Global Sensitivity Analysis of Skeletal Muscle dMRI Metrics: Effects of Microstructural and Pulse Parameters

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Abstract

Purpose: Estimating microstructural parameters of skeletal muscle from diffusion MRI (dMRI) signal requires understanding the relative importance of both microstructural and dMRI sequence parameters on the signal. This study seeks to determine the sensitivity of dMRI signal to variations in microstructural and dMRI sequence parameters, as well as assess the effect of noise on sensitivity.

Methods: Using a cylindrical myocyte model of skeletal muscle, numerical solutions of the Bloch-Torrey equation were used to calculate global sensitivity indices of dMRI metrics (FA, RD, MD, λ_1 , λ_2 , λ_3) for wide ranges of microstructural and dMRI sequence parameters. The microstructural parameters were: myocyte diameter, volume fraction, membrane permeability, intra- and extracellular diffusion coefficients, and intra- and extracellular T_2 times. Two separate pulse sequences were examined, a PGSE and a generalized diffusion-weighted sequence that accommodates a larger range of diffusion times. The effect of noise and signal averaging on the sensitivity of the dMRI metrics was examined by adding synthetic noise to the simulated signal.

Results: Among the examined parameters, the intracellular diffusion coefficient has the strongest effect, and myocyte diameter is more influential than permeability for FA and RD. The sensitivity indices did not vary significantly between the two pulse sequences. Also, noise strongly affects the sensitivity of the dMRI signal to microstructural variations.

Conclusion: With the identification of key microstructural features that affect dMRI measurements, the reported sensitivity results can help interpret dMRI measurements of skeletal muscle in terms of the underlying microstructure and further develop parsimonious dMRI models of skeletal muscle.

Keywords: sensitivity analysis; Bloch-Torrey equation; lattice Boltzmann method; diffusion MRI;

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1 Introduction

Changes in skeletal muscle pathology are associated with changes in the tissue microstructure. Examples include increased fibrosis due to injury and muscular dystrophy [1], changes in fiber type and cross-sectional area due to aging [2, 3], and increased membrane permeability due to sarcolemma damage from muscular dystrophy [4, 5]. Measuring these microstructural changes is necessary to better understand these pathologies, however, such measurements are difficult to obtain. Muscle excision and sectioning for histological analysis is invasive and time consuming. Excision and sectioning may also change the morphological features being examined [6]. Diffusion MRI (dMRI) is a promising noninvasive method that is sensitive to changes in the underlying microstructure. Much work has been done in brain matter to elucidate the relationship between the dMRI signal and the underlying microstructure [7]. dMRI can be similarly applied to skeletal muscle, however, this relationship is less understood due in large part to difficulties in signal acquisition caused by the relatively short T₂ of skeletal muscle [8]. Additionally, the larger cell size and dense packing of muscle fibers makes some popular white matter tissue models inappropriate for use in skeletal muscle [9].

Skeletal muscle consists of an ordered, hierarchical organization of muscle cells surrounded by an extracellular collagen matrix (endomysium) and bundled together into fascicles, which are themselves bundled to form the whole muscle. This organization has short range order and can be abstracted as a periodic cylinder array characterized by a representative elementary volume (REV). This REV can be easily parameterized to allow systematic investigation of how changes in the REV effect the dMRI signal. Others have examined structural variations in such geometries using Monte Carlo simulations [10–12], however, a comprehensive analysis of how microstructural and pulse parameters affect the dMRI signal has not been reported. Further, there are conflicting reports regarding the relative influence of membrane permeability and fiber diameter [10, 11]. Previous studies have examined either a limited number of microstructural and pulse parameters or used a limited number of parameter combinations. As such, it is uncertain whether the reported sensitivity relationships represent the global influence of a parameter or if they reflect local interactions dependent on other parameters. To address this uncertainty, we identify seven microstructural parameters that we believe comprehensively parameterize muscle microstructure, as well as two dMRI sequence parameters, and examine the sensitivity of dMRI signal to these parameters. Using a lattice Boltzmann method solution of the Bloch-Torrey equation applied to the above-mentioned muscle REV, we perform a global sensitivity analysis of the influence that microstructural and dMRI sequence parameters have on dMRI metrics, such as fractional anisotropy (FA), mean diffusivity (MD), and radial diffusivity (RD). This analysis comprehensively

samples all possible combinations of these parameters to determine which ones have the largest effect on dMRI metrics. The results will assist in the proper parameterization of dMRI muscle tissue models so that microstructural parameters can be extracted by interpreting the dMRI signal.

