

# Administrivia

Homework 5 is due on Thursday by 11:59PM.

There will be no homework assigned over the break, but there will be a written project "proposal" due after the break.

# **The Simply Typed Lambda Calculus: The Curry-Howard Isomorphism**

**Type Theory and Mechanized Reasoning**  
**Lecture 12**

# Objectives

Finish our discussion of strong normalization.

Look at data types in STLC.

Get a peek into the Curry–Howard Isomorphism.

# Strong Normalization of STLC

# Recall: The Simply Typed Lambda Calculus

$$f : \perp \rightarrow \perp \vdash \lambda x. fx : \perp \rightarrow \perp$$

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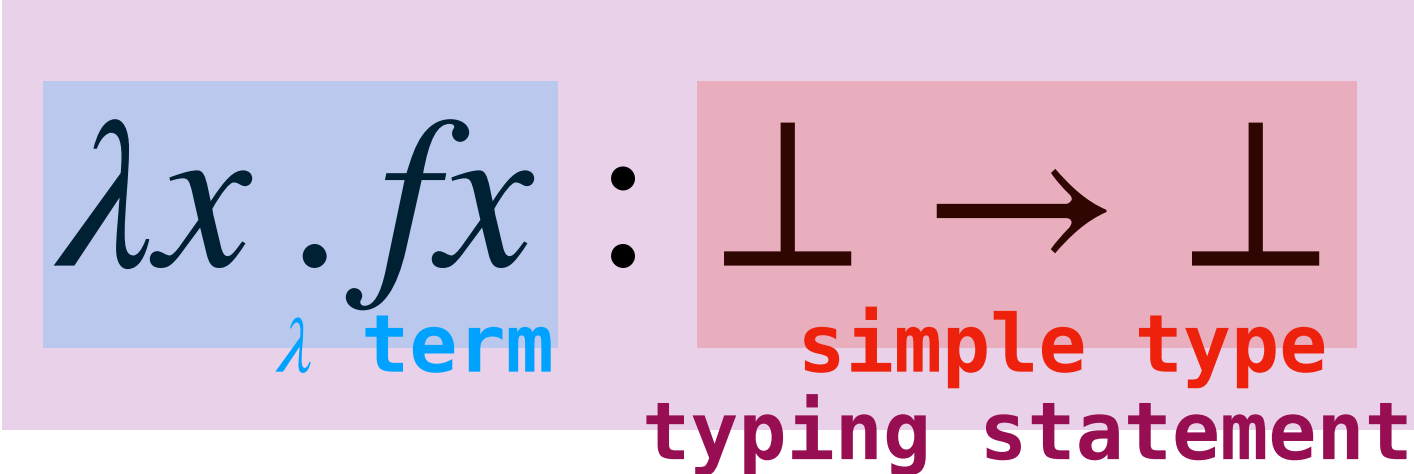
λ term      simple type

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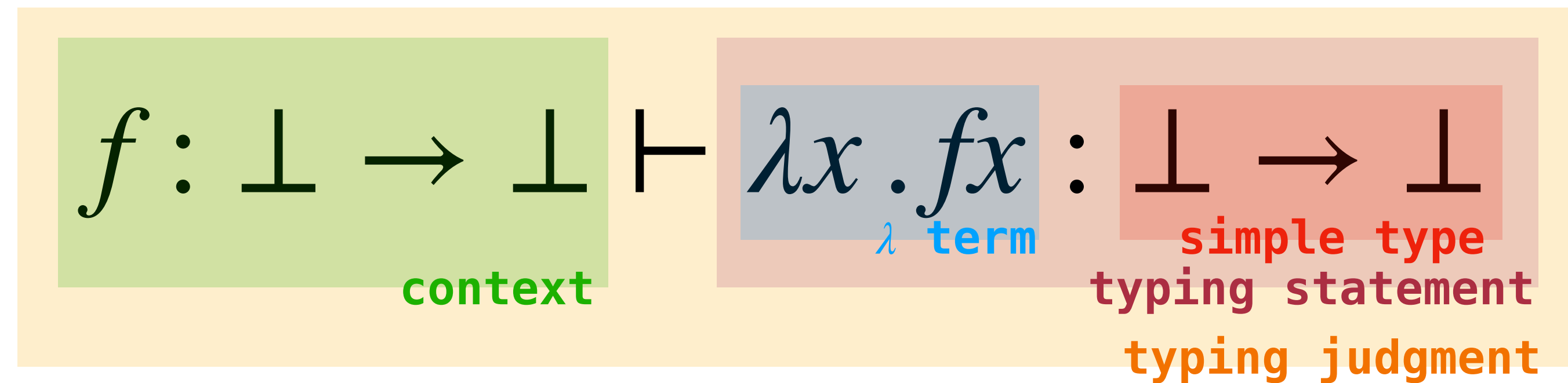
Diagram illustrating the typing rule for lambda abstraction:

- Context:**  $f : \perp \rightarrow \perp$  (green box)
- Turnstile:**  $\vdash$
- Term:**  $\lambda x. fx$  (blue box, where  $\lambda$  is a blue lambda symbol and  $x$  is a blue variable)
- Type:**  $\perp \rightarrow \perp$  (red box, labeled "simple type")
- Typing Statement:** The entire expression is a typing statement (purple box).

