

# VE 320 Fall 2021

## Introduction to Semiconductor Devices

Instructor: Rui Yang (杨睿)

Office: JI Building 434

[rui.yang@sjtu.edu.cn](mailto:rui.yang@sjtu.edu.cn)

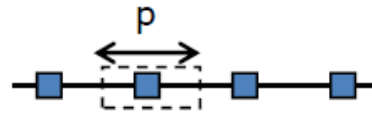


# Band gap

# For 1D solid

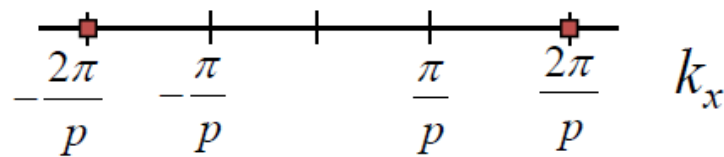
## Brillouin zone

Real-space

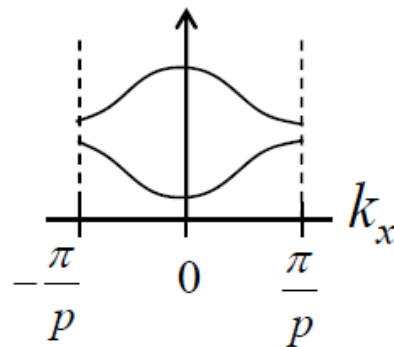


Replacing  
(a+b) by p ...

1<sup>st</sup> B-Z

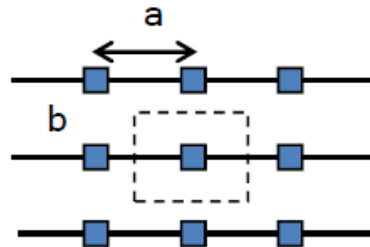


E-k diagram

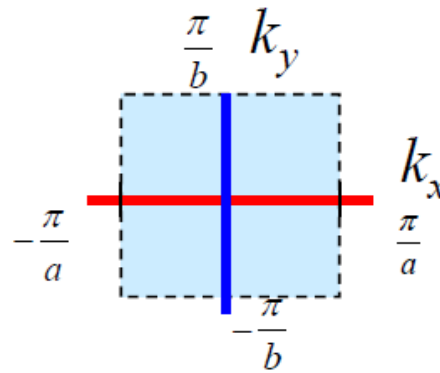


# For 2D solid

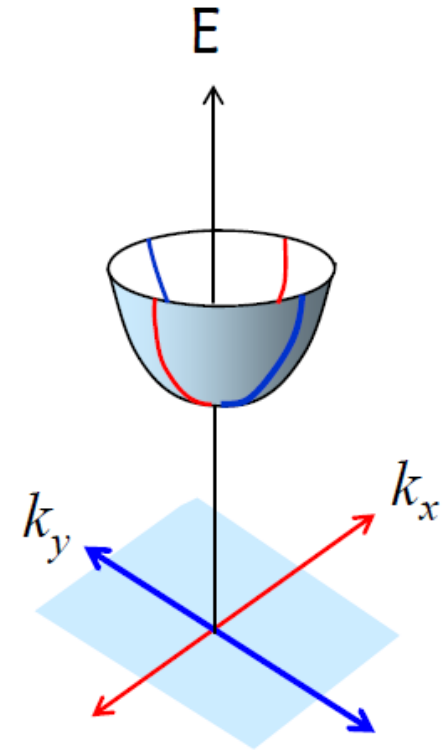
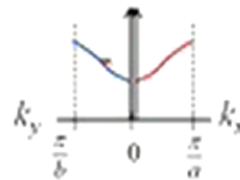
Real-space



