# 6. High-Speed Signal-Free Intersections 1

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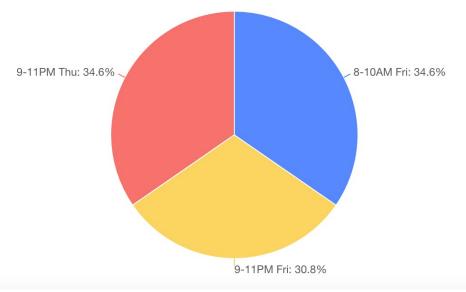


# Logistics

- Recitation II: Friday 8AM via Feishu.
- HW1 graded
  - Good job! (Avg. 9.26/10)
  - Neat calligraphy
  - Clear labeling
  - Simulation required
  - Position tracking
  - Negative speed



- Due on 6/7
- Office hour: Fri 6/4 1:30-3PM, Longbin Lou 424
- Project teaming (1<sup>st</sup> round): 6/7



# Grading

• University policy: class avg  $\leq$  B+ (88/100)

<b>Letter Grade</b>	Percentage	<b>Grade Point Average (GPA)</b>
Α	90%-100%	4.0
В	80%-89%	3.0
С	74%-79%	2.0
D	70%-73%	1.0
F	0%-69%	0.0

- HW avg (expected) = 9.0/10 (40%)
- Midterm avg (expected) = 85/100 (30%)
- Project avg (expected, teamwork) = 85/100 (30%)

### Recap

- Technological basis
  - Autonomous driving
  - Vehicle-to-vehicle coordination
- Classical approach
  - Modeling
  - Decision making
- Learning-based approach
  - Objective
  - Design

#### **Outline**

- Background
  - Signalized & unsignalized intersections
  - Connected & autonomous vehicles
  - Vehicle-to-infrastructure connectivity
- Control in nominal setting
  - Centralized approach
  - Decentralized approach
  - Hierarchical control
  - HW2
- Control in face of disruptions
  - How to address latency
  - How to address packet loss
  - How to address malicious attacks

# Background

- Signalized & unsignalized intersections
- Connected & autonomous vehicles
- Vehicle-to-infrastructure connectivity

# Signalized intersection



## Signalized intersection: Fixed cycle design

#### • Data:

- Traffic demand in each direction
- Saturation rate & response time
- Decision variables
  - Green ratio/time in each direction
- Constraint
  - Safety (no simultaneous greens)
  - Technical constraint (switching frequency)
- Objective
  - Ensure bounded waiting time #
  - Minimize average waiting time

## Signalized intersection: Adaptive cycles

#### • Data:

- Saturation rate & response time
- Real-time traffic state on each lane
- Decision variables
  - Signaling in the next decision period
  - Or, policy for signaling
- Constraint
  - Safety (no simultaneous greens)
  - Technical constraint (switching frequency)
- Objective
  - Ensure bounded waiting time
  - Minimize expected waiting time

### Unsignalized intersection

- Typically, vehicles are supposed to stop as they arrive at the intersection.
- Then, vehicles cross according to convention or rule.
- Could be chaotic...
- http://heze.dzwww.com/qx/yc/201908/t20190810\_1
   7039691.htm



### High-speed signal-free intersections

### **Connected and Autonomous Vehicles (CAVs):**

- Vehicle to vehicle/infrastructure (V2V/V2I) connectivity
- Low response time and high speed





https://www.bilibili.com/video/av503115801/

### **High-Speed Signal-Free intersections:**



Quality of communication



Safety and efficiency

10

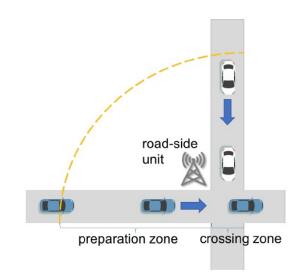
# Control in nominal setting

- Centralized approach
- Decentralized approach
- Hierarchical control
- HW2

# Modeling

#### The states of the intersection:

- Two orthogonal routes: 1 and 2;
- Positions:  $x^k = [x_1^k(t) \ x_2^k(t) \ \cdots \ x_{n_k}^k(T)]^T$ ;
- Speeds:  $v^k = [v_1^k(t) \ v_2^k(t) \cdots v_{n_k}^k(T)]^T$ .



