

Problem 1 (Asymptotics)

Part 1(a)

We order the given functions as follows:

$$\frac{1}{n} \ll n^{1/\log n} \ll (\log n)^2 \ll (\log(n \cdot \log n))^2 \ll \log(n!) \ll n \log n$$

Explanations: 1. $\frac{1}{n} = O(n^{1/\log n})$: - $\frac{1}{n}$ decays to 0 as $n \rightarrow \infty$, while $n^{1/\log n}$ is constant and does not decay. Thus, $\frac{1}{n}$ grows slower.

2. $n^{1/\log n} = O((\log n)^2)$: - $n^{1/\log n} = e$, which is a constant. Any unbounded logarithmic function like $(\log n)^2$ grows faster than a constant and will increase past the constant eventually.

3. $(\log n)^2 = O((\log(n \cdot \log n))^2)$: - $(\log(n \cdot \log n))^2 = (\log n + \log \log n)^2$. The argument of the outer logarithm in each function is the only difference. Specifically, the argument of $\log(n \cdot \log n)$ is $n \cdot \log n$, which is greater than n . Since $n \cdot \log n$ grows faster than n , we have $(\log(n \cdot \log n))^2$ growing faster than $(\log n)^2$.

4. $(\log(n \cdot \log n))^2 = O(\log(n!))$: - $\log(n!) \sim n \log n - n$. The factorial argument of the log in each function will dominate the other, but both are still dominated by polynomial growth.

5. $\log(n!) = O(n \log n)$: All polynomial growth dominates logarithmic growth.

Part 2

Problem Statement: For some given positive-valued functions $f(n)$, $g(n)$, $h(n)$, with n being a positive integer:

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(a) If $f(n) = O(g(n))$, then $f(n) = O(\log(g(n)))$ Answer: False

Counterexample: Let $g(n) = n$ and $f(n) = n$. Then $f(n) = O(g(n))$, because $f(n) \leq c \cdot g(n)$ for some constant $c > 0$.

However, $\log(g(n)) = \log(n)$. The conclusion that $f(n) = O(\log(g(n)))$ would imply $n = O(\log(n))$, which is incorrect because n grows faster than $\log(n)$. This invalidates the statement.

—
(b) If $f(n) = \Theta(g(n))$, then $f(n)^2 = \Theta(g(n)^3)$ Answer: False

If both functions are equal to n , then the statement incorrectly concludes that $n^2 = \Theta(n^3)$.

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(c) If $f(n) = \Omega(n \cdot g(n))$ and $g(n) = \Omega(n \cdot h(n))$, then $f(n) = \Omega(n^2 \cdot h(n))$

Answer: True

Proof: The information given implies that $f(n)$ has the same long term growth rate as $g(n)$, and the same holds for $g(n)$ and $h(n)$. Transitivity, this would mean that $f(n)$ has the same growth rate as $h(n)$ no matter the constant

n , as constants are dropped when comparing long term growth rate. Thus the statement is true.

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Problem 2 (Asymptotics)

Arrange the following functions in ascending order of growth rate. You do not need to formally prove anything, however, provide a single sentence reasoning for the placement of each adjacent pair in the ordering.

$$\begin{array}{ll}
 g_{01}(n) = n^{\frac{101}{100}}, & g_{02}(n) = n^{2^{n+1}}, \\
 g_{03}(n) = n(\log n)^3, & g_{04}(n) = n^{\log \log n}, \\
 g_{05}(n) = \log(n^{2^n}), & g_{06}(n) = n!, \\
 g_{07}(n) = 2^{\sqrt{\log n}}, & g_{08}(n) = 2^{2^{n+1}}, \\
 g_{09}(n) = \log(n!), & g_{10}(n) = \lfloor \log(n) \rfloor!, \\
 g_{11}(n) = 2^{\log \sqrt{n}}, & g_{12}(n) = \sqrt{2}^{\log n}.
 \end{array}$$

