

# Counting Rational Points on Curves and Surfaces

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# Result

**Theorem:** The counting function (of rational points) on the three-parameter family of generalized mirror K3 surfaces can be computed explicitly (it is a multivariate generalization of the Gauss hypergeometric function).

# Overview

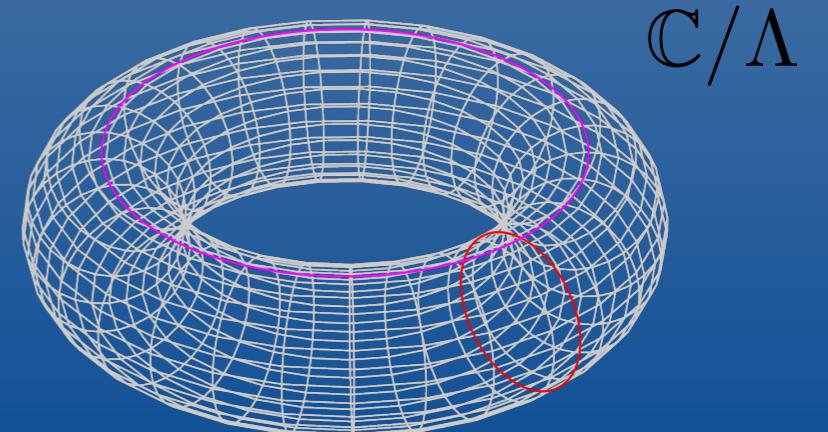
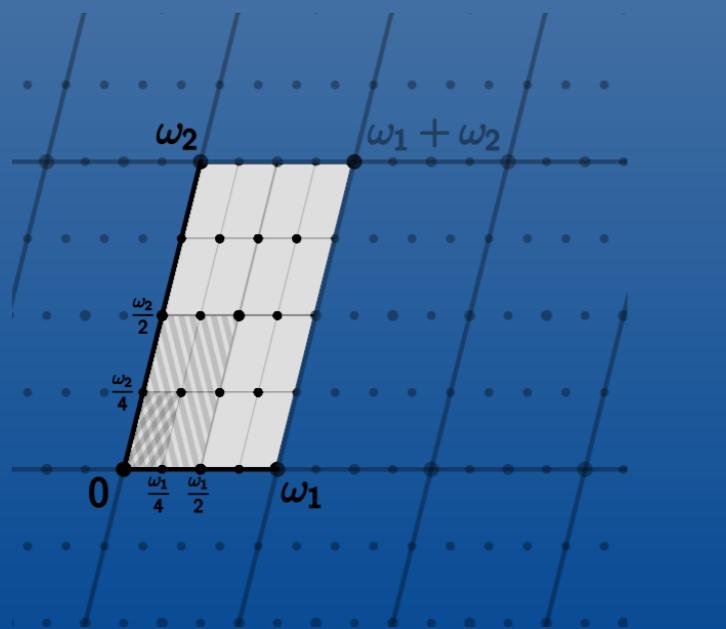
1. Elliptic Curves
2. Counting Rational Points on Elliptic Curves
3. Elliptic Integrals
4. K3 Surfaces
5. Counting Rational Point on K3 Surfaces

# Elliptic Curves

- For periods  $\omega_1 = 1$  and  $\omega_2 = \tau$  we consider the lattice of points given by:
- We obtain the complex torus through defining congruence modulo our lattice.

$$\Lambda = \{n + m\tau : n, m \in \mathbb{Z}\}$$

$$z = w + n + m\tau$$
$$z \sim w$$



# Elliptic Functions

- A non-constant doubly periodic meromorphic function is called an elliptic function.
- The Weierstrass  $\wp$  function is a doubly periodic meromorphic function with double poles at the lattice points

$$\wp(z) = \frac{1}{z^2} + \sum_{(n,m)\neq(0,0)} \left[ \frac{1}{(z+n+m\tau)^2} - \frac{1}{(n+m\tau)^2} \right]$$

The Weierstrass  $\wp$  Function

# Elliptic Curves

$(\wp')^2$  is a cubic polynomial in  $\wp$

An elliptic curve over  $\mathbb{C}$  is a nonsingular cubic curve over  $\mathbb{C}$  together with an abelian group structure.

