





## Emotion Recognition in Textual Conversations

Empowering NLP from IQ to EQ

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Motivation - Why do we need

emotion recognition?

## Left and Right Brain

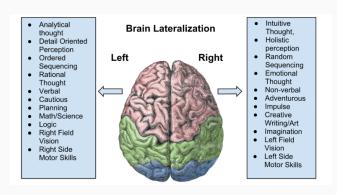


Figure 1: Brain Lateralization[9]

## Example Customer Requests - Conversation Bot

Sadly my Mediabox delivery didn't contain a remote control. - SAD

I have got my Mediabox delivery. Is the remote control not contained anymore? - CURIOUS

You did not send me the remote control for my Mediabox! - ANGER

### Machine Personas - Conversation Bot

We are sorry for your inconvenience. Please tell us your ID so we can help you. - medium potential of cross selling basic product

Please tell us your ID so we can look that up for you. - high potential of cross selling premium product

We are sorry that you are experiencing this inconvenience. Please tell us your ID so we can solve this issue for you. - low potential of cross selling  ${\sf Solve}$ 

## Assistance and Conversational Bots

#### Conversation with Meena

Human: I like how cute cows are

**Meena**: Ah, interesting. You like how they are cute, right?

Human: yeah, and they're realy smart

Meena: I heard they go to college

Human: cows go to college?

Meena: I heard that a cow went to Harvard.

**Human**: what did the cow study?

Meena: Bovine sciences.

**Human**: do horses go to Harvard? **Meena**: Horses go to Hayvard.

Human: that's a pretty good joke, I feel like you led me into it

Meena: You were trying to steer it elsewhere, I can see it.

## Content generation

The spaceship was flying erratically, something was wrong... The force of the anomaly soon halted the rocket's fall and caused the engine to grind to a halt, while the ship's hull began to fracture from the side as the force of the anomaly turned the shield generator into a debris of debris. The ship's contents settled. "You're fine, I'm sure..." "I can hear your heartbeat, you're fine, but it might take a moment for it to reach my ears..." As they sat, a passing shuttle floated past. Or the ones we were on, at least. Did it know about us? The missile fired right through the shuttle. They reached for the pilot, and realized their presence was painfully obvious. A blast ripped through the shuttle, and the pilot went airborne, crashing.

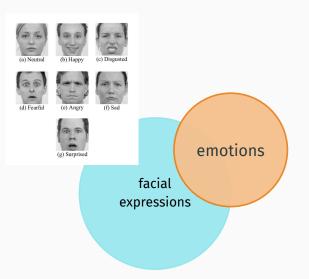
Source: Talk to Transformers[7]

# Challenges - Why textual emotion recognition is a hard task?

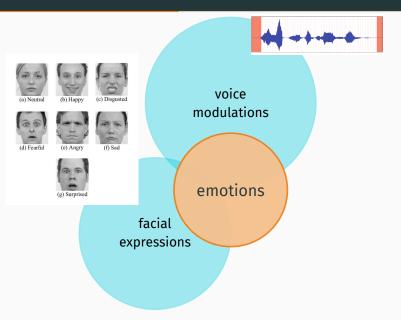
## Channels and Data Sources [5, 11]



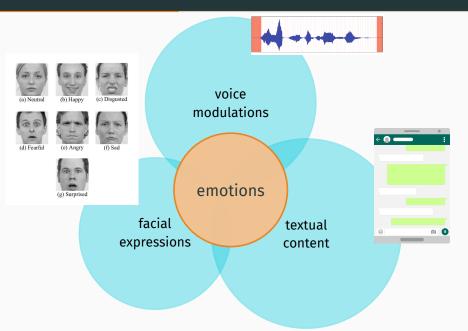
## Channels and Data Sources [5,11]



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## **Emotion Model**



Figure 2: 2D valence-arousal emotion space - Russell 1980 [14]

dimensional model vs. categorical mode fine-grained model vs. availability of data

## **Emotion Model**

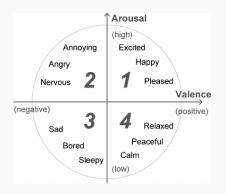


Figure 2: 2D valence-arousal emotion space - Russell 1980 [14]

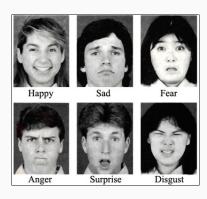


Figure 3: Six Basic Emotions - Ekman 1992 [3]

dimensional model vs. categorical model

fine-grained model vs. availability of data

## **Emotion Model**



Figure 2: 2D valence-arousal emotion space - Russell 1980 [14]

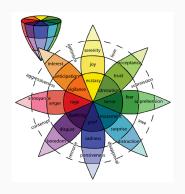


Figure 3: Wheel of emotions - Plutchik 1980 [12]

dimensional model vs. categorical model fine-grained model vs. availability of data

## [...]

A: Trump won the election?

B: Yeah!

[...]

A: Trump won the election?

B: Yeah.

[...]

A: Trump won the election?

B: Yeah!

[...] 

— context is crucial for emotion recognition

A: Trump won the election?

B: Yeah!

