

# Locality-constrained Linear Coding for Image Classification

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# Introduction

- How do we classify visual object categories?
- Bag of visual words approach highly successful – at the core of winning entries for PASCAL VOC 2007-2010



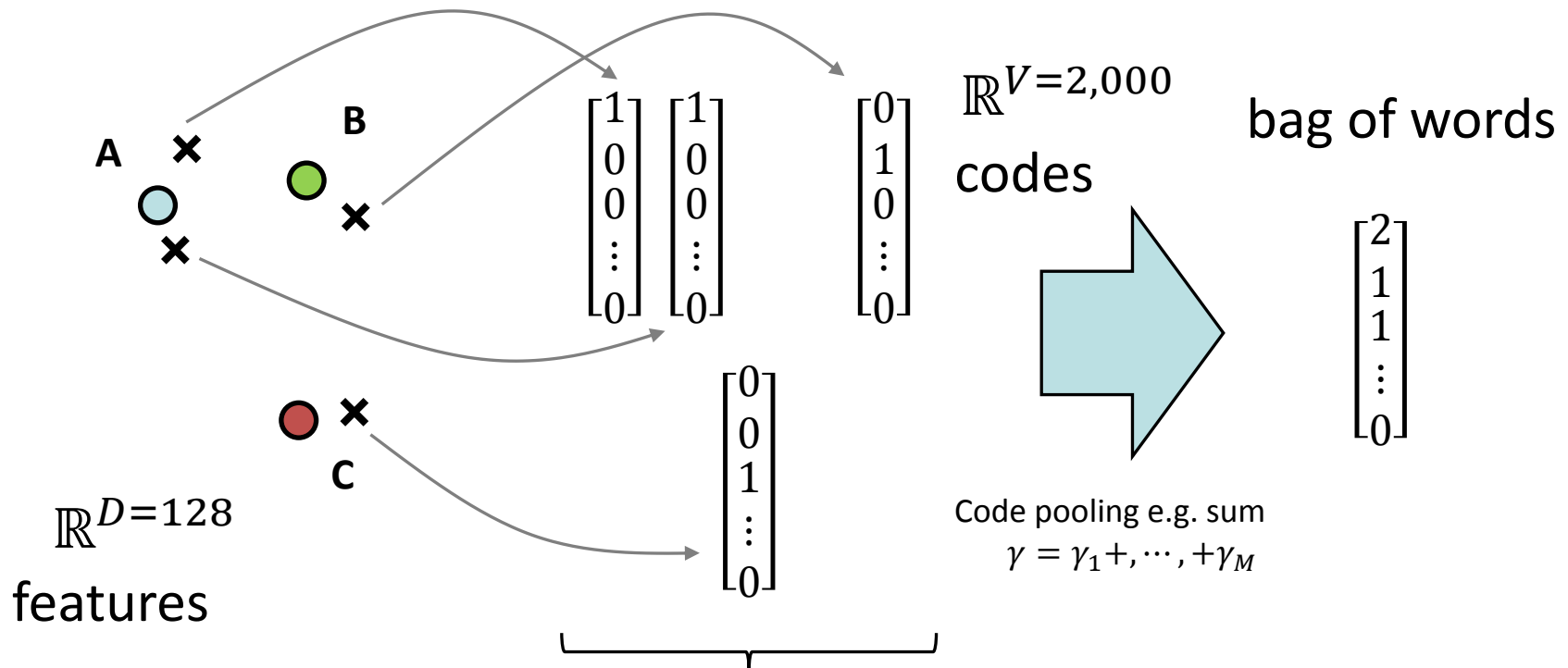
monkey?



monkey?