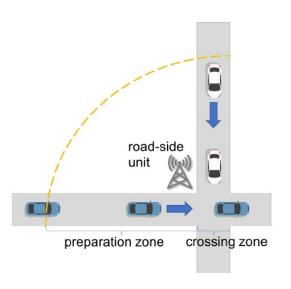
#### Task:

- Assume that the trajectories of all vehicles in front of vehicle i have been optimized and fixed;
- Optimize  $x_i^j(t)$  and  $v_i^j(t)$ .

#### Centralized coordination

#### • Data:

- Initial conditions  $x_i^k(0)$ ,  $v_i^k(0)$
- Maximal speed & acceleration  $\bar{v}$ ,  $\bar{a}$
- Safe distance d (simplistic)
- Control zone radius L
- Decision variables:
  - Time series of speed  $v_i^k(t)$
- Constraints:
  - No collision (and no overtaking)
  - Saturation
- Objective function:
  - Minimize fuel cost  $\sum_{t=0}^{T} \sum_{k} \sum_{i} \left( v_{i}^{k}(t) \right)^{2}$



#### **Constraints**

For vehicles on the same orbit

$$x_i^k(t) - x_{i+1}^k(t) \ge d, \forall i, \forall t, \forall k$$

• For vehicles on different orbits, let's be simplistic #

$$\left(L - x_i^1(t)\right) + \left(L - x_j^2(t)\right) \ge d, \forall i, \forall j, \forall t$$

- A more realistic (and more complex) set of constraints:
  - For vehicles on the same orbit, the headway (rather than distance) between two vehicles should not exceed a certain threshold.
  - For vehicles on different orbits, their look-ahead positions do not interfere with each other.

# Policy-free formulation

$$\min \sum_{t=0}^{T} \sum_{k} \sum_{i} \left( v_{i}^{k}(t) \right)^{2}$$
s.t.  $x_{i}^{k}(t) - x_{i+1}^{k}(t) \ge d, \forall i, \forall t, \forall k$ 

$$\left( L - x_{i}^{1}(t) \right) + \left( L - x_{j}^{2}(t) \right) \ge d, \forall i, \forall j, \forall t$$

$$0 \le v_{i}^{k}(t) \le \bar{v}, \forall i, \forall t, \forall k$$

$$-\bar{a} \le v_{i}^{k}(t) - v_{i}^{k}(t-1) \le \bar{a}, \forall i, \forall t, \forall k$$

You are solving this. (\*\*)



Problem 1: Coordination of 10 autonomous vehicles at a centralized intersection.

 Part a: Randomly generate initial condition. That is, randomly generate two vectors

$$x^{1}(0) = [x_{1}^{1}(0), ..., x_{5}^{1}(0)]^{T}$$
  
 $x^{2}(0) = [x_{1}^{2}(0), ..., x_{5}^{2}(0)]^{T}$ 

 $x_i^r(0)$  takes values in 0-100 m;  $x_i^r = 100$  means vehicle i has left the intersection.

• **Part b**: We consider vehicles as points. The safe spacing between two vehicles is 6 m. Is your initial condition safe? If not, modify the initial condition so that the safe spacing constraint is satisfied.

- Part c: Our decision variables are the speeds at various times. Suppose that the one-step fuel cost induced on vehicle i is given by  $\left(v_i^k(t)\right)^2$ . Our objective is to discharge all vehicles with the minimal fuel consumption. Formulate the trajectory planning problem in the four-stage representation, viz. data, decision variables, constraints, and objective function.
- **Part d**: Assume zero initial speeds, i.e.  $v_i^k(0) = 0$  for each i. Suppose maximal speed  $\bar{v} = 10 \ m/s$  and maximal acceleration (i.e. speed increment) is  $5 \ m/s^2$ . Construct a feasible solution. Report the total fuel consumption.
- **Part e**: Let *T* be the time at which all 10 vehicles are discharged by the intersection. Provide an upper bound on *T*. Your bound does not have to be tight.

