## **Fully-Connected Neural Nets**

In the previous homework you implemented a fully-connected two-layer neural network on CIFAR-10. The implementation was simple but not very modular since the loss and gradient were computed in a single monolithic function. This is manageable for a simple two-layer network, but would become impractical as we move to bigger models. Ideally we want to build networks using a more modular design so that we can implement different layer types in isolation and then snap them together into models with different architectures.

In this exercise we will implement fully-connected networks using a more modular approach. For each layer we will implement a forward and a backward function. The forward function will receive inputs, weights, and other parameters and will return both an output and a cache object storing data needed for the backward pass, like this:

```
def layer_forward(x, w):
    """ Receive inputs x and weights w """
    # Do some computations ...
    z = # ... some intermediate value
    # Do some more computations ...
    out = # the output

cache = (x, w, z, out) # Values we need to compute gradients
    return out, cache
```

The backward pass will receive upstream derivatives and the cache object, and will return gradients with respect to the inputs and weights, like this:

```
def layer_backward(dout, cache):
    """

Receive dout (derivative of loss with respect to outputs) and cache,
    and compute derivative with respect to inputs.
    """

# Unpack cache values
    x, w, z, out = cache

# Use values in cache to compute derivatives
    dx = # Derivative of loss with respect to x
    dw = # Derivative of loss with respect to w
return dx, dw
```

After implementing a bunch of layers this way, we will be able to easily combine them to build classifiers with different architectures.

In addition to implementing fully-connected networks of arbitrary depth, we will also explore different update rules for optimization, and introduce Dropout as a regularizer and Batch/Layer Normalization as a tool to more efficiently optimize deep networks.

```
# As usual, a bit of setup
from __future__ import print_function
import time
import numpy as np
import matplotlib.pyplot as plt
from cs682.classifiers.fc net import *
from cs682.data utils import get_CIFAR10_data
from cs682.gradient check import eval numerical gradient, eval numeri
cal gradient array
from cs682.solver import Solver
%matplotlib inline
plt.rcParams['figure.figsize'] = (10.0, 8.0) # set default size of pl
plt.rcParams['image.interpolation'] = 'nearest'
plt.rcParams['image.cmap'] = 'gray'
# for auto-reloading external modules
# see http://stackoverflow.com/questions/1907993/autoreload-of-module
s-in-ipython
%load ext autoreload
%autoreload 2
def rel error(x, y):
  """ returns relative error """
  return np.max(np.abs(x - y) / (np.maximum(1e-8, np.abs(x) + np.abs(
y))))
```

The autoreload extension is already loaded. To reload it, use: %reload\_ext autoreload

## Affine layer: foward

Open the file cs682/layers.py and implement the affine\_forward function.

Once you are done you can test your implementaion by running the following:

```
In [85]: # Test the affine forward function
         num inputs = 2
         input shape = (4, 5, 6)
         output dim = 3
         input_size = num_inputs * np.prod(input_shape)
         weight size = output dim * np.prod(input shape)
         x = np.linspace(-0.1, 0.5, num=input_size).reshape(num_inputs, *input
         _shape)
         w = np.linspace(-0.2, 0.3, num=weight size).reshape(np.prod(input sha
         pe), output dim)
         b = np.linspace(-0.3, 0.1, num=output dim)
         out, _ = affine_forward(x, w, b)
         correct_out = np.array([[ 1.49834967,  1.70660132,  1.91485297],
                                  [ 3.25553199, 3.5141327, 3.77273342]])
         # Compare your output with ours. The error should be around e-9 or le
         SS.
         print('Testing affine_forward function:')
         print('difference: ', rel error(out, correct out))
```

Testing affine\_forward function: difference: 9.769847728806635e-10

## Affine layer: backward

Now implement the affine\_backward function and test your implementation using numeric gradient checking.

```
# Test the affine backward function
In [86]:
         np.random.seed(231)
         x = np.random.randn(10, 2, 3)
         w = np.random.randn(6, 5)
         b = np.random.randn(5)
         dout = np.random.randn(10, 5)
         dx num = eval numerical gradient array(lambda x: affine forward(x, w,
         b)[0], x, dout)
         dw_num = eval_numerical_gradient_array(lambda w: affine_forward(x, w,
         b)[0], w, dout)
         db num = eval_numerical_gradient_array(lambda b: affine_forward(x, w,
         b)[0], b, dout)
          _, cache = affine_forward(x, w, b)
         dx, dw, db = affine_backward(dout, cache)
         # The error should be around e-10 or less
         print('Testing affine backward function:')
         print('dx error: ', rel_error(dx_num, dx))
         print('dw error: ', rel_error(dw_num, dw))
         print('db error: ', rel_error(db_num, db))
```

Testing affine\_backward function: dx error: 5.399100368651805e-11 dw error: 9.904211865398145e-11 db error: 2.4122867568119087e-11

### **ReLU** activation: forward

Implement the forward pass for the ReLU activation function in the relu\_forward function and test your implementation using the following:

```
In [87]: # Test the relu forward function
         x = np.linspace(-0.5, 0.5, num=12).reshape(3, 4)
         out, _ = relu_forward(x)
         correct out = np.array([[ 0.,
                                                0.,
                                                            0.,
                                                                          0.,
         ],
                                 [ 0.,
                                                0.,
                                                            0.04545455,
                                                                          0.13
         636364,],
                                 [ 0.22727273, 0.31818182, 0.40909091,
                                                                         0.5,
         11)
         # Compare your output with ours. The error should be on the order of
         print('Testing relu forward function:')
         print('difference: ', rel_error(out, correct_out))
```

Testing relu\_forward function: difference: 4.999999798022158e-08

### **ReLU** activation: backward

Now implement the backward pass for the ReLU activation function in the relu\_backward function and test your implementation using numeric gradient checking:

```
In [88]: np.random.seed(231)
    x = np.random.randn(10, 10)
    dout = np.random.randn(*x.shape)

dx_num = eval_numerical_gradient_array(lambda x: relu_forward(x)[0],
    x, dout)

_, cache = relu_forward(x)
    dx = relu_backward(dout, cache)

# The error should be on the order of e-12
    print('Testing relu_backward function:')
    print('dx error: ', rel_error(dx_num, dx))
```

Testing relu\_backward function: dx error: 3.2756349136310288e-12

### **Inline Question 1:**

We've only asked you to implement ReLU, but there are a number of different activation functions that one could use in neural networks, each with its pros and cons. In particular, an issue commonly seen with activation functions is getting zero (or close to zero) gradient flow during backpropagation. Which of the following activation functions have this problem? If you consider these functions in the one dimensional case, what types of input would lead to this behaviour?

- 1. Sigmoid
- 2. ReLU
- 3. Leaky ReLU

### **Answer:**

[FILL THIS IN]

# "Sandwich" layers

There are some common patterns of layers that are frequently used in neural nets. For example, affine layers are frequently followed by a ReLU nonlinearity. To make these common patterns easy, we define several convenience layers in the file cs682/layer utils.py.

