## **Sequence Generation Tasks**

Machine Learning for Natural Language Processing, ENSAE 2022

Lecture 6

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## **Lectures Outline**

- 1. The Basics of Natural Language Processing (February 1st)
- 2. Representing Text with Vectors (February 1st)
- 3. Deep Learning Methods for NLP (February 8th)
- 4. Language Modeling (February 8th)
- 5. Sequence Labelling (Sequence Classification) (February 15th)
- 6. Sequence Generation Tasks (February 15th)

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#### **Our goal is to estimate:**

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#### We frame it as a classification task:

$$\hat{y_t} = argmax_{y \in V'} p_{\theta}(y|(x_1, ..., x_T), (y_t, ..., y_{t-1})) \ \forall \ t \in [|1, T'|]$$

## **Sequence Generation Tasks**

- Machine Translation
- Summarization
- Question Answering

## **Machine Translation**

Given a sequence in one language → translate it in another language

Cats eat mice → Les chats mangent les souris

A lot of parrallel data for this task from and to English

Harder to Translate *Hawaiian* to *Swiss German* than *English* to *French* 

## **Machine Translation**

**Evaluating Sequence Generation Tasks Automatically is Challenging** 

Two Translations can be as good as each other

#### **BLEU SCORE:**

- N-Gram-Based Evaluation metric
- It measures how much of the n-gram in the prediction appear in the reference translation
- 1 means the prediction is the same as the gold translation
- **0** means there is no n-gram in common

## **Summarization**

#### Source Text

An officer, responding to reports of a suspicious person, shot and killed an unarmed man who was running around in a metro atlanta apartment complex naked. the officer fired two shots when the man charged at him, said cedric alexander, the public safety director of dekalb county. but given that the man was not carrying a weapon, the police department immediately turned over the case to the georgia bureau of investigations for an independent probe. "what i have requested here. A result of what 's going on currently across this country as it relates to police shootings," alexander told reporters. the officer was white; the deceased man was african-american, alexander said, the incident took place monday afternoon at an apartment complex in chamblee, a suburb of atlanta, someone called 911 to report a man "acting deranged, knocking on doors and crawling around naked," alexander said, when the officer arrived, the man charged at him, alexander said. "the officer called him to stop while stepping backward, drew his weapon and fired two shots," he said, the man, struck twice in the upper body, died.

#### Reference Summary

Police: officer fired two shots when the man charged at him. The case was immediately turned over to the gbi.

## **Summarization Evaluation**

Evaluating Summarization models is challenging

A Standard Metric is the ROUGE score

It measures how much the words (and/or n-grams) in the human reference summaries appeared in the machine generated summaries.

## **Design Questions**

- **★** What output activation function and loss?
- **★** What architecture?
- ★ How do you represent a token to feed the model?

NB: Questions to ask for all NLP tasks modeled with Deep Learning

## **Design Questions**

**★** What output activation function and loss?

**Softmax and Cross Entropy** 

**★** What architecture?

**★** How do you represent a token to feed the model?

## What Architecture?

$$p_{\theta}(y_t|(x_1,..,x_T),(y_t,..,y_{t-1}))$$

We want to model an output sequence conditioned on an input sequence

With deep learning, we do that with: a encoder-decoder model

**NB:** also called "sequence to sequence" or "seq2seq"

$$p_{\theta}(y_t|(x_1,..,x_T),(y_t,..,y_{t-1}))$$

#### Intuition:

- We know how to model a single sequence at a time with a DL model E.g. with a LSTM or a Transformer
- Here we want to model two sequences together
   One conditioned on the other

$$p_{\theta}(y_t|(x_1,..,x_T),(y_t,..,y_{t-1}))$$

#### Intuition:

- We know how to model a single sequence at a time with a DL model E.g. with a LSTM or a Transformer
- Here we want to model two sequences together
   One conditioned on the other
- → Combine two Deep Learning Architectures together