The aim of the present work is to determine the sensitivity of dMRI signal to variations in microstructural and dMRI sequence parameters. Additionally, we assess the effect of noise on the sensitivity relationships in order to determine a minimum SNR level that will allow the correct interpretation of skeletal muscle dMRI signal. While similar aims have been addressed previously [10–12], a wider range of both microstructural and pulse parameters is examined here in order to identify key sensitivity relationships. This includes analysis of compartment-specific diffusion coefficients as well as a broad range of sarcolemma permeability values, both not addressed before. The present sensitivity analysis will help interpret dMRI measurements in terms of the underlying microstructural tissue parameters, and will also inform further model development by identifying low sensitivity microstructural parameters, thus allowing a reduction in the number of requisite independent model parameters.

2 Methods

dMRI Signal Representation

The fate of dMRI signal is described by the Bloch-Torrey equation [13]

$$\frac{\partial \boldsymbol{M}(\boldsymbol{x},t)}{\partial t} = -i(\gamma \boldsymbol{G}(t) \cdot \boldsymbol{x}) \boldsymbol{M}(\boldsymbol{x},t) - \frac{\boldsymbol{M}(\boldsymbol{x},t)}{\mathsf{T}_2} - \nabla \cdot (\mathsf{D}(\boldsymbol{x}) \nabla \boldsymbol{M}). \tag{1}$$

This equation describes the time evolution of the complex-valued, transverse spin magnetization (M) resulting from an externally applied, spatially and temporally varying magnetic field $(G(t) \cdot x)$. Here i is the imaginary unit, γ is the gyromagnetic ratio of ¹H, x is the spin position vector, G(t) is the time-varying magnetic field gradient vector used to encode diffusion, T_2 is the transverse relaxation time, and D(x) is the local diffusion coefficient. The dMRI signal is expressed by the following integral over the voxel volume (here REV),

$$S(t) = \int_{V} |M(x, t)| dx.$$
 (2)

Diffusion-weighting results in attenuation of the signal, which is normalized by the non-diffusion-weighted signal, S_0 , to provide an attenuation ratio, $E = S/S_0$. If the diffusion is Gaussian, then the signal attenuation can be modeled as $E = e^{-b:D}$ [14], where D is a second rank tensor that describes the apparent diffusion coefficients in the voxel [14], and b represents the cumulative magnetization effect of the applied diffusion gradients. A typical diffusion-encoding sequence consists of two gradients of strength and orientation g, applied for duration δ , and separated by a

diffusion time Δ , which results in the relationship $b = (\gamma g \delta)^2 (\Delta - \delta/3)$ [14].

In complex tissues such as muscle, the apparent diffusion tensor is anisotropic and composed of three distinct eigenvalues (λ_1 , λ_2 , and λ_3), which correspond to the principal directions of $\textbf{\textit{D}}$. The eigenvalues are employed to define various dMRI metrics such as fractional anisotropy $\left(\mathsf{FA} = \sqrt{\frac{(\lambda_1 - \lambda_2)^2 + (\lambda_2 - \lambda_3)^2 + (\lambda_3 - \lambda_1)^2}{2(\lambda_1^2 + \lambda_2^2 + \lambda_3^2)}} \right)$, mean diffusivity (MD = $(\lambda_1 + \lambda_2 + \lambda_3)/3$), and radial diffusivity (RD = $(\lambda_2 + \lambda_3)/2$). These metrics characterize the diffusion rate and tensor anisotropy within the voxel.

Pulse Sequence Parameterization

While more advanced sequences have been used to extract complex information from tissue [15–17], here we focus on two related dMRI pulse sequences, the Stejskal-Tanner pulsed-gradient spin echo (PGSE) sequence [18] and a simplified version of the simulated echo acquisition mode (STEAM) diffusion-weighted sequence. Although ubiquitous, the PGSE sequence is limited in the diffusion times it can examine due to the short T₂ of skeletal muscle. STEAM sequences accommodate longer diffusion times [19, 20], but also necessitate more complex simulation of the sequence because the magnetization does not remain solely in the transverse plane. Here we use a simplified version of the STEAM sequence that ignores relaxation effects [21]. If relaxation is ignored in the PGSE sequence, then it also reduces to this simplified STEAM sequence, so we refer to the latter as a generalized diffusion-weighted sequence. This generalized sequence allows a wider range of diffusion times, and is introduced here to examine the effect of longer diffusion times on the sensitivity analysis.

Both the PGSE and generalized diffusion-weighted sequences can be described by Figure 1a. The key difference is that our analysis of the PGSE sequence includes the effects of T_2 relaxation, while the generalized diffusion-weighted sequence is devoid of T_2 (and T_1) relaxation. Previous work has suggested that the gradient duration has a smaller effect on dMRI signal than diffusion time [22], so we focus here only on the effect of diffusion time (Δ) and b-value. The gradient duration is fixed at 10 ms for the PGSE sequence and at 5 ms for generalized diffusion-weighted sequence, while TE is defined as $\Delta + \delta$. For each simulation, the gradient strength is calculated from the prescribed b-value and diffusion time.

