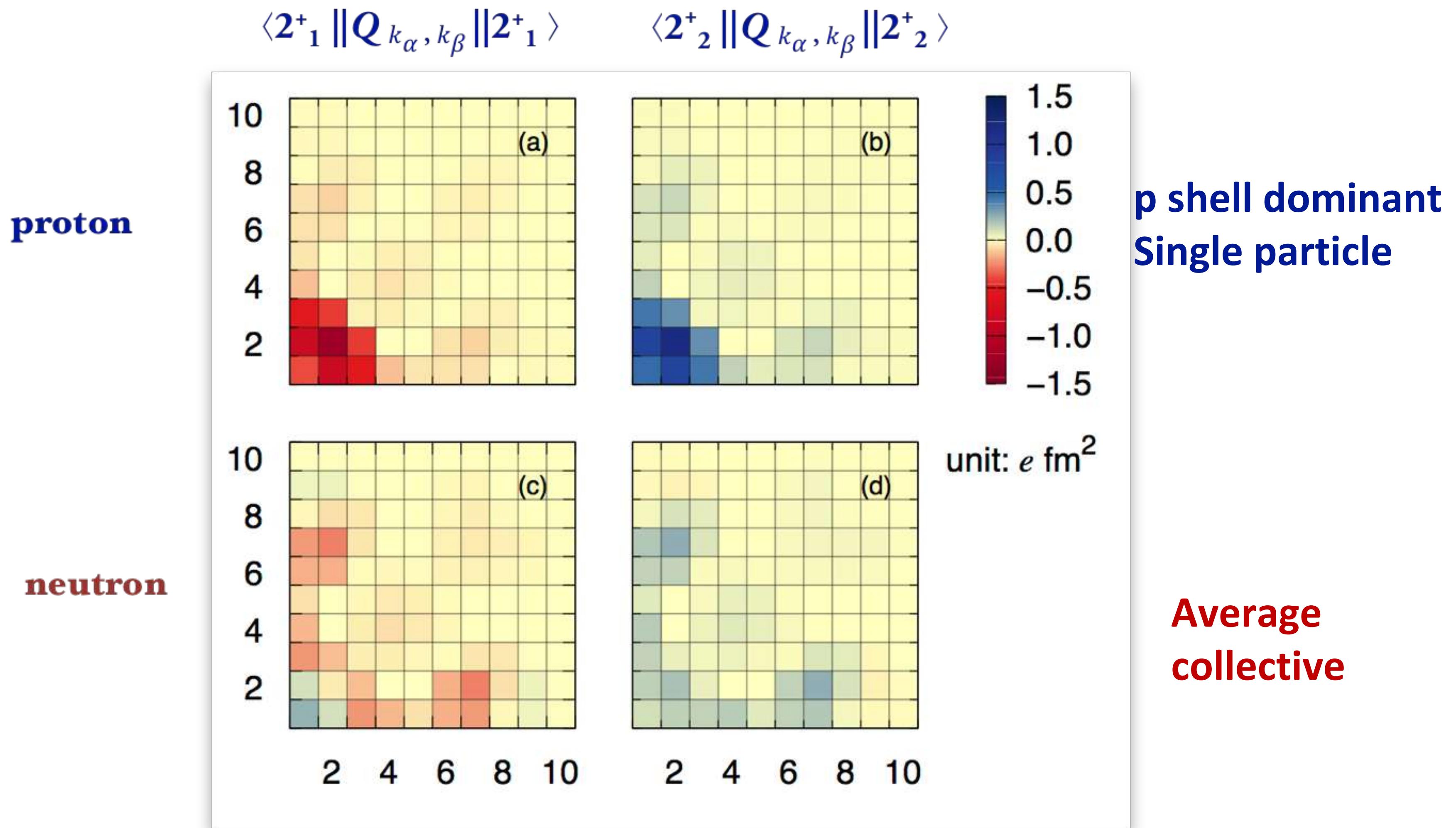


$$\hat{Q} = \sum_{k_\alpha, k_\beta} \hat{Q}_{k_\alpha, k_\beta}$$

$$\hat{Q}_{k_\alpha, k_\beta} = \sum_{m_\alpha, m_\beta} \langle \alpha | \hat{Q} | \beta \rangle a_\alpha^\dagger a_\beta$$

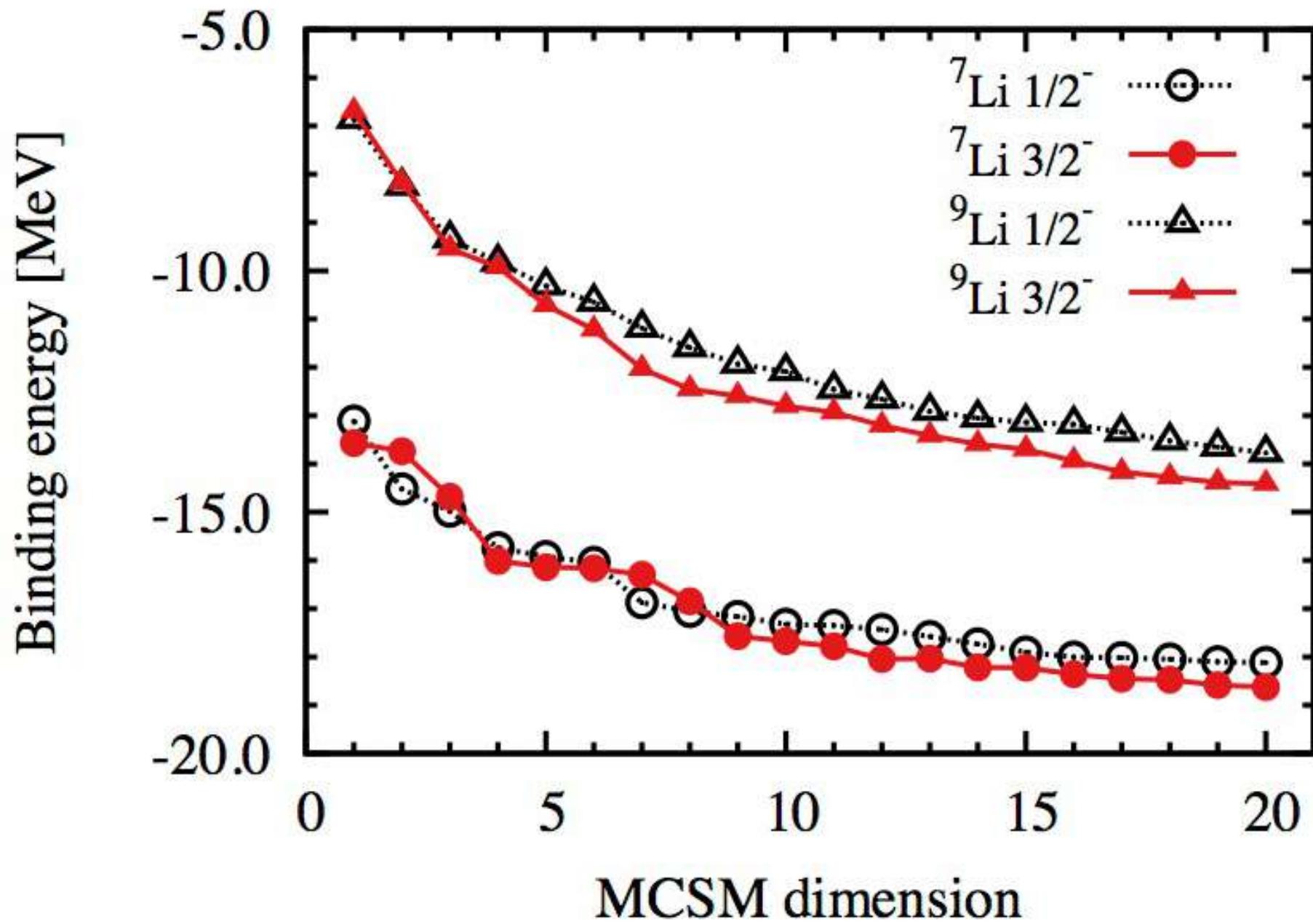
**0s1/2, 0p3/2, 0p1/2, 0d5/2, 0d3/2, 1s1/2, 0f7/2, 0f5/2, 1p3/2 and 1p1/2**

# Contribution of Single Particle Orbit to Q

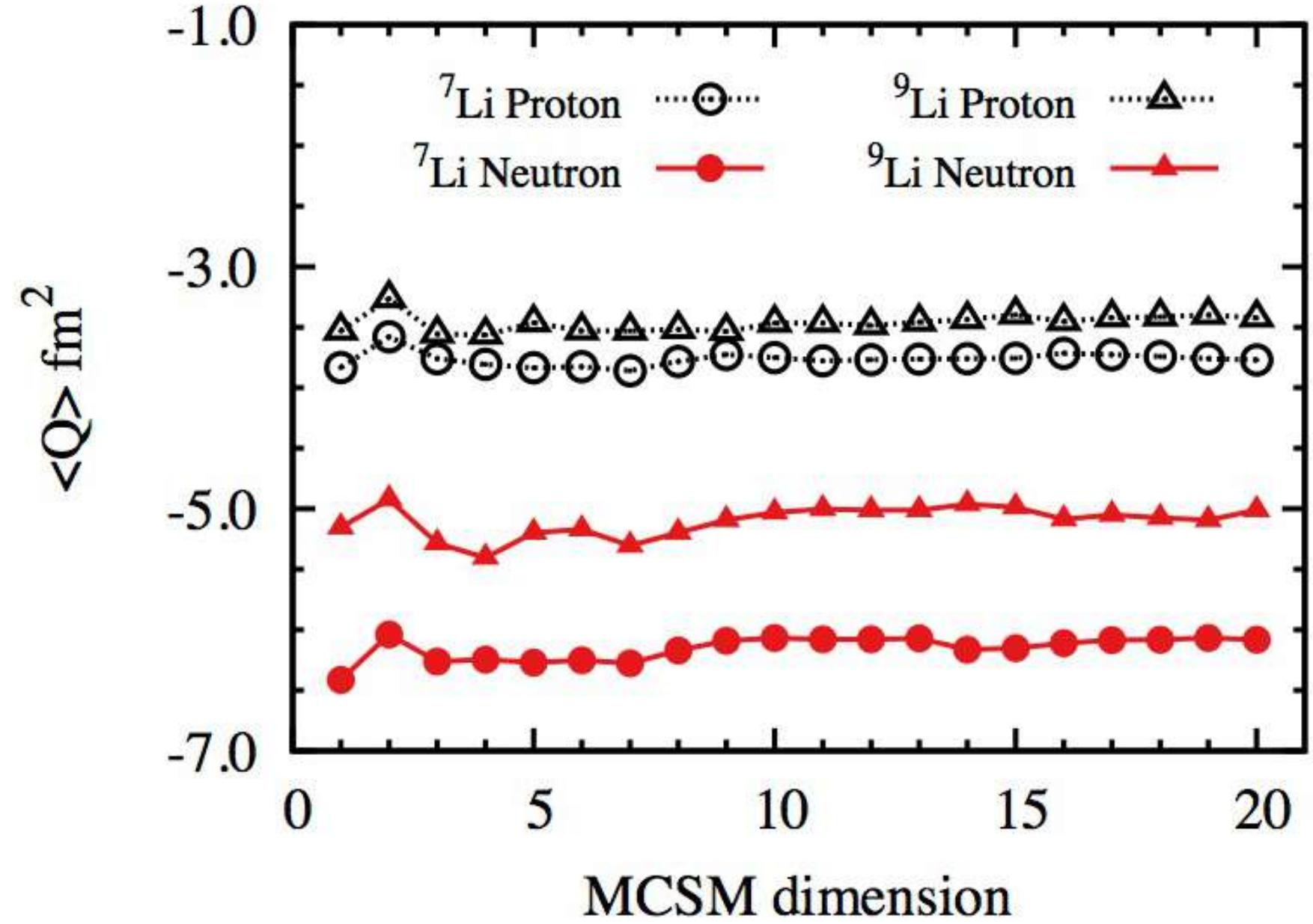


**0s1/2, 0p3/2, 0p1/2, 0d5/2, 0d3/2, 1s1/2, 0f7/2, 0f5/2, 1p3/2 and 1p1/2**

# $^7\text{Li}$ and $^9\text{Li}$ : MCSM Dimension Convergence



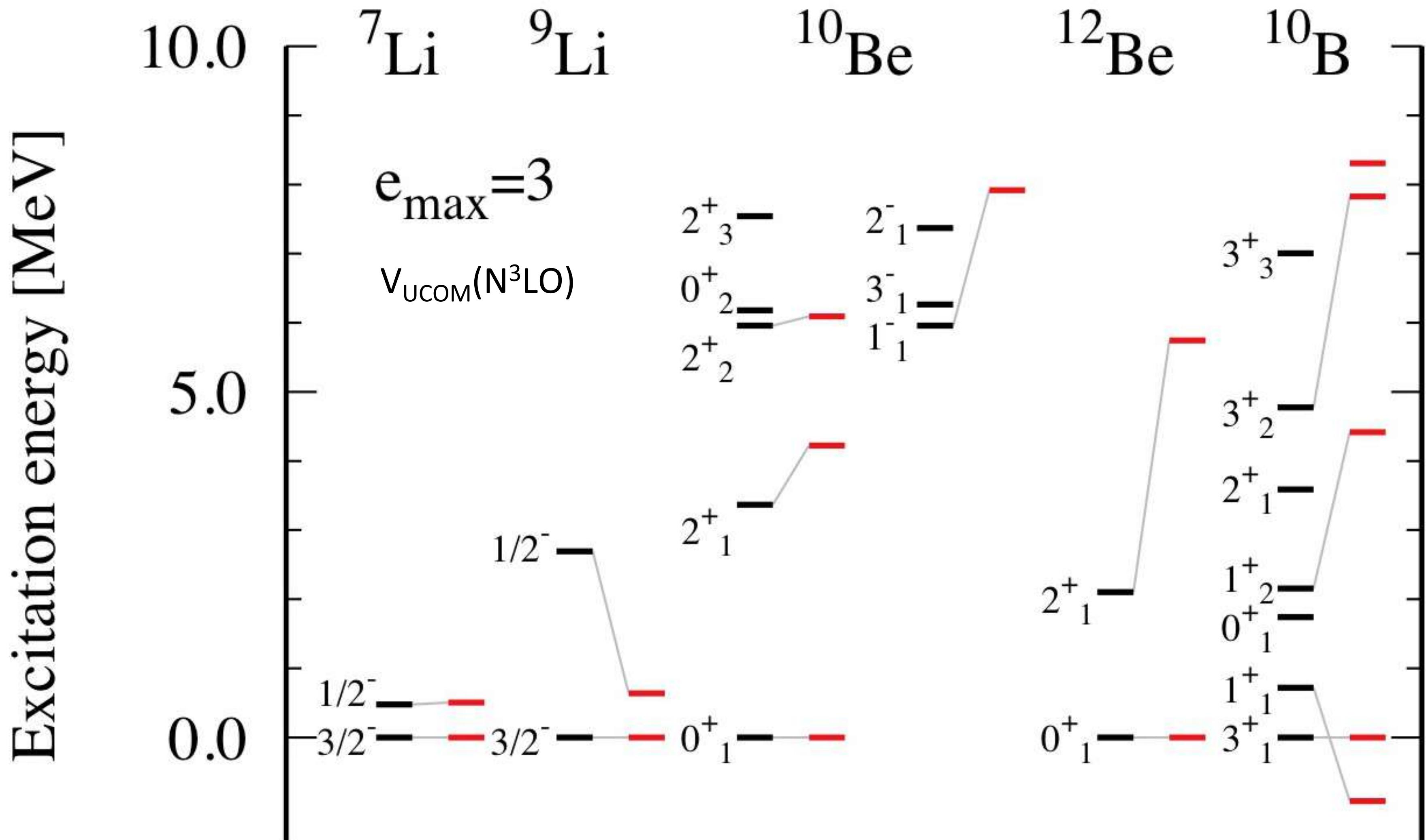
❖ *MCSM dimension  $\sim 20$*



# **$^7\text{Li}$ and $^9\text{Li}$ : Magnetic Moments**

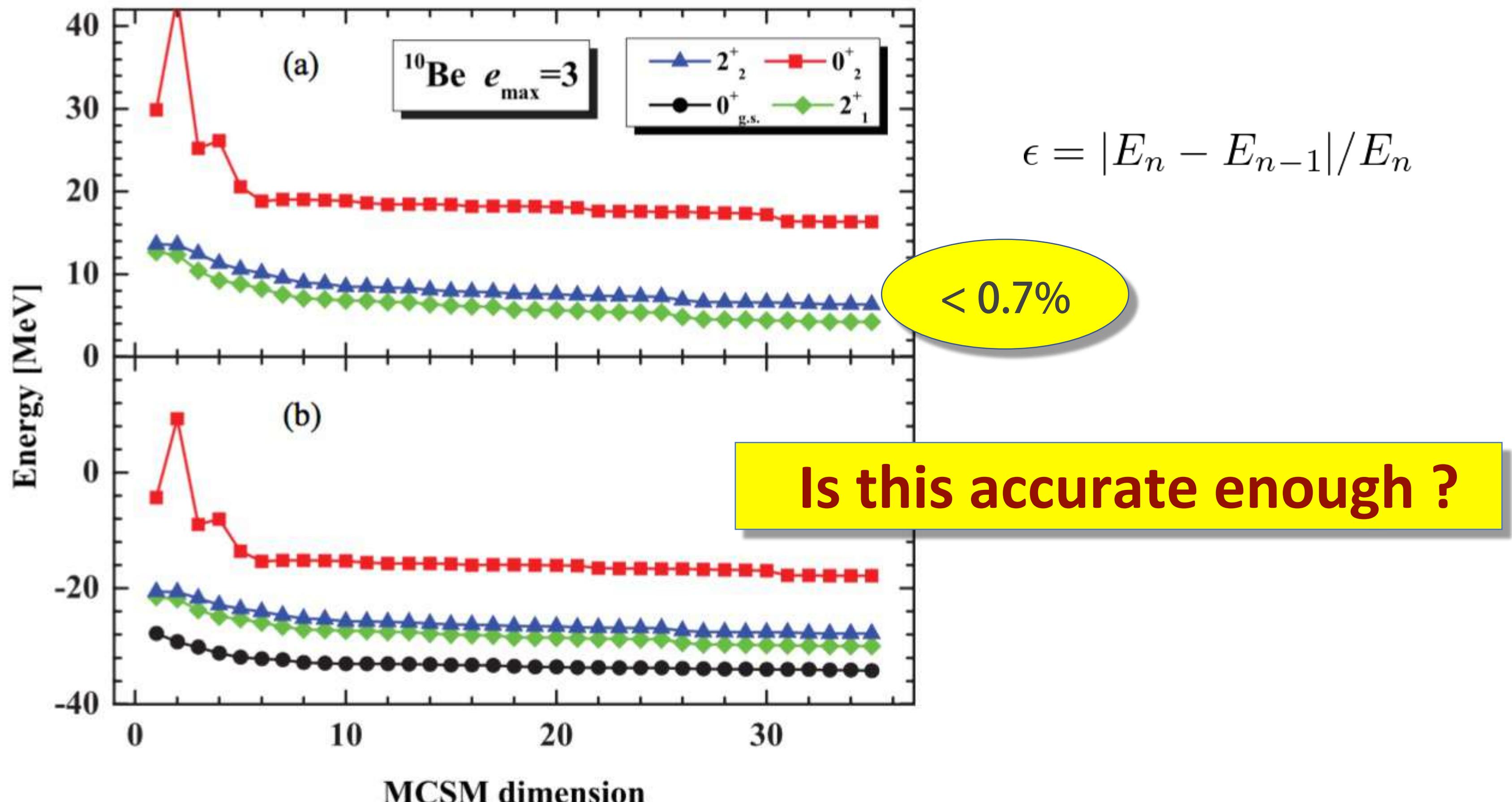
Isotopes	Exp.	MCSM	NCSM	
		$\mu [\mu_N]$		
$^7\text{Li}$	<b>3.256427(2)</b>	<b>3.116</b>	<b>3.01(2)</b>	
$^9\text{Li}$	<b>3.434(5)</b>	<b>3.183</b>	<b>2.89(2)</b>	
		$Q [e \text{ fm}^2]$		
$^7\text{Li}$	-4.00(3)	-3.770	-3.20(22)	
$^9\text{Li}$	-3.06(2)	-3.452	-2.66(22)	

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# Beryllium Low-lying Spectra

The convergence of energy for  $^{10}\text{Be}$  as the function of MCSM dimension.