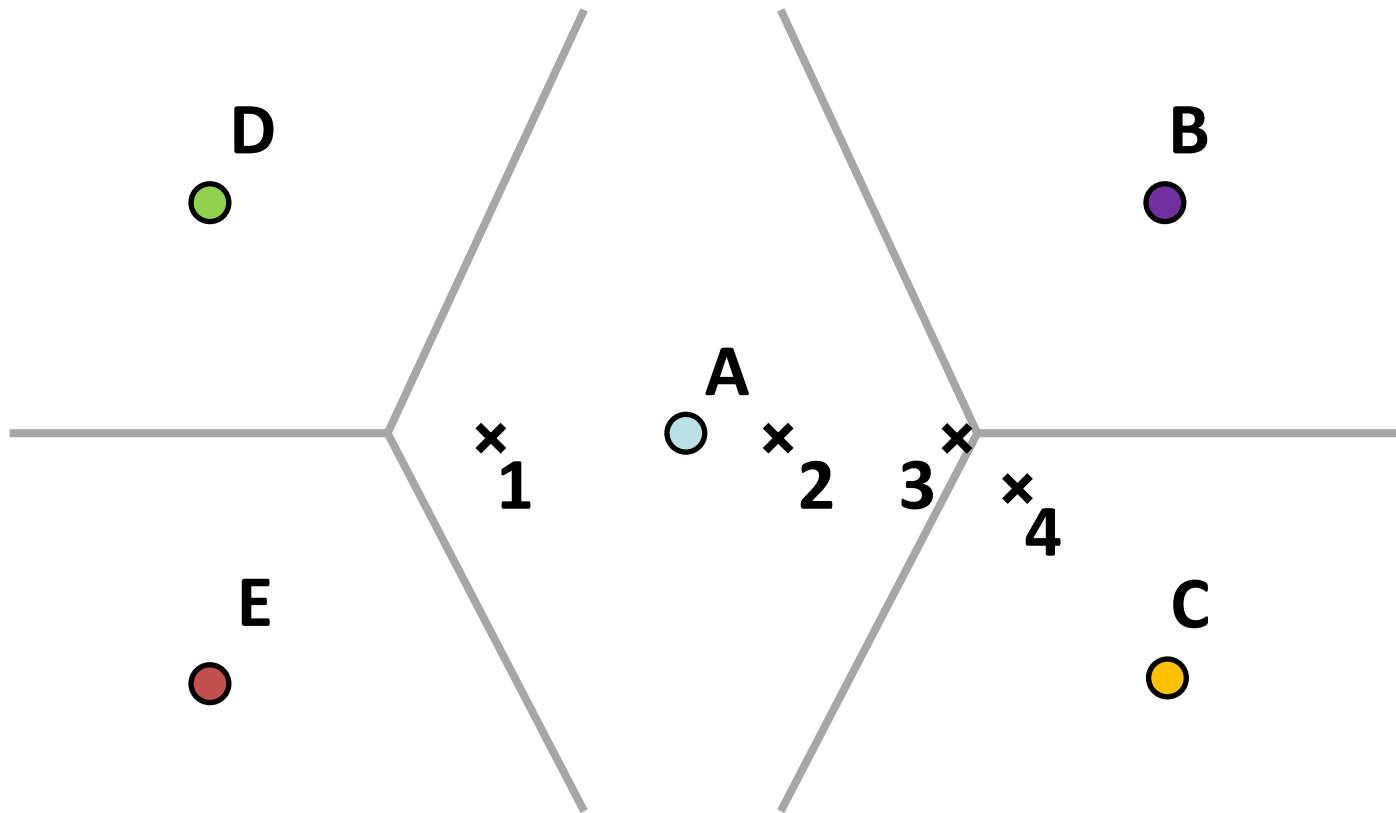
# Bag of Visual Words as Descriptor Coding

- 'Bag of Visual Words' using vector quantization for visual word assignment can be considered to be a type of **feature coding**
- In VQ each feat. in an image is encoded by assigning to a single visual word
- These codes are **sparse** and **high dimensional**
- Codes are pooled to form a single sparse 'bag of words' to describe the image

