# PROBLEM SET 7 MATH 115 NUMBER THEORY PROFESSOR PAUL VOJTA

#### NOAH RUDERMAN

Problems 7.1.1, 7.1.4, 7.1.5, 7.3.3abd, 7.3.5, 7.4.1, 7.4.3, 7.4.4, 7.4.7, 7.5.1, 7.5.3, 7.5.4, 7.6.4, and 7.6.5 from *An Introduction to The Theory of Numbers*, 5<sup>th</sup> edition, by Ivan Niven, Herbert S. Zuckerman, and Hugh L. Montgomery

Date: August 11, 2014.

# Problem (7.1.1)

Expand the rational fractions 17/3, 3/17, and 8/1 into finite simple continued fractions. Solution.

We start with  $\frac{17}{3}$ . Using the Euclidean algorithm, we see that

$$17 = 5 \cdot 3 + 2$$

$$3 = 1 \cdot 2 + 1$$

$$2 = 2 \cdot 1 + 0$$

So we see that  $a_0 = 5$ ,  $a_1 = 1$  and  $a_2 = 2$ . Thus, we get

$$\frac{17}{3} = \langle 5, 1, 2 \rangle$$

$$=5+\frac{1}{1+\frac{1}{2}}.$$

**Next we have**  $\frac{3}{17}$ . Using the Euclidean algorithm, we see that

$$3 = 0 \cdot 17 + 3$$

$$17 = 5 \cdot 3 + 2$$

$$3 = 1 \cdot 2 + 1$$

$$2 = 2 \cdot 1 + 0$$

So we see that  $a_0 = 0$ ,  $a_1 = 5$ ,  $a_2 = 1$  and  $a_3 = 2$ . Thus, we get

$$\frac{3}{17} = \langle 0, 5, 1, 2 \rangle$$

$$=\frac{1}{5+\frac{1}{1+\frac{1}{2}}}.$$

Finally we have  $\frac{8}{1}$ . Using the Euclidean algorithm, we see that

$$8 = \langle 8 \rangle$$

$$= 8 \cdot 1 + 0.$$

We see that  $a_0 = 8$ . Thus, we get

$$8 = 8$$

# Problem (7.1.4)

Given positive integers b, c, d with c > d, prove that  $\langle a, c \rangle < \langle a, d \rangle$  but  $\langle a, b, c \rangle > \langle a, b, d \rangle$  for any integer a.

Solution.

We see that

$$c > d$$

$$\frac{1}{d} > \frac{1}{c}$$

$$a + \frac{1}{d} > a + \frac{1}{c}$$

$$\langle a, d \rangle > \langle a, c \rangle,$$

$$d, c \in \mathbb{Z}^+$$

proving the first part.

Next we see that

$$c > d$$

$$\frac{1}{d} > \frac{1}{c}$$

$$b + \frac{1}{d} > b + \frac{1}{c}$$

$$\langle b, d \rangle > \langle b, c \rangle$$

$$\frac{1}{\langle b, c \rangle} > \frac{1}{\langle b, d \rangle}$$

$$a + \frac{1}{\langle b, c \rangle} > a + \frac{1}{\langle b, d \rangle}$$

$$\langle a, b, c \rangle > \langle a, b, d \rangle,$$

proving the second part.

#### **Problem** (7.1.5)

Let  $a_1, a_2, \dots, a_n$  and c be positive real numbers. Prove that

$$\langle a_0, a_1, \cdots, a_n \rangle > \langle a_0, a_1, \cdots, a_n + c \rangle$$

holds if n is odd, but is false if n is even.

Solution.

Let  $m \in \mathbb{Z}^+$  and suppose  $2 \leq m \leq n$ . We use induction to show that if

$$\langle a_m, a_{m+1} \cdots, a_n \rangle > \langle a_m, a_{m+1} \cdots, a_n + c \rangle$$

then

$$\langle a_{m-2}, a_{m-1}, \cdots, a_n \rangle > \langle a_{m-2}, a_{m-1}, \cdots, a_n + c \rangle.$$

Suppose

$$\langle a_m, a_{m+1} \cdots, a_n \rangle > \langle a_m, a_{m+1} \cdots, a_n + c \rangle$$

We see that

$$\langle a_{m}, a_{m+1} \cdots, a_{n} \rangle > \langle a_{m}, a_{m+1} \cdots, a_{n} + c \rangle$$

$$\frac{1}{\langle a_{m}, a_{m+1} \cdots, a_{n} + c \rangle} > \frac{1}{\langle a_{m}, a_{m+1} \cdots, a_{n} \rangle}$$

$$a_{m-1} + \frac{1}{\langle a_{m}, a_{m+1} \cdots, a_{n} + c \rangle} > a_{m-1} + \frac{1}{\langle a_{m}, a_{m+1} \cdots, a_{n} \rangle}$$

$$\langle a_{m-1}, a_{m} \cdots, a_{n} + c \rangle > \langle a_{m-1}, a_{m} \cdots, a_{n} \rangle$$