For now take a look at the affine\_relu\_forward and affine\_relu\_backward functions, and run the following to numerically gradient check the backward pass:

```
from cs682.layer utils import affine relu forward, affine_relu_backwa
In [89]:
         np.random.seed(231)
         x = np.random.randn(2, 3, 4)
         w = np.random.randn(12, 10)
         b = np.random.randn(10)
         dout = np.random.randn(2, 10)
         out, cache = affine relu forward(x, w, b)
         dx, dw, db = affine relu backward(dout, cache)
         dx_num = eval_numerical_gradient_array(lambda x: affine_relu_forward(
         x, w, b)[0], x, dout)
         dw num = eval numerical gradient array(lambda w: affine relu forward(
         x, w, b)[0], w, dout)
         db num = eval numerical gradient array(lambda b: affine relu forward(
         x, w, b)[0], b, dout)
         # Relative error should be around e-10 or less
         print('Testing affine relu forward and affine relu backward:')
         print('dx error: ', rel_error(dx_num, dx))
         print('dw error: ', rel_error(dw_num, dw))
         print('db error: ', rel error(db num, db))
```

Testing affine\_relu\_forward and affine\_relu\_backward:

dx error: 6.750562121603446e-11
dw error: 8.162015570444288e-11
db error: 7.826724021458994e-12

# **Loss layers: Softmax and SVM**

You implemented these loss functions in the last assignment, so we'll give them to you for free here. You should still make sure you understand how they work by looking at the implementations in cs682/layers.py.

You can make sure that the implementations are correct by running the following:

```
In [90]:
         np.random.seed(231)
         num classes, num inputs = 10, 50
         x = 0.001 * np.random.randn(num inputs, num classes)
         y = np.random.randint(num classes, size=num inputs)
         dx num = eval numerical gradient(lambda x: svm loss(x, y)[0], x, verb
         ose=False)
         loss, dx = svm loss(x, y)
         # Test svm loss function. Loss should be around 9 and dx error should
         be around the order of e-9
         print('Testing svm_loss:')
         print('loss: ', loss)
         print('dx error: ', rel error(dx num, dx))
         dx num = eval numerical gradient(lambda x: softmax loss(x, y)[0], x,
         verbose=False)
         loss, dx = softmax loss(x, y)
         # Test softmax loss function. Loss should be close to 2.3 and dx erro
         r should be around e-8
         print('\nTesting softmax loss:')
         print('loss: ', loss)
         print('dx error: ', rel error(dx num, dx))
```

Testing svm\_loss:

loss: 8.999602749096233

dx error: 1.4021566006651672e-09

Testing softmax\_loss: loss: 2.302545844500738

dx error: 9.384673161989355e-09

## Two-layer network

In the previous assignment you implemented a two-layer neural network in a single monolithic class. Now that you have implemented modular versions of the necessary layers, you will reimplement the two layer network using these modular implementations.

Open the file cs682/classifiers/fc\_net.py and complete the implementation of the TwoLayerNet class. This class will serve as a model for the other networks you will implement in this assignment, so read through it to make sure you understand the API. You can run the cell below to test your implementation.

```
In [91]: | np.random.seed(231)
         N, D, H, C = 3, 5, 50, 7
         X = np.random.randn(N, D)
         y = np.random.randint(C, size=N)
         std = 1e-3
         model = TwoLayerNet(input dim=D, hidden dim=H, num classes=C, weight
         scale=std)
         print('Testing initialization ... ')
         W1 std = abs(model.params['W1'].std() - std)
         b1 = model.params['b1']
         W2 std = abs(model.params['W2'].std() - std)
         b2 = model.params['b2']
         assert W1 std < std / 10, 'First layer weights do not seem right'</pre>
         assert np.all(b1 == 0), 'First layer biases do not seem right'
         assert W2 std < std / 10, 'Second layer weights do not seem right'</pre>
         assert np.all(b2 == 0), 'Second layer biases do not seem right'
         print('Testing test-time forward pass ... ')
         model.params['W1'] = np.linspace(-0.7, 0.3, num=D*H).reshape(D, H)
         model.params['bl'] = np.linspace(-0.1, 0.9, num=H)
         model.params['W2'] = np.linspace(-0.3, 0.4, num=H*C).reshape(H, C)
         model.params['b2'] = np.linspace(-0.9, 0.1, num=C)
         X = np.linspace(-5.5, 4.5, num=N*D).reshape(D, N).T
         scores = model.loss(X)
         correct scores = np.asarray(
           [[11.53165108, 12.2917344,
                                          13.05181771, 13.81190102,
                                                                      14.571984
         34, 15.33206765, 16.09215096],
            [12.05769098, 12.74614105,
                                         13.43459113, 14.1230412,
                                                                       14.811491
         28, 15.49994135, 16.18839143],
                           13.20054771, 13.81736455, 14.43418138,
            [12.58373087,
                                                                      15.050998
         22, 15.66781506, 16.2846319 11)
         scores diff = np.abs(scores - correct scores).sum()
         assert scores_diff < 1e-6, 'Problem with test-time forward pass'</pre>
         print('Testing training loss (no regularization)')
         y = np.asarray([0, 5, 1])
         loss, grads = model.loss(X, y)
         correct loss = 3.4702243556
         assert abs(loss - correct_loss) < 1e-10, 'Problem with training-time</pre>
          loss'
         model.reg = 1.0
         loss, grads = model.loss(X, y)
         correct loss = 26.5948426952
         assert abs(loss - correct loss) < 1e-10, 'Problem with regularization
         loss'
         # Errors should be around e-7 or less
         for reg in [0.0, 0.7]:
           print('Running numeric gradient check with reg = ', reg)
           model.reg = reg
           loss, grads = model.loss(X, y)
           for name in sorted(grads):
```

```
f = lambda _: model.loss(X, y)[0]
  grad_num = eval_numerical_gradient(f, model.params[name], verbose
=False)
  print('%s relative error: %.2e' % (name, rel_error(grad_num, grad s[name])))
```

```
Testing initialization ...

Testing test-time forward pass ...

Testing training loss (no regularization)

Running numeric gradient check with reg = 0.0

W1 relative error: 1.22e-08

W2 relative error: 3.48e-10

b1 relative error: 6.55e-09

b2 relative error: 4.33e-10

Running numeric gradient check with reg = 0.7

W1 relative error: 8.18e-07

W2 relative error: 7.98e-08

b1 relative error: 1.09e-09

b2 relative error: 7.76e-10
```

### Solver

In the previous assignment, the logic for training models was coupled to the models themselves. Following a more modular design, for this assignment we have split the logic for training models into a separate class.

Open the file cs682/solver.py and read through it to familiarize yourself with the API. After doing so, use a Solver instance to train a TwoLayerNet that achieves at least 50% accuracy on the validation set.