$$p_{\theta}(y_t|(x_1,..,x_T),(y_t,..,y_{t-1}))$$

## **Encode** input sequence

$$enc_{\theta_e}: V^T \to \mathbb{R}^T$$

$$(x_1, ..., x_T) \mapsto (h_1, ..., h_T)$$

$$p_{\theta}(y_t|(x_1,..,x_T),(y_t,..,y_{t-1}))$$

#### **Encode** input sequence

**Decode target sequence** given hidden states of the encoder

$$enc_{\theta_e}$$
:

$$(x_1, ..., x_T) \mapsto (h_1, ..., h_T)$$

$$dec_{\theta_d}: \mathbb{R}^T \times V'^t \rightarrow [0,1]^V$$

$$((h_1,..,h_T), (y_1,..,y_t)) \mapsto \hat{p}$$

How to integrate (h1,..hT) in the decoder ?

→ It depends what architecture is chosen for the *encoder* and the *decoder* 

How to integrate (h1,..hT) in the decoder ?

- → It depends what architecture is chosen for the encoder and the decoder
- RNN encoder-decoder (possibly with an Attention Mechanism)
- Transformer Model

**Recall:** Vanilla RNN with L' and time step sequence of length T'

$$h_{i+1,t+1} = \varphi_i(W_i h_{i,t} + U_i h_{i+1,t} + b_i), \forall i \in [|1, L' - 1|]$$
 with  $h_{1,t} = Emb(y_t)$  and  $p_{t+1} = h_{L',t+1} \ \forall t \in [|1, T' - 1|]$  with  $\varphi_{L'} = softmax$ 

Given  $(x_1, ..., x_T)^T$  and  $(y_1, ..., y_t) \in V'^{T'}$ , we predict  $\hat{p}_{t+1}$ , distribution over V' with:

$$\begin{aligned} h_{dec,i+1,t+1} &= \varphi_i(W_i'h_{dec,i,t} + U_i'h_{dec,i+1,t} + b_i' + \overline{V_ih_{enc,L+1,T+1}}), \forall \ i \in [|1,L'|] \\ & \text{with } \ h_{dec,1,t} = Emb(y_t) \ \text{ and } \ p_{t+1}^{\hat{}} = h_{dec,L'+1,t+1} \ \ \forall \ t \in [|1,T'|] \\ & \text{with } \ \varphi_{L'} = softmax \end{aligned}$$

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- Decoder of with L' layer, with parameters W'i, U'i, b', Vi for all i
- It decodes sequentially the target sequence

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- Decoder of with L' layer, with parameters W'i, U'i, b', Vi for all i
- It decodes sequentially the target sequence
- It is conditioned on the input sequence through the encoding output

Given  $(x_1,..,x_T)^T$  and  $(y_1,..,y_t) \in V'^{T'}$ , we predict  $\hat{p}_{t+1}$ , distribution over V' with:

#### **Decoder**

$$h_{dec,i+1,t+1} = \varphi_i(W_i'h_{dec,i,t} + U_i'h_{dec,i+1,t} + b_i' + V_ih_{enc,L+1,T+1}), \forall i \in [|1,L'|]$$
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#### Encoder: also a RNN that encodes the input sequence in a fixed vector

$$h_{enc,i+1,t+1} = \varphi_i(W_i h_{enc,i,t} + U_{enc,i} h_{i+1,t} + b_{enc,i})$$

$$\forall i \in [|1,L|] \ \forall t \in [|1,T|] \ \text{with} \ h_{enc,1,t} = Emb(x_t)$$

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Given  $(x_1,..,x_T)^T$  and  $(y_1,..,y_t) \in V'^{T'}$ , we predict  $\hat{p}_{t+1}$ , distribution over V' with:

