# Subspaces

Geometric Algorithms Lecture 16

#### Practice Problem

$$A = \begin{bmatrix} 1 & 0 & -1 & 2 \\ 2 & 0 & 2 & 3 \\ 1 & 4 & 0 & 2 \end{bmatrix} \xrightarrow{R_3 \leftarrow 2R_3} \begin{bmatrix} 3 & 0 & 1 & 5 \\ 2 & 8 & 0 & 4 \\ 2 & 0 & 2 & 3 \end{bmatrix} = B$$

 $R_1 \leftarrow R_1 + R_2$ 

Consider the following pair of matrices A and B which are row equivalent. Find a matrix E such that EA = B.

#### Answer

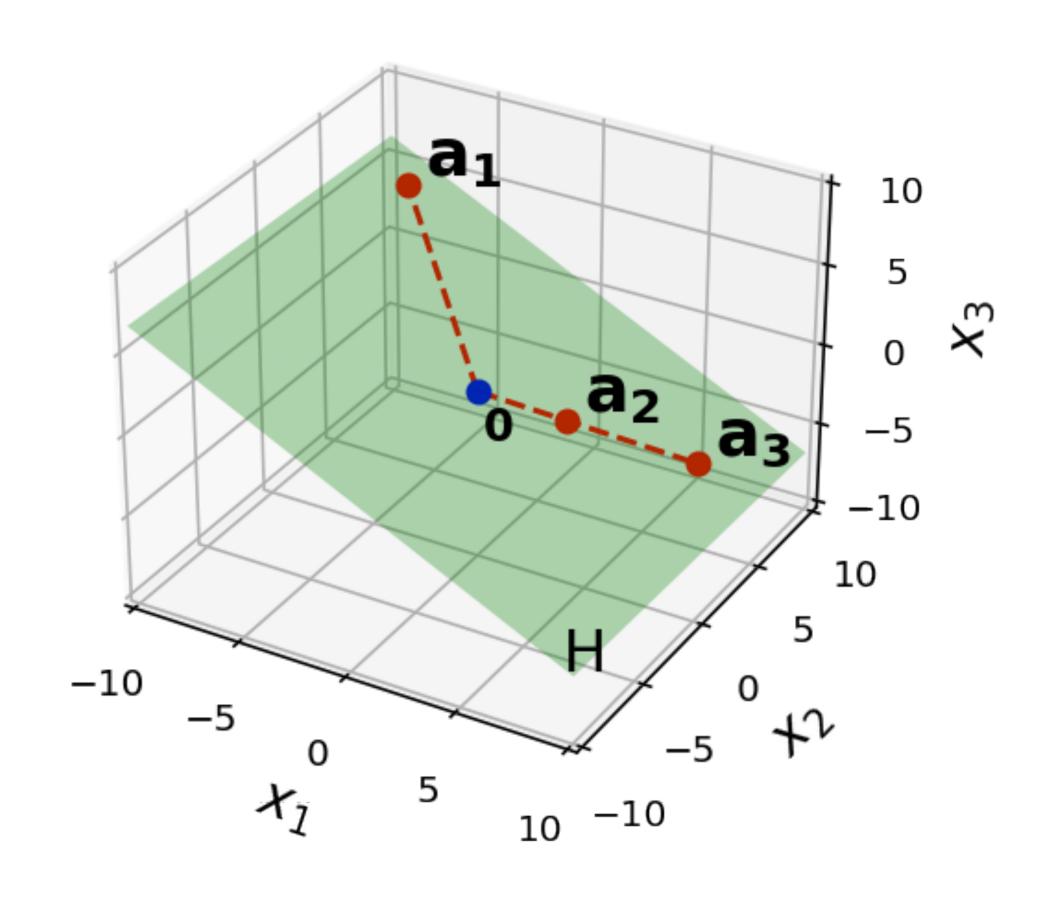
#### Objectives

- 1. Introduce the fundamental notions of subspaces and bases
- 2. Extend our intuitions about planes in  $\mathbb{R}^3$  to subspaces in  $\mathbb{R}^n$
- 3. Connected subspaces to matrices so that we can use the techniques we been honing in this course

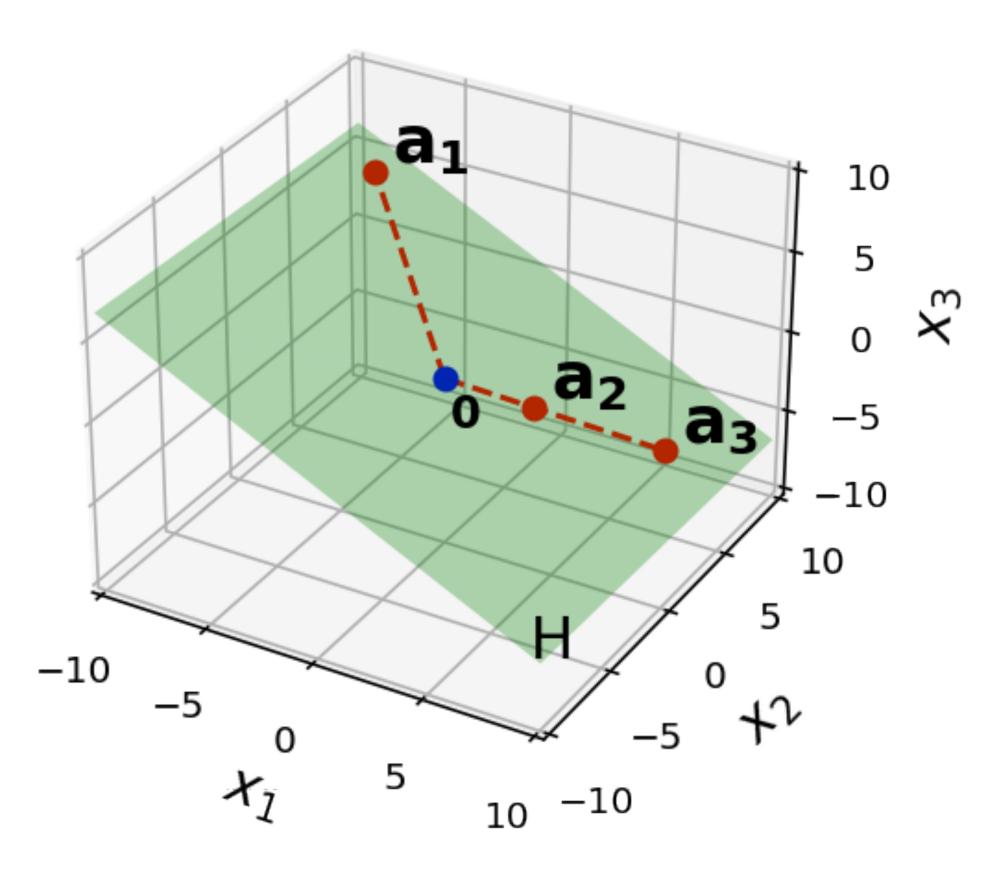
#### Keywords

```
subspace
closed under addition
closed under scaling
column space
null space
basis
```