**Beyond shell model limit ?**

**MCSM Error ?**

# Beyond shell model limit ?

## MCSM Error ?

$$\overline{\Delta O^2} = \overline{(\hat{O} - \bar{O})^2} = \int \psi^* (\hat{O} - \bar{O})^2 \psi d\tau$$

$$\langle \Delta H^2 \rangle = \langle (\hat{H} - \bar{H})^2 \rangle = \langle \hat{H}^2 \rangle - \langle H \rangle^2$$

# <H<sup>2</sup>> in MCSM

$$H = \sum_{ij} t_{ij} c_i^\dagger c_j + \sum_{i < j, k < l} \bar{v}_{ijkl} c_i^\dagger c_j^\dagger c_l c_k$$

$t_{ij}$ : one-body matrix element  
 $\bar{v}$ : antisymmetrized two-body matrix element  
 $\bar{v}_{ijkl} = -\bar{v}_{ijlk} = -\bar{v}_{jikl} = \bar{v}_{jilk}$

the matrix element of H<sup>2</sup> of two Slater determinants

$$\frac{\langle \phi | H^2 | \phi' \rangle}{\langle \phi | \phi' \rangle} = \sum_{i < j, k < l} \overline{\rho' \rho' v_{ijkl}} \overline{\rho \rho v_{klij}}$$

$$+ \text{Tr}((t + \Gamma)(1 - \rho)(t + \Gamma)\rho) + \left( \text{Tr}(\rho(t + \frac{1}{2}\Gamma)) \right)^2$$

$$\Gamma_{ik}^{(\lambda)} = \sum_{jl} \bar{v}_{ijkl} \rho_{lj}^{(\lambda)}$$

$$\overline{\rho \rho v}_{ijkl} \equiv \sum_{m < n} (\rho_{im} \rho_{jn} - \rho_{in} \rho_{jm}) \bar{v}_{mnkl}$$

$$\overline{\rho' \rho' v}_{ijkl} \equiv \sum_{m < n} ((1 - \rho)_{im} (1 - \rho)_{jn} - (1 - \rho)_{in} (1 - \rho)_{jm}) \bar{v}_{mnkl}.$$

## <H<sup>2</sup>> in MCSM

$$H = \sum_{ij} t_{ij} c_i^\dagger c_j + \sum_{i < j, k < l} \bar{v}_{ijkl} c_i^\dagger c_j^\dagger c_l c_k$$

$t_{ij}$ : one-body matrix element  
 $\bar{v}$ : antisymmetrized two-body matrix element  
 $\bar{v}_{ijkl} = -\bar{v}_{ijlk} = -\bar{v}_{jikl} = \bar{v}_{jilk}$

the matrix element of H<sup>2</sup> of two Slater determinants

$$\frac{\langle \phi | H^2 | \phi' \rangle}{\langle \phi | \phi' \rangle} = \boxed{\sum_{i < j, k < l} \overline{\rho' \rho' v_{ijkl}} \overline{\rho \rho v_{klij}}} \\ + \text{Tr}((t + \Gamma)(1 - \rho)(t + \Gamma)\rho) + \left( \text{Tr}(\rho(t + \frac{1}{2}\Gamma)) \right)^2$$

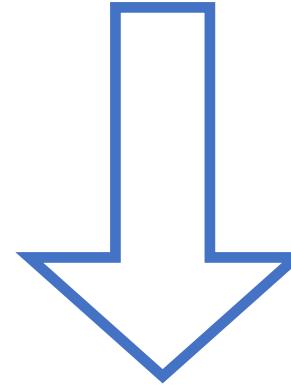
Extreme time consuming !

$$\boxed{\begin{aligned} \overline{\rho \rho v}_{ijkl} &\equiv \sum_{m < n} (\rho_{im} \rho_{jn} - \rho_{in} \rho_{jm}) \bar{v}_{mnkl} \\ \overline{\rho' \rho' v}_{ijkl} &\equiv \sum_{m < n} ((1 - \rho)_{im} (1 - \rho)_{jn} \\ &\quad - (1 - \rho)_{in} (1 - \rho)_{jm}) \bar{v}_{mnkl}. \end{aligned}}$$

# <H<sup>2</sup>> in MCSM

the matrix element of H<sup>2</sup> of two Slater determinants

$$\frac{\langle \phi | H^2 | \phi' \rangle}{\langle \phi | \phi' \rangle} = \boxed{\sum_{i < j, k < l} \overline{\rho' \rho' v_{ijkl}} \overline{\rho \rho v}_{klij}} + \text{Tr}((t + \Gamma)(1 - \rho)(t + \Gamma)\rho) + \left( \text{Tr}(\rho(t + \frac{1}{2}\Gamma)) \right)^2$$



Puddu G 2012 *J. Phys. G: Nucl. Part. Phys.* 39 085108

$$\rho_{ij} = \sum_{\alpha=1}^{N_f} W_{i\alpha} D_{\alpha j}^\dagger$$

$$W_{i\alpha} \equiv \sum_{\beta=1}^{N_f} D'_{i\beta} (D^\dagger D')_{\beta\alpha}^{-1}$$

$$\sum_{i < j, k < l} \overline{\rho' \rho' v_{ijkl}} \overline{\rho \rho v}_{klij} = \sum_{\alpha < \beta, \gamma < \delta} \overline{DDvWW}_{\alpha\beta\gamma\delta} \overline{DDvWW}_{\gamma\delta\alpha\beta} \\ - \sum_{\alpha < \beta k, \delta} \left( \sum_l \overline{DDv}_{\alpha\beta kl} W_{l\delta} \right) \left( \sum_b D_{\delta b}^\dagger \overline{vWW}_{kb\alpha\beta} \right) \\ + \sum_{\alpha < \beta i < j} \overline{DDv}_{\alpha\beta ij} \overline{WW}_{ij\alpha\beta}$$

$$|\phi\rangle = \prod_{\alpha=1}^{N_f} \left( \sum_{i=1}^{N_{sp}} D_{i\alpha} c_i^\dagger \right) |-\rangle$$

$$\overline{DDv}_{\alpha\beta kl} = \sum_{i < j} (D_{\alpha i}^\dagger D_{\beta j}^\dagger - D_{\alpha j}^\dagger D_{\beta i}^\dagger) \bar{v}_{ijkl}$$

$$\overline{vWW}_{ij\gamma\delta} = \sum_{k < l} \bar{v}_{ijkl} (W_{k\gamma} W_{l\delta} - W_{k\delta} W_{l\gamma})$$

$$\overline{DDVWW}_{\alpha\beta\gamma\delta} = \sum_l \left( \sum_k \overline{DDv}_{\alpha\beta kl} W_{k\gamma} \right) W_{l\delta}$$

**MCSM energy variance**  $\langle \Delta H^2 \rangle = \langle \hat{H}^2 \rangle - \langle \hat{H} \rangle^2$

Model space:  $e_{\max}=1$  (2 major shells)      interaction:  $V_{\text{UCOM}}(N^3LO)$

<sup>3</sup> H		E (MeV)	$\langle H \rangle^2$	$\langle H^2 \rangle$	$\langle \Delta H^2 \rangle = \langle H^2 \rangle - \langle H \rangle^2$
MCSM	1	-1.822	3.318	7.290	<b>3.972</b>
	2	-1.823	3.323	7.274	<b>3.950</b>
	3	-1.840	3.386	6.462	<b>3.076</b>
	4	-1.894	3.587	4.009	<b>0.422</b>
	5	-1.899	3.606	3.744	<b>0.138</b>
	6	-1.900	3.610	3.700	<b>0.090</b>
	7	-1.902	3.616	3.628	<b>0.012</b>
	8	-1.902	3.616	3.628	<b>0.012</b>
	9	-1.902	3.617	3.622	<b>0.005</b>
	10	-1.902	3.617	3.617	<b>0.000</b>

# Benchmark with shell model – $^3\text{H}$

**Model space:  $e_{\max}=1$  (2 major shells)**

**interaction:  $\text{V}_{\text{UCOM}}(\text{N}^3\text{LO})$**

$^3\text{H}$	E (MeV)				Occupation number			
	0s1/2	0p3/2	0p1/2	0s1/2	0p3/2	0p1/2	0s1/2	0p3/2
Shell model	-1.9019	0.9422	0.0167	0.0411	1.9172	0.0345	0.0482	0.0482
MCSM	1	-1.8215	0.9431	0.0150	0.0420	1.9203	0.0315	0.0482
	2	-1.8230	0.9411	0.0162	0.0427	1.9164	0.0342	0.0494
	3	-1.8402	0.9421	0.0158	0.0421	1.9164	0.0345	0.0491
	4	-1.8939	0.9417	0.0163	0.0421	1.9179	0.0332	0.0489
	5	-1.8990	0.9425	0.0159	0.0416	1.9166	0.0341	0.0492
	6	-1.9000	0.9424	0.0164	0.0412	1.9166	0.0351	0.0482
	7	-1.9016	0.9423	0.0167	0.0410	1.9175	0.0344	0.0481
	8	-1.9016	0.9423	0.0167	0.0410	1.9175	0.0344	0.0481
	9	-1.9018	0.9422	0.0167	0.0411	1.9173	0.0345	0.0483
	10	-1.9019	0.9422	0.0167	0.0411	1.9172	0.0345	0.0482

**MCSM energy variance**  $\langle \Delta H^2 \rangle = \langle \hat{H}^2 \rangle - \langle \hat{H} \rangle^2$

Model space:  $e_{\max}=1$  (2 major shells)      interaction:  $V_{UCOM}(N^3LO)$

<sup>4</sup> He		E (MeV)	$\langle H \rangle^2$	$\langle H^2 \rangle$	$\langle \Delta H^2 \rangle = \langle H^2 \rangle - \langle H \rangle^2$
MCSM	1	-19.589	383.732	406.949	<b>23.217</b>
	2	-19.843	393.755	412.885	<b>19.130</b>
	3	-20.027	401.068	402.373	<b>1.305</b>
	4	-20.038	401.513	401.727	<b>0.213</b>
	5	-20.040	401.594	401.603	<b>0.009</b>
	6	-20.040	401.595	401.601	<b>0.005</b>
	7	-20.040	401.596	401.600	<b>0.004</b>
	8	-20.040	401.598	401.598	<b>0.000</b>

# Benchmark with shell model – ${}^4\text{He}$

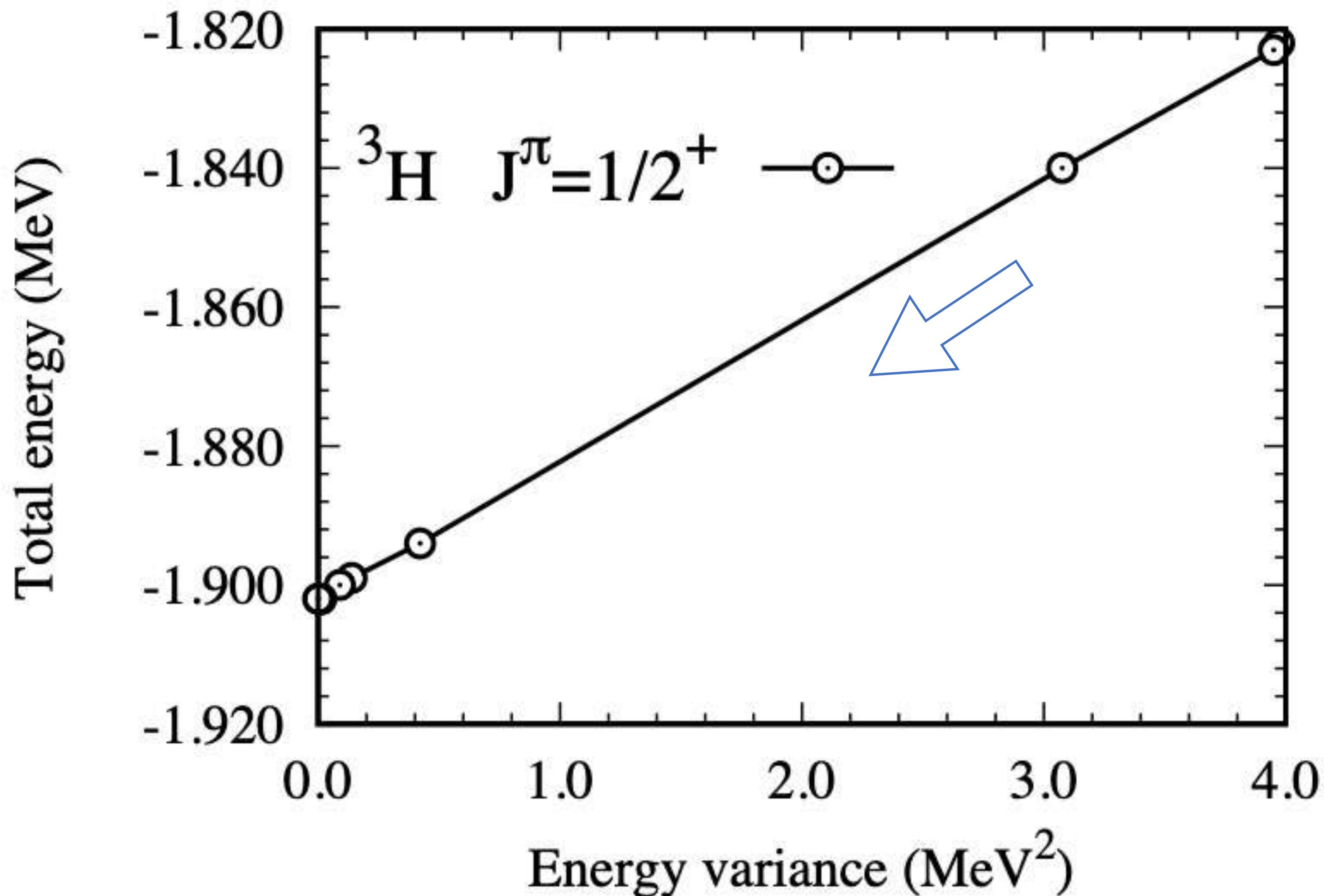
**Model space:  $e_{\max}=1$  (2 major shells)**      **interaction:  $\text{VUCOM}(N^3\text{LO})$**

${}^4\text{He}$	E (MeV)		Occupation number					
			0s1/2	0p3/2	0p1/2	0s1/2	0p3/2	0p1/2
Shell model	-20.0399	1.9348	0.0180	0.0472	1.9348	0.0180	0.0472	
MCSM	1	-19.5891	1.9518	0.0097	0.0385	1.9518	0.0097	0.0385
	2	-19.8433	1.9456	0.0140	0.0404	1.9456	0.0140	0.0404
	3	-20.0267	1.9378	0.0169	0.0453	1.9378	0.0169	0.0453
	4	-20.0378	1.9347	0.0179	0.0474	1.9347	0.0179	0.0474
	5	-20.0398	1.9345	0.0181	0.0474	1.9345	0.0181	0.0474
	6	-20.0398	1.9347	0.0181	0.0472	1.9347	0.0181	0.0472
	7	-20.0399	1.9349	0.0179	0.0472	1.9349	0.0179	0.0472
	8	-20.0399	1.9348	0.0180	0.0472	1.9348	0.0180	0.0472

# MCSM extrapolation

Model space:  $e_{\max}=1$  (2 major shells)

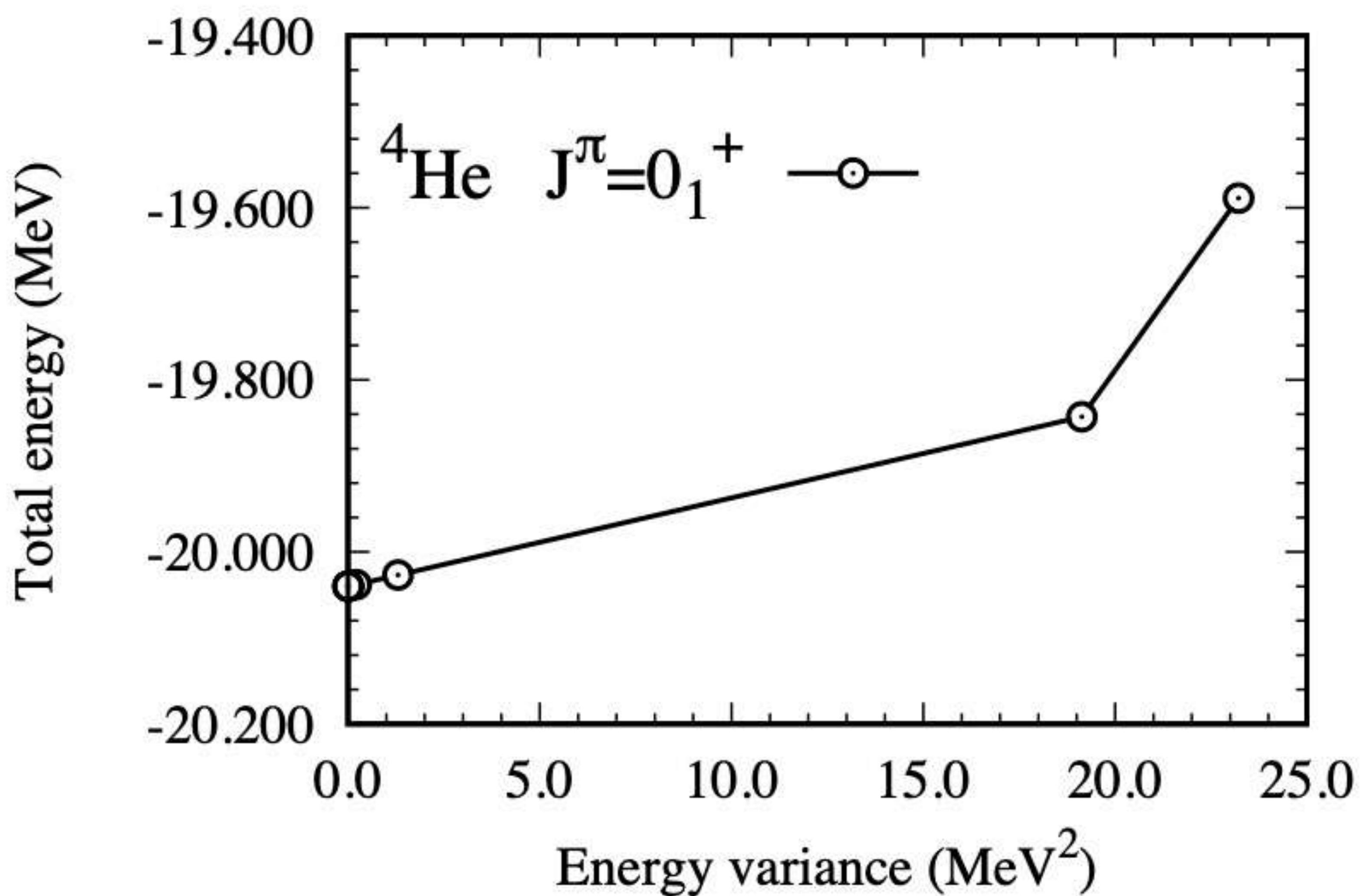
interaction:  $V_{\text{UCOM}}(N^3\text{LO})$



# MCSCM extrapolation

Model space:  $e_{\max}=1$  (2 major shells)

interaction:  $V_{\text{UCOM}}(N^3LO)$

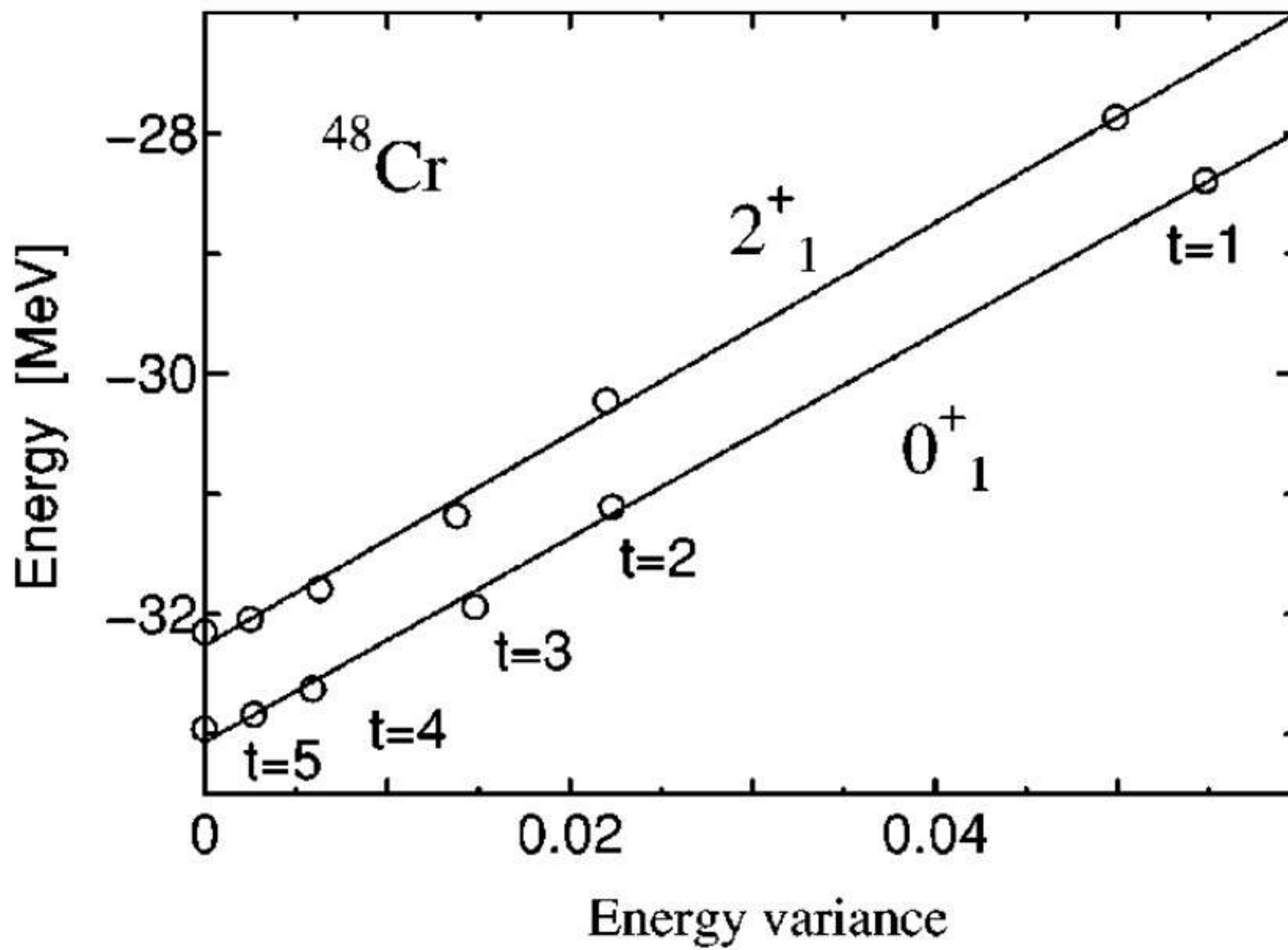


# MCSM extrapolation

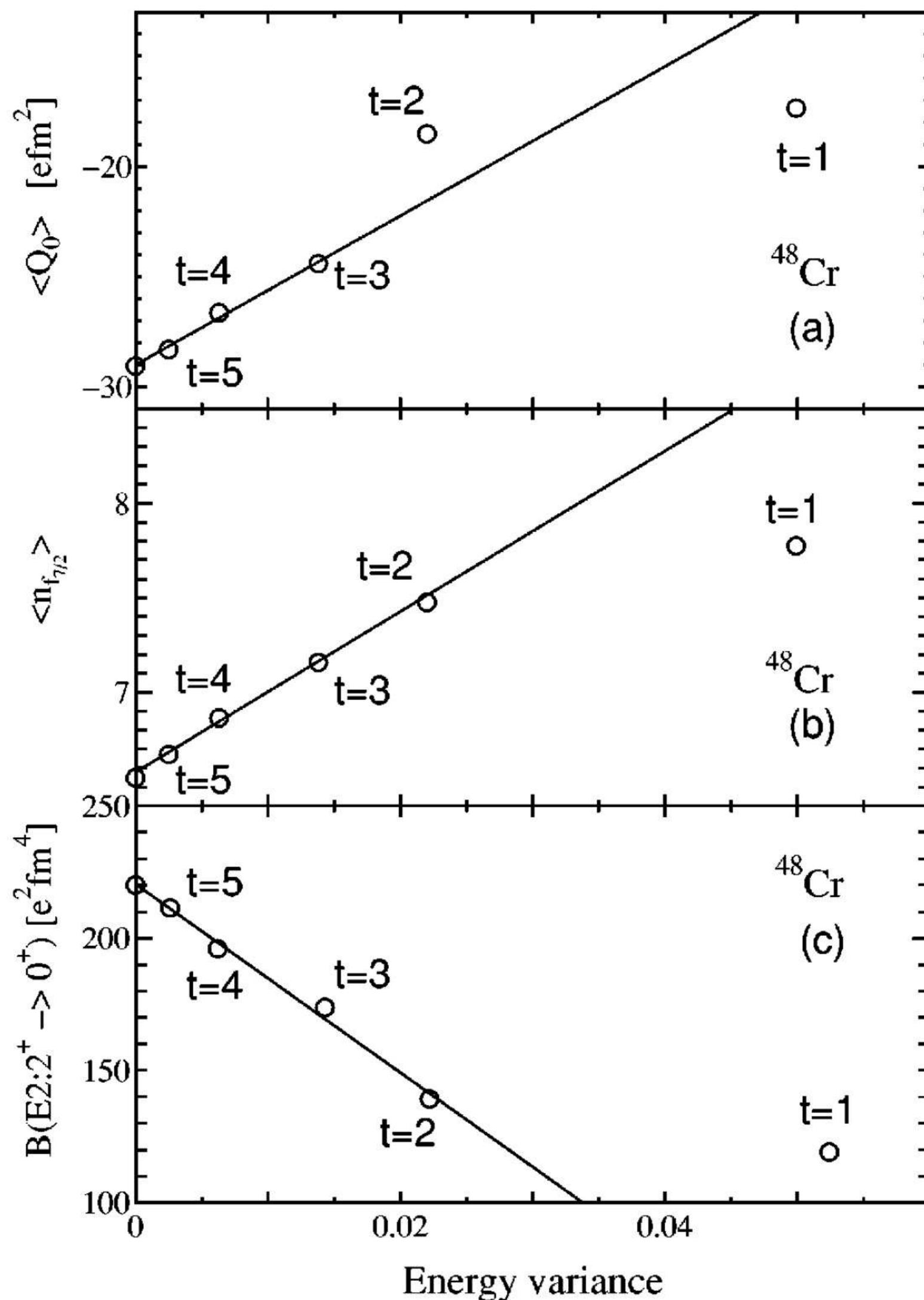
Sorella S 2001 *Phys. Rev. B* **64** 024512