### Problem 2: Optimization & sensitivity analysis.

- Part a: Code the optimization problem in MATLAB/Python/C. Note that you can use your result in problem 1e to define the dimension of the decision variables.
  - https://cvxopt.org/examples/tutorial/qp.html
  - https://www.mathworks.com/help/optim/ug/quadprog.html
- Part b: Compute the optimal solution and report the fuel consumption. Compare with your result in problem 1.
- Part c: Gradually change the value for the safe spacing and plot the corresponding optimal fuel cost. You plot should be fuel cost vs. safe spacing.

Problem 3: Impact of noise.

• Part a: Add a noise term to the system dynamics, i.e.  $x_i^k(t+1) = x_i^k(t) + v_i^k(t)\Delta t + \epsilon$ .

Then, implement the speed commands you generated in problem 2b.

• **Part b**: Two vehicles i and j are said to interfere if  $|x_i^r(t) - x_j^r(t)| < 6 m$  and if  $x_i^r, x_j^r < 100$ . Let N(t) be the number of interferences at time t. Plot N(t) vs. t.

### Policy-based formulation: centralized policy

$$\min \sum_{t=0}^{T} \sum_{k} \sum_{i} \left( v_{i}^{k}(t) \right)^{2}$$
s.t.  $v(t+1) = \mu(x(t), v(t))$  (vector-valued function) 
$$x_{i}^{k}(t) - x_{i+1}^{k}(t) \geq d, \forall i, \forall t, \forall k$$

$$\left( L - x_{i}^{1}(t) \right) + \left( L - x_{j}^{2}(t) \right) \geq d, \forall i, \forall j, \forall t$$

$$0 \leq v_{i}^{k}(t) \leq \bar{v}, \forall i, \forall t, \forall k$$

$$-\bar{a} \leq v_{i}^{k}(t) - v_{i}^{k}(t-1) \leq \bar{a}, \forall i, \forall t, \forall k$$

# Policy-based formulation: decentralized policy

$$\min \ \sum_{t=0}^T \sum_k \sum_i \left( v_i^k(t) \right)^2$$
 s.t.  $v_i^k(t+1) = \mu \left( x_i^k(t), v_i^k(t) \right)$  (scalar-valued function) 
$$x_i^k(t) - x_{i+1}^k(t) \geq d, \forall i, \forall t, \forall k$$
 
$$\left( L - x_i^1(t) \right) + \left( L - x_j^2(t) \right) \geq d, \forall i, \forall j, \forall t$$
 
$$0 \leq v_i^k(t) \leq \bar{v}, \forall i, \forall t, \forall k$$
 
$$-\bar{a} \leq v_i^k(t) - v_i^k(t-1) \leq \bar{a}, \forall i, \forall t, \forall k$$

### Policy-based formulation: solution

- How to determine the optimal policy?
- In general, we need to use numerical methods.
- In very special cases, we can find an analytical solution. (Unfortunately, the intersection control problem does not belong to these "special cases".)
- What special cases?
  - Linear time-invariant (LTI) system
  - Quadratic cost function
  - No other constraints (e.g. no saturation)
- The optimal policy for the above cases is linearquadratic regulator (LQR).\*

## A simple optimal control problem

Consider an AV

$$\begin{bmatrix} \dot{x} \\ \dot{v} \end{bmatrix} = \begin{bmatrix} 0 & 1 \\ 0 & 0 \end{bmatrix} \begin{bmatrix} x \\ v \end{bmatrix} + \begin{bmatrix} 0 & 0 \\ 0 & 1 \end{bmatrix} \begin{bmatrix} 0 \\ u \end{bmatrix}$$

