# RESEARCH STATEMENT:
# ALGEBRA AND GEOMETRY OF CATEGORICAL SPECTRA

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## Contents

## 1. Overview

My general interest lies in the interaction between the *objects* of topology, algebraic/arithmetic geometry, and theoretical physics and the *methods* of homotopical or higher-categorical[1] algebra. My dissertation project focuses on the foundation of *categorical spectra*, a higher/$(\infty, \infty)$-categorical generalization of the notion of spectra. To motivate, recall that the category of *endomorphsims* $X_0 = \mathsf{End}_{X_1}(*)$ of an object in a category $* \in X_1$ has a monoidal structure under composition. If we repeatedly have

$$(X_1, *) = (\mathsf{End}_{X_2}(*), \mathrm{id}_*), \quad (X_2, *) = (\mathsf{End}_{X_3}(*), \mathrm{id}_*), \dots$$

then it determines a *symmetric monoidal structure* on $X_0$[2]. Symmetric monoidal (higher) categories are ubiquitous: they are the main characters of Tannakian formalism, and functorial quantum field theories (FQFTs) formalize QFTs as symmetric monoidal functors between them, triggering rich interplay between higher algebra and theoretical physics. If we write $\vec{\Omega}(X, *) := \mathsf{End}_X(*)$ and call it the *loop* of $X$, the symmetric monoidal higher categories are precisely the *infinite loop objects*. A *categorical spectrum*[3] is a sequence $X = (X_n)_{n \in \mathbb{N}}$ of pointed higher categories equipped with the identifications

$$X_n \xrightarrow{\sim} \vec{\Omega} X_{n+1} := \mathsf{End}_{X_{n+1}}(*).$$

If all $X_n$ are determined from $X_0$ (i.e., if they are the $n$-fold *delooping* of $X_0$), we say $X$ is *connective*. Connective categorical spectra are equivalent to symmetric monoidal higher categories. Even if we are only interested in them, categorical spectra are a natural place to talk about many constructions

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[1] I distinguish these two terms: I use *homotopical* to mean something belongs to the second column of the table, and *higher categorical* to mean something related to the third column. The term *higher* can ambiguously mean both.

[2] for instance, $X_0 \simeq \mathsf{End}_{\mathsf{End}_{X_2}(*)}(*)$ gives $X_0$ a *braided* monoidal structure, an it gains more symmetry as $n$ (in $X_n$) grows.

[3] I originally called them $\infty$-spectra in an attempt [Mas21] to interpret Connes and Consani's approach to absolute/$\mathbb{F}_1$-algebras [CC20], until I came across Stefanich's thesis [Ste21], which gave them the more descriptive name of *categorical spectra*. It spends a chapter on their formal foundation and utilizes it to package powerful functorialities of higher quasicoherent sheaves. He claims to have learned the notion from Teleman, who named it *anticategories*. Horiuchi [Hor18] essentially speculates on categorical spectra in the last section. Johnson-Freyd and Reutter use the term *towers* in [Reu23][Joh23b], who attribute it to Scheimbauer.

(§3.6, §4). However, a number of interesting nonconnective spectra arise from *iterated categorification*, as common in a sequence of targets of FQFTs in varied dimensions. In derived algebraic geometry, the coconnective part carries cohomological information. Nonconnective categorical spectra capture similar but deeper structures in noncommutative algebraic geometry (§5.1, 5.2).

The notion of spectra, the case when $X_n$ are all $\infty$-groupoids, has spread to the world of mathematics, following Waldhausen's *brave new algebra* philosophy. Namely, one takes spectra seriously as the homotopical version of abelian groups, and thus as building blocks of algebraic geometry. I propose the same for categorical spectra. If we hope for a robust algebraic theory that allows some algebraic geometry, we must extend or refine the existing tensor product on abelian groups and spectra.

**Question 1.1.** *Can we equip the $(\infty, 1)$-category* CatSp *of categorical spectra with a natural presentably (symmetric) monoidal structure?*

It turns out to be a much subtler question than in spectra (§3). Based on the lax Gray tensor product on the $(\infty, 1)$-category $\mathsf{Cat}_{(\infty,\infty)}$ of $(\infty, \infty)$-categories, I proved the following:

**Theorem 1.2.** CatSp *admits a unique presentably $\mathbb{E}_1$-monoidal structure promoting $\vec{\Sigma}_+^\infty : \mathsf{Cat}_{(\infty,\infty)} \to$* CatSp *into a monoidal functor. It acts additively on the categorical levels. Moreover, the tensor product localizes to the full subcategory* $\mathsf{CatSp}^{\mathrm{dual}}$ *of categorical spectra with duals.*

The tensor unit of $\mathsf{CatSp}^{\mathrm{dual}}$ is the free symmetric monoidal $(\infty, \infty)$-category on a single fully dualizable object, which is the stably framed cobordism category $\mathsf{Bord}^{\mathrm{fr}}$.

**Corollary 1.3.** $\mathsf{Bord}^{\mathrm{fr}}$ *is the initial algebra of* $\mathsf{CatSp}^{\mathrm{dual}}$, *which passes to the sphere spectrum $\mathbb{S}$ in* Sp. *Using the internal hom of categorical spectra, we have $[\mathsf{Bord}^{\mathrm{fr}}, X] \xrightarrow{\sim} X$ for any $X \in \mathsf{CatSp}^{\mathrm{dual}}$.*

Note that the last statement is stronger than a typical cobordism hypothesis: if we use the category of symmetric monoidal functors and natural transformations, we would only get the underlying groupoid of $X$. It is an example where "lax" consideration recovers noninvertible information. It is a new feature in the categorical context; as in the third row of the table of analogy below, higher algebra comes with some hierarchy. In homotopy theory, objects go up the hierarchy via colimits. In category theory, this role of colimits is taken over by lax colimits, and unavoidably we must confront dreaded lax constructions.