Imada M and Kashima T 2000 *J. Phys. Soc. Jpn.* **69** 2723

Mizusaki T and Imada M 2004 *Phys. Rev. B* **69** 125110



# MCSM extrapolation



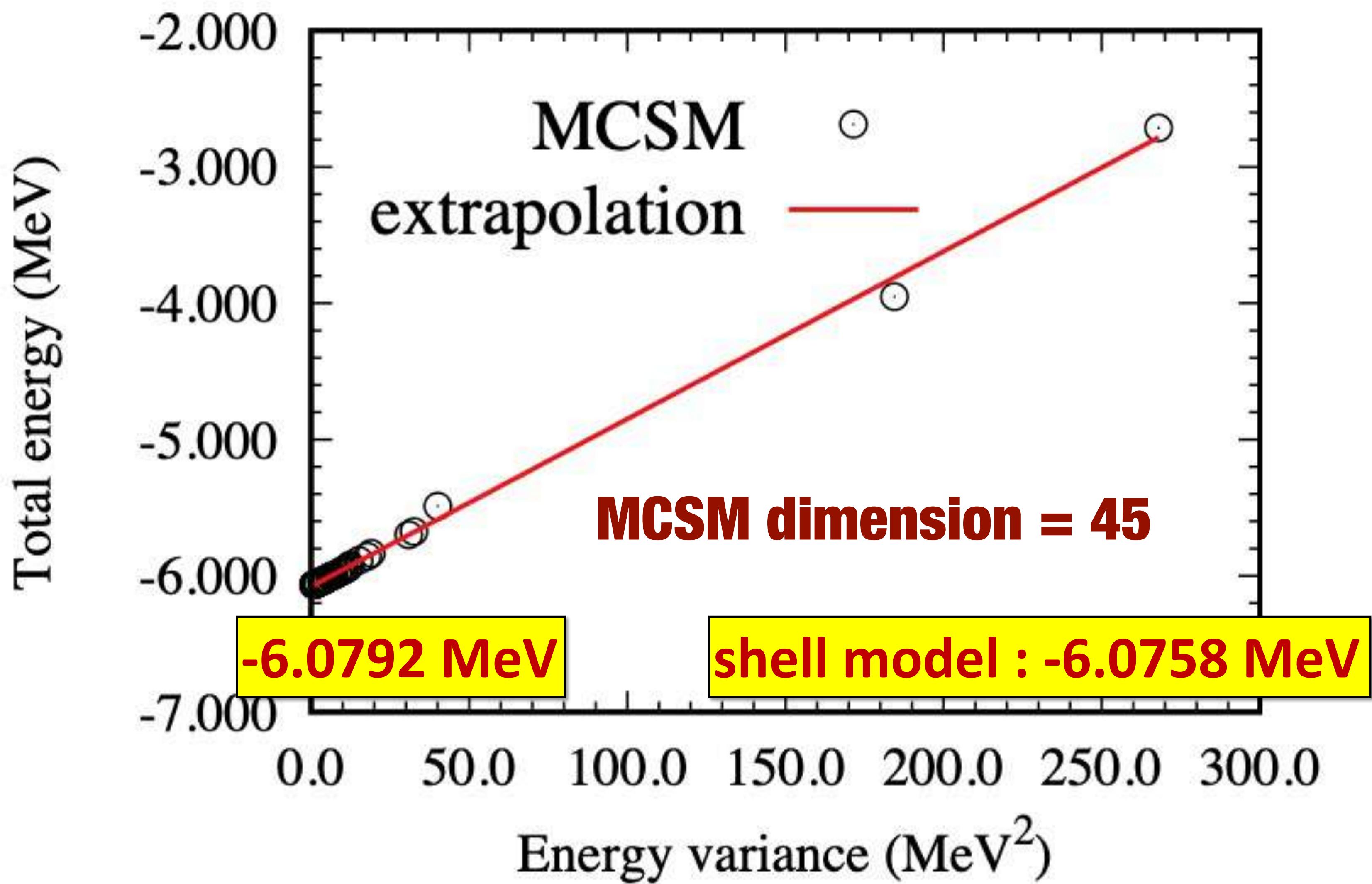
Sorella S 2001 *Phys. Rev. B* **64** 024512

Imada M and Kashima T 2000 *J. Phys. Soc. Jpn.* **69** 2723

Mizusaki T and Imada M 2004 *Phys. Rev. B* **69** 125110

# MCSM extrapolation: $^3\text{H}$

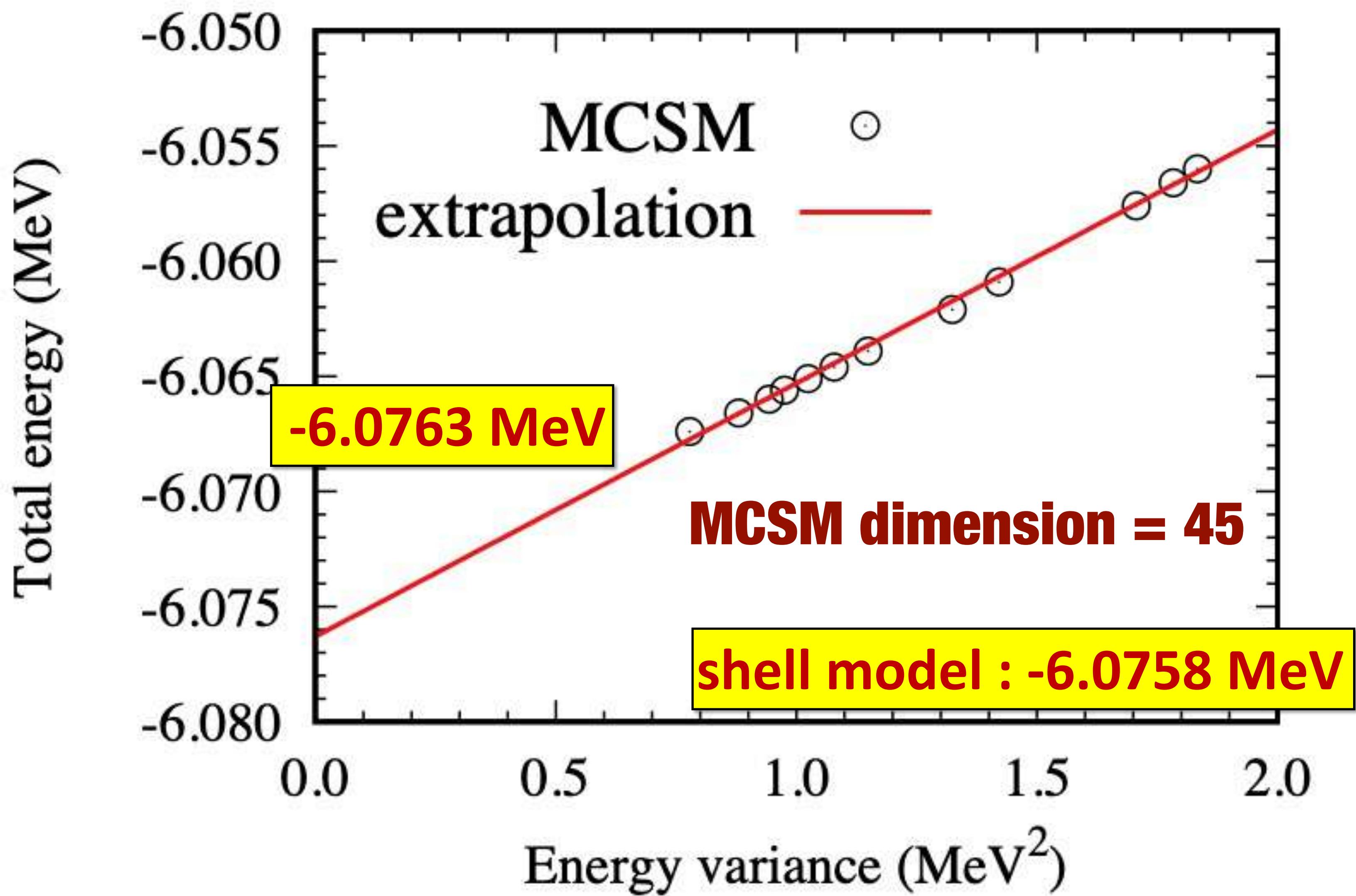
Model space:  $e_{\max}=3$  (4 major shells)      interaction:  $V_{\text{UCOM}}(\text{N}^3\text{LO})$



# **MCSM extrapolation: $^3\text{H}$**

Model space:  $e_{\max}=3$  (4 major shells)

interaction:  $V_{\text{UCOM}}(\text{N}^3\text{LO})$



## Summary and Outlook

误差可控，结果可外推的蒙特卡洛壳模型。

*MCSM is rather accurate for nuclear  
ab initio description !*

## **collaborators:**

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...

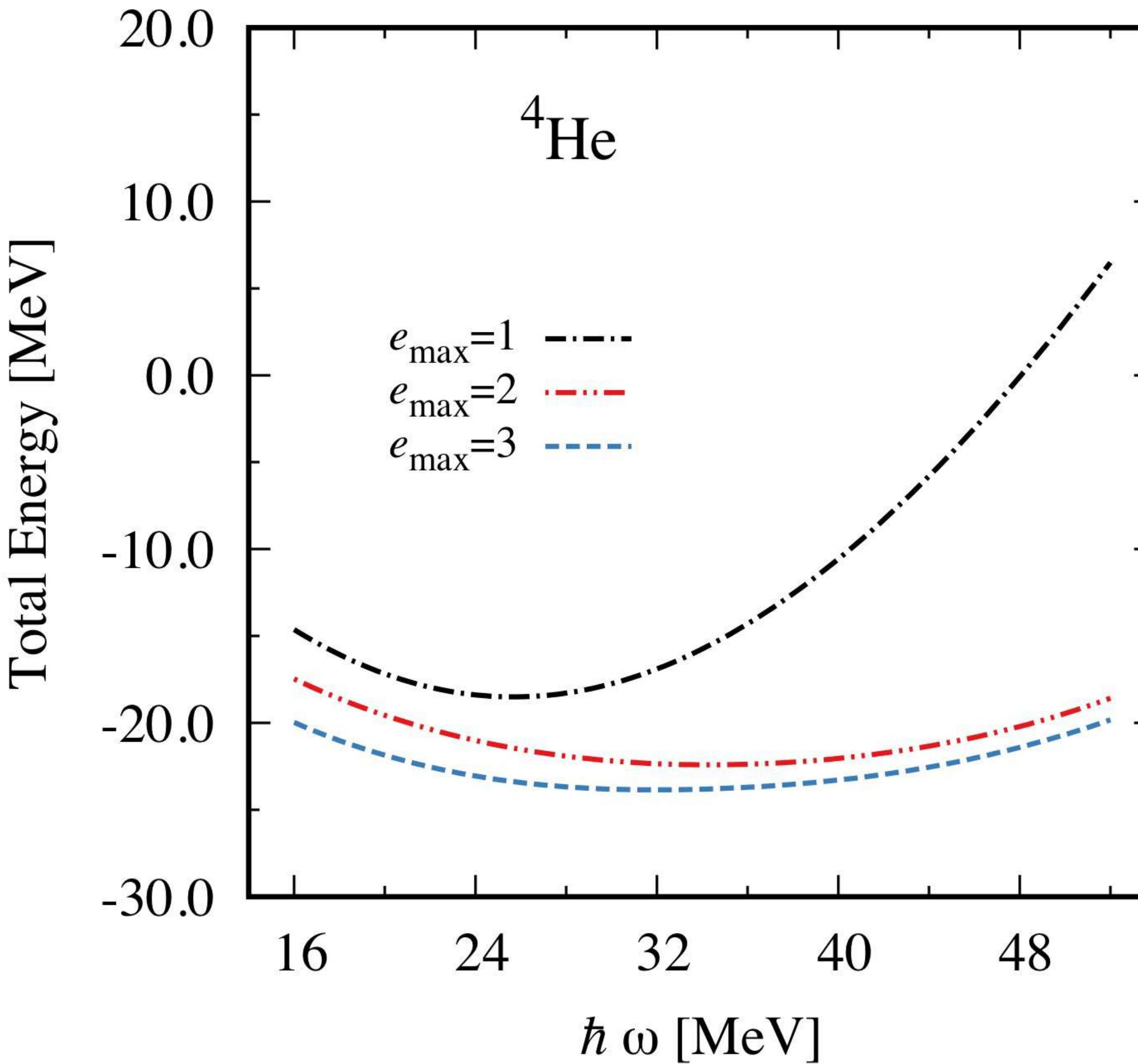
**Thank you for your attention !**

## Numerical Details

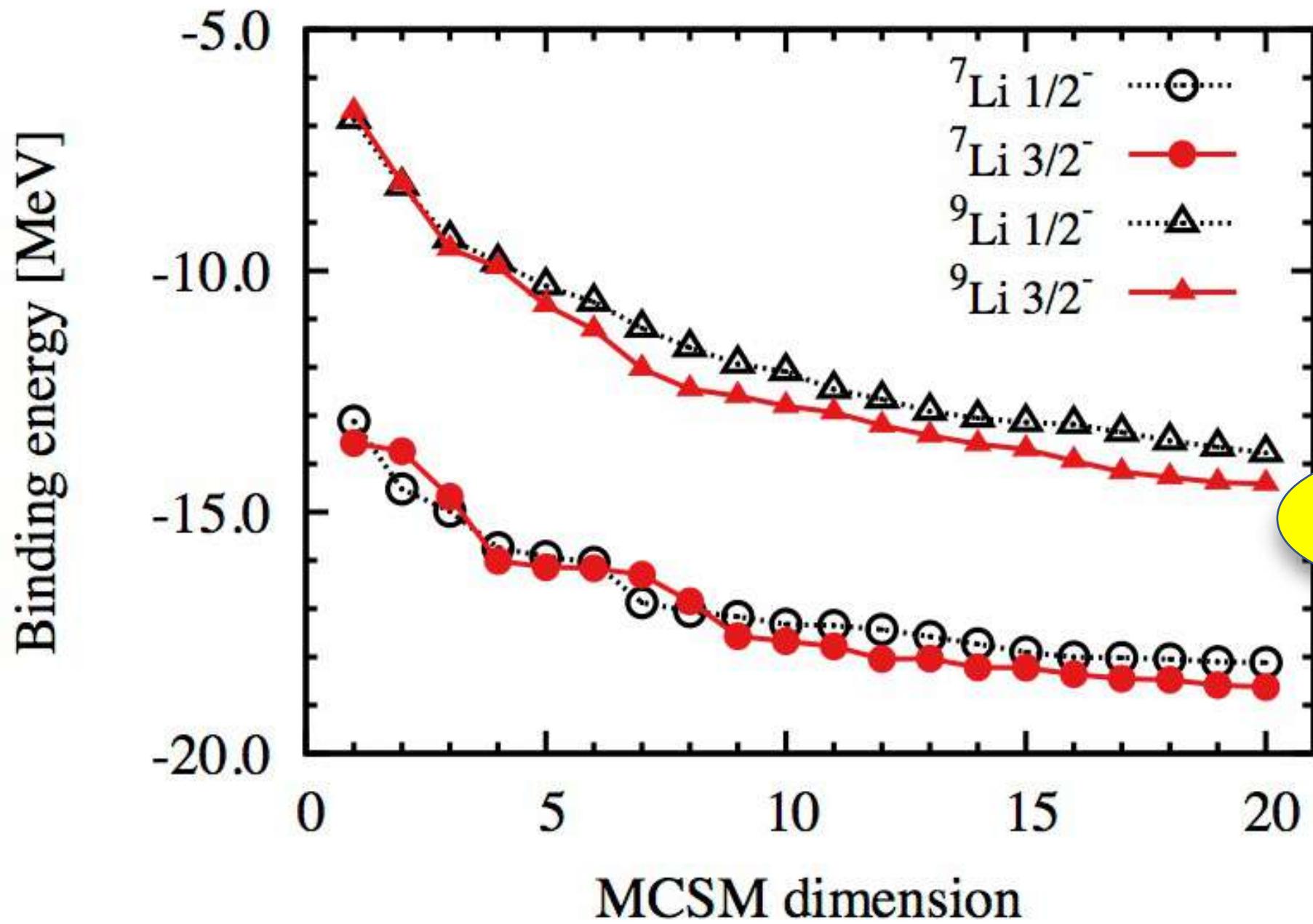
- $e_{max}=3$  major shells (*spsdpf-shell*);**
- The input potential is  $V_{ucom}(N^3LO)$ ;***
- Coulomb interaction is not included in present calculation***

$e_{max}$	$\langle H \rangle$ MeV	$\langle H + H_{Coulomb} \rangle$ MeV
1	-19.263	-18.857
3	-23.592	-23.152