# Subspaces

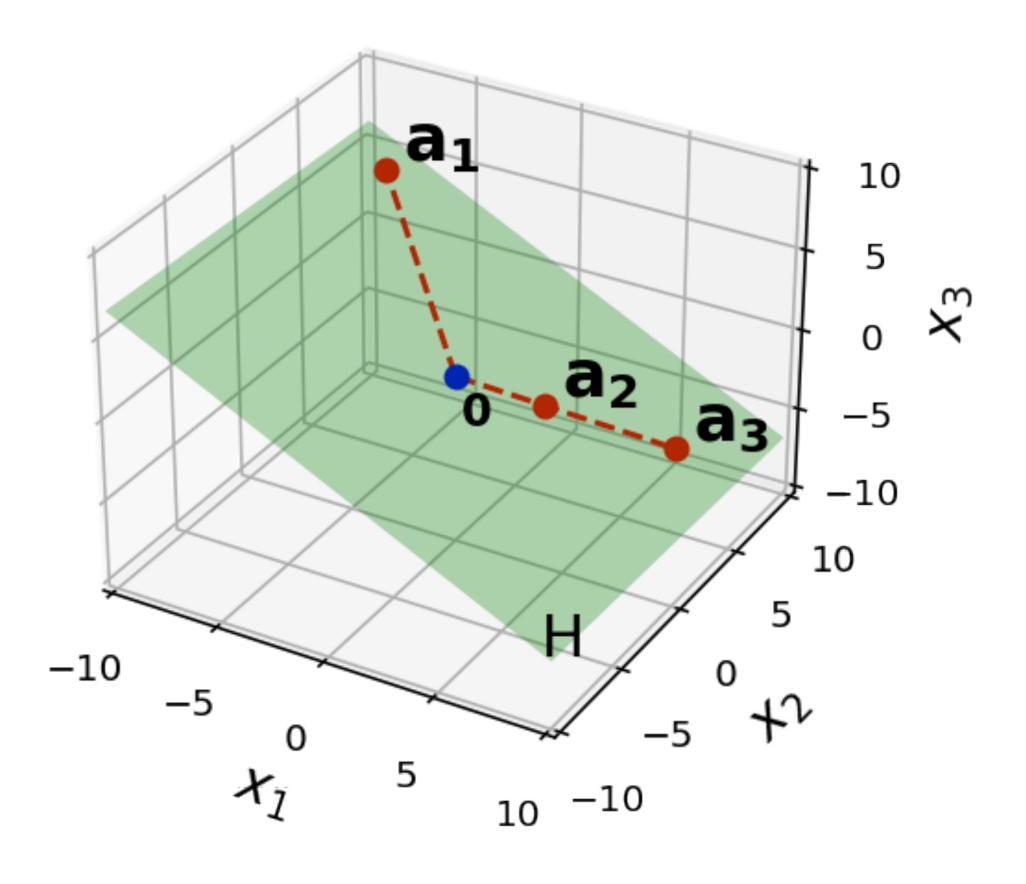


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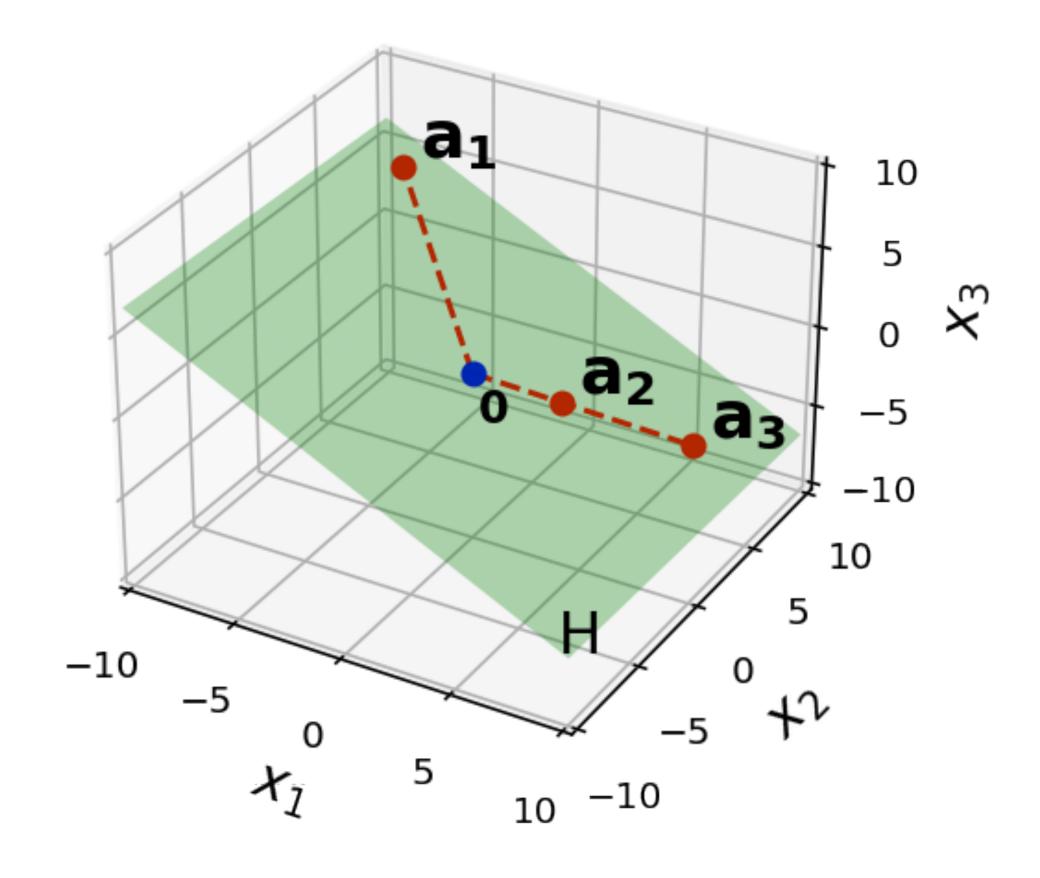
A plane in  $\mathbb{R}^3$  looks like a (possibly tilted) copy of  $\mathbb{R}^2$ 



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Subspaces *generalize* this idea.

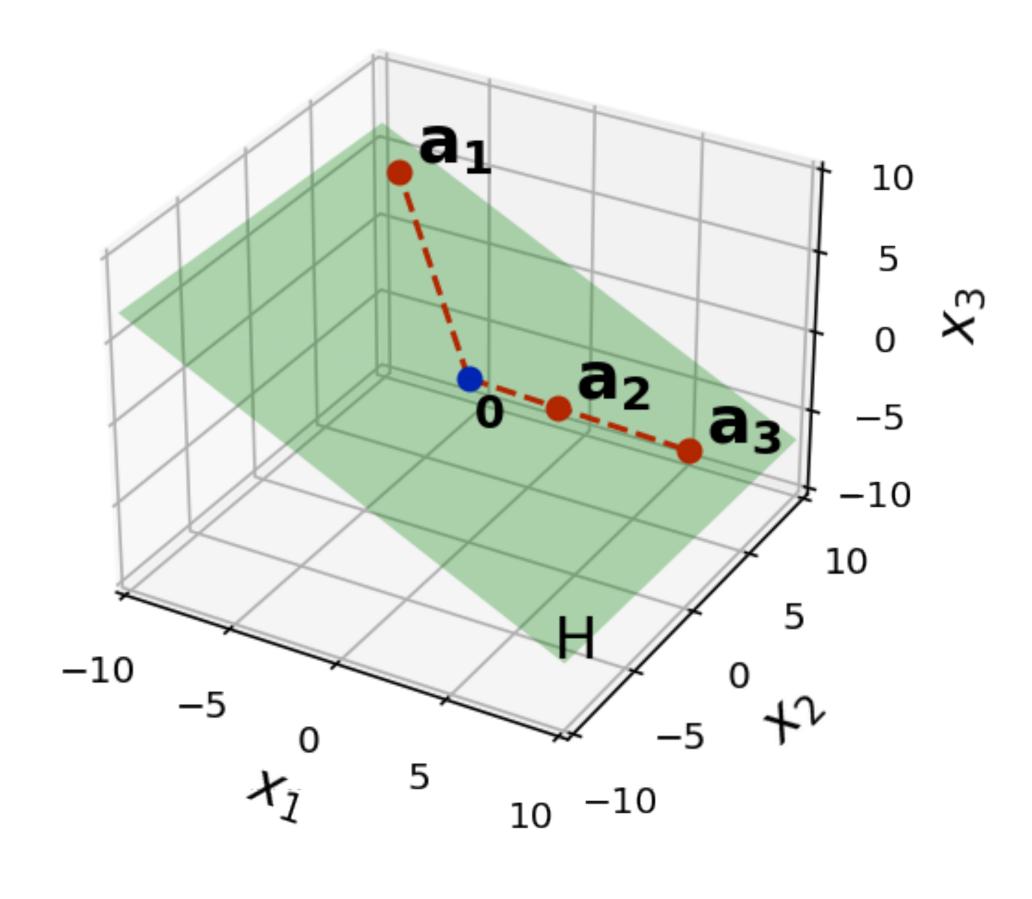


"sub" means "part of" or "below"

A plane in  $\mathbb{R}^3$  looks like a (possibly tilted) copy of  $\mathbb{R}^2$ 

Subspaces *generalize* of this idea.

For example, there can be a "possibly tilted copy" of  $\mathbb{R}^3$  sitting in  $\mathbb{R}^5$ 

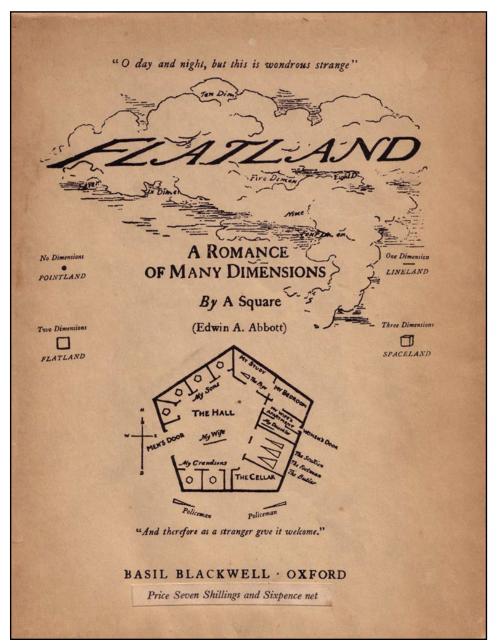


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You would never "know" if that plane was sitting in some 3D space, and you'd never know if it was tilted.