$$\frac{1}{\langle a_{m-1}, a_{m} \cdots, a_{n} \rangle} > \frac{1}{\langle a_{m-1}, a_{m} \cdots, a_{n} + c \rangle}$$

$$a_{m-2} + \frac{1}{\langle a_{m-1}, a_{m} \cdots, a_{n} \rangle} > a_{m-2} + \frac{1}{\langle a_{m-1}, a_{m} \cdots, a_{n} + c \rangle}$$

$$\langle a_{m-2}, a_{m-1}, \cdots, a_{n} \rangle > \langle a_{m-2}, a_{m-1}, \cdots, a_{n} + c \rangle,$$

completing the induction step. It should be clear that this induction step also holds if we replace the > sign with <.

We see that  $\langle a_n \rangle = a_n < a_n + c = \langle a_n + c \rangle$ . We have two cases

(1) n is even.

If n = 0, then  $\langle a_0 \rangle = a_0 < a_0 + c = \langle a_0 + c \rangle$ , and we are done. If n > 0, then we can use the above result to get  $\langle a_{n-2}, a_{n-1}, a_n \rangle < \langle a_{n-2}, a_{n-1}, a_n + c \rangle$ . Clearly, n - 2 and n have the same parity. We can repeat this induction step until it terminates where we get

$$\langle a_0, a_1, \cdots, a_n \rangle < \langle a_0, a_1, \cdots, a_n + c \rangle$$

(2) n is odd.

If n=1, then we use  $\langle a_1 \rangle = a_1 < a_1 + c = \langle a_1 + c \rangle$  and equation 1 with m=n=1 to get  $\langle a_0, a_1 + c \rangle < \langle a_0, a_1 \rangle$  and we are done. If n>1, then using equation 1 with m=n and  $\langle a_n \rangle < \langle a_n + c \rangle$  to get  $\langle a_{n-1}, a_n + c \rangle < \langle a_{n-1}, a_n \rangle$ . Now we can use the induction step to get  $\langle a_{n-3}, \ldots, a_n + c \rangle < \langle a_{n-1}, \ldots, a_n \rangle$ , and so on until it terminates. Clearly, n-1 and n-3 have the same parity. When the induction terminates, we get

$$\langle a_0, a_1, \cdots, a_n + c \rangle < \langle a_0, a_1, \cdots, a_n \rangle$$

Thus,

$$\langle a_0, a_1, \cdots, a_n \rangle > \langle a_0, a_1, \cdots, a_n + c \rangle$$

is true if n is odd, but false if n is even.

# Problem (7.3.3)

Evalue the infinite continued fractions:

a. 
$$(2, 2, 2, 2, \dots)$$

b. 
$$(1, 2, 1, 2, 1, 2 \cdots)$$

d. 
$$(1, 3, 1, 2, 1, 2, 1, 2, \dots)$$

Solution.

a. Let  $x = \langle 2, 2, 2, 2, \dots \rangle$ . We see that

$$x = 2 + \frac{1}{x}$$
$$x^2 = 2x + 1$$

$$x^2 - 2x - 1 = 0.$$

From here we can use the general solution to quadratic equations to get

$$x = \frac{2 \pm \sqrt{4+4}}{2}$$
$$= 1 \pm \frac{\sqrt{8}}{2}$$
$$= 1 \pm \sqrt{2}$$

But x > 0, so the only valid solution is  $x = 1 + \sqrt{2}$ .

b. Let  $x = \langle 1, 2, 1, 2, \dots \rangle$ . We see that

$$x = 1 + \frac{1}{2 + \frac{1}{x}}$$

$$x = 1 + \frac{x}{2x + 1}$$

$$(2x + 1)x = (2x + 1) + x$$

$$2x^{2} + x = 2x + 1 + x$$

$$2x^{2} - 2x - 1 = 0.$$

From here we can use the general solution to quadratic equations to get

$$x = \frac{2 \pm \sqrt{4 + 8}}{4}$$
$$= \frac{1}{2} \pm \frac{\sqrt{12}}{4}$$
$$= \frac{1 \pm \sqrt{3}}{2}$$

But x > 0, so the only valid solution is  $x = \frac{1+\sqrt{3}}{2}$ .

d. Let  $x=\langle 1,3,1,2,1,2,\cdots\rangle$ . Let  $y=\langle 1,2,1,2,\cdots\rangle$ . From our results in part b, we see that  $y=\frac{1+\sqrt{3}}{2}$ . We have

$$x = 1 + \frac{1}{3 + \frac{1}{y}}$$

$$x = 1 + \frac{1}{3 + \frac{1}{\frac{1}{2} + \frac{\sqrt{3}}{2}}}$$

$$x = 1 + \frac{1}{3 + \frac{2}{1 + \sqrt{3}}}$$

$$x = 1 + \frac{1 + \sqrt{3}}{3(1 + \sqrt{3}) + 2}$$

$$x = 1 + \frac{1 + \sqrt{3}}{5 + 3\sqrt{3}}$$

$$x = 1 + \frac{(1 + \sqrt{3})(5 - 3\sqrt{3})}{25 - 27}$$

$$x = 1 + \frac{(1 + \sqrt{3})(5 - 3\sqrt{3})}{-2}$$

$$x = 1 + \frac{5 - 3\sqrt{3} + 5\sqrt{3} - 9}{-2}$$

$$x = 1 + \frac{-4 + 2\sqrt{3}}{-2}$$

$$x = 1 + 2 - \sqrt{3}$$

$$x = 3 - \sqrt{3}$$
.