| Classical Mathematics | Homotopy Theory | Higher Category Theory |
|---|---|---|
| equality | homotopy | morphism |
| sets $\mathsf{Set} = \mathsf{Cat}_{(0,0)}$ | spaces/$\infty$-groupoids $\mathsf{S} = \mathsf{Cat}_{(\infty,0)}$ | $(\infty, \infty)$-categories $\mathsf{Cat}_{(\infty,\infty)}$ |
| — | homotopy $n$-type | $(\infty, n)$-category |
| $(1,1)$-categories $\mathsf{Cat}_{(1,1)}$ | $(\infty, 1)$-categories $\mathsf{Cat}_{(\infty,1)}$ | $(\infty, \infty)$-categories $\mathsf{Cat}_{(\infty,\infty)}$ |
| Cartesian product $\times$ | Cartesian product $\times$ | lax Gray tensor product $\otimes$ |
| abelian groups $\mathsf{Ab}$ | spectra $\mathsf{Sp}$ | categorical spectra $\mathsf{CatSp}$ |
| | grouplike $\mathbb{E}_\infty$-spaces $\simeq \mathsf{Sp}^{\mathrm{cn}}$ | sym. mon. $(\infty, \infty)$-categories $\simeq \mathsf{CatSp}^{\mathrm{cn}}$ |
| abelian categories | (pre)stable categories | (stable $\mathsf{Cat}_{(\infty,\infty)}^\otimes$-bimodules?) |
| — | loop $\Omega(X, x) = \mathrm{Aut}_X(x)$ | $\vec{\Omega}(X, x) = \mathsf{End}_X(x)$ |
| — | suspension $\Sigma = (-) \wedge B\mathbb{Z}$ | $\vec{\Sigma} = \mathrm{B}\,\mathrm{Free}_{\mathbb{E}_1} = (-) \oslash \mathrm{B}\mathbb{N}$ |
| free functor $\mathsf{Set} \to \mathsf{Ab}$ | suspension spectra $\Sigma_+^\infty : \mathsf{S} \to \mathsf{Sp}$ | $\vec{\Sigma}_+^\infty : \mathsf{Cat}_{(\infty,\infty)} \to \mathsf{CatSp}$ |
| integers $\mathbb{Z}$ | sphere spectrum $\mathbb{S}$ | finite set categorical spectrum $\mathbb{F}$ |
| tensor product $\otimes_\mathbb{Z}$ | tensor (smash) product $\otimes_\mathbb{S}$ | tensor product $\otimes_\mathbb{F}$ |

## 2. Categorical spectra: definition and examples

Let $\mathsf{Cat}_{(\infty,\infty)}$ be the $(\infty, 1)$-category of $(\infty, \infty)$-categories[4]. A *pointed $(\infty, \infty)$-category* $(X, x) \in \mathsf{Cat}_{(\infty,\infty),*}$ is a pair $X \in \mathsf{Cat}_{(\infty,\infty)}$ and an object $x \in X$ (which we often suppress from notation). The following definition was independently introduced by at least a few groups of people (see footnote 3):

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[4]Let $\mathsf{Pr}^\mathsf{L}$ (resp. $\mathsf{Pr}^\mathsf{R}$) denote the $(\infty, 1)$-category of presentable $\infty$-categories and left (resp. right) adjoints. $\mathsf{Cat}_{(\infty,\infty)}$ is the colimit of the inclusions $\mathsf{Cat}_{(\infty,n)} \hookrightarrow \mathsf{Cat}_{(\infty,n+1)}$ in $\mathsf{Pr}^\mathsf{L}$ (not in $\mathsf{Pr}^\mathsf{R}$). It is characterized as being initial among the homotopy fixed points of enrichment endofunctor $(-)\text{-}\mathsf{Cat} : \mathsf{Pr}^\mathsf{L} \to \mathsf{Pr}^\mathsf{L}$[Gol23].

**Definition 2.1.** The *loop* functor $\vec{\Omega} : \mathsf{Cat}_{(\infty,\infty),*} \to \mathsf{Cat}_{(\infty,\infty),*}$ sends $(X,x)$ to $\vec{\Omega}X := (\mathsf{End}_X(x), \mathrm{id}_x)$, the $(\infty,\infty)$-category of endomorphisms. It admits a left adjoint called the *suspension* $\vec{\Sigma}$. A *categorical spectrum* is a sequence $X = (X_n)_{n\in\mathbb{N}}$ of pointed $(\infty,\infty)$-categories with equivalences $X_n \xrightarrow{\sim} \vec{\Omega}X_{n+1}$. More precisely, the $(\infty,1)$-category of categorical spectra is the limit of the right adjoints

$$\mathsf{CatSp} := \lim(\cdots \to \mathsf{Cat}_{(\infty,\infty),*} \xrightarrow{\vec{\Omega}} \mathsf{Cat}_{(\infty,\infty),*} \xrightarrow{\vec{\Omega}} \mathsf{Cat}_{(\infty,\infty),*}) \quad \in \mathsf{Pr}^{\mathsf{R}}.$$

We denote the functor $X \mapsto X_0$ by $\vec{\Omega}^\infty : \mathsf{CatSp} \to \mathsf{Cat}_{(\infty,\infty),*}$, which has the left adjoint $\vec{\Sigma}^\infty$.