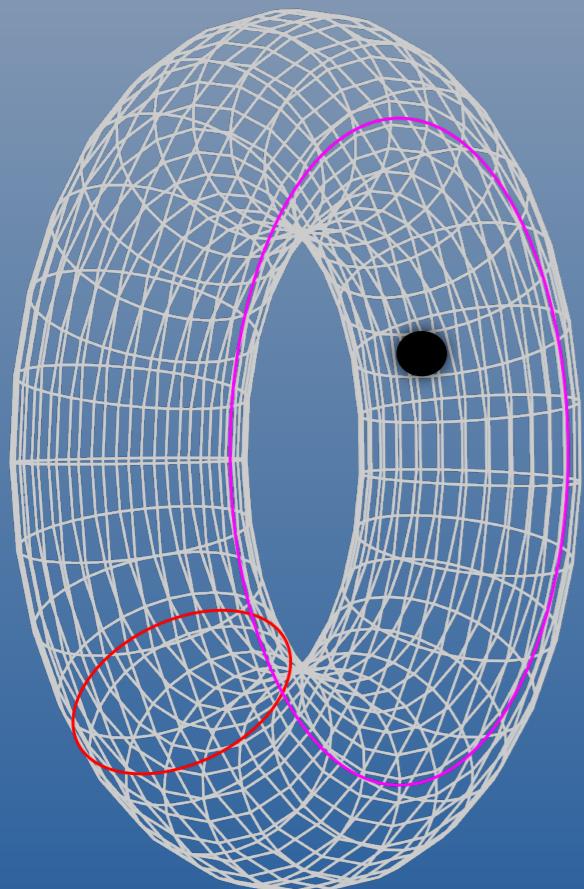
$$(\wp')^2 = 4\wp^3 - g_2\wp - g_3$$

$$y^2 = 4x^3 - g_2x - g_3$$

We obtain the following isomorphism between the complex torus and the complex points on our elliptic curve

