



# geometry

## Scaling Ethereum with Lookup Arguments

J A N | 2 0 2 3



# Some Context

- The world of ZK proofs saw many revolutionary breakthroughs in 2022
- In particular, many new **lookup argument** techniques
- Today's agenda:
  - Motivation: from scaling blockchains to succinct proofs and the need for lookup arguments
  - An overview of the advances in lookup arguments



# Part 1

Scaling blockchains with ZK proofs and the need for  
lookup arguments



# ZK (and succinct) Proofs in Blockchains

- A *prover* can convince a *verifier* that they correctly ran a computation.
  - zero-knowledge: the verifier learns nothing about the computation
  - succinctness: the verifier does **much less** work than the original computation
- First used for blockchains by Zcash to introduce privacy
- Mostly used today for **scaling**: L2s such as Aztec, Scroll, StarkNet, Polygon Miden, zkSync



# ZK Proofs in Practice

- Requires:
  - a way to hide numbers, but still apply operations on them
  - objects that don't get too large
  - can't break our group of objects into smaller groups



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  - objects that don't get too large
  - can't break our group of objects into smaller groups

=> Introduce elliptic curves!

**discrete logarithm problem** hides the numbers, **finite field** ensures objects have a maximum size, **prime** number of elements so that it cannot be divided



# Ready to Prove Ethereum?

- Classical computing is binary, working on 0s and 1s
- Ethereum was built without ZKPs in mind and follows the classical computing paradigm:
  - types are chosen to be stored as bits, e.g. uint256
  - use Keccak hash for the state tree



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How do we reconcile ZKP math with computer math?



# ZKP Math vs Computer Math

- Step 1: represent strings of bits as field elements

| Bits | Field |
|------|-------|
| 00   | 0     |
| 01   | 1     |
| 10   | 2     |
| 11   | 3     |



# ZKP Math vs Computer Math

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- (maybe) Step 2: emulate logic operations (AND, OR, XOR, etc...) with our field operations (addition, multiplication, inversion)

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The XOR table in bits

| A  | B  | A XOR B |
|----|----|---------|
| 00 | 00 | 00      |
| 00 | 01 | 01      |
| 00 | 10 | 10      |
| 00 | 11 | 11      |
| 01 | 00 | 01      |
| 01 | 01 | 00      |
| 01 | 10 | 11      |
| 01 | 11 | 10      |
| 10 | 00 | 10      |
| 10 | 01 | 11      |
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| 1 | 1 | 0       |
| 1 | 2 | 3       |
| 1 | 3 | 2       |
| 2 | 0 | 2       |
| 2 | 1 | 3       |
| 2 | 2 | 0       |
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Faster lookups mean faster ZK proofs (and faster scaling!)