## Problem (7.3.5)

Let  $u_0/u_1$  be a rational number in lowest terms, and write  $u_0/u_1 = \langle a_0, a_1, \dots, a_n \rangle$ . Show that if  $0 \le i < n$ , then  $|r_i - u_0/u_1| \le 1/(k_i k_{i+1})$ , with equality if and and only if i = n - 1.

Solution.

First we note that

$$\left| r_i - \frac{u_0}{u_1} \right| = \left| r_i - r_n \right|$$

Suppose n = i + 1. By theorem 7.5, we have

$$|r_{i} - r_{n}| = |r_{i} - r_{i+1}|$$

$$= |r_{i+1} - r_{i}|$$

$$= \left| \frac{(-1)^{i}}{k_{i}k_{i+1}} \right|$$

$$= \frac{1}{k_{i}k_{i+1}},$$

because  $k_i \in \mathbb{Z}^+$  for all  $i \in \mathbb{N}$ . Now suppose n-1 > i. We have four cases

(1) n is odd and i is even:

We see that

$$0 < r_n - r_i < r_{n-2} - r_i$$

$$< r_{n-4} - r_i$$

$$\vdots$$

$$< r_{i+1} - r_i$$

$$= \frac{1}{k_i k_{i+1}}.$$

From this we can deduce that

$$\frac{1}{k_i k_{i+1}} < 0 < r_n - r_i < \frac{1}{k_i k_{i+1}},$$

SO

$$|r_n - r_i| < \frac{1}{k_i k_{i+1}}.$$

(2) n is even and i is odd:

We see that

$$0 < r_{i} - r_{n} < r_{i} - r_{n-2}$$

$$< r_{i} - r_{n-4}$$

$$\vdots$$

$$< r_{i} - r_{i+1}$$

$$= -(r_{i+1} - r_{i})$$
8

$$= -\frac{(-1)^i}{k_{i+1}k_i} = \frac{1}{k_i k_{i+1}}.$$

From this we can deduce that

$$\frac{1}{k_i k_{i+1}} < 0 < r_i - r_n < \frac{1}{k_i k_{i+1}},$$

SO

$$|r_n - r_i| < \frac{1}{k_i k_{i+1}}.$$

(3) n is odd and i is odd: We see that

$$0 < r_i - r_n < r_i - r_{n-1}$$
.

Call n - 1 = n'. If n' - 1 = i, then

$$r_i - r_{n-1} = r_i - r_{n'}$$
  
=  $r_i - r_{i+1}$   
=  $\frac{1}{k_i k_{i+1}}$ ,

in which case we see that

$$\frac{1}{k_i k_{i+1}} < 0 < r_i - r_n < \frac{1}{k_i k_{i+1}},$$

SO

$$|r_n - r_i| < \frac{1}{k_i k_{i+1}}.$$

If n'-1>i, then we can appeal to case 2 to see that  $r_i-r_{n'}<\frac{1}{k_ik_{i+1}}$  so again we have

$$\frac{1}{k_i k_{i+1}} < 0 < r_i - r_n < \frac{1}{k_i k_{i+1}},$$

and thus

$$|r_n - r_i| < \frac{1}{k_i k_{i+1}}.$$

(4) n is even and i is even: We see that

$$0 < r_n - r_i < r_{n-1} - r_i.$$

Call n - 1 = n'. If n' - 1 = i, then

$$r_{n-1} - r_i = r_{n'} - r_i$$
  
=  $r_{i+1} - r_i$   
<  $\frac{1}{k_i k_{i+1}}$ ,

in which case we see that

$$\frac{1}{k_i k_{i+1}} < 0 < r_n - r_i < \frac{1}{k_i k_{i+1}},$$

so

$$|r_n - r_i| < \frac{1}{k_i k_{i+1}}.$$

If n'-1>i, then we can appeal to case 1 to see that  $r_{n'}-r_i<\frac{1}{k_ik_{i+1}}$  so again we have

$$\frac{1}{k_i k_{i+1}} < 0 < r_n - r_i < \frac{1}{k_i k_{i+1}},$$

and thus

$$|r_n - r_i| < \frac{1}{k_i k_{i+1}}.$$

## **Problem** (7.4.1)

Expand each of the following as infinite simple continued fractions:  $\sqrt{2}$ ,  $\sqrt{2}-1$ ,  $\sqrt{2}/2$ ,  $\sqrt{3}$ ,  $1/\sqrt{3}$ . Solution.