Muscle Tissue Model

To model the effect of skeletal muscle microstructure on the dMRI signal, it is first necessary to create a model with parameterized geometric features. Skeletal muscle has a hierarchical order. It exhibits short-range order with parallel, elongated myocytes, each surrounded by a semi-permeable membrane and embedded in an extracellular matrix. This organization allows the formulation of a simplified skeletal muscle model consisting of infinitely long, parallel cylinders

arranged in a periodic hexagonal array (Figure 1b). This periodic arrangement allows for a representative elemental volume (REV), as shown in Figure 1b. By restricting our computation to a single REV, we can economically parameterize the domain and consider how microstructural changes affect the dMRI signal. The hexagonal cross-section of the myocyte allows the achievement of high volume fractions. This investigation ignores heterogeneity of myocyte size [10] or shape within the voxel, and is the necessary first step towards quantifying the sensitivity to individual myocyte parameters before including more complicated features.

The tissue model in the REV is defined by seven parameters: intra- and extra-cellular diffusion coefficients, cell diameter, packing fraction, membrane permeability and intra- and extra-cellular T_2 relaxation times. Water diffusion in the intra- and extracellular domains is characterized by two homogeneous (effective) diffusion coefficients, D_{in} and D_{ex} , which is representative of the cumulative effects of sub-cellular restriction within each domain [23].

The present model produces isotropic transverse diffusion, however, muscle exhibits transversely anisotropic diffusion [23–25]. The cause of this radial anisotropy has not been conclusively identified, so this aspect is set aside for now. Analytical solutions for the dMRI signal in simplified geometrical domains are known [26, 27], and progress has been made on more complex geometries [28]. However, current analytical solutions of heterogeneous domains of embedded, tightly packed cells either do not account for the independent effect of the extracellular compartment [29, 30], or assume a long-time diffusion limit, which is not applicable in skeletal muscle dMRI [9, 31]. In order to account for both of these considerations, we resort to a numerical solution of the Bloch-Torrey equation.

Numerical Methods

The Bloch-Torrey equation is integrated using the lattice Boltzmann method (LBM) on a D3Q7 stencil. This stencil corresponds to three dimensions and seven lattice directions (speeds). Full details of the implementation are presented in [32], and only a rudimentary description is given below. LBM is a mesoscale numerical scheme, employed here to simulate transport of the complex-valued transverse magnetization on a discrete grid as $M(x,t) = \sum_{i=0}^{6} g_i(x,t)$, where $g_i(x,t)$ is the complex spin probability distribution function, representing the two components of the transverse magnetization vector. Using the Bhatnagar-Gross-Krook collision model with a single relaxation factor (τ), the evaluation of g_i is approximated by a diffusion step,

$$g_{i}'(x+e_{i}\cdot\delta x,t)-g_{i}(x,t)=-\frac{1}{\tau}[g_{i}(x,t)-g_{i}^{eq}(x,t)], \tag{3}$$

followed by a reaction step,

$$g_{i}(x, t + \delta t) = \exp\left(-\frac{\delta t}{T_{2}} - i\gamma G^{n}x_{i}\delta t\right)g'_{i}(x, t).$$
 (4)

where δx and δt are the lattice spacing and time step respectively, and e_i represents the lattice speeds. Intra-domain boundary conditions handle the effect of spins crossing the membrane while modified periodic boundary conditions account for the non-periodic magnetization accumulation over the periodic geometry of the REV. Because the muscle tissue is modeled as a unidirectional composite with infinite cylindrical fibers in the axial direction, translational symmetry allows the use of a single node in that direction while retaining a three-dimensional treatment of the domain. Details of the numerical discretization parameters used to minimize numerical error of the simulation are given in Supporting Information Figure S1.

For each simulation, six gradient directions and a non-diffusion weighted acquisition are simulated [33], and the signal attenuation, E(q), is computed. To account for the effect of noise, Rician noise is added to the signal for the PGSE sequence at single acquisition SNR levels of 10, 25 and 50 based on the non-diffusion weighted signal [8, 34]. This is done for multiple numbers of signal averages (NSA = 1, 5, 10, 15, and 20) with the average signal fit to a diffusion tensor using the fanDTasia ToolBox [35]. From the diffusion tensor, FA, MD, RD and the tensor eigenvalues $(\lambda_1, \lambda_2, \text{ and } \lambda_3)$ are calculated. The effect of noise was not considered for the generalized diffusion-weighted sequence.

Global Sensitivity Analysis

To determine how changes in microstructure and sequence parameters affect the dMRI signal, a global sensitivity analysis was performed, which characterizes how changes to the input parameters effect the variance of the model's output [36]. We use the method developed by Saltelli et al. [37, 38], as implemented in the open source SaLib python package [39]. Reviews of this method have been presented elsewhere [40, 41].

