| **CATEGORY** | **SUBCATEGORY** | **Closed** | **Closed - Compliance Assistance Provided** | **Closed - Lack of Evidence** | **Closed - Lack of Resources** | **Closed - No Violations Documented** | **Closed - Noelle Prosse** | **Closed - Prosecution/Declined-Civil/Administrative** | **Closed - Referred to State/Tribe for Prosecution** | **Closed - SS Paid** | **Closed - Transferred to Another Agency** | **Closed - WW Final** | **Do Not Enter Into NEIS** | **Open - Cited by OLE** | **Open - Civil Prosecution Referral Request Pending** | **Open - Criminal Referral Approved** | **Open - GC Attorney Assigned** | **Open - Investigation Ongoing** | **Open - Pending GC Attorney Assignment** | **Open - WW Served** |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| CONTRACTOR REQUIREMENTS | ADMINISTRATIVE RESPONSIBILITIES |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | 1 |  | 1 |
| CONTRACTOR REQUIREMENTS | DEPLOYMENT LOGISTICS |  |  |  |  |  |  |  |  |  |  |  | 1 |  |  |  |  | 3 |  |  |
| CONTRACTOR REQUIREMENTS | INFORMATION TO BE PROVIDED TO OBSERVER PROGRAM |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | 1 |
| GEAR/EQUIPMENT REQUIREMENTS | BIN MONITORING |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | 2 |  |  |
| GEAR/EQUIPMENT REQUIREMENTS | OBSERVER SAMPLING STATION |  | 2 | 1 |  |  |  |  |  |  |  |  | 1 |  |  |  |  | 5 |  |  |
| GEAR/EQUIPMENT REQUIREMENTS | SCALES |  | 1 |  |  |  |  |  | 1 | 1 | 1 |  | 1 |  |  |  | 1 | 3 |  |  |
| GEAR/EQUIPMENT REQUIREMENTS | VIDEO MONITORING SYSTEM |  | 2 |  | 1 |  |  |  |  | 1 |  |  |  |  |  |  |  | 2 |  |  |
| GEAR/EQUIPMENT REQUIREMENTS | VMS REQUIREMENTS |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | 1 |  |  |
| INTERFERENCE WITH DUTIES | ACCESS |  |  |  |  | 1 |  |  |  |  |  |  | 1 |  |  |  |  | 4 |  |  |
| INTERFERENCE WITH DUTIES | DESTRUCTION OF SAMPLE/WORK/PERSONAL EFFECTS |  |  | 1 |  | 1 |  |  |  |  |  |  |  |  |  |  |  | 2 |  |  |
| INTERFERENCE WITH DUTIES | NOTIFICATION |  | 3 |  | 2 | 2 |  |  | 1 |  |  |  | 1 |  |  |  | 1 | 8 |  |  |
| INTERFERENCE WITH DUTIES | REASONABLE ASSISTANCE | 1 | 2 | 1 | 1 | 2 |  |  | 1 |  |  |  | 1 | 1 |  |  |  | 7 |  |  |
| INTERFERENCE WITH DUTIES | SAMPLING INTERFERENCE |  | 3 | 1 | 1 |  |  |  | 1 |  |  |  |  |  |  |  |  | 8 |  |  |
| OBSERVER SAFETY AND WORK ENVIRONMENT | ASSAULT |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | 1 |  |  |
| OBSERVER SAFETY AND WORK ENVIRONMENT | FOOD AND ACCOMMODATIONS | 1 |  |  | 1 | 2 |  |  |  |  |  |  | 1 |  |  |  |  | 10 |  |  |
| OBSERVER SAFETY AND WORK ENVIRONMENT | FORCED TO PERFORM CREW DUTIES |  |  |  |  | 1 | 1 |  |  |  |  |  |  |  |  |  |  | 2 |  |  |
| OBSERVER SAFETY AND WORK ENVIRONMENT | HOSTILE WORK ENVIRONMENT |  | 1 |  | 1 | 6 | 1 |  |  |  |  |  | 1 |  | 1 |  | 1 | 18 |  |  |
| OBSERVER SAFETY AND WORK ENVIRONMENT | IMPEDIMENT |  |  |  |  |  |  |  |  |  | 1 |  |  |  |  |  |  | 3 |  |  |
| OBSERVER SAFETY AND WORK ENVIRONMENT | INTIMIDATION/BRIBERY/COERCION | 1 |  |  |  | 3 |  |  |  |  |  |  |  |  |  |  |  | 5 | 1 |  |