Flatland by Edwin A. Abbott

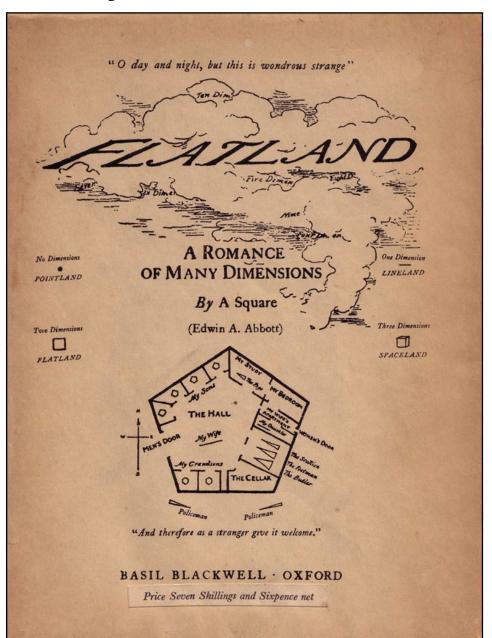


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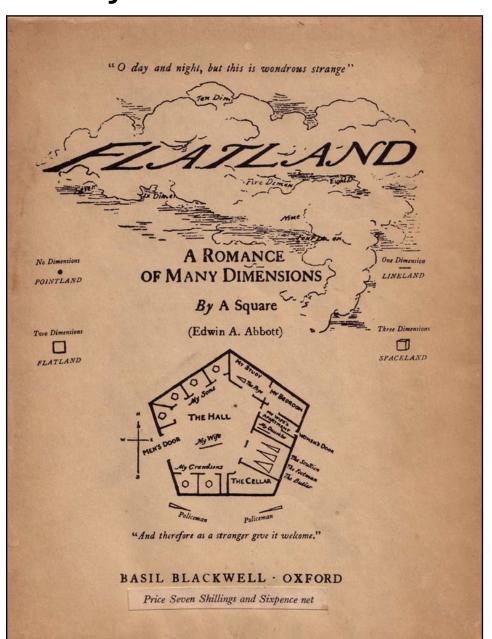
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**The moral.** We have to be careful regarding our intuitions about higher—dimensional subspaces.

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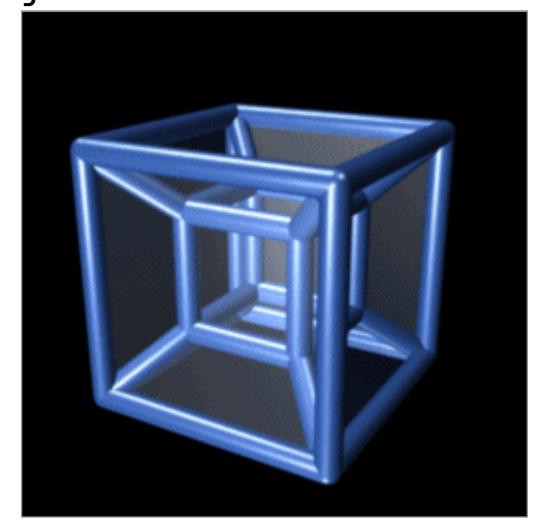
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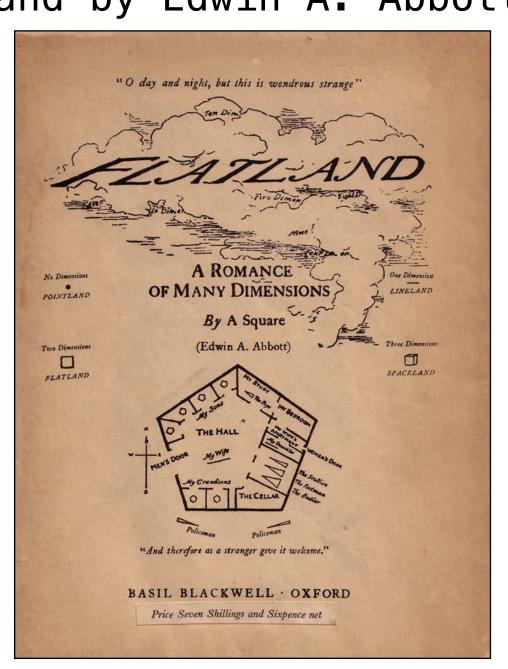
You'd have to be "on the outside" to see this.

**The moral.** We have to be careful regarding our intuitions about higher-dimensional subspaces.

A 3D subspace of  $\mathbb{R}^7$  "looks like" 3D space from the inside, but from the outside it may be "tilted."

Projection of the 4D cube





# Subspace (Algebraic Definition)

**Definition.** A **subspace** of  $\mathbb{R}^n$  is a set H of vectors in  $\mathbb{R}^n$  such that

- 1. for every  $\mathbf{u}$  and  $\mathbf{v}$  in H, the vector  $\mathbf{u} + \mathbf{v}$  is in H
- **2.** for every  ${\bf u}$  in H and scalar c, the vector  $c{\bf u}$  is in H

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# Subspace (Algebraic Definition)

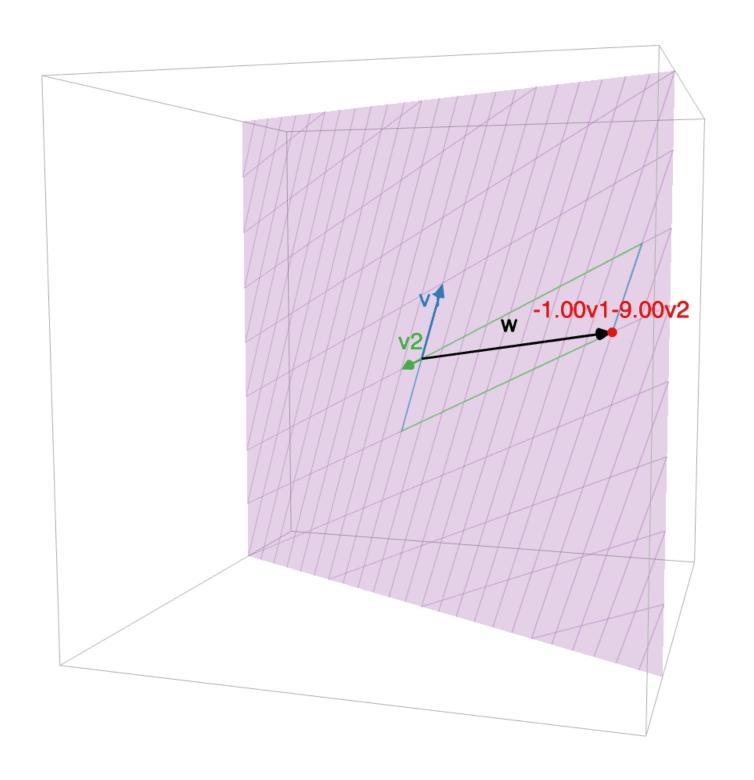
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- 2. for every  $\mathbf{u}$  in H and scalar c, the vector  $c\mathbf{u}$  is in H is closed under scaling
  - !! Subspaces must "live" somewhere !!

#### How to Think About this Definition

It's not possible to "leave" *H* by addition or scaling.

(recall this is also how we discussed spans)



Question. Verify that H is a subspace of  $\mathbb{R}^n$ .

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**Question.** Verify that H is a subspace of  $\mathbb{R}^n$ . Solution.

- 1. Show that if  $\mathbf{u}$  and  $\mathbf{v}$  are in H then so is  $\mathbf{u} + \mathbf{v}$ .
- 2. Show that if  ${\bf u}$  is in  ${\cal H}$  then so is  $c{\bf u}$  for any scalar  $c{\bf .}$

Question. Verify that H is not a subspace of  $\mathbb{R}^n$ .

**Question.** Verify that H is *not* a subspace of  $\mathbb{R}^n$ . **Solution.** 

Find  $\mathbf{u}$  and  $\mathbf{v}$  in H such that  $\mathbf{u} + \mathbf{v}$  is not in H.

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Find  $\mathbf{u}$  and  $\mathbf{v}$  in H such that  $\mathbf{u} + \mathbf{v}$  is not in H.

**OR** 

Find  ${\bf u}$  in  ${\cal H}$  such that  $c{\bf u}$  is not in  ${\cal H}$  for some scalar c.

## Subspaces must include the origin

**Fact.** For any subspace H of  $\mathbb{R}^n$ , the zero vector is in H. In set notation:  $\mathbf{0} \in H$ 

Verify:  $\overrightarrow{V} \in H$  color  $\overrightarrow{V} = \overrightarrow{V} = \overrightarrow{V$ 

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Find  ${\bf u}$  in H such that  $c{\bf u}$  is not in H for some scalar  $c{\bf .}$ 

**OR** 

Show that 0 is not in H.

# Example: The Origin

**Fact.** The set  $\{\mathbf{0}_n\}$  is a subspace of  $\mathbb{R}^n$  Verify:

### Example: $\mathbb{R}^n$

**Fact.** The set  $\mathbb{R}^n$  is a subspace of  $\mathbb{R}^n$  (in other words,  $\mathbb{R}^n$  is a subspace of itself).