While the definition is *natural*, it is a priori unclear how *useful* it is. Part of my goal is to show that this is a worthwhile notion to study. Note that this is a common generalization of symmetric monoidal $(\infty,\infty)$-categories and spectra:

**Example 1.** The symmetric monoidal $(\infty,\infty)$-categories embed into categorical spectra by the *infinite delooping* $\mathrm{B}^\infty : \infty\mathsf{SMCat} := \mathsf{CMon}(\mathsf{Cat}_{(\infty,\infty)}) \xrightarrow{\sim} \mathsf{CatSp}^{\mathrm{cn}} \subset \mathsf{CatSp}$, whose image consists of *connective categorical spectra* $\mathsf{CatSp}^{\mathrm{cn}}$: as a consequence of delooping hypothesis, commutative monoid objects in $\mathsf{Cat}_{(\infty,\infty)}$ are precisely the infinite loop objects, so the limit tower in the above definition factors through the forgetful functor $\infty\mathsf{SMCat} \to \mathsf{Cat}_{(\infty,\infty),*}$ to give the limit diagram of right adjoints

$$\mathsf{CatSp} \xrightarrow{\sim} \lim(\cdots \to \infty\mathsf{SMCat} \xrightarrow{\vec{\Omega}} \infty\mathsf{SMCat} \xrightarrow{\vec{\Omega}} \infty\mathsf{SMCat}) \quad \in \mathsf{Pr}^{\mathsf{R}},$$

with the fully faithful left adjoint $\mathrm{B}^\infty$. We have $\vec{\Sigma}^\infty = \mathrm{B}^\infty \circ \mathrm{Free}_{\mathbb{E}_\infty}$. It follows that $\mathsf{CatSp}$ is semiadditive (i.e., has biproducts $\oplus$).

**Example 2.** Spectra are categorical spectra $(X_n)_n$ whose components $X_n$ are all $\infty$-groupoids. The inclusion $\mathsf{Sp} \hookrightarrow \mathsf{CatSp}$ has both left and right adjoints: the localization (left adjoint) $(-)^{\mathrm{gp}}$ is the group completion functor, which level-wise inverts cells and group completes, whereas the colocalization (right adjoint) $\mathbb{G}_m$[5] takes levelwise the maximal Picard subgroupoid.

**Remark 2.2.** We have $\mathsf{Sp} \cap \mathsf{CatSp}^{\mathrm{cn}} = \mathsf{Sp}^{\mathrm{cn}} \simeq \mathsf{CMon}^{\mathrm{gp}}(\mathsf{S})$. While $\vec{\Omega}^\infty : \mathsf{CatSp} \to \mathsf{Cat}_{(\infty,\infty),*}$ restricts to $\Omega^\infty$ for spectra, $\vec{\Sigma}^\infty$ does not: the relation is $\Sigma^\infty \simeq (\vec{\Sigma}^\infty)^{\mathrm{gp}}$. The free object on a point in $\mathsf{CatSp}$ is the symmetric monoidal groupoid of finite sets $\mathbb{F} := \mathrm{B}^\infty\mathsf{Fin}^{\simeq} = \vec{\Sigma}^\infty_+(*)$, as opposed to the sphere $\mathbb{S} = \Sigma^\infty_+(*) \in \mathsf{Sp}$. The fact $\mathbb{S} = \mathbb{F}^{\mathrm{gp}}$ is known as the Barratt-Priddy-Quillen theorem[BP72]. Delooping hypothesis is a categorical version of May's recognition principle for $n$-fold loop spaces[May72]. Group completion is difficult to analyze and sometimes destructive, so working before group completion can be enlightening. For instance, May's recognition principle can be separated into the delooping hypothesis and a less formal fact about group completion.

$\mathsf{Sp}$ is the $n = -\infty$ case of the following categorical hierarchy:

**Definition 2.3** ([Ste21, Notation 13.2.21]). Let $-\infty \leq n \leq \infty$. The full subcategory $n\mathsf{CatSp} \subset \mathsf{CatSp}$ of *n-categorical spectra* consists of objects $X = (X_k)_{\in\mathbb{N}}$ such that $X_k$ is an $(\infty, \max\{0, n+k\})$-category. In particular, $\mathsf{Sp} = -\infty\mathsf{CatSp}$, $\mathsf{CatSp} = \infty\mathsf{CatSp}$. For $n \in \mathbb{Z}$, $n\mathsf{CatSp}$ is a shift of $0\mathsf{CatSp}$.

**Example 3.** ([Hau18][Ste21, §13.3.10]) For $\mathcal{C}$ an $(\infty,1)$-category with finite limits, $n\mathsf{Span}(\mathcal{C})$ is the $(\infty,n)$-category with the same objects as $\mathcal{C}$, whose 1-morphism from $x$ to $y$ is a span $x \leftarrow z \to y$, 2-morphisms are spans of spans, and so on, up through $n$-morphisms. We see $n\mathsf{Span}(\mathcal{C}) \in n\mathsf{SMCat}$ by objectwise cartesian product. Then $\{n\mathsf{Span}(\mathcal{C})\}$ forms a 0-categorical spectrum.

**Example 4.** ([Ste21, §13.3.6]) *n-modules and presentable n-categories*: Let $\mathsf{V} \in \mathsf{CAlg}(\mathsf{Pr}^{\mathsf{L}})$. One can define a (large) 1-categorical spectrum $\underline{\mathsf{V}} = \{n\mathsf{Mod}_{\mathsf{V}}\}$ by iterating the construction $\mathsf{V} \mapsto \mathsf{Mod}_{\mathsf{V}}(\mathsf{Pr}^{\mathsf{L}})$ and enhancing them to $(n+1)$-categories[6]. In particular, we define the categorical spectra $\{n\mathsf{Pr}\} := \underline{\mathsf{S}}$ and $\{n\mathsf{Pr}_{\mathrm{st}}\} := \underline{\mathsf{Sp}}$ of presentable (stable) $n$-categories. If $A$ is an $\mathbb{E}_\infty$-ring, we define $\underline{A} := \vec{\Omega}\underline{\mathsf{Mod}}_A(\mathsf{Sp})$.

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[5]This is taken from [Joh23a]. I used Pic until recently, but now I adopt the 0-th level notation for consistency.