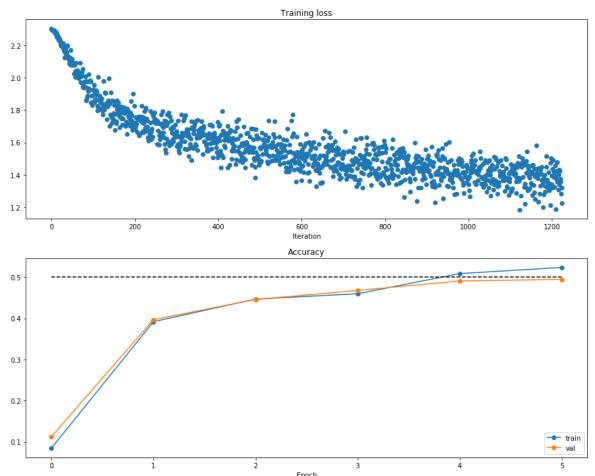
```
best model = None
In [45]:
       best val = -1
       best lr = -1
       best reg = -1
       best hidden = -1
       numIters = 20
       #########
       # TODO: Use a Solver instance to train a TwoLayerNet that achieves at
       least #
       # 50% accuracy on the validation set.
       #########
       for i in range(numIters):
          lr = np.random.uniform(1e-5,1e-3)
          reg = np.random.uniform(1e-5,1e-3)
          hidden = np.random.randint(50,200)
          model = TwoLayerNet(hidden dim = hidden, req= req)
          solver = Solver(model, data, update rule='sqd', optim config={'le
       arning rate':lr},
                          lr decay=0.95, num epochs=5,batch size=200, p
       rint every=-1, verbose=False)
          solver.train()
          val accuracy = solver.best val acc
          if best val < val accuracy:</pre>
              best val = val accuracy
              best model = solver
              best lr = lr
              best reg = reg
              best hidden = hidden
          # Print results
          print('lr %e reg %e hid %d val accuracy: %f' % (
                    lr, req, hidden, val accuracy))
       print('Validation accuracy is best for lr: %f, reg: %f, hidden:%f, va
       l:%f' % (best lr, best reg, best hidden, best val))
       #########
       #
                               END OF YOUR CODE
       #########
```

```
lr 9.429590e-04 reg 5.998232e-04 hid 116
                                          val accuracy: 0.480000
lr 8.515601e-04 reg 6.131602e-04 hid 145
                                          val accuracy: 0.500000
lr 6.401321e-04 reg 3.538991e-04 hid 110
                                          val accuracy: 0.483000
lr 9.355424e-04 reg 3.465179e-04 hid 184
                                          val accuracy: 0.503000
lr 6.009628e-04 reg 7.986801e-04 hid 59
                                         val accuracy: 0.470000
lr 7.229565e-04 reg 4.420495e-04 hid 82
                                         val accuracy: 0.493000
lr 9.884589e-04 reg 9.606388e-04 hid 78
                                         val accuracy: 0.486000
lr 6.449702e-04 reg 5.069017e-04 hid 143
                                          val accuracy: 0.495000
lr 6.704126e-04 reg 1.659895e-05 hid 157
                                          val accuracy: 0.496000
lr 9.311649e-04 reg 2.920767e-04 hid 50
                                         val accuracy: 0.478000
lr 6.470378e-04 reg 1.493073e-04 hid 82
                                         val accuracy: 0.480000
lr 2.703759e-04 reg 9.789474e-05 hid 68
                                         val accuracy: 0.449000
lr 9.493010e-04 reg 4.358053e-04 hid 189
                                          val accuracy: 0.502000
lr 5.451900e-04 reg 1.335273e-04 hid 66
                                         val accuracy: 0.472000
lr 3.220658e-04 reg 8.989677e-05 hid 167
                                          val accuracy: 0.454000
lr 6.378252e-04 reg 8.587759e-04 hid 115
                                          val accuracy: 0.482000
lr 4.530351e-04 reg 8.570048e-04 hid 109
                                          val accuracy: 0.472000
lr 6.451046e-04 reg 3.463458e-04 hid 160
                                          val accuracy: 0.498000
lr 8.327535e-04 reg 5.808080e-04 hid 124
                                          val accuracy: 0.488000
lr 6.354581e-04 reg 1.757688e-04 hid 150
                                          val accuracy: 0.495000
Validation accuracy is best for lr: 0.000936, reg: 0.000347, hidden:1
84.000000, val:0.503000
```

In [46]: # Run this cell to visualize training loss and train / val accuracy

plt.subplot(2, 1, 1)
plt.title('Training loss')
plt.plot(solver.loss\_history, 'o')
plt.xlabel('Iteration')

plt.subplot(2, 1, 2)
plt.title('Accuracy')
plt.plot(solver.train\_acc\_history, '-o', label='train')
plt.plot(solver.val\_acc\_history, '-o', label='val')
plt.plot([0.5] \* len(solver.val\_acc\_history), 'k--')
plt.xlabel('Epoch')
plt.legend(loc='lower right')
plt.gcf().set\_size\_inches(15, 12)
plt.show()



## Multilayer network

Next you will implement a fully-connected network with an arbitrary number of hidden layers.

Read through the FullyConnectedNet class in the file cs682/classifiers/fc\_net.py.

Implement the initialization, the forward pass, and the backward pass. For the moment don't worry about implementing dropout or batch/layer normalization; we will add those features soon.

### Initial loss and gradient check

As a sanity check, run the following to check the initial loss and to gradient check the network both with and without regularization. Do the initial losses seem reasonable?

For gradient checking, you should expect to see errors around 1e-7 or less.

```
In [101]: | np.random.seed(231)
          N, D, H1, H2, C = 2, 15, 20, 30, 10
          X = np.random.randn(N, D)
          y = np.random.randint(C, size=(N,))
          for reg in [0, 3.14]:
            print('Running check with reg = ', reg)
            model = FullyConnectedNet([H1, H2], input dim=D, num classes=C,
                                       reg=reg, weight scale=5e-2, dtype=np.floa
          t64)
            loss, grads = model.loss(X, y)
            print('Initial loss: ', loss)
            # Most of the errors should be on the order of e-7 or smaller.
            # NOTE: It is fine however to see an error for W2 on the order of e
            # for the check when reg = 0.0
            for name in sorted(grads):
              f = lambda _: model.loss(X, y)[0]
              grad num = eval numerical gradient(f, model.params[name], verbose
          =False, h=1e-5)
              print('%s relative error: %.2e' % (name, rel error(grad num, grad
          s[name])))
                if name == "W3":
          #
                    print('numeric:'+str(grad num[0])+' eval:'+ str(grads[name]
          [0]))
```

