

# INDIAN INSTITUTE OF TECHNOLOGY PALAKKAD

# Department of Computer Science and Engineering CS5616 Computational Complexity January May 2024

Problem Set -2Name: Neeraj Krishna N Roll no: 112101033 Total Points -50Given on 09 FebDue on 16 Feb

### Instructions

- Use of resources other than class notes and references is forbidden.
- Collaboration is not allowed. Credit will be given for attempts and partial answers.
- 1. (10 points) [**Properties of**  $\leq_m$ ] Show that  $\leq_m$  relation is reflexive and transitive over languages in  $\Sigma^*$ . Is  $\leq_m$  symmetric? Argue.

### **Solution:**

1. To prove  $\leq_m$  is reflexive:

Let L be a language, we have to prove  $L \leq_m L$ . Let M be a turing machine which accepts L

Now we have to prove that if L is decidable, then L is decidable, which is trivial

2. To prove  $\leq_m$  is transitive:

Let  $L_1, L_2, L_3$  be 3 languages such that  $L_1 \leq_m L_2$  and  $L_2 \leq_m L_3$ , we have to prove that  $L_1 \leq_m L_3$ 

Let  $M_{12}$  be the reduction machine which converts an instance x of  $L_1$  to an equivalent instance y of  $L_2$  and  $M_{23}$  be the reduction machine which converts an instance y of  $L_2$  to an equivalent instance z of  $L_3$  (by definition of reduction machines both  $M_{12}$  and  $M_{23}$  are total)

We will construct a reduction machine  $M_{13}$  which converts an instance x of  $L_1$  to an equivalent instance z of  $L_3$ 

 $M_{13} =$ 

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On input x,

- (a) Run  $M_{12}$  on x and let y denote the output
- (b) Run  $M_{23}$  on y and let z denote the output
- (c) Return z

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This machine  $M_{13}$  is total since both  $M_{12}$  and  $M_{23}$  are total

Let  $M_3$  be a turing machine which accepts  $L_3$ 

Now to prove  $x \in L_1 \Leftrightarrow z \in L_3$ 

 $x \in L_1 \Leftrightarrow y \in L_2$  since  $M_{12}$  converts instance x of  $L_1$  to an equivalent instance y of  $L_2$ 

and

 $y \in L_2 \Leftrightarrow y \in L_3$  since  $M_{23}$  converts instance y of  $L_2$  to an equivalent instance z of  $L_3$ 

Hence

$$x \in L_1 \Leftrightarrow z \in L_3$$

Therefore the machine  $M_{13}$  converts an instance x of  $L_1$  to an equivalent instance z of  $L_3$ . Hence this is the required reduction machine

## 3. $\leq_m$ is not symmetric

Example : Let  $L=0^*$ , we know that L is decidable, Let M be the total turing machine which accepts L

We also know that  $HP \leq_m L$ , since then it would be the case that HP is decidable which is not the case

To prove  $L \leq_m HP$ 

Let the reduction machine be  $M_{\sigma}$  which on input x outputs  $\langle M', x \rangle$  where desciption of M' is as follows

$$M' =$$

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On input y,

- (a) Run M on x
- (b) If M accepts, halt and accepts
- (c) If M rejects, loop

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we will prove that  $x \in L \Leftrightarrow y \in HP$ 

$$x \in L$$

- $\Rightarrow M$  accepts x
- $\Rightarrow M'$  halts on every input y, in particular x

$$\Rightarrow \langle M', x \rangle \in HP$$

$$x \notin L$$

 $\Rightarrow M$  will reject every input x (since M is total)

 $\Rightarrow M'$  will loop on every input y, in particular x

$$\Rightarrow \langle M', x \rangle \notin HP$$

Hence we have showed two languages L and HP such that

$$L \leq_m HP$$
 but  $HP \nleq_m L$ 

Therefore,  $\leq_m$  is not symmetric

- 2. (20 points) [Reduction by containment !] Let  $L_1, L_2 \subseteq \Sigma^*$  where  $L_1 \subseteq L_2$ . Consider the statements (1) " $L_2 \leq_m L_1$ " (2) " $L_1 \leq_m L_2$ "
  - (a) (5 points) Give a pair of languages where (1) is true. Provide appropriate justification if no such pair exists.
  - (b) (5 points) Give a pair of languages where (2) is true. Provide appropriate justification if no such pair exists.
  - (c) (10 points) Prove or disprove the following statements (a), (b).
    - (a) "for any  $L_1, L_2$  with  $L_1 \subseteq L_2$ , (1) is true."
    - (b) "for any  $L_1, L_2$  with  $L_1 \subseteq L_2$ , (2) is true."