# MCSM vs Conventional Shell Model



# $^7\text{Li}$ and $^9\text{Li}$ : MCSM Dimension Convergence

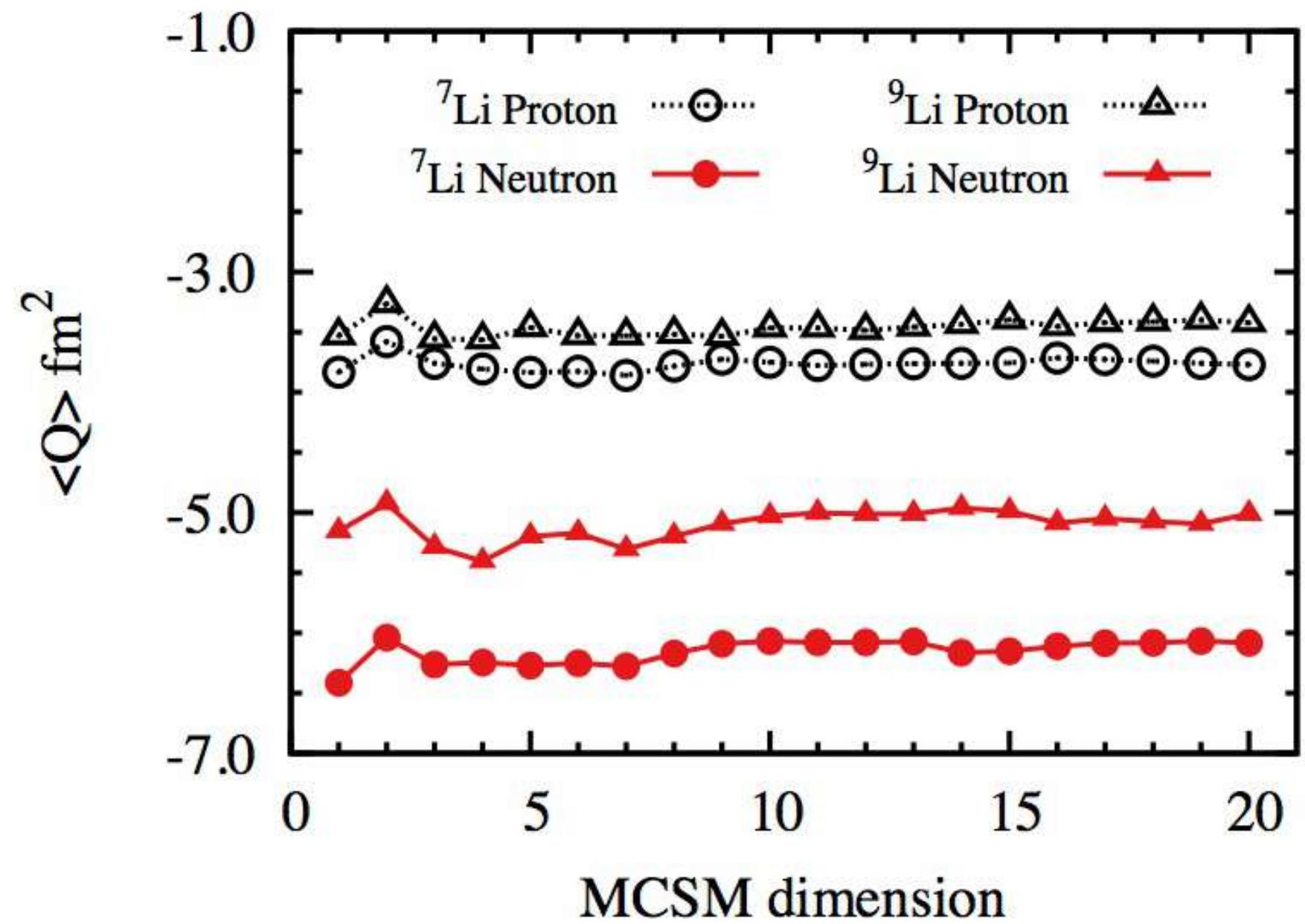


❖ *reliable convergence*

$$\epsilon = |E_n - E_{n-1}|/E_n$$

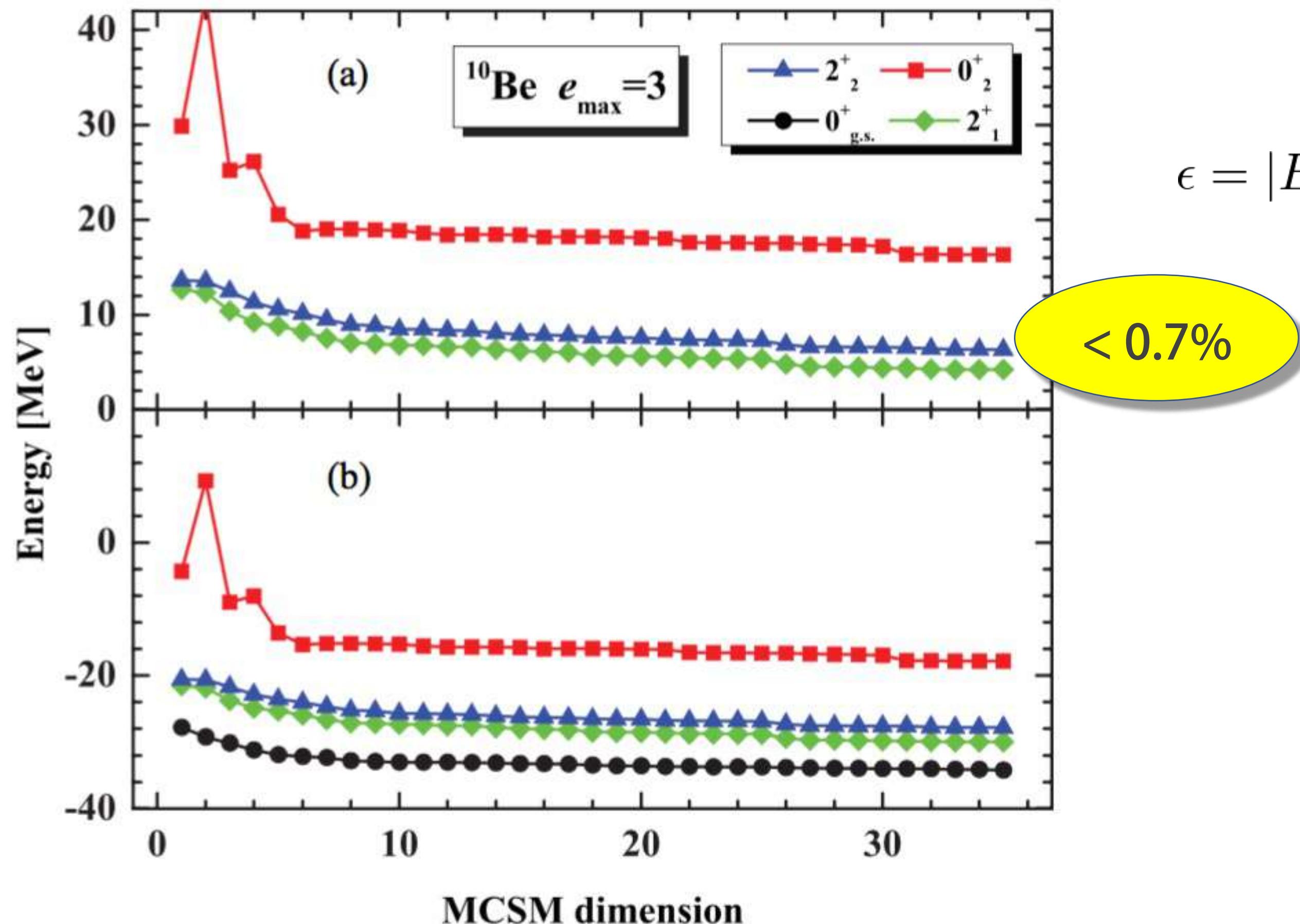
$$\epsilon < 1\%$$

❖ *MCSM dimension  $\sim 20$*



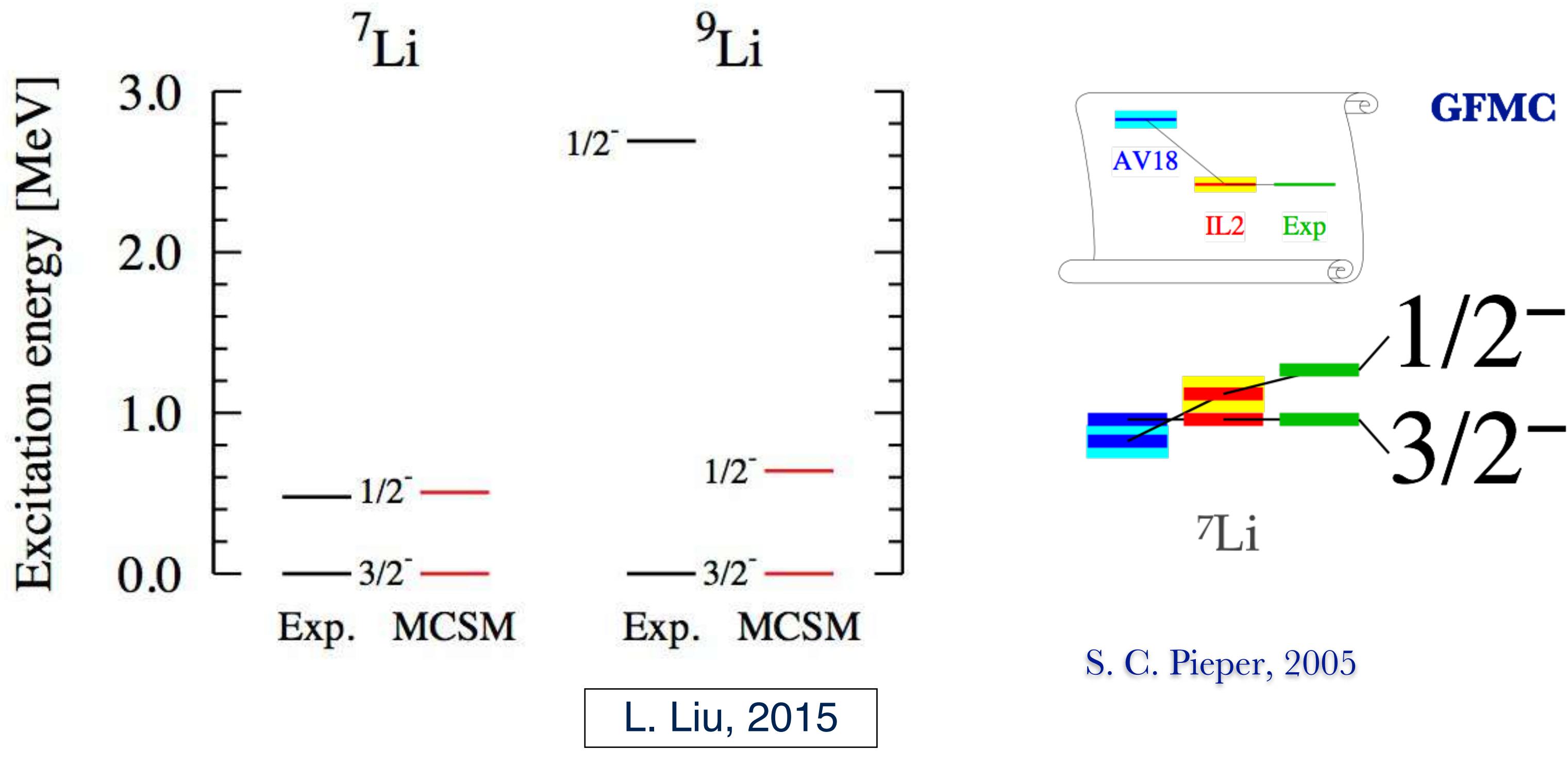
# Beryllium Low-lying Spectra

- ❖ *The convergence of energy for  $^{10}\text{Be}$  as the function of MCSM dimension.*



$$\epsilon = |E_n - E_{n-1}|/E_n$$

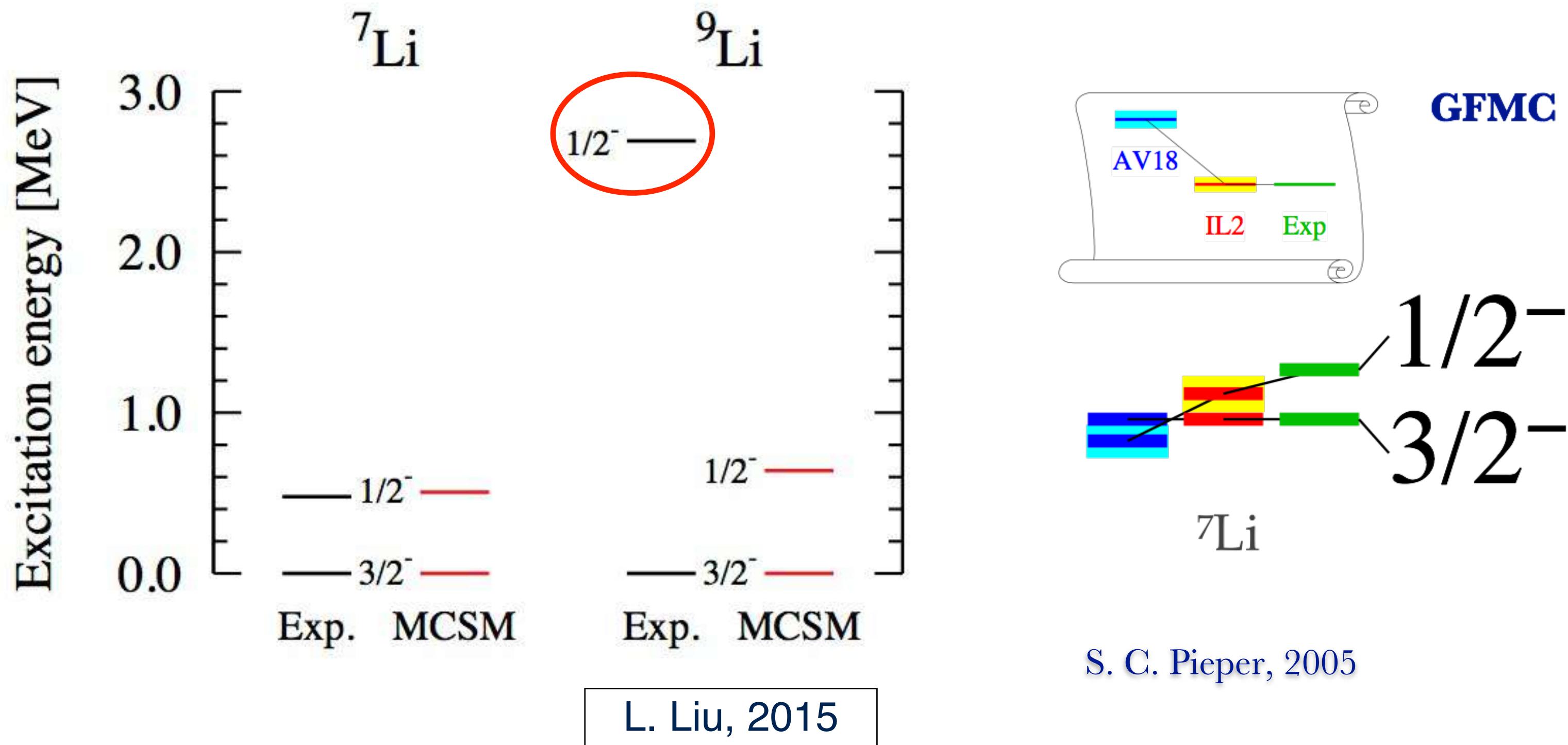
# $^7\text{Li}$ and $^9\text{Li}$ : Low-lying Spectra



***correct level ordering;***

***three-body forces or other mechanism?***

# $^7\text{Li}$ and $^9\text{Li}$ : Low-lying Spectra



***correct level ordering;***

***$^9\text{Li} \ 1/2^-$***

# **$^7\text{Li}$ and $^9\text{Li}$ : Magnetic Moments**

Isotopes	Exp.	MCSM	NCSM	
		$\mu [\mu_N]$		
$^7\text{Li}$	<b>3.256427(2)</b>	<b>3.116</b>	<b>3.01(2)</b>	
$^9\text{Li}$	<b>3.434(5)</b>	<b>3.183</b>	<b>2.89(2)</b>	
		$Q [e \text{ fm}^2]$		
$^7\text{Li}$	-4.00(3)	-3.770	-3.20(22)	
$^9\text{Li}$	-3.06(2)	-3.452	-2.66(22)	

# $^{10}\text{Be}$ E2 Transition

Unit:  $Q(e \text{ fm}^2)$ ,  $B(\text{E2}) (e^2 \text{ fm}^4)$

❖ **MCSM**

	<b>Q</b>	<b><math>B(\text{E2}; 2^+_1 \rightarrow 0^+_1)</math></b>	<b><math>B(\text{E2}; 2^+_2 \rightarrow 0^+_1)</math></b>	<b><math>B(\text{E2}; 2^+_2 \rightarrow 2^+_1)</math></b>
<i>Exp.</i>		<b>9.2(3)</b>	<b>0.11(2)</b>	
<b>MCSM</b>	<b>-7.71</b>	<b>9.29</b>	<b>0.32</b>	<b>3.28</b>

E.A. McCutchan, C. J. Lister, R. B. Wiringa, *et al.* Phys. Rev. Lett. **103**, 192501 (2009)

❖ **GFMC**

<i>H</i>	AV18	AV18+UIX	AV18+IL2	AV18+IL7	Expt.
$ E_{gs}(0^+) $	50.1(2)	59.5(3)	66.4(4)	64.3(2)	64.98
$E_x(2^+_1)$	2.9(2)	3.5(3)	5.0(4)	3.8(2)	3.37
$E_x(2^+_2)$	2.7(2)	3.8(3)	5.8(4)	5.5(2)	5.96
$B(E2; 2^+_1 \rightarrow 0^+)$	10.5(3)	17.9(5)	8.1(3)	8.8(2)	9.2(3)
$B(E2; 2^+_2 \rightarrow 0^+)$	3.3(2)	0.35(5)	3.3(2)	1.7(1)	0.11(2)
$\Sigma B(E2)$	13.8(4)	18.2(6)	11.4(4)	10.5(3)	9.3(3)

M. Pervin, S. C. Pieper, and R.B. Wiringa, Phys. Rev. C. **76**, 064319 (2007).

❖ **NCSM**

with the CD-BONN:  **$B(\text{E2}; 2^+_1 \rightarrow 0^+_{\text{g.s.}}) = 6.5 \text{ e}^2 \text{ fm}^4$**   
 with the CDB2K:  **$B(\text{E2}; 2^+_1 \rightarrow 0^+_{\text{g.s.}}) = 9.8 \text{ e}^2 \text{ fm}^4$**

E. Caurier, P. Navr'atil, W.E. Ormand, and J.P Vary, Phys. Rev. C **66**, 024314 (2002).

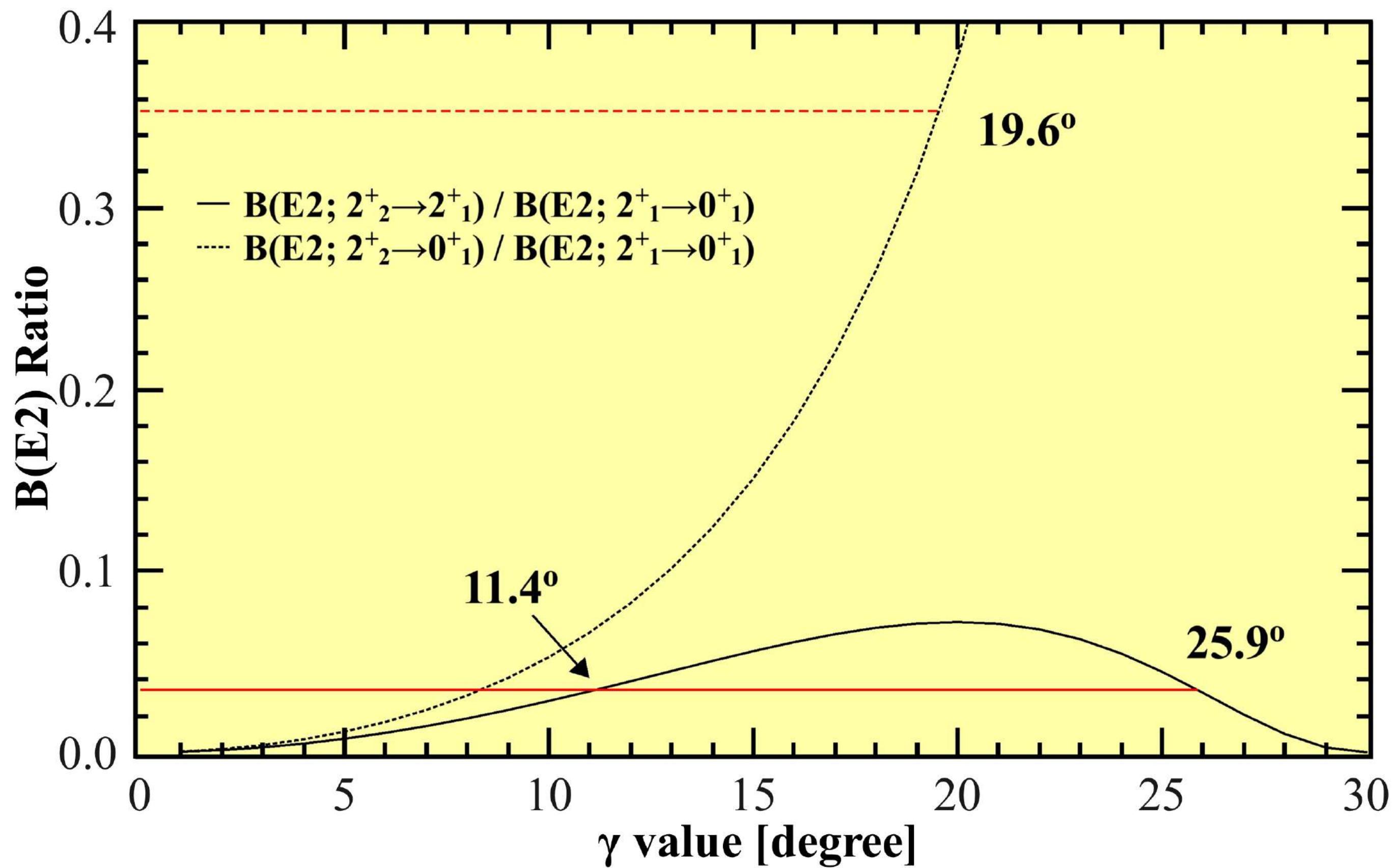
# $^{10}\text{Be}$ : Triaxial Deformation ?

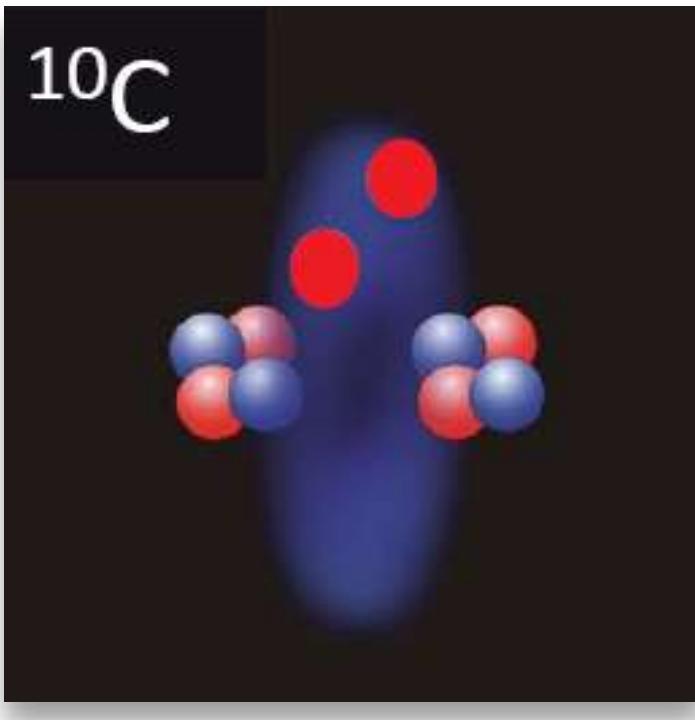
Davydov-Filippov model:

A.S. Davydov and G.F. Filippov, 1958.

$$\frac{B(E2; 2_2^+ \rightarrow 0_1^+)}{B(E2; 2_1^+ \rightarrow 0_1^+)} = \frac{1 - \frac{3-2\sin^2(3\gamma)}{\sqrt{9-8\sin^2(3\gamma)}}}{1 + \frac{3-2\sin^2(3\gamma)}{\sqrt{9-8\sin^2(3\gamma)}}}$$

$$\frac{B(E2; 2_2^+ \rightarrow 2_1^+)}{B(E2; 2_1^+ \rightarrow 0_1^+)} = \frac{\frac{20}{7} \cdot \frac{3-2\sin^2(3\gamma)}{\sqrt{9-8\sin^2(3\gamma)}}}{1 + \frac{3-2\sin^2(3\gamma)}{\sqrt{9-8\sin^2(3\gamma)}}}$$





## B(E2) of Mirror Nuclei: $^{10}\text{Be}$ and $^{10}\text{C}$

- ❖ Liquid drop model

$$B(E2) \propto Q^2 \propto (ZeR_0^2\beta)^2 \implies \frac{^{10}\text{C} : B(E2; 2_1^+ \rightarrow 0_1^+)}{^{10}\text{Be} : B(E2; 2_1^+ \rightarrow 0_1^+)} = \left(\frac{6}{4}\right)^2$$

The B(E2) of  $^{10}\text{C}$  should be **LARGER** than that of  $^{10}\text{Be}$

- ❖ Shell model

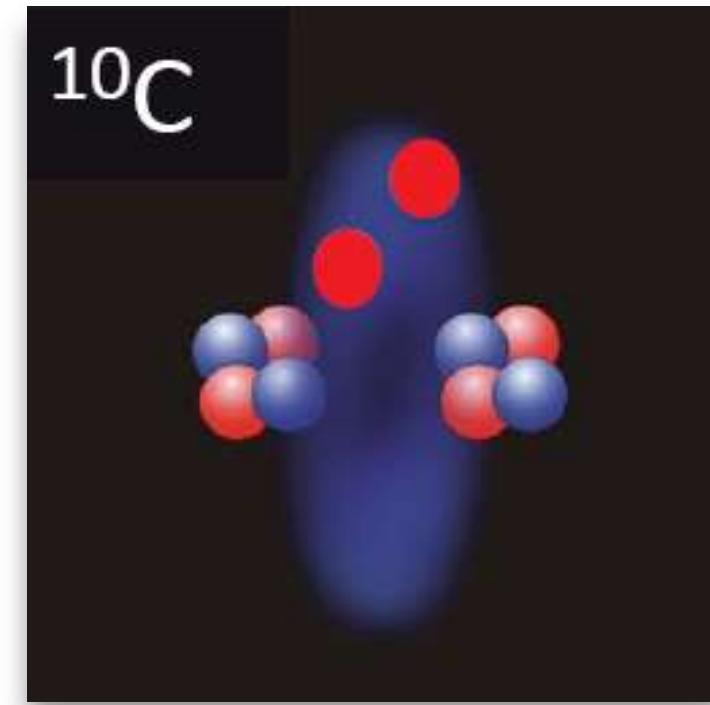
$$B(E2; 2_1^+ \rightarrow 0_1^+) \propto [3.2 + 0.1 \times T_z]^2$$