## Challenges - Datasets

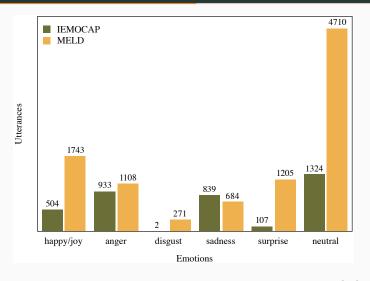


Figure 4: MELD and IEMOCAP multimodal multi-party datasets [13]

## Challenges - Emotion Shifts

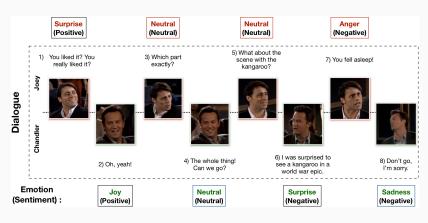


Figure 5: example dialogue from MELD dataset [10]

Current State - What solutions

exist?

## Theoretical Framework

#### Data

- sequence  $[(u_1, p_1), (u_2, p_2), ...]$ 
  - utterances u<sub>i</sub>
  - spoken by party  $p_i$
- perhaps: background information
  - personalities
  - topic

#### Task

- identify emotion  $e_i$  of each utterance  $u_i$ 
  - $e_i \in \{E_1, \ldots, E_N\}$
  - $e_i \in \mathbb{R}^{6}$

real time classification vs. beneficial use of future utterances

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## SemEval 2019 Task 3

	SemEval 2019 Task 3 - Data example
Conversational Agent:	I just qualified for the Nabard internship WOOT! Thats great news. Congratulations! I started crying
Label:	happy

**Table 2:** Examples showing influence of context in determining emotion of last utterance[1]

#### Context Aware Bert

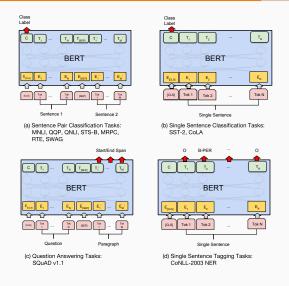
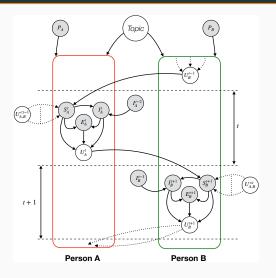


Figure 6: Bert Fine-tuning[2]

## DialogueGCN - Context Approach



**Figure 7:** P represents personality,U represents utterance, S represents interlocutor state, I represents interlocutor intent, E represents emotion and Topic represents topic of the conversation[4]

## DialogueGCN - Model

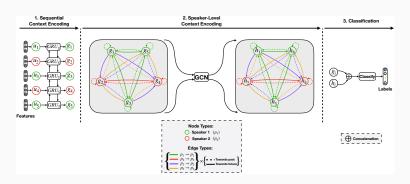


Figure 8: DialogueGCN Model, [4]

## DialogueGCN - 3 Parts

## DialogueGCN [4]

#### 3 Parts

- Sequential Context Encoding ← (Glove with 1D CNN to Features & Bi-directional RNN)
- Speaker-Level Context Encoding  $\leftarrow$  (2-layer GCN,  $f(H^i, A) = \sigma(AH^iW^i)$ )
- Classification ←
   (Similarity-based Attention &
   Fully Connected)

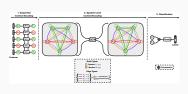


Figure 9: Model Architecture

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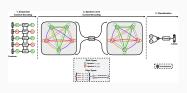


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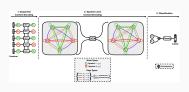
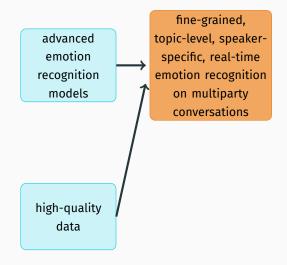
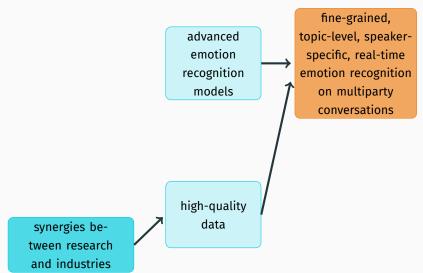


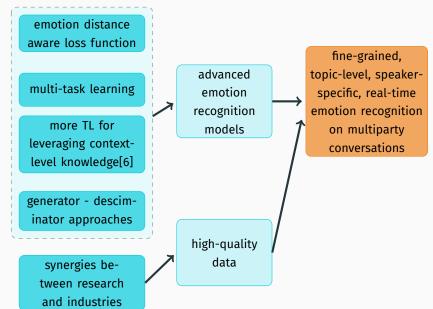
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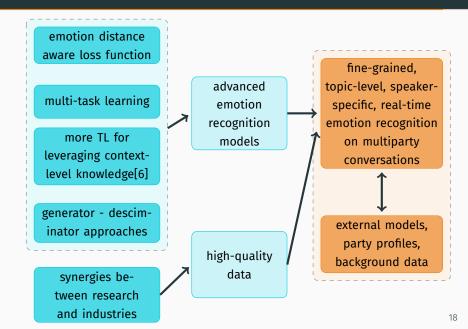
## Conclusion - What are future perspectives?

fine-grained,
topic-level, speakerspecific, real-time
emotion recognition
on multiparty
conversations









## Let's stay in touch



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Questions?

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