### Example: Spans

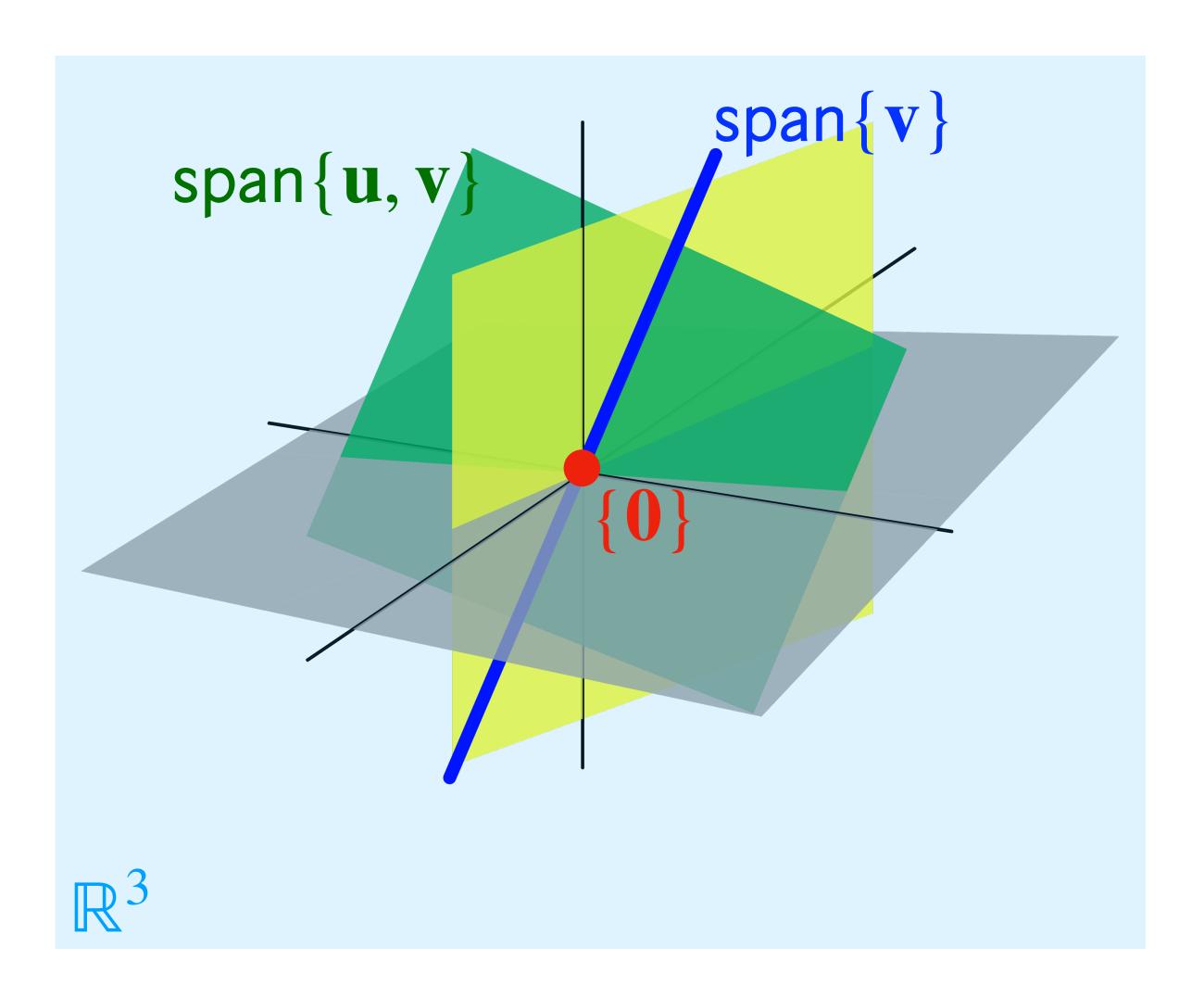
**Fact.** For any set of vectors  $\mathbf{v}_1, \mathbf{v}_2, ..., \mathbf{v}_n$  of  $\mathbb{R}^n$ , the set  $\text{span}\{\mathbf{v}_1, \mathbf{v}_2, ..., \mathbf{v}_n\}$  is a subspace of  $\mathbb{R}^n$ .

Verify:

## Subspace in $\mathbb{R}^3$ (Geometrically)

There are only 4 kinds of subspaces of  $\mathbb{R}^3$ :

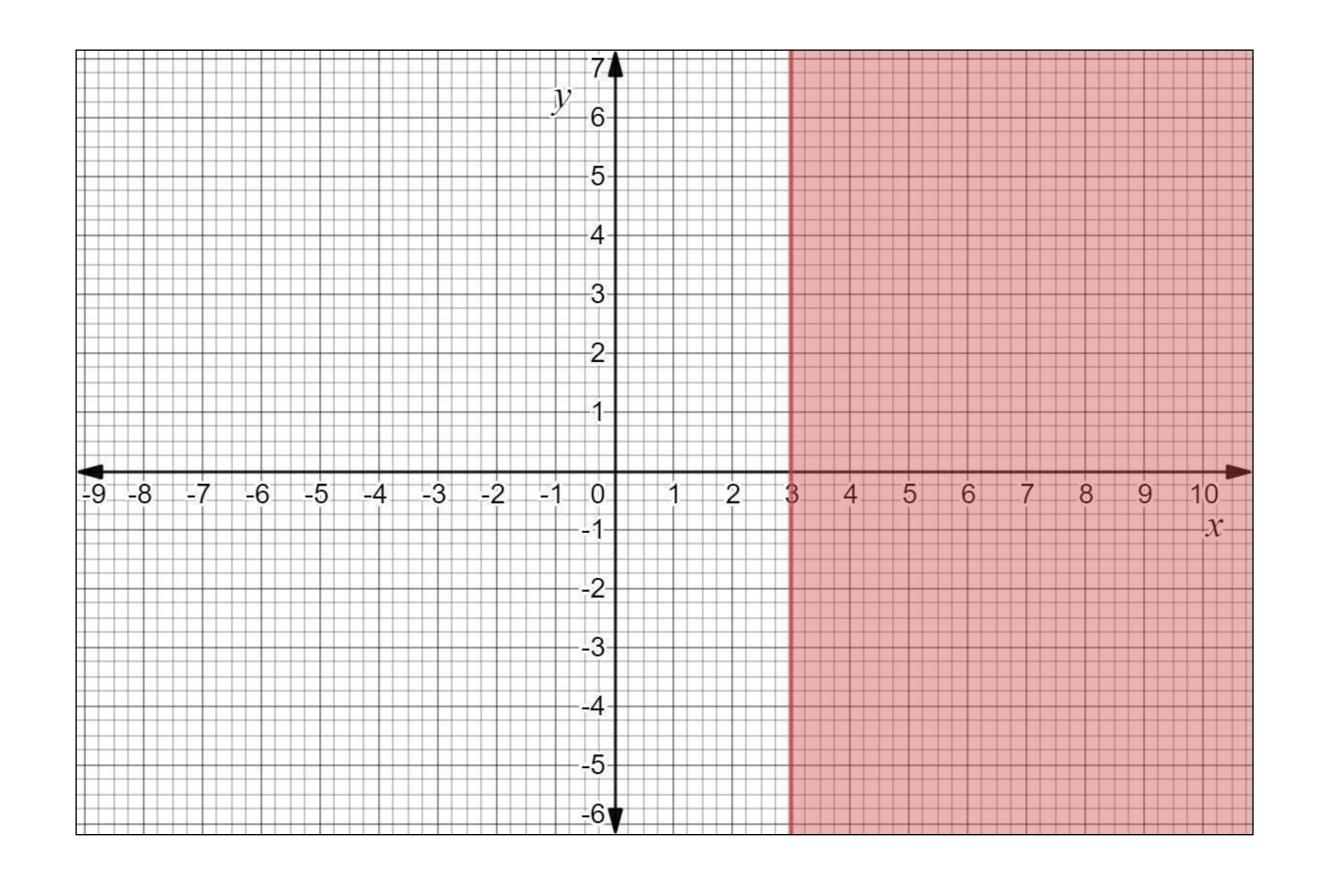
- 1. {0} just the origin
- 2. lines (through the origin)
- 3. planes (through the origin)
- 4. All of  $\mathbb{R}^3$



#### Non-Example: Bounded Sets

Fact. The set  $\{(x,y): x \geq 3\}$  is *not* a subspace of  $\mathbb{R}^2$ .

Verify:



#### Question

- 1. Show that the unit sphere  $\{(x,y,z): x^2+y^2+x^2=1\}$  is <u>not</u> a subspace of  $\mathbb{R}^3$ .
- 2. Show that the range of a linear transformation  $T: \mathbb{R}^m \to \mathbb{R}^n$  is a subspace of  $\mathbb{R}^n$ .

## Answer (1)

## Answer (2)

### How To: Subspaces and Span

**Question.** Show that  $\mathbf{v}$  lies in the subspace generated by  $\mathbf{u}_1, \dots, \mathbf{u}_k$ .

**Solution.** Show that  $\mathbf{v}$  is in  $\mathrm{span}\{\mathbf{u}_1,\ldots,\mathbf{u}_k\}$ .

We will start using "subspace generated by" and "span of" interchangeably.

# Subspaces and Matrices

Since matrices can be viewed as...

- » collections of vectors
- » implementing linear transformations

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Today we'll look at:

- » column space
- » null space

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The column space of a matrix is the span of its columns.

The column space of a matrix is the <u>range</u> of the linear transformation it implements.