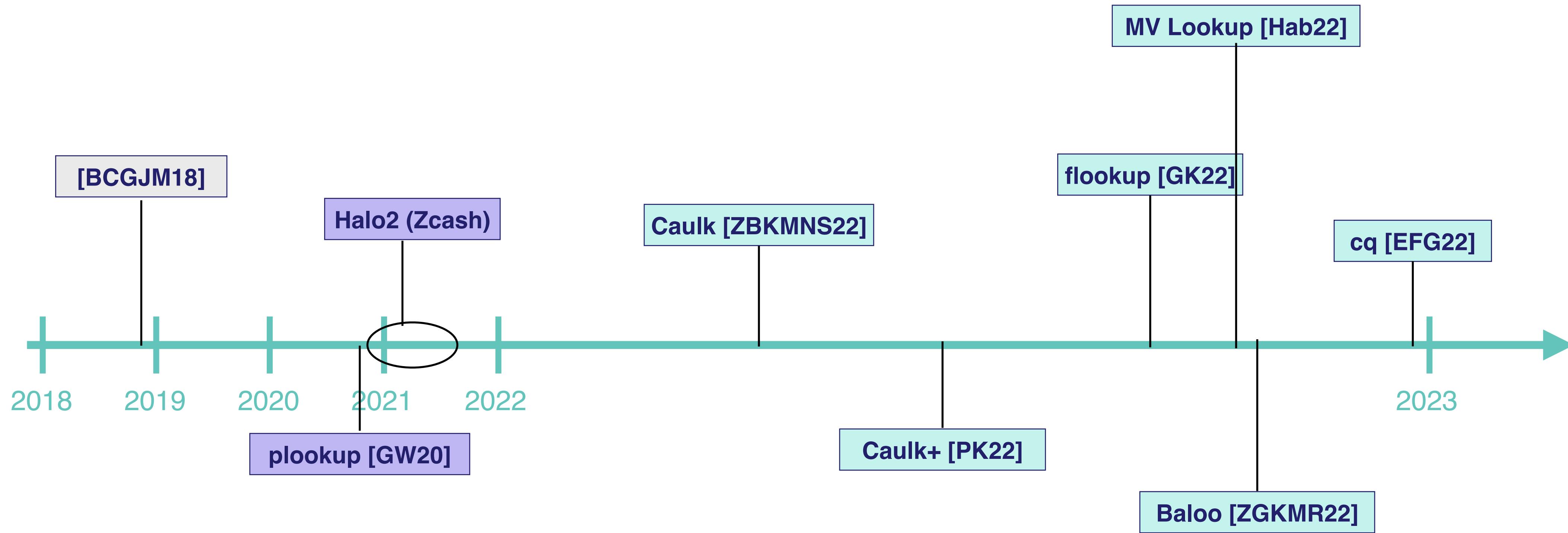


## Part 2

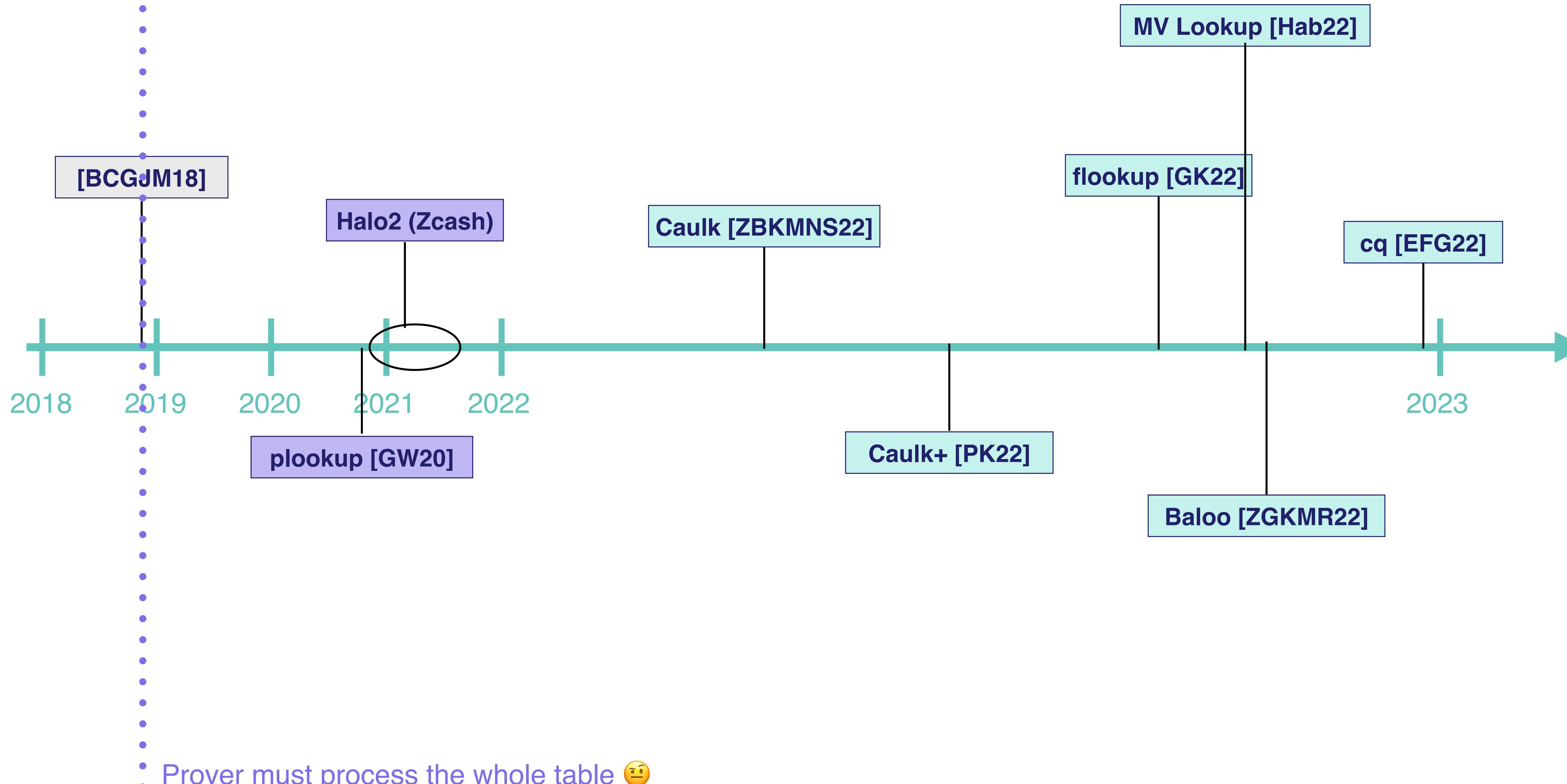
2022, Year of the Lookup