#### **Solution:**

(a) We know that for all  $L_1, L_2 \in$  decidable languages,  $L_1 \leq_m L_2$ 

Nevertheless, for this question, let  $L_1 = 0^*$  and  $L_2 = 1^*0^*$ 

Here,  $L_1 \subseteq L_2$ 

The reduction machine on input x, an instance of  $L_1$  produces an instance y of  $L_2$ 

To prove :  $L_2 \leq_m L_1$ 

Let  $M_1$  be the total turing machine which accepts  $L_1$ , we know it exists, because  $L_1$  is **regular** 

The reduction machine  $M_{\sigma}$  Is

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On input x

- (a) Run  $M_1$  on x
- (b) If  $M_1$  accepts, output 10
- (c) If  $M_1$  rejects, output 01

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Now, we have to prove  $x \in L_1 \Leftrightarrow M_{\sigma}(x) \in L_2$ 

$$x \in L_1$$

- $\Rightarrow M_1$  accepts x
- $\Rightarrow M_{\sigma}$  outputs 10 which is in  $L_2$

$$\Rightarrow 10 \in L_2$$

$$\Rightarrow M_{\sigma}(x) \in L_2$$

$$x \notin L_1$$

 $\Rightarrow M_1$  rejects x, since  $M_1$  is total

$$\Rightarrow M_{\sigma}(x) = 10$$
 which is not in  $L_2$ 

$$\Rightarrow M_{\sigma}(x) \notin L_2$$

Hence given  $L_1 = 0^*$  and  $L_2 = 1^*0^*$  such that  $L_1 \subseteq L_2$  and  $L_1 \leq_m L_2$ 

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(b) Let L_1 = 0^* and L_2 = 1^*0^*
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Here, 
$$L_1 \subseteq L_2$$

The reduction machine on input x, an instance of  $L_2$  produces an instance y of  $L_1$ 

To prove :  $L_1 \leq_m L_2$ 

Let  $M_2$  be the total turing machine which accepts  $L_2$ , we know it exists, because  $L_2$  is **regular** 

The reduction machine  $M_{\sigma}$  Is

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On input x

- (a) Run  $M_2$  on x
- (b) If  $M_2$  accepts, output 0
- (c) If  $M_2$  rejects, output 1

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Now, we have to prove  $x \in L_2 \Leftrightarrow M_{\sigma}(x) \in L_1$ 

- $x \in L_2$
- $\Rightarrow M_2$  accepts x
- $\Rightarrow M_{\sigma}$  outputs 0 which is in  $L_1$
- $\Rightarrow 0 \in L_1$
- $\Rightarrow M_{\sigma}(x) \in L_1$
- $x \notin L_2$
- $\Rightarrow M_2$  rejects x, since  $M_2$  is total
- $\Rightarrow M_{\sigma}(x) = 1$  which is not in  $L_1$
- $\Rightarrow M_{\sigma}(x) \notin L_1$

Hence given  $L_1 = 0^*$  and  $L_2 = 1^*0^*$  such that  $L_1 \subseteq L_2$  and  $L_2 \leq_m L_1$ 

- (c) (a) This is false, because, let  $L_1 = \phi$  and  $L_2 = HP$ , we know that  $L_1$  is decidable whereas  $L_2$  is not decidable, (and also  $L_1 \subseteq L_2$ )
  - $L_2 \nleq_m L_1$  because if  $L_2 \leq_m L_1$ , then  $L_2 = HP$  would have been decidable, which we know it is not the case
  - (b) This is also false, because, let  $L_1 = HP$  and  $L_2 = \Sigma^*$ 
    - $L_1 \subseteq L_2$  and  $L_1$  is undecidable and  $L_2$  is decidable
    - $L_1 \not\leq_m L_2$  because if  $L_1 \leq_m L_2$ , then  $L_1 = HP$  would have been decidable, which we know it is not the case
- 3. (10 points) [**Proof of Rice's theorem 1**] In the proof of Rice's theorem for showing undecidability done in class, we assumed that the non-trivial property  $\mathcal{P}$  is false for  $\emptyset$ . Explain how can this assumption be removed. [*Hint: Use*  $\overline{\mathsf{HP}}$  !]

## Solution:

Suppose  $\mathcal{P}$  is non-trivial and  $\mathcal{P}(\phi) = 1$ , we want to prove that  $\mathcal{P}$  is undecidable

Assume  $\mathcal{P}$  is decidable, and M be the total turing machine accepting  $\mathcal{P}$ 

Since  $\mathcal{P}$  is decidable,  $\overline{\mathcal{P}}$  has to be decidable, hence if  $\mathcal{P}(\phi) = 1$ , then  $\overline{\mathcal{P}}(\phi) = 0$  and  $\overline{\mathcal{P}}$  is also non-trivial

Now,  $\exists L_1$  such that  $\overline{\mathcal{P}}(L_1) = 1$  and  $L_1$  will not be  $\phi$  since  $\overline{\mathcal{P}}(\phi) = 0$ . Let  $M_1$  be a machine accepting  $L_1$  (existence of  $M_1$  is guaranteed since  $L_1$  is semi-decidable)

We will prove that  $\mathsf{HP} \leq_m \overline{\mathcal{P}}$ 

Let the reduction algorithm on input  $\langle M, x \rangle$ , an instance of HP output M', an instance of  $\overline{\mathcal{P}}$ 