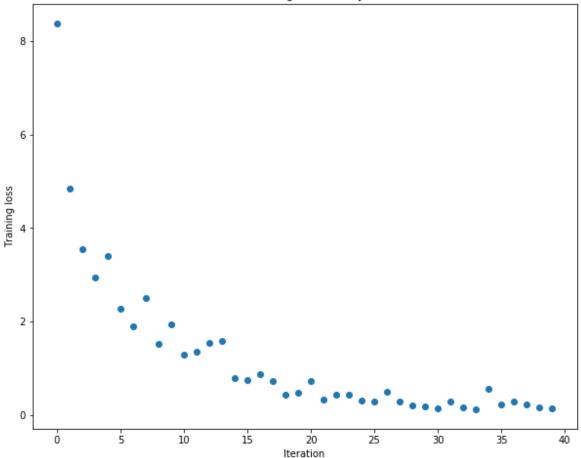
```
Running check with reg = 0
Initial loss: 2.3004790897684924
W1 relative error: 1.48e-07
W2 relative error: 2.21e-05
W3 relative error: 3.53e-07
b1 relative error: 5.38e-09
b2 relative error: 2.09e-09
b3 relative error: 5.80e-11
Running check with reg = 3.14
Initial loss: 7.052114776533016
W1 relative error: 6.86e-09
W2 relative error: 3.52e-08
W3 relative error: 1.32e-08
b1 relative error: 1.48e-08
b2 relative error: 1.72e-09
b3 relative error: 1.80e-10
```

As another sanity check, make sure you can overfit a small dataset of 50 images. First we will try a three-layer network with 100 units in each hidden layer. In the following cell, tweak the learning rate and initialization scale to overfit and achieve 100% training accuracy within 20 epochs.

```
# TODO: Use a three-layer Net to overfit 50 training examples by
# tweaking just the learning rate and initialization scale.
num train = 50
small data = {
  'X_train': data['X_train'][:num_train],
  'y_train': data['y_train'][:num_train],
  'X val': data['X val'],
  'y_val': data['y_val'],
best model = None
best val = -1
best lr = -1
best_reg = -1
numIters = 1
#########
# TODO: Use a Solver instance to train a TwoLayerNet that achieves at
least #
# 50% accuracy on the validation set.
#########
# learning rate = 1e-3
# weight scale = 1e-5
learning rate = 1e-3
weight_scale = 6e-2
model = FullyConnectedNet([100, 100, 100, 100],
              weight scale=weight scale, dtype=np.float64)
solver = Solver(model, small data,
              print every=10, num epochs=20, batch size=25,
              update rule='sgd',
              optim config={
                'learning rate': learning rate,
solver.train()
plt.plot(solver.loss history, 'o')
plt.title('Training loss history')
plt.xlabel('Iteration')
plt.ylabel('Training loss')
plt.show()
```

```
(Iteration 1 / 40) loss: 8.386123
(Epoch 0 / 20) train acc: 0.120000; val acc: 0.087000
(Epoch 1 / 20) train acc: 0.180000; val acc: 0.093000
(Epoch 2 / 20) train acc: 0.280000; val acc: 0.116000
(Epoch 3 / 20) train acc: 0.400000; val acc: 0.100000
(Epoch 4 / 20) train acc: 0.460000; val acc: 0.105000
(Epoch 5 / 20) train acc: 0.600000; val acc: 0.095000
(Iteration 11 / 40) loss: 1.289543
(Epoch 6 / 20) train acc: 0.660000; val acc: 0.106000
(Epoch 7 / 20) train acc: 0.680000; val acc: 0.105000
(Epoch 8 / 20) train acc: 0.780000; val acc: 0.105000
(Epoch 9 / 20) train acc: 0.820000; val acc: 0.107000
(Epoch 10 / 20) train acc: 0.920000; val acc: 0.097000
(Iteration 21 / 40) loss: 0.726608
(Epoch 11 / 20) train acc: 0.880000; val acc: 0.112000
(Epoch 12 / 20) train acc: 0.940000; val acc: 0.104000
(Epoch 13 / 20) train acc: 0.960000; val acc: 0.104000
(Epoch 14 / 20) train acc: 0.960000; val acc: 0.112000
(Epoch 15 / 20) train acc: 0.960000; val acc: 0.109000
(Iteration 31 / 40) loss: 0.143489
(Epoch 16 / 20) train acc: 0.960000; val acc: 0.110000
(Epoch 17 / 20) train acc: 0.960000; val acc: 0.107000
(Epoch 18 / 20) train acc: 0.980000; val acc: 0.100000
(Epoch 19 / 20) train acc: 1.000000; val acc: 0.106000
(Epoch 20 / 20) train acc: 1.000000; val acc: 0.106000
```