1<sup>st</sup> B-Z

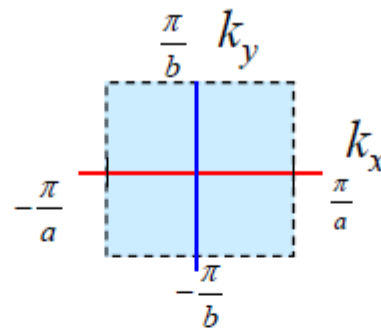


E-k diagram

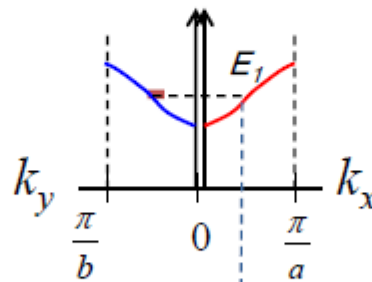


# For 2D solid

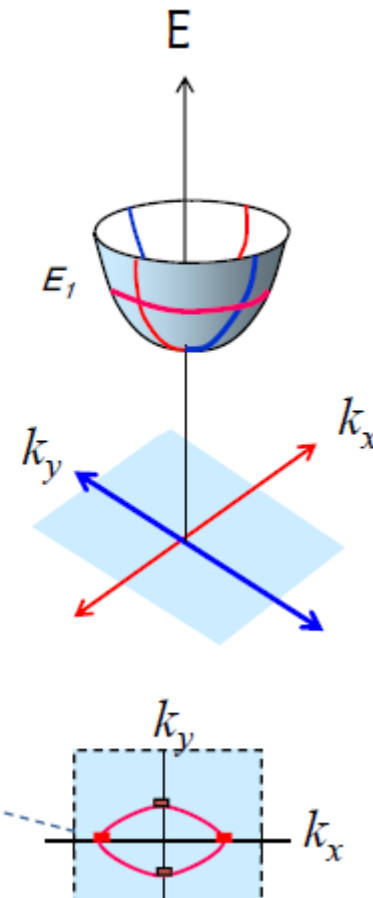
1<sup>st</sup> B-Z