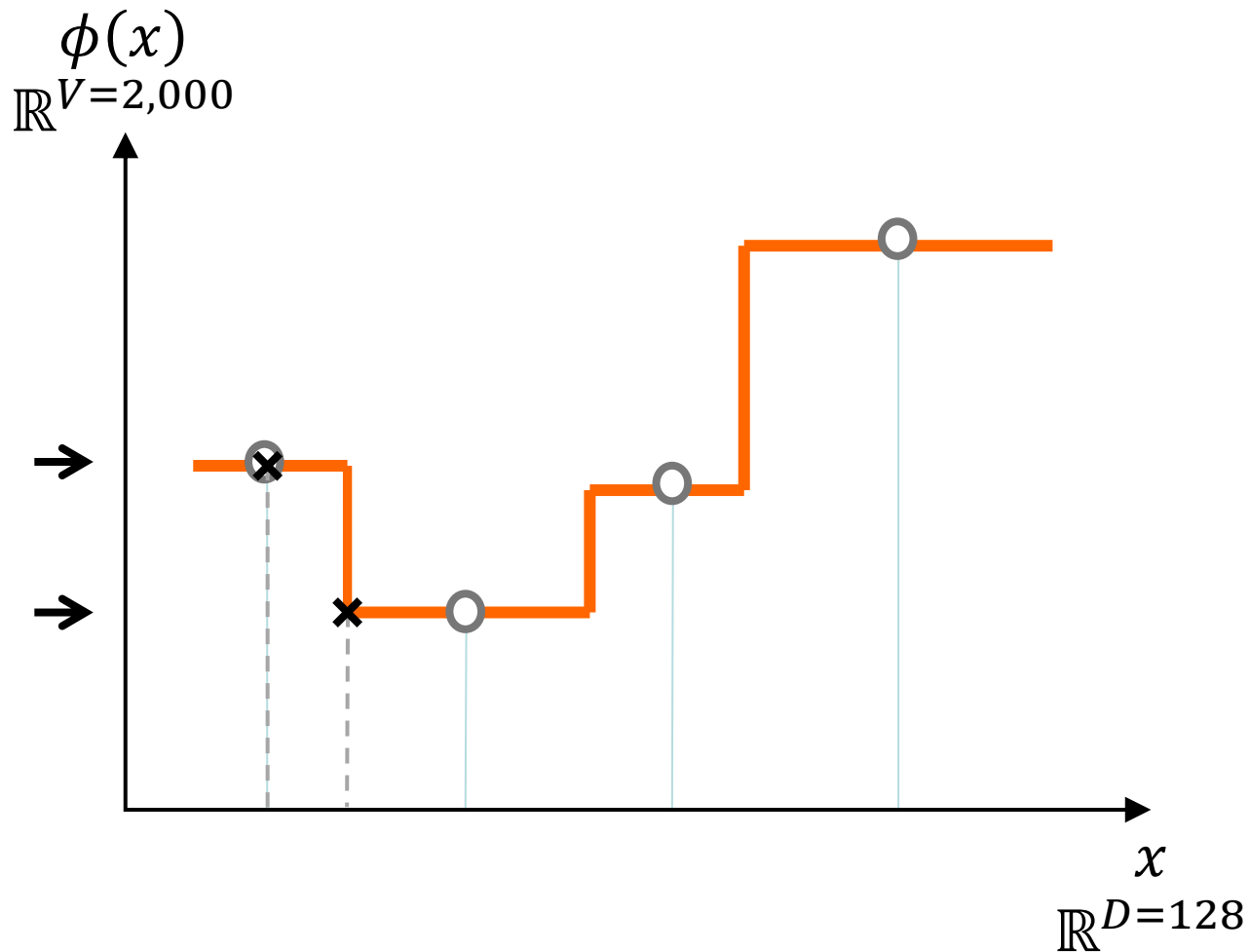


Descriptor codes  $\gamma_i = \phi(x_i)$  where  $\phi$  is a non-linear mapping

# The Problem with Vector Quantization

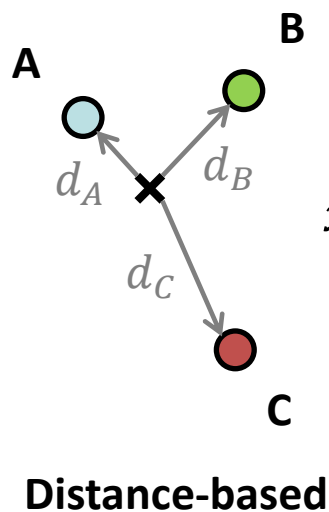


# The Problem with Vector Quantization

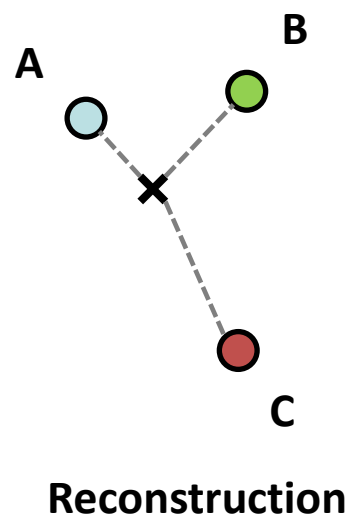


# Approaches to Soft-Assignment

- Distance-based soft assignment
- Soft assignment through learning an optimal reconstruction
  - With sparsity regularization  $\rightarrow$  ScSPM (CVPR '09)
  - With locality regularization  $\rightarrow$  LCC (NIPS '09) / LLC (CVPR '10)