[6]It does not work naively as $\mathsf{Mod}_{\mathsf{V}}(\mathsf{Pr}^{\mathsf{L}})$ is not presentable in the original universe, but it can be fixed by working in the very large $(\infty,1)$-category $\mathsf{CAT}^{\mathrm{ccpl}}$ of cocomplete $(\infty,1)$-categories and appropriately pushing the resulting object back into the original universe.

**Example 5.** ([Hau17][JS17][Ste21, §13.3.12]) Morita categorical spectra: If $\mathcal{C}$ is a symmetric monoidal $n$-category with good relative tensor products, we can associate an $n$-categorical spectrum $\{\mathsf{Morita}_k(\mathcal{C})\}_k$. An object of $\mathsf{Morita}_k(\mathcal{C})$ is an $\mathbb{E}_k$-algebras in $\mathcal{C}$, a morphism $A \to B$ is an $\mathbb{E}_{k-1}$-algebra in $(A, B)$-bimodules, and so on.

**Example 6.** For finite $n$ and an $(\infty, n)$-category $\mathcal{C}$, [Lur09, §3.2] outlines the definition of the $n$-category $\mathsf{Fam}_n^k(\mathcal{C})$. Roughly speaking, it is the $n$-category of spans of $k$-truncated $\pi$-finite spaces coherently decorated by cells of $\mathcal{C}$. There is a morphism $\mathcal{C} \to \mathsf{Fam}_n^k(\mathcal{C})$ exhibiting $\mathsf{Fam}_n^k(\mathcal{C})$ as the universal $k$-semiadditive $n$-category under $\mathcal{C}$, as proven by [Har20] in the $n = 1$ case and the general case (including the definition of $k$-semiadditive $n$-category) is announced by [Sch23]. If $\mathcal{C}$ itself is $k$-semiadditive, it gives the *finite path integral* functor[7] $\int : \mathsf{Fam}_n^k(\mathcal{C}) \to \mathcal{C}$. If $X = (X_n)$ is a categorical spectrum, almost by definition $\mathsf{Fam}^k(X) := \{\mathsf{Fam}_n^k(X_n)\}_{n \geq 0}$ forms a categorical spectrum. One can define $k$-semiadditivity of categorical spectra so that $\mathsf{Fam}^k(X)$ is the universal such under $X$.

## 3. "Lax Gray" tensor product of categorical spectra

The tensor product[8] of abelian groups is characterized by the fact that Free : $\mathsf{Set} \to \mathsf{Ab}$ promotes into a symmetric monoidal functor. The tensor product of spectra similarly admits a universal construction, but it took decades for topologists to formulate because of its $(\infty, 1)$-categorical nature[9]. As an example of microcosm principle[10], Lurie first constructed a symmetric monoidal structure $\otimes$ on $\mathsf{Pr}^{\mathsf{L}}$ promoting the presheaf functor $\mathcal{P} : \mathsf{Cat} \to \mathsf{Pr}^{\mathsf{L}}$ to a symmetric monoidal functor. A (commutative) algebra object in $\mathsf{Pr}^{\mathsf{L}}$ is precisely a presentably (symmetric) monoidal category.

**Remark 3.1** ([Lur17]). $\Sigma_+^\infty : \mathsf{S} \to \mathsf{Sp}$ is an idempotent $\mathbb{E}_0$-algebra in $\mathsf{Pr}^{\mathsf{L}}$, i.e., $\Sigma_+^\infty \otimes \mathrm{id} : \mathsf{Sp} \simeq \mathsf{S} \otimes \mathsf{Sp} \to \mathsf{Sp} \otimes \mathsf{Sp}$ is an equivalence. Since the forgetful functor $\mathsf{CAlg}^{\mathrm{idem}}(\mathsf{Pr}^{\mathsf{L}}) \to \mathsf{Alg}_{\mathbb{E}_0}^{\mathrm{idem}}(\mathsf{Pr}^{\mathsf{L}})$ is an equivalence, $\mathsf{Sp}$ uniquely promotes to an object of $\mathsf{CAlg}(\mathsf{Pr}^{\mathsf{L}})$ whose unit is the sphere spectrum $\mathbb{S}$.

This robust implementation (together with the whole $\infty$-categorical setup) unlocked the explosive development of spectral algebraic geometry and algebraic $K$-theory in the past 15 years or so.

**Question 3.2** (=1.1). *Can we similarly equip the $(\infty, 1)$-category $\mathsf{CatSp}$ of categorical spectra with a canonical presentably (symmetric) monoidal structure?*

The answer turns out to be tricky. We cannot expect $\vec{\Sigma}_+^\infty : \mathsf{S} \hookrightarrow \mathsf{Cat}_{(\infty,\infty)} \to \mathsf{CatSp}$ to be an idempotent $\mathbb{E}_0$-algebra in $\mathsf{Pr}^{\mathsf{L}}$, as the category $\mathsf{Cat}_{(\infty,\infty)}$ is already not idempotent over $\mathsf{S}$. One can more reasonably ask if $\vec{\Sigma}_+^\infty : \mathsf{Cat}_{(\infty,\infty)} \to \mathsf{CatSp}$ is idempotent, but to make sense of it, we must choose a monoidal structure on $\mathsf{Cat}_{(\infty,\infty)}$. The obvious choice would be the Cartesian monoidal structure, but the suspension fails to be a module map over it for a simple reason[11]: if $X, Y$ are $m, n$-categories respectively, then $X \wedge \vec{\Sigma}Y$ is a $\max\{m, n + 1\}$-category, while $\vec{\Sigma}(X \wedge Y)$ is a $\max\{m, n\} + 1$-category, so we have $X \wedge \vec{\Sigma}Y \not\simeq \vec{\Sigma}(X \wedge Y)$ in general. In other words, the suspension is not given by smashing $\vec{S}^1 := \mathrm{B}\mathbb{N} = \vec{\Sigma}S^0$, where $\mathrm{B}\mathbb{N}$ is the free 1-category on an object and an endomorphism.