The analysis decomposes the variance of the model's output into the variances of the individual input parameters and the variances due to non-linear combinations of multiple parameters. The method computes first, second and total-order sensitivity indices of the input parameters. First-order sensitivity indices describe the fraction of the total variance of the output attributed to the variance of a particular parameter. For example, a first-order volume fraction sensitivity index of 0.50 for FA would mean that 50% of the total variance in FA can be described by variance in only the volume fraction. Second-order indices capture the response of the model to the combination of two parameters that cannot be written as a superposition of two first-order effects independently.

Total-order indices are the sum of first, second, and higher-order indices for each parameter. They describe the total contribution of a parameter to the variance of the system. Parameters are sampled independently from a uniform distribution of values within a given range. The total number of model evaluations is 2N(p+1), where p is the number of parameters and N is the number of independent samples of each parameter.

Microstructural parameter ranges were extracted from reported literature values and are presented in Table 1 along with the examined ranges of the dMRI sequence parameters. Parameter sampling was preformed using a Sobol sequence, which is a quasi-random, low discrepancy method that allows more uniform coverage of the input parameter space than traditional Monte Carlo methods [37, 42]. For the PGSE sequence, nine parameters were examined and, 5,000 samples were taken for each parameter, resulting in a total of 100,000 parameter sets. For the generalized diffusion-weighted sequence, only seven parameters were considered, leading to 80,000 parameter sets. These parameter sets defined the microstructural REV and dMRI sequence for which the Bloch-Torrey equation was numerically solved. The resulting signals were used to calculate FA, MD, RD and the tensor eigenvalues. For both the PGSE and generalized diffusion-weighted sequences, a sensitivity analysis was performed for all six dMRI metrics at each of the prescribed SNR levels. Because the REV produces transversely isotropic diffusion, only radial diffusivity is reported instead of λ_2 and λ_3 because these results are all similar.

3 Results

The computations for the present sensitivity analysis were performed on the San Diego Supercomputing Center's Comet cluster [43], which consists of 1,944 nodes, each with 2 x 12 core processors (Intel Xeon E5-2680 v3 2.5 Ghz). 40 nodes were used with three simulations run simultaneously on each node and each simulation using eight cores. The simulations for the entire study took approximately 6000 core hours. The numerical solutions of equation 1 were post-processed to calculate the diffusion tensor and dMRI metrics. A sensitivity analysis was performed for each dMRI metric at each noise level.

The noise-free results of the sensitivity analysis of the PGSE sequence, along with the sensitivity indices for SNR levels of 10, 25, and 50, are presented in Figure 2. Figure 3 shows the cumulative L2-norm difference between the sensitivity indices with and without added noise. It demonstrates the effect of SNR and signal averaging on the sensitivity indices. Finally, results of the sensitivity analysis for the generalized diffusion-weighted sequence are given in Figure 4. Complete results from the sensitivity analyses are available in Supporting Information Tables S1 and S2.

4 Discussion

Effect of Synthetic Noise

The goal of the present sensitivity study is to identify the effect of microstructural and sequence parameters on dMRI measurements. We begin our discussion by focusing on the results of the sensitivity analysis for the PGSE pulse sequence. The effect synthetic noise has on the sensitivity indices is determined by comparing the effect of three different noise levels, SNR=10, 25 and 50.

Focusing on the first-order indices, Figure 2a indicates that the strongest effect of adding noise is a decrease in the sensitivity of MD and λ_1 to the intracellular diffusion coefficient, and a decrease in the sensitivity of FA and RD to fiber diameter. A comparison of sensitivity indices for different SNR levels shows that lower SNR leads to a decrease in first-order sensitivity for a majority of the microstructural parameters. This means that for low SNR levels, the measured signal will be less sensitive to changes in the underlying microstructure. Figure 3 examines how SNR and NSA affect the sensitivity indices relative to the noise-free indices. Increasing the number of acquisitions increases SNR by a factor of \sqrt{NSA} . This relationship is seen to also hold for the change in the sensitivity indices as SNR increases.

Turning to the total-order indices, we see that for low SNR, the total order sensitivity of the system is inflated for all parameters as the random perturbations from noise are artificially assigned to higher order effects. These results illustrate the importance of requiring high SNR in order to connect skeletal muscle dMRI metrics to the underlying tissue microstructure. For low SNR, the dMRI signal will be more influenced by noise than changes in the microstructure, particularly for microstructural parameters associated with lower sensitivity indices, such as permeability and the extracellular diffusion coefficient. Previous authors have commented on the necessary SNR thresholds in skeletal muscle dMRI and suggested minimum SNR levels of 40-60 [8], although lower SNR levels are often reported in practice [44–46]. In order for dMRI to be sensitive to changes in the microstructure, our results suggest an SNR threshold of 50 be achieved, likely requiring increased NSA [46].