$$z \mapsto (x, y) = (\wp(z), \wp'(z)) \text{ where } \mathbb{C}/\Lambda$$

# Elliptic Curves

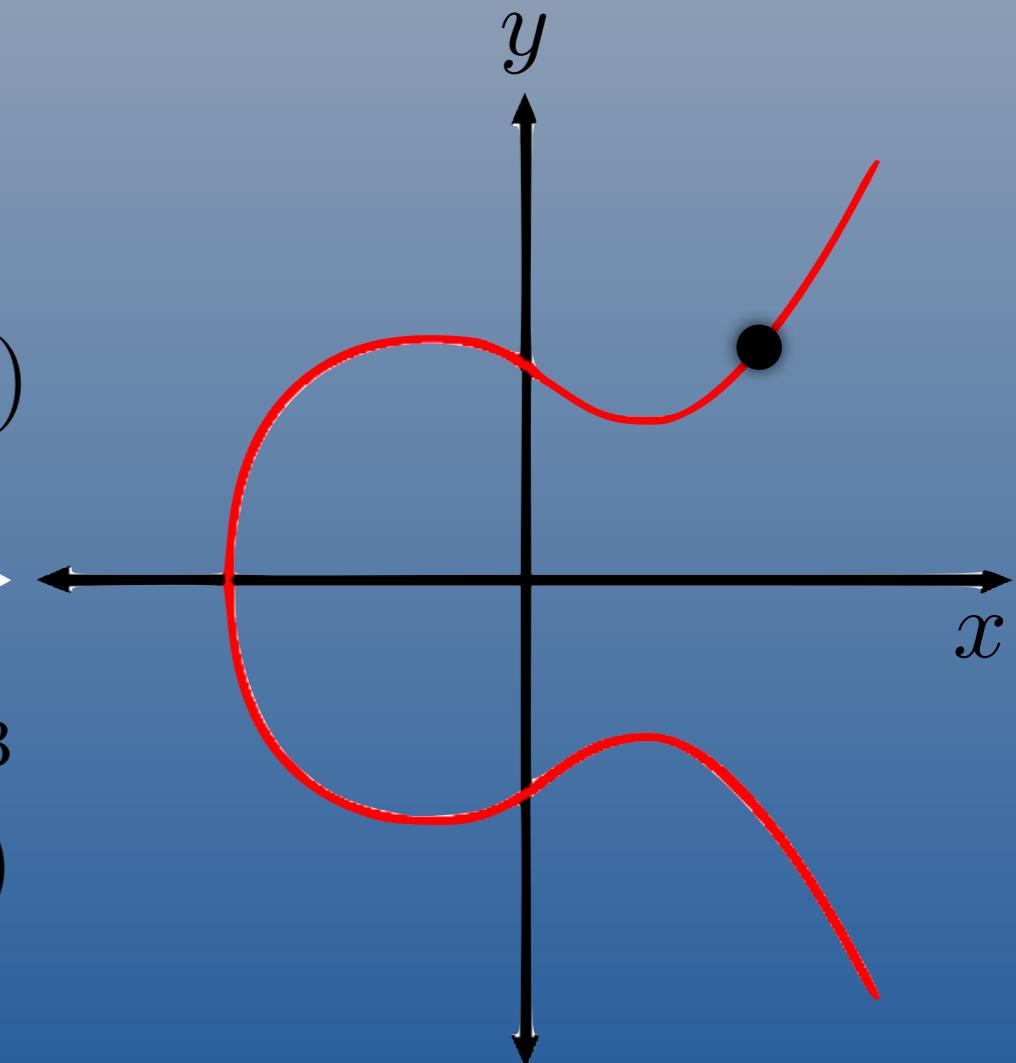


$$z \mapsto (x, y) = (\wp(z), \wp'(z))$$

$\tau$   $\longrightarrow$   $g_2, g_3$

$z$   $\longrightarrow$   $(x, y)$

A diagram showing the mapping from the complex plane to the elliptic curve. On the left, there is a horizontal bar with a large arrow pointing right. Below it, two arrows point from labels to components of the mapping. The top arrow points from  $\tau$  to  $g_2, g_3$ . The bottom arrow points from  $z$  to  $(x, y)$ .



# Counting Rational Points on Elliptic Curves

$$y^2 = 4x^3 - g_2x - g_3 \longrightarrow y^2 = x(x-1)(x-\lambda)$$

Family of Elliptic Curves:

$$X_\lambda = \{y^2 = x(x-1)(x-\lambda)\}, \text{ where } \lambda \in \mathbb{C} - \{0, 1\}$$

Now, let's count the number of rational points in this family modulo p.

Fermat's Little Theorem:

If p is a prime,  $a \in \mathbb{Z}$ , and  $p \nmid a$  then  $a^{p-1} \equiv 1 \pmod{p}$

Let  $a \neq 0 \in \mathbb{F}_p$  Then,

$$a^{\frac{p-1}{2}} = \begin{cases} 1 & , \text{ there exists } y \in \mathbb{F}_p \text{ such that } a = y^2 \\ -1 & , \text{ otherwise} \end{cases}$$

# Counting Rational Points on Elliptic Curves

Let  $a \neq 0 \in \mathbb{F}_p$ . Then,

$$a^{\frac{p-1}{2}} = \begin{cases} 1 & , \text{ there exists } y \in \mathbb{F}_p \text{ such that } a = y^2 \\ -1 & , \text{ otherwise} \end{cases}$$

Let  $a = x(x-1)(x-\lambda) = y^2$

Consider pairs of rational numbers  $(x,y)$

If  $a^{\frac{p-1}{2}} \equiv 1$  then there are two rational points,  $(x,y)$  and  $(x,-y)$

If  $a = 0$ , namely  $x = 0, 1, \lambda$  then there is one rational point  $(x,0)$

If  $a^{\frac{p-1}{2}} \equiv -1$  there is no rational point.

# Counting Rational Points on Elliptic Curves

Overall, the number of rational points for  $X_\lambda$  modulo p is

$$|X_\lambda| \equiv \sum_{x \in \mathbb{F}_p} (1 + (x(x-1)(x-\lambda))^{\frac{p-1}{2}}) \pmod{p}$$

Further expanding this sum gives

$$\begin{aligned} |X_\lambda| &= -(-1)^{\frac{p-1}{2}} \sum_{r=0}^{\frac{p-1}{2}} \binom{-\frac{1}{2}}{r}^2 \lambda^r \pmod{p} \\ &= -(-1)^{\frac{p-1}{2}} \left(1 + \frac{1}{4}\lambda + \frac{9}{64}\lambda^2 + \frac{25}{256}\lambda^3 + \dots\right)_{trunc} \pmod{p} \\ &= -(-1)^{\frac{p-1}{2}} {}_2F_1\left(\frac{1}{2}, \frac{1}{2}, 1, ; \lambda\right)_{trunc} \pmod{p} \end{aligned}$$