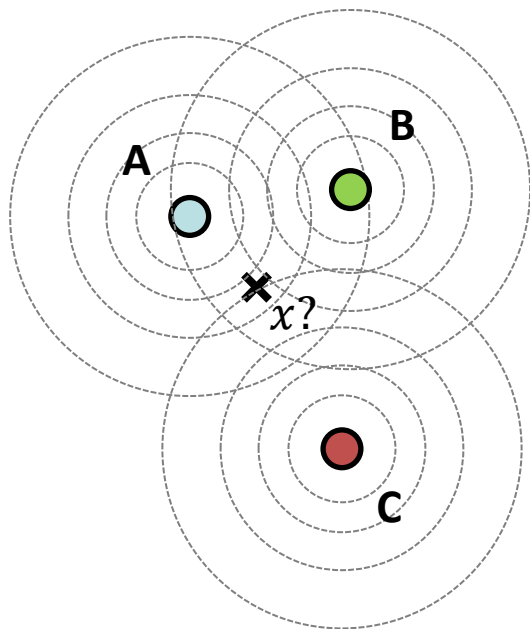


$$x \approx \sum_{j=1}^V K_{\sigma}(\|x, v_j\|) \cdot v_j$$



$$x \approx \sum_{j=1}^V \gamma_j v_j$$

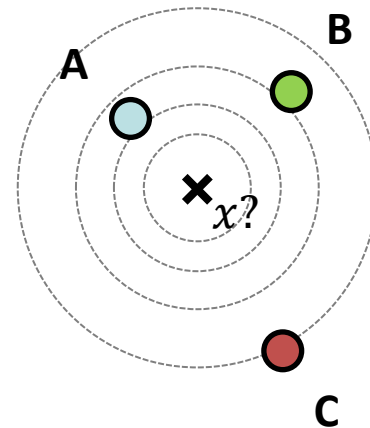
# Distance-based Soft Assignment



$$K_{\sigma}(x - X_i) \\ = K_{\sigma}(X_i - x)$$

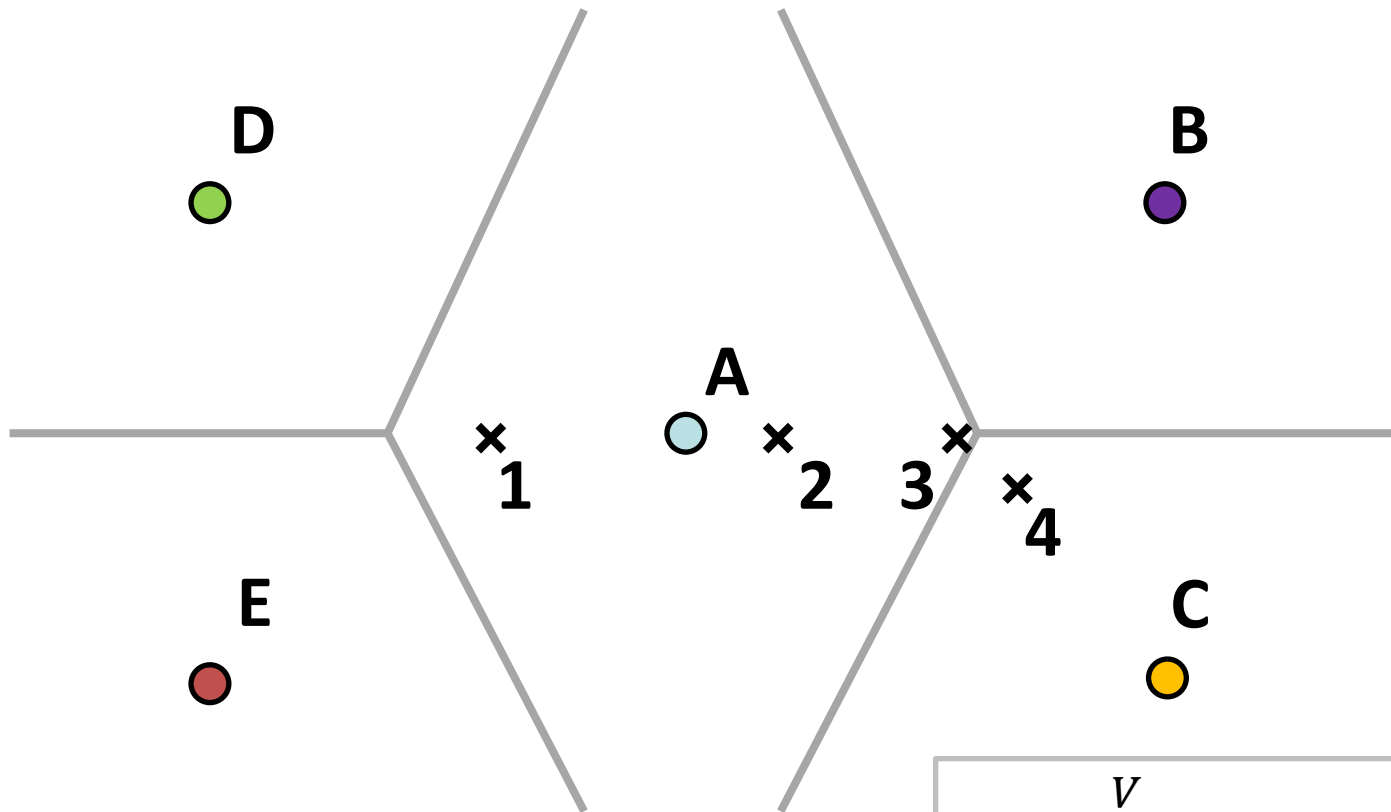
$$K_{\sigma}(x) = \frac{1}{\sqrt{2\pi}\sigma} \exp\left(-\frac{x^2}{2\sigma^2}\right)$$