#### Training loss history

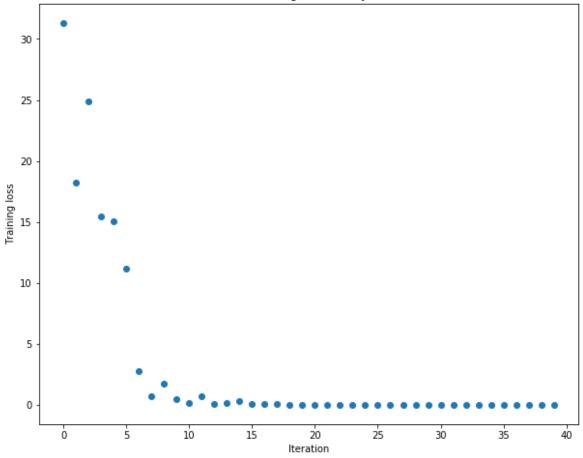


Now try to use a five-layer network with 100 units on each layer to overfit 50 training examples. Again you will have to adjust the learning rate and weight initialization, but you should be able to achieve 100% training accuracy within 20 epochs.

```
# TODO: Use a five-layer Net to overfit 50 training examples by
# tweaking just the learning rate and initialization scale.
num train = 50
small data = {
  'X_train': data['X_train'][:num_train],
  'y_train': data['y_train'][:num_train],
  'X val': data['X val'],
  'y_val': data['y_val'],
learning_rate = 1e-3
weight scale = 8e-2
model = FullyConnectedNet([100, 100, 100, 100],
                weight scale=weight scale, dtype=np.float64)
solver = Solver(model, small_data,
                print every=10, num epochs=20, batch size=25,
                update rule='sgd',
                optim config={
                  'learning_rate': learning_rate,
                }
solver.train()
plt.plot(solver.loss history, 'o')
plt.title('Training loss history')
plt.xlabel('Iteration')
plt.ylabel('Training loss')
plt.show()
```

```
(Iteration 1 / 40) loss: 31.328680
(Epoch 0 / 20) train acc: 0.180000; val acc: 0.136000
(Epoch 1 / 20) train acc: 0.280000; val acc: 0.133000
(Epoch 2 / 20) train acc: 0.480000; val acc: 0.110000
(Epoch 3 / 20) train acc: 0.640000; val acc: 0.125000
(Epoch 4 / 20) train acc: 0.720000; val acc: 0.125000
(Epoch 5 / 20) train acc: 0.860000; val acc: 0.123000
(Iteration 11 / 40) loss: 0.172230
(Epoch 6 / 20) train acc: 0.940000; val acc: 0.132000
(Epoch 7 / 20) train acc: 0.980000; val acc: 0.114000
(Epoch 8 / 20) train acc: 0.940000; val acc: 0.128000
(Epoch 9 / 20) train acc: 1.000000; val acc: 0.126000
(Epoch 10 / 20) train acc: 1.000000; val acc: 0.128000
(Iteration 21 / 40) loss: 0.000730
(Epoch 11 / 20) train acc: 1.000000; val acc: 0.127000
(Epoch 12 / 20) train acc: 1.000000; val acc: 0.127000
(Epoch 13 / 20) train acc: 1.000000; val acc: 0.127000
(Epoch 14 / 20) train acc: 1.000000; val acc: 0.127000
(Epoch 15 / 20) train acc: 1.000000; val acc: 0.127000
(Iteration 31 / 40) loss: 0.000461
(Epoch 16 / 20) train acc: 1.000000; val acc: 0.127000
(Epoch 17 / 20) train acc: 1.000000; val acc: 0.127000
(Epoch 18 / 20) train acc: 1.000000; val acc: 0.127000
(Epoch 19 / 20) train acc: 1.000000; val acc: 0.126000
(Epoch 20 / 20) train acc: 1.000000; val acc: 0.125000
```

#### Training loss history



### **Inline Question 2:**

Did you notice anything about the comparative difficulty of training the three-layer net vs training the five layer net? In particular, based on your experience, which network seemed more sensitive to the initialization scale? Why do you think that is the case?

### **Answer:**

Five layer net needed bigger weights when compared to three-layer nets. This makes sense because at every layer, it is more probable for the weights to become zero. Since five-layer network is deeper, it needs to be initialized with bigger scale.

## **Update rules**

So far we have used vanilla stochastic gradient descent (SGD) as our update rule. More sophisticated update rules can make it easier to train deep networks. We will implement a few of the most commonly used update rules and compare them to vanilla SGD.

### **SGD+Momentum**

Stochastic gradient descent with momentum is a widely used update rule that tends to make deep networks converge faster than vanilla stochastic gradient descent. See the Momentum Update section at <a href="https://compsci682-fa19.github.io/notes/neural-networks-3/#sgd">https://compsci682-fa19.github.io/notes/neural-networks-3/#sgd</a> (https://compsci682-fa19.github.io/notes/neural-networks-3/#sgd) for more information.

Open the file cs682/optim.py and read the documentation at the top of the file to make sure you understand the API. Implement the SGD+momentum update rule in the function sgd\_momentum and run the following to check your implementation. You should see errors less than e-8.

```
In [165]: from cs682.optim import sgd momentum
          N, D = 4, 5
          w = np.linspace(-0.4, 0.6, num=N*D).reshape(N, D)
          dw = np.linspace(-0.6, 0.4, num=N*D).reshape(N, D)
          v = np.linspace(0.6, 0.9, num=N*D).reshape(N, D)
          config = {'learning rate': 1e-3, 'velocity': v}
          next w, = sgd momentum(w, dw, config=config)
          expected next w = np.asarray([
                          0.20738947,
                                       0.27417895, 0.34096842, 0.407757891,
            [ 0.1406,
            [ 0.47454737, 0.54133684,
                                       0.60812632, 0.67491579, 0.74170526],
            [ 0.80849474, 0.87528421,
                                       0.94207368, 1.00886316, 1.075652631,
            [ 1.14244211, 1.20923158, 1.27602105, 1.34281053,
                                                                 1.4096
                                                                           11)
          expected velocity = np.asarray([
            [0.5406,
                          0.55475789, 0.56891579, 0.58307368, 0.59723158],
            [ 0.61138947, 0.62554737, 0.63970526, 0.65386316, 0.66802105],
            [0.68217895, 0.69633684, 0.71049474, 0.72465263, 0.73881053],
            [ 0.75296842, 0.76712632, 0.78128421, 0.79544211,
                                                                 0.8096
                                                                           ]])
          # Should see relative errors around e-8 or less
          print('next w error: ', rel error(next w, expected next w))
          print('velocity error: ', rel_error(expected_velocity, config['veloci
          ty']))
```

next\_w error: 8.882347033505819e-09 velocity error: 4.269287743278663e-09

Once you have done so, run the following to train a six-layer network with both SGD and SGD+momentum. You should see the SGD+momentum update rule converge faster.