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# Simply Typed Lambda Calculus (Types)

$$\frac{}{\emptyset \vdash \perp : \text{Type}}$$

$$\frac{\emptyset \vdash A : \text{Type} \quad \emptyset \vdash B : \text{Type}}{\emptyset \vdash A \rightarrow B : \text{Type}}$$

Type formation rules are used to build types within and for judgments.

(These are the same as our inductive rules, but written as typing judgments)

# Simply Typed Lambda Calculus (Terms)

$$\frac{\Gamma \vdash A : \text{Type}}{\Gamma, x : A \vdash x : A} \quad (x \notin \Gamma)$$

$$\frac{\Gamma, x : A \vdash M : B}{\Gamma \vdash \lambda x. M : A \rightarrow B}$$

$$\frac{\Gamma \vdash M : A \quad \Gamma \vdash B : \text{Type}}{\Gamma, x : B \vdash M : A} \quad (x \notin \Gamma)$$

$$\frac{\Gamma \vdash M : A \rightarrow B \quad \Gamma \vdash N : A}{\Gamma \vdash MN : B}$$

Term formation rules are used to generate typeable terms in the simply typed lambda calculus.

# Simply Typed Lambda Calculus (Terms)

**start**

$$\frac{\Gamma \vdash A : \text{Type}}{\Gamma, x : A \vdash x : A} \quad (x \notin \Gamma)$$

**abstraction**

$$\frac{\Gamma, x : A \vdash M : B}{\Gamma \vdash \lambda x. M : A \rightarrow B}$$

**weakening**

$$\frac{\Gamma \vdash M : A \quad \Gamma \vdash B : \text{Type}}{\Gamma, x : B \vdash M : A} \quad (x \notin \Gamma)$$

**application**

$$\frac{\Gamma \vdash M : A \rightarrow B \quad \Gamma \vdash N : A}{\Gamma \vdash MN : B}$$

Term formation rules are used to generate typeable terms in the simply typed lambda calculus.

# Normalization Theorem



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- » All programs we can write in STLC terminate.
- » In STLC, we can use *any* reduction strategy.

# Induction on Derivations

$$\frac{\vdots}{\Gamma \vdash M : A}$$

If we want to prove that  $P$  holds of all *typeable* terms, we have to show that it holds of all terms  $M$  ***for any choice of the last inference rule in a derivation of  $M$ .***

# The Application Case

$$\frac{\Gamma \vdash M : A \rightarrow B \quad \Gamma \vdash N : A}{\Gamma \vdash MN : B}$$

We need to show that if  $P$  holds of  $M$  and it holds of  $N$  then it also holds of  $MN$ .

# The Application Case (Stronger)

$$\frac{\Gamma \vdash M : A \rightarrow B \quad \Gamma \vdash N : A}{\Gamma \vdash MN : B}$$

We need to show that if  $P_{A \rightarrow B}$  holds of  $M$  and  $P_A$  holds of  $N$  then  $P_B$  also holds of  $MN$ .

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$$x : A, y : B \not\vdash \lambda x. w : C \rightarrow D$$

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$$x : A, y : B \not\vdash \lambda x. w : C \rightarrow D$$

*Let's prove this...*

# Normalization Theorem: Attempt One

**Theorem.** Every typeable term in STLC is SN.

*Let's try to prove this...*

# The Problem Case

$$\frac{\Gamma \vdash \lambda x.M : A \rightarrow B \quad \Gamma \vdash N : A}{\Gamma \vdash (\lambda x : M)N : B}$$

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**The issue.** Substitution can create redexes.

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How do we know  $M[N/x]$  is strongly normalizing?

**The issue.** Substitution can create redexes.

$$(\dots (xQ) \dots)[(\lambda y . N)/x] = (\dots ((\lambda y . N)Q) \dots)$$

# Understanding Check

*Find an expression of the form  $(\lambda x.M)N$  such that  $M[N/x]$  has more redexes than  $(\lambda x.M)N$ .*



# The Trick

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for any  $N$  s.t.  $\Gamma \vdash N : A$

**Lemma.**  $P_{\Gamma, A \rightarrow B}(M)$  implies  $M$  is SN.

# Attempt Two

**Theorem.** For any context  $\Gamma$ , term  $M$  and type  $A$ ,  
if  $\Gamma \vdash M : A$  then  $P_A(M)$  holds.

*Let's try to prove this...*

# The Problem Case

$$\frac{\Gamma, x : A \vdash M : B}{\Gamma \vdash \lambda x. M : A \rightarrow B}$$

How do we know that  $(\lambda x. M)N$  is SN if  $\Gamma \vdash N : A$  and  $N$  is SN?