Microstructural Parameters

Next, we consider the sensitivity indices of the microstructural parameters. The intracellular diffusion coefficient has the largest first-order effect on all dMRI parameters except FA, which is most sensitive to diameter. Because of the high volume fractions considered, the intracellular domain occupies most of the REV, so it is unsurprising that this diffusion coefficient strongly affects the apparent diffusion tensor.

After the intracellular diffusion coefficient, the second most sensitive microstructural parameter is the cell diameter. Changing fiber diameter strongly effects metrics associated with radial

diffusion (FA, RD) and not axial diffusion (λ_1). The effect of cell diameter on radial diffusion has been illustrated previously [19, 47], and along with current results, this bolsters the claim that fiber diameter can be inferred from the dMRI signal. The third most sensitive microstructural parameter is the extracellular diffusion coefficient. Its effect across dMRI parameters is similar to that of the intracellular diffusion coefficient but to a lesser extent. This is because the extracellular domain has a smaller volume fraction than the intracellular domain, so the associated parameters contribute less to the overall signal. However, this contribution is partially boosted by the extracellular domain having a higher T_2 than the intracellular domain. The final microstructural parameter that has a notable first-order effect is permeability. The effect of permeability is most pronounced on FA and RD. Two microstructural parameters that have little influence on the calculated dMRI metrics are the T_2 relaxation values (within the considered T_2 ranges). Although relatively unimportant, the largest second-order indices are associated with the effects of diameter and intracellular diffusion coefficient on FA and RD (see Supporting Information Tables S1 and S2 for full second-order results).

PGSE pulse sequence sensitivity

Turning to the PGSE pulse parameters, FA and RD are slightly sensitive to diffusion time, while λ_1 is insensitive to diffusion time. This insensitivity of λ_1 to diffusion time is characteristic of tissue with parallel myofibers [48]. In more complex muscle tissue experiments [6], λ_1 exhibits a small but noticeable dependence on diffusion time, ostensibly associated with additional longitudinal barriers or dispersion of the fiber orientation. In the radial direction, diffusion is restricted due to the finite permeability of cell membranes. As the diffusion time increases, more interior spins interact with these membranes, leading to sensitivity to increased diffusion time. For a constant diffusion time, the model is not sensitive to changes in b-value over the examined range.

Generalized diffusion-weighted pulse sequence sensitivity

We next examine the sensitivity indices of the generalized diffusion-weighted sequence. The diffusion time dependence of the dMRI signal in skeletal muscle is well established [20, 48], and measuring skeletal muscle dMRI over a range of diffusion times is an active area of research. Analyzing the generalized diffusion-weighted sequence allows investigation of how the sensitivity of the dMRI model changes as the diffusion time increases. The results, shown in Figure 4, are generally similar to the results of the PGSE sequence, with sensitivity to the intracellular diffusion coefficient and diameter being the most prominent. Additionally, it is noted that the effect of permeability is increased while that of the extracellular diffusion coefficient is decreased.

The sensitivity to the b-value is zero, but the effect of diffusion time, although still a weak effect compared to that of microstructural parameters, is stronger than it was for the PGSE sensitivity

study. Previous results have shown a b-value dependence of the diffusion tensor eigenvalues [25]. However, in those results, the b-value was varied by changing TE, which in turn often corresponds to varying the diffusion time. The b-value insensitivity reported here is attributed to insensitivity to the gradient strength, since it was the latter that was actually varied to match the sampled b-value. Additionally, the model's insensitivity to the b-value does not account for errors in estimating the diffusion tensor, which has been shown to depend on the b-value (and number of gradient directions) as well [8, 25]. These results illustrate that caution needs to be exercised when comparing reported muscle dMRI metrics; differences in both b-value and TE (and its relationship to diffusion time) need to be considered, even for PGSE sequences.

Our generalized diffusion-weighted sequence is a simplified version of STEAM and further work is required to fully analyze the sensitivity of STEAM to T₂ and T₁ relaxation, as well as the effects of flip angle and pulse timing. Our results suggest that the overall sensitivity of dMRI metrics is similar for a broad range of diffusion times, but that the sensitivity of certain parameters, such as permeability, is increased for longer diffusion times.

Comment on parameter ranges

An important consideration when interpreting global sensitivity indices is the effect of the independent parameter ranges considered. These sensitivity indices only examine how the dMRI metrics are affected by changes within the examined range. Although the model was not found to be very sensitive to volume fractions, this is probably related to setting the volume range to 0.70 to 0.95, which is a reasonable range for muscle fibers. Additionally, the ranges of diffusion coefficients considered are the same for both extracellular and intracellular domains. While preliminary evidence suggests that the extracellular domain may have a larger diffusion coefficient [49], this evidence is inconclusive. If the ranges of the two diffusion coefficients were different, then changes in volume fraction would be expected to have a larger effect on the signal. An illustration of how changes in the parameter range affects sensitivity indices is provided in Supporting Information Table S3.