#### **Decoder**

$$h_{dec,i+1,t+1} = \varphi_i(W_i'h_{dec,i,t} + U_i'h_{dec,i+1,t} + b_i' + V_ih_{enc,L+1,T+1}), \forall i \in [|1,L'|]$$
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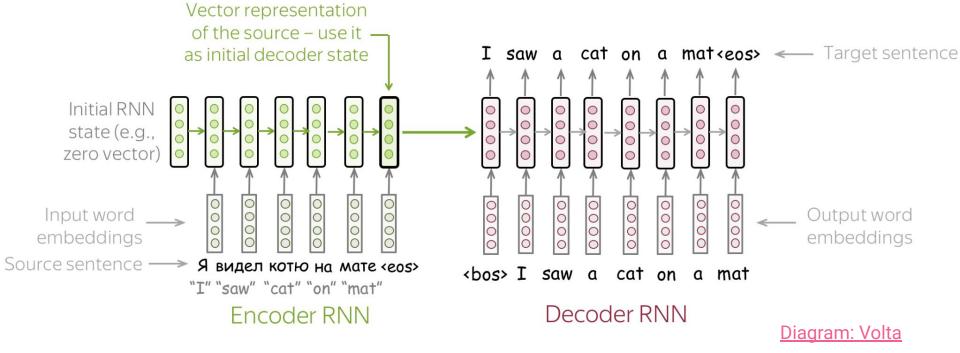
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$$\forall i \in [|1,L|] \ \forall t \in [|1,T|] \ \text{with} \ h_{enc,1,t} = Emb(x_t)$$

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#### Simple RNN-based Encoder-Decoder Model:



## The Encoder-Decoder Training

#### With Backpropagation

- 1. We feed the model with both the input and output sequence
- 2. We compute the loss based on the "gold" output sequence
- 3. We update all the parameters of the model with backpropagation

Limits: At test time in the encoder-decoder that we introduced The input sequence has a fixed representation ( $h_{enc,L+1,T+1}$  is fixed)

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#### **Example:**

Je vois un chat sur un matelas ⇒ I see a cat on a mat

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#### **Example:**

Je vois un chat sur un matelas  $\Rightarrow$  I see a cat on a mat

Step 4:

Given: Je vois un chat sur un matelas ⇒ cat

Step 7:

Given: Je vois un chat sur un matelas ⇒ mat

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#### **Example:**

Je vois un chat sur un matelas ⇒ I see a cat on a mat

#### Step 4:

Given: Je vois un chat sur un matelas ⇒ cat

Step 7:

Given: Je vois un chat sur un matelas ⇒ mat

→ We need "decoding-dependant" representation of the input sequence 32

## How to build more flexible encoder-decoder?

#### **Solution 1:**

Integrate an Attention Mechanism in a RNN-based encoder-decoder

#### **Solution 2:**

Use an Encoder-Decoder Transformer

NB: We detail only the latter solution

## The Transformer Encoder-Decoder

#### Recall: A Transformer encoder is a stack of

- Self-Attention Layer that builds a contextual representation of the input sequence
- Feed-Forward Layer

## **Recall: Self-Attention Layers**

Given a sequence of input vectors  $X=(x_1,..,x_T)\in\mathbb{R}^{\delta}$  (noted  $H=(h_{0,1},..,h_{0,T})$ ).

We build 3 new vectorial representation of our sequence  $H = (h_1, ..., h_T)$ ).

The query  $Q = (q_1, ..., q_T)$ , the key  $K = (k_1, ..., k_T)$  and the value  $V = (v_1, ..., v_T)$  vectors.

$$\tilde{H} = softmax(\frac{QK^T}{\sqrt{\delta_K}})V$$

i.e. 
$$\tilde{h}_t = softmax(\frac{q_t K^T}{\sqrt{\delta_K}}).V = \sum_{t'} s_{t'} v_{t'} \text{ with } s_{t'} = \frac{e^{q_{t'}k_t}}{\sum_t e^{q_{t'}k_t}}$$