We start with  $\sqrt{2}$ . We see that

$$\xi_0 = \sqrt{2}$$
.

We see that  $1 < \sqrt{2} < 2$  so  $a_0 = 1$ . Next we have

$$\xi_1 = \frac{1}{\xi_0 - a_0} = \frac{1}{\sqrt{2} - 1} = \sqrt{2} + 1,$$

so  $a_1 = 2$ . Next we have

$$\xi_2 = \frac{1}{\xi_1 - a_1}$$

$$= \frac{1}{\sqrt{2} + 1 - 2}$$

$$= \frac{1}{\sqrt{2} - 1}$$

$$= \sqrt{2} + 1,$$

so  $a_2 = 2$ . Let  $i \in \mathbb{N}$ . Evidently  $\xi_i = \sqrt{2} + 1$  implies  $\xi_{i+1} = \xi_i$ .  $\xi_1 = \sqrt{2} + 1$  so  $\xi_i = \xi_1$  for  $i \ge 1$ . It should be clear that if  $a_i = a_1$  for all  $i \ge 1$ . From this we get

$$\sqrt{2} = \langle 1, a_1, a_1, a_1, \ldots \rangle$$
$$= \langle 1, 2, 2, 2, \ldots \rangle.$$

Now we continue with  $\sqrt{2} - 1$ . We see that

$$\sqrt{2} - 1 = \langle 1, 2, 2, 2, \ldots \rangle - 1$$

$$= \left(1 + \frac{1}{\langle 2, 2, 2, \ldots \rangle}\right) - 1$$

$$= 0 + \frac{1}{\langle 2, 2, 2, \ldots \rangle}$$

$$= \langle 0, 2, 2, 2, \ldots \rangle$$

Next we have  $\frac{\sqrt{2}}{2}$ . We see that  $\frac{\sqrt{2}}{2} = \frac{1}{\sqrt{2}}$  and

$$\frac{1}{\sqrt{2}} = 0 + \frac{1}{\sqrt{2}}$$

$$= 0 + \frac{1}{\langle 1, 2, 2, 2, \cdots \rangle}$$
$$= \langle 0, 1, 2, 2, 2, \cdots \rangle$$

We continue with  $\sqrt{3}$ . We start with

$$\xi_0 = \sqrt{3}$$
.

We see that  $1 < \sqrt{3} < 2$  so  $a_0 = 1$ . Next we have

$$\xi_1 = \frac{1}{\xi_0 - a_0}$$

$$= \frac{1}{\sqrt{3} - 1}$$

$$= \frac{\sqrt{3} + 1}{2},$$

so  $a_1 = 1$ . Next we have

$$\xi_2 = \frac{1}{\xi_1 - a_1}$$

$$= \frac{1}{\frac{\sqrt{3}+1}{2} - 1}$$

$$= \frac{1}{\frac{\sqrt{3}-1}{2}}$$

$$= \frac{2}{\sqrt{3}-1}$$

$$= \frac{2(\sqrt{3}+1)}{2}$$

$$= \sqrt{3}+1$$

so  $a_2 = 2$ . Next we have

$$\xi_3 = \frac{1}{\xi_2 - a_2}$$

$$= \frac{1}{\sqrt{3} + 1 - 2}$$

$$= \frac{1}{\sqrt{3} - 1}$$

$$= \frac{\sqrt{3} + 1}{2}$$

Let  $1 \in \mathbb{N}$ . Evidently  $\xi_i = \frac{\sqrt{3}+1}{2}$  implies  $\xi_{i+2} = \xi_i$ .  $\xi_1 = \frac{\sqrt{3}+1}{2}$  so  $\xi_i = \xi_1$  for  $i \equiv 1 \mod 2$ . Furthermore,  $\xi_i = \xi_2$  for  $i \equiv 0 \mod 2$  and  $i \geq 1$ . Thus,  $a_1 = a_3 = a_5 = \cdots$  and  $a_2 = a_4 = a_6 \cdots$ , so we have

$$\sqrt{3} = \langle a_0, a_1, a_2, a_1, a_2, \ldots \rangle$$

$$= \langle 1, 1, 2, 1, 2, \ldots \rangle.$$

We start with

$$\xi_0 = \frac{1}{\sqrt{3}}.$$

We finish with  $\frac{1}{\sqrt{3}}$ . We see that

$$\frac{1}{\sqrt{3}} = 0 + \frac{1}{\sqrt{3}}$$

$$= 0 + \frac{1}{\langle 1, 1, 2, 1, 2, \ldots \rangle}$$

$$= \langle 0, 1, 1, 2, 1, 2, \ldots \rangle$$

#### Problem (7.4.3)

Let  $\alpha, \beta, \gamma$  be irrational numbers satisfying  $\alpha < \beta < \gamma$ . If  $\alpha$  and  $\gamma$  have identical convergents  $h_0/k_0, h_1/k_1, \cdots$ , up to  $h_n/k_n$ , prove that  $\beta$  also has these same convergents up to  $h_n/k_n$ .