- x = position, v = speed (states)
- u = acceleration (control input)
- Initial state: x(0) = -L, v(0) = 0
- Required final state: x(T) = 0, v(T) = 0 (equilibrium)
- Cost to minimize:  $J = \sum_{t=0}^{T} \frac{1}{2} v^2(t)$ 
  - Note that  $\frac{1}{2}v^2 = \frac{1}{2}\begin{bmatrix} x & v \end{bmatrix}\begin{bmatrix} 0 & 0 \\ 0 & 1 \end{bmatrix}\begin{bmatrix} x \\ v \end{bmatrix}$
- We consider a linear controller  $u = -K \begin{bmatrix} x \\ v \end{bmatrix}$



# LQR optimal control\*

Consider an LTI system

$$\dot{x} = Ax + Bu$$

- Suppose that we want to drive the system from the initial state x(0) to the target state x(T) = 0
- Instantaneous cost

$$L(t) = \frac{1}{2}x^{T}(t)Qx(t) + \frac{1}{2}u^{T}(t)Ru(t)$$

The cost induced during the control process is given by

$$\frac{1}{2}x^{T}(T)Qx(T) + \int_{s=0}^{T} L(s)ds$$

• Linear feedback u = -Kx

# LQR optimal control\*

- Linear-quadratic regulator (LQR)
- Design task: obtain the optimal gain matrix K for u = -Kx
- Conclusion:
  - Let P be the solution matrix to the matrix Riccati equation  $PA + A^TP + Q PBR^{-1}B^TP = 0$
  - Then the optimal K is given by  $K = -R^{-1}B^TP$
- Design task: pick Q and R (i.e. cost function)
- Reference: Zhou, K., Doyle, J. C., & Glover, K. (1996). *Robust and optimal control* (Vol. 40, p. 146). New Jersey: Prentice hall.

#### Hierarchical coordination

- In the previous slides, we were actually doing two things simultaneously: sequencing & trajectory tracking
- A more intuitive way is to decompose these two tasks
- A hierarchical decision-making framework
  - Upper level: scheduling/sequencing
  - Lower level: trajectory planning
  - Can you write the four-stage formulations of the above?
- Such a hierarchical framework makes more practical sense:
  - A centralized controller determines vehicle sequencing
  - Then, each vehicle determines its own trajectory to fulfill the designated sequencing

# Control in face of disruptions

- How to address latency
- How to address packet loss
- How to address malicious attacks

### How to address latency

Liu, Y., Nicolai-Scanio, Z., Jiang, Z.-P. and Jin, L. 2021, May. Latency-robust control of high-speed signal-free intersections. In *2021 American Control Conference*.

- Latency → delayed state observation
- Vehicle trajectories are subject to bounded uncertainty.

#### The main questions we ask here:

- How to design a vehicle coordination algorithm that is robust against communication latency?
- How to quantify the relation between communication latency and intersection capacity?

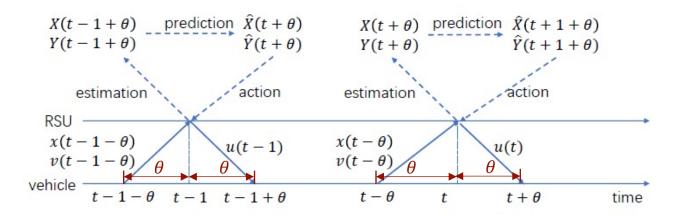
### Communication under Latency

• Control input: the sequence of target speeds  $\{u(t): t \in \mathbb{Z}_{\geq 0}\}$ :

$$u_i(t) = \mu_i(\hat{x}_i(t), \hat{v}_i(t), \hat{x}_{i-1}(t), \hat{v}_{i-1}(t))$$