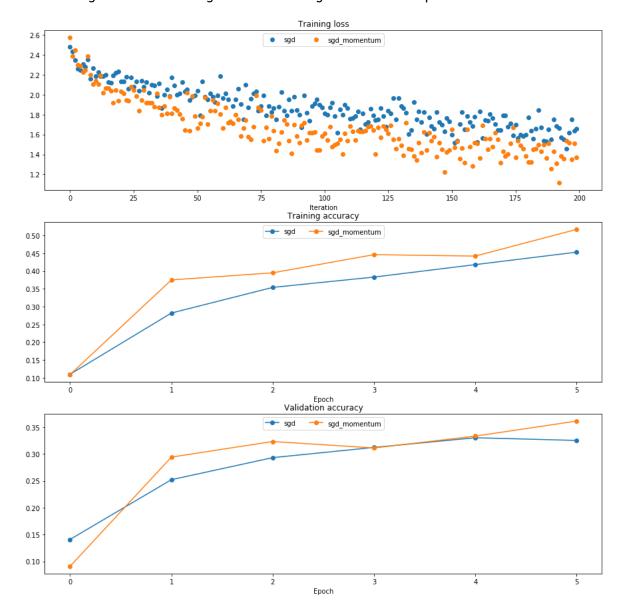
```
In [166]:
          num train = 4000
          small data = {
             'X train': data['X train'][:num train],
             'y train': data['y train'][:num train],
             'X val': data['X_val'],
             'y_val': data['y_val'],
          solvers = \{\}
          for update rule in ['sgd', 'sgd momentum']:
             print('running with ', update_rule)
            model = FullyConnectedNet([100, 100, 100, 100, 100], weight scale=5
          e-2)
            solver = Solver(model, small data,
                             num epochs=5, batch size=100,
                             update rule=update rule,
                             optim config={
                               'learning rate': 1e-2,
                             },
                             verbose=True)
             solvers[update rule] = solver
             solver.train()
             print()
          plt.subplot(3, 1, 1)
          plt.title('Training loss')
          plt.xlabel('Iteration')
          plt.subplot(3, 1, 2)
          plt.title('Training accuracy')
          plt.xlabel('Epoch')
          plt.subplot(3, 1, 3)
          plt.title('Validation accuracy')
          plt.xlabel('Epoch')
          for update rule, solver in list(solvers.items()):
            plt.subplot(3, 1, 1)
             plt.plot(solver.loss_history, 'o', label=update_rule)
            plt.subplot(3, 1, 2)
             plt.plot(solver.train acc history, '-o', label=update rule)
             plt.subplot(3, 1, 3)
             plt.plot(solver.val_acc_history, '-o', label=update_rule)
          for i in [1, 2, 3]:
             plt.subplot(3, 1, i)
             plt.legend(loc='upper center', ncol=4)
          plt.gcf().set size inches(15, 15)
          plt.show()
```

```
running with sgd
(Iteration 1 / 200) loss: 2.481768
(Epoch 0 / 5) train acc: 0.110000; val acc: 0.141000
(Iteration 11 / 200) loss: 2.186880
(Iteration 21 / 200) loss: 2.132564
(Iteration 31 / 200) loss: 2.123475
(Epoch 1 / 5) train acc: 0.282000; val acc: 0.252000
(Iteration 41 / 200) loss: 2.173772
(Iteration 51 / 200) loss: 2.042415
(Iteration 61 / 200) loss: 1.964048
(Iteration 71 / 200) loss: 1.874093
(Epoch 2 / 5) train acc: 0.354000; val acc: 0.293000
(Iteration 81 / 200) loss: 1.864133
(Iteration 91 / 200) loss: 1.800120
(Iteration 101 / 200) loss: 1.812123
(Iteration 111 / 200) loss: 1.758004
(Epoch 3 / 5) train acc: 0.383000; val acc: 0.312000
(Iteration 121 / 200) loss: 1.741813
(Iteration 131 / 200) loss: 1.882879
(Iteration 141 / 200) loss: 1.604604
(Iteration 151 / 200) loss: 1.595751
(Epoch 4 / 5) train acc: 0.418000; val acc: 0.330000
(Iteration 161 / 200) loss: 1.527097
(Iteration 171 / 200) loss: 1.789944
(Iteration 181 / 200) loss: 1.769522
(Iteration 191 / 200) loss: 1.748728
(Epoch 5 / 5) train acc: 0.453000; val acc: 0.325000
running with sgd momentum
(Iteration 1 / 200) loss: 2.572785
(Epoch 0 / 5) train acc: 0.109000; val acc: 0.091000
(Iteration 11 / 200) loss: 2.141068
(Iteration 21 / 200) loss: 2.031448
(Iteration 31 / 200) loss: 1.921193
(Epoch 1 / 5) train acc: 0.375000; val acc: 0.294000
(Iteration 41 / 200) loss: 1.811104
(Iteration 51 / 200) loss: 1.667173
(Iteration 61 / 200) loss: 1.664593
(Iteration 71 / 200) loss: 1.577609
(Epoch 2 / 5) train acc: 0.395000; val acc: 0.323000
(Iteration 81 / 200) loss: 1.635988
(Iteration 91 / 200) loss: 1.519541
(Iteration 101 / 200) loss: 1.611929
(Iteration 111 / 200) loss: 1.685551
(Epoch 3 / 5) train acc: 0.446000; val acc: 0.311000
(Iteration 121 / 200) loss: 1.406177
(Iteration 131 / 200) loss: 1.487543
(Iteration 141 / 200) loss: 1.441336
(Iteration 151 / 200) loss: 1.651299
(Epoch 4 / 5) train acc: 0.442000; val acc: 0.333000
(Iteration 161 / 200) loss: 1.511730
(Iteration 171 / 200) loss: 1.432962
(Iteration 181 / 200) loss: 1.324509
(Iteration 191 / 200) loss: 1.427172
(Epoch 5 / 5) train acc: 0.517000; val acc: 0.361000
```

/home/nikhil/anaconda3/lib/python3.7/site-packages/matplotlib/figure.py:98: MatplotlibDeprecationWarning:

Adding an axes using the same arguments as a previous axes currently reuses the earlier instance. In a future version, a new instance wil always be created and returned. Meanwhile, this warning can be sup pressed, and the future behavior ensured, by passing a unique label to each axes instance.

"Adding an axes using the same arguments as a previous axes "



## **RMSProp and Adam**

RMSProp [1] and Adam [2] are update rules that set per-parameter learning rates by using a running average of the second moments of gradients.

In the file cs682/optim.py, implement the RMSProp update rule in the rmsprop function and implement the Adam update rule in the adam function, and check your implementations using the tests below.

**NOTE:** Please implement the *complete* Adam update rule (with the bias correction mechanism), not the first simplified version mentioned in the course notes.

- [1] Tijmen Tieleman and Geoffrey Hinton. "Lecture 6.5-rmsprop: Divide the gradient by a running average of its recent magnitude." COURSERA: Neural Networks for Machine Learning 4 (2012).
- [2] Diederik Kingma and Jimmy Ba, "Adam: A Method for Stochastic Optimization", ICLR 2015.

```
In [190]:
          # Test RMSProp implementation
          from cs682.optim import rmsprop
          N, D = 4, 5
          w = np.linspace(-0.4, 0.6, num=N*D).reshape(N, D)
          dw = np.linspace(-0.6, 0.4, num=N*D).reshape(N, D)
          cache = np.linspace(0.6, 0.9, num=N*D).reshape(N, D)
          config = {'learning rate': 1e-2, 'cache': cache}
          next w, = rmsprop(w, dw, config=config)
          expected_next_w = np.asarray([
            [-0.39223849, -0.34037513, -0.28849239, -0.23659121, -0.18467247],
                         -0.08078555, -0.02881884, 0.02316247,
            [-0.132737,
                                                                 0.07515774],
            [ 0.12716641, 0.17918792, 0.23122175, 0.28326742, 0.33532447],
            [ 0.38739248, 0.43947102,
                                        0.49155973, 0.54365823, 0.59576619]])
          expected cache = np.asarray([
                                       0.6277108,
                                                    0.64284931,
            [0.5976,
                         0.6126277,
                                                                 0.658043211,
            [ 0.67329252, 0.68859723,
                                       0.70395734, 0.71937285, 0.73484377],
            [ 0.75037008, 0.7659518,
                                        0.78158892, 0.79728144, 0.81302936],
                           0.84469141, 0.86060554,
                                                                            11)
            [ 0.82883269,
                                                    0.87657507,
                                                                 0.8926
          # You should see relative errors around e-7 or less
          print('next_w error: ', rel_error(expected_next_w, next_w))
          print('cache error: ', rel error(expected cache, config['cache']))
```