$$x \approx \sum_{j=1}^V K_{\sigma}(\|x, v_j\|) \cdot v_j$$



- Replace **histogram estimator** of the codewords with a **gaussian mixture model**
- However, if the kernel is symmetric, can place kernel on codeword instead
- Choose N nearest neighbour codewords and assign weighted by kernel
- Essentially assigning based on **distances** in feature space  $\mathbb{R}^{D=128}$

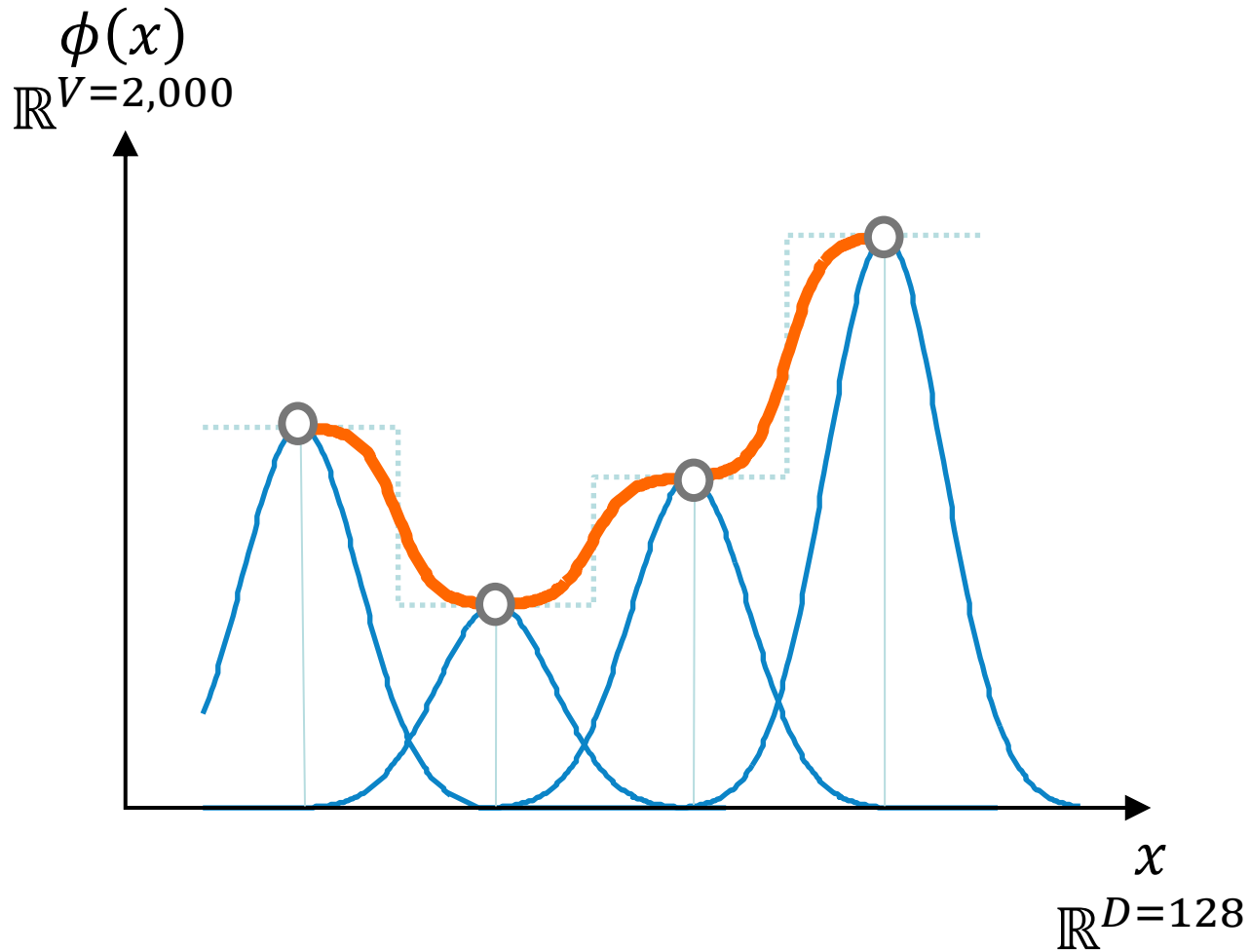
# Distance-based Soft Assignment



$$\gamma \approx \sum_{j=1}^V K_{\sigma}(\|x, v_j\|)$$



# Distance-based Soft Assignment



# Encoding using Sparsity Reg. (ScSPM)

- Over all features  $x_i$  for  $i = 1 \dots N$  Vector Quantization becomes a constrained least square fitting problem:

$$\arg \min_{\gamma} \sum_{i=1}^N \|x_i - \underbrace{N\gamma_i}_{\substack{dxM \text{ matrix} \\ \text{codebook}}} \|^2$$

Encoding for image  $i$

s.t. only one element of  $\gamma_i$  is non-zero and equal to 1

(i.e.  $\|\gamma_i\|_{\ell^0} = 1, \|\gamma_i\|_{\ell^1} = 1$ ) this non-zero element corresponds to  $v_j$

- But why should the feature be assigned to only one codebook entry?
- Ameliorate the quantization loss of VQ by removing the constraint  $\|\gamma_i\|_{\ell^0} = 1$  and instead using a sparsity regularization term to restrict the number of non-zero bases:

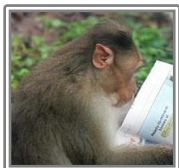