- Latency:  $\theta$
- The state  $(\hat{x}(t), \hat{v}(t))$  that the road-side unit (RSU) receives at time t:

$$\hat{x}(t) = x(t - \theta);$$
  
 $\hat{v}(t) = v(t - \theta).$ 



#### **Problem Formulation**

#### **Propose a controller:**

- Robust against communication latency
- Satisfy the safety constraint (lower-bounds the headway between vehicles):
- (i)  $i \neq j, k = 1, 2$ :

$$|x_i^k(t) - x_j^k(t)| \begin{cases} \ge hv_j^k(t) & x_i^k(t) > x_j^k(t), \\ \ge hv_i^k(t) & x_i^k(t) < x_j^k(t), \end{cases}$$

(ii)  $k_1 \neq k_2, x_i^{k_1} \leq -R, x_j^{k_2} \leq -R$ :

$$|x_i^{k_1}(t) - x_j^{k_2}(t)| \begin{cases} \geq \bar{h}v_j^{k_1}(t) & x_i^{k_1}(t) > x_j^{k_2}(t), \\ \geq \bar{h}v_i^{k_1}(t) & x_i^{k_1}(t) < x_j^{k_2}(t), \end{cases}$$

The **distance** between two vehicles on the **same** lane ≥
Minimum headway for **same** lane

the speed of the vehicle behind

The **distance** between two vehicles on **orthogonal** lanes ≥ Minimum headway for **orthogonal** lanes

the speed of the vehicle behind

### **Evaluate the capacity of the intersection**

### **Estimator Design**

### Estimator Design > Controller Design

#### Given:

- Model parameters:  $\theta$  (latency),  $\epsilon$  (speed uncertainty)
- Observed variables:  $x(t-\theta)$ ,  $v(t-\theta)$ , u(t-1), u(t)

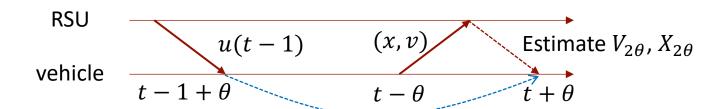
#### **Estimate:**

• Positions  $x(t + \theta)$  and speeds  $v(t + \theta)$ 

#### **Estimator:**

• 
$$V_{2\theta}(x,v) = \{v' \in V : u(t-1) - \epsilon \le v' \le u(t-1) + \epsilon\}$$

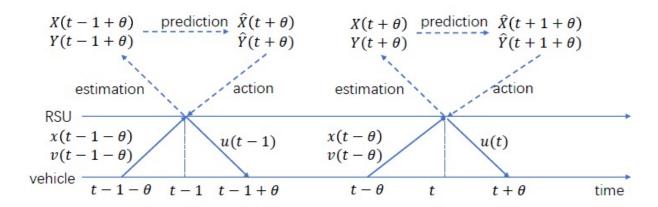
$$\bullet \ X_{2\theta}(x,v) = \left\{ x' \in X \colon \begin{array}{c} x + \theta(v + u(t-1) - \epsilon) \leq x' \leq \\ x + \theta(v + u(t-1) + \epsilon) \end{array} \right\}$$



### **Estimator Design**

#### **Predictor:**

- $\tilde{V}(t+\theta+1) = \{v' \in V : u(t) \epsilon \le v' \le u(t) + \epsilon\}$
- $\tilde{X}(t+\theta+1) = X_{2\theta}(\hat{x}(t),\hat{v}(t)) + \frac{\delta}{2}(V_{2\theta}(\hat{x}(t),\hat{v}(t)) + \tilde{V}(t+\theta+1))$



• The interval of  $\tilde{X}(t + \theta + 1)$ :

$$\Delta = 2\epsilon(\theta + \delta)$$

## Controller Design

#### Given:

- Model parameters:  $\theta$  (latency),  $\epsilon$  (speed uncertainty),  $\delta$  (time step size),  $\bar{a}$  (maximum acceleration), h (minimum allowable headway)
- Observed variables:  $x(t \theta)$  (positions),  $v(t \theta)$  (speeds)