To fix this, we adopt a monoidal structure, the *(lax Gray) tensor product*, that acts additively on the category levels. Recall the full subcategory $\square = \{\square^n \mid n \geq 0\} \subset \mathsf{Cat}_{(\infty,\infty)}$ of *the cubes*. The first few examples of the cubes are depicted below:

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[7] The importance of this functor is explained in [Fre+09]. $\mathsf{Fam}_n^k(\mathcal{C})$ classifies classical field theories, and the composition with $\int$ gives the *quantization*. An important example is the Dijkgraaf-Witten theory.

[8] Monoidal structures are assumed to distribute over colimits. We say "*presentably* symmetric monoidal" to emphasize.

[9] Boardman[Boa65] provided a definition that is $(1, 1)$-categorical but close in spirit to the description here.

[10] The microcosm principle says that to talk about an object with a certain structure (e.g. a commutative monoid), you must first equip the ambient category with the corresponding structure (e.g. a symmetric monoidal structure).

[11] However, the connective part $\mathsf{CatSp}^{\mathrm{cn}}$ can be easily given a symmetric monoidal structure; as in [GGN16], for any $\mathcal{C} \in \mathsf{Pr}^{\mathsf{L}}$, one has $\mathsf{CMon}(\mathcal{C}) \simeq \mathsf{CMon}(\mathsf{S}) \otimes \mathcal{C}$ and $\mathsf{CMon}(\mathsf{S}) \in \mathsf{CAlg}^{\mathrm{idem}}(\mathsf{Pr}^{\mathsf{L}})$, so a unique symmetric monoidal structure $\circledast$ making $\mathsf{Free}_{\mathbb{E}_\infty} : \mathcal{C}^\times \to \mathsf{CMon}(\mathcal{C})^\circledast$ symmetric monoidal. This product $\circledast$ does not commute with delooping.

$$\square^0 \qquad \square^1 \qquad \square^2 \qquad \square^3$$
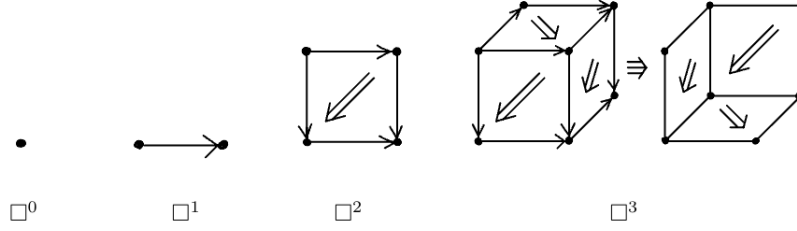
The *tensor product* $\otimes$ on $\mathsf{Cat}_{(\infty,\infty)}$ is a presentably monoidal structure characterized by $\square^n = (\square^1)^{\otimes n}$. The uniqueness follows from the density of $\square \subset \mathsf{Cat}_{(\infty,\infty)}$ [Cam22], and the existence follows from Loubaton's thesis [Lou23], which builds on previous works [Ver08][VRO23][12]. The internal hom of the tensor product is the $(\infty,\infty)$-category of functors and lax natural transformations.

**Remark 3.3.** We denote the pointed version ("lax smash" product) by $\oslash$. The suspension functor can be identified with $(-) \oslash \vec{S}^1$, which has the obvious structure of left $\mathsf{Cat}^{\oslash}_{(\infty,\infty),*}$-module morphism.

It does not make sense to ask idempotence of a left module over a noncommutative algebra due to the lack of relative tensor products. The technical key is to promote $\vec{\Sigma}^\infty$ into a map in $\mathsf{BMod}_{\mathsf{Cat}_{(\infty,\infty),*}}(\mathsf{Pr}^\mathsf{L})$. It turns out that $\vec{\Sigma}^2$ can be promoted to a bimodule map by proving that $\vec{S}^1$ is "half-central" with respect to the total dual $(-)^\circ : \mathsf{Cat}_{(\infty,\infty),*} \to \mathsf{Cat}_{(\infty,\infty),*}$[13]:

**Theorem 3.4.**
(1) *The suspension $\vec{\Sigma}$ canonically lifts to* $\mathrm{Hom}_{\mathsf{End}(\mathsf{BCat}^{\oslash}_{(\infty,\infty),*})}(\mathrm{id}, (-)^\circ)$. *As a consequence, $\vec{\Sigma}^2$ and $\vec{\Sigma}^\infty_+$ canonically promotes to an $\mathsf{Cat}^{\otimes}_{(\infty,\infty)}$-bimodule morphism.*
(2) $\vec{\Sigma}^\infty_+ : \mathsf{Cat}_{(\infty,\infty)} \to \mathsf{CatSp}$ *is an idempotent $\mathbb{E}_0$-algebra in* $\mathsf{BMod}_{\mathsf{Cat}^{\otimes}_{(\infty,\infty)}}(\mathsf{Pr}^\mathsf{L})$. *In particular, it uniquely promotes to an $\mathbb{E}_1$-algebra structure.*
(3) *The presentably monoidal structure on $\mathsf{CatSp}$ given by forgetting along the lax monoidal functor* $\mathsf{BMod}_{\mathsf{Cat}^{\otimes}_{(\infty,\infty)}}(\mathsf{Pr}^\mathsf{L}) \to \mathsf{Pr}^\mathsf{L}$ *satisfies the universal property of* $\mathsf{Cat}^{\otimes}_{(\infty,\infty)}[(\vec{S}^1)^{-1}]$.