$$\arg \min_{\gamma} \sum_{i=1}^N \|x_i - N\gamma_i\|^2 + \lambda \|\gamma_i\|_{\ell^1}$$

# Encoding using Sparsity Reg. (ScSPM)

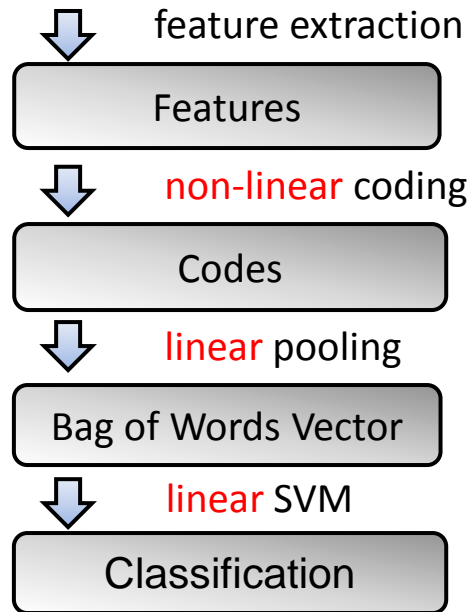
$$\arg \min_{\gamma} \sum_{i=1}^N \|x_i - N\gamma_i\|^2 + \lambda \|\gamma_i\|_{\ell^1}$$

- This is the sparse coding scheme ScSPM (Yang et al. CVPR '09)
- $\ell^1$  regularization required as codebook N is usually overcomplete (i.e.  $M > d$ )
- By assigning to multiple bases we overcome the quantization errors introduced by VQ
- Over Caltech-101 using dense SIFT yields 10% improvement over VQ, and 5~6% improvement over soft-assignment using kernel codebooks using a **linear SVM** (see results later)

# Coding Provides Non-linearity



Considering general case and a typical classification framework:



$$\mathbf{X} = [x_1, x_2, \dots, x_N] \in \mathbb{R}^{D=128}$$

where  $D$  is # feature dimensions e.g. SIFT = 128  
and  $N$  is the number of features ( $D \times N$  matrix)

$$\phi(\mathbf{X}) = [\gamma_1, \gamma_2, \dots, \gamma_N] \in \mathbb{R}^V$$

where  $V$  is the codebook size ( $M \times N$  matrix)

$$\gamma = \sum_{i=1}^N \gamma_i$$

$$f_c(\gamma) = w^T \gamma$$

$$f_c(\gamma) = w^T \gamma = \sum_{i=1}^N w^T \gamma_i = \sum_{i=1}^N \overbrace{w^T}^{\text{linear classifier}} \underbrace{\phi(x_i)}_{\text{non-linear coding}}$$