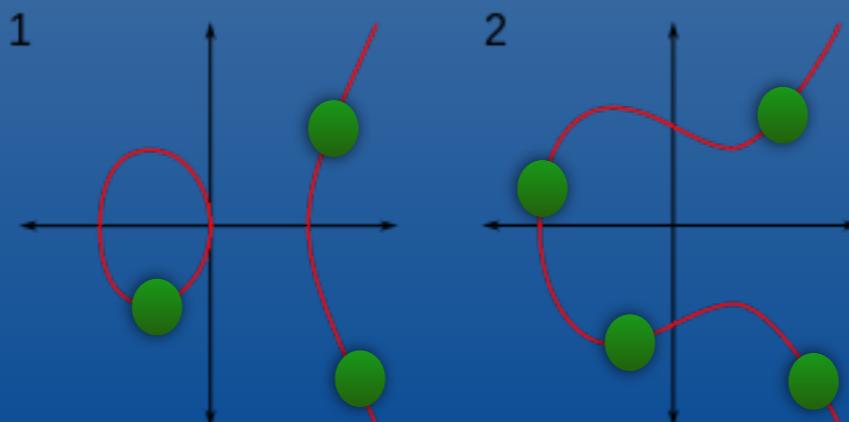
# Counting Rational Points on Elliptic Curves

Family of Elliptic Curves:

$$X_\lambda = \{y^2 = x(x - 1)(x - \lambda)\}, \text{ where } \lambda \in \mathbb{C} - \{0, 1\}$$

Counting Function for Family of Elliptic Curves:

$$|X_\lambda| = -(-1)^{\frac{p-1}{2}} {}_2F_1\left(\frac{1}{2}, \frac{1}{2}, 1, ; \lambda\right)_{trunc} \pmod{p}$$



# Elliptic Integrals

In introductory Calculus, we dealt with integrals of the type:

$$\int_0^1 \frac{1}{\sqrt{1 + \lambda^2 x^2}} dx = \frac{\operatorname{arcsinh}(\lambda)}{\lambda} = 1 - \frac{1}{6}c^2 + \frac{3}{40}c^4 + \dots$$

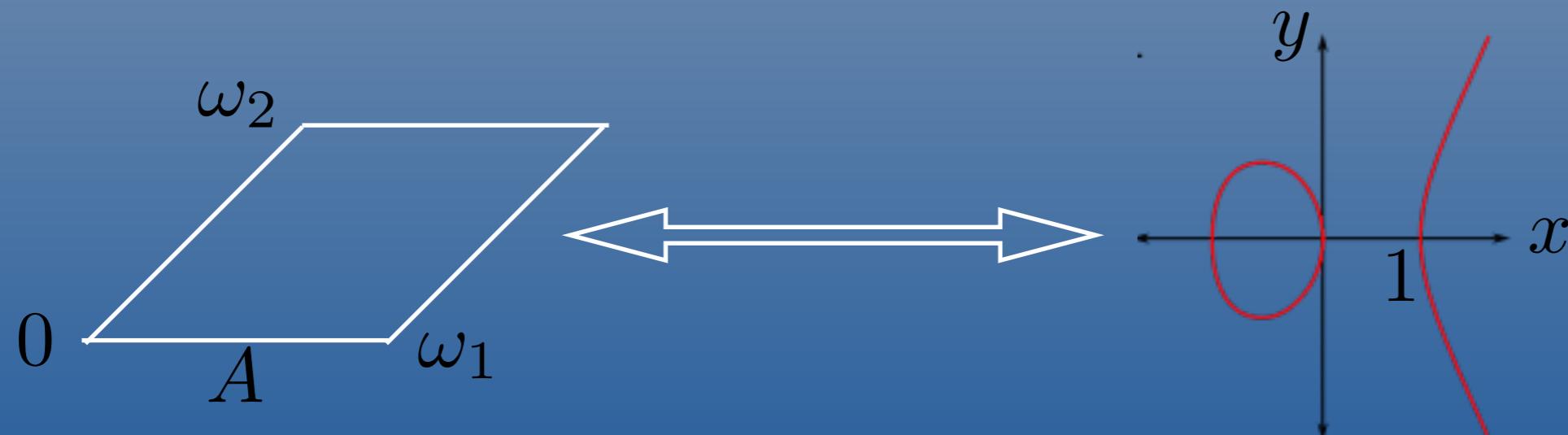
Elliptic Integral:

$$\int_1^\infty \frac{dx}{\sqrt{x(x-1)(x-\lambda)}} = 1 + \frac{1}{4}\lambda + \frac{9}{64}\lambda^2 + \frac{25}{256}\lambda^3 + \dots = {}_2F_1\left(\frac{1}{2}, \frac{1}{2}; 1; \lambda\right)$$

# Geometric Intuition of Elliptic Integrals

Elliptic Integrals can be seen as giving the length of the one-dimensional cycles of a torus.