Solution.

Let

$$r_i^{\alpha} = \langle a_0, a_1, \cdots, a_i \rangle$$
  

$$r_i^{\beta} = \langle b_0, b_1, \cdots, b_i \rangle$$
  

$$r_i^{\gamma} = \langle c_0, c_1, \cdots, c_i \rangle$$

We are given  $r_i^{\alpha} = r_i^{\gamma}$  for  $0 \le i \le n$ . By theorem 7.1,  $a_i = c_i$  for  $0 \le i \le n$ . We use induction to show that  $a_i = b_i = c_i$  for all  $0 \le i \le n$ .

Consider the usual algorithm for calculating the  $n^{\text{th}}$  convergent to an irrational number,  $\xi$ . For each term  $x_i$  in the  $\langle x_0, x_1, x_2, \cdots, x_n \rangle$ , we have  $x_i = [\xi_i]$ ,  $\xi_{i+1} = \frac{1}{\xi_i - x_i}$  and  $\xi_0 = \xi$ . Suppose  $\xi_i^{\alpha} < \xi_i^{\beta} < \xi_i^{\gamma}$  and that  $a_i = b_i = c_i$ . We see that

$$\xi_i^{\beta} < \xi_i^{\gamma}$$

$$\xi_i^{\beta} - b_i < \xi_i^{\gamma} - c_i$$

$$\frac{1}{\xi_i^{\gamma} - c_i} < \frac{1}{\xi_i^{\beta} - b_i}$$

$$\xi_{i+1}^{\gamma} < \xi_{i+1}^{\beta}.$$

Likewise, we see that

$$\begin{split} \xi_i^\alpha &< \xi_i^\beta \\ \xi_i^\alpha - a_i &< \xi_i^\beta - b_i \\ \frac{1}{\xi_i^\beta - b_i} &< \frac{1}{\xi_i^\alpha - a_i} \\ \xi_{i+1}^\beta &< \xi_{i+1}^\alpha. \end{split}$$

Thus we have

$$\xi_{i+1}^{\gamma} < \xi_{i+1}^{\beta} < \xi_{i+1}^{\alpha}.$$

Furthermore, we see that

$$\xi_{i+1}^{\gamma} < \xi_{i+1}^{\beta} < \xi_{i+1}^{\alpha}$$
$$\left[\xi_{i+1}^{\gamma}\right] \le \left[\xi_{i+1}^{\beta}\right] \le \left[\xi_{i+1}^{\alpha}\right]$$
$$c_{i+1} \le b_{i+1} \le a_{i+1}.$$

But  $a_{i+1} = c_{i+1}$  for  $0 \le i + 1 \le n$ , so

$$a_{i+1} = b_{i+1} = c_{i+1}.$$

We have proved  $\xi_i^{\alpha} < \xi_i^{\beta} < \xi_i^{\gamma}$  and  $a_i = b_i = c_i$  implies  $\xi_{i+1}^{\gamma} < \xi_{i+1}^{\beta} < \xi_{i+1}^{\alpha}$  and  $a_{i+1} = b_{i+1} = c_{i+1}$ . A similar argument shows  $\xi_i^{\gamma} < \xi_i^{\beta} < \xi_i^{\alpha}$  and  $a_i = b_i = c_i$  implies  $\xi_{i+1}^{\alpha} < \xi_{i+1}^{\beta} < \xi_{i+1}^{\gamma}$  and  $a_{i+1} = b_{i+1} = c_{i+1}$  for  $0 \le i < n$ .

As our base case, we see that

$$\alpha < \beta < \gamma$$
  

$$\xi_0^{\alpha} < \xi_0^{\beta} < \xi_0^{\gamma}$$
  

$$[\xi_0^{\alpha}] \le [\xi_0^{\beta}] \le [\xi_0^{\gamma}]$$
  

$$a_0 \le b_0 \le c_0.$$

Since  $a_0 = c_0$ , we see that  $a_0 = b_0 = c_0$ .

By the induction hypothesis, we see that  $a_i = b_i = c_i$  for all  $0 \le i \le n$ . From this we see that

$$\langle a_0, a_1, \cdots, a_i \rangle = \langle b_0, b_1, \cdots, b_i \rangle = \langle c_0, c_1, \cdots, c_i \rangle,$$

SO

$$r_i^{\alpha} = r_i^{\beta} = r_i^{\gamma},$$

and the convergents are equal for  $0 \le i \le n$ .

# Problem (7.4.4)

Let  $\xi$  be an irrational number with continued fraction expansion  $\langle a_0, a_1, a_2, a_3, \cdots \rangle$ . Let  $b_1, b_2, b_3, \cdots$  be any finite or infinite sequence of positive integers. Prove that

$$\lim_{n\to\infty} \langle a_0, a_1, a_2, \cdots, a_n, b_1, b_2, b_3, \cdots \rangle = \xi.$$

Solution.