# Encoding using Distance Reg. (LCC/LLC)

- Using ScSPM soft-assignment is formulated as a least squares fitting problem using an  $\ell^1$  sparsity regularization
- However, the effectiveness of distance-based soft-assignment suggests that the locality of the visual words used to describe any feature is also important
- We can account for this by replacing the sparsity regularization with a **locality constraint**:

$$\arg \min_{\gamma} \sum_{i=1}^N \|x_i - N\gamma_i\|^2 + \lambda \|d_i \odot \gamma_i\|^2$$
$$d_i = \exp\left(\frac{\text{dist}(x_i, N)}{\sigma}\right)$$

- This is not sparse in sense of  $\ell^1$  norm, but in practice has few significant values – those values below a certain threshold can be set to zero

# Approximated LLC for Fast Encoding

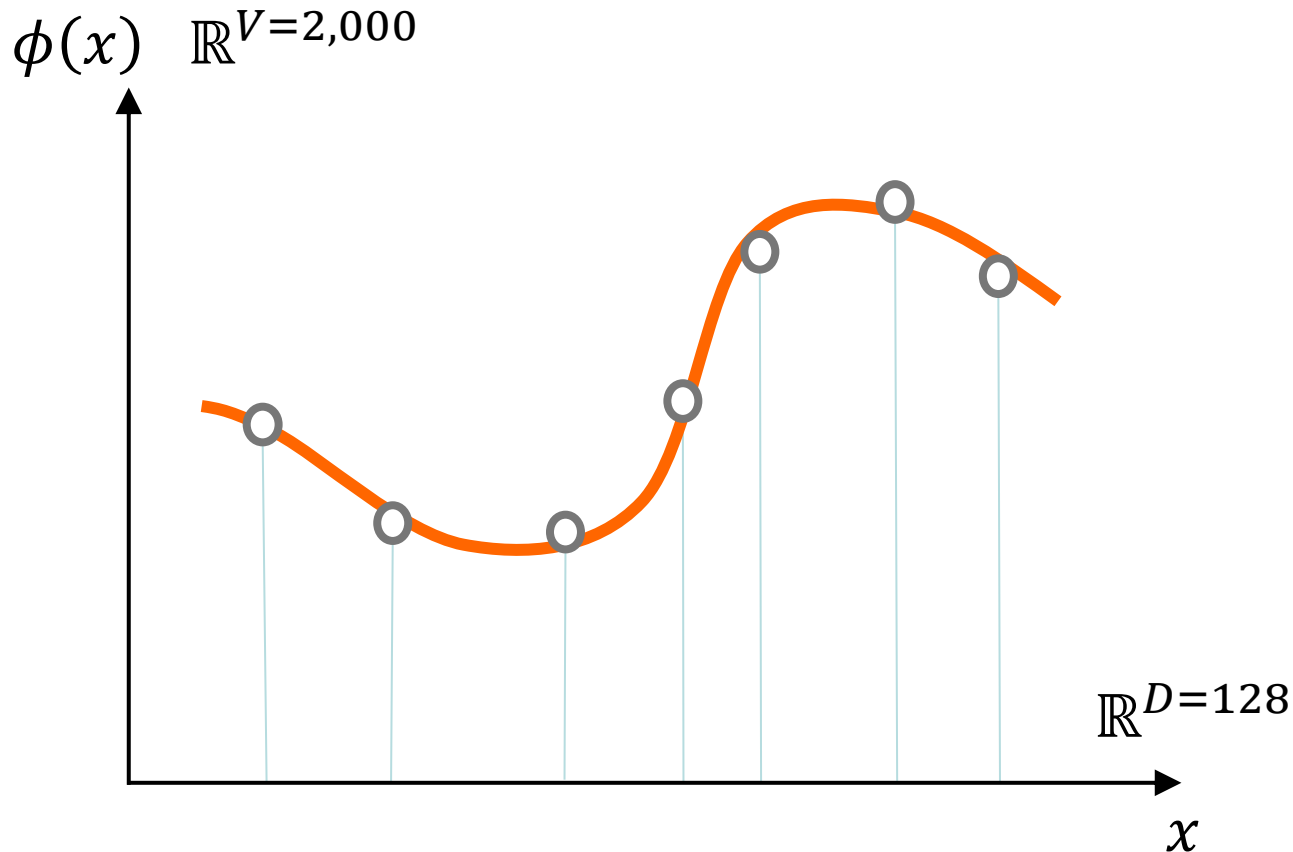
$$\arg \min_{\gamma} \sum_{i=1}^N \|x_i - N\gamma_i\|^2 + \lambda \|d_i \odot \gamma_i\|^2$$