Hierarchy of Growth Rates

Based on the corrected computations, the functions are ordered from slowest to fastest growth as follows:

$$g_{11}(n), g_{12}(n) < g_{07}(n) < g_{05}(n) < g_{03}(n) < g_{01}(n) < g_{09}(n), g_{10}(n) < g_{04}(n) < g_{06}(n) < g_{02}(n) < g_{08}(n)$$

1. $g_{11}(n), g_{12}(n)$: Both simplify to $2^{\log \sqrt{n}}$, which is the smallest growth rate in this set.
2. $g_{12}(n) < g_{07}(n)$: Exponential growth in $g_{07}(n) = 2^{\sqrt{\log n}}$ dominates the slower exponential growth of $g_{12}(n)$.
3. $g_{07}(n) < g_{05}(n)$: The growth rate of $g_{05}(n) = \log(n^{2^n})$, which simplifies to $2^n \log n$, is faster than $g_{07}(n)$ due to the inclusion of 2^n .
4. $g_{05}(n) < g_{03}(n)$: Polynomial growth in $g_{03}(n) = n(\log n)^3$ dominates the logarithmic-exponential growth in $g_{05}(n)$.

5. $g_{03}(n) < g_{01}(n)$: Slightly superlinear growth in $g_{01}(n) = n^{101/100}$ outpaces the linear-logarithmic combination in $g_{03}(n)$.
6. $g_{01}(n) < g_{09}(n)$: Factorial growth in $g_{09}(n) = \log(n!)$ eventually dominates the polynomial growth in $g_{01}(n)$.
7. $g_{09}(n) < g_{10}(n)$: The factorial growth in $g_{10}(n) = \lfloor \log(n) \rfloor!$ outpaces the logarithmic-factorial growth in $g_{09}(n)$.
8. $g_{10}(n) < g_{04}(n)$: Exponential-logarithmic growth in $g_{04}(n) = n^{\log \log n}$ dominates the factorial terms in $g_{10}(n)$ for large n .
9. $g_{04}(n) < g_{06}(n)$: Pure factorial growth in $g_{06}(n) = n!$ eventually dominates $g_{04}(n)$.
10. $g_{06}(n) < g_{02}(n)$: Exponential growth in $g_{02}(n) = n^{2^{n+1}}$ outpaces the factorial growth of $g_{06}(n)$.
11. $g_{02}(n) < g_{08}(n)$: Double exponential growth in $g_{08}(n) = 2^{2^{n+1}}$ dominates the single exponential growth of $g_{02}(n)$.

Problem 3 (Mysteriousness)

You encounter the mysterious piece of pseudocode shown in **Algorithm 1**. Answer the following questions based on this pseudocode.

Algorithm 1: Mystery Function, $H(a, m)$

Input: Integers a, m .

Output: Unknown integer value dependent on inputs a and m .

```

1 H(a, m):
2   if m = 0
3     return a - 1
4   else
5     c ← a - 1
6     for i from 1 to m
7       c ← c · a
8     return H(a, m - 1) + c

```

Part (a)

- $H(a, 1) = a^2 - 1$, 1 recursive call ($H(a, 0)$).
- $H(a, 2) = a^3 - 1$, 2 recursive calls. ($H(a, 1)$), ($H(a, 0)$)
- $H(a, 3) = a^4 - 1$, 3 recursive calls. ($H(a, 2)$), ($H(a, 1)$), ($H(a, 0)$)

Part (b)

We claim that:

$$H(a, m) = a^{m+1} - 1$$

for $m \geq 0$.

Proof by Induction

Base Case:

$$H(a, 0) = a - 1.$$

Holds with formula:

$$a^{0+1} - 1 = a - 1.$$

Inductive Step: Assume that the formula holds for $m = k$

$$H(a, k) = a^{k+1} - 1.$$

Need to prove that the formula holds for $m = k + 1$:

$$H(a, k + 1) = a^{(k+1)+1} - 1 = a^{k+2} - 1.$$

From the pseudocode, for $m = k + 1$:

$$H(a, k + 1) = H(a, k) + c,$$

where $c = a - 1$ and is multiplied by a $k + 1$ times:

$$c = (a - 1) \cdot a^{k+1}.$$

Using substitution with the inductive hypothesis we can obtain: $H(a, k) = a^{k+1} - 1$, we get:

$$H(a, k + 1) = (a^{k+1} - 1) + a^{k+1}.$$

Simplify:

$$H(a, k + 1) = a^{k+2} - 1.$$

Thus, the formula holds for $m = k + 1$. Additionally, by induction the formula

$$H(a, m) = a^{m+1} - 1$$

holds for all $m \geq 0$.