Let  $x_n = \langle a_0, a_1, a_2, \dots, a_n, b_1, b_2, b_3, \dots \rangle$ . Let  $r_n$  be the  $n^{\text{th}}$  convergent of  $x_n$ . We know that even convergents form a monotonically increasing sequence whose limit is  $x_n$ . Likewise, the odd convergents form a monotonically decreasing sequence whose limit is  $x_n$ . Thus, if n is odd, then

$$r_{n-1} < x_n < r_n$$

and if n is even, then

$$r_n < x_n < r_{n-1}.$$

But  $r_n = \langle a_0, a_1, a_2, \cdots, a_n \rangle$ , which is also the  $n^{\text{th}}$  convergent of  $\xi$ . Thus, we see that

$$\lim_{\substack{n \to \infty \\ n \text{ even}}} r_n \le \lim_{n \to \infty} x_n \le \lim_{\substack{n \to \infty \\ n \text{ odd}}} r_n$$
$$\xi \le \lim_{n \to \infty} x_n \le \xi$$
$$\lim_{n \to \infty} x_n = \xi,$$

completing the proof.

#### **Problem** (7.4.7)

Prove that

$$k_n |k_{n-1}\xi - h_{n-1}| + k_{n-1} |k_n\xi - h_n| = 1.$$

Solution.

Suppose the above equality is true, then

$$\begin{aligned} k_n|k_{n-1}\xi - h_{n-1}| + k_{n-1}|k_n\xi - h_n| &= 1\\ \left|\xi - \frac{h_{n-1}}{k_{n-1}}\right| + \left|\xi - \frac{h_n}{k_n}\right| &= \frac{1}{k_nk_{n-1}}\\ |\xi - r_{n-1}| + |\xi - r_n| &= \frac{1}{k_nk_{n-1}}. \end{aligned}$$

Thus, it is sufficient to prove that

$$|\xi - r_{n-1}| + |\xi - r_n| = \frac{1}{k_n k_{n-1}}.$$

We know that odd convergents are larger than their limit and that even convergents are smaller than their limit. We have two cases:

(1) n is even:

Thus, 
$$r_n < \xi$$
 and  $r_{n-1} > \xi$ . We have 
$$|\xi - r_{n-1}| + |\xi - r_n| = -(\xi - r_{n-1}) + (\xi - r_n)$$

$$= r_{n-1} - r_n$$

$$= r_n - r_{n-1}$$

$$= -\frac{(-1)^{n-1}}{k_n k_{n-1}}$$
 by theorem  $7.5, n \ge 1$ 

$$= \frac{(-1)^n}{k_n k_{n-1}}$$

$$= \frac{1}{k_n k_{n-1}}.$$

(2) n is odd:

Thus,  $r_n > \xi$  and  $r_{n-1} < \xi$ . We have

$$|\xi - r_{n-1}| + |\xi - r_n| = (\xi - r_{n-1}) - (\xi - r_n)$$

$$= r_n - r_{n-1}$$

$$= \frac{(-1)^{n-1}}{k_n k_{n-1}}$$
 by theorem 7.5,  $n \ge 1$ 

$$= \frac{1}{k_n k_{n-1}}.$$

In each case, we have proved a necessary condition to imply the inequality.

However, we have used theorem 7.5 which only holds for  $n \ge 1$ . It remains to show that the equality holds for n = 0, -1. We note that  $h_{-2} = k_{-1} = 0$  and  $h_{-1} = k_{-2} = k_0 = 1$ . Suppose n = 0. Then we have

$$k_n|k_{n-1}\xi - h_{n-1}| + k_{n-1}|k_n\xi - h_n| = k_0|k_{-1}\xi - h_{-1}| + k_{-1}|k_0\xi - h_0|$$
  
=  $1 \cdot |0 \cdot \xi - 1| + 0 \cdot |k_0\xi - h_0|$   
= 1.

Suppose n = -1. Then we have

$$k_{n}|k_{n-1}\xi - h_{n-1}| + k_{n-1}|k_{n}\xi - h_{n}| = k_{-1}|k_{-2}\xi - h_{-2}| + k_{-2}|k_{-1}\xi - h_{-1}|$$

$$= 0 \cdot |k_{-2}\xi - h_{-2}| + 1 \cdot |0 \cdot \xi - 1|$$

$$= 1.$$

Now we have showed the equality holds for all  $n \ge -1$ .

# **Problem** (7.5.1)

Prove that the first assertio nin theorem 7.13 holds in case n = 0 if  $k_1 > 1$ .

Solution.

We proceed in the same way that is outlined in NZM. Suppose the first part of theorem 7.13 if false. Then

$$\left| \xi - \frac{a}{b} \right| < \left| \xi - \frac{h_n}{k_n} \right|$$

$$\left| \xi b - a \right| < \left| \xi k_n - h_n \right|.$$

Using the second part of theorem 7.13, we see that this implies  $b \ge k_{n+1}$ . If n = 0, then  $b \ge k_1$  and  $b \le k_0$ . But  $k_0 = 1$ . So if  $k_1 > 1$ , then b > 1 and  $b \le 1$ , which is a contradiction. Thus, the assumption must have been false. Thus,  $b > k_n$  for n = 0 when  $k_1 > 1$ .