$^{10}\text{C}: T_z = -1$
$^{10}\text{Be}: T_z = 1$

The B(E2) of  $^{10}\text{C}$  should be **SMALLER** than that of  $^{10}\text{Be}$

D. E. Alburger, 1969

# B(E2) of Mirror Nuclei: $^{10}\text{Be}$ and $^{10}\text{C}$



**Expt.**  $\text{B}(\text{E}2; 2^+_1 \rightarrow 0^+_1) = 8.8(3) e^2 \text{ fm}^4$

**E.A. McCutchan, et al. Phys. Rev. C 86 (2012) 014312**

## GFMC (AV18)

$$\text{B}(\text{E}2; 2^+ \rightarrow 0^+) \sim 4 e^2 \text{ fm}^4$$

## (AV18+IL2)

$$\text{B}(\text{E}2; 2^+ \rightarrow 0^+) \sim 15 e^2 \text{ fm}^4$$

**E.A. McCutchan, et al. Phys. Rev. C 86 (2012) 014312  
priv. com. with**

## MCSM

$$\text{B}(\text{E}2; 2^+ \rightarrow 0^+) = 9.30 e^2 \text{ fm}^4$$

## NCSM (CD Bonn)

$$\text{B}(\text{E}2; 2^+ \rightarrow 0^+) = 5.7 e^2 \text{ fm}^4$$

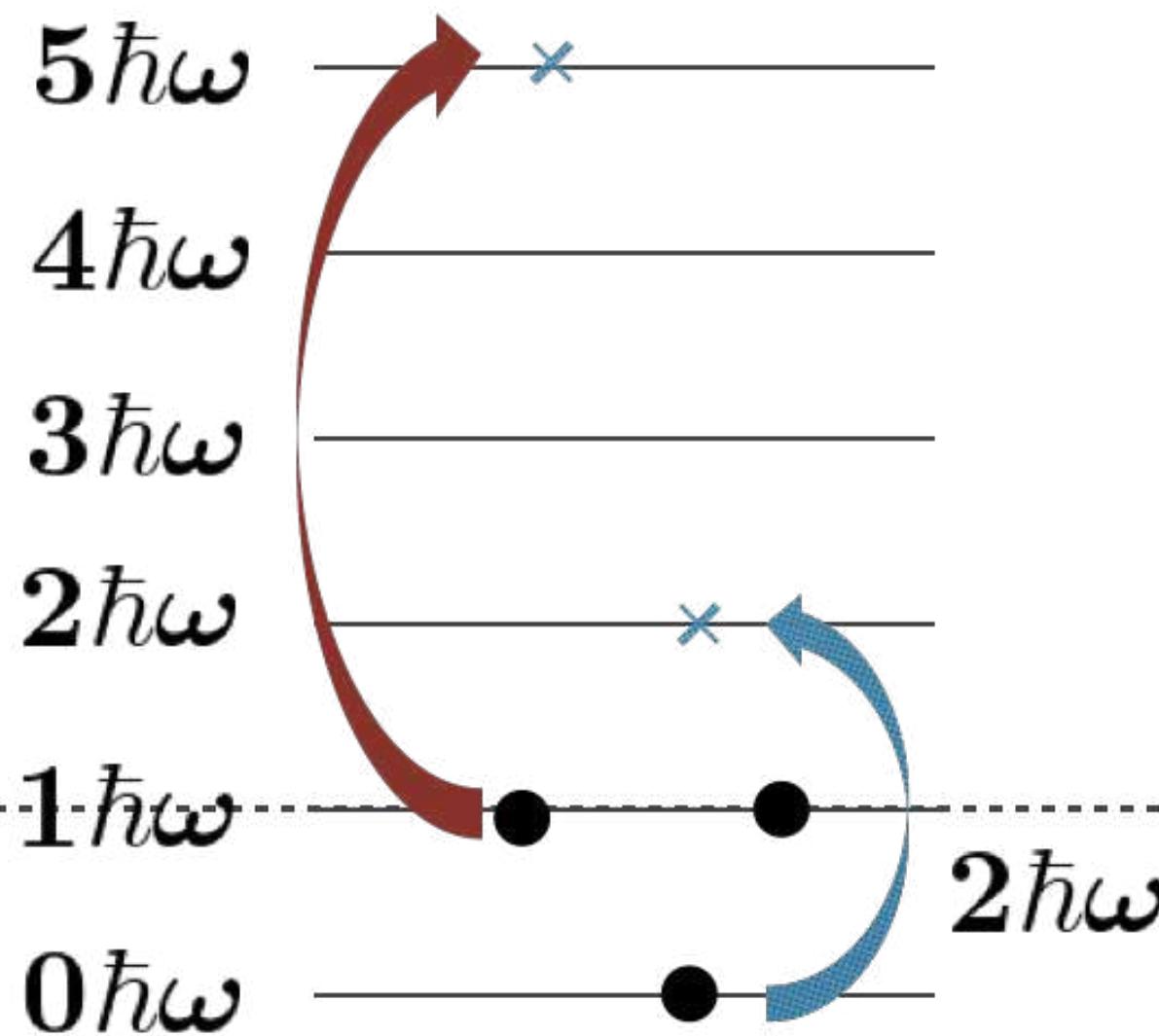
E. Caurier, P. Navratil, W. Ormand, and J. Vary, Phys. Rev. C **66**, 024314 (2002)

$^{10}\text{Be}$			$^{10}\text{C}$		
	$B(E2; 2^+_1 \rightarrow 0^+_{g.s.})$	$B(E2; 2^+_2 \rightarrow 0^+_{g.s.})$	$B(E2; 2^+_2 \rightarrow 2^+_1)$	$B(E2; 2^+_1 \rightarrow 0^+_{g.s.})$	$B(E2; 2^+_2 \rightarrow 0^+_{g.s.})$
Expt.	9.2(3)	0.11(2)		8.8(3)	
MCSM	9.29	0.32	3.28	9.30	2.15

- ❖ 4 protons in  $^{10}\text{Be}$  tends to be deformed rather strongly in a prolate shape and the rest (6 neutrons) tends to be deformed in a triaxial shape, and the situation is just reversed in  $^{10}\text{C}$ .

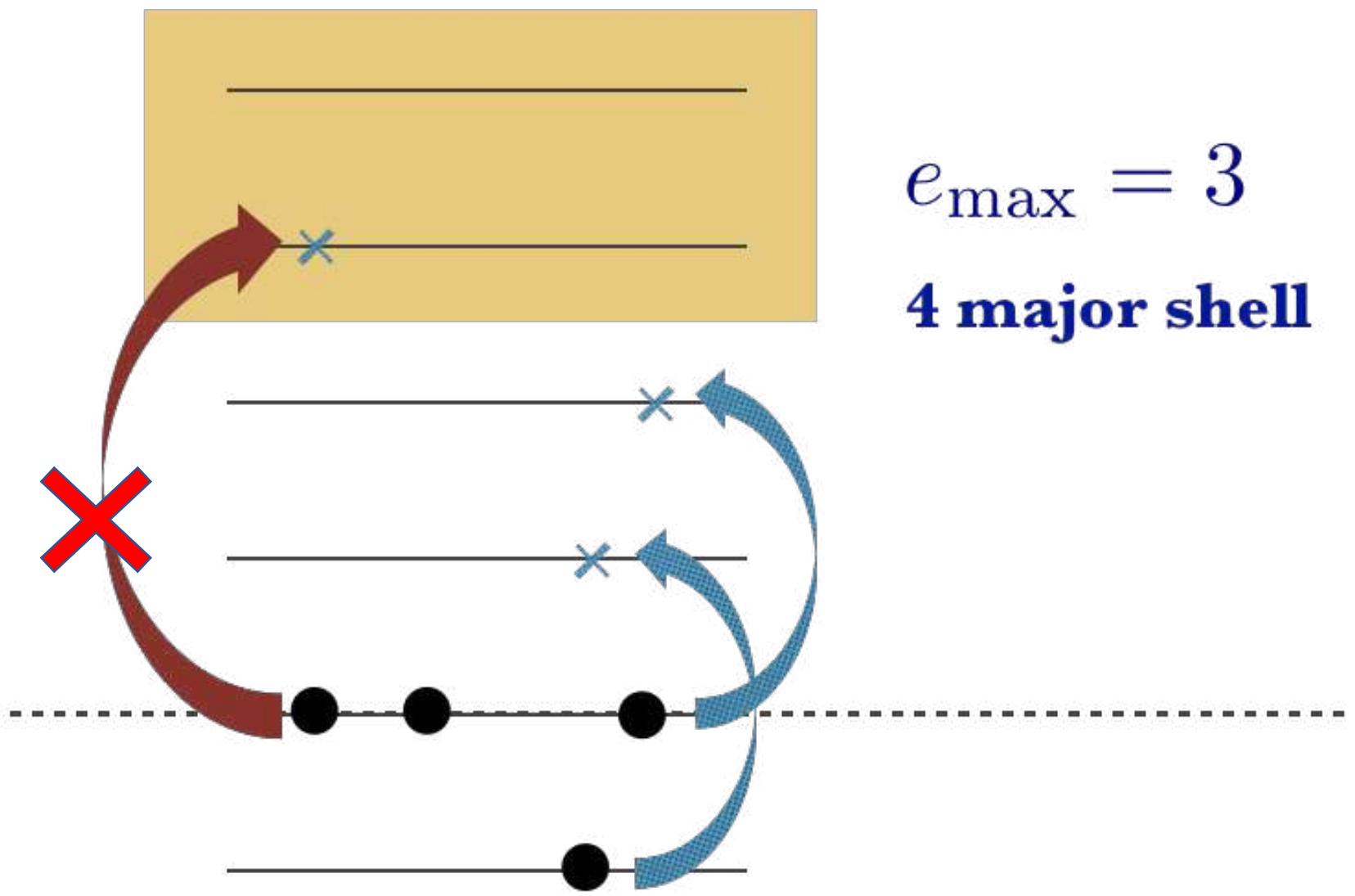
# Truncation in Shell Model

No-Core Shell Model



MCSM

Fermi surface



Excitation energy up to  $N_{max}\hbar\omega$

Single particle energies up to  $e_{max}\hbar\omega$

$$e_{max} = 2n + l$$

# SMMC and MCSM

## ❖ HS transformation

$$e^{-\beta \hat{H}} \simeq \prod_{n=1}^{N_t} \int_{-\infty}^{\infty} \prod_{\alpha} d\sigma_{\alpha,n} \sqrt{\frac{\beta |V_{\alpha}|}{2\pi}} \cdot G(\sigma_{\alpha}) \cdot e^{-\Delta\beta \hat{h}(\sigma_{\alpha})}$$

## ❖ the ground state

$$|\Phi_{g.s.}\rangle \simeq \prod_{n=1}^{N_t} \sum_{MC,\sigma} e^{-\Delta\beta \hat{h}(\sigma_n)} |\Psi^{(0)}\rangle$$

## ❖ states with $\sigma$

$$|\Phi(\sigma)\rangle \propto \prod_{n=1}^{N_t} e^{-\Delta\beta \hat{h}(\sigma_n)} |\Psi^{(0)}\rangle$$

## ❖ the ground state energy

$$E_{g.s.} = \frac{\langle \Phi_{g.s.} | \hat{H} | \Phi_{g.s.} \rangle}{\langle \Phi_{g.s.} | \Phi_{g.s.} \rangle}$$

- ❖ generate basis;
- ❖ diagonalization.

# MCSM — Illustrative example

## ❖ Gaussian integral

$$\int_{-\infty}^{\infty} d\sigma e^{-a(\sigma+c)^2} = \sqrt{\pi/a} \quad (a > 0)$$

or  $e^{ac^2} = \sqrt{a/\pi} \int_{-\infty}^{\infty} d\sigma e^{-a\sigma^2 - 2ac\sigma}$

## ❖ toy Hamiltonian

$$\hat{H} = \frac{1}{2}V\hat{O}^2$$

$V$ : a coupling constant ( $V < 0$ )

$\hat{O}$ : a one-body operator

## ❖ imaginary-time evolution operator

$$e^{-\frac{1}{2}\beta V\hat{O}^2} = \int_{-\infty}^{\infty} d\sigma \sqrt{\frac{\beta|V|}{2\pi}} \cdot e^{-\frac{\beta}{2}|V|\sigma^2} \cdot e^{-\beta|V|\sigma\hat{O}}$$

## ❖ Monte Carlo sampling

$$e^{-\frac{1}{2}\beta V\hat{O}^2} \approx \sum_{MC:\sigma} \sqrt{\frac{\beta|V|}{2\pi}} \cdot e^{-\beta|V|\sigma\hat{O}}$$

probability weight

$$G(\sigma) = e^{-\frac{\beta}{2}|V|\sigma^2}$$

$\sigma$ : auxiliary field

# MCSM — Illustrative Example

## ❖ Gaussian integral

$$\int_{-\infty}^{\infty} d\sigma e^{-a(\sigma+c)^2} = \sqrt{\pi/a} \quad (a > 0)$$

or  $e^{ac^2} = \sqrt{a/\pi} \int_{-\infty}^{\infty} d\sigma e^{-a\sigma^2 - 2ac\sigma}$

## ❖ toy Hamiltonian

$$\hat{H} = \frac{1}{2}V\hat{O}^2$$

$V$ : a coupling constant ( $V < 0$ )

$\hat{O}$ : a one-body operator

## ❖ imaginary-time evolution operator

$$e^{-\frac{1}{2}\beta V\hat{O}^2} = \int_{-\infty}^{\infty} d\sigma \sqrt{\frac{\beta|V|}{2\pi}} \cdot e^{-\frac{\beta}{2}|V|\sigma^2} \cdot e^{-\beta|V|\sigma\hat{O}}$$

## ❖ the ground state

$$|\Phi_{g.s.}\rangle \sim \sum_{MC,\sigma} e^{-\beta\hat{h}(\sigma)} |\Phi^{(0)}\rangle, \quad \beta \rightarrow \infty$$

one-body Hamiltonian

$$\hat{h}(\sigma) = V\sigma\hat{O}$$

## ❖ Monte Carlo sampling

$$e^{-\frac{1}{2}\beta V\hat{O}^2} \approx \sum_{MC:\sigma} \sqrt{\frac{\beta|V|}{2\pi}} \cdot e^{-\beta|V|\sigma\hat{O}}$$

probability weight

$$G(\sigma) = e^{-\frac{\beta}{2}|V|\sigma^2}$$

$\sigma$ : auxiliary field

# MCSM — General Cases

## ❖ a general Hamiltonian

$$\hat{H} = \sum_{i,j=1}^{N_{s.p.}} \epsilon_{ij} c_i^\dagger c_j + \frac{1}{4} \sum_{i,j,k,l=1}^{N_{s.p.}} v_{i,j,k,l} c_i^\dagger c_j^\dagger c_l c_k$$

$i, j$ : the single particle states.

$N_{s.p.}$ : the number of the single particle states.

## ❖ Hamiltonian in a quadratic form

$$\hat{H} = \sum_{\alpha=1}^{N_f} (E_\alpha \hat{O}_\alpha + \frac{1}{2} V_\alpha \hat{O}_\alpha^2)$$

$\hat{O}_\alpha$ : one-body operators

$N_f$ : the number of the  $O_\alpha$ 's

$$e^{-\beta \hat{H}} = \int_{-\infty}^{\infty} \prod_{\alpha} d\sigma_{\alpha} \sqrt{\frac{\beta |V_{\alpha}|}{2\pi}} \cdot e^{-\frac{\beta}{2} |V_{\alpha}| \sigma_{\alpha}^2} \cdot e^{-\beta |V_{\alpha}| \sigma_{\alpha} \hat{O}_{\alpha}}$$

# MCSM — Decomposition of the Hamiltonian

$$\hat{H} = a_1^\dagger a_2^\dagger a_4 a_3$$

$$\begin{aligned}\hat{H} &= \overbrace{a_1^\dagger a_3}^{} \underbrace{a_2^\dagger a_4}_{\phantom{a_2^\dagger a_4}} - a_1^\dagger a_4 \delta_{23} \\ &= \boxed{-a_1^\dagger a_4 \delta_{23} + \frac{1}{2} [a_1^\dagger a_3, a_2^\dagger a_4]} + \frac{1}{4} (a_1^\dagger a_3 + a_2^\dagger a_4)^2 - \frac{1}{4} (a_1^\dagger a_3 - a_2^\dagger a_4)^2\end{aligned}$$

one-body operator

$$\begin{aligned}\hat{H} &= -\overbrace{a_1^\dagger a_4}^{} \underbrace{a_2^\dagger a_3}_{\phantom{a_2^\dagger a_3}} + a_1^\dagger a_3 \delta_{24} \\ &= \boxed{a_1^\dagger a_3 \delta_{24} - \frac{1}{2} [a_1^\dagger a_4, a_2^\dagger a_3]} - \frac{1}{4} (a_1^\dagger a_4 + a_2^\dagger a_3)^2 + \frac{1}{4} (a_1^\dagger a_4 - a_2^\dagger a_3)^2\end{aligned}$$

# MCSM — General Cases

❖ a general Hamiltonian

$$\hat{H} = \sum_{i,j=1}^{N_{s.p.}} \epsilon_{ij} c_i^\dagger c_j + \frac{1}{4} \sum_{i,j,k,l=1}^{N_{s.p.}} v_{i,j,k,l} c_i^\dagger c_j^\dagger c_l c_k$$

$i, j$ : the single particle states.

$N_{s.p.}$ : the number of the single particle states.

❖ Hamiltonian in a quadratic form

$$\hat{H} = \sum_{\alpha=1}^{N_f} (E_\alpha \hat{O}_\alpha + \frac{1}{2} V_\alpha \hat{O}_\alpha^2)$$

$\hat{O}_\alpha$ : one-body operators

$N_f$ : the number of the  $O_\alpha$ 's

$$e^{-\beta \hat{H}} \times \int_{-\infty}^{\infty} \prod_{\alpha} d\sigma_{\alpha} \sqrt{\frac{\beta |V_{\alpha}|}{2\pi}} \cdot e^{-\frac{\beta}{2} |V_{\alpha}| \sigma_{\alpha}^2} \cdot e^{-\beta |V_{\alpha}| \sigma_{\alpha} \hat{O}_{\alpha}}$$

$\hat{H}$  contains many-body term,  
 $\hat{O}_\alpha$ 's do not commute with each other !

# MCSM — Hubbard-Stratonovich (HS) Transformation

❖ “time” slices of  $\beta$

$$e^{-\beta \hat{H}} = [e^{-\Delta \beta \hat{H}}]^{N_t}$$

❖ HS transformation

$$e^{-\beta \hat{H}} \simeq \prod_{n=1}^{N_t} \int_{-\infty}^{\infty} \prod_{\alpha} d\sigma_{\alpha n} \sqrt{\frac{\beta |V_{\alpha}|}{2\pi}} \cdot e^{-\frac{\beta}{2} |V_{\alpha}| \sigma_{\alpha n}^2} \cdot e^{-\beta(E_{\alpha} + s_{\alpha} V_{\alpha} \sigma_{\alpha n}) \hat{O}_{\alpha}}$$

# MCSM — Hubbard-Stratonovich (HS) Transformation

❖ “time” slices of  $\beta$

$$e^{-\beta \hat{H}} = [e^{-\Delta \beta \hat{H}}]^{N_t}$$

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❖ Gaussian weight factor

$$G(\sigma_{\alpha}) = e^{-\sum_{\alpha} \frac{\beta}{2} |V_{\alpha}| \sigma_{\alpha}^2}$$

❖ one-body Hamiltonian

$$\hat{h}(\sigma_n) = \sum_{\alpha} (E_{\alpha} + s_{\alpha} V_{\alpha} \sigma_{\alpha n}) \hat{O}_{\alpha}$$

$$s_{\alpha} = \pm 1 \ (\pm i) \text{ if } V_{\alpha} < 0 \ ( > 0)$$

❖ HS transformation

$$e^{-\beta \hat{H}} \simeq \prod_{n=1}^{N_t} \int_{-\infty}^{\infty} \prod_{\alpha} d\sigma_{\alpha,n} \sqrt{\frac{\beta |V_{\alpha}|}{2\pi}} \cdot G(\sigma_{\alpha}) \cdot e^{-\Delta \beta \hat{h}(\sigma_{\alpha})}$$

# MCSM — Generation Process for Basis

$$1. \quad E^{(0)} = \langle \Psi^{(0)} | \hat{H} | \Psi^{(0)} \rangle$$

$$2. \quad \sigma \equiv \{\sigma_1, \sigma_2, \dots, \sigma_{N_t}\}$$

$$3. \quad |\Phi^{(1)}(\sigma)\rangle \propto \prod_{n=1}^{N_t} e^{-\Delta\beta\hat{h}(\sigma_n)} |\Psi^{(0)}\rangle$$

$$4. \quad E^{(1)} = \langle \Phi^{(1)}(\sigma) | \hat{H} | \Phi^{(1)}(\sigma) \rangle$$

$$5. \quad E^{(1)} < E^{(0)}$$

# Unitary Correlation Operator Method (UCOM)

## Main idea

$$\langle \Psi | \hat{H} | \Psi' \rangle = \langle \Phi | \hat{C}^\dagger \hat{H} \hat{C} | \Phi \rangle = \langle \Phi | \hat{C}^{-1} \hat{H} \hat{C} | \Phi \rangle = \langle \Phi | \hat{H}_{\text{UCOM}} | \Phi \rangle$$

## UCOM operator

$$\hat{C} = \hat{C}_\Omega \hat{C}_r = \exp \left[ -i \sum_{i < j} g_{\Omega,ij} \right] \exp \left[ -i \sum_{i < j} g_{r,ij} \right]$$

## UCOM potential

$$\hat{H}_{\text{UCOM}} = \hat{C}^\dagger \hat{H} \hat{C} = \hat{C}^\dagger (\hat{T} + \hat{V}_{\text{real.}}) \hat{C} = \hat{T}^{[1]} + \hat{T}^{[2]} + \hat{V}^{[2]} = \hat{T}_{\text{int.}} + \hat{V}_{\text{UCOM}}$$

# MCSM — General Idea

## ❖ a general Hamiltonian

$$\hat{H} = \sum_{i,j=1}^{N_{s.p.}} \epsilon_{ij} c_i^\dagger c_j + \frac{1}{4} \sum_{i,j,k,l=1}^{N_{s.p.}} v_{i,j,k,l} c_i^\dagger c_j^\dagger c_l c_k$$

$i, j$ : the single particle states.