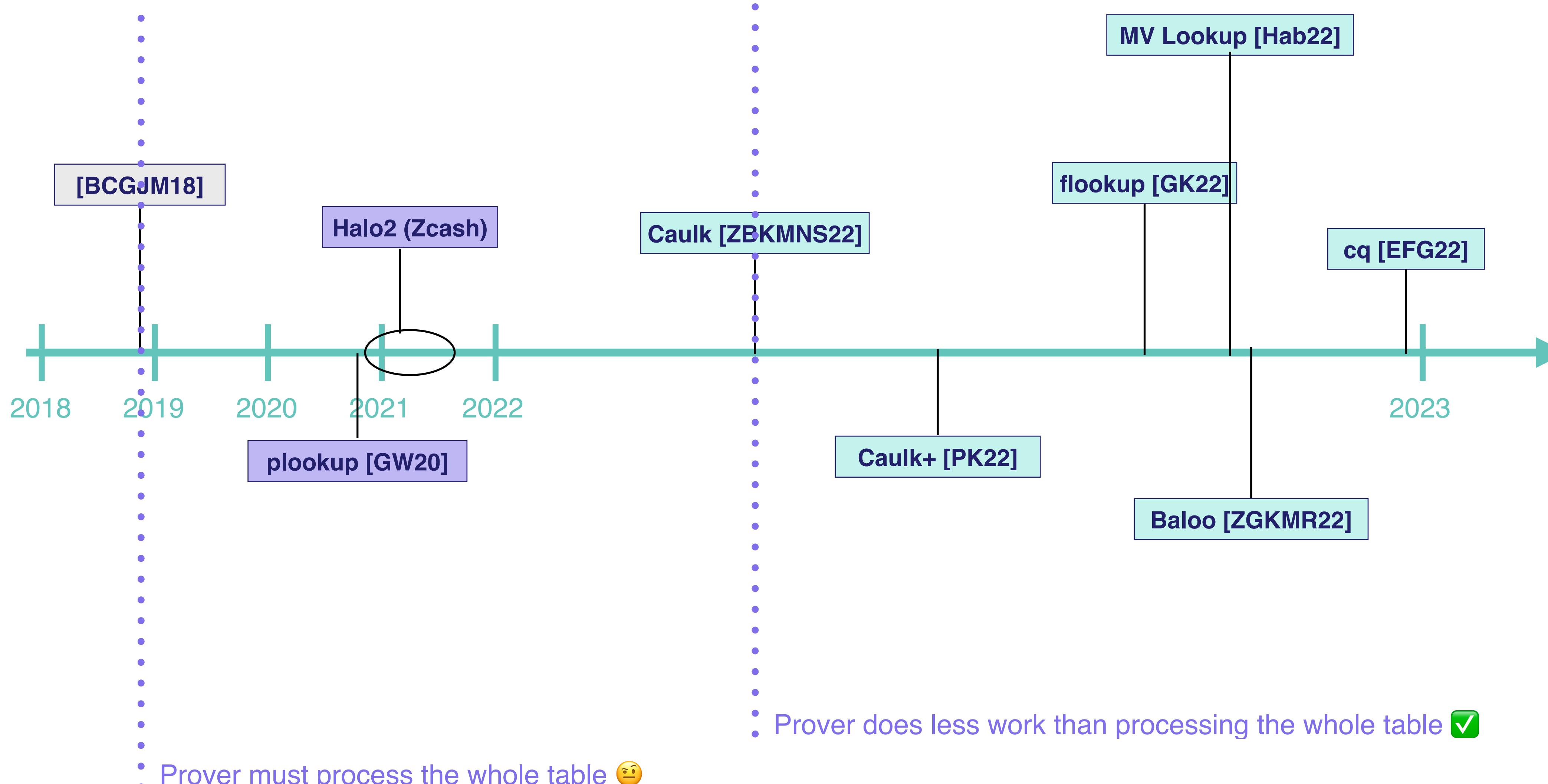
# A Timeline of Lookups



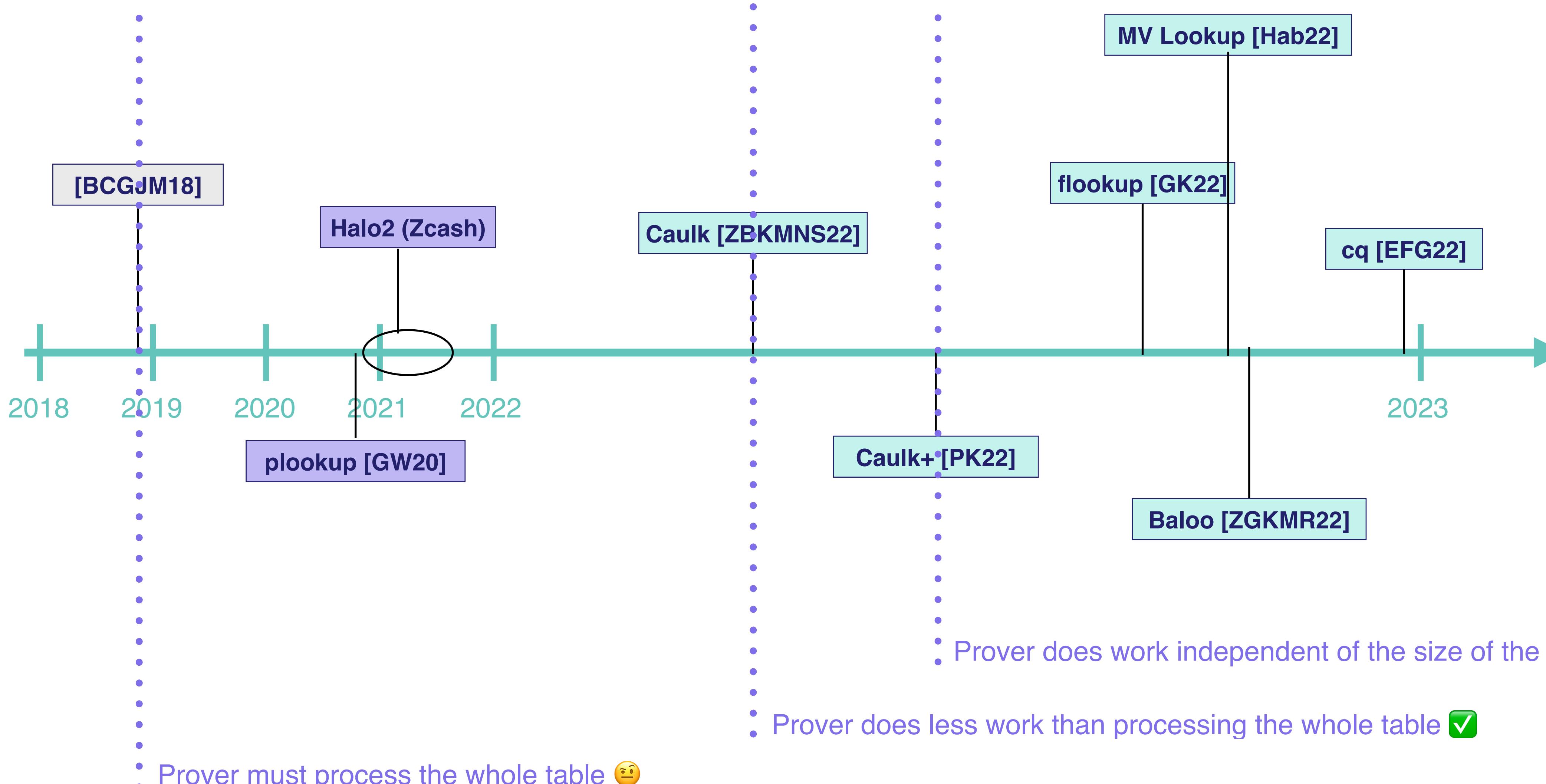