# **Problem** (7.5.3)

... Prove that every convergent to  $\xi$  is a good approximation.

Solution.

We use theorem 7.13 to see that

$$|\xi b - a| < |\xi k_n - h_n|$$

For  $n \ge 1$  implies  $b \ge k_{n+1} > k_n$ , so  $b > k_n$ . Thus,

$$|\xi k_n - h_n| = \min_{\substack{\text{all } x \\ 0 < y \le k_n}} |\xi y - x|,$$

so  $\frac{h_n}{k_n} = r_n$  is a "good approximation" to  $\xi$ . We proved in problem 7.5.1 that theorem 7.13 holds for n = 0 when  $k_1 > 1$ . From the recursive definition of  $k_i$ , it is easy to see that  $k_1 = a_1$ . But  $a_1 \in \mathbb{Z}^+$ , so  $a_1 \ge 1$ . If  $a_1 > 1$ , then  $k_1 > 1$  and we are done so we assume that  $k_1 = a_1 = 1$ . Thus,

$$\min_{\substack{\text{all } x \\ 0 < y \le k_1}} |\xi y - x| = \min_{\substack{\text{all } x \\ 0 < y \le 1}} |\xi y - x|$$
$$= \min_{\substack{\text{all } x \\ \text{all } x}} |\xi - x|.$$

Furthermore,  $h_0 = a_0 = [\xi]$ , so  $|\xi k_0 - h_0| = |\xi - [\xi]|$ .

It actually turns out that the 0<sup>th</sup> convergent is not necessarily a "good approximation". By theorem 4.1(1), we know that  $0 \le \xi - [\xi] < 1$  so  $|\xi - [\xi]| = \xi - [\xi]$ , and  $|\xi - [\xi] - 1| = -\xi + [\xi] + 1$ . We see that if  $\xi - [\xi] > \frac{1}{2}$ , then

$$\xi - [\xi] > \frac{1}{2}$$

$$2\xi - 2[\xi] > 1$$

$$\xi - [\xi] > -\xi + [\xi] + 1$$

$$|\xi - [\xi]| > |\xi - ([\xi] + 1)|$$

$$|\xi k_0 - h_0| > \min_{\substack{\text{all } x \\ 0 < y < k_0}} |\xi y - x|.$$

Thus, every convergent  $r_n$  is a "good approximation" to  $\xi$  except for  $r_0$  when  $k_1 = 1$ .

# **Problem** (7.5.4)

Prove that every "good approximation" to  $\xi$  is convergent.

Solution.

Let  $\frac{a}{b} \in \mathbb{Q}$  with gcd(a, b) = 1. Suppose  $\frac{a}{b}$  is a "good approximation" to  $\xi$  but isn't a convergent. First we will show by contradiction that  $b = k_i$  for some  $i \in \mathbb{N}$  and then that  $a = h_i$ .

If  $b = k_i$ , we are done, so we suppose that  $k_j < b < k_{j+1}$  for  $j \ge 1$  or  $j \ge 0$  if  $k_1 > 1$ . Thus,

$$|\xi b - a| < \min_{\substack{\text{all } x \\ 0 < y \le b}} |\xi y - x|$$
$$|\xi b - a| < |\xi k_j - h_j|,$$

so  $b \ge k_{j+1}$  by theorem 7.13. This contradicts our assumption that  $b < k_{j+1}$ . So if  $\frac{a}{b}$  is a "good approximation" to  $\xi$ , then  $b = k_i$  for  $i \in \mathbb{N}$ .

Let  $\frac{a}{b} = \frac{a}{k_i}$  be our good approximation to  $\xi$ . Using our work in problem 7.5.3, we see that the  $i^{\text{th}}$  convergent is a good approximation to  $\xi$ , so

$$\min_{\substack{\text{all } x \\ 0 < y \le b}} |\xi y - x| = \min_{\substack{\text{all } x \\ 0 < y \le k_i}} |\xi y - x|$$
$$= |\xi k_i - h_i|.$$

Thus, if  $\frac{a}{k_i}$  is also a good approximation to  $\xi$ , then

$$|\xi k_i - a| = |\xi k_i - h_i|.$$

We have two cases:

(1)  $\xi k_i - a = \xi k_i - h_i$ : We see that

$$|\xi k_i - a| = |\xi k_i - h_i|$$

$$\pm (\xi k_i - a) = \pm (\xi k_i - h_i)$$

$$\pm \xi k_i \mp a = \pm \xi k_i \mp h_i$$

$$\mp a = \mp h_i$$

$$a = h_i$$

(2)  $(\xi k_i - a) = -(\xi k_i - h_i)$ : We see that

$$|\xi k_i - a| = |\xi k_i - h_i|$$

$$\mp (\xi k_i - a) = \pm (\xi k_i - h_i)$$

$$\mp \xi k_i \pm a = \pm \xi k_i \mp h_i$$

$$\pm a = \pm 2\xi k_i \mp h_i$$

$$a = 2\xi k_i - h_i,$$

which is impossible since  $a \in \mathbb{Z}$ . Thus,  $a = h_i$ . In conclusion, if  $\frac{a}{b}$  is a good approximation to  $\xi$ , then  $a = h_i$  and  $b = k_i$  for some  $i \in \mathbb{N}$ 