Description of M' is as follows

$$M' =$$

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On input y,

- 1. Run M on x
- 2. If M halts on x
  - (a) Run  $M_1$  on y
  - (b) If  $M_1$  accepts, accept
  - (c) If  $M_1$  rejects, reject

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We want to prove that  $\langle M, x \rangle \in \mathsf{HP} \Leftrightarrow M' \in \overline{\mathcal{P}}$ 

$$\langle M, x \rangle \in \mathsf{HP}$$

- $\Rightarrow M$  halts on x
- $\Rightarrow M'$  accepts y only if  $M_1$  accepts y

$$\Rightarrow L(M') = L(M_1)$$

$$\Rightarrow \overline{\mathcal{P}}(L(M')) = \overline{\mathcal{P}}(L(M_1)) = 1$$

$$\Rightarrow M' \in \overline{P}$$

$$\langle M, x \rangle \notin \mathsf{HP}$$

- $\Rightarrow M$  does not halt on x
- $\Rightarrow M'$  loops on every input y

$$\Rightarrow L(M') = \phi$$

$$\Rightarrow \overline{\mathcal{P}}(L(M')) = \overline{\mathcal{P}}(\phi) = 0$$

$$\Rightarrow M' \notin \overline{P}$$

Hence we have proved that  $HP \leq_m \overline{\mathcal{P}} \Rightarrow \overline{HP} \leq_m \mathcal{P}$ 

Therefore, since  $\overline{HP}$  is undecidable,  $\mathcal{P}$  is also undecidable

- 4. (10 points) [Rice's theorem?] Define the language TOTAL =  $\{M \mid M \text{ halts on all inputs}\}$ .
  - (a) Describe the language TOTAL in a way similar to the language TOTAL.
  - (b) Show that the languages TOTAL as well as TOTAL are not semi-decidable.

#### **Solution:**

- (a)  $\overline{\mathsf{TOTAL}} = \{ M \mid \exists y \in \Sigma^*, M \text{ does not halt on } y \}$
- (b) (a) We will show that if TOTAL is decidable, then  $\overline{\sf HP}$  is decidable i.e  $\overline{\sf HP} \leq_m {\sf HP}$ The reduction algorithm, on input  $\langle M, x \rangle$  an instance of  $\overline{\sf HP}$  outputs, M' an instance of TOTAL

$$M' =$$

On input y,

- i. Run M on x
- ii. A. If M halts on x in |y| + 1 steps, loop
  - B. Else halt and accept

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To prove :  $\langle M, x \rangle \in \overline{\mathsf{HP}} \Leftrightarrow M' \in \mathsf{TOTAL}$ 

$$\langle M, x \rangle \in \overline{\mathsf{HP}}$$

- $\Rightarrow M$  never halts on x
- $\Rightarrow \forall y \in \Sigma^*, M \text{ will not halt on } x \text{ in } |y| + 1 \text{ steps}$
- $\Rightarrow M'$  will accept all y
- $\Rightarrow M'$  halts on all inputs
- $\Rightarrow M' \in \mathsf{TOTAL}$

$$\langle M, x \rangle \notin \overline{\mathsf{HP}}$$

- $\Rightarrow M$  halts on x in less than c+1 steps for some  $c \geq 0$
- $\Rightarrow \forall y \in \Sigma^*, |y| < c+1, M' \text{ will loop on } y$
- $\Rightarrow M' \notin \mathsf{TOTAL}$

Hence  $\overline{HP} \leq_m \mathsf{TOTAL}$ , and hence  $\mathsf{TOTAL}$  is not semi-decidable

(b) We will show  $\mathsf{HP} \leq_m \mathsf{TOTAL}$  which will imply  $\overline{\mathsf{HP}} \leq_m \overline{\mathsf{TOTAL}}$ The reduction machine on input,  $\langle M, x \rangle$ , an instance of  $\mathsf{HP}$ , outputs M', an instance of  $\mathsf{TOTAL}$ 

$$M' =$$

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On input y

- i. Run M on x
- ii. A. If M halts on x, accept

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To prove :  $\langle M, x \rangle \in \mathsf{HP} \Leftrightarrow M' \in \mathsf{TOTAL}$ 

$$\langle M, x \rangle \in \mathsf{HP}$$

- $\Rightarrow M$  halts on x
- $\Rightarrow M'$  halts and accepts every input

 ${\Rightarrow} M' \in \mathsf{TOTAL}$ 

 $\langle M,x\rangle\notin\mathsf{HP}$ 

 $\Rightarrow M$  loops on x

 $\Rightarrow M'$  loops on every input

 ${\Rightarrow} M' \notin \mathsf{TOTAL}$ 

Hence proved that  $\mathsf{HP} \leq_m \mathsf{TOTAL}$  which implies

 $\overline{HP} \leq_m \overline{\mathsf{TOTAL}}$