### Subspace of What?

$$m \mid \begin{bmatrix} | & | & \dots & | & | \\ \mathbf{a}_1 & \mathbf{a}_2 & \dots & \mathbf{a}_{n-1} & \mathbf{a}_n \\ | & | & \dots & | & | \end{bmatrix}$$

$$c_1\mathbf{a}_1 + c_2\mathbf{a}_2 + \dots c_n\mathbf{a}_n$$
 is a vector in  $\mathbb{R}^m$ 

Col(A)

is a subspace of

 $\mathbb{R}^m$ 

#### Examples

$$A = \begin{bmatrix} 1 & 0 & 1 \\ 0 & 2 & 1 \\ 1 & 0 & 0 \end{bmatrix} \qquad B = \begin{bmatrix} 1 & -1 & 4 \\ 2 & -2 & 5 \\ 3 & -3 & 6 \end{bmatrix}$$

Col(A) is all of  $\mathbb{R}^3$ 

$$Col(B) is just span \left\{ \begin{bmatrix} 1\\2\\3 \end{bmatrix}, \begin{bmatrix} 4\\5\\6 \end{bmatrix} \right\}$$

# Null Space

### Null Space

**Definition.** The **null space** of a matrix A, written Nul(A) or Nul(A), is the set of all solutions to the homogenous equation

$$A\mathbf{x} = \mathbf{0}$$

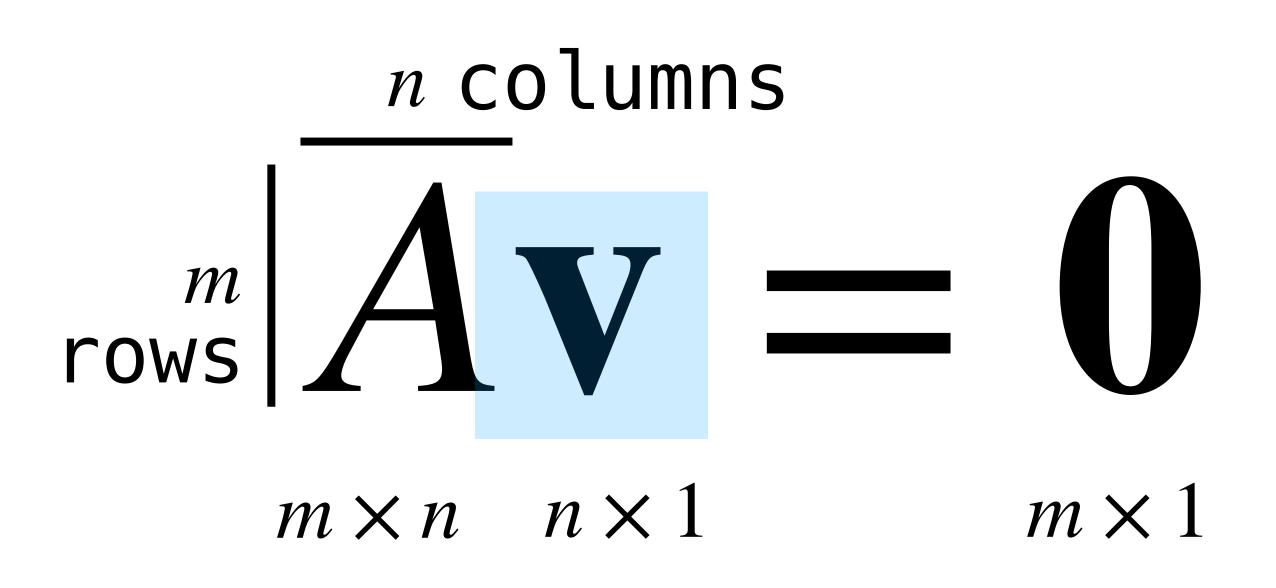
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The null space of a matrix A is the set of all vectors that are mapped to the zero vector by A.

### Subspace of What?



 $\mathbf{v}$  is a vector in  $\mathbb{R}^n$ 

Nul(A)

is a subspace of

 $\mathbb{R}^n$ 

#### The Null Space is a Subspace

**Fact.** For any  $m \times n$  matrix A, the set Nul(A) is a subspace of  $\mathbb{R}^n$ .

Verify:

#### Examples

$$A = \begin{bmatrix} 1 & 0 & 1 \\ 0 & 2 & 1 \\ 1 & 0 & 0 \end{bmatrix} \qquad B = \begin{bmatrix} 1 & -1 & 4 \\ 2 & -2 & 5 \\ 3 & -3 & 6 \end{bmatrix}$$

$$Nul(A) = \{0\}$$
 Verify:

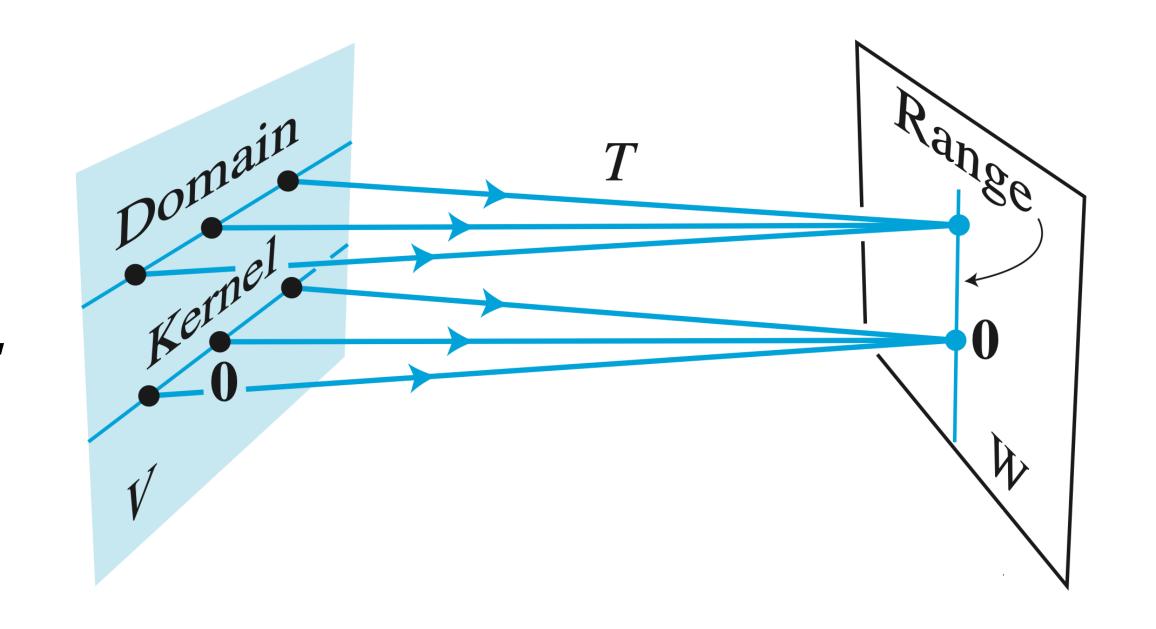
$$Nul(B) = span\{[1 \ 1 \ 0]^T\}$$

### Linear Transformations Perspective

If A implements the linear transformation T then:

 $\gg \operatorname{Col}(A)$  is the same as  $\operatorname{ran}(T)$ , where vectors are "sent" by T

» Nul(A) is the set of vectors
"zeroed out" by T, which is
sometimes called the kernel
of T.



### Comparing Column Space and Null Space

The column space and the null space live can live in entirely different spaces.

The point. They are not easily comparable

Contrast Between Nul A and Col A for an m x n Matrix A	
Nul A	Col A
1. Nul A is a subspace of $\mathbb{R}^n$ .	1. Col A is a subspace of $\mathbb{R}^m$ .
<ol> <li>Nul A is implicitly defined; that is, you are given only a condition (Ax = 0) that vectors in Nul A must satisfy.</li> </ol>	2. Col A is explicitly defined; that is, you are told how to build vectors in Col A.
<ol> <li>It takes time to find vectors in Nul A. Row operations on [ A 0 ] are required.</li> </ol>	3. It is easy to find vectors in Col A. The columns of A are displayed; others are formed from them.
<b>4</b> . There is no obvious relation between Nul <i>A</i> and the entries in <i>A</i> .	<b>4</b> . There is an obvious relation between Col <i>A</i> and the entries in <i>A</i> , since each column of <i>A</i> is in Col <i>A</i> .
5. A typical vector $\mathbf{v}$ in Nul A has the property that $A\mathbf{v} = 0$ .	5. A typical vector $\mathbf{v}$ in Col A has the property that the equation $A\mathbf{x} = \mathbf{v}$ is consistent.
<ol> <li>Given a specific vector v, it is easy to tell if v is in Nul A. Just compute Av.</li> </ol>	<ol> <li>Given a specific vector v, it may take time to tell if v is in Col A. Row operations on [A v] are required.</li> </ol>
7. Nul $A = \{0\}$ if and only if the equation $A\mathbf{x} = 0$ has only the trivial solution.	7. Col $A = \mathbb{R}^m$ if and only if the equation $A\mathbf{x} = \mathbf{b}$ has a solution for every $\mathbf{b}$ in $\mathbb{R}^m$ .
8. Nul $A = \{0\}$ if and only if the linear transformation $\mathbf{x} \mapsto A\mathbf{x}$ is one-to-one.	8. Col $A = \mathbb{R}^m$ if and only if the linear transformation $\mathbf{x} \mapsto A\mathbf{x}$ maps $\mathbb{R}^n$ onto $\mathbb{R}^m$ .