| OBSERVER SAFETY AND WORK ENVIRONMENT | SAFETY | 1 | 2 |  |  | 2 |  |  | 1 |  | 4 | 1 | 1 |  |  |  | 1 | 16 |  |  |
| OBSERVER SAFETY AND WORK ENVIRONMENT | SEXUAL ASSAULT |  |  |  |  | 1 |  |  |  |  |  |  |  |  |  | 1 |  | 1 |  |  |
| OBSERVER SAFETY AND WORK ENVIRONMENT | SEXUAL HARASSMENT |  |  |  |  | 1 |  |  |  |  | 1 |  |  |  |  |  |  | 7 | 1 |  |
| OPERATIONAL REQUIREMENTS | BELT AND FLOW OPERATIONS |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | 1 |  |  |
| OPERATIONAL REQUIREMENTS | CATCH WEIGHING |  | 2 |  | 2 |  |  |  |  | 2 |  |  |  | 1 |  |  | 1 | 9 |  |  |
| OPERATIONAL REQUIREMENTS | CMCP |  |  |  |  |  |  |  | 1 | 2 |  |  | 1 |  |  |  |  | 2 |  |  |
| OPERATIONAL REQUIREMENTS | DATA TRANSMISSION |  | 2 |  |  |  |  |  |  |  |  |  | 1 |  |  |  |  | 2 |  |  |
| OPERATIONAL REQUIREMENTS | NMFS SOFTWARE |  | 1 |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |
| OPERATIONAL REQUIREMENTS | OBSERVER COVERAGE |  |  |  |  |  |  |  |  | 1 |  |  |  |  |  |  |  |  |  |  |
| OPERATIONAL REQUIREMENTS | PRE-CRUISE MEETING |  | 1 |  |  |  |  |  |  |  |  |  |  |  |  |  |  | 2 |  |  |
| OPERATIONAL REQUIREMENTS | TIMELY NOTIFICATION |  |  |  |  |  |  |  |  |  |  |  | 1 |  |  |  |  |  |  |  |
| PERMITS/DOCUMENTS/RECORD KEEPING AND REPORTING | FALSE REPORTING | 1 | 2 |  | 4 | 4 |  |  | 1 | 1 |  |  | 1 |  |  |  |  | 12 |  |  |
| PERMITS/DOCUMENTS/RECORD KEEPING AND REPORTING | GENERAL REPORTING REQUIREMENTS | 1 | 5 |  | 3 | 1 | 1 |  | 1 |  |  |  | 1 |  |  |  |  | 10 |  |  |
| PROHIBITED SPECIES/MARINE MAMMALS/SEABIRDS | BSAI SALMON BYCATCH |  |  |  |  |  |  |  |  |  |  |  |  |  | 1 |  |  | 6 |  |  |
| PROHIBITED SPECIES/MARINE MAMMALS/SEABIRDS | GOA SALMON BYCATCH |  | 1 |  |  |  |  |  | 1 |  |  |  |  | 1 |  |  |  |  |  |  |
| PROHIBITED SPECIES/MARINE MAMMALS/SEABIRDS | HALIBUT DECK SORTING |  |  | 1 |  |  |  | 1 |  |  |  |  | 1 |  |  |  | 1 | 8 |  |  |
| PROHIBITED SPECIES/MARINE MAMMALS/SEABIRDS | INTERFERENCE WITH MARINE MAMMAL SPECIMEN COLLECTION |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | 1 |  |  |  |
| PROHIBITED SPECIES/MARINE MAMMALS/SEABIRDS | MARINE MAMMAL |  |  |  |  | 1 |  | 1 |  |  |  |  |  |  |  |  |  | 3 |  |  |
| PROHIBITED SPECIES/MARINE MAMMALS/SEABIRDS | PROHIBITED SPECIES MISHANDLING |  | 4 | 2 | 2 | 5 |  |  |  | 1 | 1 |  | 1 |  |  |  |  | 17 |  |  |
| PROHIBITED SPECIES/MARINE MAMMALS/SEABIRDS | PROHIBITED SPECIES RETENTION |  | 1 |  |  |  |  |  |  |  |  |  | 1 |  |  |  |  | 3 |  |  |
| PROHIBITED SPECIES/MARINE MAMMALS/SEABIRDS | SEABIRDS |  |  |  | 1 |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |
| SUSTAINABLE FISHERIES | SHARK FINNING |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | 1 |  |  |
| SUSTAINABLE FISHERIES | UNLAWFUL DISCARD |  |  |  |  | 5 |  |  |  | 1 |  |  | 1 |  |  |  |  | 13 |  |  |
| **ALL** | **ALL** | **3** | **21** | **3** | **10** | **23** | **1** | **1** | **1** | **8** | **5** | **1** | **1** | **3** | **2** | **1** | **1** | **91** | **1** | **1** |