next\_w error: 9.524687511038133e-08 cache error: 2.6477955807156126e-09

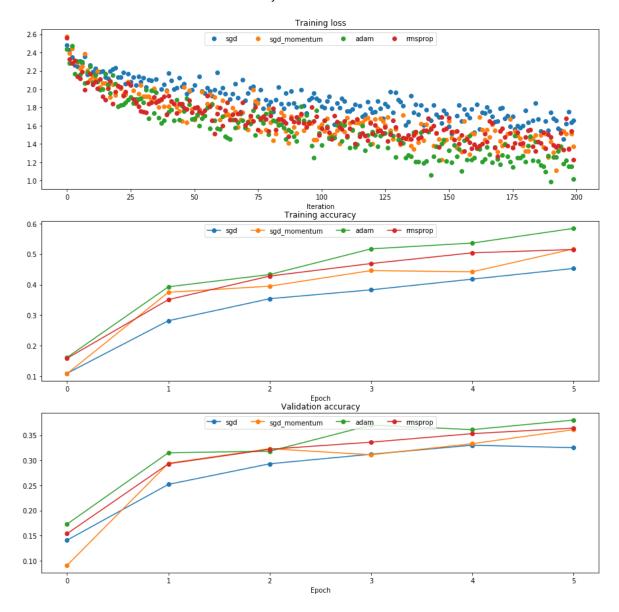
```
# Test Adam implementation
In [195]:
          from cs682.optim import adam
          N, D = 4, 5
          w = np.linspace(-0.4, 0.6, num=N*D).reshape(N, D)
          dw = np.linspace(-0.6, 0.4, num=N*D).reshape(N, D)
          m = np.linspace(0.6, 0.9, num=N*D).reshape(N, D)
          v = np.linspace(0.7, 0.5, num=N*D).reshape(N, D)
          config = {'learning rate': 1e-2, 'm': m, 'v': v, 't': 5}
          next w, = adam(w, dw, config=config)
          expected next w = np.asarray([
            [-0.40094747, -0.34836187, -0.29577703, -0.24319299, -0.19060977],
            [-0.1380274, -0.08544591, -0.03286534, 0.01971428, 0.0722929],
            [ 0.1248705,
                         0.17744702, 0.23002243, 0.28259667, 0.33516969],
            [ 0.38774145, 0.44031188, 0.49288093, 0.54544852, 0.59801459]])
          expected v = np.asarray([
            [ 0.69966,
                        0.68908382,
                                       0.67851319,
                                                    0.66794809,
                                                                 0.65738853,1,
            [ 0.64683452, 0.63628604,
                                       0.6257431,
                                                    0.61520571,
                                                                 0.60467385,1,
            [ 0.59414753, 0.58362676, 0.57311152, 0.56260183,
                                                                 0.55209767,1,
            [ 0.54159906, 0.53110598, 0.52061845, 0.51013645,
                                                                 0.49966,
          ]])
          expected m = np.asarray([
            [ 0.48,
                          0.49947368,
                                       0.51894737,
                                                    0.53842105,
                                                                 0.55789474],
            [ 0.57736842, 0.59684211,
                                       0.61631579,
                                                    0.63578947,
                                                                 0.65526316],
            [ 0.67473684, 0.69421053, 0.71368421, 0.73315789,
                                                                 0.75263158],
            [ 0.77210526, 0.79157895,
                                       0.81105263,
                                                    0.83052632,
                                                                 0.85
                                                                           ]])
          # You should see relative errors around e-7 or less
          print('next_w error: ', rel_error(expected_next_w, next_w))
          print('v error: ', rel_error(expected_v, config['v']))
          print('m error: ', rel_error(expected_m, config['m']))
```

next\_w error: 1.1395691798535431e-07 v error: 4.208314038113071e-09 m error: 4.214963193114416e-09

Once you have debugged your RMSProp and Adam implementations, run the following to train a pair of deep networks using these new update rules:

```
learning rates = {'rmsprop': 1e-4, 'adam': 1e-3}
In [196]:
          for update_rule in ['adam', 'rmsprop']:
            print('running with ', update rule)
            model = FullyConnectedNet([100, 100, 100, 100, 100], weight scale=5
          e-2)
            solver = Solver(model, small data,
                             num epochs=5, batch size=100,
                             update rule=update rule,
                             optim config={
                               'learning rate': learning rates[update rule]
                             },
                             verbose=True)
            solvers[update rule] = solver
            solver.train()
            print()
          plt.subplot(3, 1, 1)
          plt.title('Training loss')
          plt.xlabel('Iteration')
          plt.subplot(3, 1, 2)
          plt.title('Training accuracy')
          plt.xlabel('Epoch')
          plt.subplot(3, 1, 3)
          plt.title('Validation accuracy')
          plt.xlabel('Epoch')
          for update rule, solver in list(solvers.items()):
            plt.subplot(3, 1, 1)
            plt.plot(solver.loss history, 'o', label=update rule)
            plt.subplot(3, 1, 2)
            plt.plot(solver.train_acc_history, '-o', label=update rule)
            plt.subplot(3, 1, 3)
            plt.plot(solver.val_acc_history, '-o', label=update_rule)
          for i in [1, 2, 3]:
            plt.subplot(3, 1, i)
            plt.legend(loc='upper center', ncol=4)
          plt.gcf().set size inches(15, 15)
          plt.show()
```

```
running with adam
(Iteration 1 / 200) loss: 2.433876
(Epoch 0 / 5) train acc: 0.162000; val acc: 0.173000
(Iteration 11 / 200) loss: 2.073397
(Iteration 21 / 200) loss: 1.807317
(Iteration 31 / 200) loss: 1.856388
(Epoch 1 / 5) train acc: 0.393000; val acc: 0.315000
(Iteration 41 / 200) loss: 1.752104
(Iteration 51 / 200) loss: 1.680058
(Iteration 61 / 200) loss: 1.564378
(Iteration 71 / 200) loss: 1.578205
(Epoch 2 / 5) train acc: 0.433000; val acc: 0.318000
(Iteration 81 / 200) loss: 1.744286
(Iteration 91 / 200) loss: 1.429902
(Iteration 101 / 200) loss: 1.386974
(Iteration 111 / 200) loss: 1.479032
(Epoch 3 / 5) train acc: 0.517000; val acc: 0.370000
(Iteration 121 / 200) loss: 1.534321
(Iteration 131 / 200) loss: 1.449622
(Iteration 141 / 200) loss: 1.188611
(Iteration 151 / 200) loss: 1.328293
(Epoch 4 / 5) train acc: 0.536000; val acc: 0.361000
(Iteration 161 / 200) loss: 1.252813
(Iteration 171 / 200) loss: 1.179763
(Iteration 181 / 200) loss: 1.205074
(Iteration 191 / 200) loss: 0.985892
(Epoch 5 / 5) train acc: 0.584000; val acc: 0.380000
running with rmsprop
(Iteration 1 / 200) loss: 2.561301
(Epoch 0 / 5) train acc: 0.159000; val_acc: 0.154000
(Iteration 11 / 200) loss: 2.053541
(Iteration 21 / 200) loss: 2.033342
(Iteration 31 / 200) loss: 1.753700
(Epoch 1 / 5) train acc: 0.351000; val acc: 0.293000
(Iteration 41 / 200) loss: 1.927043
(Iteration 51 / 200) loss: 1.792528
(Iteration 61 / 200) loss: 1.796930
(Iteration 71 / 200) loss: 1.604773
(Epoch 2 / 5) train acc: 0.428000; val acc: 0.322000
(Iteration 81 / 200) loss: 1.708545
(Iteration 91 / 200) loss: 1.693450
(Iteration 101 / 200) loss: 1.574048
(Iteration 111 / 200) loss: 1.492696
(Epoch 3 / 5) train acc: 0.469000; val_acc: 0.336000
(Iteration 121 / 200) loss: 1.512106
(Iteration 131 / 200) loss: 1.500576
(Iteration 141 / 200) loss: 1.545125
(Iteration 151 / 200) loss: 1.690224
(Epoch 4 / 5) train acc: 0.504000; val_acc: 0.353000
(Iteration 161 / 200) loss: 1.399118
(Iteration 171 / 200) loss: 1.542355
(Iteration 181 / 200) loss: 1.475794
(Iteration 191 / 200) loss: 1.478016
(Epoch 5 / 5) train acc: 0.515000; val acc: 0.364000
```