#### (just for reference)

# Bases

We've already said spans are subspaces, but the <a href="converse">converse</a> true too.

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A basis is a "minimal" choice of these vectors.

A basis is a "compact representation" of a subspace.

#### Recall: Standard Basis

**Definition.** The *n*-dimensional standard basis vectors (or standard coordinate vectors) are the vectors  $\mathbf{e}_1, ..., \mathbf{e}_n$  where

$$\mathbf{e}_{i} = \begin{bmatrix} 0 \\ 0 \\ \vdots \\ 0 \\ i \end{bmatrix} \begin{bmatrix} 1 \\ 2 \\ i \\ -1 \end{bmatrix}$$

$$0 \\ i + 1 \\ \vdots \\ 0 \\ n \end{bmatrix}$$

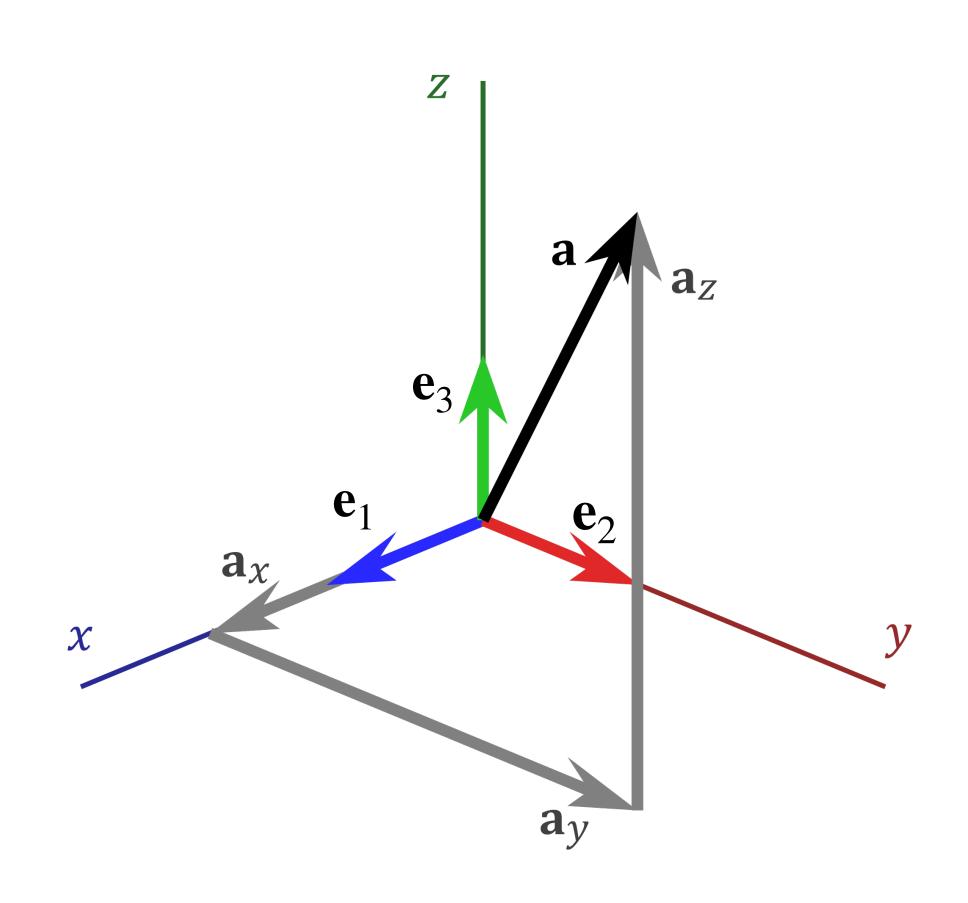
$$n - 1$$

#### Recall: Standard Basis

**Definition (Alternative).** The n-dimensional standard basis vectors  $\mathbf{e}_1, ..., \mathbf{e}_n$  are the columns of the  $n \times n$  identity matrix.

$$I = [\mathbf{e}_1 \ \mathbf{e}_2 \ \dots \ \mathbf{e}_n]$$

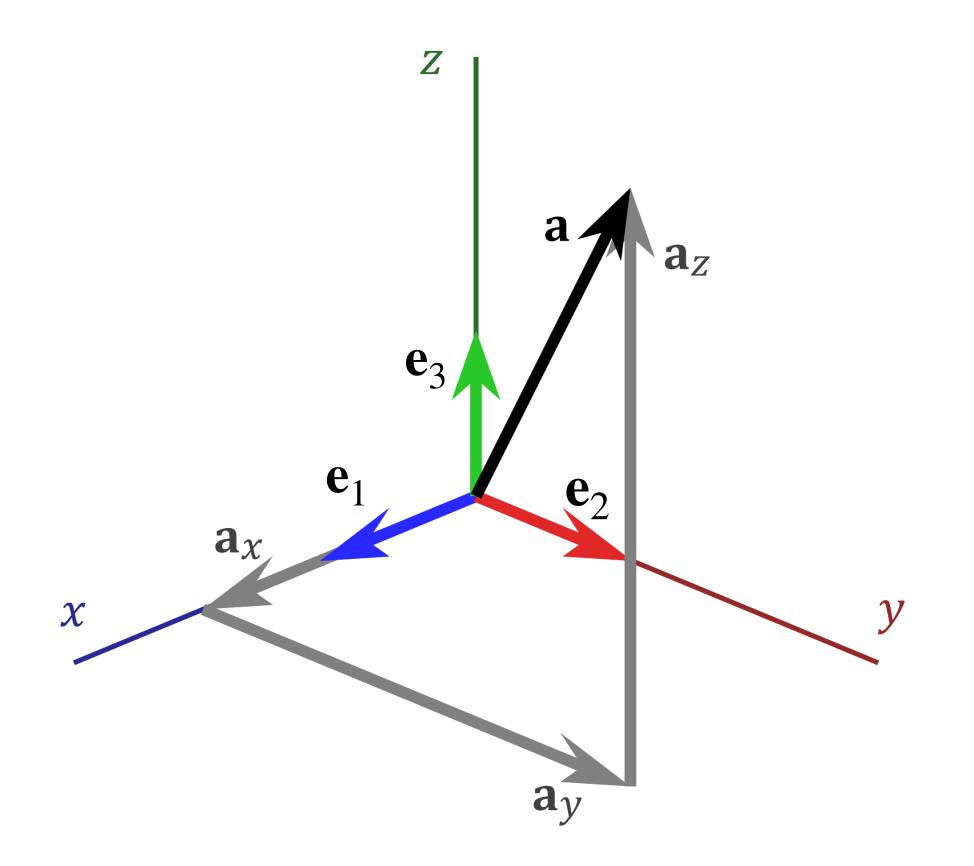
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The n standard basis vectors in  $\mathbb{R}^n$ :

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- $\gg$  span all of  $\mathbb{R}^n$

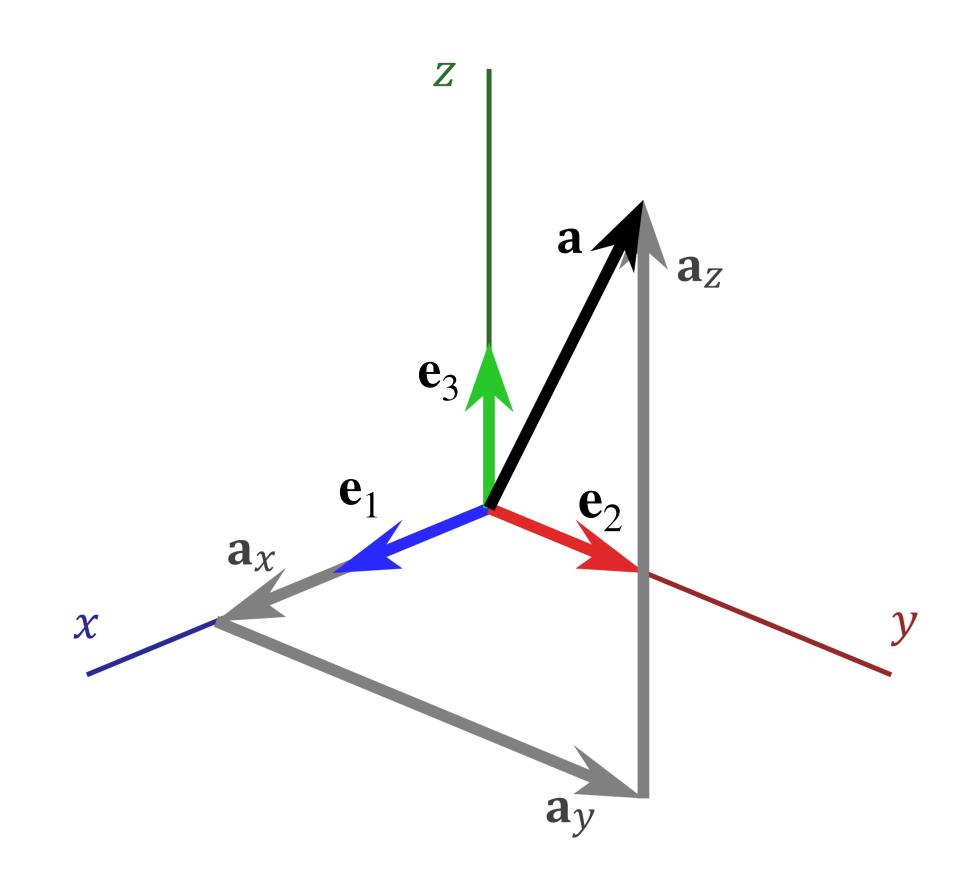


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Their span is as "large" as possible while the set of vectors generating the span is as "small" as possible.