Consider the Fundamental Parallelogram in our Lattice:



We can integrate around one of these cycles. Since  $x = \wp(z)$  and  $y = \wp'(z)$

$$\omega_1 = \int_A dz = \int_1^\infty \frac{dx}{y}$$

# Counting Rational Points on Elliptic Curves and Elliptic Integrals

On  $X_\lambda : y^2 = x(x - 1)(x - \lambda)$

$\omega_\lambda$  is called the holomorphic one-form of the torus

$$\omega_\lambda = \frac{dx}{y} = \frac{dx}{(x(x - 1)(x - \lambda))^{\frac{1}{2}}}$$

Elliptic Integrals:

$$\omega_1 = \int_1^\infty \frac{dx}{\sqrt{x(x - 1)(x - \lambda)}} = 1 + \frac{1}{4}\lambda + \frac{9}{64}\lambda^2 + \frac{25}{256}\lambda^3 + \dots = {}_2F_1\left(\frac{1}{2}, \frac{1}{2}, 1; \lambda\right)$$

# Counting Rational Points on Elliptic Curves

Family of Elliptic Curves (tori):

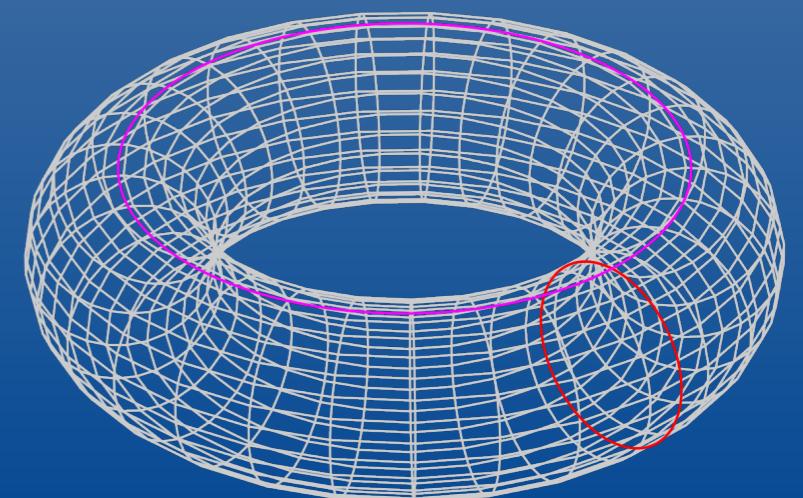
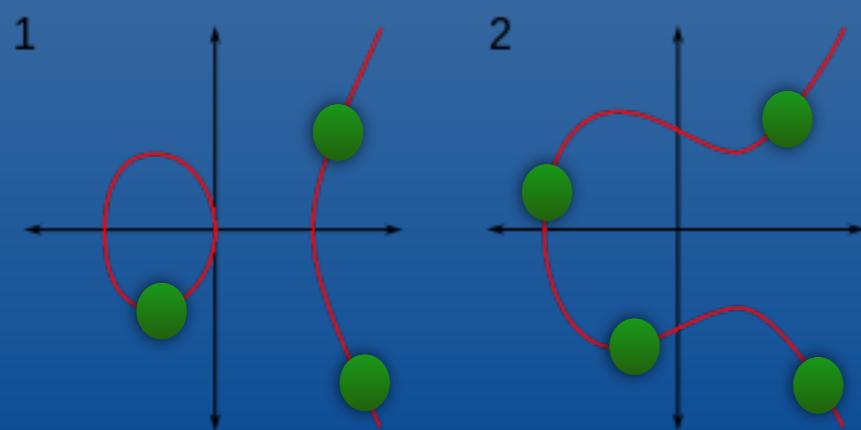
$$X_\lambda = \{y^2 = x(x - 1)(x - \lambda)\}, \text{ where } \lambda \in \mathbb{C} - \{0, 1\}$$

Elliptic Integrals (from geometry):

$$\omega_1 = \int_1^\infty \frac{dx}{\sqrt{x(x - 1)(x - \lambda)}} = 1 + \frac{1}{4}\lambda + \frac{9}{64}\lambda^2 + \frac{25}{256}\lambda^3 + \dots = {}_2F_1\left(\frac{1}{2}, \frac{1}{2}, 1; \lambda\right)$$