#### **Determine:**

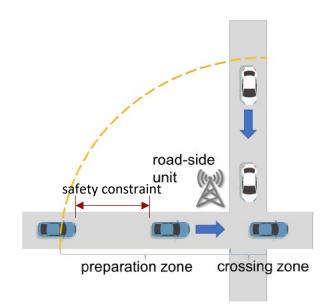
• Target speeds:  $u_i(t)$ , i = 1,2,..., for all  $t \ge 0$ 

# Controller Design

#### **Safety Constraint:**

$$\begin{vmatrix}
\tilde{X}_{i}^{k_{1}}(t+1) - \tilde{X}_{j}^{k_{2}}(t+1) & \geq \\
h\tilde{V}_{j}^{k_{2}}(t+1) & k_{1} = k_{2}, \ \tilde{X}_{i}^{k_{1}}(t+1) > \tilde{X}_{j}^{k_{2}}(t+1), \\
h\tilde{V}_{i}^{k_{1}}(t+1) & k_{1} = k_{2}, \ \tilde{X}_{i}^{k_{1}}(t+1) < \tilde{X}_{j}^{k_{2}}(t+1), \\
\bar{h}\tilde{V}_{j}^{k_{2}}(t+1) & k_{1} \neq k_{2}, \ \tilde{X}_{i}^{k_{1}}(t+1) > \tilde{X}_{j}^{k_{2}}(t+1), \\
\bar{h}\tilde{V}_{i}^{k_{1}}(t+1) & k_{1} \neq k_{2}, \ \tilde{X}_{i}^{k_{1}}(t+1) < \tilde{X}_{j}^{k_{2}}(t+1).
\end{vmatrix}$$

position interval of vehicle i



#### **Objective Function:**

$$\lambda_i(t) = \tilde{X}_{(i-1),min} \left(t + \theta + 1\right) - \tilde{X}_{i,max} \left(t + \theta + 1\right) - hu_i(t)$$
 safe distance 
$$\lambda_i(t)$$
 position interval of vehicle  $i$  position interval of vehicle  $i-1$ 

# Controller Design

#### **Control Law:**

Information needed:

state information of vehicle i state information of vehicle i-1

• Feasibility:

$$U_{feasible}(t) = [u(t-1) - \bar{a}\delta + \epsilon, u(t-1) + \bar{a}\delta - \epsilon]$$

Controller:

$$u_i(t) = \mu_i(x_i(t - \theta), v_i(t - \theta), x_{i-1}(t - \theta), v_{i-1}(t - \theta))$$

$$= \underset{u_i(t) \in U_{feasible}(t)}{\operatorname{arg min}} \{\lambda_i(t) | \lambda_i(t) \ge 0\}.$$

Linear w.r.t  $u_i(t)$ !

# Safety Criteria for One Time Step\*

#### **Theorem 1:**

- The existence of the safety control input depends on the following conditions:
- 1. There exists a safe control input u(t) if and only if it satisfies the following condition:

$$\begin{split} \hat{x}_i(t) - \hat{x}_{i-1}(t) + \theta \Big( \hat{v}_i(t) - \hat{v}_{i-1}(t) \Big) + (\theta + \delta + h) u_i(t-1) - \\ \Big( \theta + \frac{\delta}{2} \Big) u_{i-1}(t-1) - \frac{\delta}{2} u_{i-1}(t) + 2\epsilon \theta + \frac{3}{2} \delta \epsilon - \frac{1}{2} \bar{a} \delta^2 - h \bar{a} \delta + \\ h\epsilon \leq 0. \end{split} \tag{condition 1}$$

Vehicle i must be able to **keep the safe distance** from vehicle i-1 when it **takes the maximum deceleration**.

