

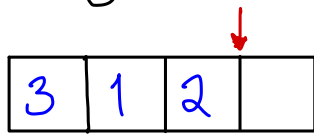
# Resizable Arrays

Python list, Java ArrayList  
Rust vector, C++ vector.  
Most basic d/s

basic

## Traditional idea:

- Keep a fixed array (malloc)
  - grow when full
  - shrink when too "sparse"
- Textbook solution: geometric expansion/shrinking  
aka. doubling trick.
- Recap:



Too sparse:

when  $\text{len} \leq \text{capacity}/4$

(generally:  $\text{len} \leq \text{cap}/(1+\alpha)^2$ )

- shrink cap in half (or  $\text{cap}/(1+\alpha)$ )

- reality:  $\alpha \approx 0.25 \rightarrow 1$ .

full: when  $\text{capacity} = \text{len}$ .

- double capacity (or grow by  $(1+\alpha)$ )

cost: alloc - free

copy

$= N + O(N)$

Space:  $N + \alpha N$

## Ultimate idea:

after a costly action, the array must be only moderately occupied so that the next costly op is "a while" away.

Analysis: "amortized cost" — pretend to charge a costly op. to other less expensive ops.

- to grow to  $(1+\alpha)N$ . ① Full with  $\text{cap} = N$

$$\frac{N}{N - \frac{1}{1+\alpha}N} = \frac{1+\alpha}{\alpha}$$

- ② Must have been  $N - \frac{N}{1+\alpha}$  appends

- ③ Cost to grow =  $N$

- to shrink to  $\frac{N}{1+\alpha}$ .

- ① Sparse with  $N = \left(\frac{N}{1+\alpha}\right)^\alpha$

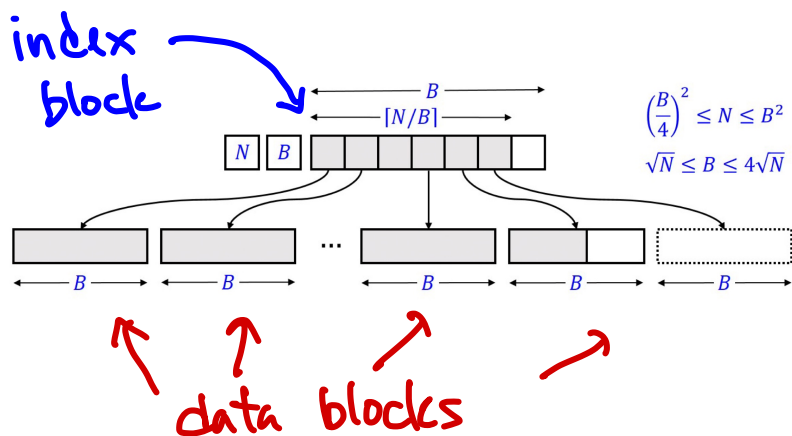
- ② Must have had  $\frac{N}{1+\alpha} - \frac{N}{(1+\alpha)^2}$  deletes

$\hookrightarrow \frac{1}{\alpha}$  per op.

- ③ Cost to shrink:  $\frac{N}{(1+\alpha)^\alpha}$

# Sitariski's idea (1996) - Hashed Array Tree (HAT)

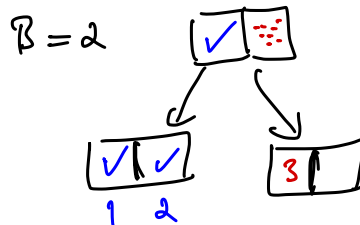
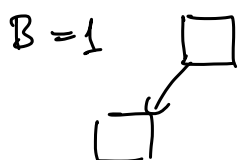
- No hashing & no trees



invariants

B is the control knob. (reacting to N).

Small examples:



Add May need to create a new data block

→ Nice if B is a power of 2 : if  $B = 2^k$

Access:  $at[i] \rightarrow \text{Block}[i/B]$  ( $i \gg k$ )

↳ index in the block =  $i \div B$  ( $i \& (1 \gg k - 1)$ )

Space

$N + O(\sqrt{N})$

Full → Grow

When no more room, i.e.,  $N = B^2$ .

→ Double B & copy data over

Note: Once B is doubled, capacity =  $(2B)^2 = 4B^2 = 4N$ .

Too sparse → Shrink

When  $N \leq \left(\frac{B}{4}\right)^2$

→ Halve B & copy data over

Note: Once halved, cap =  $\left(\frac{B}{2}\right)^2 = \frac{B^2}{4} = 4 \cdot \frac{B^2}{16} = 4N$

Analysis:

- grow costs  $\sim N$  to copy & otherwise.
- Must have had  $B^2 - \left(\frac{B}{2}\right)^2 = \frac{3}{4}N$  ops before grow
- amortized cost  $\leq \frac{N}{\frac{3}{4}N} = O(1)$ .

Q: Worst-case  $O(1)$  append / pop possible? Yes  $\Rightarrow$

Brodnik et al. (1999)

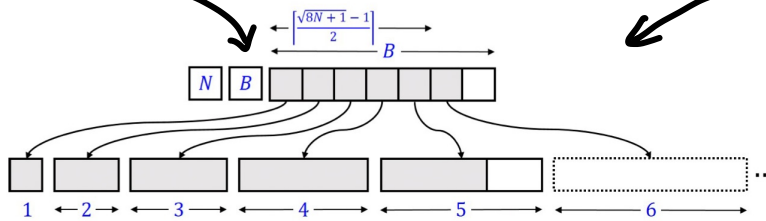
index [background rebuilding]

basic version:

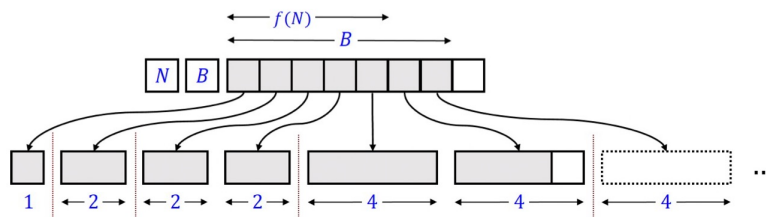
invariant -

$$N \leq \frac{B(B+1)}{2}$$

(a)



(b)



$a[i]$   $\rightarrow$  Block index : computation requires  $\sqrt{i}$  & multiplies  
 $\downarrow$  Data index - subtraction

$\rightarrow$  a more sophisticated scheme: superblocks  
 • Bit ops are then possible.

$SB_i$   $\begin{cases} \lfloor i/2 \rfloor \text{ blocks} \\ \text{size } 2^{\lfloor i/2 \rfloor} \end{cases}$   
 $\uparrow 0, 1, 2, \dots$

## How good are these in practice?

**Activity 1:** Characterize performance differences between geometric expansion/shrinking and Sitariski. Dimensions of interest: append latency (how bad is each costly operation), overall performance, access latency (How fast is  $a[i]$ ?)

Activity 1.1: Let's try to time something small. Choose your fav language: C++, Rust.

```
for x in 0..=n {
  vv.push_back(x); // <— time this thing? — very short duration for the most part
}
```

$\rightarrow$  function calls: clock, time, high-prec time  $\leftarrow$  func call overhead can be too high.  
 $\rightarrow$  rdtsc (read timestamp counter)  $\leftarrow$  count # of CPU cycles since reboot.  
 $\checkmark$  try this and explain your finding.