Counting Function for Family of Elliptic Curves:

$$|X_\lambda| = -(-1)^{\frac{p-1}{2}} (\omega_1)_{trunc} \bmod p$$



# Projective Varieties

## Elliptic Curves - K3 Surfaces

Elliptic curves can more correctly be thought of as solution sets of degree-3 homogenous polynomials in 2-dimensional projective space ( $\mathbb{P}^2$ )

For  $(x, y, z) \in \mathbb{P}^2$ ,  $(x, y, z) \sim (\lambda x, \lambda y, \lambda z)$

$$y^2 = x(x - 1)(x - \lambda) \longrightarrow Y^2 Z = X(X - Z)(X - \lambda Z)$$

K3 surfaces are thought of as solution sets of degree-4 homogeneous polynomials in 3-dimensional projective space ( $\mathbb{P}^3$ )

For  $(x, y, w, z) \in \mathbb{P}^3$ ,  $(x, y, w, z) \sim (\lambda x, \lambda y, \lambda w, \lambda z)$

$$X^4 + Y^4 + W^4 + Z^4 + C_1 X^3 Y Z W + C_2 X^3 Y Z^2 + \dots$$

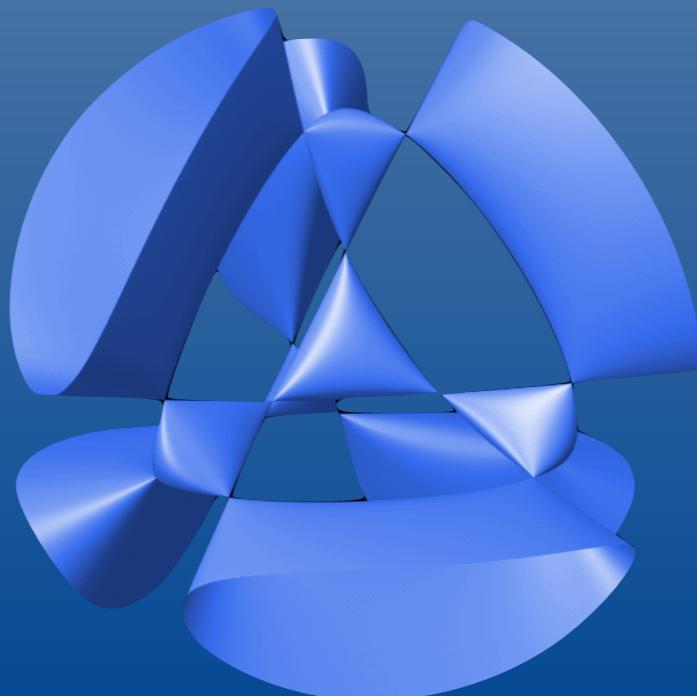
# Kummer Surfaces

Consider the quartic surface in three-dimensional projective space  
(special K3)

$$X^4 + Y^4 + W^4 + Z^4 + 2DXYZW - A(X^2Z^2 + Y^2W^2) - B(X^2Y^2 + W^2Z^2) - C(X^2W^2 + Y^2Z^2) = 0$$

$$A, B, C, D \in \mathbb{C}$$

Kummer surfaces emit 16 nodal singularities. After resolving the singularities, we obtain a K3 surface.



# Relating Different K3 Surfaces - Mirror Symmetry and String Theory

General Smooth K3  
(symplectic manifold)  
(A-Side)



Family of Complex K3 Manifolds  
(B-Side)



Quartic in  $\mathbb{P}^3$   
(singular K3)

$$X^4 + Y^4 + W^4 + Z^4 + 4\lambda XYWZ = 0$$

Dwork Pencil

What makes these K3 surfaces mirrors?