We run into a similar issue as before...

# Simultaneous Substitution

**Theorem.** If  $y_1 : A_1 \dots y_k : A_k \vdash M : B$  and

$$\Gamma \vdash N_1 : A_1 \text{ and } \dots \text{ and } \Gamma \vdash N_k : A_k$$

then  $\Gamma \vdash M[N_1/y_1][N_2/y_2]\dots[N_k/y_k] : B$ .

We will often write  $M[\vec{N}/\vec{y}]$  when we want to substitute multiple values at once.

# The Final Trick

Prove something stronger for a stronger inductive hypothesis.

$P_\Gamma(M) =$

- »  $\Gamma = y_1 : A_1 \dots y_k : A_k$
- »  $\Gamma \vdash M : B$  for some  $B$
- »  $\Gamma \vdash N_1 : A_1$  and ... and  $\Gamma \vdash N_k : A_k$
- » each  $N_1, \dots, N_k$  are SN

then so is  $M[\vec{N}/\vec{y}]$ .



# Normalization Theorem

$$P_{\Gamma, \perp}(M) = P_{\Gamma}(M)$$

$$P_{\Gamma, A \rightarrow B}(M) = P_{\Gamma}(MN) \text{ for any } N \text{ s.t.}$$

$$N \text{ is SN and } \Gamma \vdash N : A$$

**Theorem.** For any context  $\Gamma$ , term  $M$ , and type  $A$ ,  
if  $\Gamma \vdash M : A$  then  $P_{\Gamma, A}(M)$ .

*Let's try one more time...*

# Data Types

# Encoding Data

In the lambda calculus, we encoded data as lambda terms:

$$\text{pair} = \lambda x . \lambda y . \lambda f . fxy$$

$$\text{fst} = \lambda p . p(\lambda x . \lambda y . x)$$

$$\text{snd} = \lambda p . p(\lambda x . \lambda y . y)$$

***Exercise.*** Reduce  $\text{fst} (\text{pair } I \ K)$  to normal form.

# Types and Encoding

$$\vdash \lambda x . \lambda y . \lambda f . fxy : A \rightarrow B \rightarrow (A \rightarrow B \rightarrow C) \rightarrow C$$

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$$A \times_C B = (A \rightarrow B \rightarrow C) \rightarrow C$$

The type depends on the output of the "recursor".

# Adding Data Types

Instead, it is more natural to add data types by augmenting the type system.

This means adding three things:

- » type formation rules
- » term formation rules
- » computation rules (extensions of  $\rightarrow_\beta$ )



# Unit

$$\frac{}{\emptyset \vdash T : \text{Type}} \quad \text{type formation}$$
$$\frac{}{\vdash \text{unit} : T} \quad \text{term formation}$$

**unit** has no computation rules

The unit type is a type with a single element.

It is a convenience, we could "encode" it with the type  $\perp \rightarrow \perp$ .

# Product

$$\frac{\emptyset \vdash A : \text{Type} \quad \emptyset \vdash B : \text{Type}}{\emptyset \vdash A \times B : \text{Type}} \quad \text{type formation}$$

$$\frac{\Gamma \vdash M : A \quad \Gamma \vdash N : B}{\Gamma \vdash \langle M, N \rangle : A \times B} \quad \frac{\Gamma \vdash M : A \times B}{\Gamma \vdash \text{fst } M : A} \quad \frac{\Gamma \vdash M : A \times B}{\Gamma \vdash \text{snd } M : B} \quad \text{term formation}$$

$$\begin{array}{l} \text{fst} \langle M, N \rangle \rightarrow_{\beta} M \\ \text{snd} \langle M, N \rangle \rightarrow_{\beta} N \end{array} \quad \text{computation rules}$$

# Example

$$\vdash \lambda p . \langle \text{snd } p, \text{fst } p \rangle : (A \times B) \rightarrow (B \times A)$$

*Let's derive this...*

# Union

$$\frac{\emptyset \vdash A : \text{Type} \quad \emptyset \vdash B : \text{Type}}{\emptyset \vdash A + B : \text{Type}} \quad \text{type formation}$$

$$\frac{\Gamma \vdash M : A + B \quad \Gamma \vdash f : A \rightarrow C \quad \Gamma \vdash g : B \rightarrow C}{\Gamma \vdash \text{case } M f g : C} \quad \text{term formation}$$

$$\frac{\Gamma \vdash M : A}{\Gamma \vdash \text{inl}M : A + B} \qquad \frac{\Gamma \vdash M : B}{\Gamma \vdash \text{inr}M : A + B}$$

$$\begin{array}{l} \text{case } (\text{inl}M) f g \rightarrow_{\beta} f M \\ \text{case } (\text{inr } M) f g \rightarrow_{\beta} g M \end{array} \quad \text{computation rules}$$

