

# COMS 331: Theory of Computing, Spring 2023

## Homework Assignment 10

Neha Maddali

Due at 10:00PM, Wednesday, April 26, on Gradescope.

### Problem 64.

Let  $q, r \in \mathbb{Q}$  be rational numbers with  $0 \leq q \leq r \leq 1$ . Let  $M_1$  be a TM that on input  $\langle s_k, \pi \rangle$  outputs  $x0^k$ . Then let  $M_2$  be a TM that on input  $\langle s_k, \pi' \rangle$  outputs  $z$  such that  $z = U(\pi)$  up to  $|U(\pi)| - k$  bits. Then,  $U(\pi') = x0^k$  implies that  $M_2(\langle s_k, \pi' \rangle) = x$ . Using the Theorem from lecture  $C(x) \leq C_M(x) + c_M$ , for  $M_1$  we have  $C(x0^k) \leq C_{M_1}(x0^k) + c_{M_1}$ , which is  $C(x0^k) \leq |\langle s_k, \pi \rangle| + c_{M_1}$ , which is  $C(x0^k) \leq 2\log(n+1) + C(x) + c_{M_1}$  where  $C(x)$  comes from  $U(\pi) = x$ . Likewise, for  $M_2$ , we have  $C(x) \leq C_{M_2}(x) + c_{M_2}$ , which is  $C(x) \leq |\langle s_k, \pi' \rangle| + c_{M_2}$ , which is  $C(x) \leq 2\log(n+1) + C(x0^k) + c_{M_2} + 1$ , where  $C(x0^k)$  comes from  $U(\pi') = x0^k$ . So  $|C(x0^k) - C(x)| \leq 2\log(n+1) + c$ . Now, we define  $J = \{n | 2(2\log(n+1) + c + a) < (r - q)n\}$  where some  $a$  is from the statement  $C(x) \leq |x| + a$ . Then, from Corollary 6 in lecture, for all  $n$  there exists some  $y \in \{0, 1\}^n$  such that  $C(y) \geq |y|$ . Now, let  $x = y0^k$  where  $|x| = n$  (where  $n \in J$ ),  $C(y) \geq |y|$  and  $|y| = m$ . Then, there exists some  $m$  such that  $qn < m - (2\log(n+1) + c + a) < m + (2\log(n+1) + c + a) < rn$ . Now we have  $|C(y0^k) - C(y)| \leq 2\log(n+1) + c$ , which is  $|C(x) - C(y)| \leq 2\log(n+1) + c$ . Then, we have  $C(x) \geq C(y) - (2\log(n+1) + c)$  which is  $C(x) \leq C(y) + (2\log(n+1) + c)$ , which after substitutions gives  $C(x) < m + 2\log(n+1) + c + a$ . Then also,  $C(x) > m - 2\log(n+1) + c + a$ . By showing  $C(x)$  is greater than and less than those expressions, it follows from a previous statement in the proof that  $qn < C(x) < rn$ . ■

### Problem 65.

Let  $(f, g)$  be a lossless data compression scheme. Let us assume that  $|f(x)| < |x|$  for all  $x \in \{0, 1\}^n$ . The number of strings with length less than  $n$  is  $\sum_{i=1}^{n-1} 2^i = 2^n - 1$ . The number of strings  $x \in \{0, 1\}^n = 2^n$ . For  $f$  to be lossless it must be one to one, but we can see that there are less  $f(x)$  than  $x$ , so  $f$  by definition cannot be one to one. Therefore, since  $f$  is not one to one,  $f$  is not lossless. This is a contradiction, so there must be a string  $x \in \{0, 1\}^n$  such that  $|f(x)| \geq |x|$ . ■

### Problem 66.

Let  $(f, g)$  be a lossless data compression scheme. From a theorem discussed in lecture, we have  $C(x) \leq C_M(x) + c_M$ . Then we can construct a TM  $M$  that on input  $f(x)$  outputs  $g(f(x))$ . This is possible because  $f$  and  $g$  are computable functions. Then  $C_M(x) = |f(x)|$  so we have  $C(x) \leq |f(x)| + c_M$ . Let  $c_{(f,g)} = c_M$ , and we have  $C(x) \leq |f(x)| + c_{(f,g)}$ . ■

### Problem 67.

Let  $(f, g)$  be a lossless data compression scheme. For the sake of contradiction, assume that there only exist finitely many  $x$  such that  $C(x) < |f(x)|$ . So there are  $x$  where  $C(x) \geq |f(x)|$ . Then there must be some  $n$  such that  $|x| = n$  and then all  $x \in \{0, 1\}^n$  have  $C(x) \geq |f(x)|$ . Then let  $f'(x)$  be 0 if