$N_{s.p.}$ : the number of the single particle states.

## ❖ Hamiltonian in a quadratic form

$$\hat{H} = \sum_{\alpha=1}^{N_f} (E_\alpha \hat{O}_\alpha + \frac{1}{2} V_\alpha \hat{O}_\alpha^2)$$

$\hat{O}_\alpha$ : one-body operators

$N_f$ : the number of the  $O_\alpha$ 's

$$e^{-\beta \hat{H}} = \int_{-\infty}^{\infty} \prod_{\alpha} d\sigma_{\alpha} \sqrt{\frac{\beta |V_{\alpha}|}{2\pi}} \cdot e^{-\frac{\beta}{2} |V_{\alpha}| \sigma_{\alpha}^2} \cdot e^{-\beta |V_{\alpha}| \sigma_{\alpha} \hat{O}_{\alpha}}$$

# MCSM — General Cases

❖ a general Hamiltonian

$$\hat{H} = \sum_{i,j=1}^{N_{s.p.}} \epsilon_{ij} c_i^\dagger c_j + \frac{1}{4} \sum_{i,j,k,l=1}^{N_{s.p.}} v_{i,j,k,l} c_i^\dagger c_j^\dagger c_l c_k$$

$i, j$ : the single particle states.

$N_{s.p.}$ : the number of the single particle states.

❖ Hamiltonian in a quadratic form

$$\hat{H} = \sum_{\alpha=1}^{N_f} (E_\alpha \hat{O}_\alpha + \frac{1}{2} V_\alpha \hat{O}_\alpha^2)$$

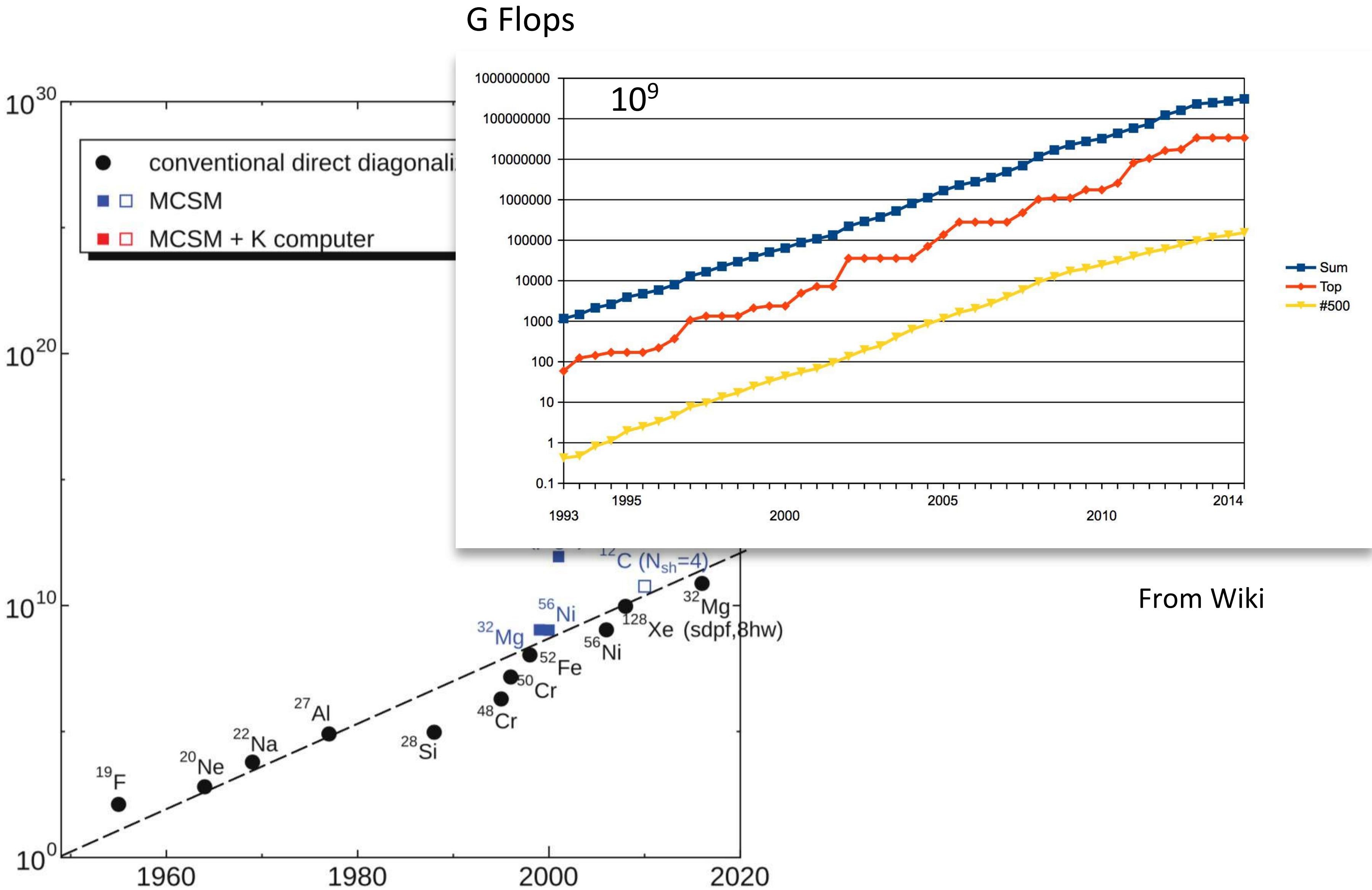
$\hat{O}_\alpha$ : one-body operators

$N_f$ : the number of the  $O_\alpha$ 's

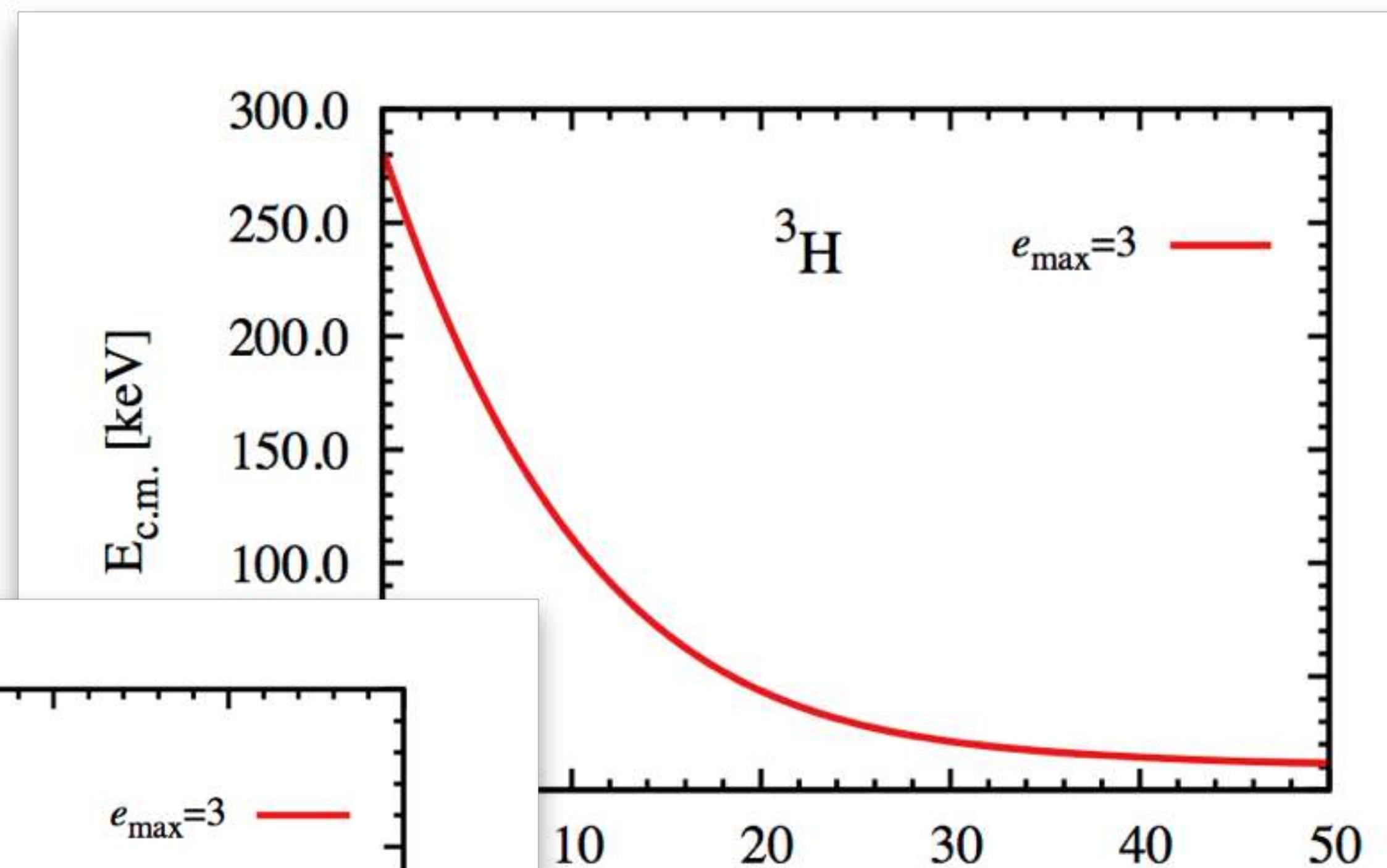
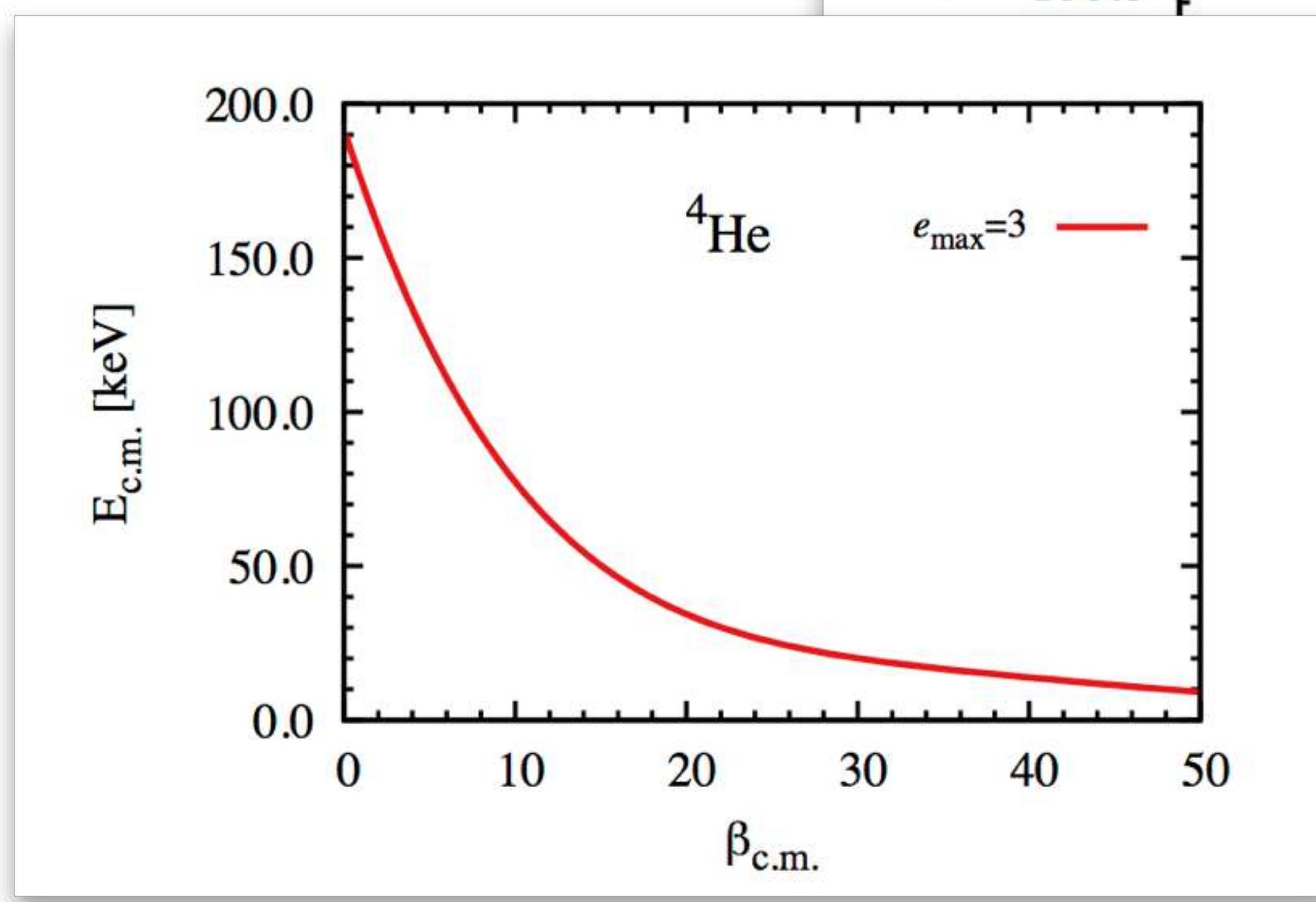
$$e^{-\beta \hat{H}} \times \int_{-\infty}^{\infty} \prod_{\alpha} d\sigma_{\alpha} \sqrt{\frac{\beta |V_{\alpha}|}{2\pi}} \cdot e^{-\frac{\beta}{2} |V_{\alpha}| \sigma_{\alpha}^2} \cdot e^{-\beta |V_{\alpha}| \sigma_{\alpha} \hat{O}_{\alpha}}$$

$\hat{H}$  contains many-body term,  
 $\hat{O}_\alpha$ 's do not commute with each other !

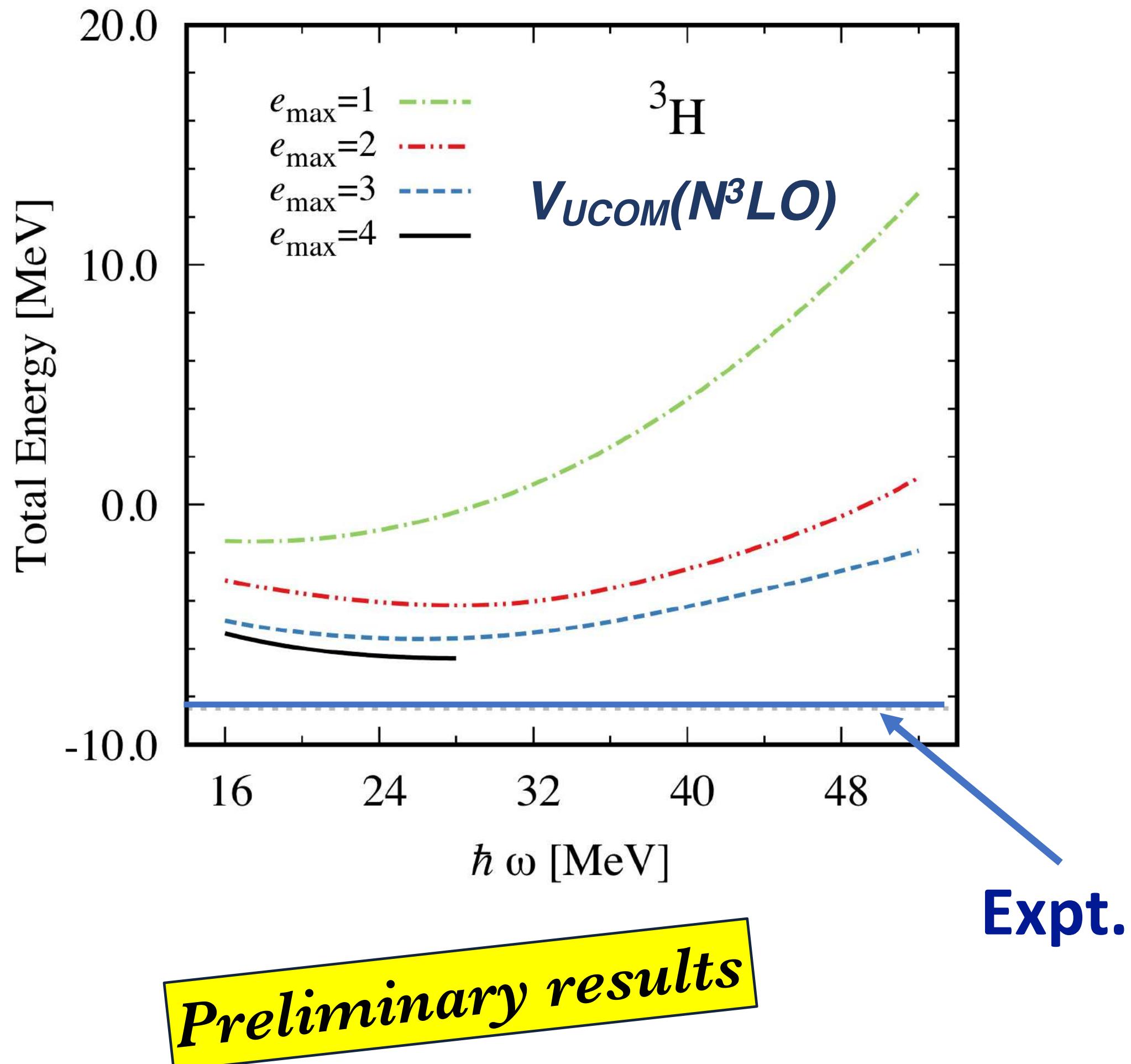
# Shell model vs. Computer ability



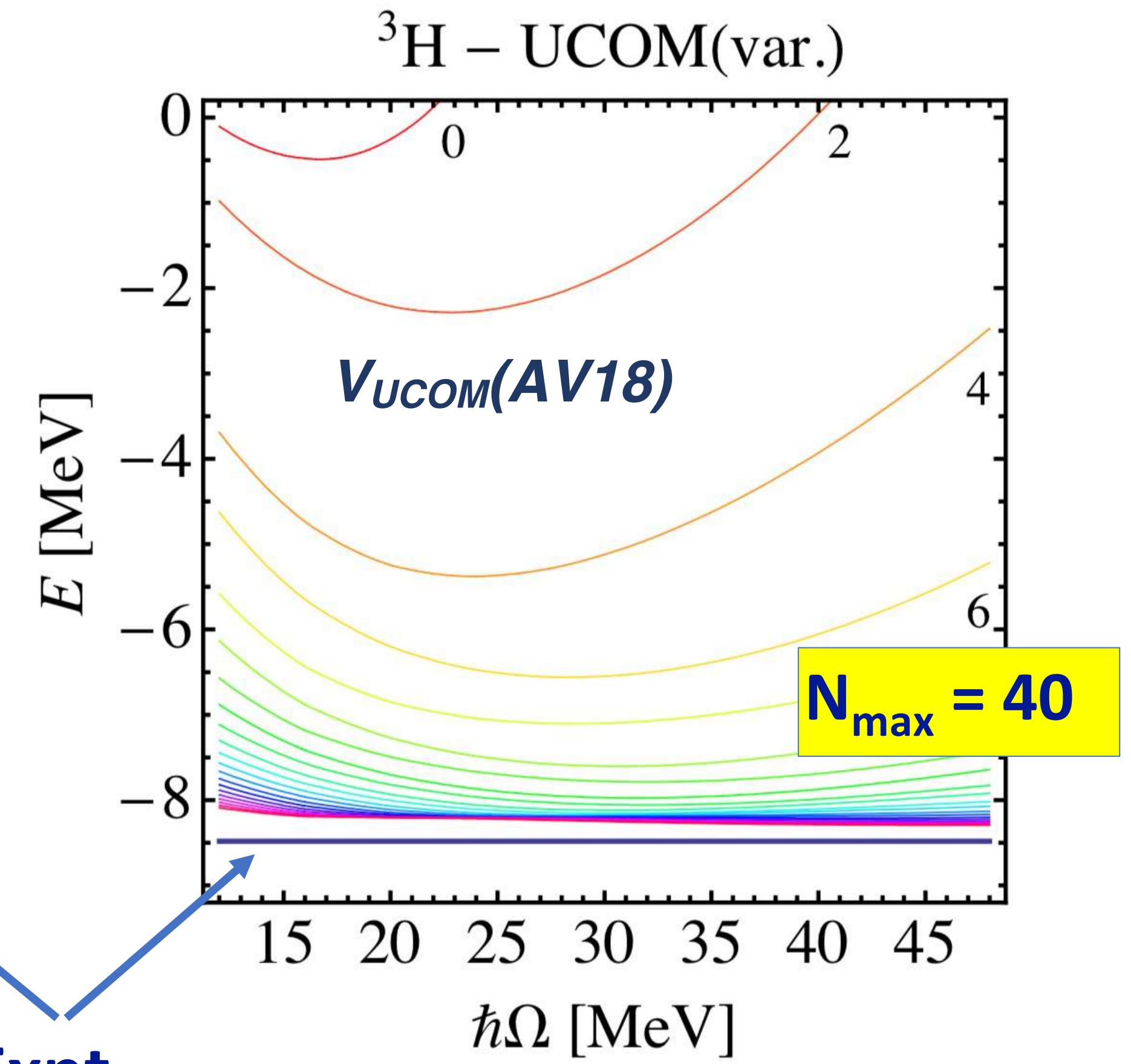
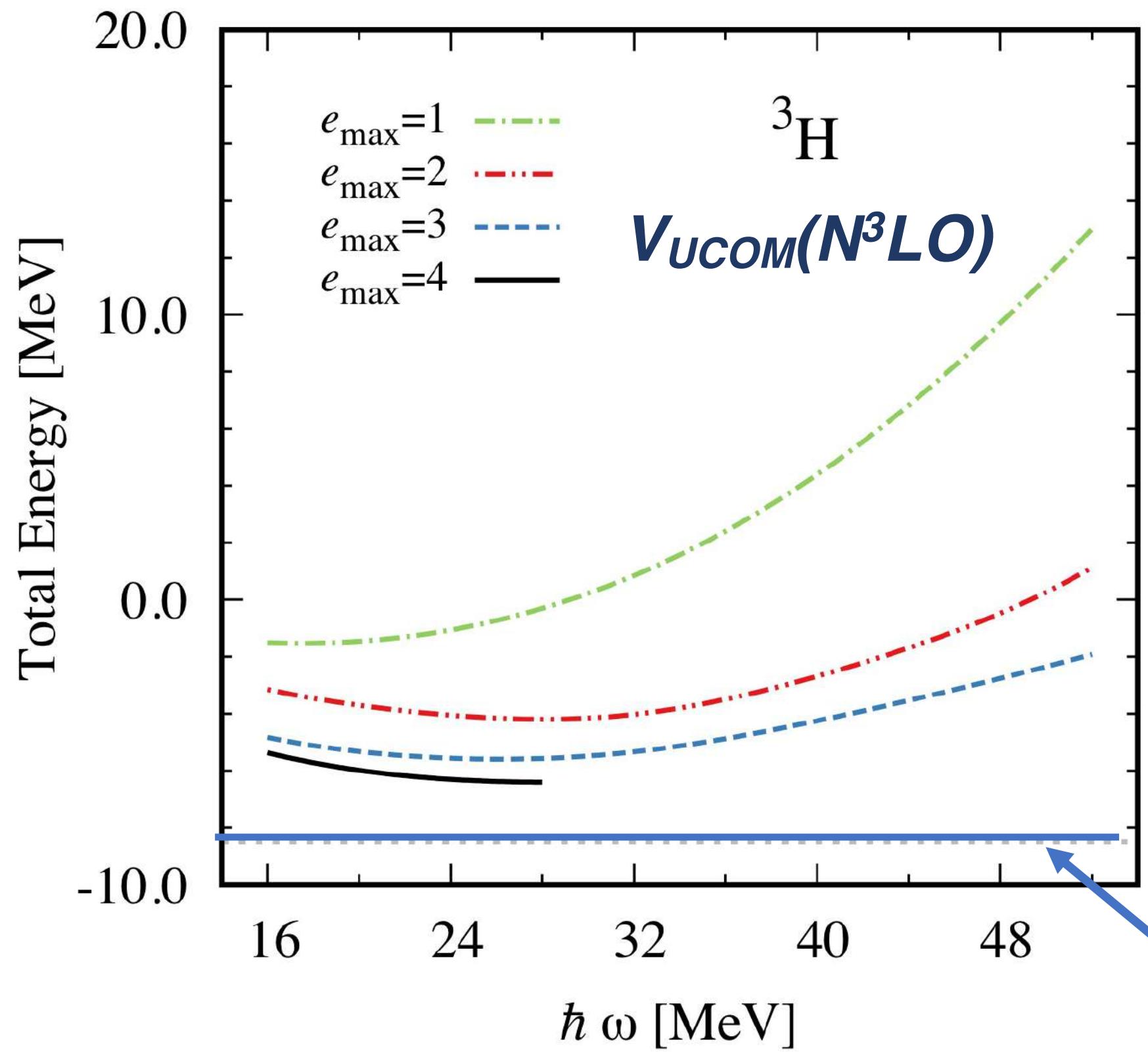
# Treatment of Spurious Center-of-Mass Motion