# Basis

#### Basis

**Definition.** A **basis** for a subspace H of  $\mathbb{R}^n$  is a linearly independent set  $\{\mathbf{v}_1, \mathbf{v}_2, ..., \mathbf{v}_k\}$  of vectors that spans H (in symbols:  $H = \text{span}\{\mathbf{v}_1, \mathbf{v}_2, ..., \mathbf{v}_k\}$ ).

A basis is a minimal set of vectors which spans all of H.

# Example: Standard basis

The standard basis is a basis of  $\mathbb{R}^n$ .

$$\begin{bmatrix} v_1 \\ v_2 \\ \vdots \\ v_n \end{bmatrix} = v_1 \mathbf{e}_1 + v_2 \mathbf{e}_2 + \dots + v_n \mathbf{e}_n$$

Column vectors are just weights for a linear combination of the standard basis

# **Example: Column Space of Invertible Matrices**

**Fact.** The columns of an invertible  $n \times n$  matrix form a basis of  $\mathbb{R}^n$ .

Verify:

**Theorem.** If the vectors  $\mathbf{v}_1$ ,  $\mathbf{v}_2$ ,..., $\mathbf{v}_k$  span a subspace H then a subset of them form a basis of H.

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How do we do this?

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We can *remove* vectors from a spanning set until we get a basis.

How do we do this?

As usual, by connecting back to matrices.

#### Question

$$\left\{ \begin{bmatrix} 1\\2\\3 \end{bmatrix}, \begin{bmatrix} 0\\-2\\-3 \end{bmatrix}, \begin{bmatrix} -1\\-2\\3 \end{bmatrix} \right\}$$

Is this set of vectors a basis for  $\mathbb{R}^3$ ?

#### Answer

**Solving tip.** A set of vectors in  $\mathbb{R}^n$  spans  $\mathbb{R}^n$  if the standard basis is in their span.

# Bases of Column Space and Null Space

#### The Goal of this Last Section

Determine how to find <u>bases</u> for the **column space** and the **null space** of a given matrix.

# How to: Finding a basis for the null space

**Question.** Given a  $m \times n$  matrix A find a basis for Nul(A).

# How to: Finding a basis for the null space

**Question.** Given a  $m \times n$  matrix A find a basis for Nul(A).

The idea. Describe the solutions of  $A\mathbf{x} = \mathbf{0}$  as linear combination of vectors

# Example $A \sim \begin{bmatrix} 1 & -2 & 0 & -1 & 3 \\ 0 & 0 & 1 & 2 & -2 \\ 0 & 0 & 0 & 0 & 0 \end{bmatrix}$

Suppose A has the above reduced echelon form. Let's write down a general form solution for A:

#### Parametric Solutions

We can think of our general form solution as a (linear) transformation.

$$x_1 = 2x_2 + x_4 - 3x_5$$
 $x_2$  is free
 $x_3 = (-2)x_4 + 2x_5$ 
 $x_4$  is free
 $x_5$  is free

"given values for  $x_2$ ,  $x_3$ , and  $x_4$ , I can give you a solution"

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$$x_{4} \text{ is free}$$

$$x_{5} \text{ is free}$$

$$x_{6} = 2x_{2} + x_{4} - 3x_{5}$$

$$x_{7} = 2x_{7} + x_{7} - 3x_{7}$$

$$x_{8} = (-2)x_{4} + 2x_{5}$$

$$x_{9} = (-2)t + 2u$$

$$t$$

$$u$$

#### Parametric Solutions

We can think of our general form solution as a (linear) transformation. !! this transformation is only linear !! in the case of homogeneous equations!!

$$x_1 = 2x_2 + x_4 - 3x_5$$

$$x_2 \text{ is free}$$

$$x_3 = (-2)x_4 + 2x_5$$

$$x_4 \text{ is free}$$

$$x_5 \text{ is free}$$

$$x_5 \text{ is free}$$

$$x_6 = \begin{bmatrix} s \\ t \\ u \end{bmatrix} \mapsto \begin{bmatrix} 2s + t - 3u \\ s \\ (-2)t + 2u \\ t \\ u \end{bmatrix}$$

$$\begin{bmatrix} s \\ t \\ u \end{bmatrix} \mapsto \begin{bmatrix} 2s + t - 3u \\ s \\ (-2)t + 2u \\ t \\ u \end{bmatrix}$$

Let's find the matrix implementing this linear transformation:

$\lceil 2 \rceil$	1	-3
1	0	0
0	<b>-</b> 2	2
0	1	0
0	0	1

```
\begin{bmatrix} 2 & 1 & -3 \\ 1 & 0 & 0 \\ 0 & -2 & 2 \\ 0 & 1 & 0 \\ 0 & 0 & 1 \end{bmatrix}
```

Every solution to  $A\mathbf{x} = \mathbf{0}$  can be written as an image of this transformation.

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So every solution can be written as a linear combination of its <u>columns</u>.

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```

Every solution to  $A\mathbf{x} = \mathbf{0}$  can be written as an image of this transformation.

So every solution can be written as a linear combination of its <u>columns</u>.

The columns of this matrix span Nul(A).

```
\begin{bmatrix} 2 & 1 & -3 \\ 1 & 0 & 0 \\ 0 & -2 & 2 \\ 0 & 1 & 0 \\ 0 & 0 & 1 \end{bmatrix}
```

The columns of this matrix are linearly independent.

Verify:

```
\begin{bmatrix} 2 & 1 & -3 \\ 1 & 0 & 0 \\ 0 & -2 & 2 \\ 0 & 1 & 0 \\ 0 & 0 & 1 \end{bmatrix}
```

The columns of this matrix  $\underline{\operatorname{span}}$   $\operatorname{Nul}(A)$ .

The columns of this matrix are linearly independent.

The columns of this matrix form a basis for Nul(A).

Alternatively, we can think of writing a general form solution so that it is a linear combination of vectors with <a href="free variables as weights">free variables as weights</a>:

$$x_1 = 2x_2 + x_4 - 3x_5$$
  
 $x_2$  is free  
 $x_3 = (-2)x_4 + 2x_5$   
 $x_4$  is free  
 $x_5$  is free

# How to: Finding a basis for the null space

**Question.** Given a  $m \times n$  matrix A find a basis for Nul(A).

#### Solution.

- 1. Find a general form solution for  $A\mathbf{x} = \mathbf{0}$ .
- 2. Write this solution as a linear combination of vectors where the free variables are the weights.
- 3. The resulting vectors form a basis for Nul(A).

#### An Observation

The *number* of vectors in the basis we found is the same as the number of <u>free variables</u> in a general form solution.

$$x_{1} = 2x_{2} + x_{4} - 3x_{5}$$

$$x_{2} \text{ is free}$$

$$x_{3} = (-2)x_{4} + 2x_{5}$$

$$x_{4} \text{ is free}$$

$$x_{5} \text{ is free}$$

$$x_{6} = (-2)x_{4} + 2x_{5}$$

$$x_{6} = (-2)t + 2u$$

$$t$$

$$u$$

#### Practice Problem

$$\begin{bmatrix} 1 & 0 & 7 \\ 0 & 1 & -3 \\ 0 & 0 & 0 \end{bmatrix}$$

Suppose A has the above RREF. Determine a basis for  $A \in A$ 

# Answer

1	0	7 ]
0	1	7 - 3
_0	0	0

# onto column space...

# How To: Finding a basis for the column space

# How To: Finding a basis for the column space

**Question.** Given a  $m \times n$  matrix A, find a basis for Col(A).

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So we also already know *some* subset of columns of A form a basis for Col(A).

**Question.** Given a  $m \times n$  matrix A, find a basis for Col(A).

We already know the columns of A span  $\operatorname{Col}(A)$ .

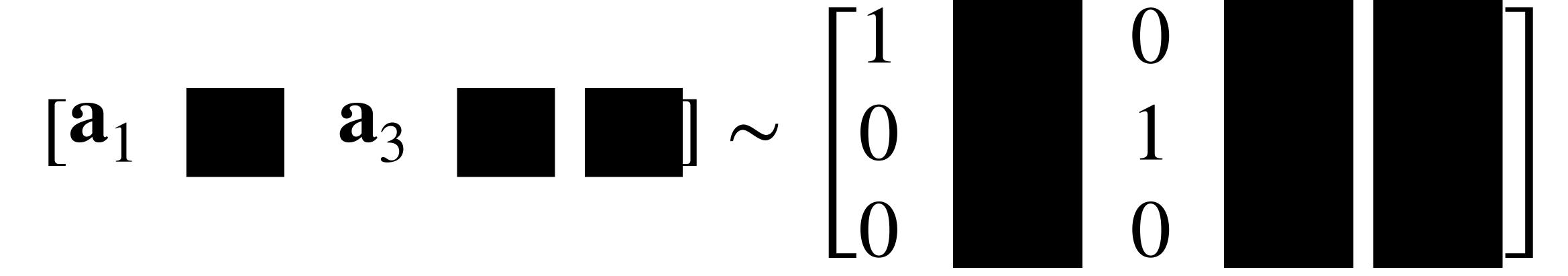
So we also already know *some* subset of columns of A form a basis for Col(A).