**Remark 3.5.** The tensor product acts additively on category levels: $n\mathsf{CatSp} \otimes m\mathsf{CatSp} \to (n+m)\mathsf{CatSp}$. In particular, $0\mathsf{CatSp}$ is a monoidal subcategory. When $n = -\infty$, it means $\mathsf{Sp} \subset \mathsf{CatSp}$ is a smashing localization by $\mathbb{S}$. We have $\mathsf{Alg}(\mathsf{Sp}) \simeq \mathsf{Alg}_{\mathbb{S}}(\mathsf{CatSp}) \subset \mathsf{Alg}(\mathsf{CatSp})$.

**Remark 3.6.** $\infty\mathsf{SMCat} \simeq \mathsf{CatSp}^{\mathrm{cn}} \subset \mathsf{CatSp}$ is a monoidal subcategory. It follows that $\infty\mathsf{SMCat}$ admits a unique presentably monoidal structure $\otimes$ that promotes $\mathrm{Free}_{\mathbb{E}_\infty} : \mathsf{Cat}^{\otimes}_{(\infty,\infty)} \to \infty\mathsf{SMCat}^{\otimes}$ to a monoidal functor. From footnote 11, $\infty\mathsf{SMCat}$ also has a symmetric monoidal structure $\circledast$. The identity functor $\infty\mathsf{SMCat}^{\circledast} \to \infty\mathsf{SMCat}^{\otimes}$ becomes lax monoidal and the two monoidal structures agree on $\mathsf{CMon}(\mathsf{S})$. In particular, we have the inclusion $\mathsf{Rig}_{\mathbb{E}_1}(\mathsf{S}) \hookrightarrow \mathsf{Alg}(\mathsf{CatSp})$, generalizing the previous remark.

**Remark 3.7.** With an appropriate cocomplete variant of tensor product of categorical spectra in $\mathsf{CATSP}^{\mathrm{ccpl}}$, we expect that $\mathsf{CAlg}(\mathsf{S}) \to \mathsf{CATSP}^{\mathrm{ccpl}}; R \mapsto \underline{R}$ of Example 4 has a lax monoidal structure.

## 4. Categorical spectra with duals and cobordsims

Categorical spectra naturally arise in the study of functorial field theories. The domains are some sort of cobordism categories and the typical targets are the higher module categories. An important feature of cobordism categories is the *cobordism hypothesis*; they have a universal characterization as the free symmetric monoidal higher categories with duals (with some extra structure). In this section, we discuss them from the viewpoint of categorical spectra.

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[12]Loubaton proves the equivalence of $\mathsf{Cat}_{(\infty,\infty)}$ and a combinatorial model called complicial sets, where the Gray tensor product was constructed by Verity. It is not obvious if the transferred tensor product satisfies the characterization, but it follows from the fact that the 0-truncation commutes with the Verity's tensor product and that gaunt categories are closed under Gray cylinders in $\mathsf{Cat}_{(\infty,\infty)}$[Lou23, Theorem 4.3.3.26], as communicated by Loubaton. A less model-dependent approach is taken in [CM23] for $(\infty, 2)$-categories, but the extension to $(\infty, \infty)$-category is not straightforward. We will only use the model-independent characterization.

[13]It is the monoidal involution that flips the source and the target of cells of all dimensions.

We say an $(\infty, n)$-category has adjoints if, for $k < n$, any $k$-morphism has both left and right adjoints. Note that it is not reasonable to require the existence of adjoints for $n$-morphisms because a top-dimensional cell admits an adjoint iff it is invertible. In particular, the statement "$\mathcal{C}$ is an $(\infty, n)$-category with adjoints" depends on $n$ and implies either that $X$ is an $(\infty, n)$-cateogry but not an $(\infty, n-1)$-category or that $X$ is an $\infty$-groupoid. This leads to the following category-level dependent definition of *categorcial spectra with duals*:

**Definition 4.1.** Let $-\infty \leq n \leq \infty$. An *$n$-categorical spectrum with duals*[14] is a categorical spectrum $X = (X_k)_{k \geq 0} \in n\mathsf{CatSp}$ where $X_k$ is an $(n+k)$-category with adjoints.

We have $n\mathsf{CatSp}^{\mathrm{dual}} \cap m\mathsf{CatSp}^{\mathrm{dual}} = \mathsf{Sp} = -\infty\mathsf{CatSp}^{\mathrm{dual}}$ for any $m \neq n$. The inclusion $n\mathsf{CatSp}^{\mathrm{dual}} \hookrightarrow \mathsf{CatSp}$ has a left adjoint $L_n^{\mathrm{dual}}$ that freely adds adjoints to morphisms.

**Theorem 4.2.** *The localizations $L_n^{\mathrm{dual}} : n\mathsf{CatSp} \to n\mathsf{CatSp}^{\mathrm{dual}}$ are compatible with the graded monoidal structure on $\{n\mathsf{CatSp}\}$, i.e., for an $L_n^{\mathrm{dual}}$-equivalence $f$ and an $m$-categorical spectrum $X$, the morphisms $f \otimes X$ and $X \otimes f$ are $L_{m+n}^{\mathrm{dual}}$-equivalences. In particular, there exist unique $\mathbb{E}_1$-monoidal structures on $\mathsf{CatSp}^{\mathrm{dual}}$ and $0\mathsf{CatSp}^{\mathrm{dual}}$ promoting $L^{\mathrm{dual}} = L_\infty^{\mathrm{dual}}$ and $L_0^{\mathrm{dual}}$ to monoidal functors, denoted by $\otimes^L$.*

These tensor products potentially restore some commutativity; adding adjoints is a milder version of adding inverses. Concretely, passage to adjoints and mates gives an equivalence $X \to X^{\mathrm{op}}$, so we get $X \otimes^L Y \simeq (X \otimes^L Y)^{\mathrm{op}} \simeq Y^{\mathrm{op}} \otimes^L X^{\mathrm{op}} \simeq Y \otimes^L X$[15]. I am currently working to promote this into a braiding of $(0)\mathsf{CatSp}^{\mathrm{dual}}$:

**Conjecture 1.** The graded $\mathbb{E}_1$-monoidal structure on $\{n\mathsf{CatSp}^{\mathrm{dual}}\}$ promotes to an $\mathbb{E}_2$-structure.