# $\hbar\omega$ and Model Space Dependence

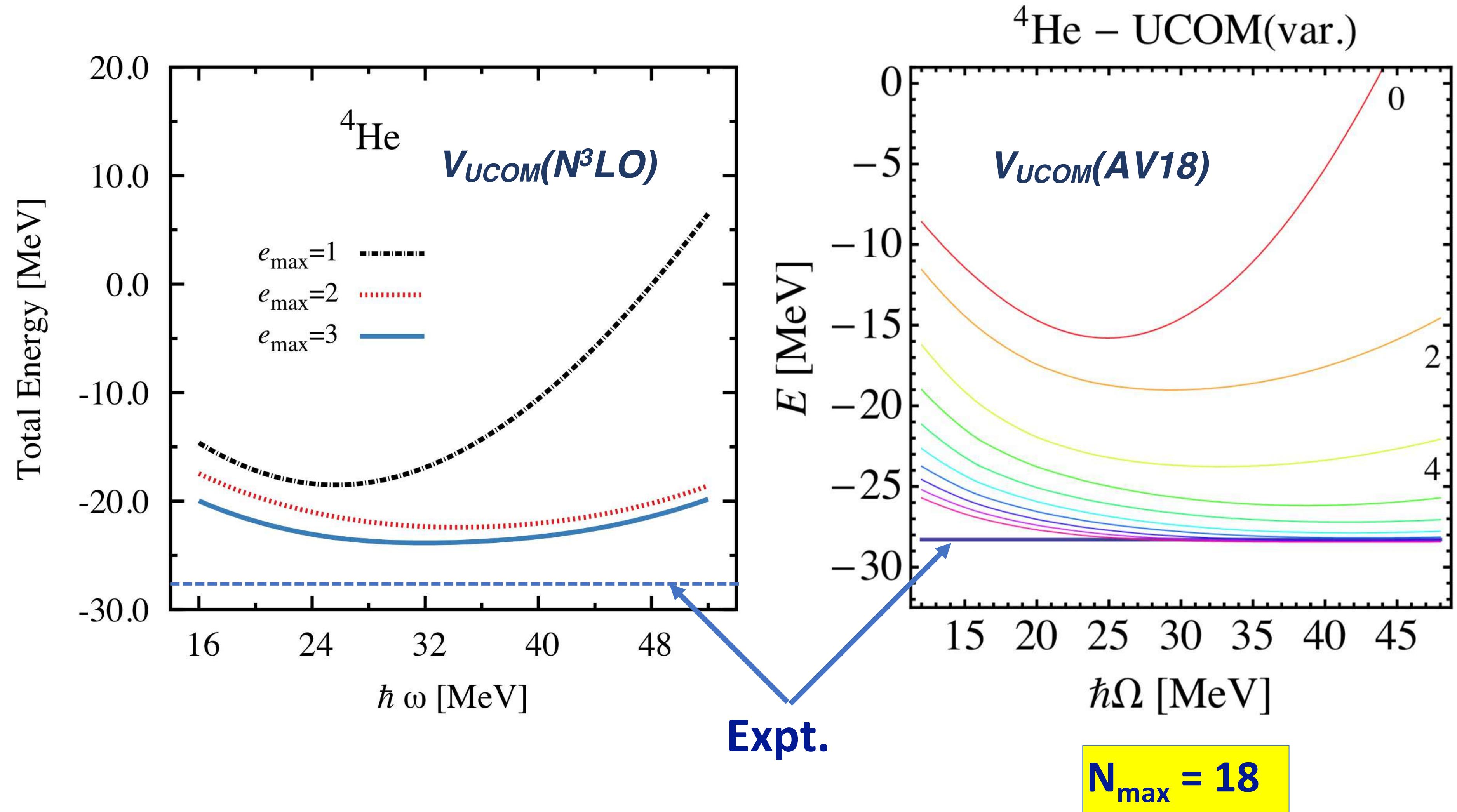


# $\hbar\omega$ and Model Space Dependence

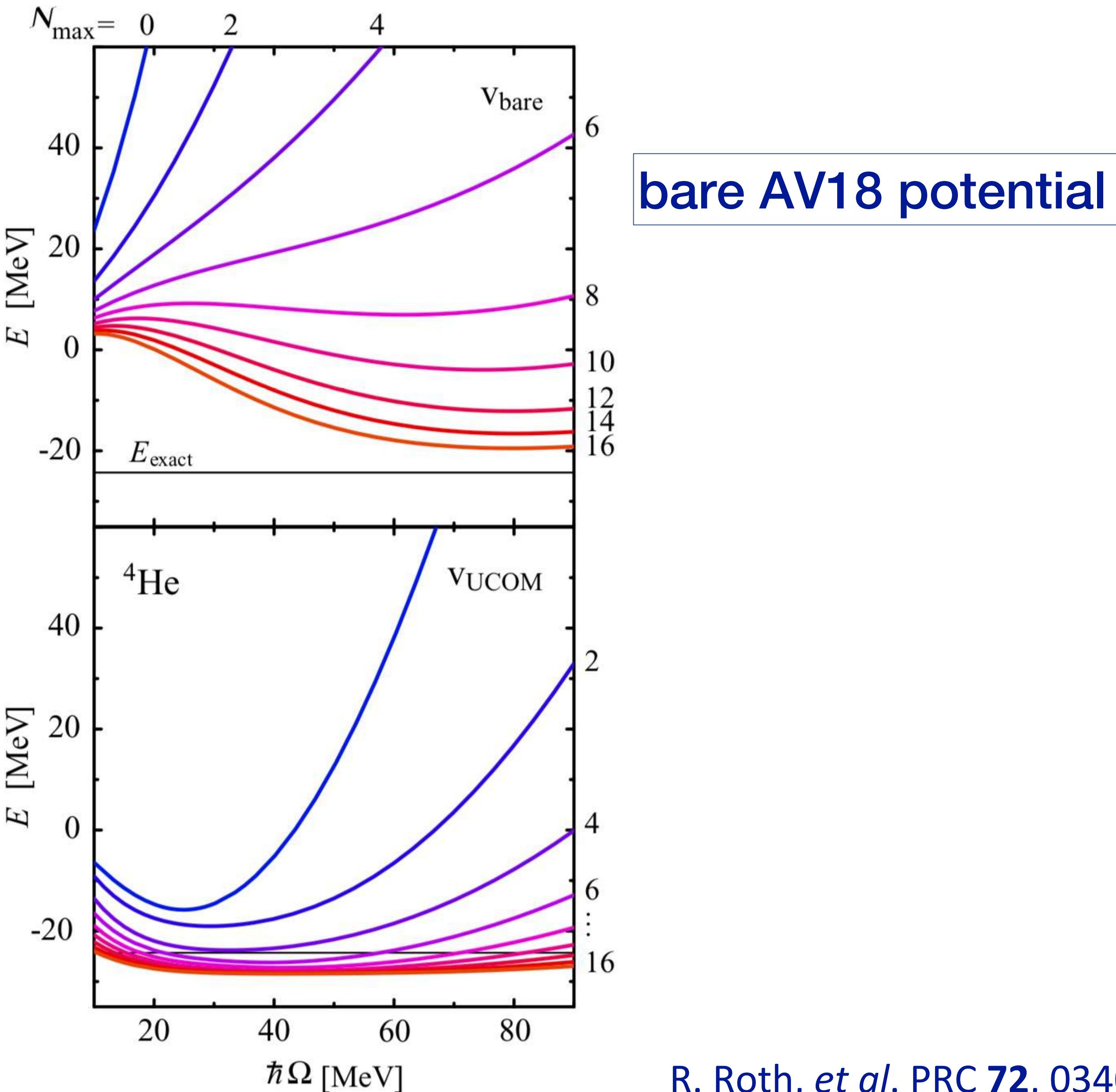


Preliminary results

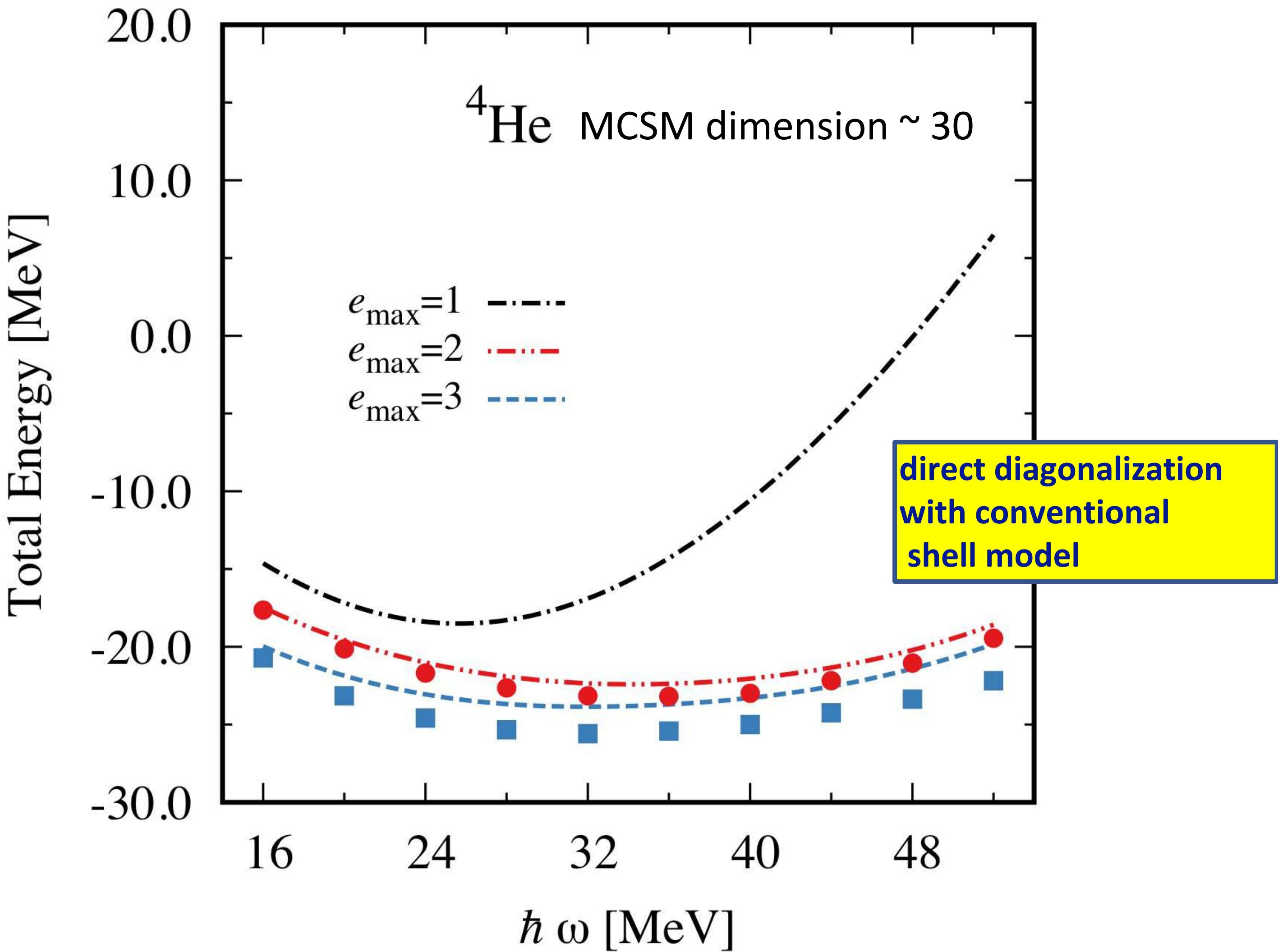
# $\hbar\omega$ and Model Space Dependence



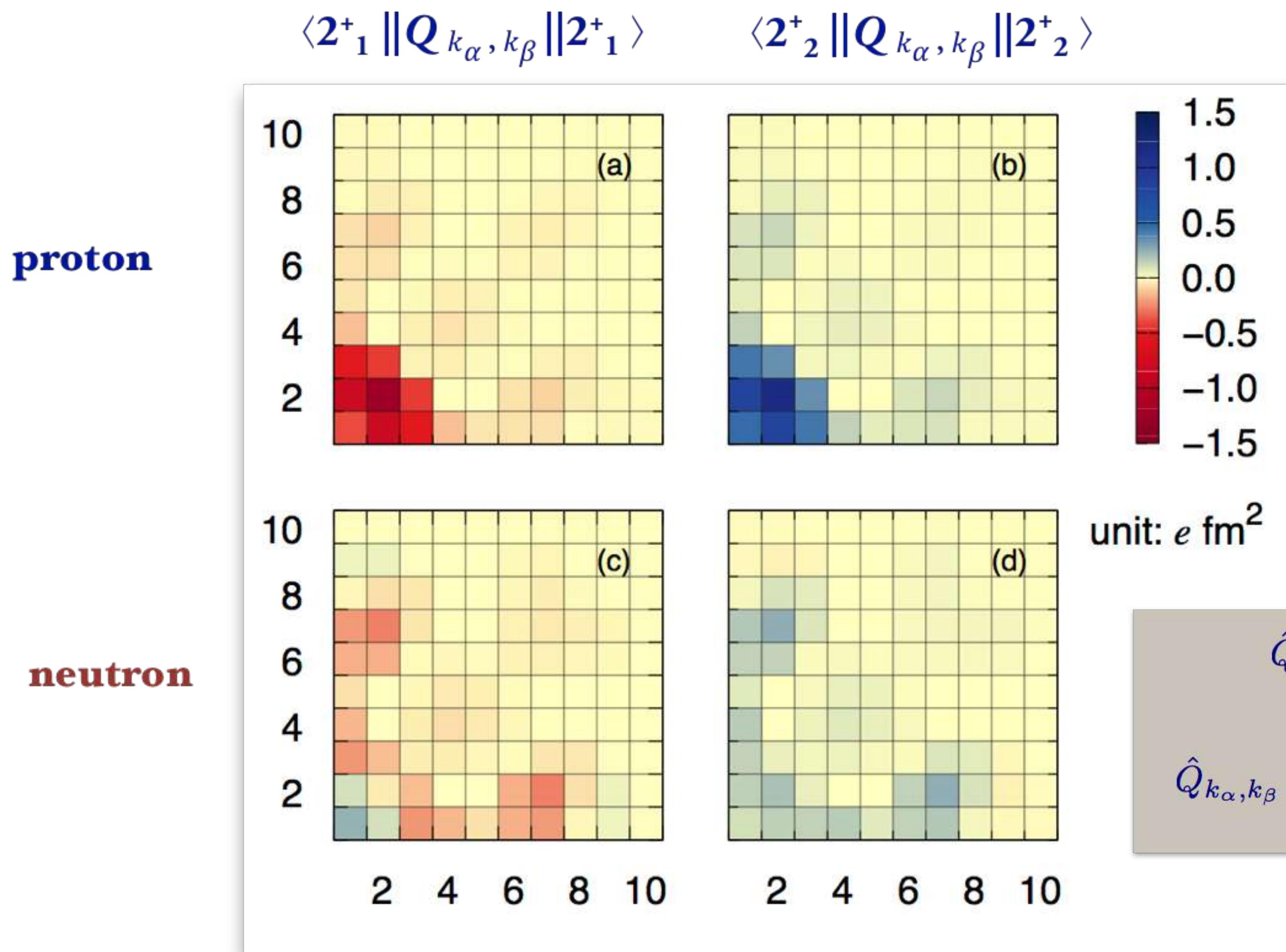
# Bare realistic nuclear forces



# MCSM vs Conventional Shell Model



# Contribution of Single Particle Orbit to $\mathbf{Q}$

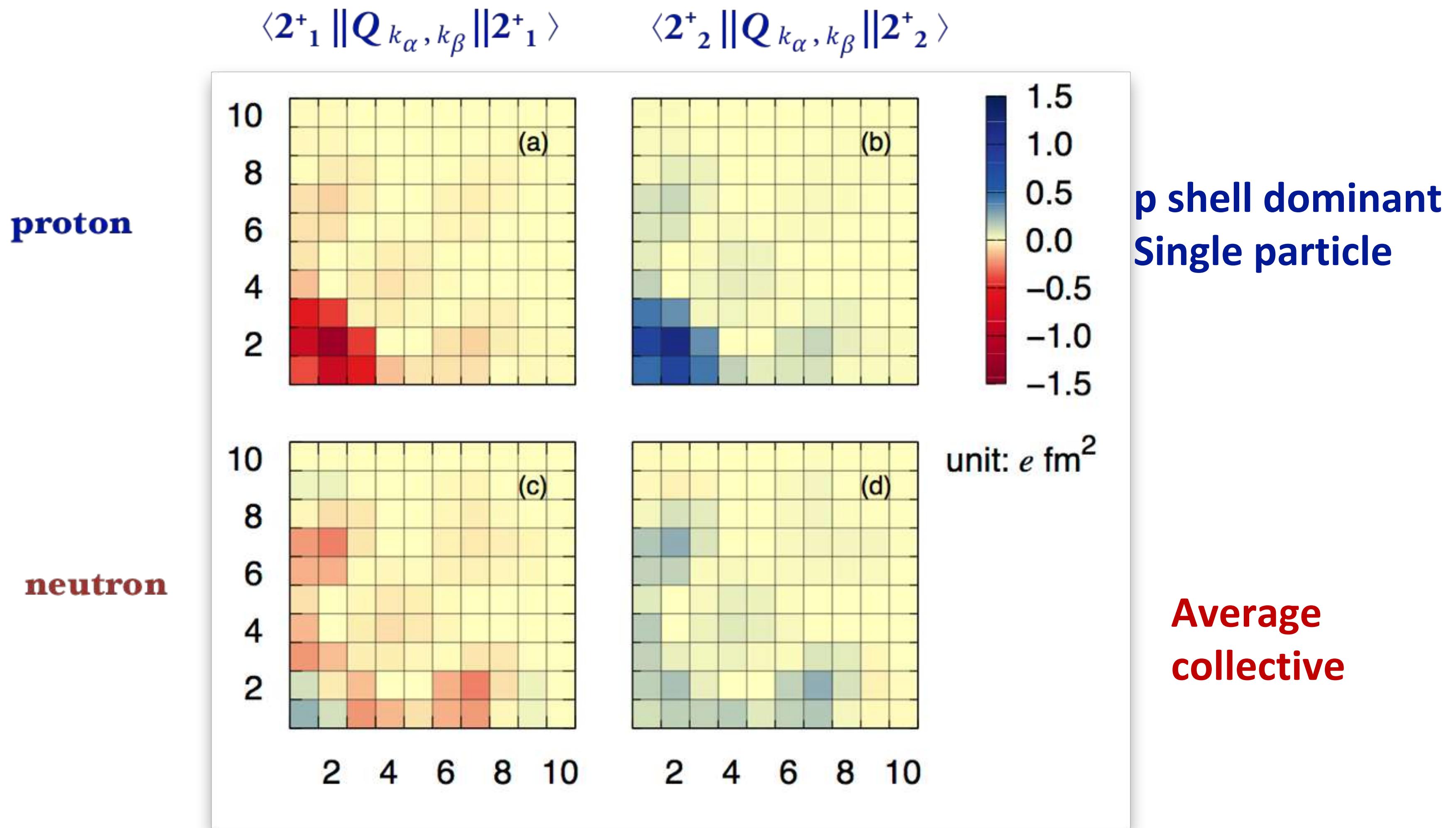


$$\hat{Q} = \sum_{k_\alpha, k_\beta} \hat{Q}_{k_\alpha, k_\beta}$$

$$\hat{Q}_{k_\alpha, k_\beta} = \sum_{m_\alpha, m_\beta} \langle \alpha | \hat{Q} | \beta \rangle a_\alpha^\dagger a_\beta$$