## The Transformer Encoder-Decoder

#### A Transformer Encoder-Decoder is:

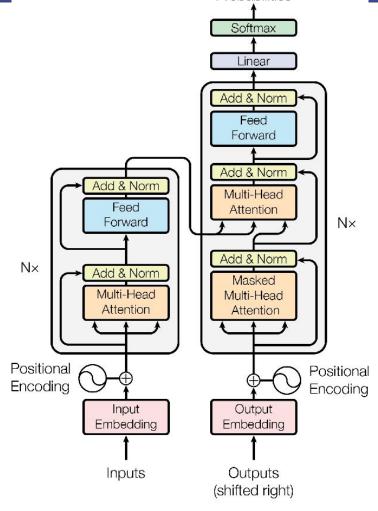
- A Transformer Encoder
- Plugged to a Transformer Decoder

## The Transformer Encoder-Decoder

#### A Transformer Encoder-Decoder is:

- A Transformer Encoder
- Plugged to a Transformer Decoder
- The connection between both being made with the self-attention layers

# Transformer Encoder-Decoder

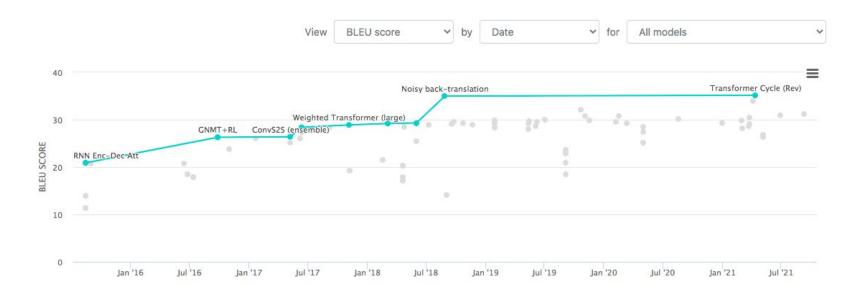


Output

**Probabilities** 

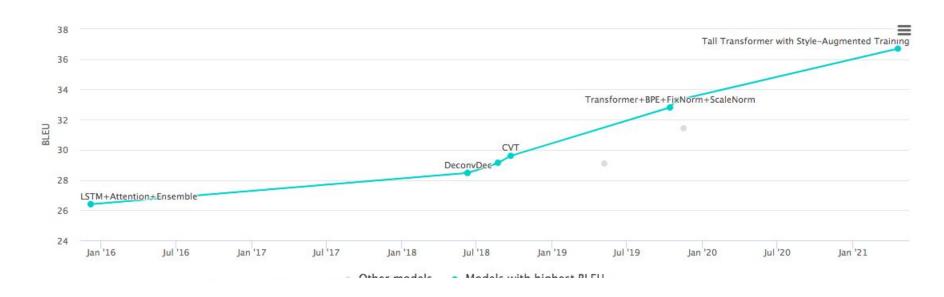
## **Performance in Machine Translation**

#### **English To German**



## **Performance in Machine Translation**

#### **English To Vietnamese**



## **Extension of the Encoder-Decoder Paradigm**

#### **Transfer Learning**

 Similarly to BERT, it is possible to pretrain an encoder-decoder using some language-model-based objective (cf. BART, T5)

#### Tasks:

 We have seen how to use an encoder-decoder for sequence generation tasks: We can also use encoder-decoder for sequence labeling/classification tasks (simply predict labels as tokens) (cf. T5)

## **Lecture Summary**

#### **Encoder-Decoder Approach**

- RNN-based
- Transformers

## **Perspectives**

# Scaling Pretraining (model size, amount of data, modalities) leads to impressive empirical progress

- Better Task-Specific Performance for most NLP tasks
- Better Task-Specific Few-Shot Performance
- Better Cross-Lingual Transfer Performance

## **Many Challenges Remain**

- Integrate "Knowledge Base" with Large scale Language Models
- Potential Harmful Uses of those models (hateful content, biases...)
- "Update" Language Models efficiently
- Speed at test time & Cost of pretraining
- Evaluating Generative Models