Ideally, we would like an $\mathbb{E}_\infty$ structure, but the choice of identifications $X \to X^{\mathrm{op}}$, e.g., if I use left or right adjoint, may affect the canonicity of braiding, so $\mathbb{E}_2$ seems to be a sensible conjecture for now.

**Remark 4.3.** The framed cobordism hypothesis says that, for $0 \leq n < \infty$, the category $\mathrm{B}^\infty\mathsf{Bord}_n^{\mathrm{fr}}$ is the free $n$-categorical spectra on a single fully dualizable object, or equivalently, $\mathrm{B}^{\infty-n}\mathsf{Bord}_n^{\mathrm{fr}}$ is the free 0-categorical spectra on a single fully dualizable $(-n)$-cell, i.e., $L_0^{\mathrm{dual}}(\mathbb{F}[-n])$. The latter makes it clear that the "point" in $\mathsf{Bord}_n^{\mathrm{fr}}$ is secretly given an $n$-framing. They can even be combined into a single tensor algebra $\bigoplus_{n \geq 0} \mathrm{B}^{\infty-n}\mathsf{Bord}_n^{\mathrm{fr}} = L_0^{\mathrm{dual}}\mathrm{Tens}(\mathbb{F}[-1])$, defining the graded $\mathbb{E}_1$-rig structure on bordism categories given by cartesian product of manifolds; one can think of this as encoding various compactifications of field theories at once.

**Remark 4.4.** The *stably framed bordism category* $\mathsf{Bord}^{\mathrm{fr}}$ is the colimit along $\mathsf{Bord}_n^{\mathrm{fr}} \to \mathsf{Bord}_{n+1}^{\mathrm{fr}}$ (note that the underlying $n$-category of $\mathsf{Bord}_{n+1}^{\mathrm{fr}}$ has duals, so there exists a unique functor that sends the point to the point). The framed cobordism hypothesis implies the stably framed version $\mathrm{ev}_* : \mathsf{Fun}^\otimes(\mathsf{Bord}^{\mathrm{fr}}, \mathcal{C}) \xrightarrow{\sim} \mathcal{C}^\simeq$, for any $\mathcal{C} \in \infty\mathsf{SMCat}^{\mathrm{dual}}$. In our language, we have $L^{\mathrm{dual}}\mathbb{F} \simeq \mathrm{B}^\infty\mathsf{Bord}^{\mathrm{fr}}$.

Using the internal hom of categorical spectra, one can enhance the stably framed cobordism hypothesis:

**Corollary 4.5.** *If $X \in \mathsf{CatSp}^{\mathrm{dual}}$, we have $[\mathrm{B}^\infty\mathsf{Bord}^{\mathrm{fr}}, X] \xrightarrow{\sim} X$ for the internal hom $[-, -]$ of $\mathsf{CatSp}$.*

In other words, by considering lax natural transformations, we recover the whole object $X$, not just its underlying groupoid. $\mathsf{Bord}_n^{\mathrm{fr}}$ has a unique algebra structure as any tensor unit does, which is given by the cartesian product on manifolds. This category-level incarnation of the ring structure of the sphere was speculated in [Yua], but it requires our language to correctly formulate. The infinite piecewise-linear group PL acts on $\mathsf{Bord}^{\mathrm{fr}}$ by change of stable framings, which allows us to define the cobordism categories with various stable tangential structures as *categorical Thom spectra*. Corollary 4.5 implies that PL acts on any categorical spectra with duals.

We would like a similar enhancement of the cobordism hypothesis for $\mathsf{Bord}_n^{\mathrm{fr}}$, but the obvious analog with the internal hom of 0-categorical spectra fails, and some of the consequences, analogous to above, are too strong to be true. I am attempting to salvage this, and relatedly, working to interpret stronger variants of the cobordism hypothesis, including the cobordism hypothesis with singularities and the tangle hypothesis, in terms of corepresenting categorical spectra.

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[14]The existence of adjoints in $X_{n+1}$ forces the existence of duals in the symmetric monoidal $\infty$-category $X_n \simeq \vec{\Omega}X_{n+1}$.

[15]$(-)^{\mathrm{op}} : \mathsf{CatSp} \to \mathsf{CatSp}$ is an antimonoidal involution that flips all odd-dimensional stable cells.

## 5. Open Directions

Because of the novel nature of this project, there are a plethora of interesting problems to be asked.

### 5.1. Deeper Brauer spectrum and categorical etale topology.

Recall from Example 4 that if $R$ is an $\mathbb{E}_\infty$-ring, we can associate a categorical spectrum $\underline{R}$ by considering higher module categories. $\mathbb{G}_m(\underline{R})$ is a classical (but typically not bounded below) spectrum and contains valuable information about $R$: for instance, $\vec{\Omega}^{\infty-2}\mathbb{G}_m(\underline{R}) = \mathrm{Br}(R)$ is the Brauer space of $R$. The structure of $\mathrm{Br}(R)$ is well-understood (when $R$ is connective) by [AG14] using etale cohomology. The key input here was the etale local triviality of Brauer groups. So far, nothing analogous is known for the "deeper Brauer spectrum" $\mathbb{G}_m(\underline{R})$. The twist here is that the theory of categorical spectra thinks that the usual etale topology is too coarse. For instance, even though $\mathbb{C}$ is classically algebraically closed field, the super vector spaces $\underline{\mathsf{sVect}}_\mathbb{C}$ gives an extension of $\underline{\mathsf{Vect}}_\mathbb{C}$. It was announced in [Joh23a](where I took the word "deeper" from) that, at least when $X$ is (a small version of) "presentable stable" 0-categorical spectrum and $X_0$ contains $\mathbb{Q}$, one can define the *etale homotopy type* $\mathrm{et}(X) \in \mathrm{Pro}(\mathsf{S}_{\mathrm{fin}})$, and that the *Galois-closedness* $(\mathrm{et}(X) \simeq *)$ of $X$ implies $\mathbb{G}_m(X) = I_{\mathbb{Q}/\mathbb{Z}}$. This type of result, in conjunction with the following fundamental problem, should give a structural understanding of $\mathbb{G}_m(\underline{R})$:

