

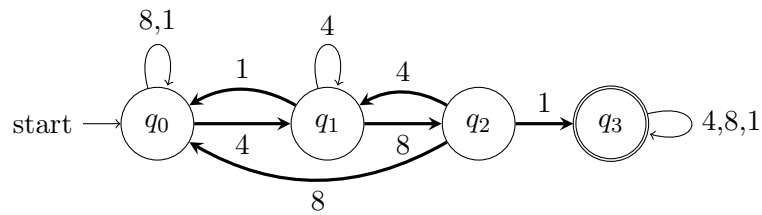
# COMS 331: Theory of Computing, Spring 2023

## Homework Assignment 2

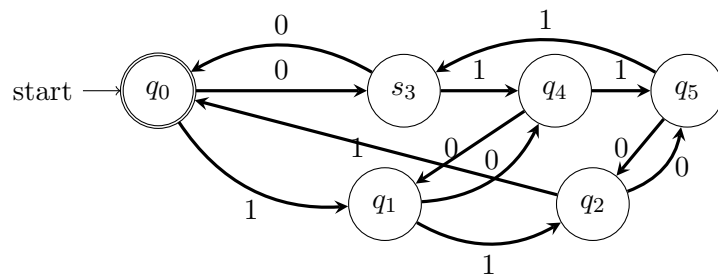
Neha Maddali

Due at 10:00PM, Thursday, February 9, on Gradescope.

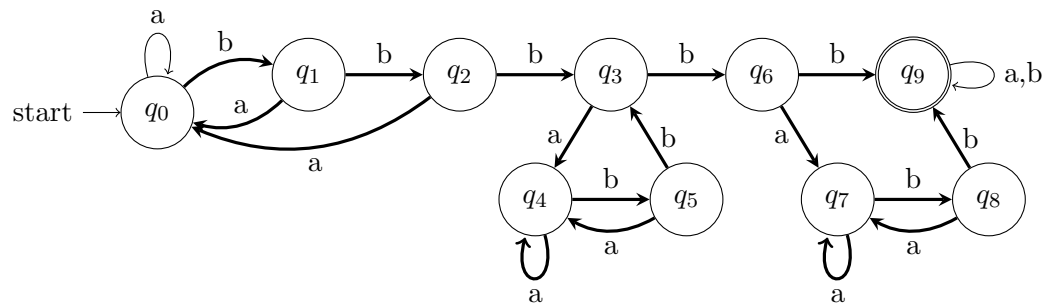
**Problem 8. DFA:**



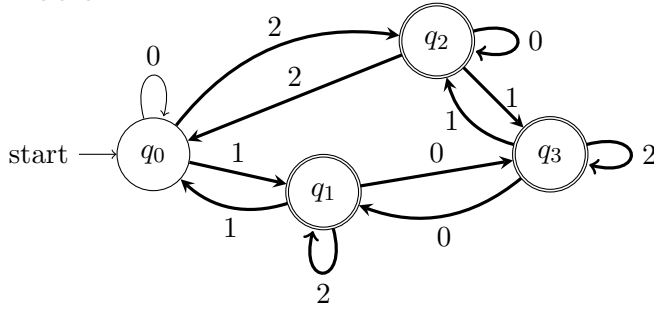
**Problem 9. DFA:**



**Problem 10. DFA:**



**Problem 11.** DFA:



**Problem 12.**

Proof by induction on  $y$ .

BASE CASE: Let  $y = \epsilon$ . On the left side, we have  $\hat{\delta}(q, x\epsilon)$ , which is  $\hat{\delta}(q, x)$ . On the right side, we have  $\hat{\delta}(\hat{\delta}(q, x), \epsilon)$  and since the null string means the state doesn't change, we have  $\hat{\delta}(q, x)$ . Then  $\hat{\delta}(q, x) = \hat{\delta}(q, x)$

INDUCTION HYPOTHESIS: Assume  $\hat{\delta}(q, xy) = \hat{\delta}(\hat{\delta}(q, x), y)$  holds for  $y \in \Sigma^*$ .