**0s1/2, 0p3/2, 0p1/2, 0d5/2, 0d3/2, 1s1/2, 0f7/2, 0f5/2, 1p3/2 and 1p1/2**

# Contribution of Single Particle Orbit to Q



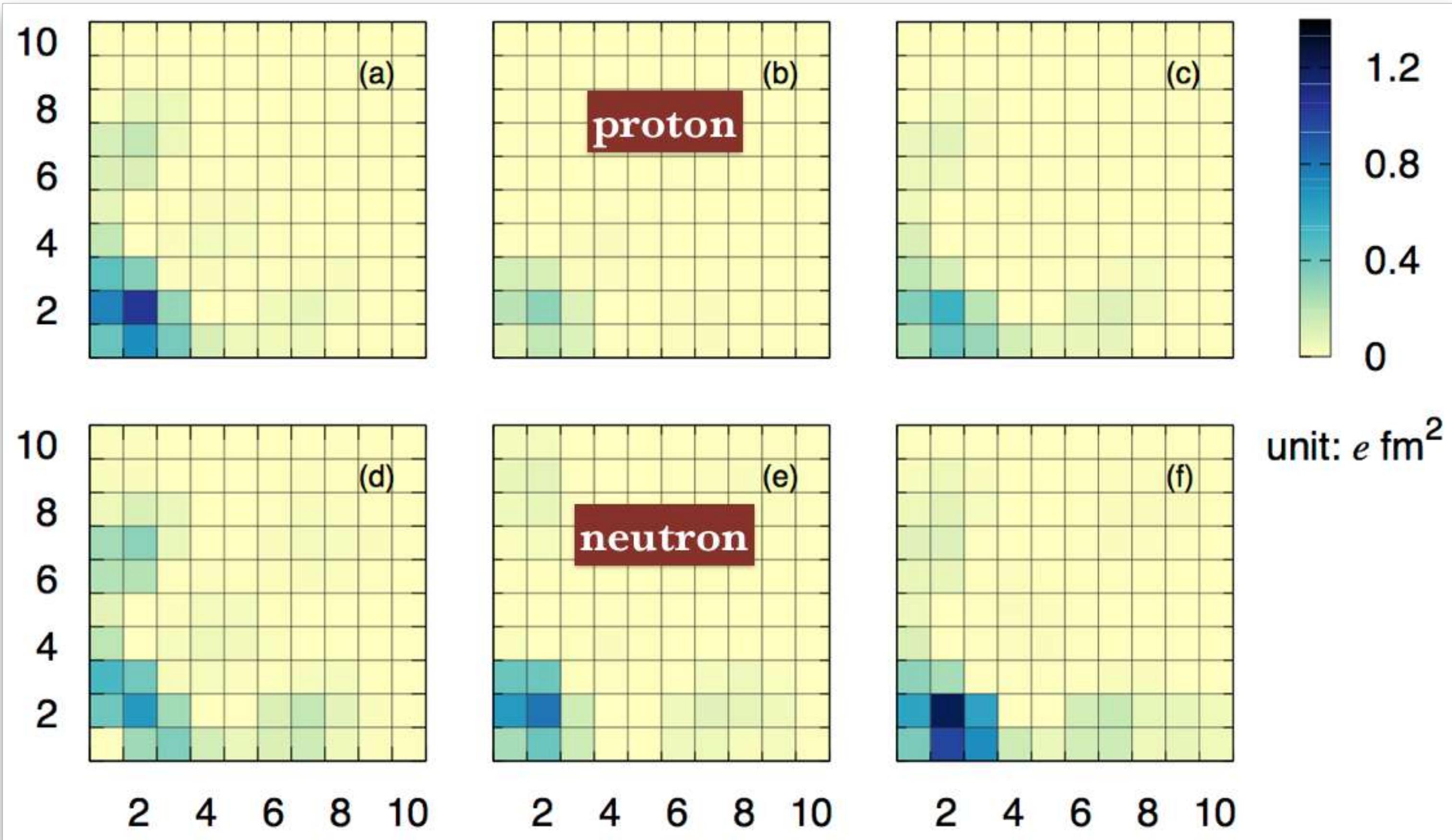
**0s1/2, 0p3/2, 0p1/2, 0d5/2, 0d3/2, 1s1/2, 0f7/2, 0f5/2, 1p3/2 and 1p1/2**

# Contribution of Single Particle Orbit to E2

$$\langle \mathbf{0}^+_1 \| Q_{k_\alpha, k_\beta} \| \mathbf{2}^+_1 \rangle$$

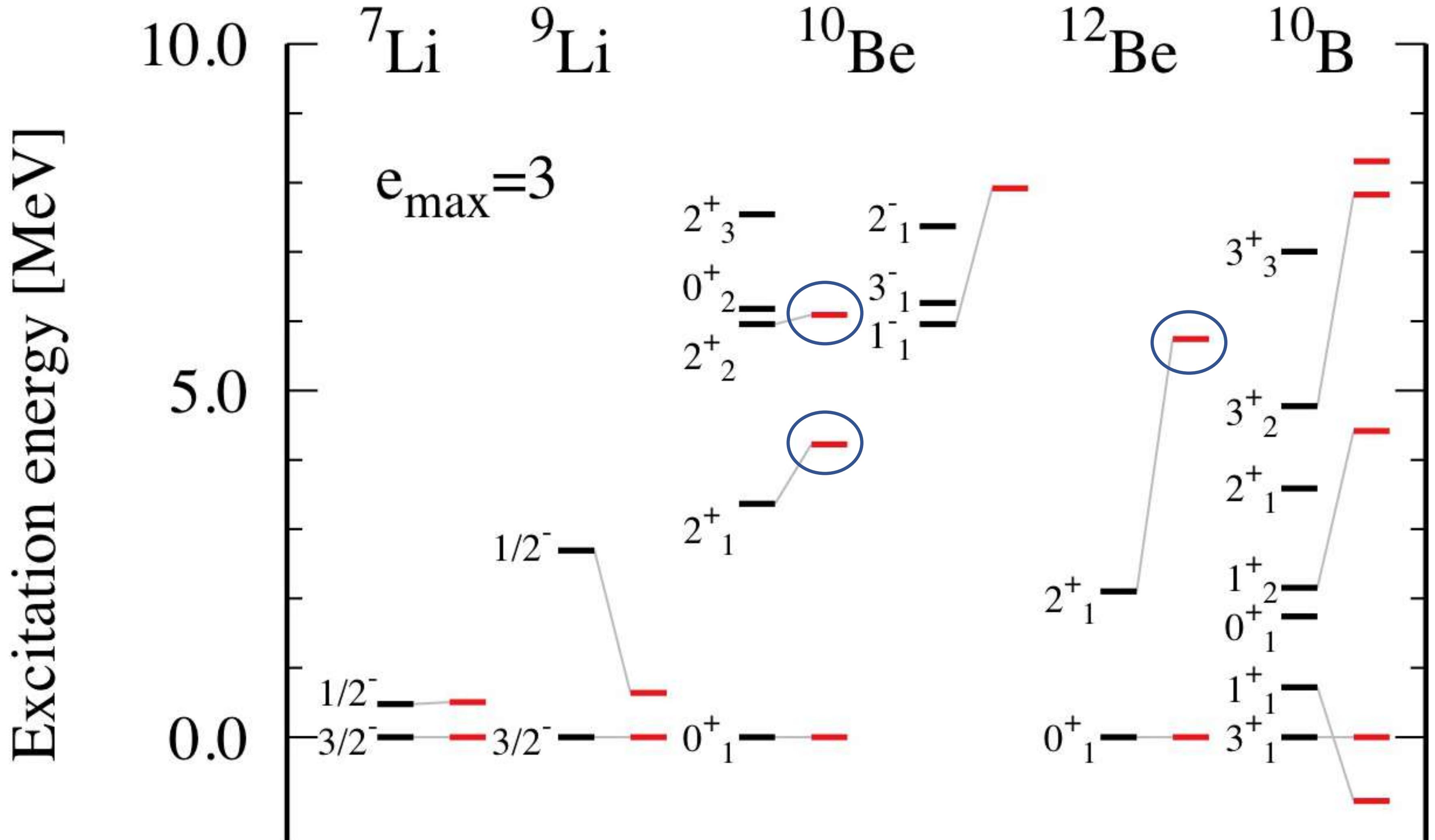
$$\langle \mathbf{0}^+_1 \| Q_{k_\alpha, k_\beta} \| \mathbf{2}^+_2 \rangle$$

$$\langle \mathbf{2}^+_1 \| Q_{k_\alpha, k_\beta} \| \mathbf{2}^+_2 \rangle$$

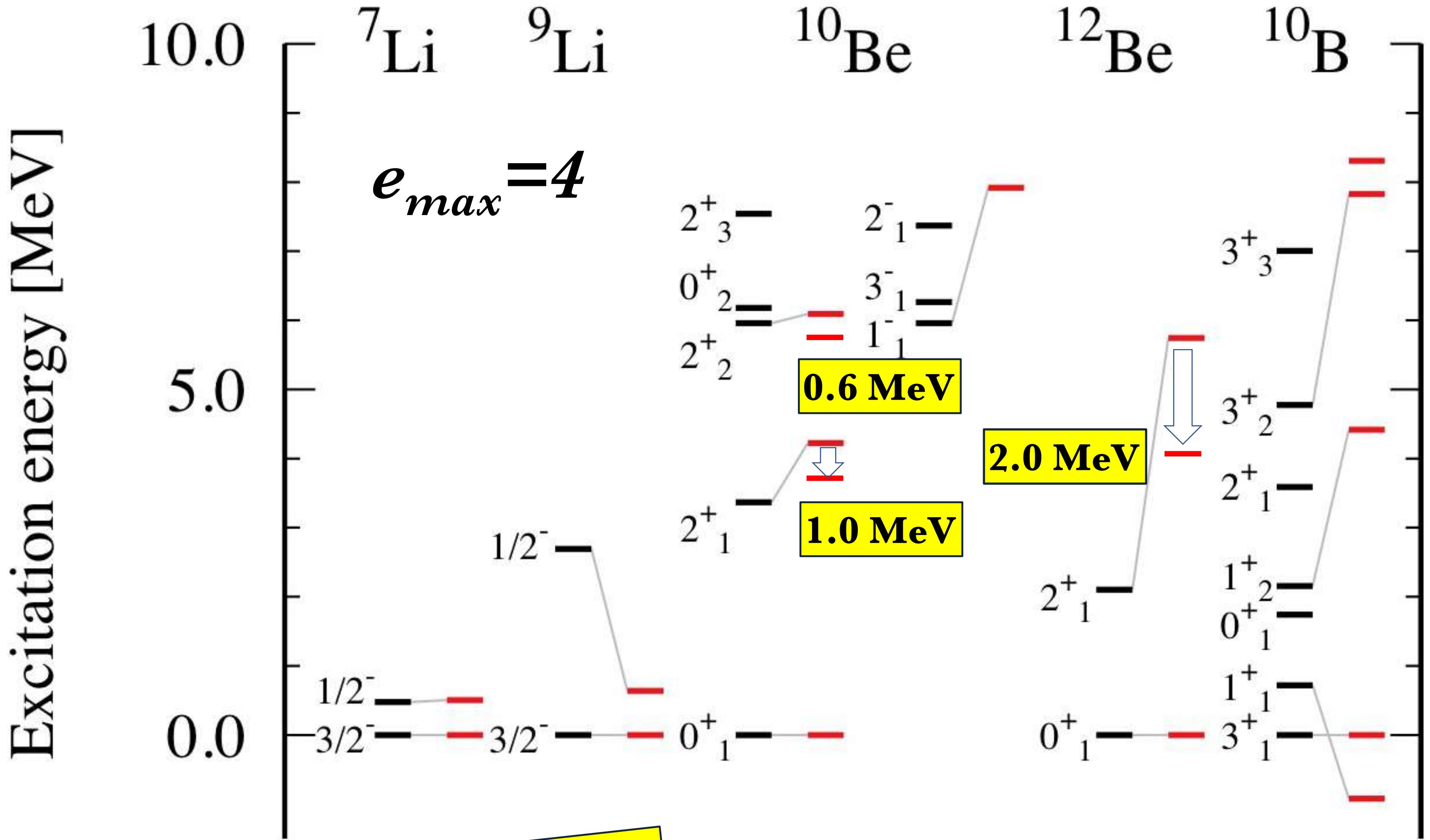


**0s1/2, 0p3/2, 0p1/2, 0d5/2, 0d3/2, 1s1/2, 0f7/2, 0f5/2, 1p3/2 and 1p1/2**

# Low-lying Spectra for Light Nuclei

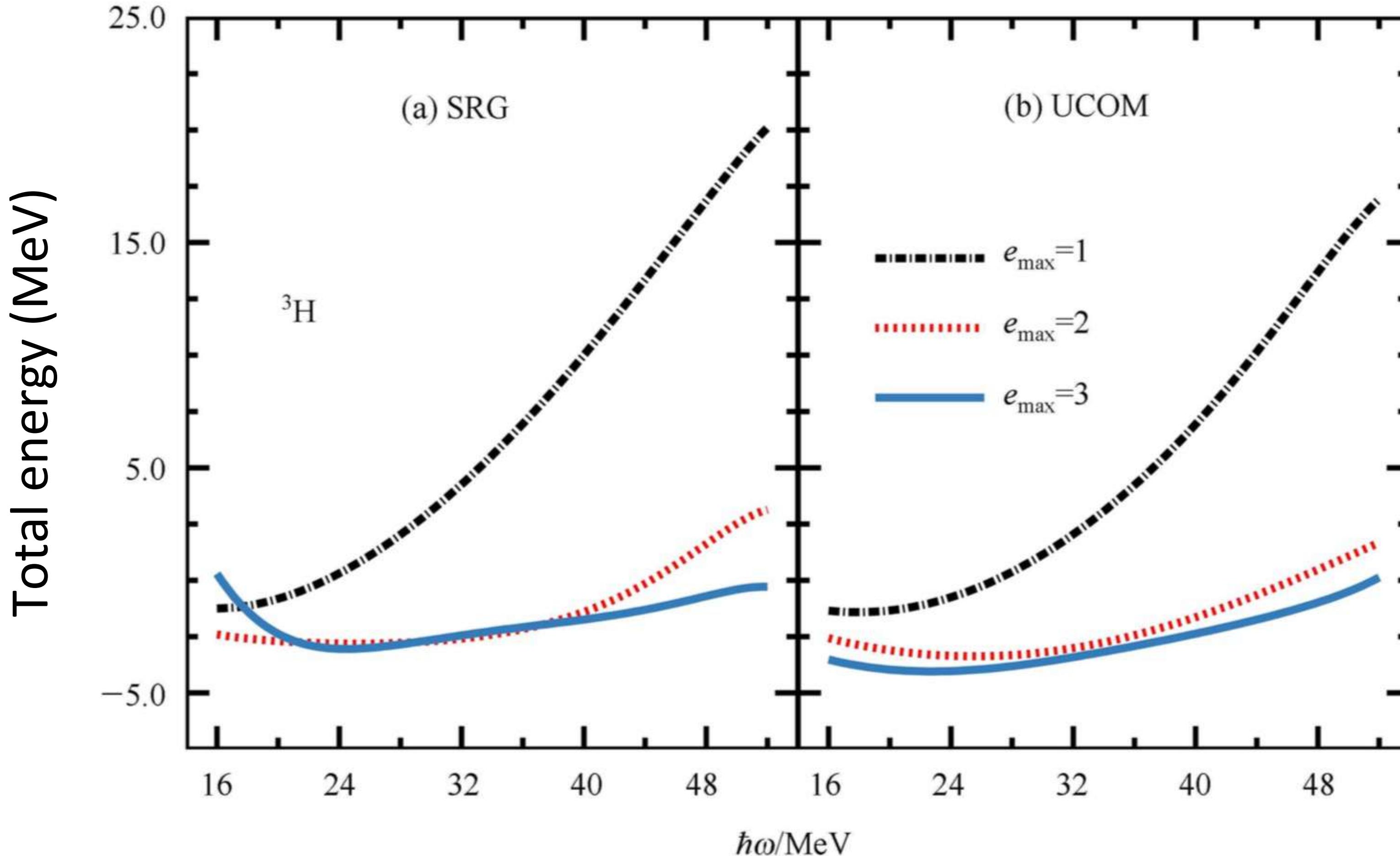


# Low-lying Spectra for Light Nuclei



Preliminary results in  $e_{max}=4$

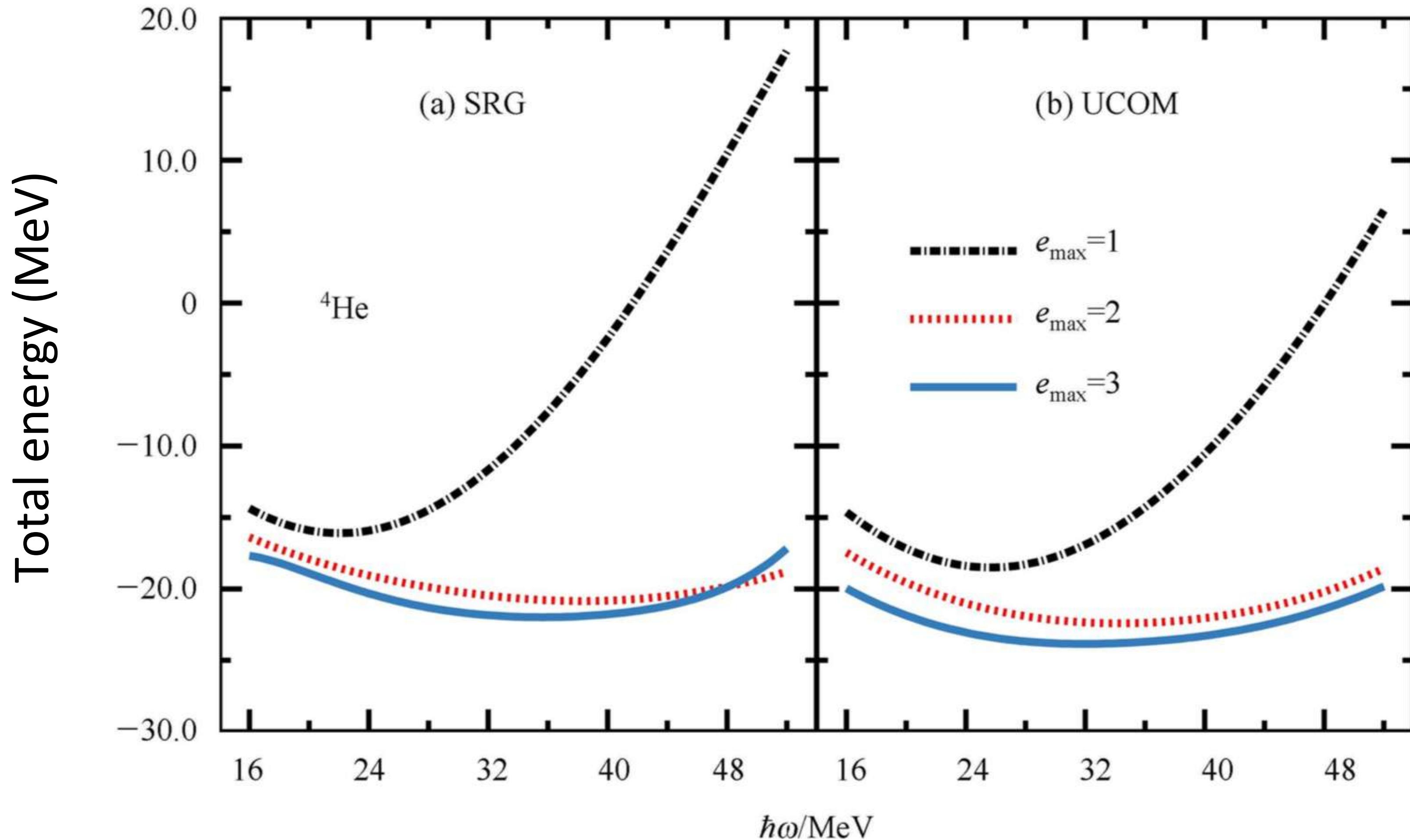
# SRG vs UCOM



$$\alpha = 0.02 \text{ fm}^4$$
$$\lambda = 2.66 \text{ fm}^{-1}$$

L. Liu, 2015

# SRG vs UCOM



# Benchmark with shell model – $^3\text{H}$

**Model space:  $e_{\max}=1$  (2 major shells)**

**interaction:  $\text{V}_{\text{UCOM}}(\text{N}^3\text{LO})$**

$^3\text{H}$	E (MeV)		Occupation number					
			0s1/2	0p3/2	0p1/2	0s1/2	0p3/2	0p1/2
Shell model	-1.9019	0.9422	0.0167	0.0411	1.9172	0.0345	0.0482	
MCSM	1	-1.8215	0.9431	0.0150	0.0420	1.9203	0.0315	0.0482
	2	-1.8230	0.9411	0.0162	0.0427	1.9164	0.0342	0.0494
	3	-1.8402	0.9421	0.0158	0.0421	1.9164	0.0345	0.0491
	4	-1.8939	0.9417	0.0163	0.0421	1.9179	0.0332	0.0489
	5	-1.8990	0.9425	0.0159	0.0416	1.9166	0.0341	0.0492
	6	-1.9000	0.9424	0.0164	0.0412	1.9166	0.0351	0.0482
	7	-1.9016	0.9423	0.0167	0.0410	1.9175	0.0344	0.0481
	8	-1.9016	0.9423	0.0167	0.0410	1.9175	0.0344	0.0481
	9	-1.9018	0.9422	0.0167	0.0411	1.9173	0.0345	0.0483
	10	-1.9019	0.9422	0.0167	0.0411	1.9172	0.0345	0.0482

# Benchmark with shell model – ${}^4\text{He}$

**Model space:  $e_{\max}=1$  (2 major shells)**

**interaction:  $\text{V}_{\text{UCOM}}(\text{N}^3\text{LO})$**

${}^4\text{He}$	E (MeV)		Occupation number					
			0s1/2	0p3/2	0p1/2	0s1/2	0p3/2	0p1/2
Shell model	-20.0399	1.9348	0.0180	0.0472	1.9348	0.0180	0.0472	
MCSM	1	-19.5891	1.9518	0.0097	0.0385	1.9518	0.0097	0.0385
	2	-19.8433	1.9456	0.0140	0.0404	1.9456	0.0140	0.0404
	3	-20.0267	1.9378	0.0169	0.0453	1.9378	0.0169	0.0453
	4	-20.0378	1.9347	0.0179	0.0474	1.9347	0.0179	0.0474
	5	-20.0398	1.9345	0.0181	0.0474	1.9345	0.0181	0.0474
	6	-20.0398	1.9347	0.0181	0.0472	1.9347	0.0181	0.0472
	7	-20.0399	1.9349	0.0179	0.0472	1.9349	0.0179	0.0472
	8	-20.0399	1.9348	0.0180	0.0472	1.9348	0.0180	0.0472