## Safety Criteria for One Time Step\*

#### Theorem 1:

- The existence of the safety control input depends on the following conditions:
- 2. There exists a safe control input u(t) such that vehicle i is able to keep the minimal allowable distance from the leading vehicle if and only if condition 1 and the following condition hold:

$$\begin{split} \hat{x}_i(t) - \hat{x}_{i-1}(t) + \theta \Big( \hat{v}_i(t) - \hat{v}_{i-1}(t) \Big) + (\theta + \delta + h) u_i(t-1) - \\ \Big( \theta + \frac{\delta}{2} \Big) u_{i-1}(t-1) - \frac{\delta}{2} u_{i-1}(t) + 2\epsilon \theta + \frac{1}{2} \delta \epsilon + \frac{1}{2} \bar{a} \delta^2 + h \bar{a} \delta - \\ h \epsilon \geq 0. \end{split} \tag{condition 2}$$

While ensuring safety (condition 1 holds), vehicle i also must be able to keep the distance from vehicle i-1 which is **smaller than the minimal allowable distance** when it takes the **maximum acceleration**.

# Safety Criteria for Time Series\*

#### **Theorem 2:**

- Consider the observed initial state  $\hat{x}(1)$ ,  $\hat{v}(1)$  and initial control input u(0):
- 1. There exists a safe input for all subsequent times if the following conditions hold:
- (a) For t = 1 and for any i = 1, ..., n,

Condition 1 holds for initial states.

$$\hat{x}_{i}(1) - \hat{x}_{i-1}(1) + \theta(\hat{v}_{i}(1) - \hat{v}_{i-1}(1)) + (\theta + \delta + h)u_{i}(0) - (\theta + \frac{\delta}{2})u_{i-1}(0) - \frac{\delta}{2}u_{i-1}(1) + 2\epsilon\theta + \frac{3}{2}\delta\epsilon - \frac{1}{2}\bar{a}\delta^{2} - h\bar{a}\delta + h\epsilon \leq 0.$$

(b) For t = 2,3,... and for any i = 1,...,n,

$$\left(\frac{3}{2}\delta + h\right)u_i(t) - \left(\frac{\delta}{2} + h\right)u_i(t-1) - \delta u_{i-1}(t) + \frac{\delta}{2}(\bar{a}\delta + \epsilon)$$

If for time t condition 1 holds, then for time t+1 condition 1 also holds.

(condition 3)

## Safety Criteria for Time Series\*

#### **Theorem 2:**

- Consider the observed initial state  $\hat{x}(1)$ ,  $\hat{v}(1)$  and initial control input u(0):
- 2. There exists a safe input such that vehicle i is able to keep the minimal allowable distance from vehicle i-1 at all times if condition 3 and the following condition hold:
- (a) For t=1, Condition 2 holds for initial states

$$\hat{x}_{i}(1) - \hat{x}_{i-1}(1) + \theta(\hat{v}_{i}(1) - \hat{v}_{i-1}(1)) + (\theta + \delta + h)u_{i}(0) - (\theta + \frac{\delta}{2})u_{i-1}(0) - \frac{\delta}{2}u_{i-1}(1) + 2\epsilon\theta + \frac{1}{2}\delta\epsilon + \frac{1}{2}\bar{a}\delta^{2} + h\bar{a}\delta - h\epsilon \leq 0.$$

(b) For t = 2,3,... and for any i = 1,...,n,

$$\left(\frac{3}{2}\delta + h\right)u_i(t) - \left(\frac{\delta}{2} + h\right)u_i(t-1) - \delta u_{i-1}(t) - 4\epsilon\theta - \frac{3}{2}\epsilon\delta - \frac{1}{2}\bar{a}\delta^2 \ge 0.$$

If for time t condition 2 holds, then for time t+1 condition 2 also holds.