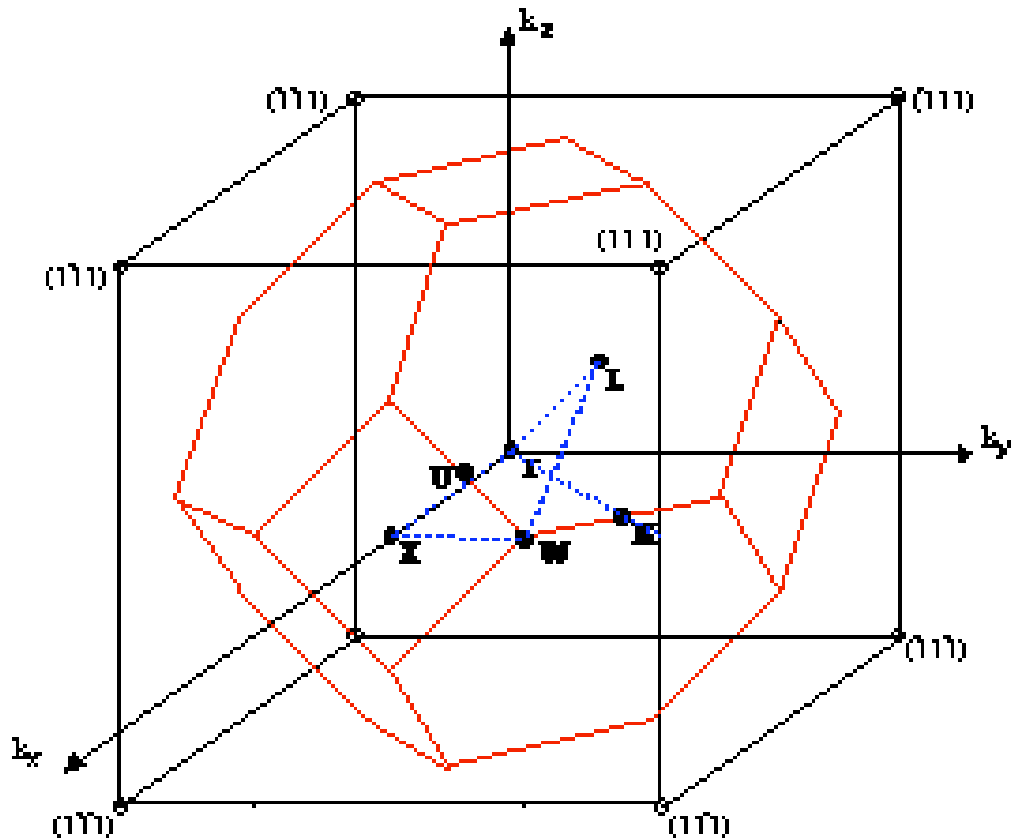
E-k diagram



Const. Energy Surface

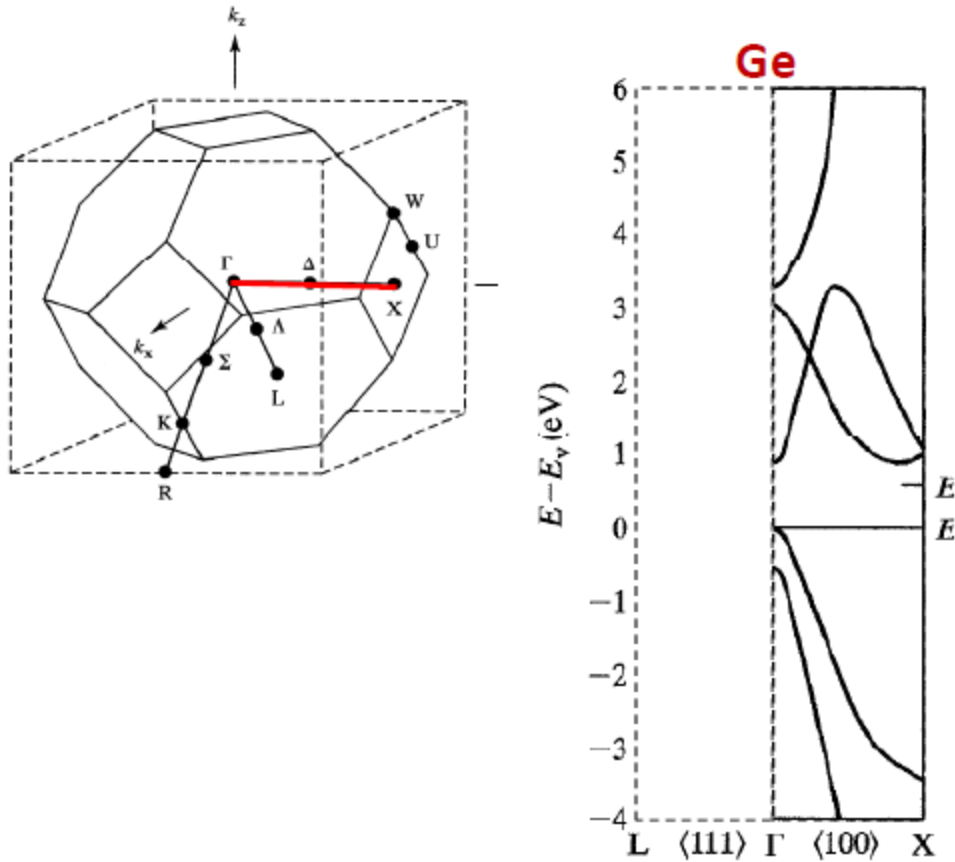


# Band structure in 3D k-space

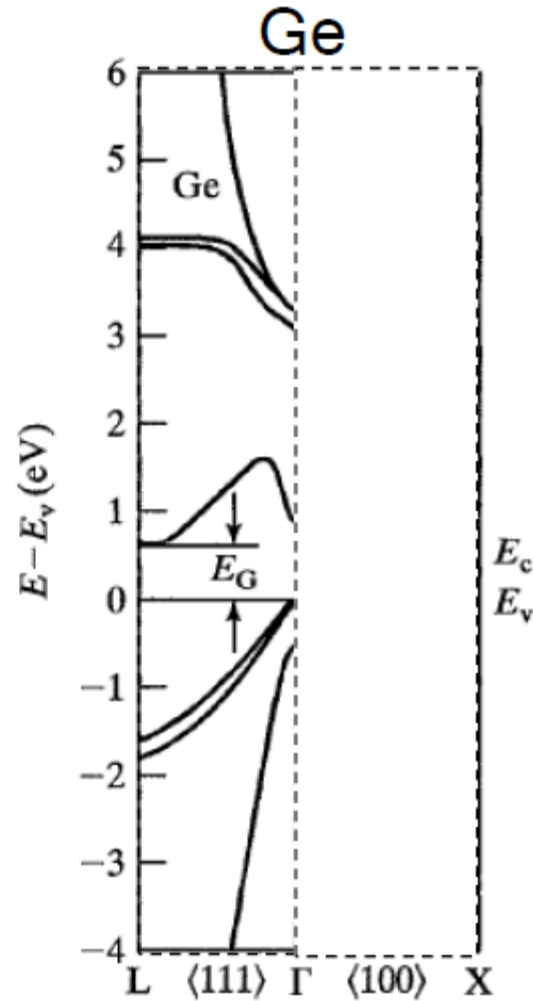
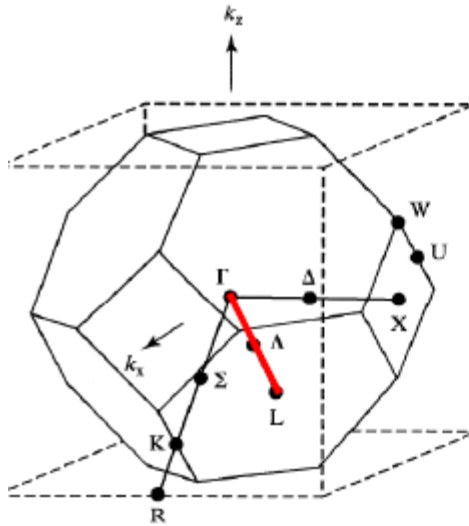