Part (c)

The runtime of the function $H(a, m)$ is $\Theta(m^2)$.

This is because of the recursive calls that go m levels deep, then lead to the loop of m times per recursive call, which result in the function runtime of $H(a, m)$ being $\Theta(m^2)$.

Problem 4 (Understanding Recurrences)

Based on the pseudocode given in Algorithm 2, answer the following questions. For simplicity, you may assume that n is always a power of 2.

1. Write a recurrence $R(n)$ that describes the number of times `RecFunc(n)` prints “Hi”. Your recurrence should include base cases.
2. Solve the recurrence for $R(n)$ in asymptotic terms. That is, your answer should be in the form $R(n) = \Theta(g(n))$ for some function $g(n)$ that is as simple as possible. You may solve your recurrence using any method you like as long as the relevant work is shown.

Algorithm 2: Recursive Function, `RecFunc(n)`

Input: Integer n .

Output: Nothing, but many statements are printed.

```
1 RecFunc(n):
2     if n = 1
3         print "Hi"
4     else
5         RecFunc(n/2)
6         RecFunc(n/2)
7         RecFunc(n/2)
8         RecFunc(n/2)
9         for i = 1, ..., 3n
10            print "Hi"
```

Part (a)

The recurrence relation is given by:

$$R(n) = 4R\left(\frac{n}{2}\right) + 3n \quad \text{for } n > 1,$$

with the base case:

$$R(1) = 1.$$

Part (b)

We solve the recurrence:

$$R(n) = 4R\left(\frac{n}{2}\right) + 3n, \quad R(1) = 1.$$

Substitute $R\left(\frac{n}{2}\right)$:

$$R(n) = 4\left[4R\left(\frac{n}{4}\right) + 3\frac{n}{2}\right] + 3n = 16R\left(\frac{n}{4}\right) + 6n + 3n.$$

Substitute $R\left(\frac{n}{4}\right)$:

$$R(n) = 16 \left[4R\left(\frac{n}{8}\right) + 3\frac{n}{4} \right] + 6n + 3n = 64R\left(\frac{n}{8}\right) + 12n + 6n + 3n.$$

After k steps, the recurrence becomes:

$$R(n) = 4^k R\left(\frac{n}{2^k}\right) + 3n \sum_{i=0}^{k-1} 2^i.$$

Base Case

When the recursive steps have reached the end represented by when $k = \log_2 n$ the argument of R reaches the base case:

$$R\left(\frac{n}{2^k}\right) = R(1) = 1.$$

Overall this results in:

$$R(n) = 4^{\log_2 n} R(1) + 3n \sum_{i=0}^{\log_2 n - 1} 2^i.$$

$4^{\log_2 n}$: Using the property $a^{\log_b c} = c^{\log_b a}$, we get:

$$4^{\log_2 n} = n^{\log_2 4} = n^2.$$

$\sum_{i=0}^{\log_2 n - 1} 2^i$ is a geometric series with ratio 2:

$$\sum_{i=0}^{\log_2 n - 1} 2^i = 2^{\log_2 n} - 1 = n - 1.$$

Substituting into $R(n)$, we get:

$$R(n) = n^2 + 3n(n - 1).$$

$$R(n) = n^2 + 3n^2 - 3n = 4n^2 - 3n.$$

Asymptotic Complexity

Because of the rules of runtime, the constant will be dropped resulting in

$$R(n) = \Theta(n^2).$$

Problem 5 (Programming Assignment)

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