- The distance regularization of LLC effectively performs **feature selection**, and in practice only those bases close to  $x_i$  in feature space have non-zero coefficients
- This suggests we can develop a fast approximation of LLC by removing the regularization completely and instead using the **K nearest neighbours** of  $x_i$  ( $K < D < V$  and in the paper  $K = 5$ ) as a set of local bases  $N_i$ :

$$\arg \min_{\tilde{\gamma}} \sum_{i=1}^N \|x_i - N_i \tilde{\gamma}_i\|^2 \quad st. \|\tilde{\gamma}_i\|_{\ell^1} = 1, \forall i$$

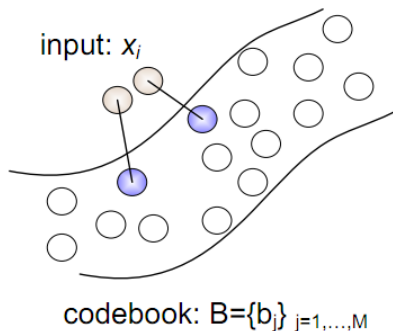
- This reduces the computation complexity from  $\mathcal{O}(V^2)$  to  $\mathcal{O}(V + K^2)$  and the nearest neighbours can be found using ANN methods such as kd-trees

# Locally-constrained Linear Coding



- A smooth function is fitted between visual words and assignment is optimized to **minimize reconstruction error** unlike purely distance-based assignment
- For LLC only the  $K$  nearest neighbours ( $=5$ ) are used  $\rightarrow$  equivalent of  **$V$ -dimensional spline interpolation across intervals of  $K$**

# Soft Assignment Methods Comparison

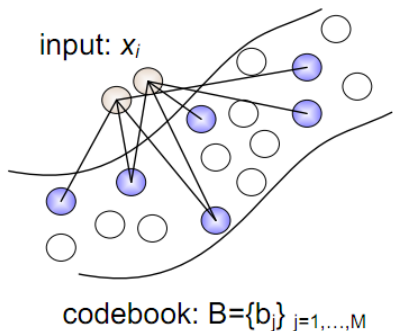


## Vector Quantization

- ✓ Fast
- ✗ Quantization a problem

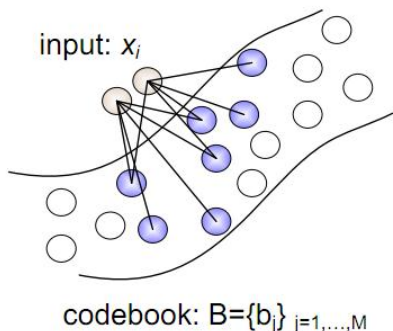
## Distance-based Soft-Assignment

- ✓ Assigns features to multiple visual words based on locality
- ✗ Does not minimize reconstruction error



## ScSPM (sparsity regularization)

- ✓ Minimizes reconstruction error  $\sum_{i=1}^N \|x_i - N\gamma_i\|^2$
- ✗ Optimization is computationally expensive
- ✗ Regularization term is not smooth



## LLC (locality regularization)

- ✓ Minimizes reconstruction error  $\sum_{i=1}^N \|x_i - N\gamma_i\|^2$
- ✓ Local smooth sparsity
- ✓ Fast computation through approximated LLC



# Results

Algorithm	15 training	30 training
SVM-KNN (Zhang CVPR '06)	59.10	66.20
KSPM (Lazebnik CVPR '06)	56.40	64.40
NBNN (Boiman CVPR '08)	65.00	70.40
ML+CORR (Jain CVPR '08)	61.00	69.60
Hard Assignment	--	62.00
KC (Gemert ECCV '08)	--	64.14
ScSPM (Yang CVPR '09)	<b>67.00</b>	73.20
<b>LLC</b>	65.43	<b>73.44</b>

↑ Results over Caltech-101 dataset

↓ Results over Caltech-256

Algorithm	15 training	30 training
Hard Assignment	--	25.54
KC (Gemert ECCV '08)	--	27.17
ScSPM (Yang CVPR '09)	27.73	34.02
<b>LLC</b>	<b>34.36</b>	<b>41.19</b>