$|x| = n$  and  $|f(x)|$  otherwise. Then we have  $f'(x) \leq C(x)$ . By theorem 11 in lecture, if  $f'$  is a lower bound of  $C$ , then  $f'$  is bounded. This implies that there exists some  $m \in N$  such that  $f'(x) \leq m$  for all  $x \in \{0,1\}^*$ . Then let  $a = \max\{n, m+1\}$ . For all  $x \in \{0,1\}^a$ ,  $|f(x)| = f'(x) \leq m < |x|$ , which implies that  $|f(x)| < |x|$ . This is a contradiction to problem 65, and if  $|f(x)| < |x|$  were true, then  $f$  is not lossless. Since  $f$  is lossless, there exist infinitely many strings  $x \in \{0,1\}^*$  such that  $C(x) < |f(x)|$ . ■

### Problem 68.

We know that  $\text{diam}(G) = \max\{d_G(s,t) | s,t \in V\}$ . When constructing the TM we will use the idea that we have points  $s, t, v_i$  where  $v_i$  is a point between  $s$  and  $t$ . Then for  $v \in \{00,01,10\}^n$  the first bit represents an edge between  $s$  and  $v_i$  and the second bit represents an edge between  $v_i$  and  $t$ . A 1 means there is an edge, and a 0 means there is no edge. Then, we can construct a TM  $M$  to have input  $\langle s_n, s_s, s_t, x_H, \pi_v \rangle$  where  $n = |V|$ ,  $s$  and  $t$  are points,  $x_H$  is the sub graph without points  $s$  or  $t$  and  $U(\pi_v) = v$ . With this input, we can output the graph  $x_G$ . From a theorem discussed in lecture,  $C(x_G) \leq C_M(x_G) + c_M$ , which is  $C(x_G) \leq |\langle s_n, s_s, s_t, x_H, \pi_v \rangle| + c_M$  which by definition of their encodings gives  $C(x_G) \leq |0^{s_n}10^{s_s}10^{s_t}1s_n s_s s_t x_H \pi_v| + c_M$ . This is equivalent to  $C(x_G) \leq 2|s_n| + 2|s_s| + 2|s_t| + 3 + |x_H| + |\pi_v| + c_M$ . Replacing the lengths with their values we have  $C(x_G) \leq 6\log(n+1) + 3 + \binom{n-2}{2} + n(\log 3) + 2\log(n+1) + c_b + c_M$ , and when we rearrange the terms and let  $c = c_b + c_M$  we get  $C(x_G) \leq \binom{n-2}{2} + 3 + n(\log 3) + 8\log(n+1) + c$ , where  $\binom{n-2}{2} + 3 + n(\log 3) + 8\log(n+1) + c < \binom{n}{2} - (2 - \log 3)n + 8\log(n+1) + c_c$ , so it follows that  $C(x_G) \leq \binom{n}{2} - (2 - \log 3)n + 8\log(n+1) + c$ . ■

### Problem 69.

If  $\text{diam}(G) = 1$ , then  $C(x_G) \leq \log(n+1) + c_a$ . From problem 68, we know that if  $\text{diam}(G) > 2$ , then  $C(x_G) \leq \binom{n-2}{2} - (2 - \log 3)n + 8\log(n+1) + c_c$ . We can see that  $\binom{n}{2} - \frac{2}{5}n > \log(n+1) + c_a$  because  $\log(n+1) + c_a$  will converge while  $\binom{n}{2} - \frac{2}{5}n$  will grow at a faster rate, so we can conclude that  $\text{diam}(G) \neq 1$  and  $\text{diam}(G) \geq 2$ . Then we see that  $\binom{n}{2} - \frac{2}{5}n > \binom{n}{2} - (2 - \log 3)n + 8\log(n+1) + c_c$  where we can cancel out the  $\binom{n}{2}$  and rearrange the terms to get  $(2 - \log 3)n - \frac{2}{5}n > 8\log(n+1) + c_c$ , which by doing some math gives  $\frac{n}{100} > 8\log(n+1) + c_c$ . This implies that  $\text{diam}(G) \leq 2$ . Since  $\text{diam}(G) \geq 2$  and  $\text{diam}(G) \leq 2$ , we can conclude that  $\text{diam}(G) = 2$ . ■

### Problem 70.

Theorem 5 from lecture states that  $\text{Prob}[C(x) \geq n - r] > 1 - 2^{-r}$ . From problem 69, we know  $\text{diam}(G) = 2$  which implies that  $C(x_G) \geq \binom{n}{2} - \frac{2}{5}n$  so we have  $\text{Prob}[\text{diam}(2)] = \text{Prob}[C(x_G) \geq \binom{n}{2} - \frac{2}{5}n] > 1 - 2^{-\frac{2}{5}n}$  where  $n = \binom{n}{2}$  and  $r = \frac{2}{5}n$ . ■