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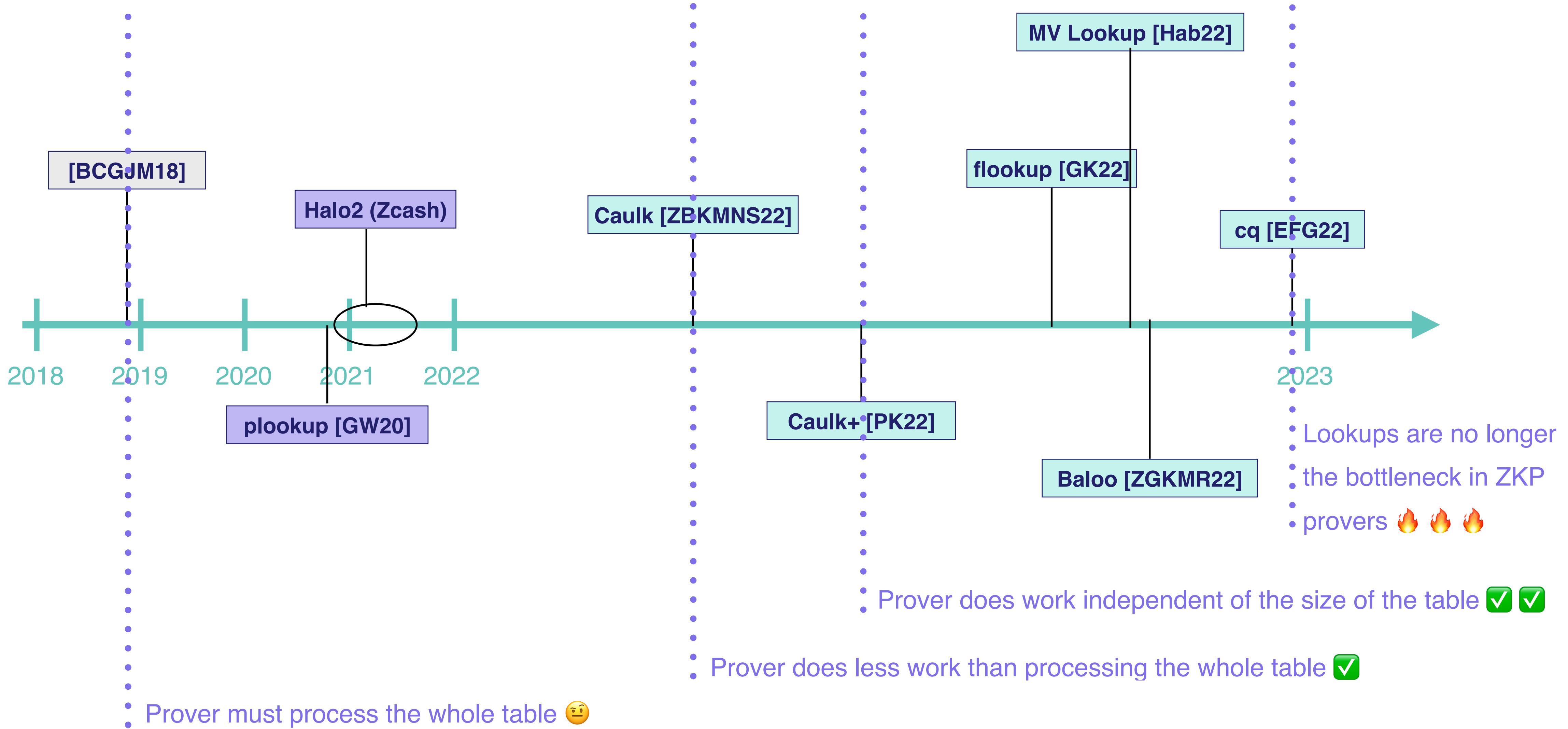