Which vectors should we choose?

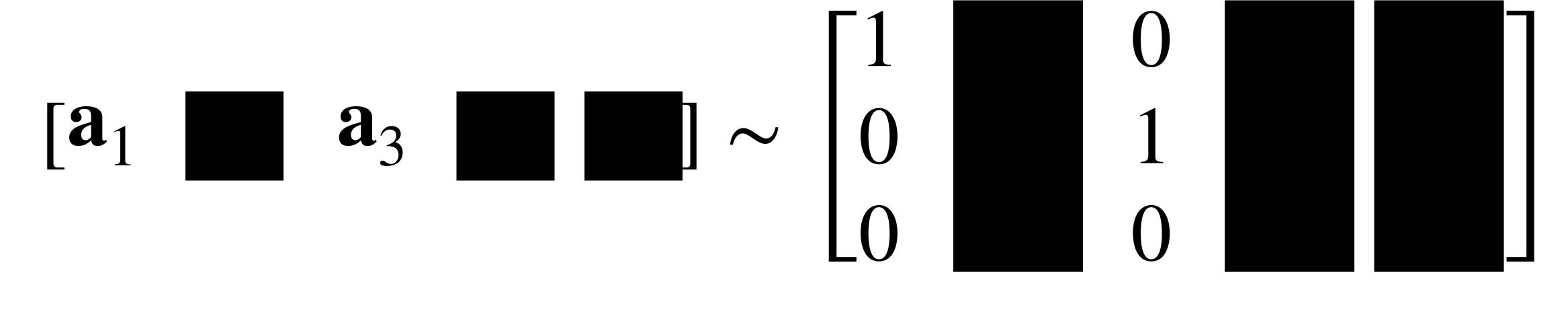
$$\begin{bmatrix} \mathbf{a}_1 & \mathbf{a}_2 & \mathbf{a}_3 & \mathbf{a}_4 & \mathbf{a}_5 \end{bmatrix} \sim \begin{bmatrix} 1 & -2 & 0 & -1 & 3 \\ 0 & 0 & 1 & 2 & -2 \\ 0 & 0 & 0 & 0 & 0 \end{bmatrix}$$

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The idea. What if we cover up the non-pivot columns?



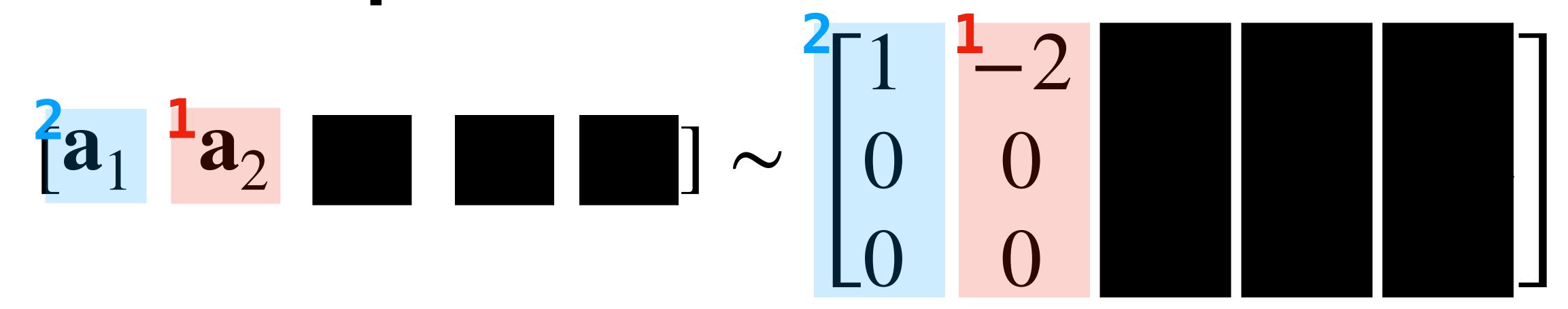
**The idea.** What if we cover up the non-pivot columns? Then we see  $[\mathbf{a}_1 \ \mathbf{a}_3]$  has 2 pivots.



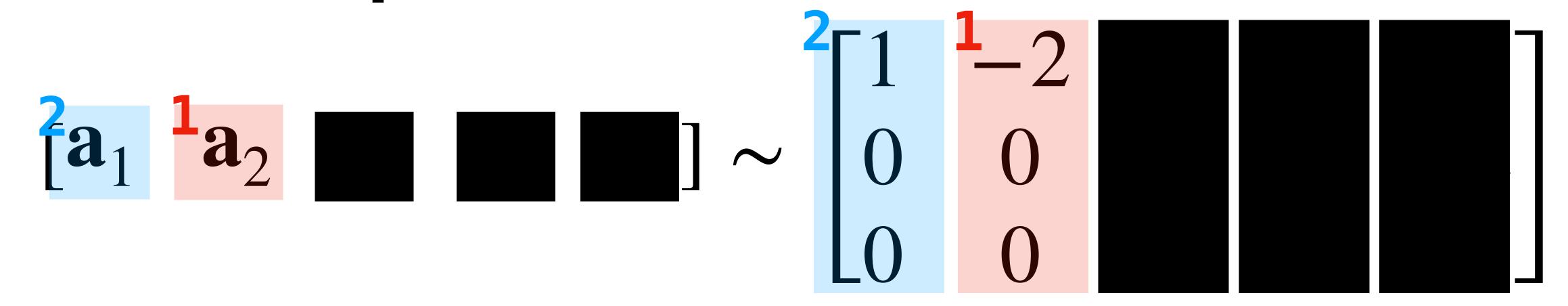
**The idea.** What if we cover up the non-pivot columns? Then we see  $[\mathbf{a}_1 \ \mathbf{a}_3]$  has 2 pivots.

So the pivot columns are <u>linearly independent</u>.

$$\begin{bmatrix} \mathbf{a}_1 & \mathbf{a}_2 & \mathbf{a}_3 & \mathbf{a}_4 & \mathbf{a}_5 \end{bmatrix} \sim \begin{bmatrix} 1 & -2 & 0 & -1 & 3 \\ 0 & 0 & 1 & 2 & -2 \\ 0 & 0 & 0 & 0 & 0 \end{bmatrix}$$



**Observation.**  $[2\ 1\ 0\ 0\ 0]^T$  is a solution to the system  $A\mathbf{x} = \mathbf{0}$ .



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In general, every non-pivot column of  $\boldsymbol{A}$  can be written as a linear combination pivots in front of it.

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In general, every non-pivot column of  $\boldsymbol{A}$  can be written as a linear combination pivots in front of it.

This tells us that  $a_1$  and  $a_3$  span Col(A).

$$\begin{bmatrix} \mathbf{a}_1 & \mathbf{a}_2 & \mathbf{a}_3 & \mathbf{a}_4 & \mathbf{a}_5 \end{bmatrix} \sim \begin{bmatrix} 1 & -2 & 0 & -1 & 3 \\ 0 & 0 & 1 & 2 & -2 \\ 0 & 0 & 0 & 0 & 0 \end{bmatrix}$$

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**The takeaway.** The pivot columns of A form a basis for  $\operatorname{Col}(A)$ .

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**The takeaway.** The pivot columns of A form a basis for Col(A).

!! IMPORTANT !! Choose the columns of A.

( $\mathbf{e}_1$  and  $\mathbf{e}_2$  do not necessarily form a basis for  $\mathsf{Col}(A)$ )

**Question.** Given a  $m \times n$  matrix A, find a basis for Col(A).

#### Solution.

- 1. Find the pivot columns in an echelon form of  $A_{ullet}$
- 2. The associated columns  $\underline{\mathsf{in}}\ A$  form a basis for  $\mathsf{Col}(A)$ .

### General Tip

A lot of information can be gleaned from the (reduced) echelon form of a matrix.

You shouldn't do reductions without thinking, but when you're stuck, reduce and maybe you can find a solution in that matrix.

#### Question

$$A = \begin{bmatrix} 1 & -2 & 19 & 0 & -4 \\ 1 & 0 & 9 & 1 & 1 \\ 1 & -1 & 14 & 1 & -1 \end{bmatrix} \sim \begin{bmatrix} 1 & 0 & 9 & 0 & 0 \\ 0 & 1 & -5 & 0 & 2 \\ 0 & 0 & 0 & 1 & 1 \end{bmatrix}$$

Find a bases for the column space and null space of  $A_{ullet}$ 

#### Answer

### Summary

Subspaces define "tilted versions" of  $\mathbb{R}^k$  in  $\mathbb{R}^n$  (where  $k \leq n$ ).

Bases are compact representation of subspaces as minimal spanning sets.

Matrices have useful associated subspaces like the column space and null space.