Recall that different T_2 ranges were considered for the two domains, which is consistent with the suggestion that the extracellular domain has a higher T_2 than the intracellular domain [50]. Because of the higher extracellular T_2 , the extracellular compartment signal will decay more slowly, providing a larger contribution to the overall signal for long TE. This will likely increase the sensitivity to parameters associated with the extracellular domain [11, 51]. Even though the sensitivity analysis does not reveal high sensitivity to T_2 , the difference in the intra-and extracellular T_2 ranges creates the above effect, illustrating that this difference should be accounted for when modeling muscle dMRI. This T_2 difference influences the signal behavior

as permeability increases [9, 52], and given the T_2 ranges, it is not possible to factor out this effect. Our results show that variations within the examined ranges do not substantially affect any of the dMRI metrics, however, further work is needed to better understand the effect of T_2 compartmentalization on skeletal muscle dMRI.

Comparison with Prior Studies

Comparing the present sensitivity analysis results with those reported by prior studies, we note a number of similarities and differences. Hall and Clark [10] and Berry et al. [11] did not examine the role of the diffusion coefficient, and Bates et al. [12] kept both intra- and extracellular diffusion coefficients the same. Our results suggest that both intra- and extracellular effective diffusion coefficients have an effect on dMRI signal. Although some tissue models of muscle dMRI only use a single diffusion coefficient to represent the entire muscle [10, 12, 19, 53], this is not justified by the differences in the histoarchitectures of the intra- and extracellular domains. The extracellular space consists of a hierarchical collagen fiber matrix, while the intracellular space is composed of a more organized protein based matrix. MR-based experimental evidence suggests that muscle consists of separate pools with different diffusion coefficients [49, 54–56]. Our results indicate that both coefficients substantially affect the signal. Further investigation is needed to determine how different these effective diffusion coefficients are and if it is appropriate to treat them as a single coefficient. The putative effect of subcellular structures on the effective diffusion coefficients points to limitations of the adopted simplified structural model of muscle, especially in modeling axial diffusion. Improved tissue models should incorporate the effect of subcellular parameters such as sarcomere length [6] or myofibril distribution [10] on the determination of effective diffusion coefficients.

The differences between prior and the present results extend to permeability and diameter as well. These were considered by Hall and Clark [57] and Berry et al. [11] but with disparate results. Hall and Clark examined the change in entropy of the dMRI signal and found that permeability has the largest effect on this measure. In contrast, Berry et al. found that fiber diameter has a larger effect. Our results agree with those of Berry et al, although we find that fiber diameter has a stronger relative effect compared to permeability. A caveat in comparing these studies concerns the tissue model adopted. Hall and Clark used a hierarchical tissue model of muscle consisting of cylinders embedded in larger cylinders and also considered an impermeable baseline case. These embedded cylinders are more representative of myofibrils than myocytes. As such, the change in permeability of these embedded cylinders compares more directly to a change in our model's effective intracellular diffusion coefficient, in which case our results agree with theirs. Berry et al. modify the permeability of the myocytes by randomly deleting

the cell wall of a portion of the cells in the domain. This can be contrasted to our use of a finite permeability membrane on all cells and may explain the differences in results. Our model accounts for finite water permeability by imposing an interfacial flux condition [32]. This closely relates to permeability changes related to changes in muscle metabolism [6]. Additionally, damage found in dystrophin-deficient muscle [4, 5] is thought to lead to mechanically-induced tears in the membrane, which can also be modeled as an increase in the average flux across the membrane. Bates et al. [12] model permeability in this way as well, but they do not systematically examine its effect.

Another key difference with previous studies is our treatment of pulse parameters. Berry et al. examine a single diffusion time and gradient strength. Bates et al. consider these parameters individually, although their sensitivity analysis is performed at a single diffusion time and gradient strength. Hall and Clark examine the effect of diffusion time and gradient strength but use them as fixed parameters in their study. In contrast, we examined diffusion time and b-value as input parameters to the sensitivity analysis.

The present sensitivity analysis, as a whole, agrees most closely with Berry et al. and Bates et al., but not with Hall and Clark, likely due to the tissue model differences mentioned above. Our general agreement with Berry et al. and Bates et al. is notable; they both perform their analysis using relatively short diffusion times (9 and 7 ms respectively) while we consider a much larger range of diffusion times and b-values. This similarity suggests that the relative influence of individual parameters is consistent across a range of diffusion times and b-values. A point further supported by the agreement between the PGSE and generalized diffusion-weighted sequence results.