# Example

$$x : A, y : B \vdash \lambda z . \text{case } z (\lambda f . fx) (\lambda g . gy) : ((A \rightarrow C) + (B \rightarrow C)) \rightarrow C$$

*Let's derive this...*

# Encoding with Unions and Products

Once we have unions and products, we can start encoding more data types, e.g.,

$\text{Bool} \equiv T + T$

$\text{true} \equiv \text{inl unit}$

$\text{false} \equiv \text{inr unit}$

$\text{ife} \equiv \lambda b . \lambda x . \lambda y . \text{case } b (\lambda b . x) (\lambda b . y)$

But we can't define *inductive* structures (yet).

# Natural Numbers

$$\frac{}{\emptyset \vdash \text{Nat} : \text{Type}} \quad \text{type formation}$$

$$\frac{}{\emptyset \vdash \text{zero} : \text{Nat}} \quad \frac{\Gamma \vdash M : \text{Nat}}{\Gamma \vdash \text{suc } M : \text{Nat}} \quad \text{term formation}$$

$$\frac{\Gamma \vdash M : \text{Nat} \quad \Gamma \vdash N : A \quad \Gamma \vdash f : \text{Nat} \rightarrow A}{\Gamma \vdash \text{recNat } M \ N \ f}$$

$$\begin{aligned} &\text{recNat } \text{zero } N \ f \rightarrow_{\beta} N \\ &\text{recNat } (\text{suc } M) \ N \ f \rightarrow_{\beta} f \ (\text{recNat } M \ N \ f) \end{aligned} \quad \text{computation rules}$$

# Example

$m : \text{Nat}, n : \text{Nat} \vdash \text{recNat } m \ n \ (\lambda x . \text{suc } x)$

*Let's derive this...*



# The Curry-Howard Isomorphism

# Warm Up

$$\vdash \lambda f. \lambda g. \lambda x. g(fx) : (A \rightarrow B) \rightarrow (B \rightarrow C) \rightarrow (A \rightarrow C)$$

*Let's derive this...*

# Examples

$$\vdash \lambda f . \lambda g . \lambda x . fx : (A \rightarrow B) \rightarrow (B \rightarrow C) \rightarrow (A \rightarrow C)$$

$$x : A, y : B \vdash \lambda z . \mathbf{case} \ z \ (\lambda f . fx) \ (\lambda g . gy) : ((A \rightarrow C) + (B \rightarrow C)) \rightarrow C$$

$$\vdash \lambda p . \langle \mathbf{snd} \ p, \mathbf{fst} \ p \rangle : (A \times B) \rightarrow (B \times A)$$

# Examples

$$\vdash (A \rightarrow B) \rightarrow (B \rightarrow C) \rightarrow (A \rightarrow C)$$

$$A, B \vdash ((A \rightarrow C) + (B \rightarrow C)) \rightarrow C$$

$$\vdash (A \times B) \rightarrow (B \times A)$$

If we ignore all the lambda terms and variables...

# Examples

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$$A, B \vdash ((A \rightarrow C) \vee (B \rightarrow C)) \rightarrow C$$

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And read  $\times$  as  $\wedge$  and  $+$  as  $\vee \dots$

# Examples

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$$A, B \vdash ((A \rightarrow C) \vee (B \rightarrow C)) \rightarrow C$$

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And read  $\times$  as  $\wedge$  and  $+$  as  $\vee$ ...

**We've defined a proof system for propositional logic.**

# Modus Ponens

$$\frac{\Gamma \vdash M : A \rightarrow B \quad \Gamma \vdash N : A}{\Gamma \vdash MN : B}$$

If we block out the lambda terms and read  $\rightarrow$  as "implies", then the application rule is just modus ponens.

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# Deduction Theorem

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**Remember.** Like in Agda,  $P \rightarrow \perp$  may be read as " $P$  is empty".

# DeMorgan's Law

$$x : A, y : B \vdash \lambda z . \text{case } z (\lambda f . fx) (\lambda g . gy) : ((A \rightarrow \perp) + (B \rightarrow \perp)) \rightarrow \perp$$

We can read this as:

$$A, B \vdash (\neg A \vee \neg B)$$

Which is one of De Morgan's laws.

# Empty

$$\frac{\Gamma \vdash M : \perp \quad \Gamma \vdash A : \text{Type}}{\Gamma \vdash \text{explode } M : A}$$

We will add one additional rule for  $\perp$   
representing the principle of explosion.

*If we can derive a term of type  $\perp$ , then we can  
derive a term of any type.*



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**I prefer: type theory is logic.**