(condition 4)

### Controller\*

#### **Controller:**

$$\begin{split} u_i(t) &\in U_{feasible}(t) \\ &= \mu_i(x_i(t-1), v_i(t-1), x_{i-1}(t-1), v_{i-1}(t-1)) \\ &= \begin{cases} \theta\Big(\hat{v}_{i-1}(t) - \hat{v}_i(t) + u_{i-1}(t-1) - u_i(t-1) \Big) \\ -2\delta\epsilon - 2\theta\epsilon + \hat{x}_{i-1}(t) - \hat{x}_i(t) + \frac{1}{2}\delta\Big(u_{i-1}(t-1) \\ -u_i(t-1) + u_{i-1}(t)\Big)/(\frac{1}{2}\delta + h), \text{ condition } 1 \end{cases} \\ &= \begin{cases} -u_i(t-1) + u_{i-1}(t) \Big)/(\frac{1}{2}\delta + h), \text{ condition } 1 \\ \text{and condition } 2, & \text{Be able to obtain the minimal allowable distance} \\ \text{arg min } \lambda_i(t), \text{ condition } 1 \text{ and not condition } 2, & \text{Be able to keep safe distance} \end{cases} \end{split}$$

## Throughput Evaluation\*

#### **Capacity:**

- Crossing time interval: D;
- Minimal headway: h
- The capacity *F* of the intersection is lower bounded by:

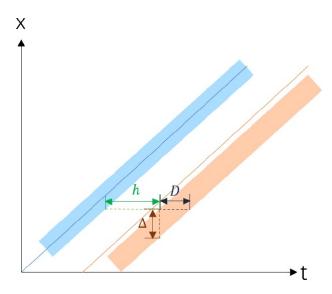
$$F \ge \frac{1}{D+h}$$

#### **Proposition 1:**

 Under the specific situation when all the vehicles are able to satisfy the condition 1 and 2 in Theorem 1, capacity F is lower bounded by:

$$F \ge \frac{\bar{v}}{2\epsilon(\theta + \delta) + h\bar{v}}$$

Nominal track for vehicle 1
 Nominal track for vehicle 2
 Predicted track for vehicle 1
 Predicted track for vehicle 2



## How to address packet loss

- Suppose that a signal-free intersection requires synchronization of each vehicle's kinematic information every 100ms.
- Every synchronization involves 100 quantities (position, speed, route, etc.).
- However, due to disruption in wireless communication, some information may not be synced.
- This can be problematic... #
- How to address this? (similar to latency-robust control)
  - Estimate: try to recover the lost information
  - Control: insert safety margin for uncertainty

## How to address packet loss

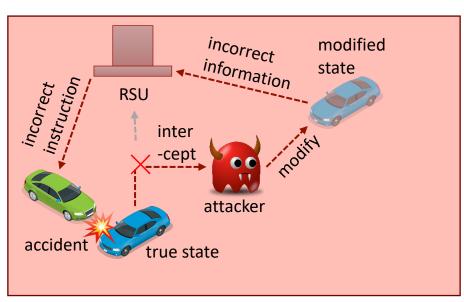
- Stochastic approach
  - Suppose that every piece of information is lost with probability  $\alpha$  (e.g. 0.01)
  - Design the **safety margin** so that the probability of interference is no greater than  $\beta$  (e.g.  $10^{-4}$ )
- Robust approach
  - Suppose that no more than N pieces of information can be lost per cycle
  - Design the safety margin so that the worst combination of the lost information does not lead to interference
- Also, the intersection can invoke onboard sensing to augment its decision making.

#### How to address malicious attacks

- Suppose that an attacker can cut off A pieces of information per unit time.
- The attacker wants to create as many interference as possible.
- If interference is indeed impossible, the attacker wants to force the intersection to set a safety margin as large as possible (i.e. maximal efficiency loss).
- The system operator (defender) should design the information network so that it is the hardest to hack.

#### How to address malicious attacks

- An even nastier attacker: can modify correct information
- A strategic attacker may be aware of the defender's diagnosis & response method and can design its attack accordingly...



## **Summary**

- Background
  - Signalized & unsignalized intersections
  - Connected & autonomous vehicles
  - Vehicle-to-infrastructure connectivity
- Control in nominal setting
  - Centralized approach
  - Decentralized approach
  - Hierarchical control
  - HW2
- Control in face of disruptions
  - How to address latency
  - How to address packet loss
  - How to address malicious attacks

# Next time