Usefulness of Sensitivity Indices

Sensitivity indices are only meaningful if the parameter ranges are realistic. These sensitivity indices are global indices and describe the effect over the prescribed range as a whole. The parameter ranges chosen are intentionally broad to include the majority of values reported in the literature. Additional measurements will allow refining these parameter ranges leading to more accurate sensitivity indices. With this proviso, the results presented here can still help identify important microstructural parameters in modeling dMRI. For example, if one wishes to measure permeability, the intracellular coefficient and diameter must be accurately estimated, since a small change in either of them could easily overwhelm any change in permeability. Another possible use of these results is in making comparisons between dMRI metrics. As an example, if an experiment shows a difference in MD but not in FA (like that reported between athletes and non-athletes [58]), then this is unlikely to be caused by changes in diameter or permeability, as both of these have

higher sensitivity to FA than MD. Rather, intra- and extracellular diffusion coefficients are better candidates to explain such a difference, as they have a small impact on FA but a larger impact on MD. This argument does not conclusively attribute dMRI change to a certain microstructural parameter, but it does help identify candidates, and rule out others, for further investigation.

5 Conclusion

The goal of this study is to determine which microstructural and pulse parameters most influence muscle dMRI signal. We performed a global sensitivity analysis of the effect that microstructural and PGSE pulse parameters have on dMRI metrics of FA, MD, RD and the diffusion tensor eigenvalues, as well as an analysis of a generalized diffusion-weighted sequence, which allowed us to examine a larger range of diffusion times. We also examined the effect that adding synthetic noise has on the sensitivity indices. We concluded that SNR levels of at least 50 should be attained to accurately interpret dMRI in terms of the underlying microstructure. Increasing NSA is an appropriate method of attaining this higher SNR.

We report that among the examined microstructural parameters the intracellular diffusion coefficient has the strongest effect and that cell diameter is more influential than permeability in the transverse direction. We found that both the PGSE and generalized diffusion-weighted sequences had similar sensitivity to microstructural parameters. Although our results indicate that the dMRI metrics are not sensitive to T₂ variations, we do not infer that the difference between intra-and extracellular T₂ values should be ignored. By identifying the key microstructural features that affect dMRI measurements, the present sensitivity results can help interpret dMRI signal measurements and inform further model development by reducing the number of microstructural parameters considered.

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Supporting Information

Supporting Information Figure S1: Relative error of LBM model integrated over a homogeneous domain for different relaxation factors.

Supporting Information Table S1: A list of all first, second and total-order sensitivity indices for the PGSE sequence.

Supporting Information Table S2: A list of all first, second and total-order sensitivity indices for the generalized-weighted diffusion-weighted sequence.

Supporting Information Table S₃: Results of simple sensitivity analysis of two compartment diffusion model for changes in parameter ranges. Four different cases are examined: a) standard parameter range, b) change in volume fraction, c) change in T₂ values and d) change in diffusion coefficients.

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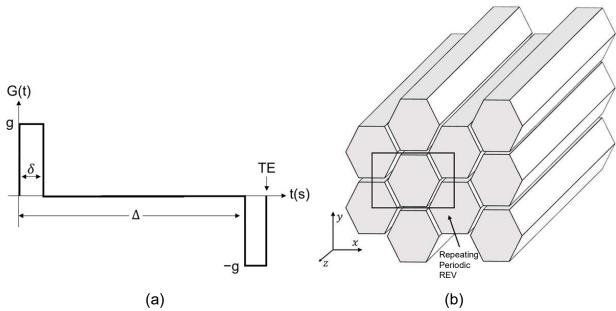


Figure 1: (a) dMRI sequence and (b) periodic muscle fiber model. Solid rectangle designates the cross-section of the representative elementary volume (REV) in the x-y plane.