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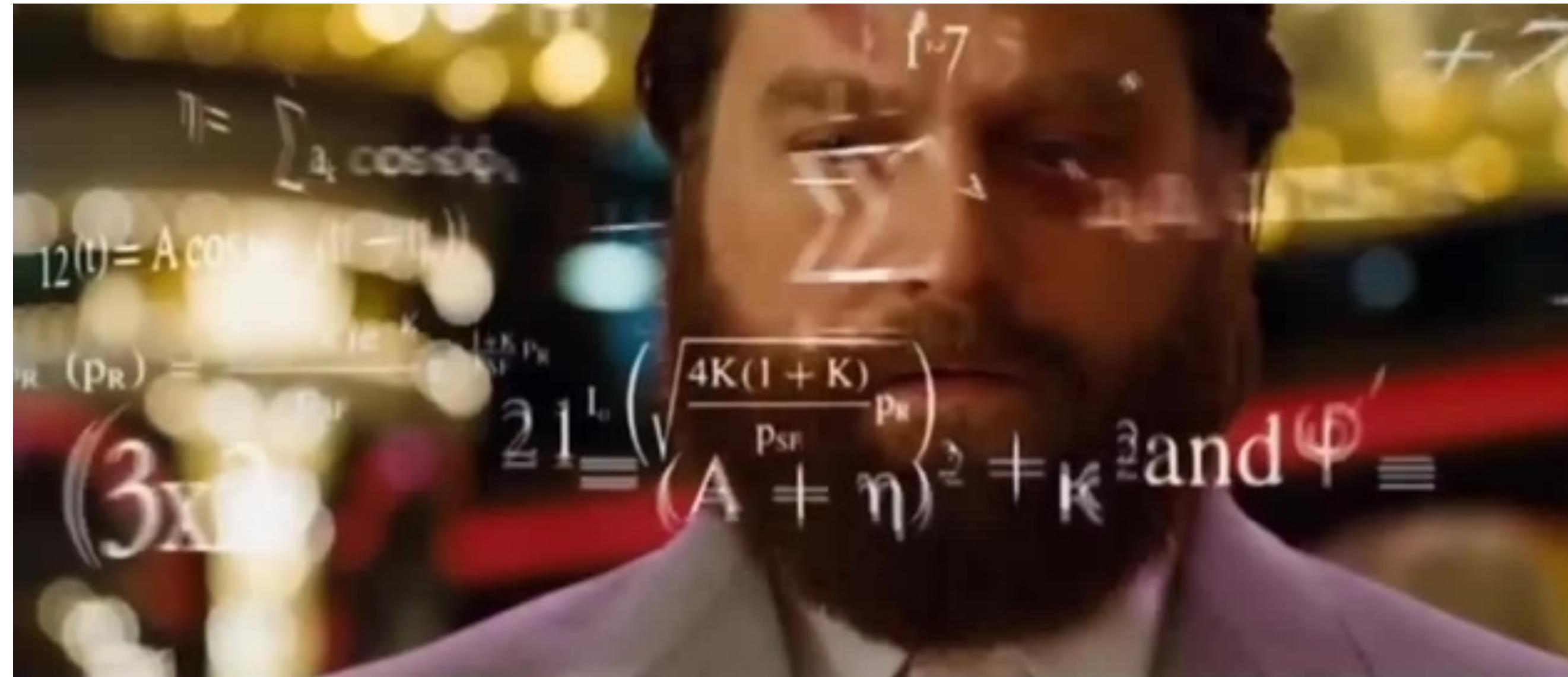
# The Caulk Breakthrough (High Level)