- $\Gamma$  - center of the BZ
- X -  $[100]$  intercept;  $\Gamma - X$  path  $\Delta$
- K -  $[110]$  intercept;  $\Gamma - K$  path  $\Sigma$
- L -  $[111]$  intercept;  $\Gamma - L$  path  $\Lambda$

# E-k along $\Gamma$ -X Direction

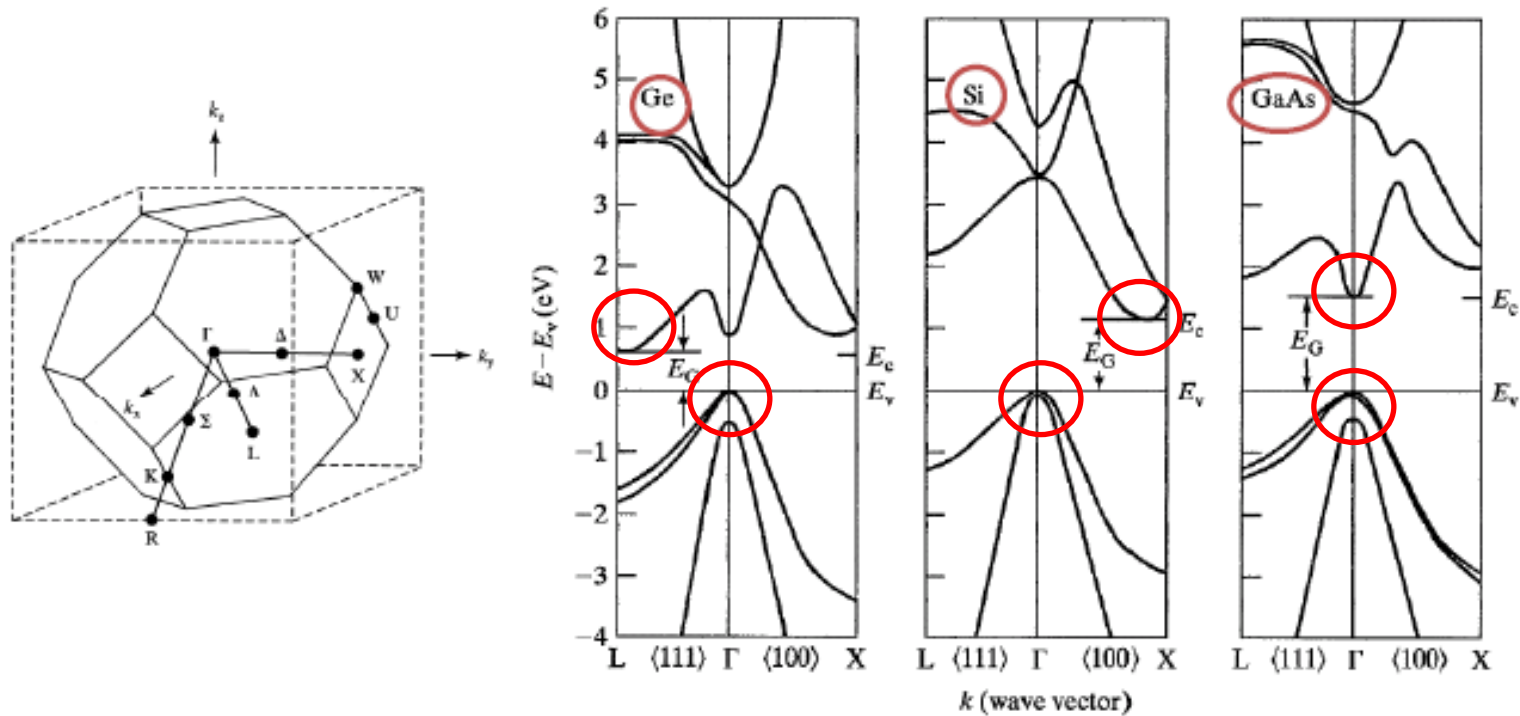


# E-k along $\Gamma$ -L Direction





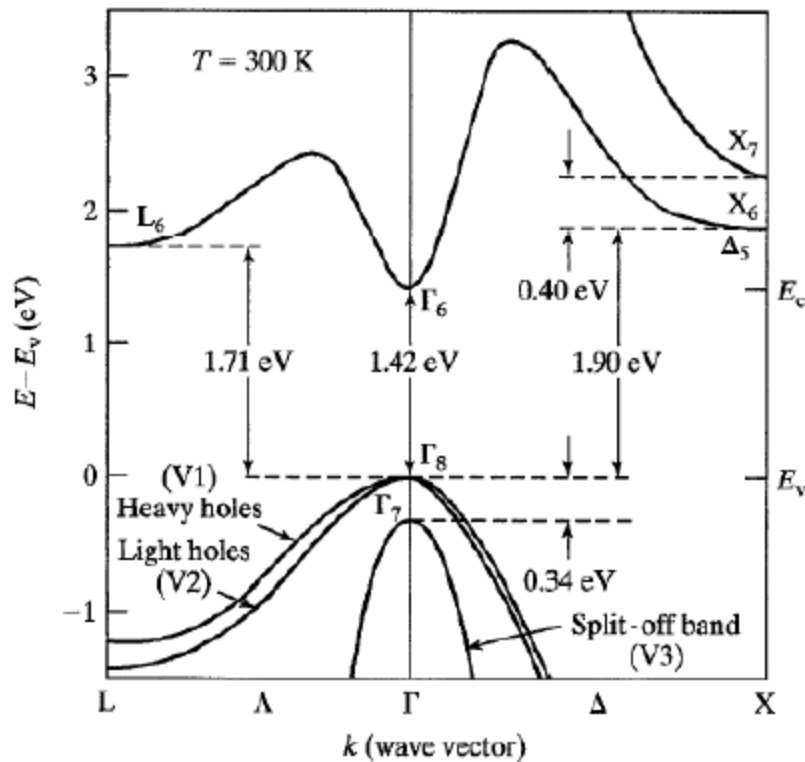
# Band structure in 3D k-space



- Direct bandgap  
(electron excited,  $k$  constant,  $E \uparrow \rightarrow f \uparrow, v = f \lambda \uparrow$ )
- Indirect bandgap

# E-k diagram for GaAs

## Light holes and heavy holes



# Density of states effective mass

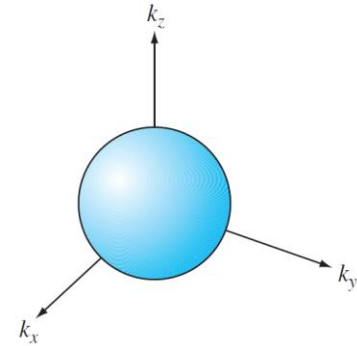
- Holes: In the 3D  $k_x$ - $k_y$ - $k_z$  coordinate system, the constant energy is essentially spherical for both the heavy and light holes.
- “Average” effective mass
- The volume of a sphere in momentum space is

$$\text{Volume} \propto p^3$$

$$p_{hh}^2 = 2m_{hh}E \quad \text{and} \quad p_{lh}^2 = 2m_{lh}E$$

$m_{hh}$ : effective mass of heavy hole

$m_{lh}$ : effective mass of light hole



2 spheres for hh and lh      Total volume  $\propto (m_{hh})^{3/2} + (m_{lh})^{3/2}$

Density of states function for holes

$$g_v(E) \propto \text{Volume} \propto (m_{dp}^*)^{3/2} = (m_{hh})^{3/2} + (m_{lh})^{3/2}$$

Density of states effective hole mass       $m_{dp}^* = [(m_{hh})^{3/2} + (m_{lh})^{3/2}]^{2/3}$