#### **Problem** (7.6.4)

Given any constant c, prove that there exists an irrational number  $\xi$  and infinitely many rational numbers h/k such that

$$\left|\xi - \frac{h}{k}\right| < \frac{1}{k^c}.$$

Solution.

By theorem 7.11, we see that

$$\left|\xi - \frac{h_n}{k_n}\right| < \frac{1}{k_n k_{n+1}}.$$

Let c be an arbitrary real number. We see that proving  $k_{n+1} \ge k_n^{c-1}$  is a sufficient condition to complete the proof because

$$\frac{1}{k_{n+1}} \le \frac{1}{k_n^{c-1}}$$

$$\frac{1}{k_n k_{n+1}} \le \frac{1}{k_n^c}$$

By definition,  $k_{n+1} = a_{n+1}k_n + k_{n-1}$ . Thus,  $a_{n+1} \ge k_n^{c-2}$  is also a sufficient condition because

$$k_{n+1} = a_{n+1}k_n + k_{n-1}$$

$$\geq k_n^{c-1} + k_{n-1}$$

$$\geq k_n^{c-1}.$$

Appealing to the recursive definition of  $k_n$ , we see that  $k_n$  is a function of  $a_0, a_1, \dots, a_n$ . Since  $a_{n+1}$  is a function of  $k_n$ , we see that it is also a function of  $a_0, a_1, \dots, a_n$ . Thus, we can construct an infinite continued fraction  $\langle a_0, a_1, a_2, \dots \rangle$  such that  $a_n \geq k_n^{c-2}$  for all  $n \in \mathbb{N}$ . By theorem 7.7, the value of any infinite simple continued fraction is irrational, so such a number  $\xi = \langle a_0, a_1, a_2, \dots \rangle$  is guaranteed to exist. The rational numbers which satisfy equation 3 are the convergents of  $\xi$ , of which there are infinitely many.

#### **Problem** (7.6.5)

Prove that of every two consecutive convergents  $h_n/k_n$  to  $\xi$  with  $n \geq 0$ , at least one satisfies

$$\left|\xi - \frac{h}{k}\right| < \frac{1}{2k^2}.$$

Solution.

We proceed by contradiction. Suppose there are two consecutive convergents  $\frac{h_n}{k_n}$  and  $\frac{h_{n+1}}{k_{n+1}}$  for which

$$\left|\xi - \frac{h}{k}\right| > \frac{1}{2k^2}.$$

Then we see that

(5) 
$$\left| \xi - \frac{h_n}{k_n} \right| + \left| \xi - \frac{h_{n+1}}{k_{n+1}} \right| > \frac{1}{2k_n^2} + \frac{1}{2k_{n+1}^2}.$$

But  $r_n < \xi < r_{n+1}$  or  $r_{n+1} < \xi < r_n$  because odd convergents are greater than their limit and even convergents are less than their limit. We suppose that  $r_{n+1} > r_n$ , but note that the same results follow when  $r_{n+1} < r_n$ . We see that

$$|r_{n+1} - r_n| = |r_{n+1} - \xi + \xi - r_n|$$

$$= |r_{n+1} - \xi| + |\xi - r_n|$$

$$= \left|\xi - \frac{h_{n+1}}{k_{n+1}}\right| + \left|\xi - \frac{h_n}{k_n}\right|.$$

Furthermore, by theorem 7.5 we see that

$$|r_{n+1} - r_n| = \frac{1}{k_{n+1}k_n}.$$

Now we can plug this into equation 5 to get

$$\frac{1}{k_{n+1}k_n} > \frac{1}{2k_n^2} + \frac{1}{2k_{n+1}^2}$$
$$2 > \frac{k_{n+1}}{k_n} + \frac{k_n}{k_{n+1}}.$$

Call  $x = \frac{k_{n+1}}{k_n}$ . Clearly, x > 0. We wish to find solutions to the equation

$$2 > x + x^{-1}$$
.

We see that

$$2 > x + x^{-1}$$
 
$$2x > x^2 + 1$$
 no sign change as  $x > 0$  
$$0 > x^2 - 2x + 1$$
 
$$0 > (x - 1)^2,$$

but  $(x-1)^2 > 0$  for all  $x \in \mathbb{Q}^+$ , so we have arrived at a contradiction. Thus, one of the convergents  $\frac{h_n}{k_n}$  and  $\frac{h_{n+1}}{k_{n+1}}$  must satisfy equation 4.