**Problem 1.** *Give the "categorically-etale" topology on (suitably presentable stable) categorical spectra so that $R \mapsto \mathbb{G}_m(\underline{R})$ forms a sheaf. Do we need to generalize the notion of topos and sheaves?*

A (1-)categorical version of etaleness has received attention also in tensor triangulated geometry (see e.g. [Ram23][NP23]), and I speculate that these fields will eventually meet each other.

### 5.2. Algebraic K-theory.

It is an interesting problem to define the K-theory of suitably compactly generated or dualizable presentable stable categorical spectra, including the class of $\underline{\mathsf{Mod}}_R$, in a way that $K(\underline{\mathsf{Mod}}_R)$ enhances the classical $K(R)$, and is also related to secondary $K$-theory of [MS21] and higher. It should admit a trace map to higher Hochschild homology; this should appear as the value of the tori of the TQFT defined using the theory of higher quasicoherent sheaves of [Ste21]. Optimistically, one hopes that the redshift phenomena get some illuminating description here, in light of the "category level vs chromatic level" picture, along the lines of [TV09][BDR03][BS23]. Another hope is that the appearance of lax pullbacks in the elegant description of the $K$-theory of pullbacks of $\mathbb{E}_1$-rings in [LT19] gets an illuminating explanation.

### 5.3. Reduction principles in higher category theory.

One major difficulty working with categorical spectra is the lack of a robust reduction principle, corresponding to the $t$-structure in stable categories and spectral sequences. The root of the problem seems to be that a categorical hierarchy is something more closed than the homotopical hierarchy: it is easy to "leak out" from one homotopical level to higher by colimits, so things can be decomposed into simpler pieces by colimits. Since categorical levels are closed under limits and colimits, the role of decomposing objects must be replaced by lax colimits (unstraightening is a particular example). They are in general harder to control, but we still hope for usable reduction principles in restricted settings, for instance, for suitably stable presentable categorical spectra or when stable cells are fully dualizable.

### 5.4. Stability of higher categories.

Theorem 3.4 implies that being a $\mathsf{CatSp}$-module is a property of $\mathsf{Cat}^\otimes_{(\infty,\infty)}$-bimodules. This corresponds to the fact that being an $\mathsf{Sp}$-module is a property (stability) of presentable $(\infty,1)$-categories. In $(\infty,1)$-category theory, the stability has intrinsic characterizations. It is desirable to have ones for $\mathsf{CatSp}$-modules. The difficulty here is in understanding the $\mathsf{Cat}^\otimes_{(\infty,\infty)}$-bimodule structure itself. The data amount to the *Gray cylinder* endofunctors $(-) \otimes \square^1$ and $\square^1 \otimes (-)$ together with infinitely many coherence data (coming from $\square$) and conditions (forcing the action of $\mathcal{P}(\square)$ to factor through $\mathsf{Cat}_{(\infty,\infty)}$). Alternatively, one can start from an $(\infty,\infty)$-category $\mathcal{C}$ and contemplate if it has a natural $\mathsf{Cat}^\otimes_{(\infty,\infty)}$-bimodule structure that is stable. Because $\mathcal{C}$ itself is enriched in $\mathsf{Cat}_{(\infty,\infty)}$, it makes sense to talk about (partially) lax limits (in a similar fashion to [Ber20]). It is a meaningful question to characterize $\mathcal{C}$ that gives rise to a $\mathsf{CatSp}$-module in this way.

5.5. **Directed homotopy theory, Boolean and natural cohomology.** Grothendieck's homotopy hypothesis identifies $\infty$-groupoids with weak homotopy types of topological spaces. In the same spirit, $\infty$-categories can be thought of as homotopy types of *directed* spaces. Categorical spectra are (co)homology theory on such. From this viewpoint, we have the following conceptual problem:

**Problem 2.** *Give an excisive-functor-style description of categorical spectra.*

This is closely related to a characterization of stability we speculated, and is inevitable if we are to develop (first-order) Goodwillie calculus or the cotangent complex formalism.

Cohomology theories given by ordinary spectra see no directed information: if $X$ is a directed space and $E$ is a spectrum, $E^*(X) = [\vec{\Sigma}^\infty_+ X, E] \simeq [\vec{\Sigma}^\infty_+ X \otimes \mathbb{S}, E] \simeq E^*(|X|)$, where $|X|$ is a groupoidification of $X$. The next basic example would be the Eilenberg-Mac Lane spectra of semifields. Finite semifields are either a finite field $\mathbb{F}_q$ or the Boolean semifield $\mathbb{B}$, whose addition is idempotent; $1 + 1 = 1$. This "characteristic one" linear algebra over $\mathbb{B}$ is developed in [CC19]. [Gus+23] shows that projective $\mathbb{B}$-module valued 1-dimensional TQFTs with defects correspond to nondeterministic finite state automata. Analogous to [Mil58], the following problem is of fundamental computational interest:

**Problem 3.** *Compute the Steenrod algebra $[H\mathbb{B}, H\mathbb{B}]$ and the dual Steenrod algebra $H\mathbb{B} \otimes H\mathbb{B}$. What about the natural cohomology $H\mathbb{N}$?*

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