- Equivalent Hodge Structures
- “Same” Rational Point Counts

# Greene-Plesser Orbifolding Mechanism

Through the Greene-Plesser orbifolding procedure, we are allowed to construct the mirror family of K3 surfaces for our Dwork pencil.

$$x_1 = \frac{Y^3}{4XWZ\lambda}, \quad x_2 = \frac{W^3}{4XYZ\lambda}, \quad x_3 = \frac{Z^3}{4XYW\lambda}, \quad \mu = \frac{1}{\lambda^4}$$

$$x_1 x_2 x_3 (x_1 + x_2 + x_3 + 1) + \frac{\mu}{4^4} = 0$$

# Greene-Plesser Orbifolding Mechanism

On the new family of K3 surfaces produced from the Greene-Plesser mechanism

$$x_1 x_2 x_3 (x_1 + x_2 + x_3 + 1) + \frac{\mu}{4^4} = 0$$

we can compute period integrals for this family.

$$\omega_1 = \left( {}_2F_1\left(\frac{1}{8}, \frac{3}{8}, 1; \mu\right) \right)^2, \text{ holomorphic as } t \mapsto 0$$

The K3 surface itself is described by 3 periods which can be written in terms of this period.

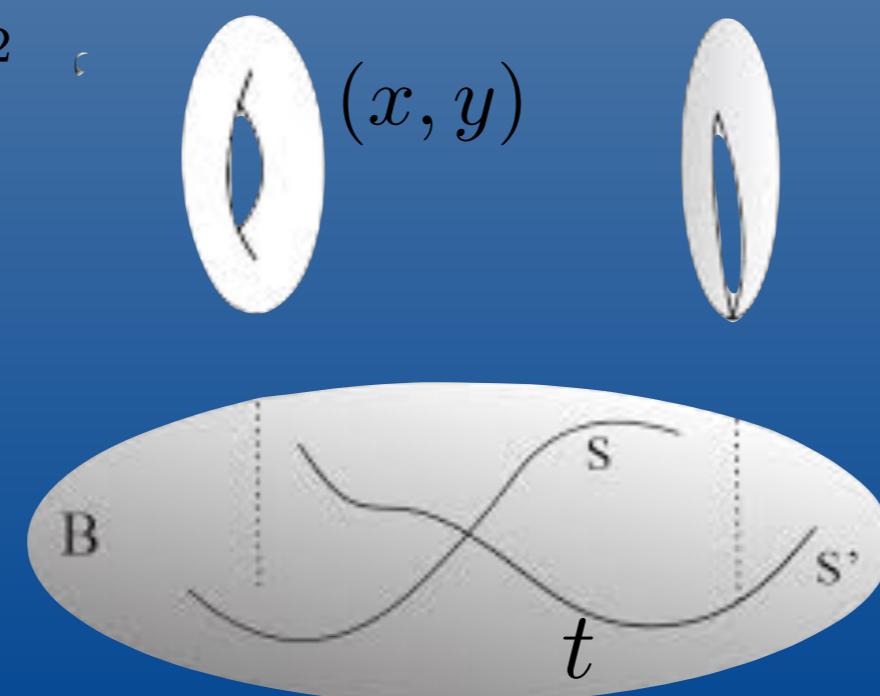
# Elliptic Fibrations and K3 Surfaces

We can analyze K3 surfaces as elliptic fibrations over the Riemann sphere through bringing them into Weierstrass normal form.

$$x = f_1(X, Y, W, Z) \quad y = f_2(X, Y, W, Z) \quad t = f_3(X, Y, W, Z)$$
$$y^2 = 4x^3 - g_{2\mu}(t)x - g_{3\mu}(t)$$

Elliptic K3 surfaces are thus seen to be surfaces that are constructed through attaching a torus at every point on the Riemann sphere.

$$\omega_1 = \left( {}_2F_1\left(\frac{1}{8}, \frac{3}{8}, 1; \mu\right) \right)^2$$



# Generalizing the Dwork Pencil

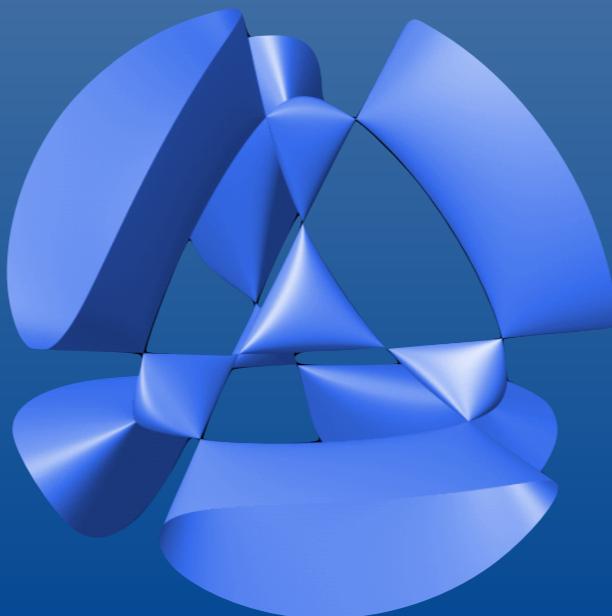
The one-parameter Dwork Pencil

$$x^4 + y^4 + w^4 + z^4 + 4\lambda xywz = 0$$

The three-parameter Kummer quartic serves as the natural generalization of the Dwork pencil, as it preserves some of the symmetry.