Rather than encoding the whole table, Caulk derives a polynomial  $t(X)$  which *only encodes the values that we are interested in*.

Proving that  $t(X)$  is correctly formed can be done with less work than processing the whole table *if we are given the right precomputed values*.



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Aside: the KZG polynomial commitment

To prove that  $T(z_1) = y_1$  we need to show the existence of  $q_1(X)$  such that:

$$q_1(X) = \frac{T(X) - y_1}{X - z_1}$$



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This extends to multiple values! To show that  $T(z_1) = y_1, T(z_2) = y_2, \dots, T(z_n) = y_n$  we need

$$q(X) = \frac{T(X) - \sum_{i=1}^n y_i L_i(X)}{\prod_{i=1}^n (X - z_i)}$$

$$\boxed{L_i(X) = \begin{cases} 1 & \text{for } X = z_i, \\ 0 & \text{otherwise} \end{cases}}$$



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Solution: pre-computation!

If we have commitments  $Q_1, Q_2, \dots, Q_n$  that respectively show that  $T(z_1) = y_1, T(z_2) = y_2, \dots, T(z_n) = y_n$ , we can compute the commitment  $Q$  to  $q(X)$  as above via linear combinations. No dependence on the size of the big table only on  $n$



# Recap

- We use ZKPs for scaling blockchains, but some computations are hard to represent inside a ZKP
- Lookup arguments allow to perform the computation outside of the ZKP yet prove that the output is correctly derived from the input
- 2022 saw rapid successive breakthroughs in lookup techniques; lookups are no longer the bottleneck of a SNARK prover!
- 2023, year of the “fast lookup”-enabled zkEVM?





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