### **Inline Question 3:**

AdaGrad, like Adam, is a per-parameter optimization method that uses the following update rule:

```
cache += dw**2
w += - learning_rate * dw / (np.sqrt(cache) + eps)
```

John notices that when he was training a network with AdaGrad that the updates became very small, and that his network was learning slowly. Using your knowledge of the AdaGrad update rule, why do you think the updates would become very small? Would Adam have the same issue?

### **Answer:**

## Train a good model!

Train the best fully-connected model that you can on CIFAR-10, storing your best model in the best\_model variable. We require you to get at least 50% accuracy on the validation set using a fully-connected net.

If you are careful it should be possible to get accuracies above 55%, but we don't require it for this part and won't assign extra credit for doing so. Later in the assignment we will ask you to train the best convolutional network that you can on CIFAR-10, and we would prefer that you spend your effort working on convolutional nets rather than fully-connected nets.

You might find it useful to complete the BatchNormalization.ipynb and Dropout.ipynb notebooks before completing this part, since those techniques can help you train powerful models.

```
In [68]:
        best model = None
        best val = -1
        best lr = -1
        best ws = -1
        numIters = 1
        np.random.seed(231)
        # Try training a very deep net with batchnorm
        hidden dims = [100, 100, 100, 100]
        ###########
        # TODO: Train the best FullyConnectedNet that you can on CIFAR-10. Yo
        # find batch/layer normalization and dropout useful. Store your best
         model in #
        # the best model variable.
        ##########
        for i in range(numIters):
              lr = np.random.uniform(5e-4, 1e-3)
              weight scale = np.random.uniform(1e-2,5e-2)
            lr = 5.566605e - 04
            weight scale = 3.580163e-02
            bn model = FullyConnectedNet(hidden dims, weight scale=weight sca
        le, normalization='batchnorm')
            bn solver = Solver(bn model, data,
                           num epochs=7, batch size=200,
                           update rule='adam',
                           optim config={
                             'learning rate': lr,
                           lr decay = 0.95,
                           verbose=True,print every=50)
            bn solver.train()
            val accuracy = bn_solver.best_val_acc
            # Print results
            print('lr %e weight scale %e val accuracy: %f' % (
                       lr, weight_scale, val accuracy))
            if best val < val accuracy:</pre>
                best val = val accuracy
                best model = bn model
                best lr = lr
                best ws = weight scale
        print('Validation accuracy is best for lr: %f, ws: %f, val:%f' % (bes
        t lr, best ws, best val))
```

```
(Iteration 1 / 1715) loss: 2.338582
(Epoch 0 / 7) train acc: 0.111000; val acc: 0.122000
(Iteration 51 / 1715) loss: 1.770568
(Iteration 101 / 1715) loss: 1.614389
(Iteration 151 / 1715) loss: 1.502904
(Iteration 201 / 1715) loss: 1.551388
(Epoch 1 / 7) train acc: 0.489000; val acc: 0.475000
(Iteration 251 / 1715) loss: 1.317363
(Iteration 301 / 1715) loss: 1.412165
(Iteration 351 / 1715) loss: 1.447723
(Iteration 401 / 1715) loss: 1.371660
(Iteration 451 / 1715) loss: 1.314494
(Epoch 2 / 7) train acc: 0.536000; val acc: 0.504000
(Iteration 501 / 1715) loss: 1.351835
(Iteration 551 / 1715) loss: 1.321339
(Iteration 601 / 1715) loss: 1.251151
(Iteration 651 / 1715) loss: 1.409577
(Iteration 701 / 1715) loss: 1.186813
(Epoch 3 / 7) train acc: 0.547000; val acc: 0.531000
(Iteration 751 / 1715) loss: 1.266769
(Iteration 801 / 1715) loss: 1.331401
(Iteration 851 / 1715) loss: 1.223448
(Iteration 901 / 1715) loss: 1.205303
(Iteration 951 / 1715) loss: 1.269179
(Epoch 4 / 7) train acc: 0.570000; val acc: 0.543000
(Iteration 1001 / 1715) loss: 1.151598
(Iteration 1051 / 1715) loss: 1.007641
(Iteration 1101 / 1715) loss: 1.117818
(Iteration 1151 / 1715) loss: 1.239275
(Iteration 1201 / 1715) loss: 1.143968
(Epoch 5 / 7) train acc: 0.631000; val acc: 0.519000
(Iteration 1251 / 1715) loss: 1.000040
(Iteration 1301 / 1715) loss: 1.055298
(Iteration 1351 / 1715) loss: 0.933431
(Iteration 1401 / 1715) loss: 1.056487
(Iteration 1451 / 1715) loss: 1.046738
(Epoch 6 / 7) train acc: 0.616000; val acc: 0.534000
(Iteration 1501 / 1715) loss: 0.979090
(Iteration 1551 / 1715) loss: 1.056324
(Iteration 1601 / 1715) loss: 0.977954
(Iteration 1651 / 1715) loss: 1.015477
(Iteration 1701 / 1715) loss: 1.030143
(Epoch 7 / 7) train acc: 0.645000; val_acc: 0.558000
lr 5.566605e-04 weight scale 3.580163e-02 val accuracy: 0.558000
Validation accuracy is best for lr: 0.000557, ws: 0.035802, val:0.558
000
```

# Test your model!

Run your best model on the validation and test sets. You should achieve above 50% accuracy on the validation set.

```
In [69]: y_test_pred = np.argmax(best_model.loss(data['X_test']), axis=1)
    y_val_pred = np.argmax(best_model.loss(data['X_val']), axis=1)
    print('Validation set accuracy: ', (y_val_pred == data['y_val']).mean
    ())
    print('Test set accuracy: ', (y_test_pred == data['y_test']).mean())

    Validation set accuracy: 0.558
    Test set accuracy: 0.522
In []:
```