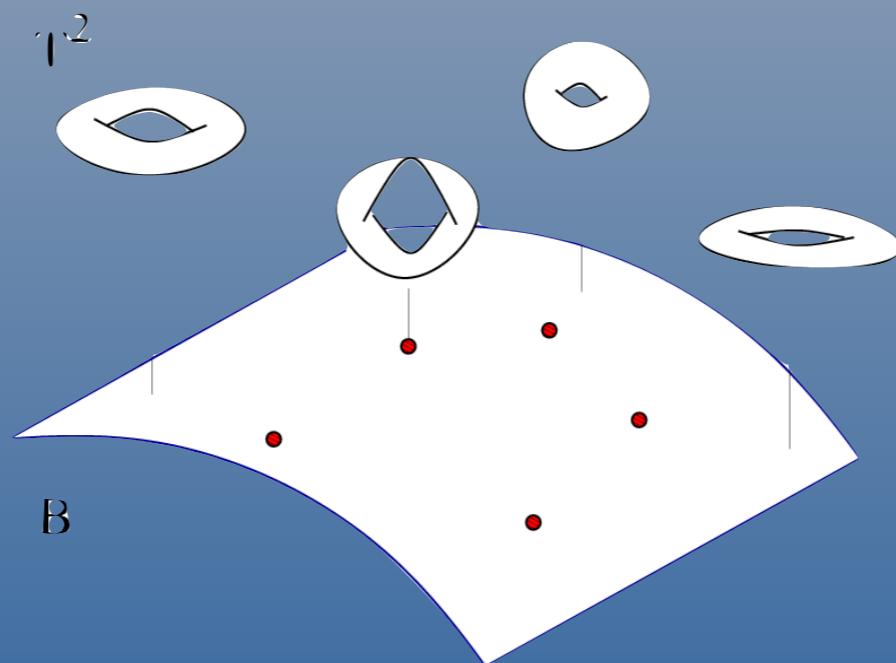
$$x^4 + y^4 + w^4 + z^4 + 2Dxywz - A(x^2z^2 + y^2w^2) - B(x^2y^2 + w^2z^2) - C(x^2w^2 + y^2z^2) = 0$$

$$A, B, C, D \in \mathbb{C}$$



# Generalizing the Greene-Plesser Mechanism

We can establish elliptic fibrations both on our three-parameter Kummer quartic and the mirror of the Dwork pencil.



The elliptic fibration structure allows us to generalize the Greene-Plesser mechanism. We then obtain the three-parameter generalization of the mirror of the generalized Dwork pencil.

We can compute the fiberwise period integral. We can then express the 5 period integrals of our surface in terms of this multi-variate hypergeometric function (Aomoto-Gelfos Function).

# Counting Rational Points on K3 Surfaces

We established a three-parameter family of K3 surfaces generalizing the Greene-Plesser mechanism.

$$x^4 + y^4 + w^4 + z^4 + 2Dxyzw - A(x^2z^2 + y^2w^2) - B(x^2y^2 + w^2z^2) - C(x^2w^2 + y^2z^2) = 0$$

Through using the elliptic fibration on the three-parameter mirror family and relating the period integrals of different families, we show that

$$|X_{A,B,C}| = \left( \text{Special K3 Period} \right)_{trunc} \mod p$$

# Results

**Theorem:** The counting function (of rational points) on the three-parameter family of generalized mirror K3 surfaces can be computed explicitly (it is a multivariate generalization of the Gauss hypergeometric function).

$$|X_p| \equiv (-1)^{\frac{p-1}{2}} \sum_{\ell=0}^{\frac{p-1}{2}} (-1)^\ell (C_\ell^{\frac{p-1}{2}})^2 \sum_{i+j+k=\ell} C_i^{\frac{p-1}{2}} C_j^{\frac{p-1}{2}} C_k^{\frac{p-1}{2}} a^i b^j c^k$$

The equation of the surface is:

$$y^2 = x(x-1)(x-t)(t-a)(t-b)(t-c)$$

# Thank You!

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