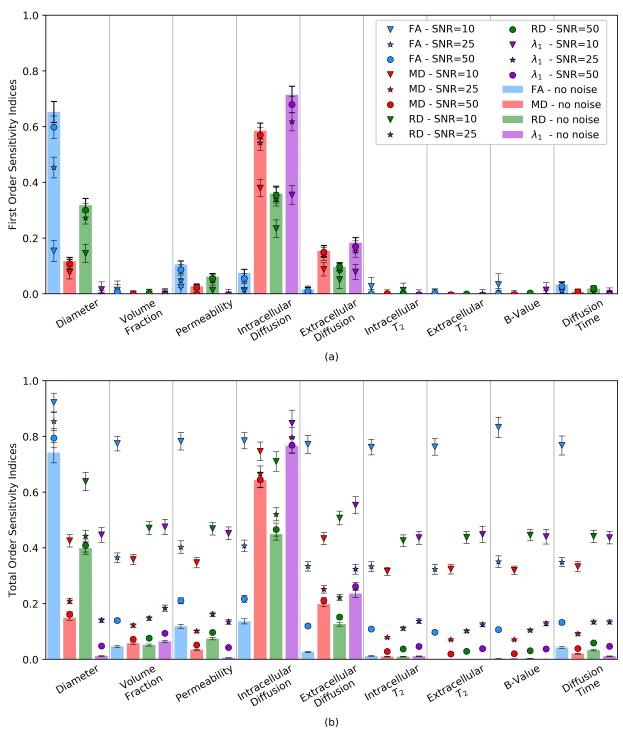


Figure 2: (a) First-order indices and (b) total-order indices for dMRI signal simulations with no added noise (bar) and with added SNR levels of 10 (∇), 25 (\star), 50 (o) and NSA = 1. Error bars are confidence intervals.

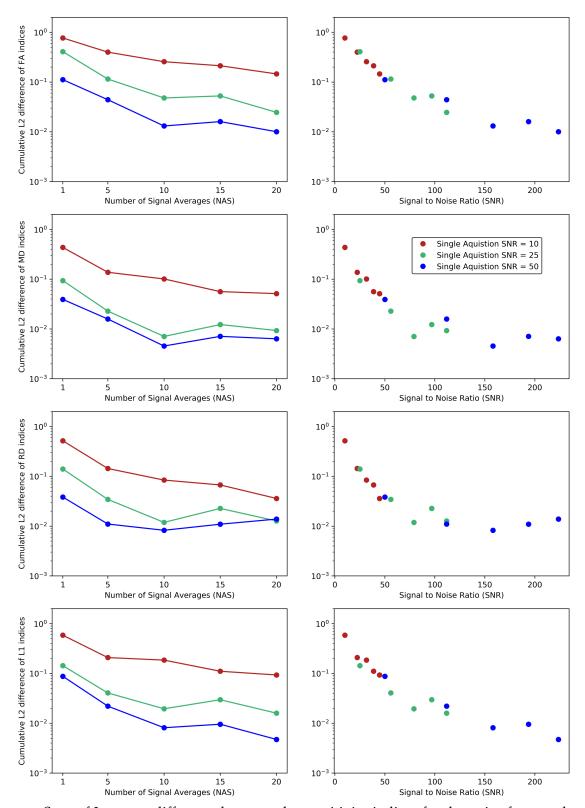


Figure 3: Sum of L2-norm difference between the sensitivity indices for the noise-free results and when synthetic noise is added at different SNR levels and number of signal averages (NSA) for each dMRI metric.

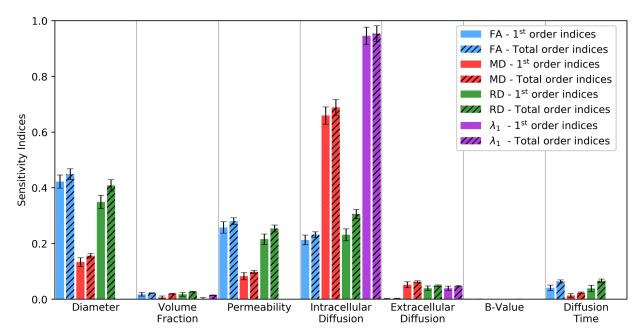


Figure 4: First and total-order indices for dMRI signal simulations of the generalized diffusion—weighted pulse sequence. Error bars are confidence intervals.

Table 1: Range of input parameters used in sensitivity study for both PGSE and the generalized diffusion sequence; parameters span range of experimentally observed values. * denotes parameters used in the sensitivity analysis of the PGSE sequence while \dagger denotes parameters used in the analysis of the generalized diffusion-weighted sequence. T_2 ranges include reported values for field strengths between 0.5 - 7T.

Parameter	Range	References	
Myocyte Diameter	10 - 80 μm	[30, 59–64]	*†
Myocyte Volume Fraction	0.70 - 0.95	[56, 62, 65]	*†
Sarcolemma Membrane Permeability	10 - 100 μm/s	[30, 63, 66, 67]	*†
Intracellular Diffusion Coefficient	$0.5 - 2.5 \mu m^2/ms$	[49, 54–56]	*†
Extracellular Diffusion Coefficient	$0.5 - 2.5 \mu m^2/ms$	[49, 54–56]	*†
Intracellular T ₂ Relaxation Time	20 - 40 ms	[8, 54–56, 68–70]	*
Extracellular T ₂ Relaxation Time	80 - 140 ms	[54, 55, 68, 69]	*
B-Value	300 - 1200 s/mm ²	[8, 25, 44, 71]	*†
Diffusion Time	10 - 90 ms	[25, 71]	*
	10 - 750 ms	[19, 20, 72]	†