INDUCTION STEP: Let  $x, y \in \Sigma^*, q \in Q$  and assume that the induction hypothesis is true. Then we will show that the result holds for  $ya$  where  $a \in \Sigma$ . Then on the left side we have  $\hat{\delta}(q, xy a) = \delta(\hat{\delta}(q, xy), a)$  and by the induction hypothesis,  $\delta(\hat{\delta}(\hat{\delta}(q, x), y), a) = \hat{\delta}(\hat{\delta}(q, x), ya)$  which is what we are trying to prove. ■

**Problem 13.** Prove that every full prefix-free language is maximal.

Let  $A$  be some full prefix-free language that is not maximal. Since  $A$  is full, we have  $\sum_{x \in A} 2^{-|x|} = 1$ . By the definition of "maximal," this means that  $A$  is a proper subset of another prefix-free language, and we can call that prefix-free language  $B$  and  $B$  is also maximal. Then there must be some string  $y$  such that  $A \cup \{y\} = B$ . Then we have  $\sum_{x \in A} 2^{-|x|} + 2^{-|y|}$  which is  $> 1$  because  $1 + 2^{-|y|} > 1$ . This is a contradiction because for  $B$  to be a prefix-free language,  $\sum_{x \in B} 2^{-|x|} \leq 1$  by the Kraft inequality, but  $\sum_{x \in B} 2^{-|x|} > 1$  because  $\sum_{x \in A} 2^{-|x|} + 2^{-|y|} > 1$ . Thus every full prefix-free language is maximal. ■

**Problem 14.** How many DFAs  $M = (Q, \Sigma, \delta, s, F)$  are there with  $\Sigma = \{0, 1\}$  and  $Q = \{1, 2, \dots, n\}$ ?

Number of possible start states:  $n$ . This is because there are  $n$  states and any one of them can be a start state.

Number of possible final states:  $2^n$ . This is because when we consider the combination of all possible final states (0 final states, then 1 final state, all the way up to  $n$  final states), that summation is the  $n^{th}$  row of Pascal's triangle, which sums up to  $2^n$ . It's basically the cardinality of the power set of  $Q$ .

Number of possible transitions:  $n^{2n}$ . This is because  $\delta$  is defined as a function that maps  $Q \times \Sigma \rightarrow Q$  and  $|Q| = n$  and  $|\Sigma| = 2$ . Each of the  $n$  states have 2 symbols that could map to any of the  $n$  states, resulting in  $n^{2n}$ .

Total DFAs:  $n * 2^n * n^{2n} = n^{2n+1} * 2^n$

**Problem 15.** Prove that there is a language  $A \subseteq \{0, 1\}^*$  with both of the following properties:

- (i) For all  $x \in A$ ,  $|x| \leq 5$ .

(ii) Every DFA that decides  $A$  has more than 8 states.

Let us define a language  $L$  where  $L = \{z \in \{0, 1\}^* \mid \text{for every DFA } M \text{ that decides } z, \text{ the } \# \text{ of states in } M \text{ is } > 8\}$ .

Let us show that language  $L$  satisfies property i.  $L$  allows for us to include strings of length 5 or less. There are  $2^0 + 2^1 + 2^2 + 2^3 + 2^4 + 2^5 = 63$  strings of length  $\leq 5$ , so property i is satisfied. 63 strings of length  $\leq 5$  means there are  $2^{63}$  languages satisfying property i.

Let us show that language  $L$  satisfies property ii. Prove using contradiction. Suppose there exists a DFA  $M$  with states  $\leq 8$  that decides  $L$ . Using the formula from problem 14, there are  $8^{2(8)+1} \cdot 2^8 = 2^{59}$  DFAs with 8 states. Because of  $2^{59} < 2^{63}$ , there is at least one language that satisfies property i that doesn't satisfy property ii, so a contradiction is made and property ii is proved.

There is a language  $A \subseteq \{0, 1\}^*$  with properties i and ii. ■